

# Predicting the Dirac CP Violation Phase in the Lepton Sector

S. T. Petcov \*

SISSA/INFN, Trieste, Italy, and  
Kavli IPMU, University of Tokyo, Japan

Kavli IPMU, University of Tokyo  
March 12, 2014

\*Based on D. Marzocca, S.T.P., A. Romanino and M.C. Sevilla, arXiv:1302.0423, JHEP 02 (2013); updated January, 2014.

All compelling  $\nu$ -Oscillation Data: 3- $\nu$  Mixing (a reference scheme)

$$\nu_{l\text{L}} = \sum_{j=1,2,3} U_{lj} \nu_{j\text{L}}, \quad l = e, \mu, \tau.$$

# Three Neutrino Mixing

$$\nu_L = \sum_{j=1}^3 U_{lj} \nu_{jL} .$$

$U$  is the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) neutrino mixing matrix,

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

- $U - n \times n$  unitary:

$$\begin{matrix} n & & 2 & 3 & 4 \\ & & 1 & 3 & 6 \end{matrix}$$

mixing angles:

CP-violating phases:

$$\bullet \nu_j - \text{Dirac: } \frac{1}{2}(n-1)(n-2) \quad 0 \quad 1 \quad 3$$

$$\bullet \nu_j - \text{Majorana: } \frac{1}{2}n(n-1) \quad 1 \quad 3 \quad 6$$

$n = 3$ : 1 Dirac and

2 additional CP-violating phases, Majorana phases

# PMNS Matrix: Standard Parametrization

$$U = V P, \quad P = \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\frac{\alpha_{21}}{2}} & 0 \\ 0 & 0 & e^{i\frac{\alpha_{31}}{2}} \end{pmatrix},$$

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

- $s_{ij} \equiv \sin \theta_{ij}$ ,  $c_{ij} \equiv \cos \theta_{ij}$ ,  $\theta_{ij} \equiv [0, \frac{\pi}{2}]$ ,
- $\delta$  - Dirac CPV phase,  $\delta = [0, 2\pi]$ ; CP Inv.:  $\delta = 0, \pi, 2\pi$ ;
- $\alpha_{21}$ ,  $\alpha_{31}$  - Majorana CPV phases; CP Inv.:  $\alpha_{21(31)} = k(k')\pi$ ,  $k(k') = 0, 1, 2, \dots$   
S.M. Bilenky, J. Hosek, S.T.P., 1980
- $\Delta m_{\odot}^2 \equiv \Delta m_{21}^2 \cong 7.54 \times 10^{-5} \text{ eV}^2 > 0$ ,  $\sin^2 \theta_{12} \cong 0.308$ ,  $\cos 2\theta_{12} \gtrsim 0.28$  ( $3\sigma$ ),
- $|\Delta m_{31(32)}^2| \cong 2.48$  ( $2.44$ )  $\times 10^{-3}$  eV $^2$ ,  $\sin^2 \theta_{23} \cong 0.425$  ( $0.437$ ), NH (IH),
- $\theta_{13}$  - the CHOOZ angle:  $\sin^2 \theta_{13} = 0.0234$  (0.0239), NH (IH).

- $\text{sgn}(\Delta m_{\text{atm}}^2) = \text{sgn}(\Delta m_{31}^2)$  not determined

$\Delta m_{\text{atm}}^2 \equiv \Delta m_{31}^2 > 0$ , normal mass ordering

$\Delta m_{\text{atm}}^2 \equiv \Delta m_{32}^2 < 0$ , inverted mass ordering

Convention:  $m_1 < m_2 < m_3$  - NMO,  $m_3 < m_1 < m_2$  - IMO

$m_1 \ll m_2 < m_3$ , NH,

$m_3 \ll m_1 < m_2$ , IH,

$m_1 \cong m_2 \cong m_3$ ,  $m_{1,2,3}^2 >> \Delta m_{\text{atm}}^2$ , QD;  $m_j \gtrsim 0.10$  eV.

- Dirac phase  $\delta$ :  $\nu_l \leftrightarrow \nu_{l'}, \bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}$ ,  $l \neq l'$ ;  $A_{CP}^{(l,l')} \propto J_{CP} \propto \sin \theta_{13} \sin \delta$ ;

P.I. Krastev, S.T.P., 1988

$$J_{CP} = \text{Im} \{ U_{e1} U_{\mu 2} U_{e2}^* U_{\mu 1}^* \} = \frac{1}{8} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13} \sin \delta$$

Current data:  $|J_{CP}| \lesssim 0.035 |\sin \delta|$  (can be relatively large!).

- Majorana phases  $\alpha_{21}, \alpha_{31}$ :

–  $\nu_l \leftrightarrow \nu_{l'}, \bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}$  not sensitive;

S.M. Bilenky, J. Hosek, S.T.P., 1980;  
P. Langacker, S.T.P., G. Steigman, S. Toshev, 1987

- $|\langle m \rangle|$  in  $(\beta\beta)_{0\nu}$ -decay depends on  $\alpha_{21}, \alpha_{31}$ ;
- $\Gamma(\mu \rightarrow e + \gamma)$  etc. in SUSY theories depend on  $\alpha_{21,31}$ ;
- BAU, leptogenesis scenario:  $\delta, \alpha_{21,31}$  !

- Fogli et al., Phys. Rev. D86 (2012) 013012, global analysis, b.f.v.:  $\sin^2 \theta_{13} = 0.0241$  (0.0244), NH (IH).
- $\Delta m_{\odot}^2 \equiv \Delta m_{21}^2 \cong 7.54 \times 10^{-5}$  eV $^2 > 0$ ,  $\sin^2 \theta_{12} \cong 0.308$ ,  $\cos 2\theta_{12} \gtrsim 0.28$  ( $3\sigma$ ),
- $|\Delta m_{31(32)}^2| \cong 2.48$  (2.44)  $\times 10^{-3}$  eV $^2$ ,  $\sin^2 \theta_{23} \cong 0.425$  (0.437), NH (IH),
- $\theta_{13}$  - the CHOOZ angle:  $\sin^2 \theta_{13} = 0.0234$  (0.0239), NH (IH).
- $1\sigma(\Delta m_{21}^2) = 2.6\%$ ,  $1\sigma(\sin^2 \theta_{12}) = 5.4\%$ ;
- $1\sigma(|\Delta m_{31(23)}^2|) = 3\%$ ,  $1\sigma(\sin^2 \theta_{23}) = 14\%$ ;
- $1\sigma(\sin^2 \theta_{13}) = 10\%$ ,
- $3\sigma(\Delta m_{21}^2) : (6.99 - 8.18) \times 10^{-5}$  eV $^2$ ;  $3\sigma(\sin^2 \theta_{12}) : (0.259 - 0.359)$ ;
- $3\sigma(|\Delta m_{31(23)}^2|) : 2.19(2.17) - 2.62(2.61) \times 10^{-3}$  eV $^2$ ;
- $3\sigma(\sin^2 \theta_{23}) : 0.331(0.335) - 0.637(0.663)$ ;
- $3\sigma(\sin^2 \theta_{13}) : 0.0169(0.0171) - 0.0313(0.0315)$ .

After the discovery of the  $\nu$ -Oscillations, the most

important positive result was the high precision

measurement of the "CHOOZ" angle  $\theta_{13}$

in the Daya Bay and RENO experiments.

- March 8, 2012, Daya Bay:  $5.2\sigma$  evidence for  $\theta_{13} \neq 0$ ,  
 $\sin^2 2\theta_{13} = 0.092 \pm 0.016 \pm 0.005$ .
- April 4, 2012, RENO:  $4.9\sigma$  evidence for  $\theta_{13} \neq 0$ ,  
 $\sin^2 2\theta_{13} = 0.113 \pm 0.013 \pm 0.019$ .
- Nu'2012 (June 4-9, 2012), T2K, Double Chooz:  $3.2\sigma$  and  $2.9\sigma$  evidence for  $\theta_{13} \neq 0$ .
- RENO, 12/09/2013 (TAUP 2013):  
 $\sin^2 2\theta_{13} = 0.100 \pm 0.010$  (*stat.*)  $\pm 0.012$ .
- Daya Bay, 23/08/2013:  
 $\sin^2 2\theta_{13} = 0.090 \pm 0.009$ .



## T2K: Search for $\nu_\mu \rightarrow \nu_e$ oscillations

T2K: first results March 2011 (2 events);

June 14, 2011 (6 events): evidence for  $\theta_{13} \neq 0$  at  $2.5\sigma$ ;

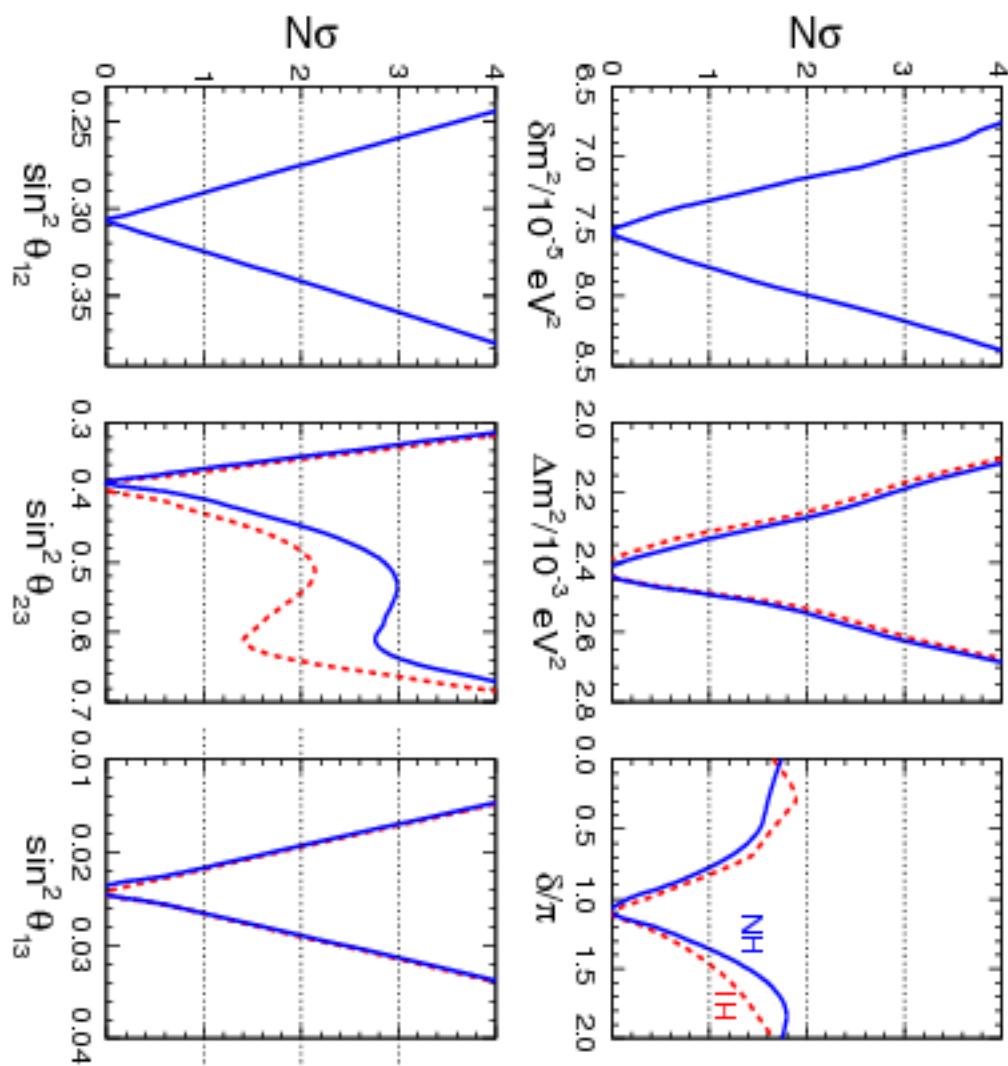
July, 2013 (28 events).

For  $|\Delta m_{23}^2| = 2.4 \times 10^{-3}$  eV $^2$ ,  $\sin^2 2\theta_{23} = 1$ ,  $\delta = 0$ , NO (IO) spectrum:

$\sin^2 2\theta_{13} = 0.14$  (0.17), best fit.

This value is by a factor of  $\sim 1.6$  (1.9) bigger than the b.f. value obtained in the Daya Bay experiment.

## Synopsis of global 3ν oscillation analysis



**Global data: best fit  $\sin^2 \theta_{23} \cong 0.39$ ;**  
 **$\cos \delta = -1$  favored over  $\cos \delta = +1$ ;**

best fit:  $\sin \theta_{13} \cos \delta \cong -0.16$ ;

## Neutrino Mixing: New Symmetry?

- $\theta_{12} = \theta_\odot \cong \frac{\pi}{5.4}$ ,  $\theta_{23} = \theta_{\text{atm}} \cong \frac{\pi}{4}(?)$ ,  $\theta_{13} \cong \frac{\pi}{20}$

$$U_{\text{PMNS}} \cong \begin{pmatrix} \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} & \epsilon \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & -\sqrt{\frac{1}{2}}(?) \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & \sqrt{\frac{1}{2}}(?) \end{pmatrix};$$

Very different from the CKM-matrix!

- $\theta_{12} \cong \pi/4 - 0.19$ ,  $\theta_{13} \cong 0 + \pi/20$ ,  $\theta_{23} \cong \pi/4 - 0.08$ .
- $U_{\text{PMNS}}$  due to new approximate symmetry?

## A Natural Possibility (vast literature):

$$U = U_{\text{lep}}^\dagger(\theta_{ij}^\ell, \psi) Q(\phi, \varphi) U_{\text{tri,bim,LC}} P^0(\alpha_1, \alpha_2),$$

with

$$U_{\text{TBM}} = \begin{pmatrix} \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} & 0 \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & -\sqrt{\frac{1}{2}} \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & \sqrt{\frac{1}{2}} \end{pmatrix}; \quad U_{\text{BM}} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ -\frac{1}{2} & \frac{1}{2} & -\frac{1}{\sqrt{2}} \\ -\frac{1}{2} & \frac{1}{2} & \frac{1}{\sqrt{2}} \end{pmatrix}.$$

- $U_{\text{lep}}^\dagger(\theta_{ij}^\ell, \psi)$  - from diagonalization of the  $l^-$  mass matrix;
- $U_{\text{TBM}, \text{BM}, \dots}$ ,  $P^0(\alpha_{21}, \alpha_{31})$  - from diagonalization of the  $\nu$  mass matrix;
- $Q(\phi, \varphi)$  - from diagonalization of both the  $l^-$  and  $\nu$  mass matrices.

$U_{LC}$ ,  $U_{GRAM}$ ,  $U_{GRBM}$ ,  $U_{HGM}$ :

$$U_{LC} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ -\frac{c_{23}^\nu}{\sqrt{2}} & \frac{c_{23}^\nu}{\sqrt{2}} & s_{23}^\nu \\ \frac{s_{23}^\nu}{\sqrt{2}} & -\frac{s_{23}^\nu}{\sqrt{2}} & c_{23}^\nu \end{pmatrix}; \quad \mu - \tau \text{ symmetry: } \theta_{23}^\nu = \mp\pi/4;$$

$$U_{GR} = \begin{pmatrix} c_{12}^\nu & s_{12}^\nu & 0 \\ -\frac{s_{12}^\nu}{\sqrt{2}} & \frac{c_{12}^\nu}{\sqrt{2}} & -\sqrt{\frac{1}{2}} \\ -\frac{s_{12}^\nu}{\sqrt{2}} & \frac{c_{12}^\nu}{\sqrt{2}} & \sqrt{\frac{1}{2}} \end{pmatrix}; \quad U_{HGM} = \begin{pmatrix} \frac{\sqrt{3}}{2} & \frac{1}{2} & 0 \\ -\frac{1}{2\sqrt{2}} & \frac{\sqrt{3}}{2\sqrt{2}} & -\frac{1}{2\sqrt{2}} \\ -\frac{1}{2\sqrt{2}} & \frac{\sqrt{3}}{2\sqrt{2}} & \frac{1}{2\sqrt{2}} \end{pmatrix}, \quad \theta_{12}^\nu = \pi/6.$$

$U_{GRAM}$ :  $\sin^2 \theta_{12}^\nu = (2+r)^{-1} \cong 0.276$ ,  $r = (1+\sqrt{5})/2$   
**(GR:**  $r/1$ ;  $a/b = a + b/a$ ,  $a > b$ )

$U_{GRBM}$ :  $\sin^2 \theta_{12}^\nu = (3-r)/4 \cong 0.345$ .

- $U_{\text{TBM}}$ :  $s_{12}^2 = 1/3$ ,  $s_{23}^2 = 1/2$ ,  $s_{13}^2 = 0$ ;  $s_{13}^2 = 0$  must be corrected; if  $\theta_{23} \neq \pi/4$ ,  $s_{23}^2 = 0.5$  must be corrected .

- $U_{\text{BM}}$ :  $s_{12}^2 = 1/2$ ,  $s_{23}^2 = 1/2$ ,  $s_{13}^2 = 0$ ;  $s_{13}^2 = 0$ ,  $s_{12}^2 = 1/2$  and possibly  $s_{23}^2 = 1/2$  must be corrected.

$U_{\text{TBM(BM)}}$ : Groups  $A_4$ ,  $S_4$ ,  $T'$ , ... (vast literature)

(Reviews: G. Altarelli, F. Feruglio, arXiv:1002.0211; M. Tanimoto et al., arXiv:1003.3552; S. King and Ch. Luhn, arXiv:1301.1340)

- $U_{\text{GR}}$ : Group  $A_5$ , ...;
- $U_{\text{LC}}$ : alternatively  $U(1)$ ,  $L' = L_e - L_\mu - L_\tau$
- $U_{\text{LC}}$ :  $s_{12}^2 = 1/2$ ,  $s_{13}^2 = 0$ ,  $s_{23}^\nu$  - free parameter;  
 $s_{13}^2 = 0$  and  $s_{12}^2 = 1/2$  must be corrected.

S. T.P., 1982

**None of the symmetries leading to  $U_{\text{TBM}}$ ,  $U_{\text{BM}}$ ,  $U_{\text{LC}}$ ,  $U_{\text{GRM}}$ ,  $U_{\text{HGM}}$  can be exact.**

**Which is the correct approximate symmetry, i.e., approximate form of  $U_{\text{PMNS}}$  (if any)?**

In all cases of  $U_\nu$  given by  $U_{\text{tri}}$ , or  $U_{\text{bim}}$ , or  $U_{\text{LC}}$ , etc. the requisite corrections of some of the mixing angles are small and can be considered as perturbations to the corresponding symmetry values.

**What is the minimal  $U_{\text{lep}}$  providing the requisite corrections to  $U_{\text{TBM}, \text{BM}, \text{LC}, \text{GRM}, \text{HGM}}$ ?**

The simplest case ( $SU(5) \times T'$ ,...)

$U_{\text{lep}} \cong O_{12}^\ell(\theta_{12}^\ell)$ ; now  $Q = \text{diag}(e^{i\varphi}, 1, 1)$ ;

$\sin^\ell \theta_{13}$ ,  $\sin^\ell \theta_{23}$  - negligibly small ( $SU(5) \times T'$ ,...).

$U_{\text{BM(LC)}}: \sin^2 \theta_{12} = \frac{1}{2} + \sin 2\theta_{12}' \sin \theta_{13} \cos \delta$ ,

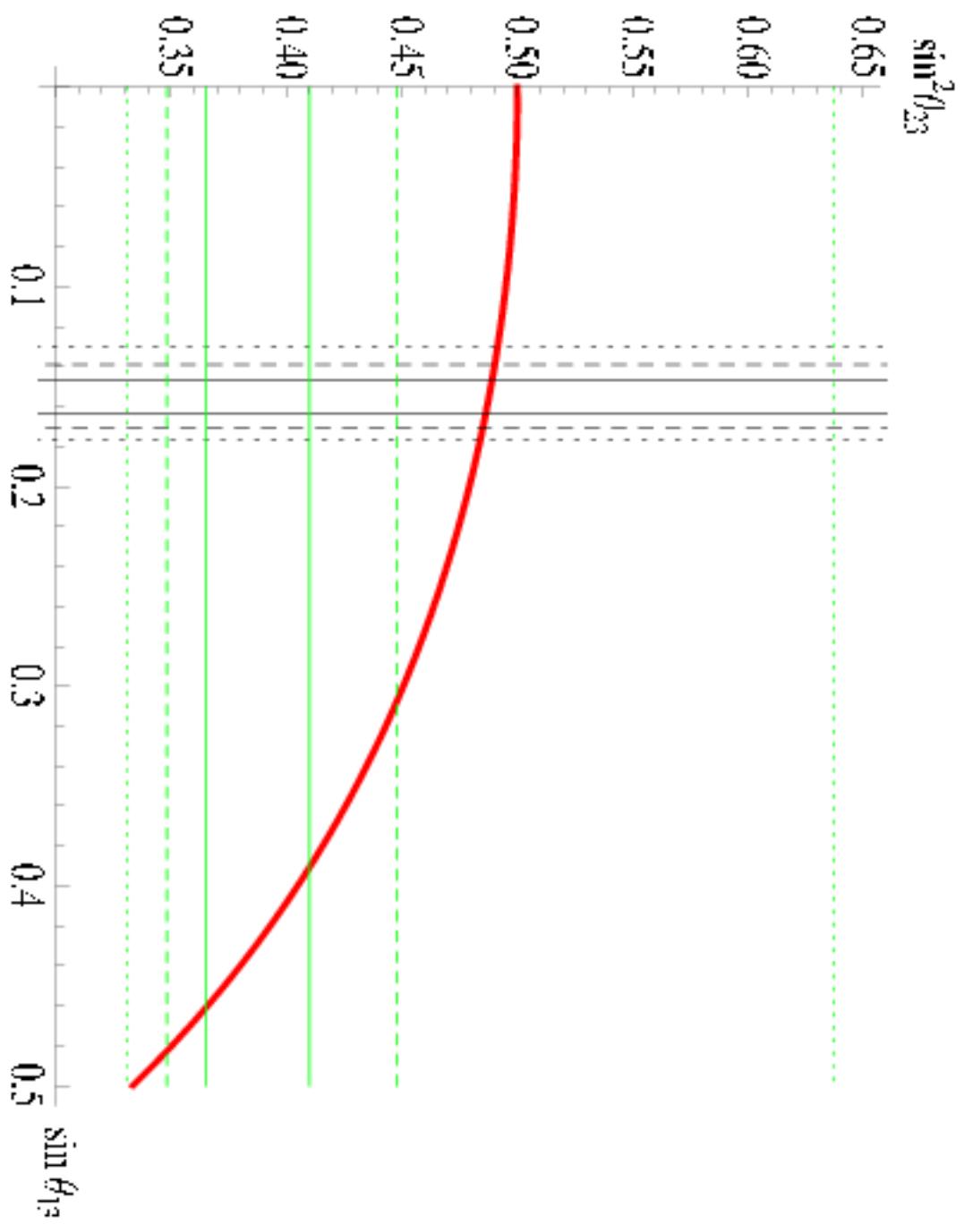
$\delta$  is the Dirac CPV phase;

$U_{\text{BM}}$ : requires  $\cos \delta \cong \mp 1$  as  $\sin 2\theta_{12}' = \pm 1$ .

$U_{\text{TBM}}: \sin^2 \theta_{12} = \frac{1}{3} \mp 2 \frac{\sqrt{2}}{3} \sin \theta_{13} \cos \delta$ .

Problem for  $U_{\text{TBM,BM,GRM,HGM}}$  if  $\sin^2 \theta_{23} \cong 0.42$ :

$$\sin^2 \theta_{23} = \frac{1 - 2 \sin^2 \theta_{13}}{2(1 - \sin^2 \theta_{13})} \cong 0.5(1 - \sin^2 \theta_{13}).$$



Larger correction to  $\sin^2 \theta'_{23} = 0.5$  needed.

Minimal case:  $U_{\text{lep}} \cong U_{\text{lep}}(\theta_{12}^\ell, \theta_{23}^\ell)$ ,

$$Q = \text{diag}(1, e^{-i\psi}, e^{-i\omega}).$$

Two possibilities.

"Standard" Ordering:

$$U_{\text{lep}}(\theta_{12}^\ell, \theta_{23}^\ell) = O_{23}^T(\theta_{23}^\ell) O_{12}^T(\theta_{12}^\ell) \text{ (GUTs typically);}$$

in many theories - a consequence of  $m_e^2 \ll m_\mu^2 \ll m_\tau^2$ .

"Inverse" Ordering:

$$U_{\text{lep}}(\theta_{12}^\ell, \theta_{23}^\ell) = O_{12}^T(\theta_{12}^\ell) O_{23}^T(\theta_{23}^\ell)$$

Results are drastically different in the two cases.

## Standard Ordering

$$U = O_{12}(\theta_{12}^\ell)O_{23}(\theta_{23}^\ell)\text{diag}(1, e^{-i\psi}, e^{-i\omega})O_{23}(\theta_{23}'')O_{12}(\theta_{12}'')\bar{P},$$

$$\bar{P} = \text{diag}(1, e^{i\kappa_1}, e^{i\kappa_2}).$$

Can be shown to be equivalent to:

$$U = O_{12}(\theta_{12}^\ell)\text{diag}(1, e^{i\phi}, 1)O_{23}(\hat{\theta}_{23})O_{12}(\theta_{12}')P^0(\alpha_1, \alpha_2)$$

$$\hat{\theta}_{23} = \hat{\theta}_{23}(\theta_{23}', \psi - \omega, \theta_{23}''), \quad \phi = \phi(\theta_{23}', \psi, \omega, \theta_{23}'').$$

$$\theta_{12}' = \pi/4 \text{ (BIM, LC)} \text{ or } \sin^{-1}(1/\sqrt{3}) \text{ (TBM)}$$

Thus,  $\theta_{12}, \theta_{23}, \theta_{13}, \delta$  - functions of  $\theta_{12}^\ell, \phi, \hat{\theta}_{23}$ .

Expect  $\delta = \delta(\theta_{12}, \theta_{23}, \theta_{13})(!)$

## Standard Ordering

$$U = O_{12}(\theta_{12}^\ell) O_{23}(\theta_{23}^\ell) \text{diag}(1, e^{-i\psi}, e^{-i\omega}) O_{23}(\hat{\theta}_{23}) O_{12}(\theta_{12}'') \bar{P}$$

Can be shown to be equivalent to:

$$U = O_{12}(\theta_{12}^\ell) \text{diag}(1, e^{i\phi}, 1) O_{23}(\hat{\theta}_{23}) O_{12}(\theta_{12}'') P^0(\alpha_1, \alpha_2)$$

Indeed,

$$\begin{aligned} & O_{12}(\theta_{12}^\ell) O_{23}(\theta_{23}^\ell) \text{diag}(1, e^{-i\psi}, e^{-i\omega}) O_{23}(\theta_{23}'') O_{12}(\theta_{12}'') \bar{P} \\ &= O_{12}(\theta_{12}^\ell) Q \text{diag}(1, e^{i\phi}, 1) O_{23}(\hat{\theta}_{23}) \tilde{P} O_{12}(\theta_{12}'') \bar{P} \end{aligned}$$

$$Q = \text{diag}(1, 1, e^{-i\alpha}), \quad \tilde{P} = \text{diag}(1, 1, e^{i\beta}), \quad P^0 = \tilde{P} \bar{P},$$

$\alpha = (\gamma + \psi + \omega)$  - unphysical,

$\beta = (\gamma - \phi)$  - adds to the Majorana phases,

$$\sin^2 \hat{\theta}_{23} = \frac{1}{2}\left(1 - 2\sin\theta_{23}^\ell \cos\theta_{23}^\ell \cos(\omega - \psi)\right),$$

$$\phi = \arg\left(e^{-i\psi}\cos\theta_{23}^\ell + e^{-i\omega}\sin\theta_{23}^\ell\right),$$

$$\gamma = \arg\left(-e^{-i\psi}\cos\theta_{23}^\ell + e^{-i\omega}\sin\theta_{23}^\ell\right),$$

$$P^0 = {\rm diag}(1,e^{i\kappa_1},e^{i(\kappa_2+\beta)}),$$

$$U = O_{12}(\theta_{12}^\ell) {\color{blue}{\rm diag}}(1,e^{i\phi},1) O_{23}(\hat{\theta}_{23}) O_{12}(\theta_{12}'') P^0.$$

$$\sin \theta_{13} = |U_{e3}| = \sin \theta_{12}^\ell \sin \hat{\theta}_{23},$$

$$\sin^2 \theta_{23} = \frac{|U_{\mu 3}|^2}{1 - |U_{e3}|^2} = \sin^2 \hat{\theta}_{23} \frac{\cos^2 \theta_{12}^\ell}{1 - \sin^2 \theta_{12}^\ell \sin^2 \hat{\theta}_{23}},$$

$$\sin^2 \theta_{12} = \frac{|U_{e2}|^2}{1 - |U_{e3}|^2} = \frac{|\sin \theta_{12}' \cos \theta_{12}^\ell + e^{i\phi} \cos \theta_{12}' \cos \hat{\theta}_{23} \sin \theta_{12}^\ell|^2}{1 - \sin^2 \theta_{12}' \sin^2 \hat{\theta}_{23}},$$

$$J_{CP}: \quad \delta \cong -\phi.$$

From first two eqs.:

$$\theta_{12}^\ell = \theta_{12}^\ell(\theta_{13}, \theta_{23}), \quad \hat{\theta}_{23} = \hat{\theta}_{23}(\theta_{13}, \theta_{23});$$

substitute in the third.

$$\sin^2 \theta_{23} = \frac{\sin^2 \hat{\theta}_{23} - \sin^2 \theta_{13}}{1 - \sin^2 \theta_{13}}, \quad \hat{\theta}_{23} \cong \theta_{23}.$$

$$\text{BM, LC: } \sin^2 \theta_{12} = \frac{1}{2} + \frac{1}{2} \frac{\sin 2\theta_{23} \sin \theta_{13} \cos \phi}{1 - \cos^2 \theta_{23} \cos^2 \theta_{13}}$$

$$\text{TBM: } \sin^2 \theta_{12} = \frac{1}{3} \left( 2 + \frac{\sqrt{2} \sin 2\theta_{23} \sin \theta_{13} \cos \phi - \sin^2 \theta_{23}}{1 - \cos^2 \theta_{23} \cos^2 \theta_{13}} \right)$$

$\cos \phi = \cos \phi(\theta_{12}, \theta_{13}, \theta_{23})$ , both for BM, LC and TBM.

Similar results - for GRM and HGM.

Comparing the imaginary and real parts of  $U_{e1}^* U_{\mu 3}^* U_{e3} U_{\mu 1}$   
in the two parametrisations:

- BM, LC cases

$$\sin \delta = - \frac{\sin \phi(\theta_{12}, \theta_{13}, \theta_{23})}{\sin 2\theta_{12}},$$

$$\cos \delta = \frac{\cos \phi}{\sin 2\theta_{12}} \left( \frac{2 \sin^2 \theta_{23}}{\sin^2 \theta_{23} \cos^2 \theta_{13} + \sin^2 \theta_{13}} - 1 \right);$$

$$\cos \delta = - \frac{1}{2 \sin \theta_{13}} \cot 2\theta_{12} \tan \theta_{23} (1 - \cot^2 \theta_{23} \sin^2 \theta_{13}).$$

For  $\sin^2 \theta_{12} \equiv 0.31$ ,  $\sin^2 \theta_{23} \equiv 0.39$ ,  $\sin \theta_{13} \equiv 0.16$   
 $\sin \delta \cong \pm 0.170$ ,  $\cos \delta \cong -0.985$ , i.e.,  $\delta \cong \pi$ .

- TBM case

$$\sin \delta = -\frac{2\sqrt{2}}{3} \frac{\sin \phi}{\sin 2\theta_{12}},$$

$$\cos \delta = \frac{2\sqrt{2}}{3 \sin 2\theta_{12}} \cos \phi \left( -1 + \frac{2 \sin^2 \theta_{23}}{\sin^2 \theta_{23} \cos^2 \theta_{13} + \sin^2 \theta_{13}} \right)$$

$$+ \frac{1}{3 \sin 2\theta_{12}} \frac{\sin 2\theta_{23} \sin \theta_{13}}{\sin^2 \theta_{23} \cos^2 \theta_{13} + \sin^2 \theta_{13}}.$$

$$\cos \delta = \frac{\tan \theta_{23}}{3 \sin 2\theta_{12} \sin \theta_{13}} \times$$

$$[1 + (3 \sin^2 \theta_{12} - 2) (1 - \cot^2 \theta_{23} \sin^2 \theta_{13})].$$

For  $\sin^2 \theta_{12} = 0.31$ ,  $\sin^2 \theta_{23} = 0.39$ ,  $\sin \theta_{13} = 0.16$   
 $\sin \delta \cong \pm 0.999$ ,  $\cos \delta \cong -0.0490$ , i.e.,  $\delta \cong \pi/2$  or  $3\pi/2$ .

In all three cases BM, LC, TBM:

- New sum rules relating  $\theta_{12}, \theta_{13}$ ,  $\theta_{23}$  and  $\delta$ ;
- $J_{CP} = J_{CP}(\theta_{12}, \theta_{23}, \theta_{13}, \delta) = J_{CP}(\theta_{12}, \theta_{23}, \theta_{13})$ .
- BM, LC cases:  $\delta \cong \pi$
- TBM case:  $\delta \cong 3\pi/2$  or  $\pi/2$ ;
- b.f.v. of  $\theta_{ij}$ :  $\delta \cong 265^\circ$  or  $95^\circ$ .
- GRAM case, b.f.v. of  $\theta_{ij}$ :  $\delta \cong 286^\circ$  or  $74^\circ$ .
- GRBM case, b.f.v. of  $\theta_{ij}$ :  $\delta \cong 261^\circ$  or  $99^\circ$ .
- HGM case, b.f.v. of  $\theta_{ij}$ :  $\delta \cong 296^\circ$  or  $64^\circ$ .

- March 8, 2012, Daya Bay:  $5.2\sigma$  evidence for  $\theta_{13} \neq 0$ ,  
 $\sin^2 2\theta_{13} = 0.092 \pm 0.016 \pm 0.005$ .
- April 4, 2012, RENO:  $4.9\sigma$  evidence for  $\theta_{13} \neq 0$ ,  
 $\sin^2 2\theta_{13} = 0.113 \pm 0.013 \pm 0.019$ .
- Nu'2012 (June 4-9, 2012), T2K, Double Chooz:  $3.2\sigma$  and  $2.9\sigma$  evidence for  $\theta_{13} \neq 0$ .
- RENO, 12/09/2013 (TAUP 2013):  
 $\sin^2 2\theta_{13} = 0.100 \pm 0.010$  (*stat.*)  $\pm 0.012$ .
- Daya Bay, 23/08/2013:  
 $\sin^2 2\theta_{13} = 0.090 \pm 0.009$ .

T2K: Search for  $\nu_\mu \rightarrow \nu_e$  oscillations

T2K: first results March 2011 (2 events);

June 14, 2011 (6 events): evidence for  $\theta_{13} \neq 0$  at  $2.5\sigma$ ;

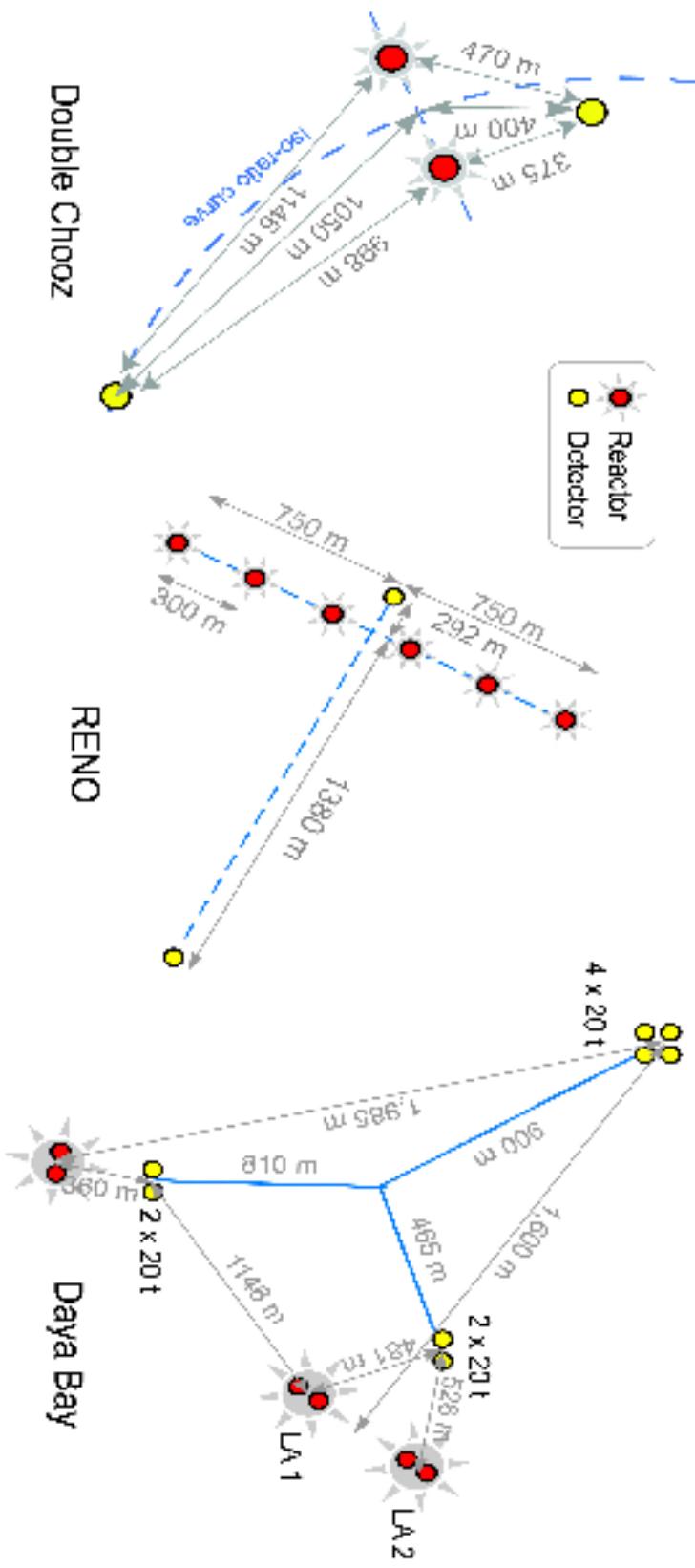
July, 2013 (28 events).

For  $|\Delta m_{23}^2| = 2.4 \times 10^{-3}$  eV $^2$ ,  $\sin^2 2\theta_{23} = 1$ ,  $\delta = 0$ , NO (IO) spectrum:

$\sin^2 2\theta_{13} = 0.14$  (0.17), best fit.

This value is by a factor of  $\sim 1.6$  (1.9) bigger than the b.f. value obtained in the Daya Bay experiment.

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = P_{ee}(\theta_{12}, \theta_{13}, \Delta m_{31}^2, \Delta m_{21}^2)$$



M. Mezzetto, T. Schwetz, arXiv:1003.5800[hep-ph]



$$P(\nu_\mu \rightarrow \nu_e) = P_{\mu e}(\theta_{12}, \theta_{13}, \Delta m_{31}^2, \Delta m_{21}^2, \theta_{23}, \delta)$$

Up to 2nd order in the two small parameters  $|\alpha| \equiv |\Delta m_{21}^2|/|\Delta m_{31}^2| \ll 1$  and  $\sin^2 \theta_{13} \ll 1$ :

$$P_m^{3\nu\ man}(\nu_\mu \rightarrow \nu_e) \cong P_0 + P_{\sin \delta} + P_{\cos \delta} + P_3,$$

$$P_0 = \sin^2 \theta_{23} \frac{\sin^2 2\theta_{13}}{(A-1)^2} \sin^2[(A-1)\Delta],$$

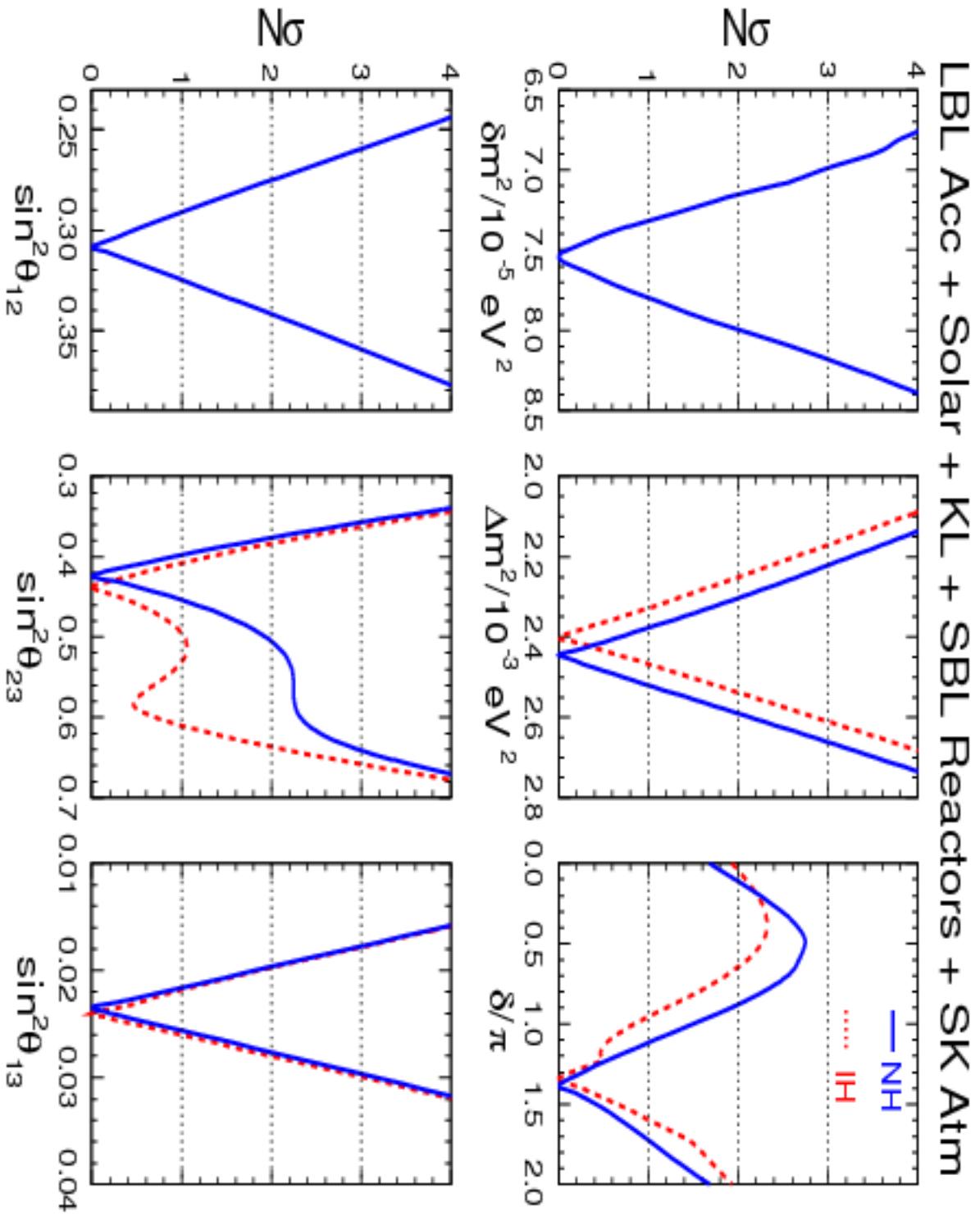
$$P_3 = \alpha^2 \cos^2 \theta_{23} \frac{\sin^2 2\theta_{12}}{A^2} \sin^2(A\Delta),$$

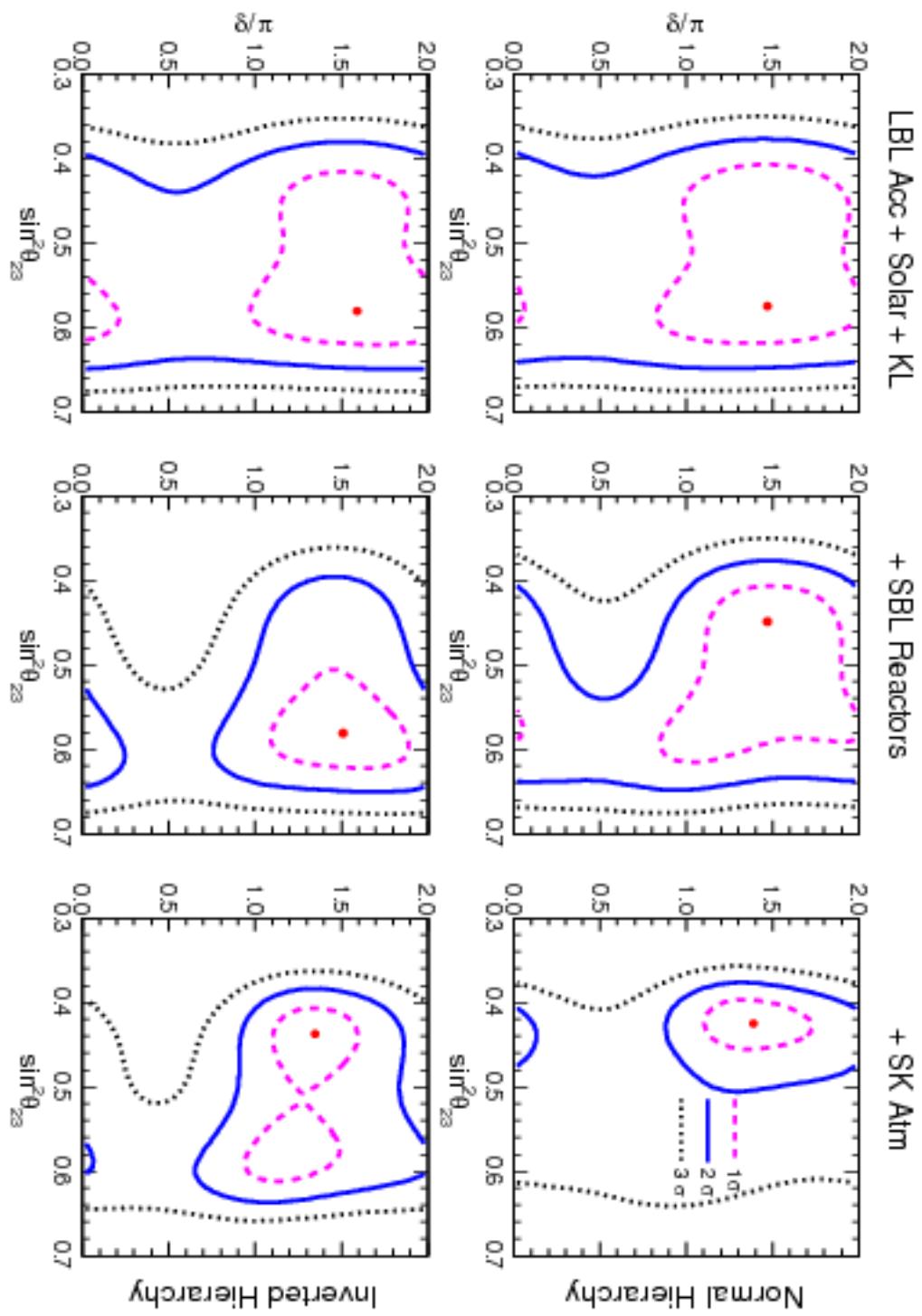
$$P_{\sin \delta} = -\alpha \frac{8 J_{CP}}{A(1-A)} (\sin \Delta) (\sin A\Delta) (\sin[(1-A)\Delta]),$$

$$P_{\cos \delta} = \alpha \frac{8 J_{CP} \cot \delta}{A(1-A)} (\cos \Delta) (\sin A\Delta) (\sin[(1-A)\Delta]),$$

$$\Delta = \frac{\Delta m_{31}^2 L}{4E}, \quad A = \sqrt{2} G_F N_e^{man} \frac{2E}{\Delta m_{31}^2}.$$

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e: \delta, \quad A \rightarrow (-\delta), \quad (-A)$$





**Large  $\sin \theta_{13} \cong 0.16$  (Daya Bay, RENO) - far-reaching implications for the program of research in neutrino physics:**

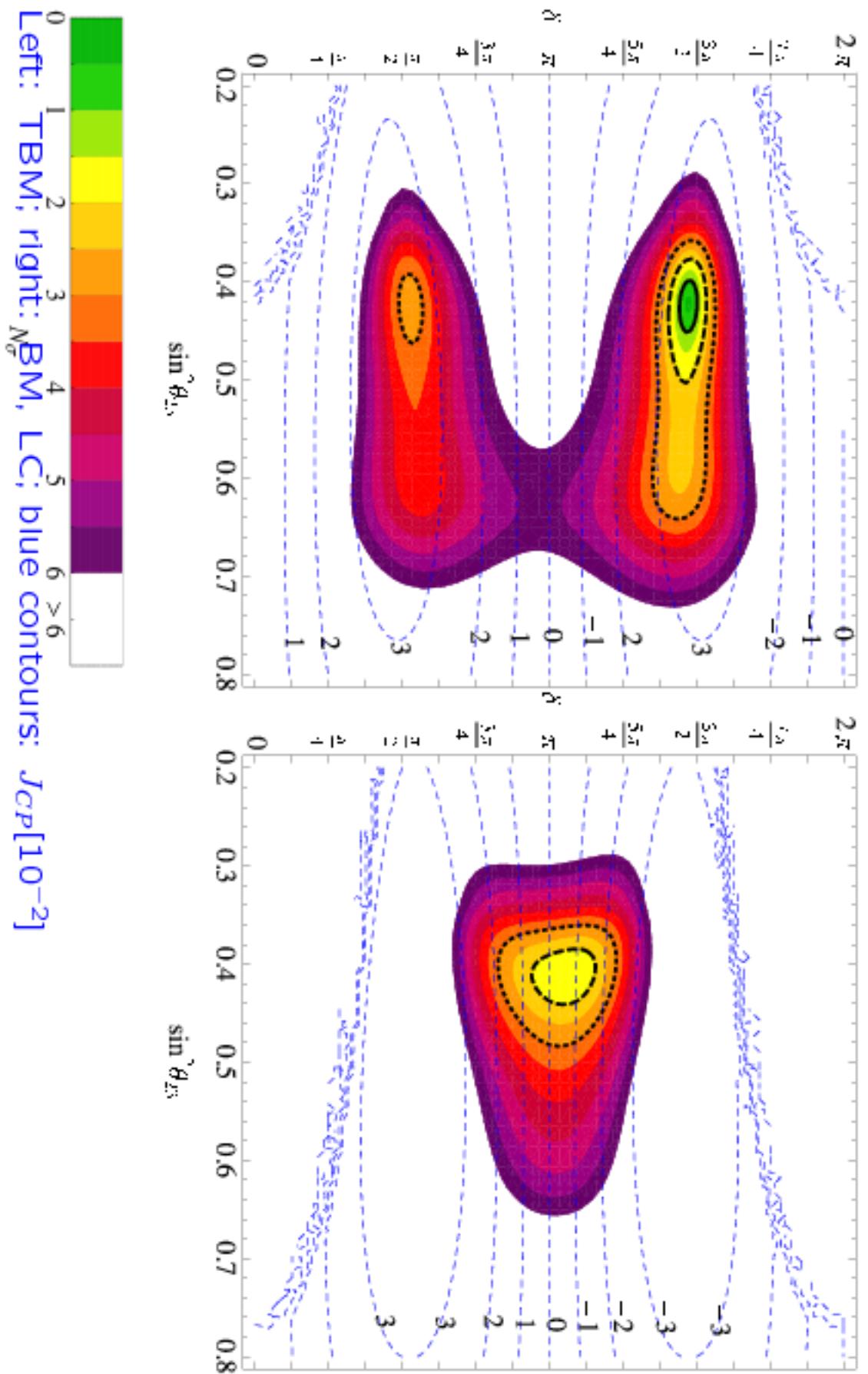
- For the determination of the type of  $\nu$ - mass spectrum (or of  $\text{sgn}(\Delta m^2_{\text{atm}})$ ) in neutrino oscillation experiments.
- For understanding the pattern of the neutrino mixing and its origins (symmetry, etc.).
- For the predictions for the  $(\beta\beta)^{0\nu}$ -decay effective Majorana mass in the case of NH light  $\nu$  mass spectrum (possibility of a strong suppression).

Large  $\sin \theta_{13} \cong 0.16$  (Daya Bay, RENO) +  $\delta = 3\pi/2$  - far-reaching implications:

- For the searches for CP violation in  $\nu$ -oscillations; for the b.f.v. one has  $J_{CP} \cong -0.035$ ;
- Important implications also for the "flavoured" leptonogenesis scenario of generation of the baryon asymmetry of the Universe (BAU).

If all CPV, necessary for the generation of BAU is due to  $\delta$ , a necessary condition for reproducing the observed BAU is

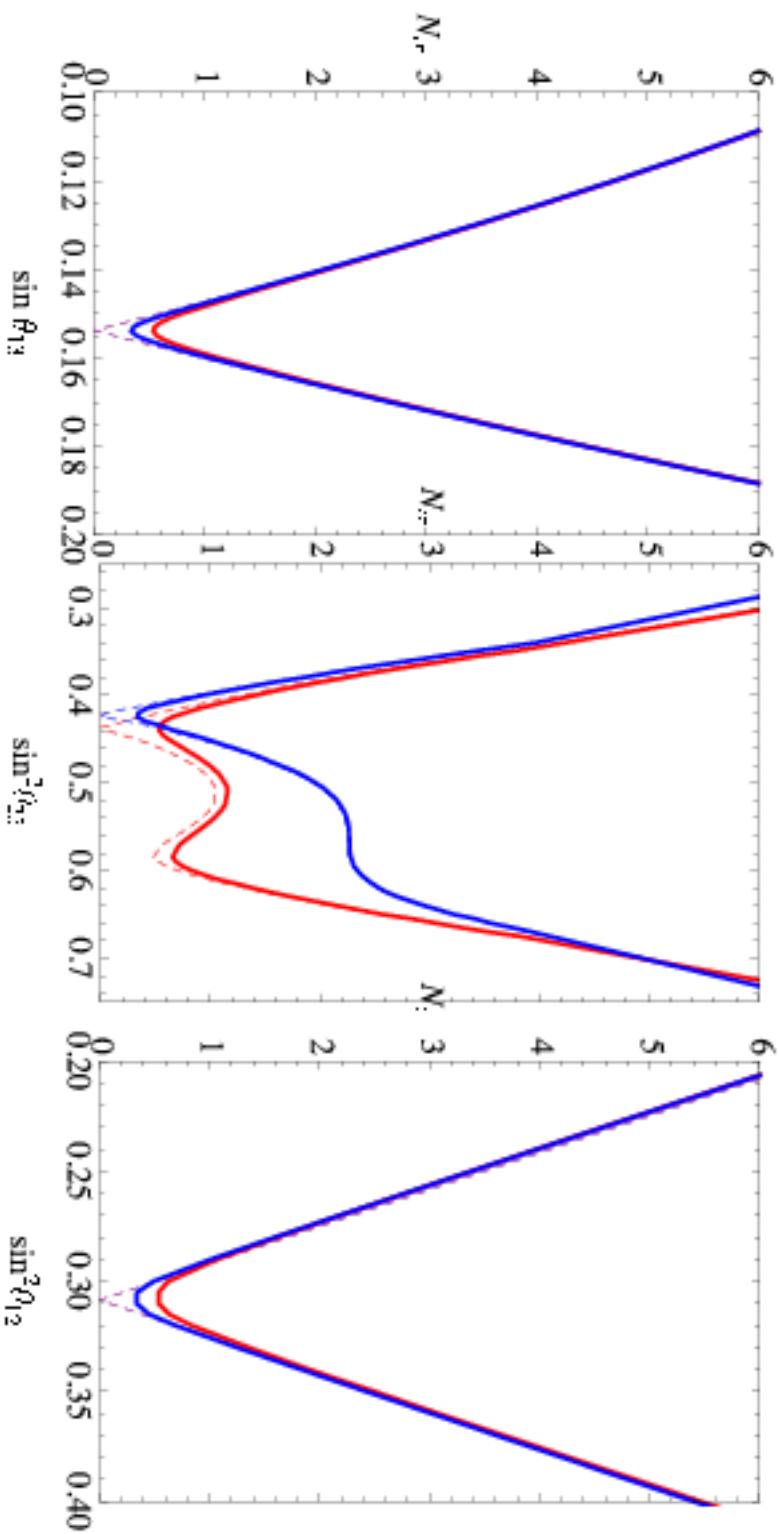
$$|\sin \theta_{13} \sin \delta| \gtrsim 0.09$$



Left: TBM; right:  $N_G^{BFM}$ ; LC; blue contours:  $J_{CP}[10^{-2}]$

0  
1  
2  
3  
4  
5  
6  
 $> 6$

### Standard Ordering - TBM

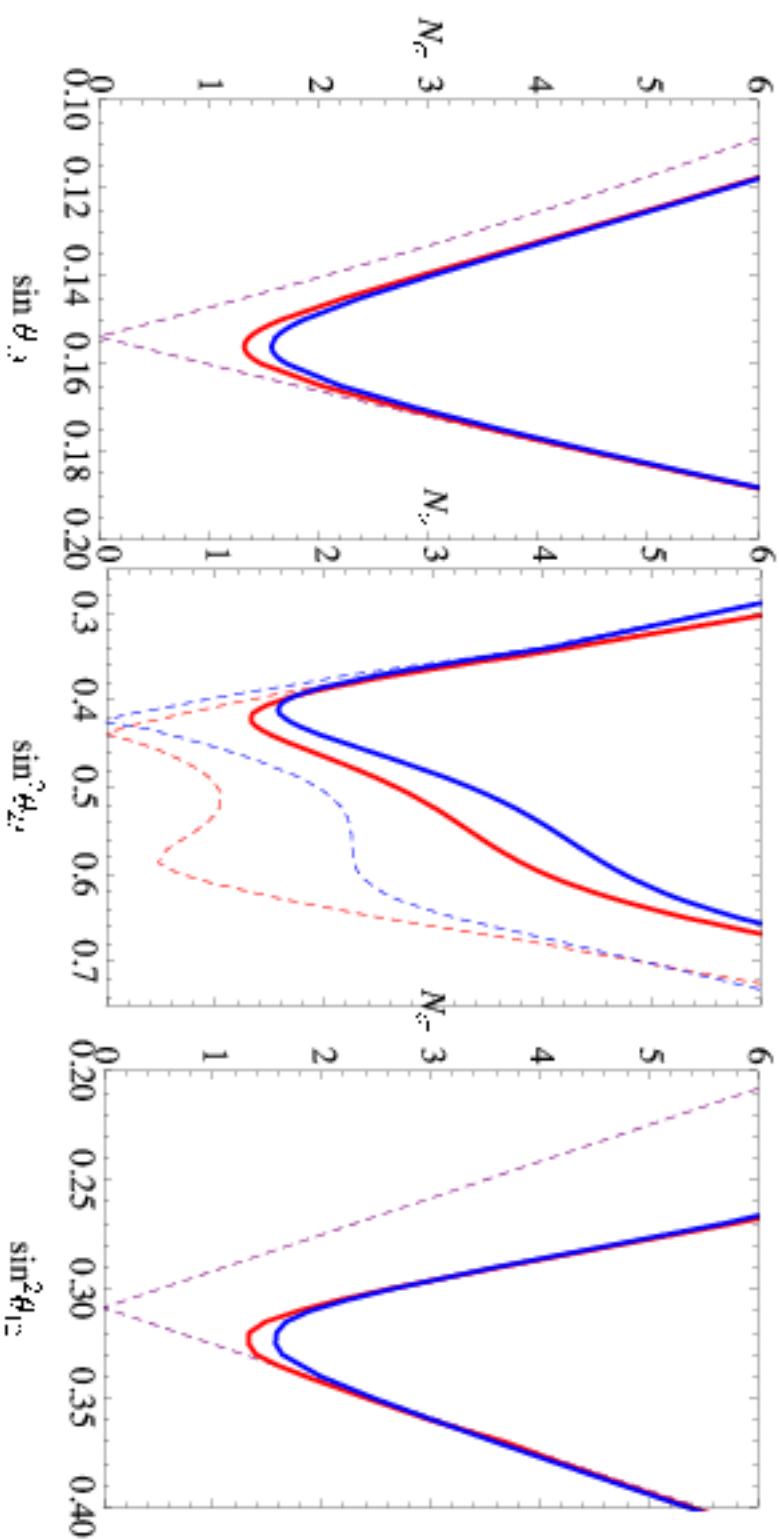


$N_\sigma$  as a function of  $\sin \theta_{13}$ ,  $\sin^2 \theta_{12}$ ,  $\sin^2 \theta_{23}$ .

dashed lines - Fogli et al., solid lines - our analysis.

Blue lines - NH, red lines - IH; NH:  $\sin^2 \theta_{23} \leq 0.5$  at  $\sim 2\sigma$ .

### Standard Ordering - BM, LC

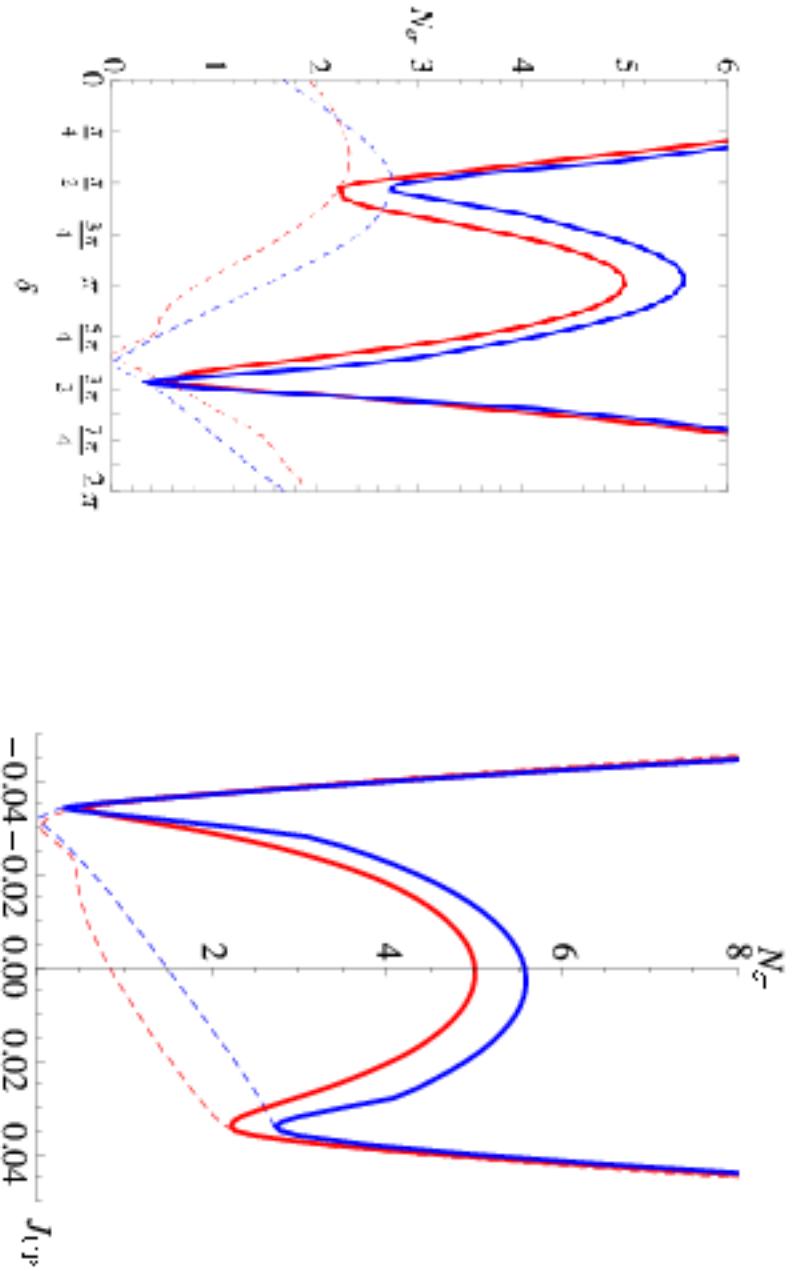


$N_\sigma$  as a function of  $\sin \theta_{13}$ ,  $\sin^2 \theta_{12}$ ,  $\sin^2 \theta_{23}$ .

dashed lines - NH, red lines - IH; NH, IH:  $\sin^2 \theta_{23} \leq 0.5$  at  $\sim 3.0\sigma$ .

Blue lines - NH, red lines - IH; NH, IH:  $\sin^2 \theta_{23} \leq 0.5$  at  $\sim 3.0\sigma$ .

## Standard Ordering - TBM



$N_\sigma$  as a function of  $\delta$ ,  $J_{CP}$ . Blue lines -  $N_H$ , red lines -  $N_{IH}$ .

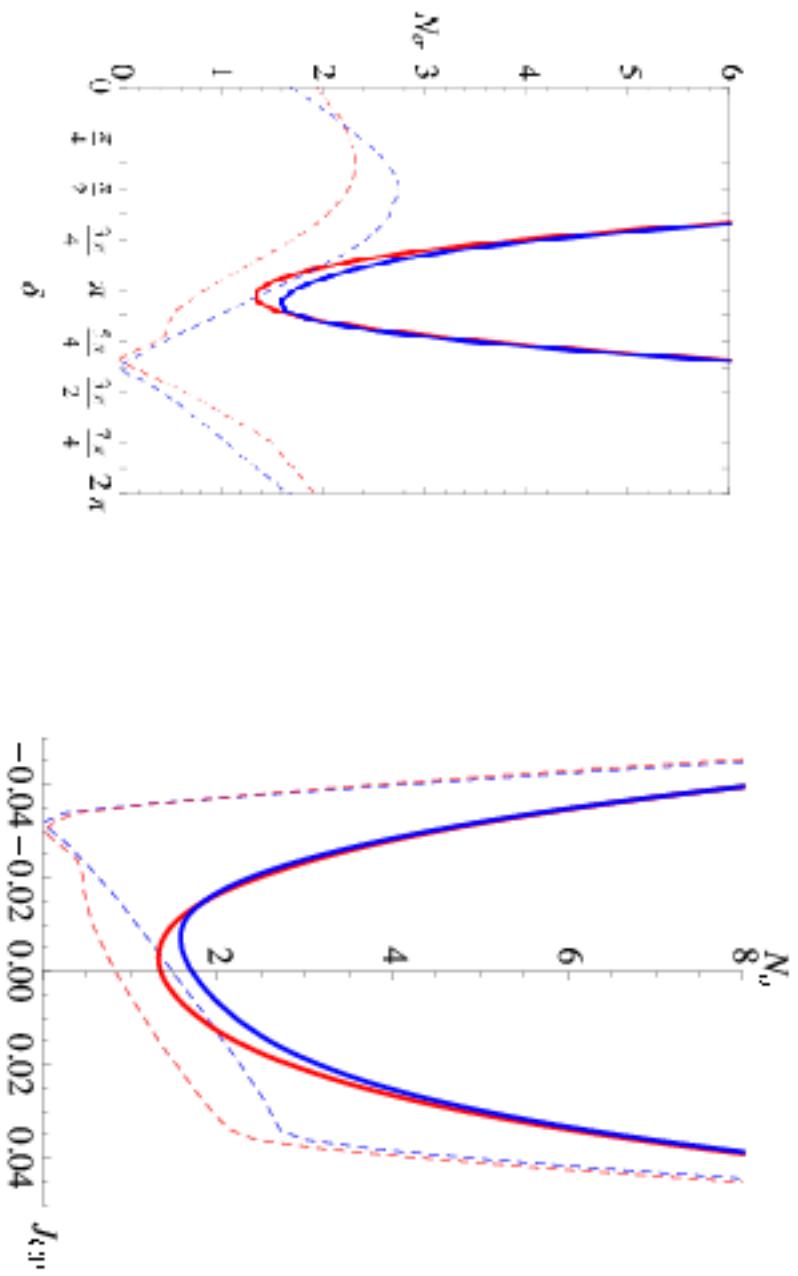
Dashed lines - Fogli et al., solid lines - our analysis.

$J_{CP} \neq 0$  at  $\sim 5\sigma$ ; b.f.v.:  $J_{CP} \cong -0.034$ ,  $NH, IH$ ;

at  $3\sigma$ ,  $NH$ :  $0.027 \lesssim J_{CP} \lesssim 0.037$  or  $-0.038 \lesssim J_{CP} \lesssim -0.028$ ;

at  $3\sigma$ ,  $IH$ :  $0.027 \lesssim J_{CP} \lesssim 0.037$  or  $-0.039 \lesssim J_{CP} \lesssim -0.024$ .

## Standard Ordering - BM, LC



$N_\sigma$  as a function of  $\delta$ ,  $J_{CP}$ . Blue lines - NH, red lines - IH.

Dashed lines - Fogli et al., solid lines - our analysis.

$\text{NH, IH b.f.v.: } J_{CP} \cong 0; \text{ NH, IH, at } 3\sigma: -0.026 \lesssim J_{CP} \lesssim 0.022.$

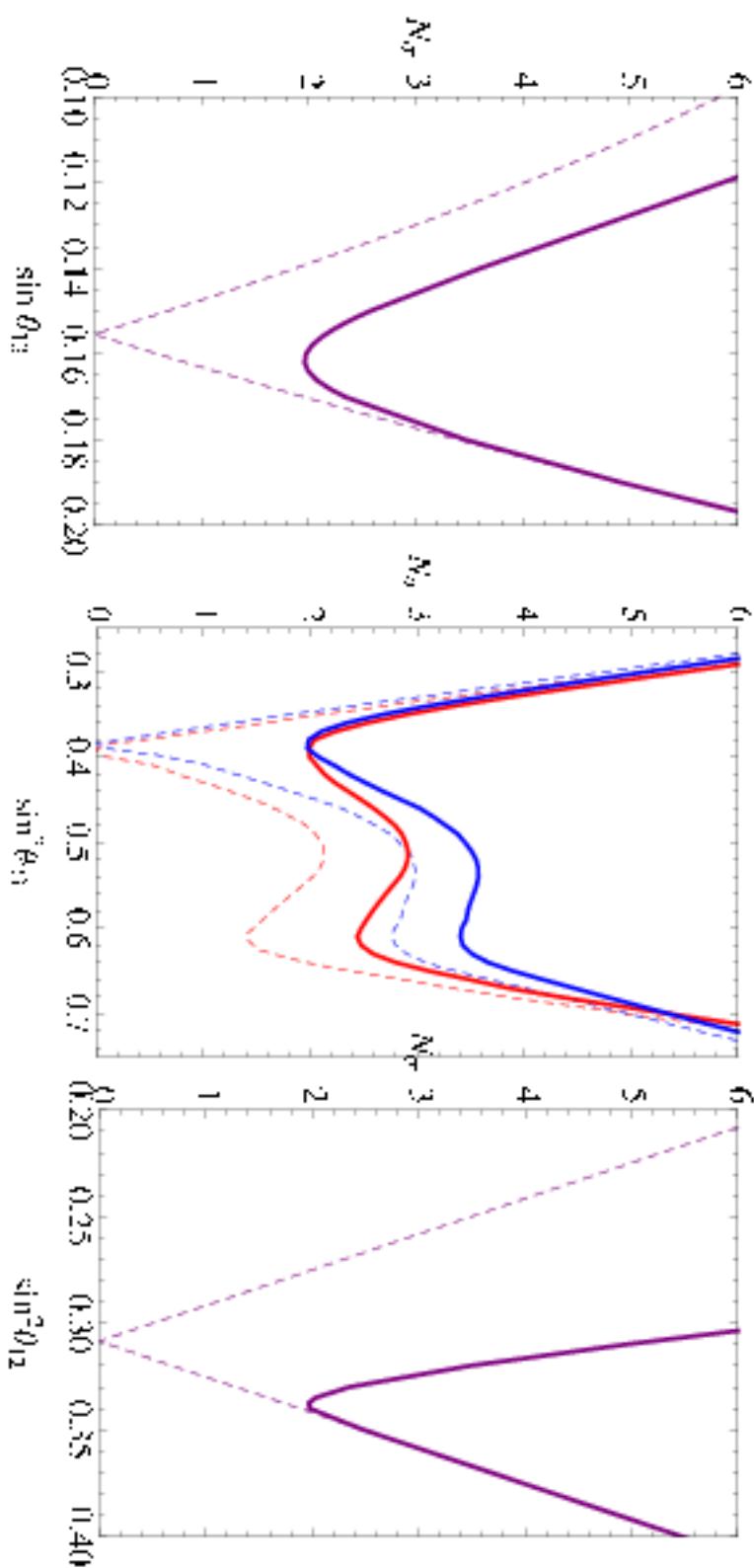
## Inverse Ordering

$$U = O_{23}^T(\theta_{23}^\ell) O_{12}^T(\theta_{12}^\ell) \text{diag}(1, e^{i\tilde{\phi}}, e^{i\varphi}) O_{23}(\theta_{23}'') O_{12}(\theta_{12}'') P$$

Now  $\theta_{12}, \theta_{23}, \theta_{13}, \delta$  - functions of  $\theta_{23}^\ell, \tilde{\phi}, \varphi, \theta_{12}^\ell$ .

TBM: results as in Fogli et al.;  
BM: tension (allowed at  $2\sigma$ ).

## Inverse Ordering - BM



$N_\sigma$  as a function of  $\sin \theta_{13}$ ,  $\sin^2 \theta_{12}$ ,  $\sin^2 \theta_{23}$ .

Dashed lines - Fogli et al., solid lines - our analysis.

Blue lines - NH, red lines - IH.

## Conclusions.

- We have considered a simple scheme for obtaining  $\sin^2 \theta_{13} \cong 0.16$  and  $\sin^2 \theta_{23} \cong 0.4$  from TBM, BM, LC from the charged lepton corrections.  $U_{\text{lep}} \cong U_{\text{lep}}(\theta_{12}^\ell, \theta_{23}^\ell)$  required.
- The results depend strongly on the ordering of the 1-2 and 2-3 rotations in  $U_{\text{lep}}$ .
- Interesting results in the case of "Standard Ordering",  $O_{23}(\theta_{23}^\ell)O_{12}(\theta_{12}^\ell)$ :
  - i) new "sum rules":  $\delta = \delta(\theta_{12}, \theta_{23}, \theta_{13})$ ;
  - ii) BM, LC:  $\delta \cong \pi$ ;
  - iii) TBM:  $\delta \cong 3\pi/2$  (hints from data),  $J_{CP} \neq 0$  at  $5\sigma$ , b.f.v.  $J_{CP} \equiv -0.034$ ;
  - iv)  $\sin^2 \theta_{23} \leq 0.5$  at  $\sim 2\sigma$  ( $\sim 3\sigma$ ), TBM+NH (BM, LC).
- Standard Ordering of  $U_{\text{lep}}$ , TBM, BM, LC: the predictions for  $\sin^2 \theta_{23}$ ,  $\delta$  and  $J_{CP}$  will be tested by the  $\nu$ -oscillation experiments able to determine whether  $\sin^2 \theta_{23} \leq 0.5$  or  $\sin^2 \theta_{23} > 0.5$ , and in the experiments searching for CP violation in neutrino oscillations.