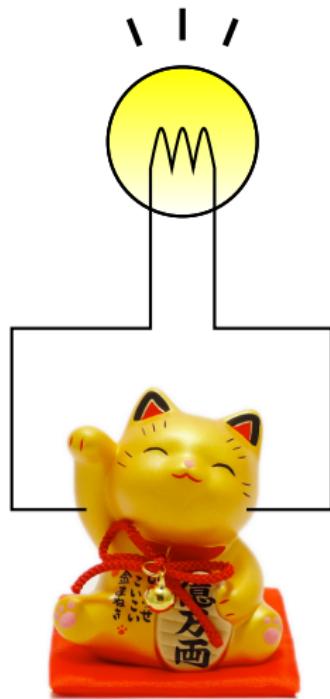


# FQH/CFT and $q$ -CFT

Taro Kimura

Institut de Physique Théorique, CEA Saclay  
Mathematical Physics Laboratory, RIKEN



- How to distinguish metal and insulator?

## Electric conductivity

$$J = \sigma \times E$$

( current = conductivity  $\times$  voltage )

$\sigma = 0$  : insulator,       $\sigma \neq 0$  : metal

- 2 dimensions

- $\sigma$  : conductivity matrix

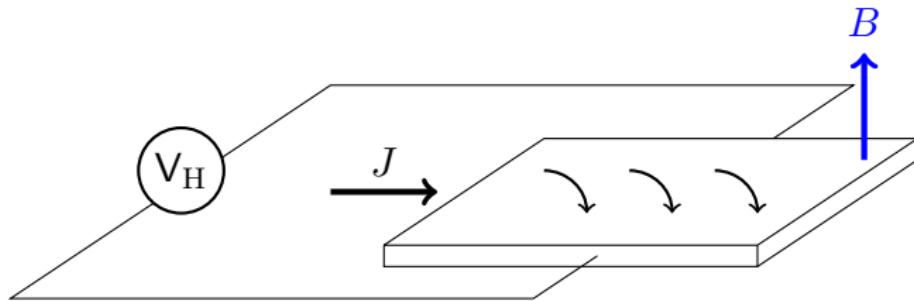
$$\begin{pmatrix} J_x \\ J_y \end{pmatrix} = \begin{pmatrix} \sigma_{xx} & \sigma_{xy} \\ \sigma_{yx} & \sigma_{yy} \end{pmatrix} \begin{pmatrix} E_x \\ E_y \end{pmatrix} \rightarrow \begin{pmatrix} \sigma_L & \sigma_H \\ -\sigma_H & \sigma_L \end{pmatrix} \begin{pmatrix} E_x \\ E_y \end{pmatrix}$$

- $\sigma_{xx} = \sigma_{yy} = \sigma_L, \quad \sigma_{xy} = -\sigma_{yx} = \sigma_H$

$\sigma_L = 0$  : **insulator**,       $\sigma_L \neq 0$  : **metal**

- What's the role of  $\sigma_H$ ?

# Hall effect

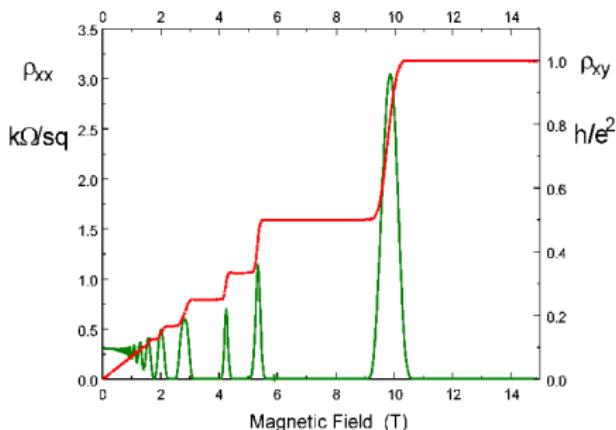


(Classical) Hall conductivity:  $\sigma_H \sim B$

- cf. resistivity matrix:  $E_\mu = \rho_{\mu\nu} J^\nu$

$$\begin{pmatrix} \rho_L & \rho_H \\ -\rho_H & \rho_L \end{pmatrix} \equiv \begin{pmatrix} \sigma_L & \sigma_H \\ -\sigma_H & \sigma_L \end{pmatrix}^{-1} = \frac{1}{\sigma_L^2 + \sigma_H^2} \begin{pmatrix} \sigma_L & -\sigma_H \\ \sigma_H & \sigma_L \end{pmatrix}$$

- Longitudinal and transverse resistivity



- Longitudinal mode

$$\rho_L = 0 \rightarrow \underline{\sigma_L = 0}$$

- Transverse mode

$$\sigma_H = \nu \frac{e^2}{h} \quad \text{w/} \quad \underline{\nu \in \mathbb{Z}}$$

## Quantum Hall effect

- 1 Hall conductivity is quantized with high-precision
- 2  $\sigma_L = 0$ , but  $\sigma_H \neq 0$ : **insulator with non-trivial response**

- What's a field theory for QHE?

①  $d = 2 + 1$

② Parity-broken (due to  $B$ )

## Chern–Simons theory

$$S_{\text{CS}} = \frac{k}{4\pi} \int \mathcal{A} d\mathcal{A}, \quad k \in \mathbb{Z}$$

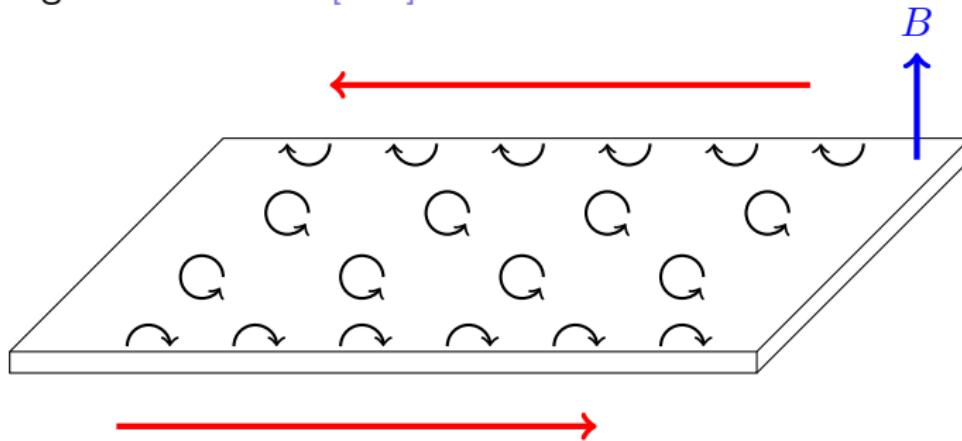
- Electric current

$$J_\mu = \frac{\delta S_{\text{CS}}}{\delta A^\mu} = \frac{k}{2\pi} \epsilon_{\mu\nu\rho} \partial^\nu A^\rho \xrightarrow{\nu=t} \frac{k}{2\pi} \epsilon_{\mu\nu} E^\nu \equiv \sigma_{\mu\nu} E^\nu$$

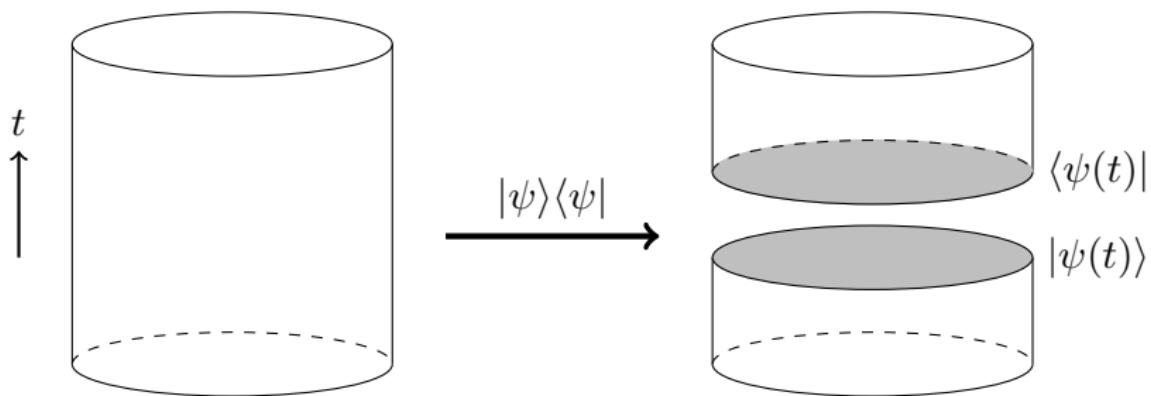
$$\sigma_H = \frac{k}{2\pi} \rightarrow \frac{k}{2\pi} \frac{e^2}{\hbar} = k \frac{e^2}{h}, \quad \sigma_L = 0$$

- Chern–Simons is topological:
  - non-dynamical in **the bulk**
  - unless **the boundary** → CFT on the boundary

- ① Edge current    cf. [Wen]



② Bulk wavefunction [Moore–Read]



**bulk wavefunction = conformal block**

- Ex.: Laughlin wavefunction

$$\Phi_L(\{z_i\}) = \prod_{i < j}^N (z_i - z_j)^m$$

- Vertex operator:  $V(z) = e^{i\alpha\varphi(z)}$  with  $\alpha = \sqrt{m}$

$$\Phi_L(\{z_i\}) = \left\langle V(z_1)V(z_2)\cdots V(z_N) \right\rangle$$

- Bulk wavefunction = conformal block
  - Particle statistics  $\longleftrightarrow$  CFT fusion rule (monodromy)
  - Non-Abelian &  $q$ -deformed CFT

# Summary

- Quantum Hall effect
  - quantized Hall conductivity  $\sigma_H = \nu e^2/h$
  - insulator with non-trivial response ( $\sigma_L = 0$  but  $\sigma_H \neq 0$ )
- Chern–Simons effective theory
  - level quantization = quantization of  $\sigma_H$
  - non-dynamical bulk & CFT on the boundary
    - ① edge state
    - ② bulk wavefunction

- 1 Overview: FQH/CFT
- 2 Characterization of FQH state
- 3 Non-Abelian state
- 4 Spin-singlet FQH state
- 5 Summary

1 Overview: FQH/CFT

2 Characterization of FQH state

3 Non-Abelian state

4 Spin-singlet FQH state

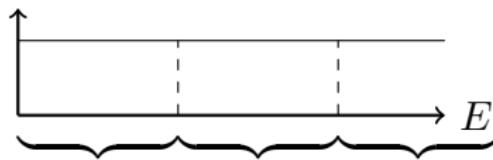
5 Summary

- Quantum mechanics in magnetic field ( $d = 2$ )

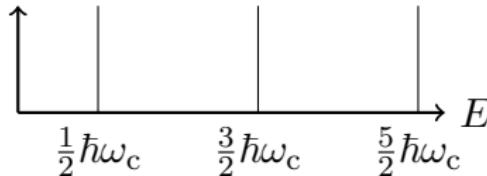
$$\mathcal{H} = \frac{1}{2m} (\vec{p} - \vec{A})^2 = \hbar\omega_c \left( a^\dagger a + \frac{1}{2} \right)$$

- cyclotron freq.:  $\omega_c \sim B$

DOS



$$\text{DOS} = \sum_n N_\phi \delta(E - E_n)$$



degeneracy of Landau level

$$N_\phi = \frac{1}{2\pi} \int d^2x B$$

- Lowest Landau level (LLL)

- annihilation op.:  $a = \partial_{\bar{z}} + \frac{z}{4\ell_B^2}$

- magnetic length:  $\ell_B = \sqrt{\frac{\hbar}{eB}}$

$$a \phi_m(z, \bar{z}) = 0 \rightarrow \phi_m(z, \bar{z}) = z^m e^{-\frac{1}{4\ell_B^2}|z|^2}$$

- LLL state is labeled by angular momentum index:

$$m = 0, 1, \dots, N_\phi - 1$$

- Many-body wavefunction in LLL

$$\Psi(\{z_i\}) = \Phi(\{z_i\}) \prod_{i=1}^N e^{-\frac{1}{4\ell_B^2}|z_i|^2}$$

- (anti-)symmetric polynomial:  $\Phi(\{z_i\}) = z_1^{m_1} \cdots z_N^{m_N} + \cdots$
- Highest power in  $\Phi(\{z_i\})$  is  $N_\phi - 1$

- How to read  $\sigma_H$  from  $\Phi(\{z_i\})$ ?

$$J_\mu = \frac{\nu}{2\pi} \epsilon_{\mu\lambda\rho} \partial^\lambda A^\rho \xrightarrow{\mu=t} \nu = \frac{2\pi J_t}{B}$$

- Filling fraction:

$$\nu = \frac{\#\text{particles}}{\#\text{magnetic fluxes}} = \frac{N}{N_\phi}$$

- Hall conductivity:

$$\sigma_H = \nu \frac{e^2}{h}$$

- Ex. 1: Slater determinant

$$\Phi(\{z_i\}) = \det \begin{pmatrix} z_i^{j-1} \end{pmatrix} = \prod_{i < j}^N (z_i - z_j)$$

- Highest power:

$$\Phi(\{z_i\}) = z_1^0 z_2^1 \cdots z_N^{N-1} + \cdots \rightarrow N_\phi = N$$

- Occupation number representation:

“Fermi sea”

$$|\Phi\rangle = |1\ 1\ 1\cdots 1\ 1|0\ 0\cdots\rangle$$

+ + + + + + → angular mom.  
0 1 2                     $N_\phi$

- Filling fraction:  $\nu = \frac{N}{N_\phi} = 1$

$\nu = 1$  integer QH state

- Ex. 2: Laughlin state

$$\Phi_L(\{z_i\}) = \prod_{i < j}^N (z_i - z_j)^m$$

- Highest power:

$$\Phi_L(\{z_i\}) = z_1^0 z_2^m \cdots z_N^{m(N-1)} + \cdots \rightarrow N_\phi - 1 = m(N-1)$$

- Occupation number representation:

“Fermi sea”

$$|\Phi_L\rangle = |1\ 0\ 0\ 1\ 0\ \cdots\ 0\ 0\ 1|0\ 0\ \cdots\rangle$$

angular mom.  
 0 1 2 3 4       $N_\phi$

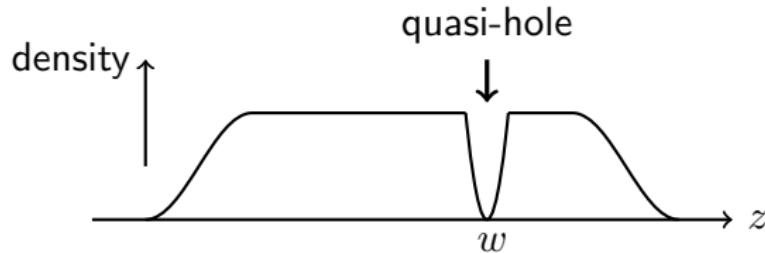
- Filling fraction:  $\nu = \frac{N}{m(N-1)+1} \xrightarrow{N \rightarrow \infty} \frac{1}{m}$

$\nu = 1/m$  **fractional QH state**

- Excitation state: quasi-hole state

$$\Phi_L(\{z_i\}|w) = \prod_{i < j}^N (z_i - z_j)^m \prod_{i=1}^N (z_i - w)$$

- $\Phi_L$  has zeros at  $z_i = w$



- Multi-quasi-hole state

$$\Phi_L(\{z_i\}|\{w_i\}) = \prod_{i < j}^N (z_i - z_j)^m \prod_{i=1}^N \prod_{j=1}^M (z_i - w_j) \prod_{i < j}^M (w_i - w_j)^{1/m}$$

- Connection to CFT

- Electron operator:  $V_e(z) = e^{i\sqrt{m}\varphi(z)}$

$$\Phi_L(\{z_i\}) = \left\langle V_e(z_1) V_e(z_2) \cdots V_e(z_N) \right\rangle$$

- U(1) free boson:  $\varphi(z)\varphi(w) = -\log(z-w)$

- Quasi-hole state

- Quasi-hole operator:  $V_{qh}(z) = e^{i\sqrt{1/m}\varphi(z)}$

$$\Phi_L(\{z_i\}|\{w_i\}) = \left\langle V_e(z_1) \cdots V_e(z_N) V_{qh}(w_1) \cdots V_{qh}(w_M) \right\rangle$$

# Summary

- LLL many-body wavefunction

$$\Psi(\{z_i\}) = \Phi(\{z_i\}) \prod_{i=1}^N e^{-\frac{1}{4\ell_B^2}|z_i|^2}$$

- (anti-)symmetric polynomial:  $\Phi(\{z_i\}) = z_1^{m_1} \cdots z_N^{m_N} + \cdots$

- Filling fraction:  $\nu = \frac{\#\text{particles}}{\#\text{magnetic fluxes}} = \frac{N}{N_\phi}$

- Conformal block as a wavefunction

$$\Phi(\{z_i\}) = \left\langle V_e(z_1) V_e(z_2) \cdots V_e(z_N) \right\rangle$$

1 Overview: FQH/CFT

2 Characterization of FQH state

3 Non-Abelian state

4 Spin-singlet FQH state

5 Summary

- Electron operator

- Laughlin:  $U(1)$  free boson

$$V_e(z) = e^{i\sqrt{m}\varphi(z)} \longrightarrow \Phi_L(\{z_i\}) = \prod_{i < j}^N (z_i - z_j)^m$$

- Moore–Read:  $SU(2)_{k=2}$  (Ising; Majorana) [Moore–Read]

$$V_e(z) = \psi(z) e^{i\varphi(z)} \longrightarrow \Phi_{MR}(\{z_i\}) = \text{Pf} \left( \frac{1}{z_i - z_j} \right) \prod_{i < j}^N (z_i - z_j)^2$$

- Read–Rezayi:  $SU(2)_k$  ( $\mathbb{Z}_k$ -parafermion) [Read–Rezayi]

$$V_e(z) = \psi_1(z) e^{i\sqrt{2/k}\varphi(z)}$$

## Non-Abelian particle statistics (NA anyon)

- Moore–Read state

$$\Phi_{\text{MR}}(\{z_i\}) = \text{Pf} \left( \frac{1}{z_i - z_j} \right) \prod_{i < j}^N (z_i - z_j)^2$$

- Filling fraction:  $\nu = 1/2$  (**even denominator!**)
- Ising CFT:  $SU(2)_{k=2}/U(1)$
- Electron & quasi-hole operators

$$V_e(z) = \psi(z) e^{i\varphi(z)}, \quad V_{\text{qh}}(w) = \sigma(w) e^{i\varphi(w)/2}$$

- Quasi-hole state

$$\Phi_{\text{MR}}(\{z_i\} | \{w_i\}) \sim \langle \psi(z_1) \cdots \psi(z_N) \sigma(w_1) \cdots \sigma(w_M) \rangle$$

## Fusion rules

$$\psi \times \psi = \mathbb{1}, \quad \psi \times \sigma = \sigma, \quad \sigma \times \sigma = \mathbb{1} + \psi$$

- Bosonic Moore–Read state

$$\Phi_{\text{MR}}(\{z_i\}) = \text{Pf} \left( \frac{1}{z_i - z_j} \right) \prod_{i < j}^N (z_i - z_j)$$

- Filling fraction:  $\nu = 1$     (**odd denominator!**)
- Occupation number rep.:

$$|\Phi_{\text{MR}}\rangle = |2\ 0\ 2\ 0\cdots 0\ 2\ 0\cdots\rangle$$

- Bosonic Read–Rezayi state:  $SU(2)_k$

$$|\Phi_{\text{RR}_k}\rangle = |k\ 0\ k\ 0\cdots 0\ k\ 0\cdots\rangle$$

- More generic NA state: **Jack state** [Bernevig–Haldane]

## Jack polynomial

$$\Phi(\{z_i\}) = J^{(\alpha)}(\{z_i\})$$

- 1-parameter generalization of Schur polynomial
- Laplace–Beltrami op. (Calogero–Sutherland model)

$$\mathcal{L}_{\text{LB}} = \sum_{i=1}^N \left( z_i \frac{\partial}{\partial z_i} \right)^2 + \frac{1}{\alpha} \sum_{i < j}^N \frac{z_i + z_j}{z_i - z_j} \left( z_i \frac{\partial}{\partial z_i} - z_j \frac{\partial}{\partial z_j} \right)$$

- $(k, r)$ -admissible state at  $\alpha = -\frac{k+1}{r-1}$  [Feigin et al.]

$$|\Phi_{(k,r)}\rangle = |k \underbrace{0 0 \cdots 0}_{r-1} k 0 \cdots \rangle$$

- Examples:

Laughlin =  $(1, r)$ , Moore–Read =  $(2, 2)$ , Read–Rezayi =  $(k, 2)$

- Underlying CFT: WA $_{k-1}(k+1, k+r)$  [Bernevig–Gurarie–Simon]

# Summary

- Non-Abelian FQH state

$$\Phi(\{z_i\}|\{w_i\}) = \left\langle V_e(z_1) \cdots V_e(z_N) V_{qh}(w_1) \cdots V_{qh}(w_M) \right\rangle$$

- Electron & quasi-hole operators

$$V_e(z) = \psi(z) e^{i\varphi(z)}, \quad V_{qh}(w) = \sigma(w) e^{i\varphi(w)/2}$$

- Fusion rules  $\rightarrow$  NA statistics

$$\psi \times \psi = \mathbb{1}, \quad \psi \times \sigma = \sigma, \quad \sigma \times \sigma = \mathbb{1} + \psi$$

- $(k, r)$ -admissible state: Jack polynomial at  $\alpha = -\frac{k+1}{r-1}$

- 1 Overview: FQH/CFT
- 2 Characterization of FQH state
- 3 Non-Abelian state
- 4 Spin-singlet FQH state
- 5 Summary

- Spinful FQH state [Halperin]

## Halperin state

$$\Phi_H(\{z_i\}, \{w_i\}) = \prod_{i=1}^{N^\uparrow} (z_i - z_j)^r \prod_{i=1}^{N^\downarrow} (w_i - w_j)^r \prod_{i,j}^{N^{\uparrow,\downarrow}} (z_i - w_j)^s$$

$z_i$ : up-spin particle,     $w_i$ : down-spin particle

- Spin operator

$$S_i^+ : w_i \longrightarrow z_{N^\uparrow + 1}, \quad S_i^- : z_i \longrightarrow w_{N^\downarrow + 1}, \quad S_z = \frac{N^\uparrow - N^\downarrow}{2}$$

- Spin-singlet condition:  $SU(2)$  invariance

$$S^\pm \Phi_H = 0, \quad S_z \Phi_H = 0 \quad \longrightarrow \quad r = s + 1, \quad N^\uparrow = N^\downarrow = N$$

## SU(2) spin-singlet Halperin state

$$\Phi_H(\{z_i\}, \{w_i\}) = \prod_{i=1}^N (z_i - z_j)^r \prod_{i=1}^N (w_i - w_j)^r \prod_{i,j=1}^N (z_i - w_j)^{r-1}$$

- $SU(M)$  generalization:  $E_a \Phi_H = 0, \quad F_a \Phi_H = 0, \quad H_a \Phi_H = 0$

## $SU(M)$ -singlet Halperin state

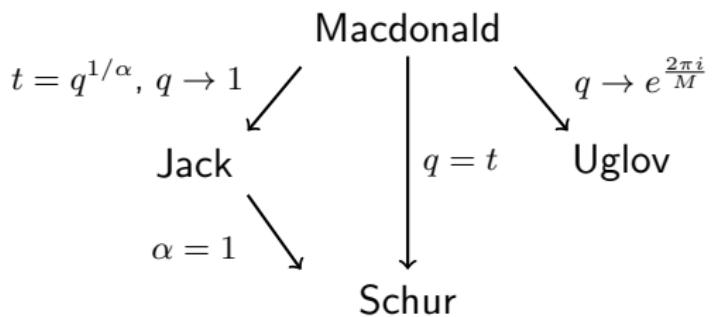
$$\Phi_H(\{z_i^{(u)}\}) = \prod_{u=1}^M \prod_{i=1}^N (z_i^{(u)} - z_j^{(u)})^r \prod_{u < v}^M \prod_{i,j=1}^N (z_i^{(u)} - z_j^{(v)})^{r-1}$$

- Non-Abelian spin-singlet state
  - Generalized parafermion:  $SU(3)_k/U(1)^2$  [Ardonne–Schoutens]
- Jack state
  - non-symmetric Jack [Ardonne–Regnault] [Estienne–Bernevig]
  - Uglov polynomial [TK]
- Non-symmetric Jack: spin Laplace–Beltrami operator

$$\mathcal{L}_{\text{sLB}} = \mathcal{L}_{\text{LB}} - \frac{1}{\alpha} \sum_{i \neq j} (1 - K_{ij}) \frac{z_i z_j}{(z_i - z_j)^2}$$

- $K_{ij}$ : particle exchange operator  $\sim \vec{S}_i \cdot \vec{S}_j$
- Spectrum degeneracy due to the spin symmetry

- Uglov polynomial is [Uglov]
    - Yangian basis for spin Calogero–Sutherland model
    - given by **the root of unity limit** of **Macdonald polynomial**



Let's consider  $q$ -CFT, and then take the limit  $q \rightarrow e^{\frac{2\pi i}{M}}$

- Ex.:  $q$ -Laughlin state

- $q$ -boson with  $t = q^r$  [Shiraishi–Kubo–Awata–Odake]

$$[a_n, a_m] = n \frac{1 - q^{|n|}}{1 - t^{|n|}} \delta_{n+m,0}, \quad [a_n, Q] = \frac{1}{r} \delta_{n,0}$$

- OPE at the root of unity limit

$$\begin{aligned} \varphi(z)\varphi(w) &\sim -\log \left[ \frac{(w/z; q)_\infty}{(tw/z; q)_\infty} z^r \right] \\ &\rightarrow -\log \left[ (z^M - w^M)^{(r-1)/M} (z - w) \right] \end{aligned}$$

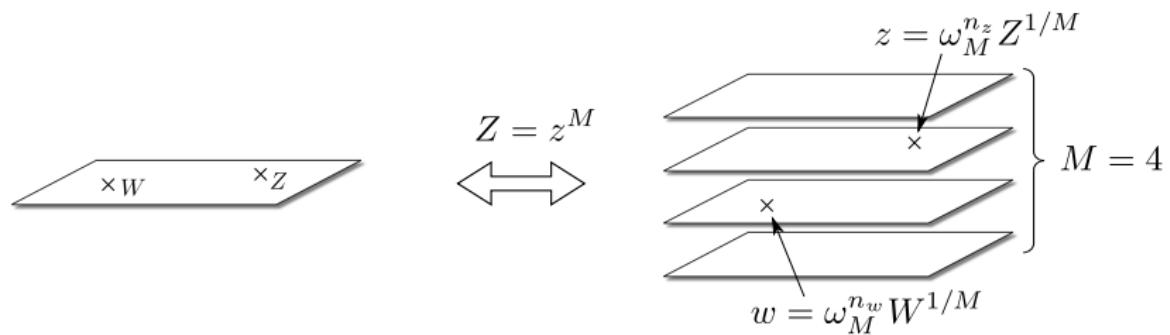
- $Z = z^M, W = w^M \rightarrow z = \omega_M^{n_z} Z^{1/M}, w = \omega_M^{n_w} W^{1/M}$

$$\varphi(Z)\varphi(W) \sim \begin{cases} -\log(Z - W)^{(r-1)/M+1} & (n_z = n_w) \\ -\log(Z - W)^{(r-1)/M} & (n_z \neq n_w) \end{cases}$$

- Conformal block at the root of unity of  $q$ -CFT

$$\prod_{u=1}^M \prod_{I < J}^{N^{(u)}} \left( z_I^{(u)} - z_J^{(u)} \right)^{(r-1)/M+1} \prod_{u < v}^M \prod_{I,J}^{N^{(u,v)}} \left( z_I^{(u)} - z_J^{(v)} \right)^{(r-1)/M}$$

This is the  $SU(M)$  spin-singlet Halperin state!



Branch of  $Z = z^M$  distinguishes the particle state

# Summary

- Spin-singlet FQH states:
  - Halperin state
  - Generalized parafermion state:  $\frac{\mathrm{SU}(3)_k}{\mathrm{U}(1)^2} \rightarrow \frac{\mathrm{SU}(M+1)_k}{\mathrm{U}(1)^M}$
- Spin-singlet Jack state
  - Non-symmetric Jack
  - Uglov polynomial (Macdonald at the root of unity limit)

1 Overview: FQH/CFT

2 Characterization of FQH state

3 Non-Abelian state

4 Spin-singlet FQH state

5 Summary

## Summary

- Conformal block = FQH wavefunction
  - Laughlin:  $U(1)$
  - Moore–Read:  $SU(2)_{k=2}/U(1)$
  - Read–Rezayi:  $SU(2)_k/U(1)$
  - NA spin-singlet:  $SU(3)_k/U(1)^2$
- FQH wavefunction is characterized by symmetric polynomial
  - Generically described by Jack polynomial

$$\Psi(\{z_i\}) = \Phi(\{z_i\}) \prod_{i=1}^N e^{-\frac{1}{4\ell_B^2}|z_i|^2}$$

- Spin-singlet Jack state
  - Uglov polynomial obtained from Macdonald polynomial

# Discussion

- FQH/ $q$ -CFT
  - Refined Chern–Simons theory [Aganagic–Shakirov]
- Holography of the non-Abelian FQH state
  - Minimal model holography [Gaberdiel–Gopakumar]
- $q$ -CFT and gauge theory at  $q \rightarrow e^{\frac{2\pi i}{M}}$ 
  - Instanton counting on  $\mathbb{C}^2/\mathbb{Z}_M$  [TK] [Itoyama–Oota–Yoshioka]