Causality and Hyperbolicity of Lovelock Theories

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Based on arXiv:1406.3379 & work in progress

Causality and Hyperbolicity of Lovelock Theories

- Lovelock Theories
 - = General Relativity + (higher-curvature corrections)
 - ➢ EoM up to 2nd-order derivatives → Avoids ghost instability
 ➢ From string theory?
- GR: Gravitons propagate at the speed of light
- Lovelock: Faster/slower propagation than light
 - Causality in Lovelock theories?
 Does EoM remain hyperbolic?

Causality and Hyperbolicity of Lovelock Theories

- Causality in Lovelock theories?
 - Can we define causality in this theory?
 - Can graviton escape from black hole interior?

- Does EoM remain hyperbolic?
 - Hyperbolic EoM = Wave equation
 - Determined by principal part of EoM

➢GR: EoM guaranteed to be hyperbolic➢Lovelock: ?

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- 1. Introduction
 - Lovelock theories
 - Characteristics
 - Hyperbolicity
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 - Propagation on *plane wave solutions*
 - Propagation around black holes
- 3. Summary

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Introduction: Lovelock theories

• Lovelock theories in *d* dimensions ($p \le (d-1)/2$)

$$\mathcal{L} = R - 2\Lambda - \sum_{p \ge 2} 2k_p \delta_{d_1 \dots d_{2p}}^{c_1 \dots c_{2p}} R_{c_1 c_2}^{d_1 d_2} \dots R_{c_{2p-1} c_{2p}}^{d_{2p-1} d_{2p}}$$
$$= R - 2\Lambda - 8k_2 \left(R^2 - 4R_{ab} R^{ab} + R_{abcd} R^{abcd} \right) + \cdots$$
$$\left(\delta_{d_1 \dots d_n}^{c_1 \dots c_n} \equiv n! \delta_{[d_1}^{c_1} \dots \delta_{d_n]}^{c_n} \right)$$

• EoM = Einstein eq. + correction

$$0 = A^a_{\ b} \equiv G^a_{\ b} + \Lambda \delta^a_{\ b} + B^a_{\ b}$$

where

$$B^a_{\ b} = \sum_{p \ge 2} k_p \delta^{ac_1 \dots c_{2p}}_{bd_1 \dots d_{2p}} R_{c_1 c_2}{}^{d_1 d_2} \dots R_{c_{2p-1} c_{2p}}{}^{d_{2p-1} d_{2p}}$$
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 Propagation of gravitational signals C Propagate on characteristic surface

EoM of
$$\psi$$
: $0 = E(\psi, \partial \psi, \partial^2 \psi)$
= $\frac{\partial E}{\partial(\partial_t^2 \psi)} \partial_t^2 \psi + F(\partial_t \psi, \psi)$

- $\frac{\partial E}{\partial (\partial_t^2 \psi)} \neq 0$: $\frac{\partial_t^2 \psi}{\partial t} \psi$ uniquely determined usual time evolution $\frac{\partial E}{\partial (\partial_t^2 \psi)} = 0$: $\frac{\partial_t^2 \psi}{\partial t}$ non-unique $t = \text{const. surface is characteristic}}$

• $\frac{\partial E}{\partial (\partial_t^2 \psi)} = 0$: $\frac{\partial_t^2 \psi}{\partial t}$ non-unique $\rightarrow t = \text{const. surface is$ *characteristic* $}$

Characteristic surface is a possible wave front



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 Characteristics in Lovelock theories
 [Aragone '87]
 [Characteristics in Lovelock theories [Choquet-Bruhat'88] $E_{ab} \equiv R_{ab} - \frac{2\Lambda}{d-2}g_{ab} + B_{ab} - \frac{1}{d-2}B^{c}{}_{c}g_{ab} = 0$ $P(x,\xi)_{\mu\nu}{}^{\rho\sigma} \equiv \frac{\delta E_{\mu\nu}}{\delta(\partial_t^2 q_{\rho\sigma})} = \frac{\delta E_{\mu\nu}}{\delta(\partial_\alpha \partial_\beta q_{\rho\sigma})} \xi_\alpha \xi_\beta$ $\partial_{\xi}^2 g_{
ho\sigma}$ July 8th, 2014 9

• Characteristics in Lovelock theories

$$E_{ab} \equiv R_{ab} - \frac{2\Lambda}{d-2}g_{ab} + B_{ab} - \frac{1}{d-2}B^{c}{}_{c}g_{ab} = 0$$

$$P(x,\xi)_{\mu\nu}{}^{\rho\sigma} \equiv \frac{\delta E_{\mu\nu}}{\delta(\partial_{t}^{2}g_{\rho\sigma})} = \frac{\delta E_{\mu\nu}}{\delta(\partial_{\alpha}\partial_{\beta}g_{\rho\sigma})}\xi_{\alpha}\xi_{\beta}$$

[Aragone '87]

✓ Characteristic ⇔ det P = 0

$$\begin{split} \checkmark (P \cdot t)_{ab} &= (P_{GR} \cdot t)_{ab} + (\mathcal{R} \cdot t)_{ab} \qquad (t_{ab}: \text{symmetric}) \\ (P_{GR} \cdot t)_{ab} &= -\frac{1}{2} \xi^2 t_{ab} + \xi^c \xi_{(a} t_{b)c} - \frac{1}{2} \xi_a \xi_b t^c_{\ c} \\ (\mathcal{R} \cdot t)^a{}_b &= -\sum_{p \ge 2} 2p k_p \delta^{ac_1 \dots c_{2p}}_{bd_1 \dots d_{2p}} \xi_{c_1} \xi^{d_1} t_{c_2}{}^{d_2} R_{c_3 c_4}{}^{d_3 d_4} \dots R_{c_{2p-1} c_{2p}}{}^{d_{2p-1} d_{2p}} \\ &+ \frac{1}{d-2} \delta^a_b \sum_{p \ge 2} 2p k_p \delta^{ec_1 \dots c_{2p}}_{ed_1 \dots d_{2p}} \xi_{c_1} \xi^{d_1} t_{c_2}{}^{d_2} R_{c_3 c_4}{}^{d_3 d_4} \dots R_{c_{2p-1} c_{2p}}{}^{d_{2p-1} d_{2p}} \end{split}$$

• Characteristics in GR

det
$$P = 0 \implies (P_{GR} \cdot t)_{ab} = -\frac{1}{2}\xi^2 t_{ab} + \xi^c \xi_{(a} t_{b)c} - \frac{1}{2}\xi_a \xi_b t^c{}_c = 0$$

✓ Gauge modes: $(P \cdot t)$ invariant under

$$t_{ab}
ightarrow t_{ab} + \xi_{(a} X_{b)}$$
 for any X_a .

If \$\xi\$ is not null, \$t_{ab} = \xi_{(a} X_{b)}\$ for some \$X_a\$ → Pure gauge modes \$\times\$ \$d\$
If \$\xi\$ is null, $\begin{aligned} &\xi^c \xi_{(a} t_{b)c} - \frac{1}{2} \xi_a \xi_b t^c{}_c = 0 \\ &\Rightarrow &\xi^b t_{ab} - \frac{1}{2} \xi_a t^c{}_c = 0 \\ &\Rightarrow & \text{Constraints} \times d \end{aligned}$ $\therefore \text{ Physical modes with null ξ, $\frac{1}{2} d(d+1) - d - d = \frac{1}{2} d(d-3)$ modes}$

• Characteristics in Lovelock theories

[Aragone '87] [Choquet-Bruhat'88]

$$\det P = 0 \Rightarrow (P \cdot t)_{ab} = (P_{GR} \cdot t)_{ab} + (\mathcal{R} \cdot t)_{ab} = 0$$

For ξ is not null, t_{ab} = (non-gauge part) + (gauge part)

$$t_{ab} = \hat{t}_{ab} + \xi_{(a}X_{b)} \quad \text{s.t.} \quad \xi^b \hat{t}_{ab} - \frac{1}{2}\xi_a \hat{t}^c{}_c = 0$$
$$\Rightarrow \quad \frac{1}{2}\xi^2 \hat{t} = \mathcal{R}(x,\xi) \cdot \hat{t}$$

 \succ If ξ is null, solve in null coordinates $\xi_0 = 0 = \xi_i, \ \xi_1 = 1$

$$\begin{cases} \frac{1}{2}t_{00} + (\mathcal{R} \cdot t)_{01} = 0 & \frac{1}{2}t_{0i} + (\mathcal{R} \cdot t)_{1i} = 0 \\ (\mathcal{R} \cdot t)_{ij} = 0 & -\frac{1}{2}t_{ii} + (\mathcal{R} \cdot t)_{11} = 0 \end{cases}$$

Introduction: Hyperbolicity

- Hyperbolicity
 - = "Initial value problem is *well-posed*"
 - = "Unique solution exists locally for good initial data" "Solution depends on initial data continuously"
 - = Σ : (*d*-1)-dim. initial surface
 - "Any (d-2)-dim. surface S in Σ has

d(d-3) physical characteristic surfaces from S "



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Questions

1. Can graviton escape from black hole interior?

- 2. Propagation on *plane wave solutions*
- Propagation *around black holes*

 - Does it obey causality?
 Is hyperbolicity maintained?

Summary

Characteristics in Lovelock theories

- Can graviton escape from black hole interior?
 → No: Killing horizon is characteristic surface
- 2. Propagation on *Ricci-flat type N spacetimes*
 - ✓ Characteristics = Null w.r.t. effective metrics
 - ✓ Causality w.r.t. the largest cone
- 3. Propagation *around black holes*
 - ✓ Characteristics = Null w.r.t. effective metrics
 - ✓ Hyperbolicity violation near small BH horizons
- **?**: Does <u>hyperbolicity</u> occur in generic time evolution?
- Propagation of discontinuity in this theory
 → Shock formation due to nonlinearity?

- 1. "Can graviton escape from black hole interior?"
- ⇔ "Is an event horizon characteristic for any mode?"
- ≈ "Is a Killing horizon characteristic for any mode?"
 - ✓ GR: All characteristics are null
 → Killing horizon is a characteristic
 - ✓ GR + Gauss-Bonnet correction:
 Killing horizon shown to be a characteristic
 [Izumi '14]
 - ✓ Lovelock: ?

- 1. "Can graviton escape from black hole interior?"
- ⇔ "Is an event horizon characteristic for any mode?"
- ≈ "Is a Killing horizon characteristic for any mode?"
 - Killing horizon $\Rightarrow R_{0i0j} = R_{0ijk} = 0$ in null coordinates
 - Assuming null $\boldsymbol{\xi}$, count the number of solutions of

$$\begin{cases} \frac{1}{2}t_{00} + (\mathcal{R} \cdot t)_{01} = 0 & \frac{1}{2}t_{0i} + (\mathcal{R} \cdot t)_{1i} = 0 \\ (\mathcal{R} \cdot t)_{ij} = 0 & -\frac{1}{2}t_{ii} + (\mathcal{R} \cdot t)_{11} = 0 \end{cases}$$

✓ Assume $t_{00} = t_{0i} = 0 \implies \text{Only} -\frac{1}{2}t_{ii} + (\mathcal{R} \cdot t)_{11} = 0$ remains

$$\therefore \quad \frac{1}{2}d(d+1) - d - (1+d-2) - 1 = \frac{1}{2}d(d-3) \text{ modes}$$

... A Killing horizon is characteristic for any mode.

2. Propagation on *plane wave solutions*

More generally, we consider *Ricci-flat type N spacetimes*

as backgrounds.

• Null basis
$$\begin{cases}
(e_0)^a = \ell^a \\
(e_1)^a = n^a \\
(e_i)^a = m^a
\end{cases}$$

$$\begin{pmatrix}
\ell \cdot \ell = 0 = n \cdot n \\
\ell \cdot n = 1 \\
n^a \quad \ell^a \\
(m_i)^a
\end{cases}$$

Ricci-flat type N spacetimes: Only non-vanishing component of Riemann tensor is

$$R_{1i1j} \equiv \Omega_{ij}$$
 symmetric traceless

2. Propagation on *plane wave solutions*

Ricci-flat type N spacetimes:
 Only non-vanishing component of Riemann tensor is

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 symmetric traceless

 \boldsymbol{u}

- \checkmark Solution of Lovelock theories if $\Lambda=0$
- ✓ Example: Plane wave solution [Boulware-Deser '85]

$$ds^{2} = a_{ij}(u)x^{i}x^{j}du^{2} + 2dudv + \delta_{ij}dx^{i}dx^{j}$$

 $a_{ii}(u)$: Symmetric traceless

$$(e_0)^a = \ell^a = (\partial/\partial v)^a$$

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2. Propagation on *plane wave solutions*

Ricci-flat type N spacetimes:
 Only non-vanishing component of Riemann tensor is

$$R_{1i1j} \equiv \Omega_{ij}$$
 symmetric traceless

- \checkmark Solution of Lovelock theories if $\Lambda=0$
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$$ds^{2} = a_{ij}(u)x^{i}x^{j}du^{2} + 2dudv + \delta_{ij}dx^{i}dx^{j}$$

 \succ Assume a_{ii} to be constant for simplicity

$$\Rightarrow R_{1i1j} \propto a_{ij}$$

Proposition:

Characteristic surfaces are null w.r.t. "effective metrics":

$$G_I^{ab} = g^{ab} + \omega_I \ell^a \ell^b \quad (I = 1, \dots, d(d-3)/2)$$

 $\checkmark \omega_I$: Functions of Ω_{ij}



Proposition:

Characteristic surfaces are null w.r.t. "effective metrics":

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Key points:

- $(\mathcal{R} \cdot t)^{\mu}{}_{\nu}$ simplifies: $(\mathcal{R} \cdot t)^{\mu}{}_{\nu} = 16k_2 \left(-\delta^{\mu\rho_1\rho_21i}_{\nu\sigma_1\sigma_20j} \xi_{\rho_1} \xi^{\sigma_1} t_{\rho_2}{}^{\sigma_2} \Omega_{ij} + \frac{1}{d-2} \delta^{\mu}_{\nu} \delta^{k\rho_1\rho_21i}_{k\sigma_1\sigma_20j} \xi_{\rho_1} \xi^{\sigma_1} t_{\rho_2}{}^{\sigma_2} \Omega_{ij} \right)$
- Non-null characteristics satisfies $\frac{1}{2}\xi^2 \hat{t} = \mathcal{R}(x,\xi)\cdot\hat{t}$
 - \Rightarrow Eigenvalue eq. $\mathcal{R}(x,\xi) \cdot \hat{t} = T^{ab}\xi_a\xi_b\hat{t}$ gives

$$0 = \xi^2 - T^{ab}\xi_a\xi_b = \left(g^{ab} - T^{ab}\right)\xi_a\xi_b$$

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- Eigenvalue eq. for $\mathcal{R}(x,\xi) \cdot t$
 - $\begin{array}{ll} \blacktriangleright \text{ Gauge modes:} & t_{ab} = \xi_{(a} X_{b)} \\ \blacktriangleright \text{ Zero eigenvalue modes:} \begin{cases} t_{ab} = \ell_{(a} X_{b)} \\ t_{ij} = \hat{t}_{ij} + \alpha \delta_{ij}, & t_{0\mu} = 0 = t_{1\mu} \end{cases}$

Non-zero eigenvalue modes:

$$t_{ab} = 2t_{01}\ell_{(a}n_{b)} + t_{ij}m_{ia}m_{ib} \qquad (t_{ii} = 0)$$

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$$\Box = \begin{bmatrix} (\mathcal{R} \cdot t)_{01} = \frac{16k_2(d-4)}{d-2} \left(\frac{1}{2}t_{01}\xi^i\xi^j + \xi_0^2t^{ij}\right)\Omega_{ij} \\ (\mathcal{R} \cdot t)_{ij} = 16k_2 \ \xi_0^2 \ \mathcal{O}(t)_{ij} \\ \end{bmatrix}$$
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$$\begin{bmatrix} \mathcal{O}(t)_{ij} = t_{ik}\Omega_{kj} + t_{jk}\Omega_{ki} - \frac{2}{d-2}t_{kl}\Omega_{kl}\delta_{ij} \end{bmatrix}$$

• Eigenvalue eq. for
$$\mathcal{R}(x,\xi) \cdot t$$

$$\mathcal{O}(t)_{ij} = \nu_I t_{ij} \quad \Rightarrow \quad (\mathcal{R} \cdot t)_{ij} = -\frac{1}{2} \xi_0^2 \, \omega_I \, t_{ij}$$
$$(I = 1, \dots, d(d-3)/2) \qquad (\omega_I = -32k_2\nu_I)$$

Non-zero eigenvalue modes:

$$t_{ab} = 2t_{01}\ell_{(a}n_{b)} + t_{ij}m_{ia}m_{ib} \qquad (t_{ii} = 0)$$

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$$\square \square \left[\begin{array}{c} (\mathcal{R} \cdot t)_{01} = \frac{16k_2(d-4)}{d-2} \left(\frac{1}{2} t_{01} \xi^i \xi^j + \xi_0^2 t^{ij} \right) \Omega_{ij} \\ (\mathcal{R} \cdot t)_{ij} = 16k_2 \ \xi_0^2 \ \mathcal{O}(t)_{ij} \\ \end{array} \right]_{2014} \qquad \left[\begin{array}{c} \mathcal{O}(t)_{ij} = t_{ik} \Omega_{kj} + t_{jk} \Omega_{ki} - \frac{2}{d-2} t_{kl} \Omega_{kl} \delta_{ij} \end{array} \right]$$

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- Non-null characteristics satisfies $\frac{1}{2}\xi^2 \hat{t} = \mathcal{R}(x,\xi) \cdot \hat{t}$
- $(\mathcal{R} \cdot t)_{ij} = -\frac{1}{2}\xi_0^2 \omega_I t_{ij}$ $\implies 0 = \xi^2 + \omega_I \xi_0^2 = (g^{ab} + \omega_I \ell^a \ell^b) \xi_a \xi_b$ $\equiv G_I^{ab} \xi_a \xi_b \qquad (I = 1, \dots, d(d - 3)/2)$



• Static, maximally symmetric black holes

$$ds^{2} = -f(r)dt^{2} + f(r)^{-1}dr^{2} + r^{2}d\Sigma^{2}$$

 $\succ \Sigma$: (*d*-2)-dim space with constant curvature $\kappa = +1, 0, -1$

$$\succ f(r) = \kappa - r^2 \psi(r)$$

 $\succ \psi(r)$ satisfies an algebraic equation

$$W[\psi] \equiv -\sum_{p \ge 2} \left[2^{p+1} k_p \left(\prod_{k=1}^{2p-2} (d-2-k) \right) \psi^p \right] + \psi - \frac{2\Lambda}{(d-1)(d-2)} = \frac{\mu}{r^{d-1}}$$

• Static, maximally symmetric black holes

$$ds^{2} = -f(r)dt^{2} + f(r)^{-1}dr^{2} + r^{2}d\Sigma^{2}$$

• Orthonormal basis – $\begin{bmatrix} e_0 = -f^{1/2}dt \\ e_1 = f^{-1/2}dr \\ e_i = (\text{Orthonormal in }\Sigma) \end{bmatrix}$

•
$$\begin{cases} R_{IJKL} = R_1(r) \left(\eta_{IK} \eta_{JL} - \eta_{IL} \eta_{JK} \right) \\ R_{IiJj} = R_2(r) \eta_{IJ} \delta_{ij} \\ R_{ijkl} = R_3(r) \left(\delta_{ik} \delta_{jl} - \delta_{il} \delta_{jk} \right) \end{cases}$$
 η_{IJ} : 2 dim Minkowsk δ_{ij} : metric of Σ

Proposition:

Characteristic surfaces are null w.r.t. "effective metrics":

$$G^{A}_{\mu\nu}dx^{\mu}dx^{\nu} = -f(r)dt^{2} + f(r)^{-1}dr^{2} + \frac{r^{2}}{c_{A}(r)}d\Sigma^{2}$$

✓ A : Tensor, Vector, Scalar modes ✓ $c_A(r)$: (Propagation speed)² in Σ directions

$$\begin{pmatrix} 0 = \det P(x,\xi) = \left(G_S^{ab}(x)\xi_a\xi_b\right)^{p_S} \left(G_V^{cd}(x)\xi_c\xi_d\right)^{p_V} \left(G_T^{ef}(x)\xi_e\xi_f\right)^{p_T} \\ p_S + p_V + p_T = d(d-3)/2 \end{pmatrix}$$

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 $\begin{array}{c} \checkmark \text{ Read out from perturbation equations} \\ 0 = \frac{\delta E_{\mu\nu}}{\delta(\partial_{\alpha}\partial_{\beta}g_{\rho\sigma})} \partial_{\alpha}\partial_{\beta}\delta g_{\rho\sigma} + \cdots \implies \frac{\delta E_{\mu\nu}}{\delta(\partial_{\alpha}\partial_{\beta}g_{\rho\sigma})}\xi_{\alpha}\xi_{\beta} = P(x,\xi) \\ \left(-\frac{\partial^{2}}{\partial t^{2}} + \frac{\partial^{2}}{\partial r_{*}^{2}} - V_{l}(r)\right)\Psi_{l}(t,r) = 0 \implies \left(-\frac{\partial^{2}}{\partial t^{2}} + \frac{\partial^{2}}{\partial r_{*}^{2}} + \frac{f(r)c_{A}(r)D^{2}}{r^{2}}\right)\Psi \equiv f(r)G_{A}^{\mu\nu}\partial_{\mu}\partial_{\nu}\Psi \\ \uparrow \qquad \uparrow \qquad \left(\begin{array}{c} \left[\text{Dotti-Gleiser '05}\right] \\ \left[\text{Konoplya-Zhidenko '08}\right] \\ \left[\text{Takahashi-Soda '09, '10}\right] \end{array}\right) V_{l}(r) \Rightarrow \frac{l^{2}}{r^{2}} \simeq -\frac{1}{r^{2}}D^{2} \end{array} \right)$











• Small BH limit ($r_h \rightarrow 0, k_n$ fixed)

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P: Highest order of Lovelock term ($d \ge 2P+1$)

$$d = 2P+1 \quad \Leftrightarrow \quad \left\{ \begin{array}{l} c_T(r_0) = \frac{3}{2P-3} + \mathcal{O}(r_0^2) \\ c_V(r_0) = \mathcal{O}(r_0^4) & < 0 \\ c_S(r_0) = -\frac{3}{2P-1} + \mathcal{O}(r_0^2) & < 0 \end{array} \right\}$$
$$d \neq 2P+1 \quad \Leftrightarrow \quad \left\{ \begin{array}{l} c_T(r_h) = \frac{d-1-3P}{(d-4)P} + \mathcal{O}(r_h^2) < 0 \text{ for } d < 1+3P \\ c_V(r_h) = \frac{d-1-2P}{(d-3)P} + \mathcal{O}(r_h^2) \\ c_S(r_h) = \frac{d-1-P}{(d-2)P} + \mathcal{O}(r_h^2) \end{array} \right\}$$

• $c_A < 0 \Rightarrow$ Violation of hyperbolicity

- Interpretations
 - 1. $\omega^2 = -\alpha^2 l^2 \Rightarrow \text{Instability} \propto \exp(\alpha lt)$
 - 2. Initial value problem is not well-posed

$$\delta g_{\mu\nu}(t,r,x) \sim e^{-\sqrt{l}} e^{\alpha l t} = \left\{ \begin{array}{l} \bullet t = 0 \Rightarrow \delta g, \partial^n \delta g = 0 \\ \bullet t > 0 \Rightarrow \delta g \to \infty \end{array} \right.$$

$$\bullet t > 0 \Rightarrow \delta g \to \infty$$

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