

# Modified Supergravity and Dynamical Dark Energy

Silvester J. Gates Jr.<sup>1</sup> Nico Yunes<sup>2</sup> Sergei V. Ketov<sup>3,1</sup>

<sup>1</sup> Center for String Theory, University of Maryland at College Park, USA

<sup>2</sup> Department of Physics, Princeton University, USA

<sup>3</sup> Department of Physics, Tokyo Metropolitan University, Japan

- **Motivation:** Dark Energy as the geometrical (**curvature**) effect
- Main challenges, prejudices and lessons [// Break!]
- Review of modified  $f(R)$  gravity
- **New:** Modified  $F(\mathcal{R})$  supergravity
- Massive **gravitino** as **CDM** particle in  $F(\mathcal{R})$  supergravity
- Connections to String Theory and Loop Quantum Gravity
- Conclusion and Discussion

Adv. High Energy Phys: 521389 (2008), Int. Journ. Mod. Phys. A23 (2008) 153  
Phys. Lett. B684 (2009) 207, Classical and Quantum Gravity 26 (2009)135006  
Physical Reviews D80 (2009) 065003, Proc. "Invisible Universe", Paris, 2009

## Motivation

- Studies of **large-scale** distribution and evolution of galaxies and (distant) type-Ia supernovae (Perlmutter et al, Schmidt et al, 1998), have led to a discovery of **DARK MATTER** and **DARK ENERGY** in the present Universe, with

$$\rho_{total} = \rho_{visible} + \rho_{dark\ matter} + \rho_{dark\ energy}$$

in the proportion 4% + 22% + 74% = 100%, respectively.

- The DE is needed to balance the energy budget of the present Universe and explain the **accelerated** rate of its expansion. The DE works against gravity to boost the expansion of the Universe. A small **positive** cosmological constant  $\Lambda > 0$  may account for the present Universe accelerated expansion, due to the (experimentally dictated) DE-equation of state with  $w = P/\rho = -0.97 \pm 0.07$
- The DM plays the key role in the formation of structure in our Universe and holds the clusters and galaxies together. It does not interact electromagnetically but it does interact gravitationally. The DM should be **Cold** and non-baryonic. Possible candidates for the massive CDM particle include **axion**, **gravitino** and **neutralino** (= WIMP in MSSM).

## Experimental evidence

The experimental evidence for DM and DE comes from at least **3 independent sources**:

- type Ia **supernovae** observations (S. Perlmutter, UC Berkeley, and B. Schmidt, ANU Canberra, 1998),
- precision measurements of **CMB temperature fluctuations** (BOOMERANG, MAXIMA, WMAP, 2000 and 2003)
- **baryonic acoustic oscillations** (Sloan Digital Skies Survey, 2005)

see Turner map (well known).

## DE and DM in Einstein equations

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R + \Lambda g_{\mu\nu} = 8\pi G_N (T_{\mu\nu}^{\text{visible}} + T_{\mu\nu}^{\text{DM}})$$

or

$$G_{\mu\nu} \equiv R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = 8\pi G_N (T_{\mu\nu}^{\text{visible}} + T_{\mu\nu}^{\text{DM}} + T_{\mu\nu}^{\text{DE}})$$

- The present evolution of the Universe is phenomenologically well (and accurately) described by the standard  **$\Lambda$ -CDM scenario** within Einstein theory,

but

- exact nature of both DE and DM is **unknown**,
- an underlying microscopic derivation is **absent**.

## Modified Gravity and Dynamical Dark Energy

The late time acceleration of our Universe can be interpreted in **two qualitatively different ways**: either as

- (i) a manifestation of some (new) DE component (dynamical or not), as above  
or as
- (ii) an indication of the breakdown of Einstein gravity at cosmological distances.

The known (popular) modifications of Einstein gravity include

- adding higher-order curvature invariants into the action,
- adding extra fields with **non-minimal** coupling to gravity,
- adding **large extra dimensions** for gravity.

Dynamical DE = quintessence, ie. replacing a cosmological constant  $\Lambda$  by a scalar field (or several scalars). It leads to a **time- and space-dependent** vacuum energy density in cosmology, which is desirable for describing a more accurate and complete **history** of our Universe, including **inflation**, etc. (perhaps, with the **vanishing** cosmological constant!)

## Major Challenges

DE and DM are **not the only** challenges facing modern cosmology. In addition, one has (the 'old' ones) to

- resolve the classical cosmological singularity (**Big Bang**) in the Einstein-Friedmann Universe, which is accompanied by infinities in  $\rho$  and  $R$ ,
  - construct a consistent fundamental theory of **Quantum Gravity**,
  - find the viable **inflationary mechanism** for the early Universe,
  - explain the 'observed' small value of the cosmological constant  $\Lambda$ ,
- in a single package with a fundamental QFT of elementary particles beyond SM.

We would like to **keep** the most fundamental features of General Relativity such as (i) its diffeomorphism invariance (**relativity** principle), and (ii) its universality (**equivalence** principle), **cf.** Horava-Lifshitz gravity.

However, there is *a priori* **no reason** to restrict the gravitational Lagrangian to the EH-term linear in curvature, unless it does not contradict an experiment. The first attempt of this kind was as early as 1921 (Weyl). The main challenge here: there exist **too many possibilities** beyond EH.

## Some prejudices

There is no doubt that **any** theory of Quantum Gravity is going to include the higher-order curvature terms in the UV. Those terms are expected to be relevant near curvature singularities. It may be possible that some higher-derivative gravity, subject to suitable constraints, could be the effective action to quantized theory of gravity (Sakharov, 1967), **cf.** String Theory.

- **Objection #1:** “all the higher-derivative field theories, including the higher-derivative gravity theories, have **ghosts**”, because of Ostrogradski theorem (1850). **However**, the theorem does not directly apply to the degenerate (read: **gauge**) field theories (Woodard, 2007), and, in fact, a higher-derivative gravity does **not always** have ghosts (see below for some explicit examples).

- **Objection #2:** “all the higher-order curvature terms are **suppressed** by the inverse powers of  $M_{\text{Pl}}$  and thus are irrelevant in IR”. **However**, the **effective** Planck scale may be brought down to TeV scale with large extra dimensions (A-HDD), there may be **warp** (RS) factors (eg., generated by fluxes in string theory) in a higher-dimensional metric, and there may be **singular perturbations** in unstable cases (see the next slide:)

## Lesson from Navier-Stokes hydrodynamics

The Navier-Stokes differential equations describe classical dynamics of a non-ideal fluid **with viscosity**,

$$\frac{\partial \vec{v}}{\partial t} + (\vec{v} \cdot \vec{\nabla}) \vec{v} = -\frac{1}{\rho} \vec{\nabla} P + \nu \Delta \vec{v}$$

The higher derivatives enter this equation with the kinematic viscosity parameter  $\nu$ . The singular perturbation problem associated with the Navier-Stokes equation is well known both physically and mathematically: namely, a **radically different** behaviour of the solutions at **late** times, even for **arbitrary small** values  $\nu$  of viscosity. The simplest mathematical example is given by the equation

$$-\varepsilon \ddot{y} + \dot{y} + y = 0$$

When  $\varepsilon = 0$ , one gets exponentially decaying solutions  $y \propto e^{-t}$ , whereas for small  $\varepsilon > 0$ , the general solution grows exponentially with time as  $e^{t/\varepsilon}$ . And it is **not** the manifestation of bad modelling or unphysical boundary conditions! One may now **imagine** the late time (present) Universe acceleration driven by quantum modifications of Einstein equations!



## Some lessons from superstrings

- **Theory of superstrings** is the leading candidate for a unified Theory of all fundamental interactions, it offers a consistent **perturbative** Quantum Gravity, and is capable to generate the SM of elementary particles and the cosmological SM. A fully **non-perturbative** superstring theory (or M-theory) is **unknown**. The perturbative superstrings are defined **on-shell** (in the form of quantum amplitudes), and they imply infinitely many **higher-order curvature** corrections to Einstein equations, to all orders in Regge slope parameter  $\alpha'$  and string coupling  $g_s$  (in 10 space-time dimensions). **Off-shell** quantum corrections are largely **unknown** and **ambiguous**.
  - String theory may resolve the Big-Bang singularity (see eg., **pre-Big-Bang scenario** of Gasperini-Veneziano, **string gas cosmology** of Brandenberger-Vafa). String theory put limits on the **maximal** (**Hagedorn**) temperature  $T \leq T_H$  with  $T_H = 1/\pi(8\alpha')^{1/2}$  (for type-II strings) in the (free CFT, or Matsubara) string partition function (Atick, Witten, 1988), due to the infinite tower of massive states in the string spectrum.
  - String theory also put limits on the **maximal** values of electric and magnetic fields in **Born-Infeld** electrodynamics,  $|\vec{E}| \leq 1/b$  and  $|\vec{H}| \leq 1/b$ , with  $b = 2\pi\alpha'$ .
  - String theory gives rise to **UV/IR mixing** via dualities and non-commutativity. *It is natural to expect similar features in the string-generated gravity.*

## Effective higher-derivative supergravity from M-theory

- **M-theory** is a **non-perturbative** upgrade of superstrings (**Witten**, 1995).
  - (i) M-theory low-energy effective action is **unique=supergravity** in 11 dimensions;
  - (ii) the **next** quantum gravitational corrections to the 11-dimensional supergravity from M-theory are all **quartic** in the curvature;
  - (iii) Superstring/M-theory has **no free parameters**. **After a compactification**, there are **many** effective coupling constants given by the moduli VEVs.

The quartic **bosonic** terms of the M-theory corrected 11-dim supergravity are

$$S_{11} = -\frac{1}{2\kappa_{11}^2} \int d^{11}x \sqrt{-g} \left[ R - \frac{1}{2 \cdot 4!} F^2 - \frac{1}{6 \cdot 3! \cdot (4!)^2} \varepsilon_{11} C F F \right] \\ - \frac{T_2}{(2\pi)^4 \cdot 3^2 \cdot 2^{13}} \int d^{11}x \sqrt{-g} \left( J - \frac{1}{2} E_8 \right) + T_2 \int C \wedge X_8$$

where  $\kappa_{11}$  is the gravitational constant (in 11 dims),  $T_2$  is the M2-brane tension,

$$T_2 = \left( \frac{2\pi^2}{\kappa_{11}^2} \right)^{1/3}$$

## Our notation in 11 (spacetime) dimensions

$C$  is a **3-form** gauge field of the 11-dimensional supergravity, and  $F = dC$  is its **four-form** field strength,  $R$  is the gravitational scalar curvature,  $\varepsilon_{11}$  stands for the 11-dimensional Levi-Civita symbol in the **Chern-Simons-like** coupling, while  $(J, E_8, X_8)$  are the certain **quartic** polynomials in the 11-dimensional full (Weyl) curvature:

$$J = 3 \cdot 2^8 \left( R^{mijn} R_{pijq} R_m{}^{rsp} R^q{}_{rsn} + \frac{1}{2} R^{mni j} R_{pqij} R_m{}^{rsp} R^q{}_{rsn} \right) + \mathcal{O}(R_{mn})$$

the  $E_8$  is the 11-dimensional extension of the 8-dimensional **Euler** density,

$$E_8 = \frac{1}{3!} \varepsilon^{abcm_1 n_1 \dots m_4 n_4} \varepsilon_{abcm'_1 n'_1 \dots m'_4 n'_4} R^{m'_1 n'_1 m_1 n_1} \dots R^{m'_4 n'_4 m_4 n_4}$$

and the  $X_8$  is the **8-form**

$$X_8 = \frac{1}{192 \cdot (2\pi^2)^4} \left[ \text{tr} R^4 - \frac{1}{4} (\text{tr} R^2)^2 \right]$$

- The  $J$ -contribution is defined **modulo** Ricci-dependent terms by its very derivation (on-shell). Let's consider **much simpler** gravity theories [// Break!]

## FLRW metric and cosmological acceleration

- The main Cosmological Principle of a **spatially** homogeneous and isotropic (1 + 3)-dimensional universe (at large scales) gives rise to the **FLRW** metric

$$ds_{\text{FLRW}}^2 = dt^2 - a^2(t) \left[ \frac{dr^2}{1 - kr^2} + r^2 d\Omega^2 \right]$$

where the function  $a(t)$  is known as the **scale factor** in ‘cosmic’ (co-moving) coordinates  $(t, r, \theta, \phi)$ , and  $k$  is the FLRW topology index,  $k = (-1, 0, +1)$ . The FLRW metric (1) admits a 6-dimensional isometry group  $G$  that is either  $SO(1, 3)$ ,  $E(3)$  or  $SO(4)$ , acting on the orbits  $G/SO(3)$ , with the spatial 3-dimensional sections  $H^3$ ,  $E^3$  or  $S^3$ , respectively. **Important** notice: **Weyl** tensor  $C_{ijkl}^{\text{FLRW}} = 0$ .

- Present Universe acceleration and early Universe inflation are defined by

$$\ddot{a}(t) > 0, \text{ or equivalently, } \frac{d}{dt} \left( \frac{H^{-1}}{a} \right) < 0$$

where  $H = \dot{a} / a$  is **Hubble** ‘constant’. The amount of inflation (**# e-foldings**) is given by

$$N = \ln \frac{a(t_{\text{end}})}{a(t_{\text{start}})} = \int_{t_{\text{start}}}^{t_{\text{end}}} H dt$$

## $R + R^2$ gravity toy-model (well studied)

In 4 dimensions, there are only 3 independent **quadratic** curvature invariants:  $R^{\mu\nu\lambda\rho}R_{\mu\nu\lambda\rho}$ ,  $R^{\mu\nu}R_{\mu\nu}$  and  $R^2$ . In addition,

$$\int d^4x \sqrt{-g} \left( R^{\mu\nu\lambda\rho}R_{\mu\nu\lambda\rho} - 4R^{\mu\nu}R_{\mu\nu} + R^2 \right)$$

is **topological** for any metric, while

$$\int d^4x \sqrt{-g} \left( 3R^{\mu\nu}R_{\mu\nu} - R^2 \right)$$

is also **topological** for any FLRW metric. Hence, as regards the FLRW metrics, the most general **quadratic** curvature action is given by ( $8\pi G_N = 1$ )

$$S = -\frac{1}{2} \int d^4x \sqrt{-g} \left( R - 2\Lambda + \alpha R^2 \right)$$

There is the exact **inflationary** dS solution (with  $\Lambda = 0$ ) to this model:  $a(t) \propto e^{Ht}$  and  $H^2 = (24\alpha)^{-1}$ . It is **stable** (attractor!) when  $\alpha > 0$ .

The simplest  $(R + R^2)$ -gravity toy-model is **not** phenomenologically viable for the present Universe (Navarro, van Acoyelen, 2006), so let's now discuss a **generic**  $f(R)$  gravity, in the model-independent way.

## $f(R)$ gravity theories

An  $f(R)$  gravity is specified by the action  $S_f = -\frac{1}{2\kappa^2} \int d^4x f(R)$  where  $R$  is the Ricci scalar curvature of a metric  $g_{\mu\nu}(x)$ , and  $\kappa$  is the gravitational coupling constant,  $\kappa^2 = 8\pi G_N$ . A matter action  $S_m$ , minimally coupled to the metric, is supposed to be added to  $S_f$ . We use the ‘mostly minus’ spacetime signature.

The gravitational equations of motion derived from the action  $S_f + S_m$  read

$$f'(R)R_{\mu\nu} - \frac{1}{2}f(R)g_{\mu\nu} + g_{\mu\nu}\square f'(R) - \nabla_\mu \nabla_\nu f'(R) = \kappa^2 T_{\mu\nu}$$

where the primes denote differentiation. Those equations of motion are the **4th-order** differential equations with respect to the metric (ie. with the higher derivatives). Taking the trace of the equation above yields

$$\square f'(R) + \frac{1}{3}f'(R)R - \frac{2}{3}f(R) = \kappa^2 T$$

Hence, in contrast to General Relativity having  $f'(R) = \text{const.}$ , in  $f(R)$  gravity the field  $\phi = f'(R)$  is **dynamical** and represents an independent propagating (scalar) degree of freedom. In terms of the fields  $(g_{\mu\nu}, \phi)$  the equations of motion are of the **2nd order** in the derivatives.

## f(R) gravity and present Universe acceleration

The equations of motion in the  $f(R)$ -FLRW cosmology (generalizing [Friedmann](#) and [Raychaudhuri](#) equations, respectively) are given by

$$H^2 = \frac{\kappa^2}{3f'} \rho_R \quad \text{and} \quad \frac{\ddot{a}}{a} = -\frac{\kappa^2}{2f'} (\rho_R + 3P_R)$$

with the energy density  $\rho_R$  and pressure  $P_R$  are due to the curvature modification,

$$\rho_R = \frac{Rf' - f}{2} - 3H \dot{R} f'' , \quad P_R = 2H \dot{R} f'' + \ddot{R} f'' + \frac{1}{2} (f - f'R) + f''' \dot{R}^2$$

The  $\rho_R$  and  $P_R$  identically **vanish** in Einstein gravity, where  $f(R) = R$ .

It is not difficult to choose the function  $f(R)$  in order to get  $\ddot{a} > 0$ , with a desired equation of state for DE. Actually, it is possible a **reconstruction** of the function  $f(R)$  from **any** desired scale factor (history)  $a(t)$ , with  $R = -6 \left[ \frac{\ddot{a}}{a} + \left( \frac{\dot{a}}{a} \right)^2 + \frac{k}{a^2} \right]$

## f(R) gravity = quintessence

• The easiest way to make a connection between  $f(R)$  gravity and **scalar-tensor gravity** is to apply a **Legendre-Weyl transform**. The action  $S_f$  is classically equivalent to

$$S_A = \frac{-1}{2\kappa^2} \int d^4x \sqrt{-g} \{AR - V(A)\}$$

where the real scalar  $A(x)$  is related to the scalar curvature  $R$  by the **Legendre** transformation

$$R = V'(A) \quad \text{and} \quad f(R) = RA(R) - V(A(R))$$

A **Weyl** transformation of the metric  $g_{\mu\nu}(x) \rightarrow \exp\left[\frac{2\kappa\phi(x)}{\sqrt{6}}\right] g_{\mu\nu}(x)$  with an arbitrary field parameter  $\phi(x)$  yields

$$\sqrt{-g} R \rightarrow \sqrt{-g} \exp\left[\frac{2\kappa\phi(x)}{\sqrt{6}}\right] \left\{ R - \sqrt{\frac{6}{-g}} \partial_\mu (\sqrt{-g} g^{\mu\nu} \partial_\nu \phi) \kappa - \kappa^2 g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi \right\}$$



Hence, when choosing  $A(\kappa\phi) = \exp\left[\frac{-2\kappa\phi(x)}{\sqrt{6}}\right]$  and ignoring the total derivative, we can rewrite the above action to the form

$$S_\phi = \int d^4x \sqrt{-g} \left\{ \frac{-R}{2\kappa^2} + \frac{1}{2}g^{\mu\nu} \partial_\mu\phi\partial_\nu\phi + \frac{1}{2\kappa^2} \exp\left[\frac{4\kappa\phi(x)}{\sqrt{6}}\right] V(A(\kappa\phi)) \right\}$$

in terms of the physical (and canonically normalized) scalar field  $\phi(x)$ .

- **Applicability** of the Legendre-Weyl transform implies the invertibility of the function  $f'(R)$  at the reference point  $R_0$  ie. both  $f'(R_0)$  and  $f''(R_0) \neq 0$ . The  $R_0 = \text{const.}$  is a **solution** to the pure  $f(R)$ -gravity provided that  $f'(R_0)R_0 = 2f(R_0)$ . When considering **small** perturbations,  $R = R_0 + Z$ , and linearizing the equations of motion with respect to  $Z$ , one gets

$$\left(\square + m^2\right) Z = 0 \quad \text{with} \quad m^2 = \frac{1}{3} \left[ R_0 - f'(R_0)/f''(R_0) \right]$$

Hence, the  $R_0$ -background is **stable** when  $m^2 > 0$  (Starobinsky,1988).

- **After** the Weyl transform, the gravity-coupled matter fields in  $S_m$  become conformally coupled to  $\phi$ . Hence, some stabilization mechanism is needed for  $\phi$ .

## Local tests of $f(R)$ gravity

- Any modification of Einstein gravity has to be consistent with **local** physics constraints, eg., those coming from our Solar System. There are **many** choices of  $f(R)$  that fit all known experimental data. For instance, the function

$$f(R) = R + \lambda R_0 \left[ \frac{1}{\left(1 + \frac{R^2}{R_0^2}\right)^n} - 1 \right]$$

with properly chosen parameters  $R_0 \sim H_0^2$ ,  $\lambda > 0$  and  $n > 0$ , may fit **all** known Solar System observations (Starobinsky et al, 2009)

- To recover Einstein gravity for stellar systems, the extra scalar should effectively decouple when one gets close to a star — it is known as the **Chameleon effect** (Khoury, Veltman, 2003). The relevant distance from the star of mass  $M$  (inside it the scalar gets hidden or screened) is given by the **Vainstein radius**  $R_V = \left(G_N M / H_0^2\right)^{1/3}$ . The mass of the scalar depends upon the background, it should be at least of  $10^3 H_0$ , in order to have the Chameleon effect, and it should also meet the experimental bound on the (absence of) 5th force (**fine-tuning**).

## $F(R)$ Supergravity

- **Supersymmetry** is the symmetry between bosons and fermions, it is **well motivated** in particle physics beyond the SM, and it is also needed for the consistency of strings. Supergravity is the theory of **local** supersymmetry. Supergravity is also the **only** known consistent route to couple spin-3/2 particles (gravitinos).

- Most of studies of superstring- and brane- cosmology are based on their **effective** description in the 4-dimensional  $N = 1$  supergravity.

- An  $N = 1$  locally supersymmetric generalization of  $f(R)$  gravity is possible (Gates Jr., SVK, 2009). It is **non-trivial** because, despite of the apparent presence of the higher derivatives, there should be no ghosts, and the **auxiliary freedom** (Gates Jr., 1996) is to be preserved. The modified supergravity action turns out to be classically equivalent to the **standard**  $N = 1$  Poincaré supergravity coupled to a **dynamical** chiral superfield whose Kähler potential and superpotential are dictated by a single **holomorphic** function (= **super-quintessence**).

A possible connection to the **Loop Quantum Gravity** was investigated by Gates Jr., N. Yunes and SVK, in Phys. Rev. D80 (2009) 065003, arXiv:0906.4978 [hep-th].

## Basic facts about 4-dim, $N = 1$ supergravity in superspace

A **concise** and **manifestly supersymmetric** description of supergravity is given by **Superspace**. We use **here** the natural units  $c = \hbar = \kappa = 1$ .

The **chiral superspace density** (in the supersymmetric gauge-fixed form) reads

$$\mathcal{E}(x, \theta) = e(x) \left[ 1 - 2i\theta\sigma_a\bar{\psi}^a(x) + \theta^2 B(x) \right], \quad (1)$$

where  $e = \sqrt{-\det g_{\mu\nu}}$ ,  $g_{\mu\nu}$  is a spacetime metric,  $\psi_\alpha^a = e_\mu^a \psi_\alpha^\mu$  is a chiral **gravitino**,  $B = S - iP$  is the complex scalar auxiliary field. We use the **lower case middle greek** letters  $\mu, \nu, \dots = 0, 1, 2, 3$  for **curved spacetime vector** indices, the **lower case early latin** letters  $a, b, \dots = 0, 1, 2, 3$  for **flat (target) space vector** indices, and the **lower case early greek** letters  $\alpha, \beta, \dots = 1, 2$  for **chiral spinor** indices. Supergravity  $\neq$  curved Superspace (off-shell SUSY constraints needed)!

The solution of the superspace Bianchi identities and the constraints defining the  $N = 1$  **Poincaré**-type *minimal* supergravity results in only **three** covariant tensor superfields  $\mathcal{R}$ ,  $\mathcal{G}_a$  and  $\mathcal{W}_{\alpha\beta\gamma}$ , subject to the **off-shell** relations:

$$\mathcal{G}_a = \bar{\mathcal{G}}_a, \quad \mathcal{W}_{\alpha\beta\gamma} = \mathcal{W}_{(\alpha\beta\gamma)}, \quad \bar{\nabla}_{\dot{\alpha}} \mathcal{R} = \bar{\nabla}_{\dot{\alpha}} \mathcal{W}_{\alpha\beta\gamma} = 0, \quad (2)$$

and

$$\bar{\nabla}^{\dot{\alpha}} \mathcal{G}_{\dot{\alpha}\dot{\alpha}} = \nabla_{\alpha} \mathcal{R}, \quad \nabla^{\gamma} \mathcal{W}_{\alpha\beta\gamma} = \frac{i}{2} \nabla_{\alpha}^{\dot{\alpha}} \mathcal{G}_{\dot{\beta}\dot{\alpha}} + \frac{i}{2} \nabla_{\beta}^{\dot{\alpha}} \mathcal{G}_{\dot{\alpha}\dot{\alpha}}, \quad (3)$$

where  $(\nabla_{\alpha}, \bar{\nabla}_{\dot{\alpha}}, \nabla_{\dot{\alpha}\dot{\alpha}})$  represent the **curved** superspace  $N = 1$  supercovariant derivatives, and bars denote **complex** conjugation.

The covariantly chiral complex scalar superfield  $\mathcal{R}$  has the **scalar** curvature  $R$  as the coefficient at its  $\theta^2$  term, the real vector superfield  $\mathcal{G}_{\dot{\alpha}\dot{\alpha}}$  has the **traceless Ricci** tensor,  $R_{\mu\nu} + R_{\nu\mu} - \frac{1}{2}g_{\mu\nu}R$ , as the coefficient at its  $\theta\sigma^a\bar{\theta}$  term, whereas the covariantly chiral, complex, totally symmetric, fermionic superfield  $\mathcal{W}_{\alpha\beta\gamma}$  has the self-dual part of the **Weyl** tensor  $C_{\alpha\beta\gamma\delta}$  as the coefficient at its linear  $\theta^{\delta}$ -dependent term. A **generic higher-derivative supergravity** Lagrangian (e.g., representing the supergravitational part of the superstring effective action) is given by

$$\mathcal{L} = \mathcal{L}(\mathcal{R}, \mathcal{G}, \mathcal{W}, \dots) \quad (4)$$

where the dots stand for arbitrary supercovariant derivatives of the superfields.

## New proposal: $F(\mathcal{R})$ supergravity

Let's concentrate on the particular sector of the generic higher-derivative supergravity (4), by **ignoring** the tensor superfields  $\mathcal{W}_{\alpha\beta\gamma}$  and  $\mathcal{G}_{\alpha\alpha}^{\bullet}$ , as well as the derivatives of the scalar superfield  $\mathcal{R}$ :

$$S_F = \int d^4x d^2\theta \mathcal{E} F(\mathcal{R}) + \text{H.c.} \quad (5)$$

with a **holomorphic** function  $F(\mathcal{R})$ . Besides manifest local  $N = 1$  supersymmetry, the action (5) also possess the **auxiliary freedom**, since the auxiliary field  $B$  does **not** propagate. It distinguishes the action (5) from other possible truncations of eq. (4). The action (5) gives rise to the spacetime **torsion** generated by gravitino, while its bosonic terms have the form

$$S_f = \int d^4x \sqrt{-g} f(R) \quad (6)$$

Hence, eq. (5) can also be considered as a locally  $N = 1$  supersymmetric **extension** of the  $f(R)$ -type modified gravity, often used to 'explain' the present Universe acceleration.

## Getting fields from superfields

Applying the superspace chiral density formula

$$\int d^4x d^2\theta \mathcal{E} \mathcal{L} = \int d^4x e \{ \mathcal{L}_{\text{last}} + B \mathcal{L}_{\text{first}} \} \quad (7)$$

to our action (5) yields its **bosonic part** as

$$F'(\bar{X}) \left[ \frac{1}{3} R_* + 4\bar{X} X \right] + 3X F(\bar{X}) + \text{H.c.} \quad (8)$$

where primes denote differentiation. We have used the notation

$$X = \frac{1}{3} B, \quad R_* = R + \frac{i}{2} \varepsilon^{abcd} R_{abcd} \quad (9)$$

Varying eq. (8) with respect to the auxiliary fields  $X$  and  $\bar{X}$  gives rise to merely **algebraic** equation on the auxiliary fields,

$$3\bar{F} + X(4\bar{F}' + 7F') + 4\bar{X} X F'' + \frac{1}{3} F'' R_* = 0 \quad (10)$$

- Let's first consider the simple **special** case when

$$F'' = 0 \quad \text{or, equivalently,} \quad F(\mathcal{R}) = f_0 + f_1 \mathcal{R} \quad (11)$$

with some complex constants  $f_0$  and  $f_1$ , where  $\text{Re}f_1 < 0$ . Then eq. (10) is easily solved as

$$\bar{X} = \frac{-3(f_0 + f_1 R_*)}{4f_1 + 7\bar{f}_1} \quad (12)$$

Substituting the solution (12) back into the Lagrangian (8) yields

$$\frac{2}{3}(\text{Re}f_1)R_* - \frac{9|f_0|^2}{14(\text{Re}f_1)} \equiv -\frac{1}{2\kappa^2}R_* - \Lambda = -\frac{1}{2\kappa^2}R(\Gamma + T) - \Lambda \quad (13)$$

where we have reintroduced the **standard** gravitational constant  $\kappa_0 = M_{\text{Planck}}^{-1}$  in terms of the (reduced) Planck mass, the standard supergravity connection (i.e. Christoffel symbols  $\Gamma$  plus torsion  $T$ ), and a cosmological constant  $\Lambda$ ,

$$\kappa = \sqrt{\frac{3}{4|\text{Re}f_1|}} \quad , \quad \Lambda = \frac{-9|f_0|^2}{14|\text{Re}f_1|} \quad (14)$$

Hence, the cosmological constant in the **standard** supergravity is always **negative** or **zero**. (A large negative  $\Lambda$  forces a universe to collapse quickly.) It is yet another reason to go to the **modified** supergravity having  $F'' \neq 0$ .



## Supersymmetric Legendre-Weyl-Kähler transformation

The superfield action (5) is classically **equivalent** to

$$S_V = \int d^4x d^2\theta \mathcal{E} [\mathcal{Z}\mathcal{R} - V(\mathcal{Z})] + \text{H.c.} \quad (15)$$

with the covariantly chiral superfield  $\mathcal{Z}$  as the **Lagrange** multiplier. Varying the action (15) with respect to  $\mathcal{Z}$  gives back the original action (5) provided that

$$F(\mathcal{R}) = \mathcal{R}\mathcal{Z}(\mathcal{R}) - V(\mathcal{Z}(\mathcal{R})) \quad (16)$$

where the function  $\mathcal{Z}(\mathcal{R})$  is defined by inverting the function

$$\mathcal{R} = V'(\mathcal{Z}) \quad (17)$$

Equations (16) and (17) define the superfield **Legendre** transform, and imply

$$F'(\mathcal{R}) = \mathcal{Z}(\mathcal{R}) \quad \text{and} \quad F''(\mathcal{R}) = \mathcal{Z}'(\mathcal{R}) = \frac{1}{V''(\mathcal{Z}(\mathcal{R}))} \quad (18)$$

where  $V'' = d^2V/d\mathcal{Z}^2$ . The second formula (18) is the **duality** relation between the supergravitational function  $F$  and the chiral superpotential  $V$ .

A **super-Weyl transform** of the action (15) can be done entirely in superspace. In terms of components, the super-Weyl transform amounts to a **Weyl** transform, a chiral **rotation** and a (superconformal)  **$S$ -supersymmetry** transformation (**Howe**). The chiral density superfield  $\mathcal{E}$  is a chiral **compensator** of the super-Weyl transformations,

$$\mathcal{E} \rightarrow e^{3\Phi} \mathcal{E} , \quad (19)$$

whose parameter  $\Phi$  is an arbitrary covariantly chiral superfield,  $\bar{\nabla}_{\alpha} \Phi = 0$ . Under the transformation (19) the covariantly chiral superfield  $\mathcal{R}$  transforms as

$$\mathcal{R} \rightarrow e^{-2\Phi} \left( \mathcal{R} - \frac{1}{4} \bar{\nabla}^2 \right) e^{\bar{\Phi}} . \quad (20)$$

The super-Weyl chiral superfield parameter  $\Phi$  can be traded for the chiral Lagrange multiplier  $\mathcal{Z}$  by using a generic **gauge condition**

$$\mathcal{Z} = \mathcal{Z}(\Phi) \quad (21)$$

where  $\mathcal{Z}(\Phi)$  is a holomorphic function of  $\Phi$ . It results in the **equivalent** action

$$S_{\Phi} = \int d^4x d^4\theta E^{-1} e^{\Phi + \bar{\Phi}} [\mathcal{Z}(\Phi) + \text{H.c.}] - \int d^4x d^2\theta \mathcal{E} e^{3\Phi} V(\mathcal{Z}(\Phi)) + \text{H.c.} \quad (22)$$

Equation (22) has the **standard** form of the action of a **chiral matter** superfield coupled to supergravity,

$$S[\Phi, \bar{\Phi}] = \int d^4x d^4\theta E^{-1} \Omega(\Phi, \bar{\Phi}) + \left[ \int d^4x d^2\theta \mathcal{E} P(\Phi) + \text{H.c.} \right], \quad (23)$$

in terms of a ‘Kähler’ potential  $\Omega(\Phi, \bar{\Phi})$  and a chiral superpotential  $P(\Phi)$ . In our case (22) we find

$$\Omega(\Phi, \bar{\Phi}) = e^{\Phi + \bar{\Phi}} [\mathcal{Z}(\Phi) + \bar{\mathcal{Z}}(\bar{\Phi})], \quad P(\Phi) = -e^{3\Phi} V(\mathcal{Z}(\Phi)). \quad (24)$$

The truly **Kähler** potential  $K(\Phi, \bar{\Phi})$  is given by

$$K = -3 \ln\left(-\frac{\Omega}{3}\right) \quad \text{or} \quad \Omega = -3e^{-K/3}, \quad (25)$$

because of the invariance of the action (23) under the supersymmetric **Kähler-Weyl** transformations

$$K(\Phi, \bar{\Phi}) \rightarrow K(\Phi, \bar{\Phi}) + \Lambda(\Phi) + \bar{\Lambda}(\bar{\Phi}), \quad \mathcal{E} \rightarrow e^{\Lambda(\Phi)} \mathcal{E}, \quad (26)$$

$P(\Phi) \rightarrow -e^{-\Lambda(\Phi)} P(\Phi)$ , with an arbitrary chiral superfield parameter  $\Lambda(\Phi)$ .

## Scalar potential

(in components) is given by the standard formula ([Cremmer \*et al\*, 1979](#))

$$\mathcal{V}(\phi, \bar{\phi}) = e^{\Omega} \left\{ \left| \frac{\partial P}{\partial \Phi} + \frac{\partial \Omega}{\partial \bar{\Phi}} P \right|^2 - 3 |P|^2 \right\} \quad (27)$$

where all superfields are restricted to their **leading** field components,  $\Phi| = \phi(x)$ . Equation (27) can be simplified by making use of the Kähler-Weyl invariance (26) that allows us to choose the gauge

$$P = 1 \quad (28)$$

It is equivalent to the well known fact that the scalar potential (27) is actually governed by the **single** (Kähler-Weyl-invariant) potential

$$G(\Phi, \bar{\Phi}) = \Omega + \ln P + \ln \bar{P} \quad (29)$$

In our case (24) we have

$$G = e^{\Phi + \bar{\Phi}} [\mathcal{Z}(\Phi) + \bar{\mathcal{Z}}(\bar{\Phi})] + 3(\Phi + \bar{\Phi}) + \ln(-V(\mathcal{Z}(\Phi))) + \ln(-\bar{V}(\bar{\mathcal{Z}}(\bar{\Phi}))) \quad (30)$$

Let's now specify our gauge (21) by choosing the condition

$$3\Phi + \ln(-V(\mathcal{Z}(\Phi))) = 0 \quad \text{or} \quad V(\mathcal{Z}(\Phi)) = -e^{-3\Phi} \quad (31)$$

that is equivalent to eq. (28). Then the potential (30) gets simplified to

$$G = \Omega = e^{\Phi + \bar{\Phi}} [\mathcal{Z}(\Phi) + \bar{\mathcal{Z}}(\bar{\Phi})] \quad (32)$$

Equations (16), (17) and (32) are the **one-to-one algebraic** relations between a holomorphic function  $F(\mathcal{R})$  in our modified supergravity action (5) and a holomorphic function  $\mathcal{Z}(\Phi)$  defining the scalar potential (27)

$$\mathcal{V} = e^G \left[ \left( \frac{\partial^2 G}{\partial \Phi \partial \bar{\Phi}} \right)^{-1} \frac{\partial G}{\partial \Phi} \frac{\partial G}{\partial \bar{\Phi}} - 3 \right] \quad (33)$$

in the classically **equivalent scalar-tensor supergravity**. The latter may be used for embedding a slow-roll inflation into supergravity. In our setup the correspondence can be promoted even further, by embedding the slow-roll inflation into the 'purely geometrical' (apparently) higher-derivative supergravity theory (5), defined in terms of a **single** holomorphic function.

## No-scale modified supergravity

The no-scale supergravity arises by demanding the scalar potential (33) to **vanish**. It results in the **vanishing** cosmological constant **without** fine-tuning. The no-scale supergravity potential  $G$  has to obey the non-linear **2nd**-order partial differential equation

$$3 \frac{\partial^2 G}{\partial \Phi \partial \bar{\Phi}} = \frac{\partial G}{\partial \Phi} \frac{\partial G}{\partial \bar{\Phi}} \quad (34)$$

A gravitino **mass**  $m_{3/2}$  is given by the vacuum expectation value (**Wess, Bagger**)

$$m_{3/2} = \langle e^{G/2} \rangle \quad (35)$$

so that the spontaneous supersymmetry breaking scale can be arbitrarily chosen.

- We get extra bonus, when identifying the  $N = 1$  modified supergravity **gravitino** with **CDM particle** (cf. Moroi, Murayama, 1993). The gravitino CDM is the **darkest** DM candidate since it cannot be produced at colliders. If gravitino is **LSP**, it would also be **stable**.

Imposing the no-scale condition (34) in our case (32) gives rise to the **1st order** non-linear differential equation

$$3 \left( e^{\bar{\Phi}} X' + e^{\Phi} \bar{X}' \right) = \left| e^{\bar{\Phi}} X' + e^{\Phi} \bar{X}' \right|^2 \quad (36)$$

where we have introduced the notation

$$\mathcal{Z}(\Phi) = e^{-\Phi} X(\Phi), \quad X' = \frac{dX}{d\Phi} \quad (37)$$

Accordingly, the **gravitino mass** (35) is given by

$$m_{3/2} = \left\langle \exp \frac{1}{2} \left( e^{\bar{\Phi}} X + e^{\Phi} \bar{X} \right) \right\rangle \quad (38)$$

• We are **not** aware of any non-trivial holomorphic exact solution to eq. (36). For instance, should it obey the **holomorphic** differential equation

$$X' = e^{\Phi} g(X, \Phi) \quad (39)$$

with a **holomorphic** function  $g(X, \Phi)$ , eq. (36) gives rise to the **functional** equation

$$3(g + \bar{g}) = \left| e^{\bar{\Phi}} g + \bar{X} \right|^2 \quad (40)$$

• Being restricted to the **real** variables  $\Phi = \bar{\Phi} \equiv y$  and  $X = \bar{X} \equiv x$ , eq. (36) reads

$$6x' = e^y (x' + x)^2, \quad \text{where} \quad x' = \frac{dx}{dy} \quad (41)$$

This equation can be integrated after the change of variables \*

$$x = e^{-y} u \quad (42)$$

that leads to a **quadratic** (!) equation with respect to  $u' = du/dy$ ,

$$(u')^2 - 6u' + 6u = 0 \quad (43)$$

It follows

$$y = \int^u \frac{d\xi}{3 \pm \sqrt{3(3 - 2\xi)}} = \mp \sqrt{1 - \frac{2}{3}u} + \ln \left( \sqrt{3(3 - 2u)} \pm 3 \right) + C. \quad (44)$$

\*I am grateful to [A. Starobinsky](#) who pointed it out to me.



## Conclusion

- $f(R)$  gravity may pass local gravity tests, while modifying Einstein gravity at cosmological distances. The **characteristic distance** entering these modifications is of the order **10 pc** for the Sun and of the order **100 Kpc** for a galaxy;
- within the **Vainstein** distance, linearization of modified gravity breaks down (van Acoyelen, 2006), ie. gravity is in the **non-perturbative** regime (Dvali, 2006);
- in  $f(R)$  gravity the present Universe acceleration is the manifestation of a **new geometry** of the Universe (**presumably** generated by Quantum Gravity or Superstrings - however, no claim yet);
- $f(R)$  gravity is classically **equivalent** to the quintessence (or Dynamical Dark Energy). The late time acceleration of the Universe may be driven e.g., by the cosmological constant  $\Lambda = \langle V \rangle_0$  in the quintessence scalar potential  $V$ ;

- $f(R)$  gravity may provide a **unified** description of the early Universe **inflation** and the present Universe **acceleration**;
- the **transition** from the ordinary matter-dominated FLRW Universe to the modified evolution is controlled by the extra dimensional constant  $R_0$ , whose value is of the order of the present Hubble parameter  $H_0$ ;
- though it may be impossible to distinguish between a modified gravity and DE in the FLRW Universe, it may well be possible in an **inhomogeneous** Universe;
- It is possible to **avoid ghosts** in a higher-derivative gravity and supergravity. In some special cases, a higher-derivative gravity may be classically equivalent to a scalar-tensor gravity without ghosts or higher derivatives;

- A locally  $N = 1$  supersymmetric **extension** of the modified  $f(R)$  gravity exist, and is parametrized by a single holomorphic function. It is classically equivalent to the standard theory of a chiral scalar superfield (with non-trivial Kähler potential and chiral superpotential) coupled to the (minimal)  $N = 1$  Poincaré supergravity in four space-time dimensions;
  - $F(R)$  supergravity gives rise to the spacetime **torsion** and has the natural candidate for a CDM-particle: a massive **gravitino**. We conjectured the **identification** of the dynamical chiral superfield in the modified supergravity and the **dilaton-axion** chiral superfield in superstring theory (more opportunities for CDM and **hybrid** inflation!);
    - we computed a **scalar potential** in the dual version of the modified supergravity via the **Legendre–Kähler-Weyl** transform in superspace. The Kähler potential, the superpotential and the scalar potential of the dual theory are all governed by a **single holomorphic** function;
    - we also found the conditions for the **vanishing** cosmological constant and spontaneous supersymmetry breaking, **without** fine-tuning, defining the **no-scale**  $F(\mathcal{R})$  modified supergravity.

## Comments and Discussion

## Warped compactification to 4 spacetime dimensions

- To match the constraints imposed by particle physics, M-theory should be compactified on a 7-dimensional space of special ( $G_2$ ) holonomy (Atiyah, Witten)
- In the presence of fluxes, we consider a warped compactification

$$ds_{11}^2 = e^{2A(y)} ds_{\text{FRW}}^2 + e^{-2A(y)} ds_7^2 \quad (45)$$

where  $ds_{\text{FRW}}^2(x)$  is the (uncompactified) 4-dim FLRW metric,  $ds_7^2(y)$  is a (compactified) 7-dim metric, and  $A(y)$  is a warp factor,  $\nabla^2 A \propto (\text{fluxes})^2$ .

- Since we are interested in the purely gravitational terms in 4 dims, the explicit form of the 7-metric  $ds_7^2$  is not needed. After dimensional reduction, the only gravitational terms in 4 dims are

$$S_4 = -\frac{1}{2\kappa^2} \int d^4x \sqrt{-g} (R + \beta J_R) \quad (46)$$

where we have introduced the Einstein coupling  $\kappa$  in four dimensions, and the four-dimensional counterpart  $J_R$  of  $J$  in eq. (1),  $i, j = 0, 1, 2, 3$ ,

$$J_R = R^{mijn} R_{pijq} R_m{}^{rsp} R^q{}_{rsn} + \frac{1}{2} R^{mnij} R_{pqij} R_m{}^{rsp} R^q{}_{rsn} + O(R_{mn}) \quad (47)$$

## Couplings and scales

The relation between the coupling constants  $\kappa_{11}$  and  $\kappa$  is  $\kappa^2 = e^{5A} M_{\text{KK}}^7 \kappa_{11}^2$  where the **Kaluza-Klein** (KK) compactification scale is  $M_{\text{KK}}^{-7} = Vol_7 \equiv \int d^7 y \sqrt{g_7}$ , and the **average** warp factor  $A$  (of integer weight  $p$ ) is defined by

$$e^{pA} = \frac{1}{Vol_7} \int d^7 y \sqrt{g_7} e^{pA(y)} \quad (48)$$

It follows

$$\beta = \frac{1}{3} \left( \frac{\kappa^2}{2^{23/2} \pi^5 e^{14A} M_{\text{KK}}^7} \right)^{2/3} \quad (49)$$

of mass dimension  $-6$ . When using the Planck scale  $\kappa \approx 10^{-33}$  cm and  $M_{\text{KK}}^{-1} \approx 10^{-15}$  cm, and **ignoring** the warp factor,  $A = 0$ , we get (in fact, **unacceptable**) value  $\beta \approx 10^{-118}$  cm<sup>6</sup>. Altogether it leads to the **modified** gravitational equations

$$R_{ij} - \frac{1}{2} g_{ij} R + \beta \frac{1}{\sqrt{-g}} \frac{\delta}{\delta g^{ij}} (\sqrt{-g} J_R) = \kappa^2 T_{ij} \quad (50)$$

where  $T_{ij}$  stands for the energy-momentum tensor of all matter fields (including dilaton and axion)

## On-shell structure of the quartic gravity terms

Detailed structure and physical meaning of the **quartic** curvature terms are revealed via their connection to the four-dimensional **Bel-Robinson** (BR) tensor

$$T_R^{iklm} = R^{ipql} R^k{}_{pq}{}^m + {}^*R^{ipql} {}^*R^k{}_{pq}{}^m \quad (51)$$

whose structure is quite similar to that of the **Maxwell** stress-energy tensor, *cf.*

$$T_{ij}^{\text{Maxwell}} = F_{ik} F_j{}^k + {}^*F_{ik} {}^*F_j{}^k, \quad F_{ij} = \partial_i A_j - \partial_j A_i \quad (52)$$

Weyl **cousin**  $T_C^{ijklm}$  of the BR tensor is obtained by replacing all curvatures by Weyl tensors. It is **factorized** in the 2-component (spinor) formalism,

$$(T_C)_{\alpha\beta\gamma\delta\dot{\alpha}\dot{\beta}\dot{\gamma}\dot{\delta}} = C_{\alpha\beta\gamma\delta} \bar{C}_{\dot{\alpha}\dot{\beta}\dot{\gamma}\dot{\delta}} \quad (53)$$

**Important!** : here we consider all the quartic terms **on-shell**, i.e. **modulo** Ricci-tensor-dependent terms. Then we find

$$T_{ijkl}^2 = 8J_R = \frac{1}{4}(R_{ijkl} R^{ijkl})^2 + \frac{1}{4}({}^*R_{ijkl} R^{ijkl})^2 \quad (54)$$

and

$$\begin{aligned} T_{ijkl}^2 &= 8J_R = -\frac{1}{4}(*R_{ijkl}^2)^2 + \frac{1}{4}(*R_{ijkl}R^{ijkl})^2 \\ &= \frac{1}{4}(P_4^2 - E_4^2) = \frac{1}{4}(P_4 + E_4)(P_4 - E_4) \end{aligned} \quad (55)$$

in terms of the **Euler** and **Pontryagin** topological densities in four dimensions.

The on-shell **BR** tensor is **fully symmetric** and **traceless**,

$$T_{ijkl} = T_{(ijkl)} \quad , \quad T_{ikl}^i = 0 \quad (56)$$

is covariantly **conserved**

$$\nabla^i T_{ijkl} = 0 \quad (57)$$

and has a **positive** ‘energy’ density,

$$T_{0000} > 0 \quad (58)$$

When using *Riemann Normal Coordinates* (RNC) at a given spacetime point, one has

$$g_{ij} = \eta_{ij} \quad , \quad g_{ij,k} = 0 \quad , \quad g_{ij,mn} = -\frac{1}{3}(R_{imjn} + R_{injm}) \quad (59)$$



and

$$\Gamma_{jk,l}^i = -\frac{1}{3}(R^i_{jkl} + R^i_{kjl}) \quad (60)$$

Raising and lowering of vector indices are done with Minkowski metric  $\eta_{ij}$  and its inverse  $\eta^{ij}$ , so that all traces in the last two eqs. (59) and (60) vanish.

**BR** tensor is simply related to the gravitational energy-momentum **pseudo**-tensors in RNC (**Deser**):

$$T_{ijkl} = \partial_k \partial_l \left( t_{ij}^{LL} + \frac{1}{2} t_{ij}^E \right) \quad (61)$$

where the symmetric **Landau-Lifshitz** gravitational pseudo-tensor is

$$\begin{aligned} (t_{LL})^{ij} = & -\eta^{ip} \eta^{jq} \Gamma_{pm}^k \Gamma_{qk}^m + \Gamma_{mn}^i \Gamma_{pq}^j \eta^{mp} \eta^{nq} \\ & - \left( \Gamma_{np}^m \Gamma_{mq}^j \eta^{in} \eta^{pq} + \Gamma_{np}^m \Gamma_{mq}^i \eta^{jn} \eta^{pq} \right) + h^{ij} \Gamma_{np}^m \Gamma_{mq}^n \eta^{pq} \end{aligned} \quad (62)$$

and the non-symmetric **Einstein** gravitational pseudo-tensor is

$$(t^E)^i_j = \left( -2\Gamma_{mp}^i \Gamma_{jq}^m + \delta_j^i \Gamma_{pm}^n \Gamma_{qn}^m \right) \eta^{pq} \quad (63)$$

## Modified cosmological (Friedmann) equations

Substituting the FRW metric into a **generic** (about 100 different terms!) quartic gravity equations of motion yields the **generalized Friedmann** equation having the form ( $k = 0$ )

$$3H^2 \equiv 3 \left( \frac{\dot{a}}{a} \right)^2 = \beta P_8 \left( \frac{\dot{a}}{a}, \frac{\ddot{a}}{a}, \frac{\dddot{a}}{a}, \frac{\ddddot{a}}{a} \right), \quad (64)$$

where  $P_8$  is a **polynomial** with respect to its arguments,

$$P_8 = \sum_{\substack{n_1+2n_2+3n_3+4n_4=8, \\ n_1, n_2, n_3, n_4 \geq 0}} c_{n_1 n_2 n_3 n_4} \left( \frac{\dot{a}}{a} \right)^{n_1} \left( \frac{\ddot{a}}{a} \right)^{n_2} \left( \frac{\dddot{a}}{a} \right)^{n_3} \left( \frac{\ddddot{a}}{a} \right)^{n_4} \quad (65)$$

The sum goes over the **integer** partitions  $(n_1, 2n_2, 3n_3, 4n_4)$  of 8, the dots stand for the derivatives with respect to time  $t$ , and  $c_{n_1 n_2 n_3 n_4}$  are some real coefficients. The highest derivative enters linearly at most,  $n_4 = 0, 1$ .

For instance, the **FLRW** Ansatz with  $k = 0$  results in the non-vanishing curvatures

$$R^0_{\mu 0 \nu} = \delta_{\mu \nu} \ddot{a} a, \quad R^\mu_{\nu \lambda \rho} = (\delta^\mu_\lambda \delta_{\nu \rho} - \delta^\mu_\rho \delta_{\nu \lambda}) (\dot{a})^2, \quad R^\mu_{\nu} = -\delta^\mu_{\nu} \left[ \frac{\ddot{a}}{a} + 2 \left( \frac{\dot{a}}{a} \right)^2 \right] \quad (66)$$

where  $\mu, \nu, \lambda, \rho = 1, 2, 3$ . As regards the  $(BR)^2$  gravity, we find

$$3H^2 + \beta \left[ 9 \left( \frac{\ddot{a}}{a} \right)^4 - 36H^2 \left( \frac{\ddot{a}}{a} \right)^3 + 84H^4 \left( \frac{\ddot{a}}{a} \right)^2 - 36H \left( \frac{\ddot{a}}{a} \right)^2 \left( \frac{\ddot{\ddot{a}}}{a} \right) + 63H^8 - 72H^3 \left( \frac{\ddot{a}}{a} \right) \left( \frac{\ddot{\ddot{a}}}{a} \right) + 48H^6 \left( \frac{\ddot{a}}{a} \right) - 24H^5 \left( \frac{\ddot{\ddot{a}}}{a} \right) \right] = 0 \quad (67)$$

It is remarkable that the **4th** order time derivatives **cancel**, whereas the square of the **3rd** order time derivative of the scale factor,  $\ddot{\ddot{a}}^2$ , does **not** appear at all.

## Exact non-perturbative inflationary solutions

The structure of eqs. (64) and (65) admits the existence of rather **generic** exact inflationary solutions without a spacetime singularity, when using the most naive (**de Sitter**) Ansatz for the scale factor,

$$a(t) = a_0 e^{Bt} \quad (68)$$

with some real positive constants  $a_0$  and  $B$ . Substituting eq. (68) into eq. (64), we get  $3B^2 = (\#)\beta B^8$ , whose coefficient ( $\#$ ) is just a sum of all  $c$ -coefficients in eq. (65). Assuming the ( $\#$ ) to be positive, we find (68) as the exact solution with

$$B = \left( \frac{3}{\#\beta} \right)^{1/6} \quad (69)$$

This solution is **non-perturbative** in  $\beta$ , i.e. it is impossible to get it when considering the quartic curvature terms as a perturbation. The assumption that we are dealing with the **leading** correction, implies  $Bt \ll 1$ . Because of eqs. (49) and (69), it leads to the natural **hierarchy**

$$\kappa M_{\text{KK}} \ll 1 \quad \text{or} \quad l_{\text{Pl}} \ll l_{\text{KK}} \quad (70)$$

where we have introduced the four-dimensional Planck scale  $l_{\text{Pl}} = \kappa$  and the compactification scale  $l_{\text{KK}} = M_{\text{KK}}^{-1}$ .

The **effective Hubble** scale  $B$  of eq. (69) should be **lower** than the **effective** (with warping) **KK** scale  $M_{\text{KK}}^{\text{eff.}} = e^A M_{\text{KK}}$ , in order to validate our four-dimensional description of gravity, i.e. our ignorance of all KK modes,

$$B < M_{\text{KK}}^{\text{eff.}} \quad (71)$$

It rules out the naive KK reduction (with  $A = 0$ ) but still allows the **warped** compactification (45), when the average warp factor is tuned as

$$e^A < \frac{(\kappa M_{\text{KK}})^{2/5}}{(9/\#)^{3/10} 2^{23/10} \pi} \sim \mathcal{O}(10^{-3}) \quad (72)$$

where we have used eq. (49) and estimated  $(\#)$  as of order 10.

Our exact solution (68) is **non-singular**, while it describes an **inflationary** isotropic and homogeneous universe; cf. [Starobinsky \(1980\)](#), [Maeda, Ohta \(2004\)](#)

## Stability analysis

To be **truly** inflationary solutions, eqs. (68) and (69) should correspond to the **stable** fixed points (or **attractors**). When using the parametrization

$$a(t) = e^{\lambda(t)} \quad (73)$$

we find

$$\begin{aligned} \frac{\dot{a}}{a} &= \dot{\lambda} \quad , \\ \frac{\ddot{a}}{a} &= \ddot{\lambda} + (\dot{\lambda})^2 \quad , \\ \frac{\overset{\bullet\bullet\bullet}{a}}{a} &= \overset{\bullet\bullet\bullet}{\lambda} + 3 \overset{\bullet\bullet}{\lambda} \dot{\lambda} + (\dot{\lambda})^3 \quad , \\ \frac{\overset{\bullet\bullet\bullet\bullet}{a}}{a} &= \overset{\bullet\bullet\bullet\bullet}{\lambda} + 4 \overset{\bullet\bullet\bullet}{\lambda} \ddot{\lambda} + 6 \overset{\bullet\bullet}{\lambda} (\dot{\lambda})^2 + 3(\ddot{\lambda})^2 + (\dot{\lambda})^4 \end{aligned} \quad (74)$$

The polynomial (65) now takes the form

$$P_8 = \sum_{\substack{n_1+2n_2+3n_3+4n_4=8, \\ n_1, n_2, n_3, n_4 \geq 0}} d_{n_1 n_2 n_3 n_4} \left( \overset{\bullet}{\lambda} \right)^{n_1} \left( \overset{\bullet\bullet}{\lambda} \right)^{n_2} \left( \overset{\bullet\bullet\bullet}{\lambda} \right)^{n_3} \left( \overset{\bullet\bullet\bullet\bullet}{\lambda} \right)^{n_4} \quad (75)$$

where the  $d$ -coefficients are some simple **linear combinations** of the  $c$ -coefficients.

Equations (68) and (69) are also simplified as

$$\lambda(t) = Bt + \lambda_0, \quad \text{where} \quad a_0 = e^{\lambda_0} \quad \text{and} \quad d_{8000} = \# \quad (76)$$

The equations of motion (64) can be rewritten to the form

$$3y_1^2 = \beta P_8(y_1, y_2, y_3, \dot{y}_3) \equiv \beta P_{8,0}(y_1, y_2, y_3) + \beta P_4(y_1, y_2, y_3) \dot{y}_3, \quad (77)$$

where we have introduced the notation

$$y_1 = \dot{\lambda}, \quad y_2 = \ddot{\lambda}, \quad y_3 = \dddot{\lambda}. \quad (78)$$

Equation (77) can now be put into the **autonomous** form

$$\begin{aligned} \dot{y}_1 &= y_2, \\ \dot{y}_2 &= y_3, \\ \dot{y}_3 &= \frac{3y_1^2 - \beta P_{8,0}(y_1, y_2, y_3)}{\beta P_4(y_1, y_2, y_3)} \equiv f(y_1, y_2, y_3) \end{aligned} \quad (79)$$

that is quite suitable for the stability analysis against **small** perturbations about the fixed point,  $y_a = y_a^{\text{fixed}} + \delta y_a$ , where  $a = 1, 2, 3$ .

We find

$$\begin{aligned}\delta \dot{y}_1 &= \delta y_2 , \\ \delta \dot{y}_2 &= \delta y_3 , \\ \delta \dot{y}_3 &= \left. \frac{\partial f}{\partial y_1} \right| \delta y_1 + \left. \frac{\partial f}{\partial y_2} \right| \delta y_2 + \left. \frac{\partial f}{\partial y_3} \right| \delta y_3 ,\end{aligned}\tag{80}$$

where all the partial derivatives are taken at the fixed point (denoted by  $|$ ). The fixed point is **stable** when **all** the eigenvalues of the matrix

$$\hat{M} = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ \left. \frac{\partial f}{\partial y_1} \right| & \left. \frac{\partial f}{\partial y_2} \right| & \left. \frac{\partial f}{\partial y_3} \right| \end{pmatrix}\tag{81}$$

in eq. (80) are **negative** or have negative real parts. Then our fixed point is a **stable attractor**.



## Scale factor duality

More restrictions on the cosmological polynomial  $P_8$  of eq. (65) arise in specific superstring-inspired cosmological scenarios, e.g. in the **pre-Big Bang** scenario of **Gasperini-Veneziano**. They imposed the **scale factor duality**, by requiring the cosmological Friedmann equation to be invariant under the duality transformation

$$a(t) \leftrightarrow \frac{1}{a(t)} \equiv b(t) \quad (82)$$

This duality is a cosmological version of the genuine stringy **T-duality** (which is a symmetry of the non-perturbative string spectrum), in the case of **time-dependent** backgrounds. The scale factor duality is merely the symmetry of the perturbative equations of motion of the background fields. It is used to **exclude** the cosmological singularity (Big Bang) in the pre-Big Bang scenario.

In the  $\lambda$ -parametrization (73) the scale-factor duality transformation (82) takes the very simple form

$$\lambda(t) \leftrightarrow -\lambda(t) \quad (83)$$

The equations of motion in the form (77) are **manifestly** invariant under the transform  $\lambda(t) \rightarrow \lambda(t) + \lambda_0$ , where  $\lambda_0$  is an arbitrary constant. We also find

$$\begin{aligned} \frac{\dot{a}}{a} &= -\frac{\dot{b}}{b}, & \frac{\ddot{a}}{a} &= -\frac{\ddot{b}}{b} + 2\left(\frac{\dot{b}}{b}\right)^2, \\ \frac{\overset{\bullet\bullet\bullet}{a}}{a} &= -\frac{\overset{\bullet\bullet\bullet}{b}}{b} + 6\left(\frac{\dot{b}}{b}\right)\left(\frac{\ddot{b}}{b}\right) - 6\left(\frac{\dot{b}}{b}\right)^3, \\ \frac{\overset{\bullet\bullet\bullet\bullet}{a}}{a} &= -\frac{\overset{\bullet\bullet\bullet\bullet}{b}}{b} + 6\left(\frac{\ddot{b}}{b}\right)^2 + 8\left(\frac{\dot{b}}{b}\right)\left(\frac{\overset{\bullet\bullet\bullet}{b}}{b}\right) - 36\left(\frac{\dot{b}}{b}\right)^2\left(\frac{\ddot{b}}{b}\right) + 24\left(\frac{\dot{b}}{b}\right)^4 \end{aligned} \quad (84)$$

To illustrate, how the scale factor duality affects the polynomial  $P_8$ , let's consider the case with the **3rd** order time derivatives, motivated by eq. (67), in the notation

$$\frac{\dot{a}}{a} = x, \quad \frac{\ddot{a}}{a} = y, \quad \frac{\overset{\bullet\bullet\bullet}{a}}{a} = z \quad (85)$$

The duality invariance condition reads

$$P_8(-x, 2x^2 - y, 6xy - 6x^3 - z) = P_8(x, y, z) \quad (86)$$

The structure of the polynomial  $P_8$  in eq. (65), as the sum over partitions of 8, restricts a solution to eq. (86) to be most **quadratic** in  $z$ ,

$$P_8(x, y, z) = a_2(x, y)z^2 + b_5(x, y)z + c_8(x, y) , \quad (87)$$

whose coefficients are polynomials in  $(x, y)$ , of order given by subscript,

$$\begin{aligned} a_2(x, y) &= a_0x^2 + a_1y , \\ b_5(x, y) &= b_0x^5 + b_1x^3y + b_2xy^2 , \\ c_8(x, y) &= c_4y^4 + c_3y^3x^2 + c_2y^2x^4 + c_1yx^6 + c_0x^8 \end{aligned} \quad (88)$$

Their substitution into eq. (86) yields an **overdetermined** system of linear equations on the coefficients. Nevertheless, we find a consistent general solution,

$$\begin{aligned} P_8(x, y, z) &= a_0x^2z^2 + (b_0x^5 - 3a_0xy^2)z \\ &\quad + c_4y^4 + (9a_0 - 4c_4)y^3x^2 + c_2y^23x^4 \\ &\quad + (8c_4 - 18a_0 - 3b_0 - 2c_2)yx^6 + c_0x^8 \end{aligned} \quad (89)$$

parameterized by **five** real coefficients  $(a_0, b_0, c_4, c_2, c_0)$ .

Demanding the existence of the exact solution (68), i.e. **positivity** of (#) in eq. (69), yields

$$5c_4 + c_0 > 11a_0 + 2b_0 + c_2 \quad (90)$$

- As regards the  $(BR)^2$  gravity representing the ‘**minimal**’ candidate for the off-shell superstring quartic effective action, we checked that **neither** the duality invariance **nor** the inequality (90) are satisfied by the coefficients present in eq. (67). We interpret it as the clear indications that some additional Ricci-dependent terms **have to be added** to the  $(BR)^2$  terms or, equivalently, the  $(BR)^2$  gravity is **ruled out** as an off-shell quartic effective action for superstrings.

- Our results are generalizable to **any higher order** in the spacetime curvatures, because it amounts to increasing the order of the polynomial  $P$ . It leads to the ALL-orders or non-perturbative **speculative** cosmological equation:

$$H^2 = \frac{\dot{a}^2}{a^2} = \beta P[a(t)] \quad (91)$$

whose **function**  $P$  is subject to the scale-factor duality condition

$$P[a(t)] = P[1/a(t)] . \quad (92)$$

## Problems with String-generated Quartic Gravity

- The **Quartic** Gravity terms are **merely the leading terms** in the gravitational superstring effective action. When they become **large**, there is no reason why the higher-order curvature terms are to be ignored;
  - the higher-order (full) curvature terms, when considered non-perturbatively, may easily lead to **unphysical** solutions, and **violate** unitarity and causality.
  - We treated the Quartic Gravity as the **toy model** only.

## Remarks

- Finding a **Graceful Exit** from the geometrical inflation to a matter-driven inflation, as well as getting the **right** number of **e-foldings**, need further investigation
- A possibility to get inflation by modifying Einstein equations with the 2nd-order curvature terms (representing the gravitational anomalies of matter fields) was first discovered a long time ago by **Starobinsky** (1980). A similar mechanism is known in the four-dimensional **supergravity**, with inflation being generated by the  $\mathcal{R}^2$ -term originating from the one-loop Kähler anomaly (**Cardoso, Ovrut**)
- **Instabilities** in the cosmological scenarios based on the 2nd-order curvature terms, against adding higher order curvature terms, were analyzed by **Maeda**
- In General Relativity, only the spin-2 part of a metric is dynamical. A **dynamical generation** of a massive scalar field is known to occur already in the presence of the quadratic curvature terms (**Buchbinder et al**) out of the spin-0 part of the metric. In supergravity, as we found, the whole chiral scalar superfield becomes dynamical, while it can be identified with the **super-Weyl compensator**.

- In superstring theory, the superspin-0 part of the supervielbein is given by a chiral scalar superfield, whose leading complex component represents a **dilaton-axion** field,  $\phi| = \varphi(x) + iB(x)$ . It is tempting **to identify**  $\varphi(x)$  with a superstring dilaton, and  $B$  with a superstring  $B$ -field (or axion). In string theory, the dilaton field controls the superstring loops and (D-brane) instantons, which may be the source of the function  $F(\mathcal{R})$ . The  $B$ -field is the source of the non-minimal space-time **torsion** in string theory.

- Unfortunately, the string theory technology at present does **not** allow us to compute the function  $F(\mathcal{R})$  in eq. (5). It is mainly because of the **on-shell** nature of the known string theory. However, its  $\mathcal{R}$ -dependence may be fixed by some **additional** (off-shell) physical requirements such as no-ghosts, stability, and the scale-factor self-duality, etc.

- Sometimes, a **positive** cosmological constant and a **slow-roll** inflation are achieved by demanding a **shift** symmetry of the Kähler potential (i.e. its flatness in one direction), and then perturbing the scalar potential around that flat direction.
- In the context of superstrings, the effective supergravity potential (32) may capture some **'stringy'** features, such as T-duality and maximal curvature.
- Since we used the (old) *minimal* formulation of an off-shell supergravity multiplet, with a **fixed** superconnection, adding the **minimal** coupling to a (scalar- or vector-type) supermatter is straightforward in superspace, just by using the supercovariant derivatives, while it does **not** change our results. However, adding a **non-minimal** coupling of matter to the scalar supercurvature would **drastically** change everything, so it deserves a separate investigation (work in progress).