

(I) 20 min: General Introduction

(II) 50 min: more details

ABSTRACT: "I will discuss  
the presence of a previously

unrecognized  $+T \rightarrow -T$  ~~symmetry~~ (T-reflection)

symmetry for the partition function of a number of physically  
interesting quantum field theories. T-reflection symmetry turns out to  
have several striking consequences. For instance, it helps reveal that  
the confining-phase partition functions of infinite- $N$  gauge theories on  
 $S^3 \times S^1$  have very simple modular properties, and suggest a  
remarkable 4d-2d spectral equivalence. T-reflection symmetry  
also plays a key role in the discovery of a universal spectral  
sum rule in those confining gauge theories, which forces their  
infinite- $N$  Casimir vacuum energies to vanish."

TITLE: "Surprises in gauge A

theories: temperature-reflection symmetry,  
hidden modular properties, and vacuum  
energies."



Talk @ IPMU, for the  
Math-Strings Group Meeting/Seminar,  
12 May 2015 [Tuesday]

## Part (I): General Introduction:

(B)

- Thank you for coming today!

- Overall structure of whole talk:

### ① Part I: the broad sweep of the ideas

(Q1) Idea of T-reflection & Eqs. (1) & (2), i.e.:

$$\left. \begin{aligned} (1) \quad Z_F(\beta) &= e^{i\gamma} Z(-\beta), \text{ w/ } Z(\beta) = \sum_n d_n e^{-\beta E_n} = \text{Tr}(e^{-\beta H}) \\ (2) \quad Z_\Delta(\beta) &= \sum_n d_n e^{-\beta(E_n + \Delta)} = e^{-\beta \Delta} Z(\beta) \end{aligned} \right\} \Downarrow$$

$$Z_\Delta(\beta) = e^{i\gamma} Z_\Delta(-\beta) \text{ iff } \Delta = 0. \text{ FIXES } E_{\text{Var}}!!$$

NB: General ...

(Q2) Simplist example in QM: DNA [3] 2-level system & H<sub>2</sub>O [4]

- MORE DETAIL IN PART-II.
- (a3) Simplist example in QFT: •  $\infty$  # of decoupled 2-level/SND
  - 2d CFTs: free fermi free boson
  - Fermion  $\hookrightarrow M(4,3)$  or interacting CFT!

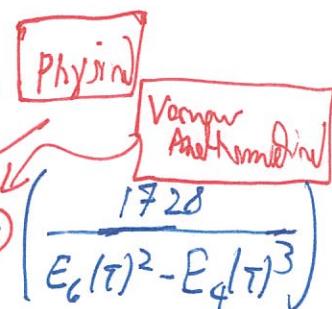
### (a4) Mathematics of T-reflection & modular forms...

•  $T: \tau \mapsto -\tau$ , so  $T: \text{UHP} \mapsto \text{LHP}$ . Okay?

• 24 free Bosons have

$$(a) Z(\tau) = \frac{1}{\Delta(\tau)} \left( \frac{1}{\gamma(\tau)} \right)^{24} = \left( \frac{1728}{E_6(\tau)^2 - E_4(\tau)^3} \right)$$

$$(7) E_{2k}(\tau) = \frac{1}{25(2k)} \sum_{m,n \in \mathbb{Z}^2 / (m+n\tau)^{2k}} \frac{1}{(m+n\tau)^{2k}} = E_{2k}(-\tau)$$



# Part (I) : General Introduction:

(C)

- Overall structure of whole talk (contd...):

② Part I: (contd...)

(a5) T-reflection in Q.C.D.! (contd...)

\* "QCD" = "YM w/ possibly  $N_f, N_s$  adjoint matter fermion & scalars, placed on  $S^3_R \times S^1_\beta$  w/  $\beta \gg R$ ,  $N_c = \infty$ , and  $NR \ll 1$ ."

$$(8) Z_{\text{QCD}} = \prod_{n=1}^{\infty} \frac{1}{1 - Z_B(x^n) + (-1)^n Z_F(x^n)}, \quad x = e^{-\beta/R}$$

$$(9) (-Z_B(x)) = (1 - Z_V(x^n))^{\mp N_s} Z_S(x)$$

$$= \frac{(x^{3/2} + 1) - (x^{3/2} - x)}{(1-x)^3} - N_s \frac{x(1+x)}{(1-x)^3} \cancel{x \sqrt{x}}$$

$$+ Z_F(x) = 4N_f \frac{x^{3/2}}{(1-x)^3}$$

$$(-Z_B(x)) = (1 - Z_B(\frac{1}{x}))$$

$$\boxed{\cancel{Z_B(x)}} = \cancel{Z_B(\frac{1}{x})}$$

$$\boxed{Z_F(\frac{1}{x})} = -Z_F(x)$$

MANY LESSONS

(a6) From this, we can see that

$$(10) P_{N_f, N_s}(x) = \frac{(1-x)^3}{[(x^{3/2} + 1) - (x^{2n/2} + x^n)] + N_s [x^{2n/2} + x^n] - (-1)^n N_f x^{3n/2}} = -P_{N_f, N_f}(\frac{1}{x})$$

# Part I: General Introduction:

(1)

- Overall structure of whole talk (contd...):

② Part I: (contd...)

(a6) (contd...) Thru tells us that, if  $P(x)=0$ , then  $P(\frac{1}{x})=0$  too.

Thru, we can rewrite  $P(x) \equiv p(y)$ , w/  $y^2 \equiv x$  (third), or

$$\boxed{(11)} \quad p(y^n) = \prod_{i=1}^3 \frac{(1-y^{2n})}{(1-y^{n_i}r_i)(1-y^{n_i}/r_i)} = P(x^n) \Big|_{x=y^2}$$

$$\boxed{(12)} \quad Z_{QCD}^{N_f, N_s}(y) = \prod_{i=1}^3 \left( \prod_{n=1}^{\infty} \frac{(1-y^{2n})}{(1-y^{n_i}r_i)(1-y^{n_i}/r_i)} \right) = \prod_{i=1}^3 \frac{\eta(y^2) \eta(y)}{\theta_1(y, r_i)}$$

↳ A modular form!

• In some case of interest, these roots simplify & the modular weight changes...

\* Modular form  $\leftrightarrow$  modular group  $\hookrightarrow$  STRINGS in (proto)NORMAL

QCD?? A different realm where "strong" dynamics are  
literally described by a theory of strings???

WORK IN PROGRESS...  
WITH AC, RD, & GR.  
WILL DISCUSS  
PRIVATELY, BUT  
NOT IN PART-II!!

(a7) Finally, (13)  $Z_{QCD}(y) = (-)^{S_D} Z_{QCD}(1/y)$ , &

(14)  $Z_{QCD}(y) = 1 + \#y^2 + \dots \Rightarrow E_{vac} = 0!$

## Part I : General Introduction:

(E)

- Overall structure of whole talk (contd...):

② Part I : (contd...)

(a7) (contd...) \* THIS MEANS THE VALUE OF  $E_{\text{vac}}$

SELECTED BY T-REFLECTION/MOD INN/  
CONFINEMENT IS ZERO.

\* Independent arguments show this.

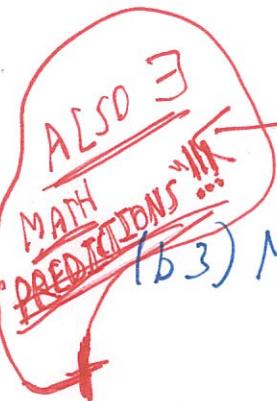


③ Part II :

(b1) Discussion of proof "physicist-proof" that  $\frac{1}{\eta(+\tau)} = (-1)^{\zeta(\tau)} \frac{1}{\eta(-\tau)}$ ,

and extension to ALL rational models & indeed ALL  
partition functions (& observables) written in terms of modular forms.

(b2) Referring to case where  $Z(\beta)$  [ $\theta'(\beta)$ ] can be expressed  
in terms of ~~mathematical~~ Eisenstein series, T-reflection  
is a "triviality". But a triviality with TEETH.



(b3) Modular forms' control of  $Z_{\text{QCD}}(\beta)$  tells us AUTOMATICALLY  
that as  $\beta \rightarrow 0$   $Z_{\text{QCD}}(\beta) \sim e^{-(1/\beta + \# + g(\beta))^{1/\beta}}$ . This  
nearly explains results of this type in the literature.

→ Not all free theories have this property. Will discuss simple  
examples (free theory on  $S^3 \times S^1$   $Z(\beta) = e^{\int d\beta E_4(\beta)}$ )

## Part I: General Introduction:

F

- Overall structure of whole talk (cont...):
- ~~Review of~~

### (b) Part II: (contd...)

→ (b4) [Would like to discuss relationship between these results & the older ideas of asymptotic/mirrored SUSY, if time...]

(b5) Revisiting the assertion that  $E_{\text{vac}} = 0$  for these large- $N$  gauge theories, we see that the argument can be rather surprisingly broadened & shown to survive introduction of many IR scales into the problem. I.e.,  $E_{\text{vac}} = 0$

*CAN PICK & CHOOSE DEPENDING ON AUDIENCE INTEREST...* is NOT a (direct) consequence of any conformal symmetry, but, rather, more closely resembles a UNIVERSAL sum rule for conforming gauge theories!

→ (b6) Would like to discuss ubiquity & underlying nature of T-negligibility. This also seems very ubiquitous, deep/trivial, and is not any obvious corollary of a physical principle like TR I, (non)unitarity, exact solvability, SUSY, conformal symmetry, or SR/GR.



MANY EXAMPLES, w/ no obvious pattern (often non some vague ties to modular forms)...

(IG)

## Part I: General Introduction:

- To be explicit:

\* T-reflection: DAM  $\left\{ \text{GB, AC, DAM \& MY} \atop 1406.6329 \right.$

\* Casimir paper I:  $\left\{ \text{GB, AC, DAM \& MY} \atop 1408.3120 \right.$

\* QCD paper I:  $\left\{ \text{GB, AC \& DAM} \atop 1409.1617 \right.$

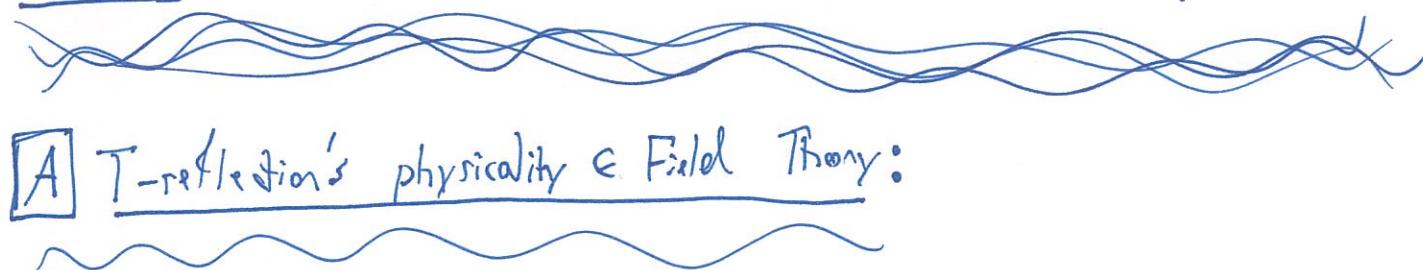
\* Casimir paper II:  $\left\{ \text{GB, AC, \& DAM \& MY} \atop \text{TBD (soon!)} \right.$

\* QCD paper II:  $\left\{ \text{GB, AC, KD \& DAM} \atop \text{TBD (soon!)} \right.$

\* T-reflection II:  $\left\{ \text{GB, AC, DAM \& MY} \atop \text{TBD (This summer!)} \right.$

## Part II : Physics & Mathematics of T-reflection & Vacuum Energy & Modularity

(1)



### A T-reflection's physicality & Field Theory:

(A1) First an aside into QM:

$$\cdot Z_{\text{2-level}}(\beta) = e^{+\beta\Delta/2} + e^{-\beta\Delta/2}$$

$$\cdot Z_{\text{SHO}}(\beta) = e^{-\beta\Delta/2} \sum_{n=0}^{\infty} e^{\beta\Delta n} = \frac{e^{-\beta\Delta/2}}{1 - e^{-\beta\Delta}} = \frac{1}{e^{\beta\Delta/2} - e^{-\beta\Delta/2}}$$

$$\cdot Z_L(\beta) = \sum_{n \in \mathbb{Z}_+} e^{-\beta n^2 / 2 L_m^2} = \Theta(\beta / L_m^2) = \prod_{n=1}^{\infty} (1 - q^n)(1 + q^{n+\frac{1}{2}})^2$$

$$\text{w/ } q = e^{-\beta / L_m^2}$$

• Random levels fail T-reflection, of course...

A product of  $\infty$  #  $\beta$   
decoupled fermionic SHOs.

(A2) How I want to think of Field Theory:

• Consider  $N$  decoupled SHOs w/ characteristic frequencies  $\{w_1, \dots, w_N\}$

• Think of simplest QFT as  ~~$N$~~   $N$  SHOs w/ integer spaced frequencies, as  $N \rightarrow \infty$ .

• Clearly, for finite- $N$ ,  $\tilde{Z}_{(N)}^{(N)}(\beta) = (-1)^{\sum_{k=1}^N 1} \tilde{Z}_{(N)}^{(-\beta)}$



## Part II : [Details]

### A T-reflections physicality ∈ Field Theory:

#### (A.2) How I want to think of Field Theory (contd...):

- As  $N \rightarrow \infty$ , this infinite # of modes will encounter divergences.

These divergences must be identified, isolated, regulated, THEN renormalized.

↓  
IN PRECISELY THAT ORDER.

- How to see them?

$$\hookrightarrow F(\beta) = -\ln Z(\beta)$$

$$* -\ln Z_N(\beta) = -\ln \left( \prod_{a=1}^N \frac{e^{-\beta a}/z}{1-e^{-\beta a}} \right) \quad \leftarrow w_a \equiv a$$

$$= +\frac{\beta}{2} \sum_{a=1}^N a + \sum_{a=1}^N \ln(1-e^{-\beta a})$$

$$* -\ln Z_N(-\beta) = -\frac{\beta}{2} \sum_{a=1}^N a + \sum_{a=1}^N \ln(1-e^{+\beta a})$$

$$= -\frac{\beta}{2} \sum_{a=1}^N a + \sum_{a=1}^N \ln(e^{+\beta a}(1-e^{-\beta a}) * (-1))$$

$$= \left[ -\frac{\beta}{2} \sum_{a=1}^N a + \beta \sum_{a=1}^N a \right] + \sum_{a=1}^N \ln(1-e^{-\beta a}) + \sum_{a=1}^N \ln(-1)$$

$$= -\ln Z_N(+\beta) + \sum_{a=1}^N \ln(-1) ! \rightarrow \text{But we already knew that...} \downarrow$$

## Part II: [Details]

(3)



### A T-reflection physicality ∈ Field Theory:

(A3) • The point ~~is~~ - the REASON why we do things this way -

is that we want to see where the (UV) divergences come into

$$-\ln[Z_N(+\beta)] \text{ vs. } -\ln[Z_N(-\beta)] \text{ as } N \rightarrow \infty. [\text{Why justified?}]$$

VS

$$\begin{aligned} * -\ln Z_N(+\beta) &= \boxed{\frac{\beta}{2} \sum_{a=1}^N a} + \boxed{\sum_{a=1}^N \ln(1 - e^{-\beta a})} \\ * -\ln Z_N(-\beta) &= \boxed{-\frac{\beta}{2} \sum_{a=1}^N a} + \boxed{\sum_{a=1}^N \ln(1 - e^{+\beta a})} \end{aligned}$$

UV diverges!

This is the generating function for the degeneracy of states @ a given energy. This is physical...

This is the T-reflected connn.

\* Rearranging we rewrite

$$\boxed{\sum_{a=1}^N \ln(1 - e^{+\beta a})} = \boxed{\beta \sum_{a=1}^N a} + \boxed{\sum_{a=1}^N \ln(1 - e^{-\beta a})} + \boxed{\sum_{a=1}^N \ln(-1)}$$

is to isolate the UV-divergence in the QM-well-defined

$Z_N(\beta)$  from the total generating function of degeneracies @ a given energy.

## Part II: [Details]

### A T-reflection positivity in Field Theory:

(A4) So: (1) Inviting on T-reflection  $\forall SDO \Rightarrow$  T-reflection for regulated theory

(2) Integrating divergences, we have:

$$(2a) E_{Var} = \frac{1}{2} \sum_n d_n w_n \stackrel{!}{=} E_{car}$$

I.e.  $\nexists$  shifts...

$$(2b) T\text{-reflection phase } e^{i\gamma} = \exp \left[ \sum_{n=1}^{\infty} d_n \ln(-1) \right]$$

(A5) Now, and  $N \rightarrow \infty$  in  $Z_N = \prod_{a=1}^N \frac{e^{-\beta a/2}}{1 - e^{-\beta a}}$ :

$$\begin{aligned} * \lim_{N \rightarrow \infty} Z_N(+\beta) &= \exp \left[ \frac{\beta}{2} \sum_{a=1}^{\infty} a \right] * \prod_{n=1}^{\infty} \frac{1}{1 - e^{-\beta n}} , \boxed{q \equiv e^{-\beta} \equiv e^{2\pi i \tau}} \\ &= \frac{1}{q^{\frac{1}{24}}} \prod_{n=1}^{\infty} \frac{1}{1 - q^n} = \cancel{\prod_{n=1}^{\infty}} \frac{1}{\eta(\tau)} \end{aligned}$$

$$* \lim_{N \rightarrow \infty} Z_N(-\beta) = \lim_{N \rightarrow \infty} (-1)^{\sum_{a=1}^N a(-1)} Z_N(+\beta)$$

$$= (-1)^{\zeta(0)} Z_\infty(\beta) = \frac{(-1)^{\zeta(0)}}{q^{\frac{1}{24}}} \prod_{n=1}^{\infty} \frac{1}{1 - q^n} = \frac{i}{\eta(\tau)}$$

\* This is the holomorphic  $Z(\tau)$  for a 2d free scalar SFT!

## Part II: [Details]

### A T-reflection's physicality ∈ Field Theory:

(A6) Re-capping: we T-reflect the 2d free scalar boson CFT ~~box~~ FIRST through isolating the UV-divergent parts of  $\sum_n F(\tau)$  &  $\sum_n F(-\tau)$  from the parts which are the generating function for the  $\phi_n$ 's,  
THEN by removing the regulator:

$$\left. \begin{aligned} -\ln Z_\Lambda(+\beta) &= +\frac{\beta}{2} \sum_n n + \sum_n \ln(1-e^{-\beta n}) \\ -\ln Z_\Lambda(-\beta) &= -\frac{\beta}{2} \sum_n n + \sum_n \ln(1-e^{+\beta n}) \\ &= \dots = +\frac{\beta}{2} \sum_n n + \sum_n \ln(1-e^{-\beta n}) + \sum_n \ln(-1) \end{aligned} \right\} \quad \boxed{\Lambda \rightarrow \infty}$$

$$\rightarrow -\ln(Z(+\beta)) = -\ln(Z(-\beta)) - \frac{1}{2} \Leftrightarrow \boxed{\frac{1}{\eta(\tau)} = \frac{e^{i\pi/2}}{\eta(-\tau)}}$$

(A7) Note: w/ this argument, can see all fermionic characters in 2d CFT,

e.g.  $X_{NS-NS}(\tau) = q^{-\frac{1}{48}} \prod_{n=1}^{\infty} (1+q^{n-\frac{1}{2}})$ , are invariant under T-reflection.  $\rightsquigarrow$  The minimal model  $M(4,3)$  is invariant.

$\rightarrow$  (... by some/isomorphic) morphisms

ALL VIRAÑO AND MIN. MODELS INVARIANT!

## Part II: [Details]



### A T-reflection in QM:

$$(B1) Z_{\square}(\beta) = \dots = \Theta(\beta/L_m^2) \hookrightarrow \text{Invert.}$$

$$(B2) Z_{\square}^{(\frac{1}{2})}(\beta) = \sum_{n \in \mathbb{Z}} e^{\beta(n+\frac{1}{2})^2/2L_m^2} = \Theta(\beta/L_m^2, \beta\phi/L_m^2) q^{\phi^2/2L_m^2}$$

↪ Invert. Note: B-kind breaks TR I...  
Note: not REMOTELY conformal...

### C T-reflections & mathematics:

(C1) Not much to say formally. But in nearly all cases of interest, T-reflection relies on some vestige of modularity... and modular forms are only ever observed in the UHP! ↩ What's happening?

(C2) Not 100% clear, though ∃ some discussion in the math literature — e.g. Don Zagier's "Quantum modular forms" — which begin to probe "continuation" to LHP.

(C3) Note: this can't be a "continuation" in any simple sense:

$$\frac{1}{\eta(\tau)} \begin{cases} \rightarrow \infty & \text{for } \tau \rightarrow p/q \in \mathbb{Q} \\ \rightarrow 0 & \text{for } \tau \rightarrow x \notin \mathbb{Q} \end{cases} \text{ real axis...} \curvearrowright \Rightarrow \text{Not even continuous on}$$

## Part II: [Details]

### (C) T-reflections & mother motifs:

(c4) But, for now, we can exploit a triviality,

$$\begin{aligned} E_{2k}(\tau) &\equiv \frac{1}{\zeta(2k)} \sum_{\substack{(m,n) \in \mathbb{Z}^2 \\ (m,n) \neq (0,0)}} \frac{1}{(m+n\tau)^{2k}} = \\ &= \frac{1}{\zeta(2k)} \sum_{(m,\tilde{n})} \frac{1}{(m-\tilde{n}\tau)^{2k}} \stackrel{!}{=} \frac{1}{\zeta(2k)} \sum_{m,n} \frac{1}{(m-n\tau)^{2k}} = E_{2k}(1-\tau) \end{aligned}$$

... and another well known identity,

$$\frac{1}{\Delta(\tau)} = \frac{1728}{E_6(\tau)^2 - E_4(\tau)^3} = \left( \frac{1}{\eta(\tau)} \right)^{24} = \left( \frac{1}{q^{\frac{1}{24}}} \prod_{n=1}^{\infty} \frac{1}{1-q^n} \right)^{24}$$

$$\rightarrow \text{Trivially, } \frac{1}{\Delta(+\tau)} = \frac{1}{\Delta(-\tau)}$$

$$\rightarrow \text{Thus, } \left[ \frac{1}{(q^{-1})^{\frac{1}{24}}} \prod_{n=1}^{\infty} \frac{1}{1-(q^{-1})^n} \right]^{24} = \left[ \frac{1}{q^{\frac{1}{24}}} \prod_{n=1}^{\infty} \frac{1}{1-q^n} \right]^{24}$$

(c5) More generally,  $\forall \alpha \in \tilde{\mathcal{M}}_k$ ,  $T: \tilde{\mathcal{M}}_k \rightarrow \tilde{\mathcal{M}}_k^{\otimes k}$  s.t.  $e^{i\alpha} = (-1)^k$

(c6) MacMahon function, and periods, too. E.g.: free rook on  $S^3 \times S^1$  has

$$Z(q) = \prod_{n=1}^{\infty} \left( \frac{1}{1-q^n} \right)^{n^2} = \exp \left\{ \int \int d\tau \left[ \sum_{n=1}^{\infty} \frac{n^3 q^n}{1-q^n} \right] \right\} = \exp \left[ \int E_4(\tau) \right] = Z\left(\frac{1}{q}\right)!$$

## Part II : [Details]

### C T-reflection & mathematics:

(c5) Hold for Jacobi forms, etc...

↳ This math "justification/triviality" depends only on the fact that the lattice of points in  $\mathbb{C}$  identified by  $(1, \tau)$  is the same as that identified by  $(1, -\tau)$ . So ALMOST obvious. Save for ~~two~~ review:

→ Save FIRST:  $Z(\tau) = e^{i\pi} Z(-\tau)$  fixes vacuum energy in mirror!

→ SECOND: in QFT @ finite temperature,

the lattice is defined by  $S_\beta^2$ , w/  $\beta \sim \beta + 2\pi i$ .

So looks like all QFTs have this. But then ...

how? Not obvious (even "looks" violated) in many examples.

↳ (If so) An unrecognized redundancy in QM which fixes  $E_{\text{var}}$ ?

D Now for  $E_{\text{vars}}$ , w/ no appeal to T-reflection... 

## Part II : [Details]

(15) ~~WA~~



"⑨"

### E] Asymptotic/misaligned SWY & QCD[Adj] ... :

(E1) Both, prediction on confining gauge theory that are low- $E$  & non-SWY limits of superstring theory. Modulus inv. is key!

(E2) For w, where  $M_F = M_S = 0$ , on  $S_R^3 \times S_L^1$

$$Z_{QCD}(q = e^{-\beta/R}) = \prod_{a=1}^3 \frac{\eta(2\tau)\eta(\tau)}{\theta(\tau, z_a)} \quad ! \text{ A modular form, but not a modular inv.}$$

(E3) Invar of  $E_{vac} = 0$ , clearly  $\lim_{T \rightarrow \infty} Z_{QCD}(q = e^{-1/RT}) \sim e^{\#T + \dots} \sim 2d \text{ CFT!}$

(E4) Further, the growth of  $d_n$  for  $[N_f, N_s - \text{any}]$  is

$d_n \sim e^{n\beta_H}$ , w/  $e^{\beta_H} \propto_* = e^{2\pi i z_a}$ , for the largest magnitude  $z_a \rightarrow$  trivial Hagedorn growth ...

(E5) ... yet, for twisted fermion BCs,  $\nexists$  any pole on the interval

$\beta \in (0, \infty] \leftrightarrow T \in [0, \infty)$ . Thus,  $\nexists$  a phase transition for any

(real) radius of the "twisted thermal" circle.

↓ Asymptotic/Misaligned SWY!  
Volume independence.  $\Rightarrow$  Fermion-Pair (red)-method!

## Part II : [Details]

(16) (15)

### E Asymptotic/marginalized SWY & QCD [Adj]...:

"(D)"

(E6) Thus, even for thermal BCs, we have a matching of Haydenn-rise of bosonic & fermionic order...

(E7) All the ingredients for Asymptotic SWY are there, save a concrete tie to a perturbative string dual to large- $N$  YM/QCD.



Under active investigation. ~~Would love to discuss privately.~~

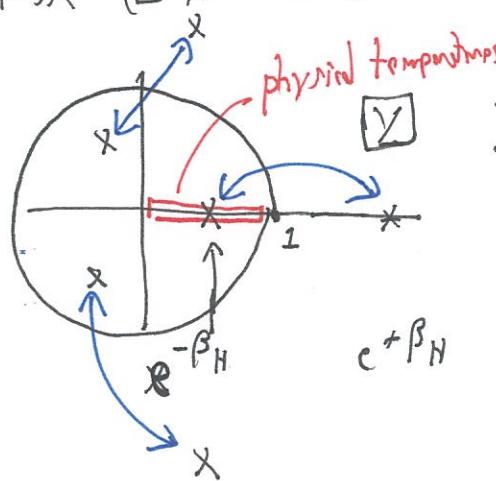
[w/ KD, AC & GB]

Finally done.

→ THANK YOU!

FIGURE FOR (E4) - (E6)

Thermal plot  
of  $I^{1^+}$  pole  
in  $y$ -plane :



Twisting:  
 $+y \rightarrow -y$   
 $\Rightarrow$

