

# BLACK HOLE ENTROPY AND (0,4) SCFTs FROM F-THEORY

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MS seminar, Kavli IPMU Tokyo

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Kilian Mayer



**Utrecht University**

based on arXiv 1808.05228  
work in progress:

related work: 1509.00455  
1705.04679

[T.W. Grimm, H. het Lam, KM, S. Vandoren]  
[C. Couzens, T.W. Grimm, H. het Lam, KM, S. Vandoren]

[Haghighat, Murthy, Vafa, Vandoren]  
[Couzens, Lawrie, Martelli, Schäfer-Nameki, Wong]

- A. What and Why?**
- B. F-theory preliminaries and previous work**
- C. 4d Black Holes from D3-branes**
- D. Computation of central charges and levels: Macroscopics**
  - (i) „classical“ contribution**
  - (ii) „quantum“ contribution**
- E. Other families of F-theory Black Holes: ADE classification**
- F. Summary & Outlook**



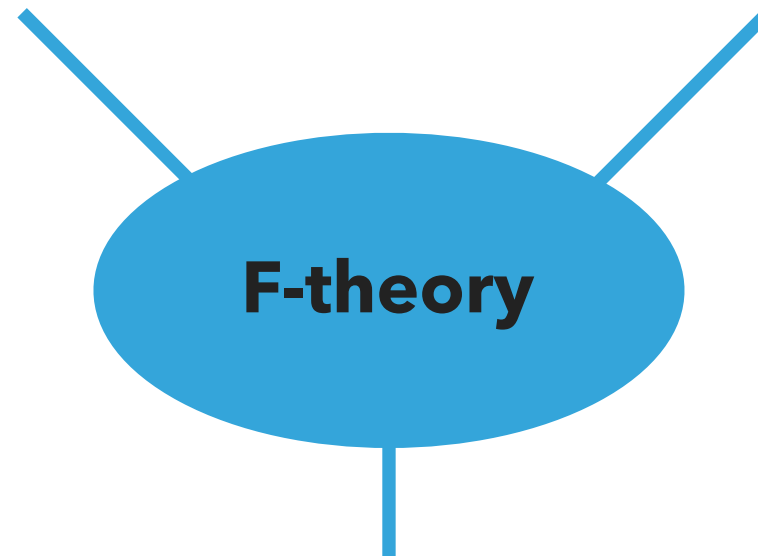
# Part I

## A. What and Why?

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study 4d and 5d SUSY black holes within the framework of **F-theory**

Construct SCFTs:  
6d (1,0), 4d  $\mathcal{N}=3$ ,  
2d SCFTs, ...

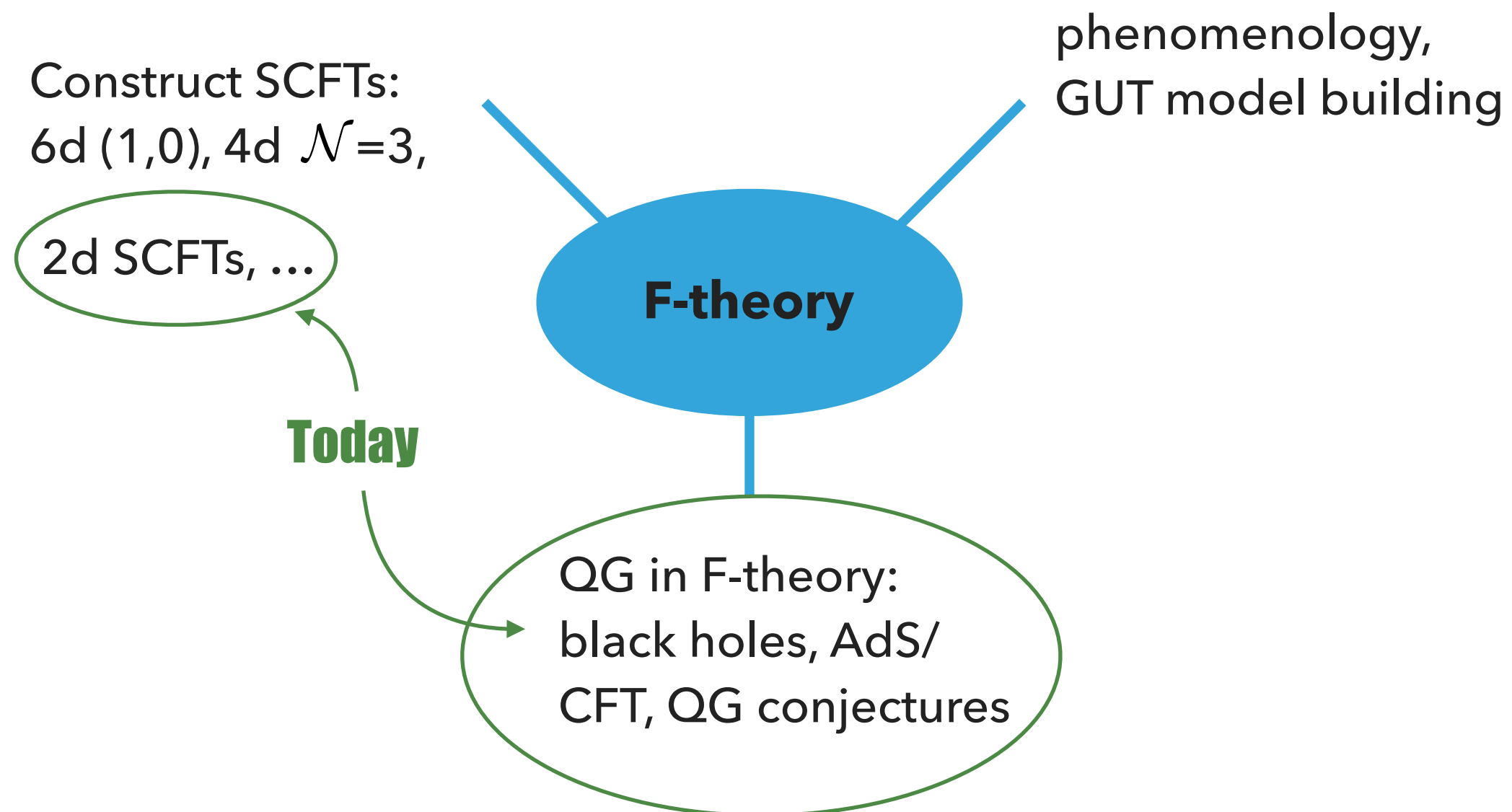


phenomenology,  
GUT model building

QG in F-theory:  
black holes, AdS/  
CFT, QG conjectures

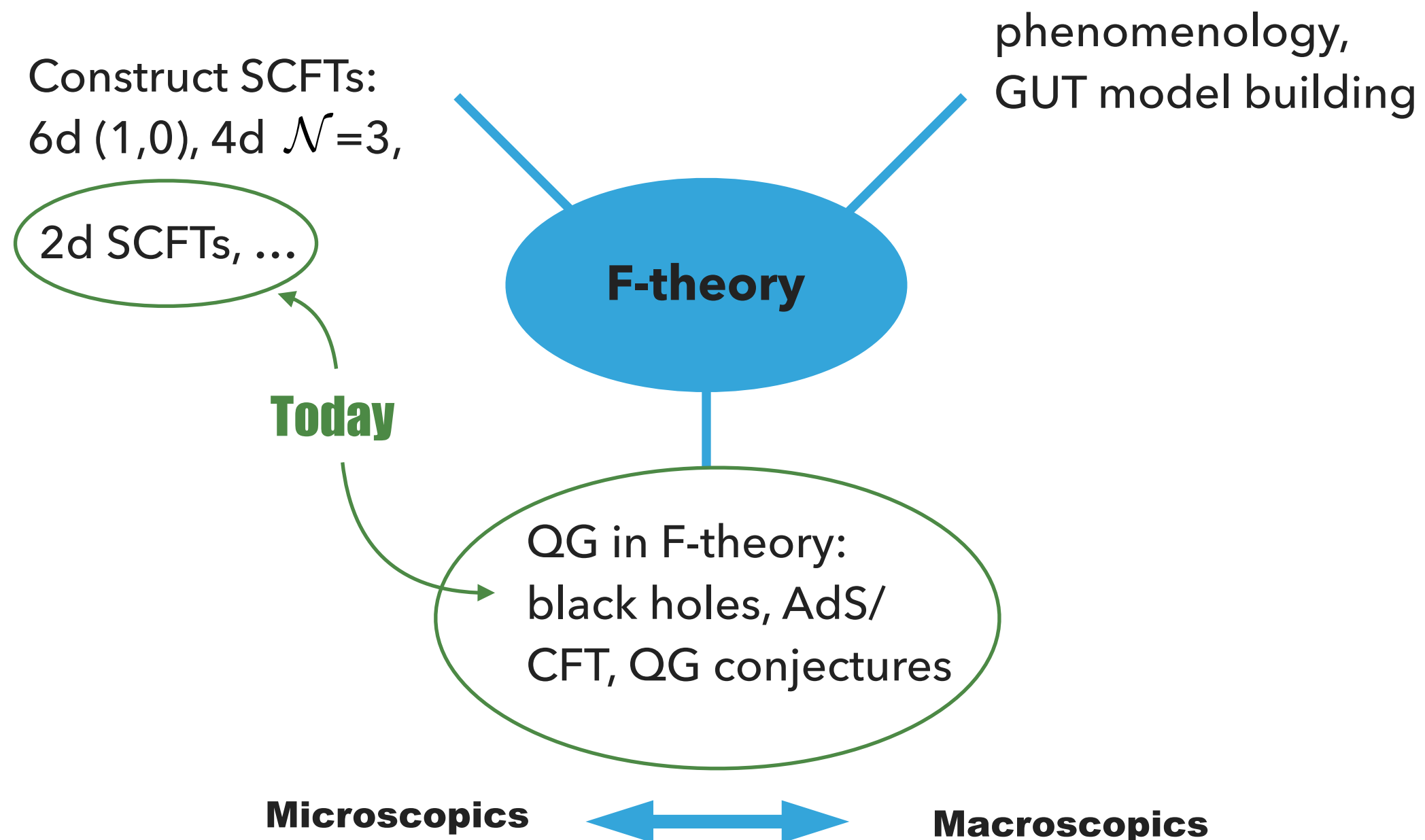
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# B. F-theory preliminaries

# B. F-THEORY PRELIMINARIES

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## Why F-theory?

**Type IIB model building:** Type IIB orientifolds with D3/D7 and O3/O7 planes

- (i) perturbative string theory  $\Rightarrow g_s = e^\phi \ll 1$
- (ii) probe approximation: no back-reaction of branes

**Is this a good (enough) starting point to construct string compactifications?**

- ➡ Yes, at least under certain limiting assumptions!  
(asymptotically back-reaction negligible, large volume, D7/O7 on top)
- ➡ Way out: **F-theory**

## B. F-THEORY PRELIMINARIES

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### A problem with D7-brane back-reaction:

BPS solution of Type IIB supergravity for p-branes ( $p < 7$ )

$$ds^2 = H_p^{-\frac{1}{2}} \eta_{\mu\nu} dx^\mu dx^\nu + H_p^{\frac{1}{2}} \delta_{ij} dx^i dx^j$$
$$e^{2\phi} = e^{2\phi_0} H_p^{\frac{3-p}{2}}, \quad H_p = 1 + \left(\frac{r_p}{r}\right)^{7-p}$$

For  $p = 7$  (i.e. codimension 2) separate analysis needed

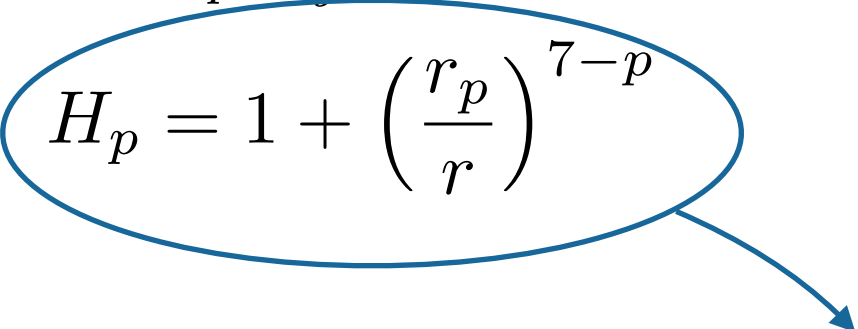
[Greene, Shapere, Vafa, Yau '90]

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**back-reaction!!**

[Greene, Shapere, Vafa, Yau '90]

- D7-brane in the background  $\mathbb{R}^{1,7} \times \mathbb{C}$
- D7 brane couples to RR 0-form  $C_0$  magnetically

Constraints from SUSY and equations of motions:

$$\bar{\partial}\tau = 0, \quad \tau = C_0 + i e^{-\phi}$$

$$d \star F_9 = \delta_{D7}, \quad \star F_9 = dC_0$$



Analysis in [Greene, Shapere, Vafa, Yau '90] shows: back-reaction of D7-branes not negligible,  
 $\tau(z)$  generically strongly varying

Integrate around D7: 
$$\int_{\mathbb{C}} d \star F_9 = \int_{S^1_{D7}} \star F_9 = \int_{S^1_{D7}} dC_0 = 1$$

Solution close to the D7: 
$$\tau(z) = \tau_0 + \frac{1}{2\pi i} \log z + \dots$$

$$\Rightarrow \tau \rightarrow \tau + 1 \quad \textbf{Monodromy}$$

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Type IIB invariant under  $SL(2, \mathbb{R}) \xrightarrow{D(-1)} SL(2, \mathbb{Z})$

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$T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$



**Monodromy by encircling D7 symmetry of the theory!**

➡ **Need to consider [p,q] 7-branes in Type IIB/F-theory**

[p, q] 7-brane induces a monodromy transformation

$$M_{p,q} = \begin{pmatrix} 1 - pq & p^2 \\ -q^2 & 1 + pq \end{pmatrix} \in SL(2, \mathbb{Z})$$

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**Insight in** [Vafa '96] :

Type IIB axio-dilaton $\tau_{\text{IIB}}$	$\longleftrightarrow$	complex structure of $T^2$
$SL(2, \mathbb{Z})$ in Type IIB	$\longleftrightarrow$	modular group of $T^2$

- Interpret axio-dilaton  $\tau_{\text{IIB}}$  as complex structure of an additional *auxiliary*  $T^2$
- back-reaction of 7-branes induces profile for  $\tau_{\text{IIB}}$ 
  - ➡ non-triviality of the  $T^2$  – fibration
- however: no 12d origin/interpretation of these  $T^2$  dimensions (known)  
(no suitable 12d supergravity exists,  $\text{vol}(T^2)$  not in the 10d effective action, ...)

# F-THEORY / M-THEORY DUALITY

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*Various definitions of F-theory:*

- Type IIB with varying  $\tau$  and 7-branes
- sometimes via F-theory/heterotic duality
- F-theory via M-theory

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F-theory via M-theory

M-theory on  $\mathbb{R}^{1,8} \times T^2$

$$(T^2 = S_A^1 \times S_B^1)$$

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reduce along  $S_A^1$ ,  $R_A \rightarrow 0$

weakly coupled Type IIA on  $\mathbb{R}^{1,8} \times S_B^1$  with  $g_s^{(\text{IIA})} = \frac{R_A}{\ell_s}$

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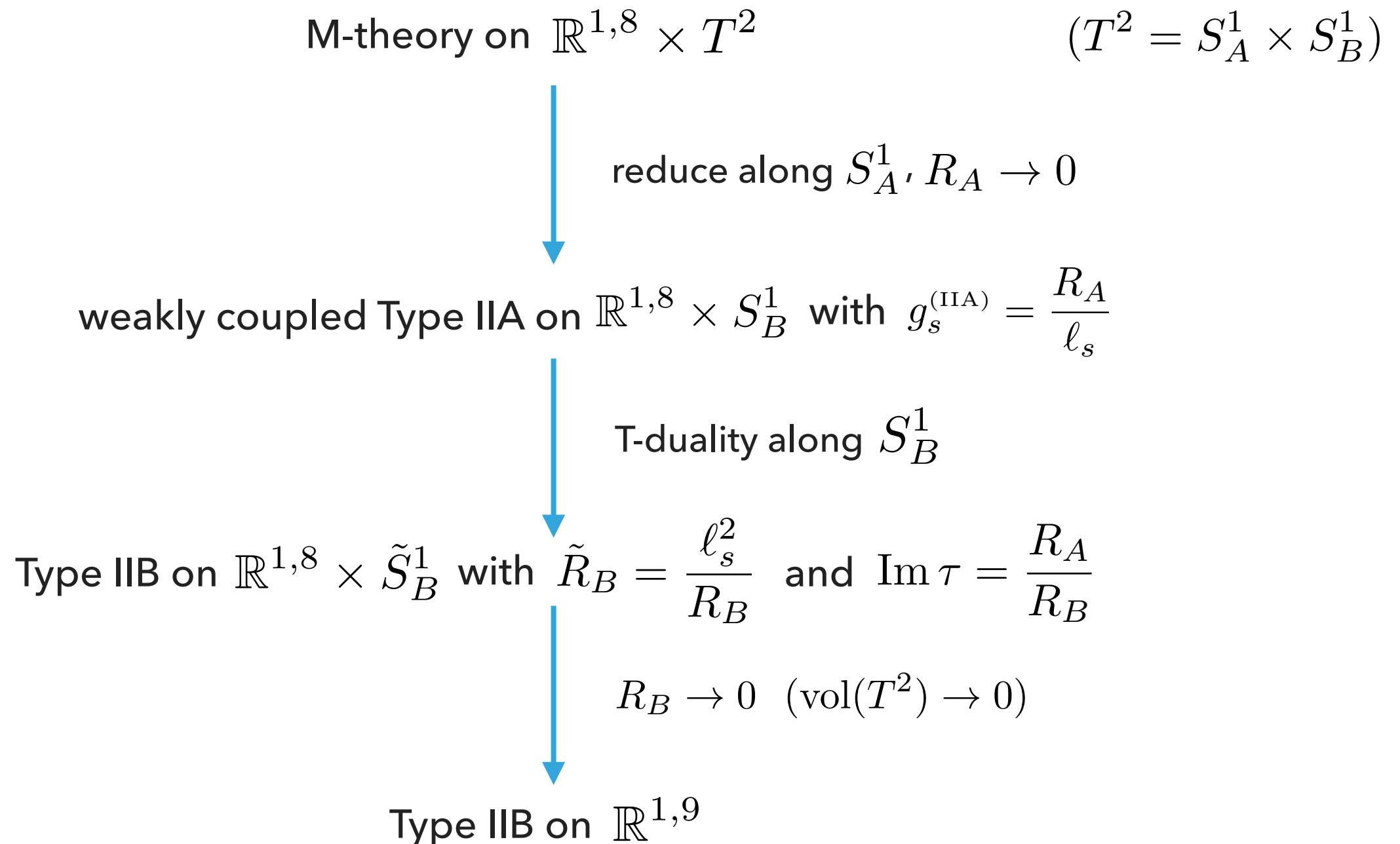
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T-duality along  $S_B^1$

Type IIB on  $\mathbb{R}^{1,8} \times \tilde{S}_B^1$  with  $\tilde{R}_B = \frac{\ell_s^2}{R_B}$  and  $\text{Im } \tau = \frac{R_A}{R_B}$

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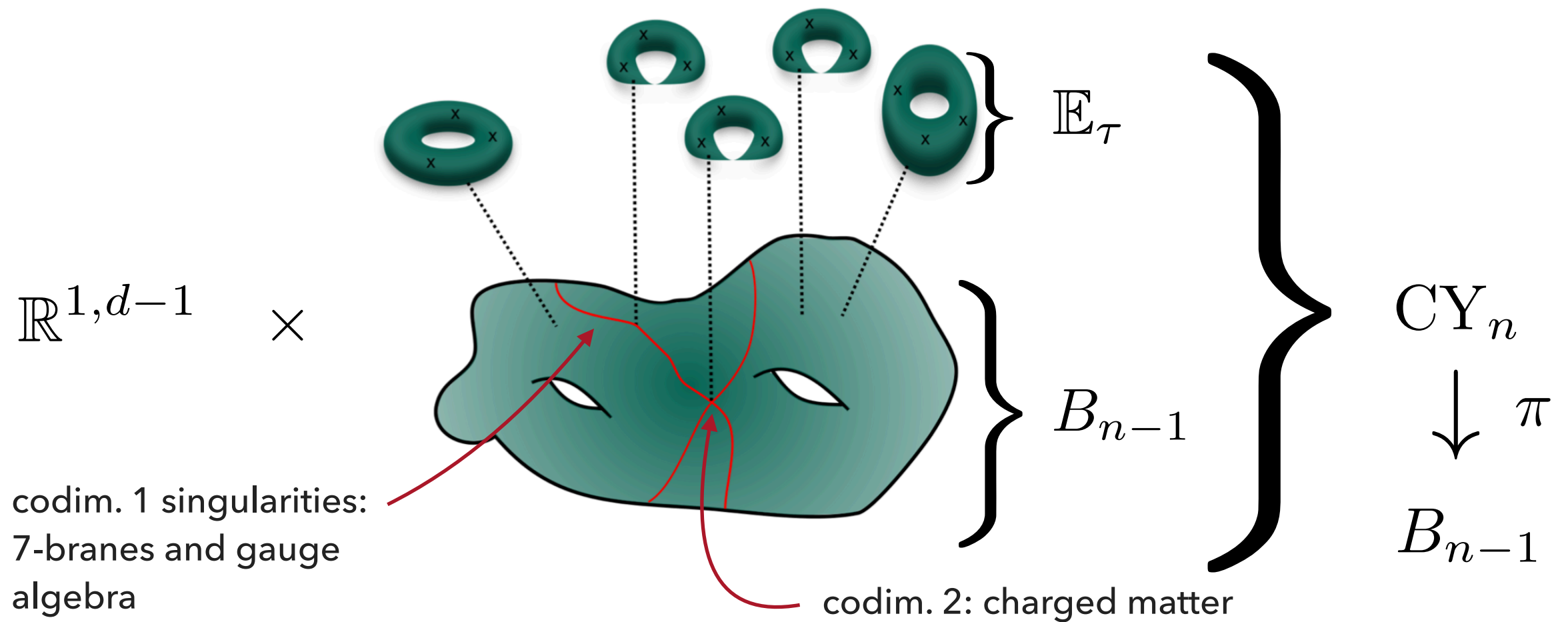
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$R_B \rightarrow 0$  ( $\text{vol}(T^2) \rightarrow 0$ )

Type IIB on  $\mathbb{R}^{1,9}$

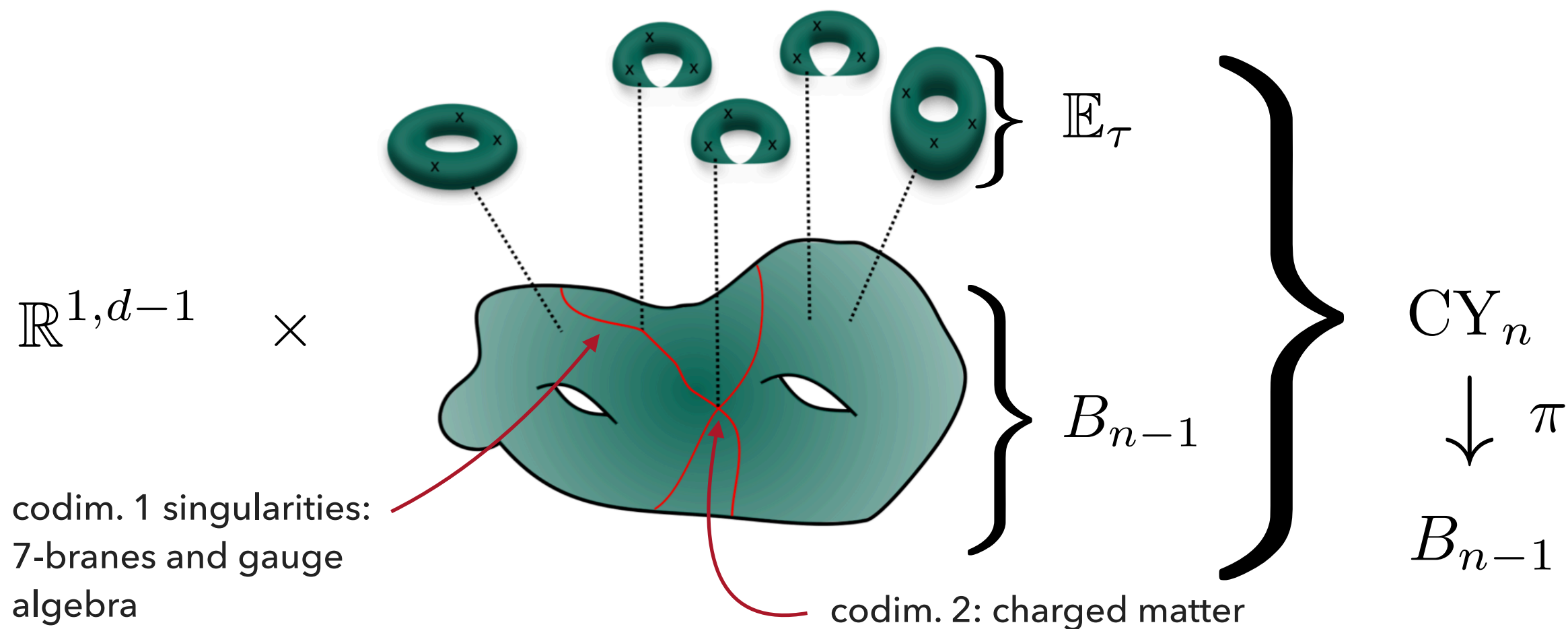
**For non-trivial fibration apply  
this duality fiber-wise!**

# F-THEORY ON CALABI-YAU MANIFOLDS



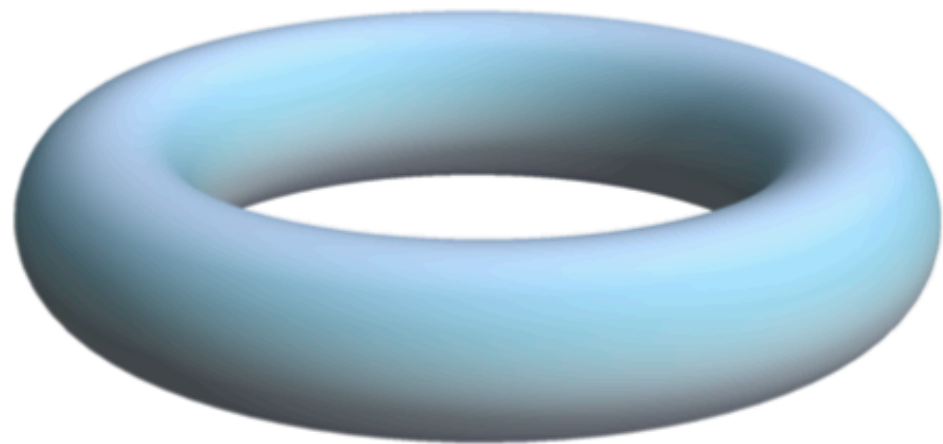
fibration	SUSY F-theory	SUSY M-theory
$CY_2=K3$	8d $\mathcal{N} = 1$ (16)	7d $\mathcal{N} = 2$ (16)
$CY_3$	6d $\mathcal{N} = (1, 0)$ (8)	5d $\mathcal{N} = 2$ (8)
$CY_4$	4d $\mathcal{N} = 1$ (4)	3d $\mathcal{N} = 2$ (4)
$CY_5$	2d $\mathcal{N} = (2, 0)$ (2)	1d $\mathcal{N} = 2$ SQM (2)

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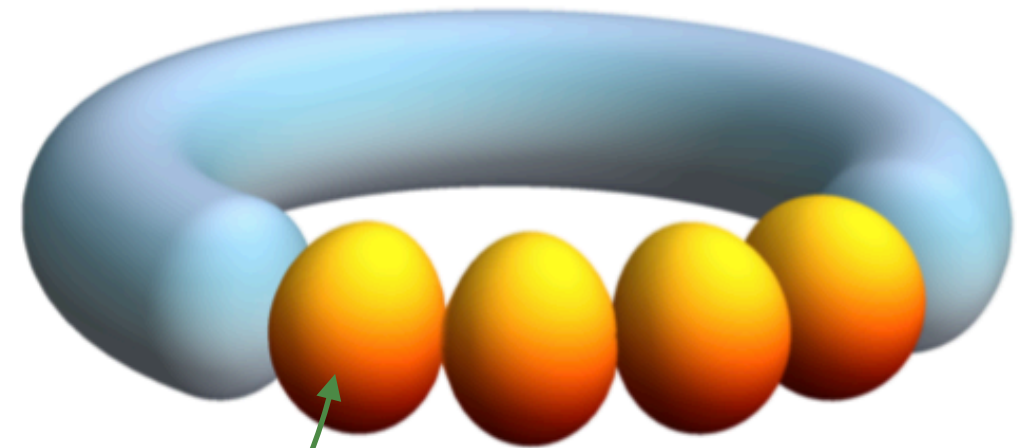


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# F-THEORY ON CALABI-YAU MANIFOLDS



*smooth fiber*



resolution  $\mathbb{P}^1_s$

*resolved fiber*

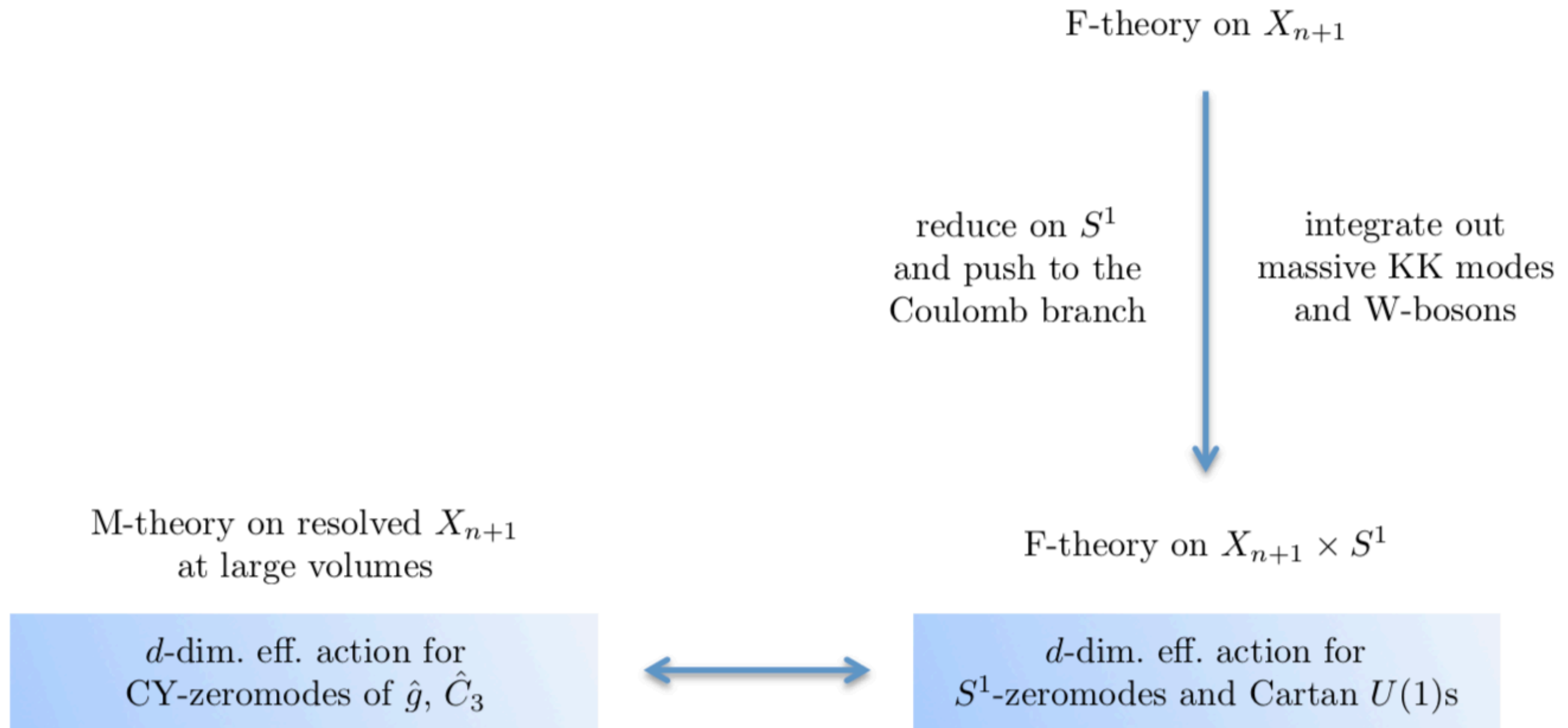
$\mathbb{P}^1_s$  have an intersection pattern as the nodes of affine Dynkin diagrams

→ intersection pattern dictates gauge algebra



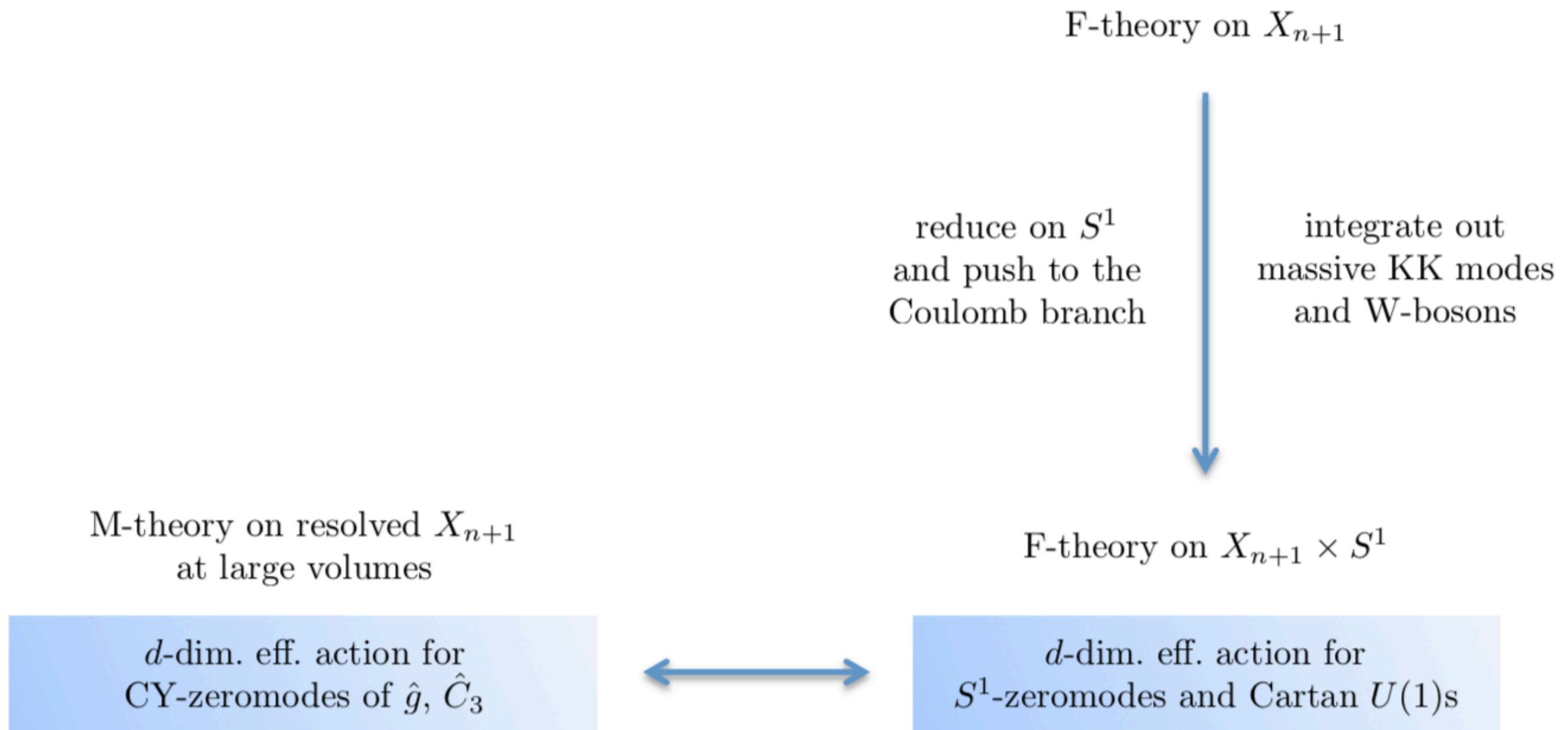
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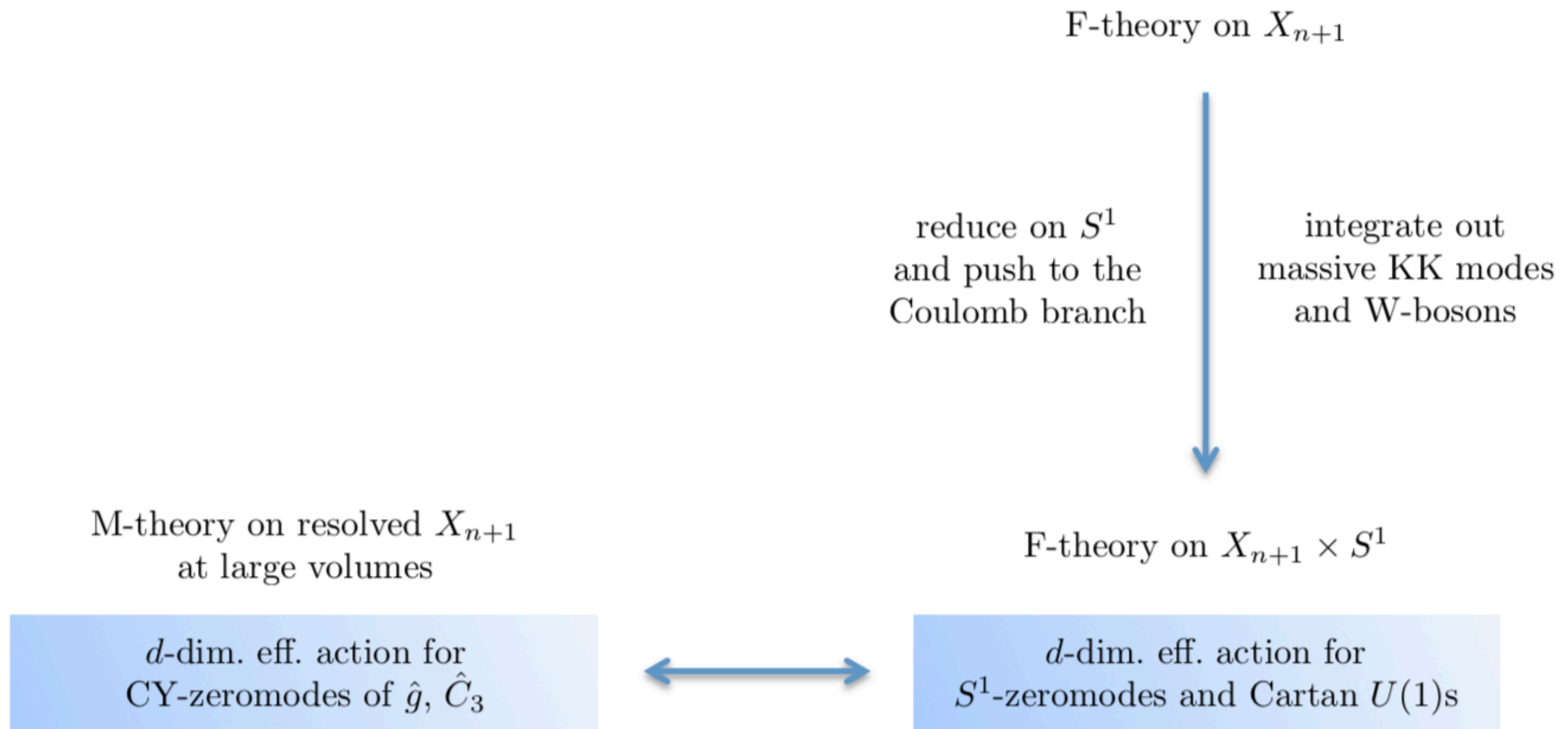
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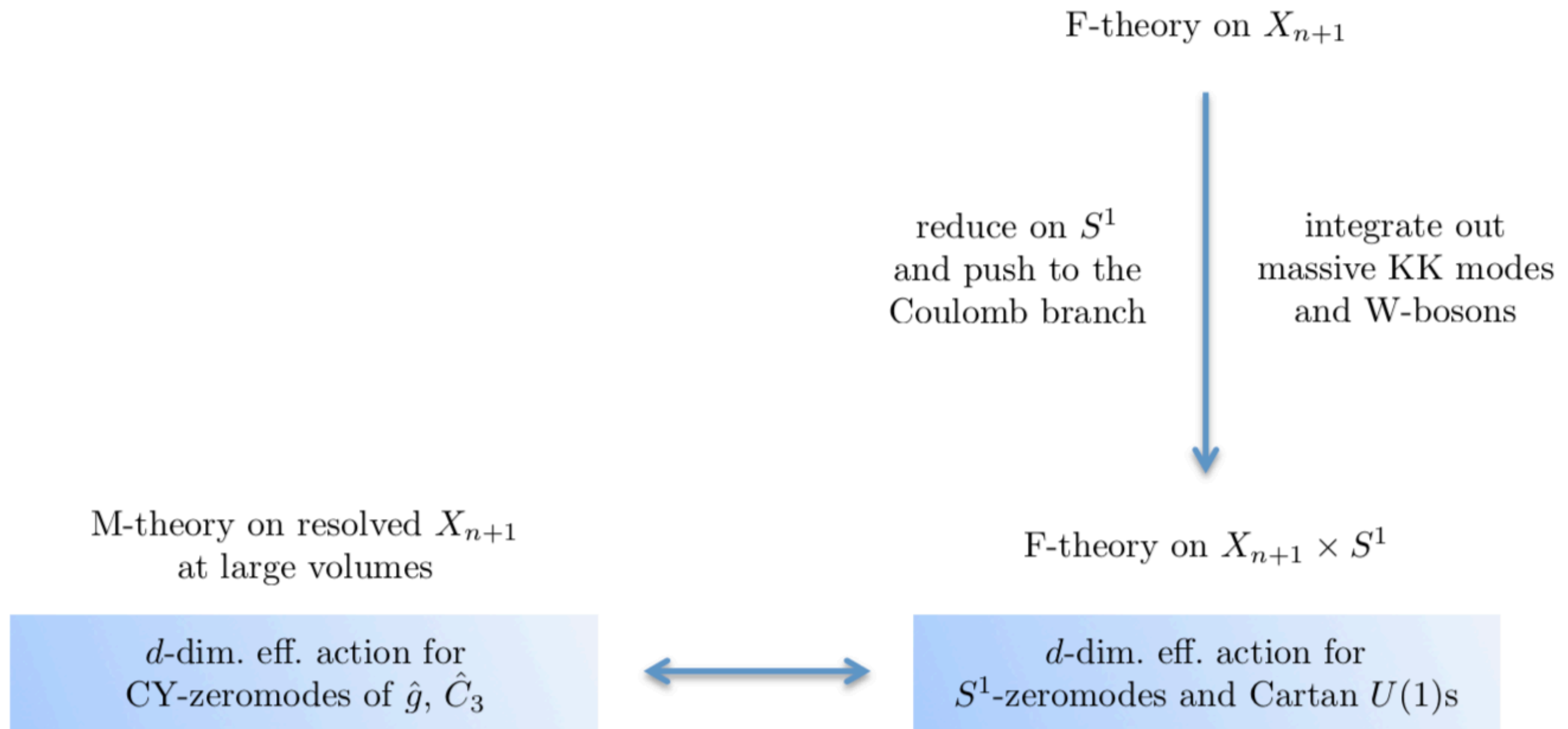
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- ➔ M2-branes wrapping  $\mathbb{E}_\tau$ : Kaluza-Klein modes

# F-THEORY IN A NUTSHELL

mathematics of elliptic fibrations  $\xrightarrow{\text{map to}}$  physics of F-theory  
 $\simeq$  Type IIB string theory with  
 varying axio-dilaton

**Holy grail:** establish *dictionary* between physics and mathematics

Physics of effective theory in $\mathbb{R}^{1,9-2n}$	Geometry of elliptic fibration $Y_{n+1}$
non-abelian gauge algebra	codim.-one singular fibers
localised charged matter representation	codim.-two singular fibers
localised uncharged matter	$\mathbb{Q}$ -factorial terminal singularities in codim. two
triple Yukawa interactions (4d/2d)	codim.-three singular fibers
quartic Yukawa interactions (2d)	codim.-four singular fibers
abelian gauge algebra	free part of Mordell-Weil group
global structure of gauge group	torsional part of Mordell-Weil group

[from TASI lectures on F-theory, Weigand '18]

## B. 5D BLACK HOLES FROM D3-BRANES

---

- F-theory on  $\mathbb{R}^{1,5} \times \text{CY}_3$  : 6d supergravity with  $\mathcal{N} = (1, 0)$   $\text{CY}_3 \xrightarrow{\pi} B_2$

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- strings of 6d  $(1, 0)$  SCFTs [e.g. del Zotto, Lockhart, Vafa, Haghighat, Tachikawa, Shimizu ...]
- excitations of 6d strings satisfy WGC and SDC in highly non-trivial way [Lee, Lerche, Weigand `18]
- 5d spinning black holes  $\text{AdS}_3/\text{CFT}_{(0,4)}$  [Haghighat, Murthy, Vafa, Vandoren `15]

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background:

$$\mathbb{R}_t \times S^1 \times \mathbb{R}_{\perp}^4 \times B_2$$



 $\text{SO}(4)_{\perp}$

	$X^0$	$X^1$	$X^2 \dots X^5$		$X^6$	$X^7$	$X^8$	$X^9$
D3	—	—	.		—	—	.	.




# MICROSCOPICS VS. MACROSCOPICS

---

- in IR: 2d  $\mathcal{N} = (0, 4)$  SCFT  
  $c_R = 6k_R$   $SU(2)_R$  current algebra
- novel feature: identify  $SU(2)_L$  current algebra  $SO(4)_\perp = SU(2)_L \times SU(2)_R$
- entropy determined by Cardy's formula  $S = 2\pi \sqrt{\frac{c_L}{6} \left( n - \frac{J^2}{k_L} \right)}$

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## *microscopics*

- ➔ D3 brane on  $C \subset B_2$  + D7's ➔  $\tau(z)$  varying on  $B_2$
- ➔ worldvolume theory:  $\mathcal{N} = 4$  SYM with varying coupling + monodromies
- ➔ supercharges transform under  $SL(2, \mathbb{Z})$  ➔ *need generalization of top. twist*

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**Topological duality twist** [Martucci '14]

**However: procedure misses D3-D7 modes**

## microscopics

dimensional reduction of D3-brane action and counting of left/right movers

[Haghighat, Murthy, Vafa, Vandoren '15]

[Lawrie, Schäfer-Nameki, Weigand '16]

$$c_R^{\text{D3}} = 6k_R = 3C \cdot C + 3c_1(B_2) \cdot C$$

$$c_L^{\text{D3}} = 3C \cdot C + c_1(B_2) \cdot C + \Delta c_L^{\text{D3-D7}}$$

$$k_L = \frac{1}{2}C \cdot C - \frac{1}{2}c_1(B_2) \cdot C$$

**notation:**  $C = q^\alpha \omega_\alpha$ ,  $c_1(B_2) = c^\alpha \omega_\alpha$ ,  $\omega_\alpha \in H^{1,1}(B_2, \mathbb{Z})$

$$A \cdot B = A^\alpha \eta_{\alpha\beta} B^\beta = \int_{B_2} A \wedge B$$

$$\eta_{\alpha\beta} = \int_{B_2} \omega_\alpha \wedge \omega_\beta$$

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*dualize to M-theory!*

**dual M-theory picture:** M5 brane wrapping  $\hat{C} = \pi^{-1}(C) \in H_4(\text{CY}_3)$

M5 brane wrapping 4-cycle in  $\text{CY}_3$  described by *MSW CFT*

[Maldacena, Strominger, Witten '97]

$$\rightarrow \Delta c_L^{\text{D3-D7}} = 8c_1(B) \cdot C$$

**macroscopics**  $\text{AdS}_3 \times S^3 \times B_2$  computation...more later

# Short summary

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## Today:

- generalize the setup in [Haghighat, Murthy, Vafa, Vandoren '15]
- compute CFT data from macroscopic side and match with microscopics
- express CFT data in terms of *geometric data* of  $CY_3$

**How:** CFT data related to certain Chern-Simons coefficients

 *calculate them!*

- ,classical' supergravity analysis not sufficient
- need to include one-loop generated Chern-Simons terms

*,classical' supergravity* + *one-loop Chern Simons terms* = *microscopics*

# Part II

## C. 4d Black Holes from D3-branes



## B. MAKING 4D BLACK HOLES WITH D3-BRANES

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background:  $\mathbb{R}_t \times S^1 \times \mathbb{R}_{\perp}^4 \times B_2$

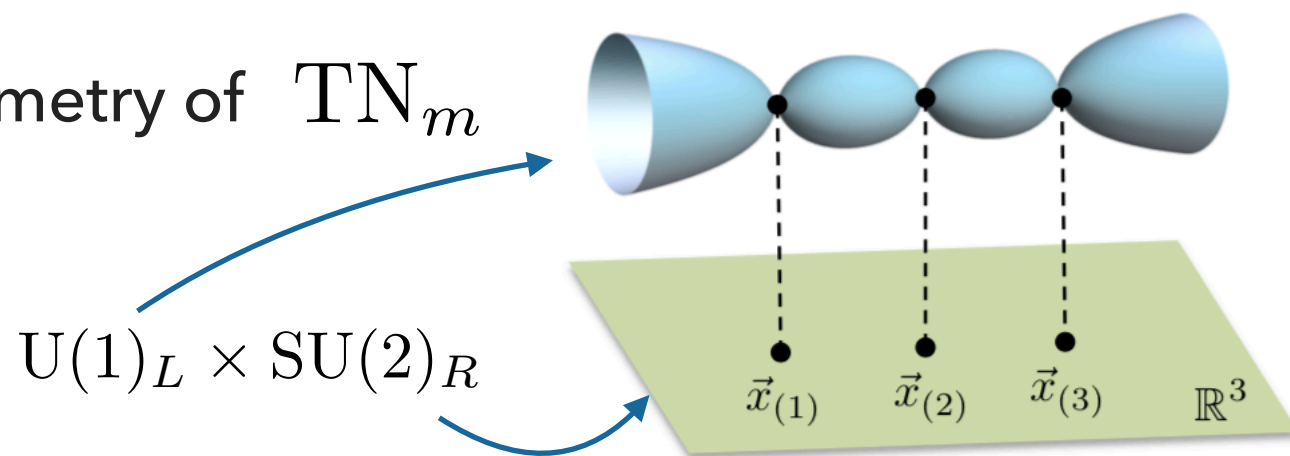
	$X^0$	$X^1$	$X^2 \dots X^5$		$X^6$	$X^7$	$X^8$	$X^9$
D3	—	—	•		—	—	•	•

# B. MAKING 4D BLACK HOLES WITH D3-BRANES

background:  $\mathbb{R}_t \times S^1 \times \text{TN}_m \times B_2$

	$X^0$	$X^1$	$X^2 \dots X^5$		$X^6$	$X^7$	$X^8$	$X^9$
D3	—	—	.		—	—	.	.

- geometry of  $\text{TN}_m$



centers on top:  $A_{m-1}$  singularity

interpolates between  $\mathbb{C}^2/\mathbb{Z}_m$  &  $\mathbb{R}^3 \times S^1$

carries 'topological charge'

- near horizon geometry of D3-branes:

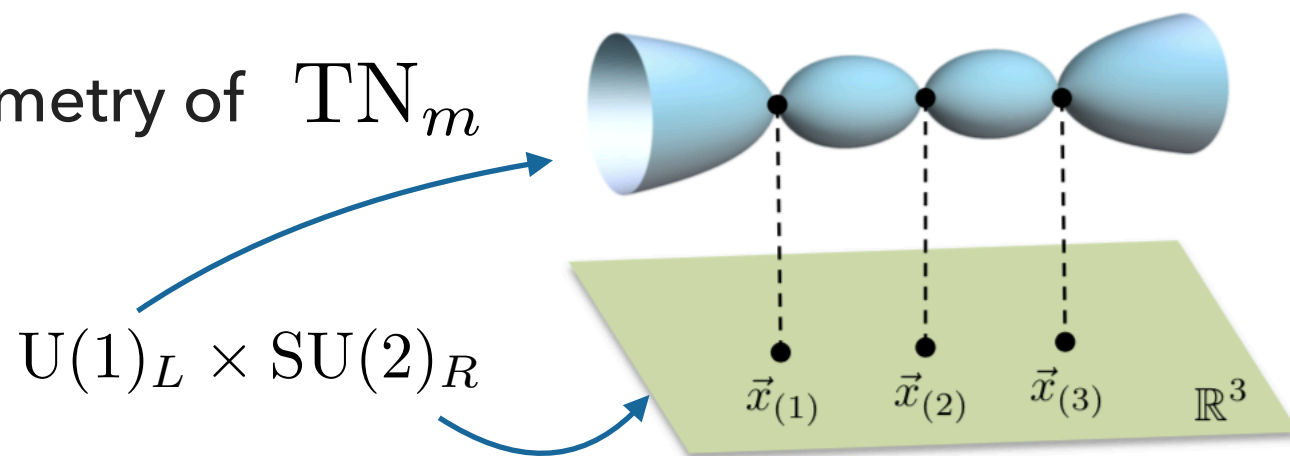
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**in fact**  $\text{AdS}_3 \times S^3/\Gamma_{\text{ADE}} \times (\text{CY}_3 \rightarrow B_2)$

with  $\Gamma_{\text{ADE}} \subset \text{SU}(2)$  most general

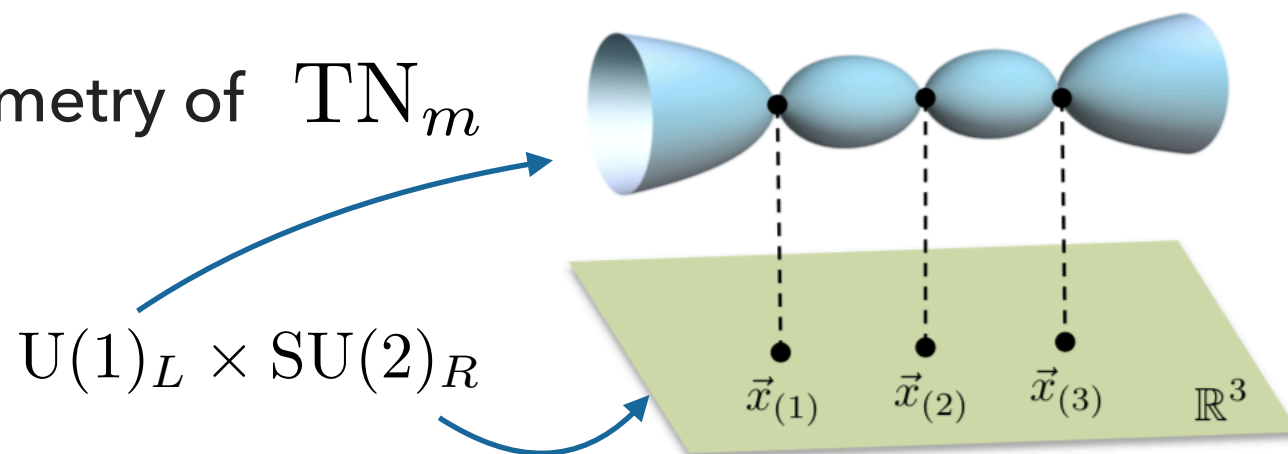
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## Charges of the setup

$q^\alpha$  : characterize  $C$

$n$  : KK momentum around  $S^1$

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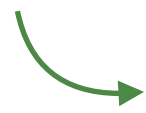
## Goals:

- (i) compute  $c_{L,R}$ ,  $k_{L,R}$  in terms of the charges  $q^\alpha$ ,  $m$
- (ii) compare macroscopics with *microscopics*
- (iii) extend  $F$ -theory *dictionary*: physics  $\longleftrightarrow$  geometry/topology

# MICROSCOPICS

- $N$  D3-branes probing  $\mathbb{Z}_m$  singularity [Kachru, Silverstein '98, Lawrence, Nekrasov, Vafa '98]

4d  $\mathcal{N} = 2$  SCFT:  $SU(N)^m$  quiver +  $m$  bifundamental hypers



$$\tau_i = \frac{\tau}{m}$$



type IIB axio-dilaton

- wrapped over  $C \subset B_2$   $\rightarrow$  complexified gauge coupling  $\tau$  varies over  $C$

need for top. *duality* twist

*Some issues with this approach:*

- $\rightarrow$  ignoring D3-D7 string contributions
- $\rightarrow$  no (obvious) generalization of top. duality twist known...

***microscopics***  $\rightarrow$  ***use suitable dual M-theory description***

# TWO DUALITY CHAINS

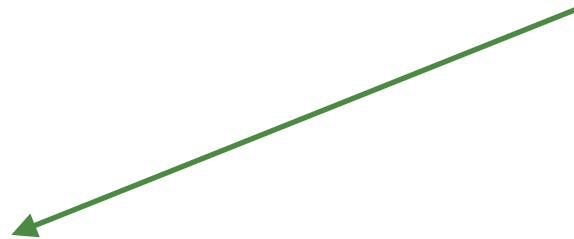
D3-brane wrapped on  $C \subset B_2$  probing  $\text{TN}_m$  transversally



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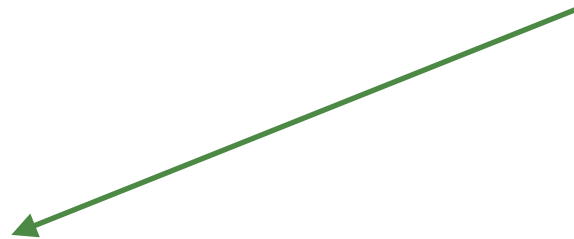
M-theory on  $\mathbb{R}_t \times \text{TN}_m \times \text{CY}_3$

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T-dualize along  $S_{\text{NUT}}^1$

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If  $q^\alpha \gg mc^\alpha$

➔ can eff. describe M5 system as  
Single M5 on  $\hat{C}_m = \hat{C} + mB_2$

➔ **MSW CFT techniques!**

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[Maldacena, Strominger, Witten '97]

- M-theory compactified on compact  $CY_3$   $\rightarrow$  5d  $\mathcal{N} = 2$  supergravity

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compactify M5 brane theory on  $P \rightarrow$  compute effective 2d spectrum

counted by top. numbers

$$c_L = P \cdot P \cdot P + c_2(CY_3) \cdot P$$

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**$\rightarrow$  apply this to our case of interest**

[Bena, Diaconescu, Florea '06]

# MICROSCOPICS VIA MSW

---

Compute the following integrals for ell. fibered  $CY_3$

$$P^3 = \int_{CY_3} (q^\alpha \omega_\alpha + m\omega_0)^3 = 3mC \cdot C - 3m^2 c_1(B_2) \cdot C + m^3 c_1(B_2)^2$$

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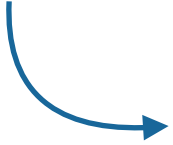
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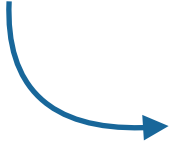
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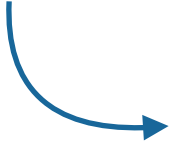
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to get  $k_L$  one has to work a bit harder...

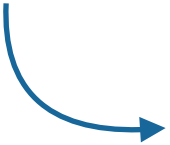
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## **D. Computation of central charges and levels: Macro**



# 6D N=(1,0) SUPERGRAVITY & F-THEORY

22

- *starting point*: 6d  $\mathcal{N} = (1, 0)$  supergravity describing F-theory on  $\text{CY}_3$   
[Ferrara, Minasian, Sagnotti '97; Bonetti, Grimm '11]
- 6d  $\mathcal{N} = (1, 0)$  supergravity has 4 types of multiplets

*gravity*

$$g_{\mu\nu} \oplus \psi_L \oplus B_{\mu\nu}^+$$

$n_V$  *vector*

$$A_\mu \oplus \lambda_L$$

$n_T$  *tensor*

$$B_{\mu\nu}^- \oplus \chi_R \oplus j$$

$n_H$  *hyper*

$$\tilde{\lambda}_R \oplus q_1 \oplus q_2$$

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- simplifying assumption:**  $n_V = 0$

## tensor multiplets in supergravity

- denote collectively tensor and gravity multiplet two forms  $B^\alpha$ ,  $\alpha = 1, \dots, n_T + 1$
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***more on tensor multiplets in supergravity***

→ kinetic terms of two forms and scalars  $g_{\alpha\beta} = 2\dot{j}_\alpha\dot{j}_\beta - \Omega_{\alpha\beta}$

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$$S_{\text{tensor}} = \int_{M_6} -\frac{1}{4} g_{\alpha\beta} G^\alpha \wedge *G^\beta - \frac{1}{2} g_{\alpha\beta} dj^\alpha \wedge *dj^\beta + \text{fermions}$$

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$$J_{B_2} = j^\alpha \omega_\alpha$$

$$C_4^+ = B^\alpha \wedge \omega_\alpha$$



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$$C_3 = A^\alpha \wedge \pi^*(\omega_\alpha) + \dots$$

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$$C_4^+ = B^\alpha \wedge \omega_\alpha$$

- number of multiplets determined by top. data  $n_T = h^{1,1}(B_2) - 1$

## hypermultiplets in supergravity

→ hypermultiplet scalars  $q^U$ ,  $U = 1, \dots, 4n_H$

*parametrize quaternionic manifold*

*play no further role in supergravity analysis, later more....*

**always assume in the following anomaly cancellation**  $n_H - n_V = 273 - 29n_T$

## total 6d $N=(1,0)$ action (two derivatives)

$$S_{6d} = \frac{1}{(2\pi)^3} \int_{M_6} \frac{1}{2} R * 1 - \frac{1}{4} g_{\alpha\beta} G^\alpha \wedge * G^\beta - \frac{1}{2} g_{\alpha\beta} dj^\alpha \wedge * dj^\beta - \frac{1}{2} h_{UV} dq^U \wedge * dq^V$$

equations of motion have black string solution

$$\text{AdS}_3 \times S^3 / \mathbb{Z}_m \rightarrow \mathbb{R}^{1,1} \times \text{TN}_m$$

**near horizon**  $\longrightarrow$  **asymptotics**

## black string solution: some properties [het Lam, Vandoren '18]

$$ds_{6d}^2 = 2H^{-1}du\left(dv - \frac{1}{2}H_5 du\right) + H ds^2(\text{TN}_m)$$

$r \rightarrow 0$   
near horizon (IR) limit

$$H = \sqrt{\mathbf{H}_1 \cdot \mathbf{H}_1}$$

$$H_1^\alpha = \mu_\infty^\alpha + \frac{Q^\alpha}{4r}$$

$$\text{AdS}_3 \times S^3/\mathbb{Z}_m \text{ with } R^2(S^3/\mathbb{Z}_m) = m\sqrt{\mathbf{Q} \cdot \mathbf{Q}}$$

→ in addition: non-trivial profile of  $j^\alpha$  and  $G^\alpha$

→ 6d tensor branch attractor flow

→  $Q^\alpha$  is macro charge of the string under  $B^\alpha$

$$\int_{\partial \text{TN}_m} G^\alpha = (2\pi)^3 Q^\alpha$$

**How to relate the macro charges  $Q^\alpha$  to the micro data  $(q^\alpha, m) \Leftrightarrow (C, m)$ ?**

## Connecting micro and macro data

- consider D3-brane wrapped around  $C \subset B_2$ , extended along  $\Sigma$  in 6d

- string couples to a two-form  $S_{\text{string}} = -\mathcal{Q} \int_{\Sigma} B = -\mathcal{Q} \int_{M_6} B \wedge \delta(\Sigma)$

- total action  $S_{\text{tot}} = S_{6\text{d}} + S_{\text{string}}$

 reduce CS action of D3-branes on  $C$

$$S_{\text{string}} = -\frac{N}{2\pi} \int_{\Sigma \times C} C_4^+ = -\frac{N}{2\pi} \int_{\Sigma \times C} B^\alpha \wedge \omega_\alpha = -\frac{N}{2\pi} \int_{\Sigma} B^\alpha \int_C \omega_\alpha = -\frac{N}{2\pi} \int_{\Sigma} q^\alpha \eta_{\alpha\beta} B^\beta$$

## equation of motion of tensors

$$d(g_{\alpha\beta} * G^\beta) = (2\pi)^2 \eta_{\alpha\beta} N q^\beta \delta(\Sigma)$$

 integrate

$$\int_{\text{TN}_m} d(g_{\alpha\beta} * G^\beta) = \eta_{\alpha\beta} \int_{\partial \text{TN}_m} G^\beta = (2\pi)^2 \eta_{\alpha\beta} Q^\beta = (2\pi)^2 N \eta_{\alpha\beta} q^\beta \quad \Rightarrow \quad \boxed{Q^\alpha = N q^\alpha}$$

## ***Subleading contributions: higher-derivative corrections***

6d effective action obtains higher-derivative corrections

$$S_{\text{hd}} \sim \int_{M_6} \eta_{\alpha\beta} c^\alpha B^\beta \wedge \text{tr } \mathcal{R} \wedge \mathcal{R}$$

→ relevant for gen. Green-Schwarz mechanism [Sagnotti '92; Sadvov '96]

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Where does this come from in M-theory/Type IIB picture?

### *M-theory*

- 11d supergravity contains higher derivative correction

$$S_{11\text{d}} = \int_{M_{11}} C_3 \wedge \left[ \text{tr } \mathcal{R}^4 - \frac{1}{4} (\text{tr } \mathcal{R}^2)^2 \right]$$

compactify on  $\text{CY}_3$   $\longrightarrow$   $S_{5\text{d}} = \int_{M_5} c_2{}_\alpha A^\alpha \wedge \text{tr } \mathcal{R} \wedge \mathcal{R}$

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$$C_4^+ = B^\alpha \wedge \omega_\alpha$$


- $S_{R^2} = \frac{1}{(2\pi)^3} \frac{1}{96} \int_{M_6} B^\alpha \wedge \text{tr} \mathcal{R} \wedge \mathcal{R} \left( \int_{D7} \omega_\alpha + 2 \int_{O7} \omega_\alpha \right)$

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- $\int_{D7} \omega_\alpha + 2 \int_{O7} \omega_\alpha = \int_{B_2} \omega_\alpha \wedge ([D7] + 2[O7])$
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(„D7 tadpole cancellation“)

## equation of motion of tensors...again

$$d(g_{\alpha\beta} * G^\beta) = (2\pi)^2 \eta_{\alpha\beta} N q^\beta \delta(\Sigma) + \frac{1}{8} \eta_{\alpha\beta} c^\beta \text{tr } \mathcal{R} \wedge \mathcal{R}$$



integrate

- micro-macro charge relation  $Q^\alpha = N q^\alpha + \frac{1}{8} \frac{1}{(2\pi)^2} c^\alpha \int_{\text{TN}_m} \text{tr } \mathcal{R} \wedge \mathcal{R}$



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$$\rightarrow \boxed{Q^\alpha = N q^\alpha - \frac{m}{2} c^\alpha}$$

*charge shift due to non-trivial topology of Taub-NUT space*

- in the following: set  $N = 1$

**(i) „classical“ contribution**

# HOW TO COMPUTE LEVELS & CENTRAL CHARGES?

- central charges and levels are related to anomalies on the 2d CFT side

$$c_L - c_R \longleftrightarrow \text{gravitational anomaly}$$

$$k_R \longleftrightarrow \text{'t Hooft anomaly of } \text{SU}(2)_R - R\text{-symmetry}$$

$$k_L \longleftrightarrow \text{'t Hooft anomaly of } \text{SU}(2)_L / \text{U}(1)_L$$

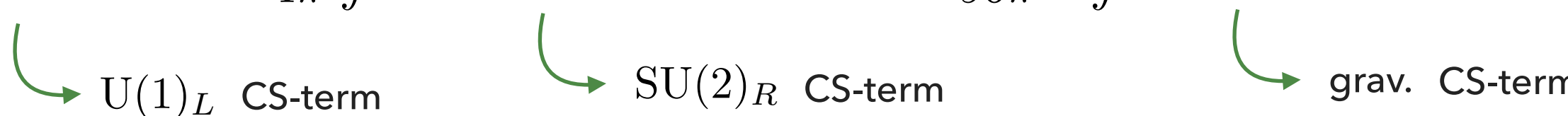
*bulk description known*

- compactify to 3d, e.g.  $\text{AdS}_3 \times S^3 / \mathbb{Z}_m$
- gauge isometries of space you reduce on  $\text{U}(1)_L \times \text{SU}(2)_R$
- produces gauge fields in Kaluza-Klein ansatz  $A_L, A_R$
- from compactified action read off  $k_{L,R}, c_L - c_R$

# HOW TO COMPUTE LEVELS & CENTRAL CHARGES?

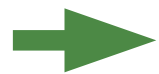
**Concretely:** look for Chern-Simons terms in the 3d action and read off

$$S_{\text{CS}} = \frac{k_L}{8\pi} \int A_L \wedge dA_L + \frac{k_R}{4\pi} \int \text{tr} \left( A_R \wedge dA_R + \frac{2}{3} A_R^3 \right) + \frac{c_L - c_R}{96\pi} \int \text{tr} \left( \omega \wedge d\omega + \frac{2}{3} \omega^3 \right)$$



[Witten '98; Kraus, Larsen '05, Hansen, Kraus '06; ...]

- read off  $k_R$   $\xrightarrow{\text{use } \mathcal{N} = (0,4)}$   $c_R = 6k_R$   $\xrightarrow{\text{use grav. CS}}$   $c_L = c_R + (c_L - c_R)$
- read off  $k_L$



**central charges and levels of the  $\mathcal{N}=(0,4)$  SCFTs fully  
encoded in Chern-Simons terms**

- reduce two-derivative supergravity action & collect Chern–Simons terms

→ 
$$S_{2\text{-der}} = \frac{1}{2} m \eta_{\alpha\beta} Q^\alpha Q^\beta \left[ \frac{1}{8\pi} \int \omega_{\text{CS}}(A_L) + \frac{1}{4\pi} \int \omega_{\text{CS}}(A_R) \right]$$

↙

$$k_L^{2\text{-der}} = k_R^{2\text{-der}} = \frac{1}{6} c_R^{2\text{-der}} = \frac{1}{2} m \eta_{\alpha\beta} Q^\alpha Q^\beta = \frac{1}{2} m \left( q^\alpha - \frac{m}{2} c^\alpha \right) \left( q^\beta - \frac{m}{2} c^\beta \right)$$

$$(c_L - c_R)^{2\text{-der}} = 0$$

- **subleading contributions:** higher-derivative corrections

→ want to produce CS-terms for the KK-gauge fields  $\sim \int \eta_{\alpha\beta} c^\alpha B^\beta \wedge \text{tr } \mathcal{R} \wedge \mathcal{R}$

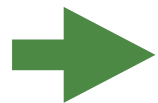
↙

contains  $\omega_{\text{CS}}^{\text{grav}} \rightarrow c_L - c_R \neq 0$

- reduce **four**-derivative supergravity action & collect Chern–Simons terms

$$S_{4\text{-der}} = \frac{1}{16\pi} \eta_{\alpha\beta} c^\alpha \left( q^\beta - \frac{1}{2} m c^\beta \right) \left[ \int \omega_{\text{CS}}^{\text{grav}} + 4\omega_{\text{CS}}(A_R) \right]$$

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$$k_R^{4\text{-der}} = \eta_{\alpha\beta} c^\alpha \left( q^\beta - \frac{m}{2} c^\beta \right)$$

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- adding up two– and four–derivative contributions

$$c_L^{\text{class}} = 3mC^2 - 3m^2 c_1(B) \cdot C + \frac{3}{4} m^3 c_1(B)^2 + 12c_1(B) \cdot C - 6mc_1(B)^2,$$

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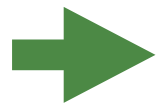
# ,CLASSICAL' LEVELS & CENTRAL CHARGES

33

- reduce **four**-derivative supergravity action & collect Chern–Simons terms

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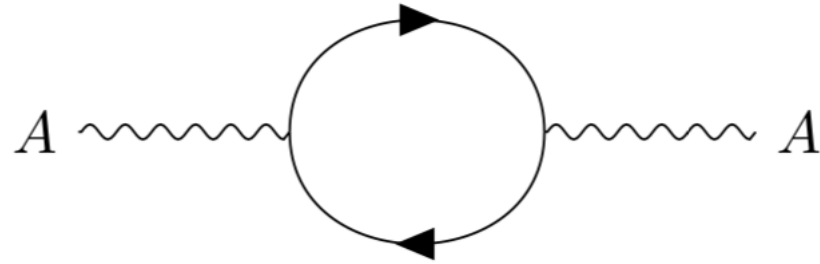
**Do we recover the micro result?**

**Not even close... what did we miss?**

**(ii) „quantum“ contribution**

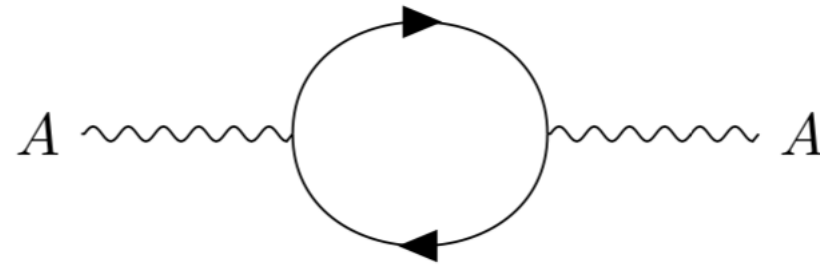
## One-loop Chern-Simons terms

- **fact:** Chern-Simons terms can be loop induced



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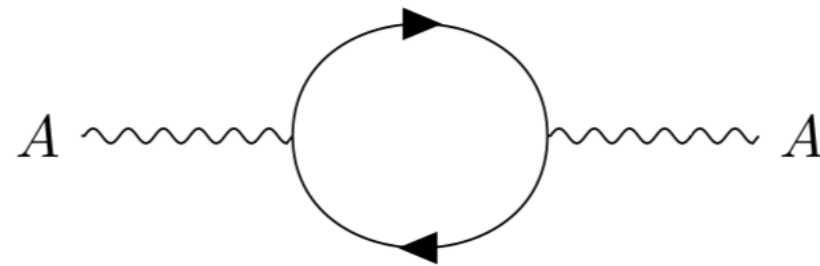


spin 1/2, spin 3/2, chiral vectors

- loop induced Chern-Simons terms give corrections to  $k_{L,R}$ ,  $c_L - c_R$

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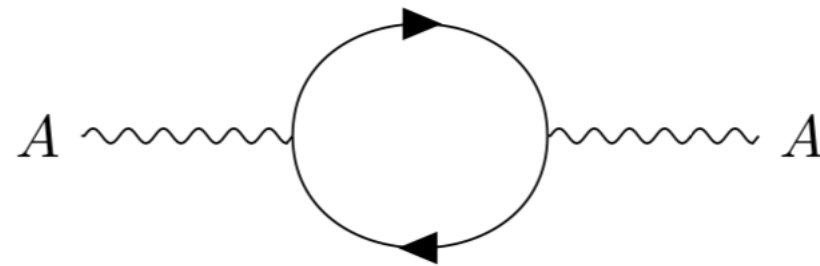
## Three-step plan to success

**(1) determine (relevant) Kaluza-Klein spectrum on  $S^3/\mathbb{Z}_m$**

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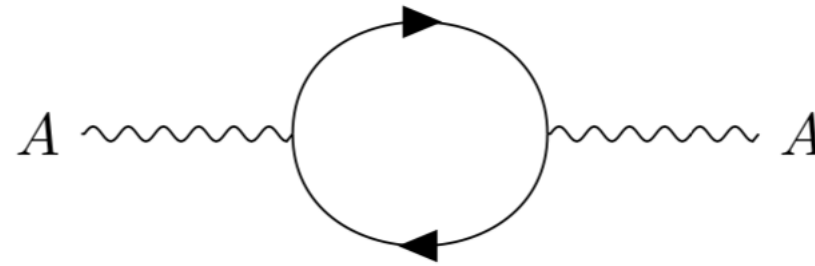
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**(3) sum over Kaluza-Klein tower projected on  $\mathbb{Z}_m$ -invariant states ( $\zeta$ -function reg.)**

## (1) *Kaluza-Klein spectrum*

- Kaluza-Klein spectrum of (2,0) theory worked out by [Deger, Kaya, Sezgin, Sundell '98; de Boer '98]
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**notation**  $(j_L, j_R)^{\text{sgn}(M)}$

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### (3) Sum over invariant states

- **main question:** how does the  $\mathbb{Z}_m$  quotient act on the Kaluza-Klein states?

→ check how  $\mathbb{Z}_m$  acts on spherical harmonics on  $S^3$

→ group theory exercise...

- quotient is generated by  $\mathbb{Z}_m \subset U(1)_L \subset SU(2)_L$

$(2j_L + 1)$  – dimensional irrep of  $SU(2)_L$  →  $2j_L$  – fold sym. tensor product of **2**

- $\mathbb{Z}_m$  acts on **2** as 
$$\begin{pmatrix} z_1 \\ z_2 \end{pmatrix} \rightarrow \begin{pmatrix} e^{\frac{2\pi i}{m}} & 0 \\ 0 & e^{-\frac{2\pi i}{m}} \end{pmatrix} \begin{pmatrix} z_1 \\ z_2 \end{pmatrix}$$

→ *induces action on symmetric tensor representation*

- projection condition can be easily calculated

$$j_L^{(3)} = \frac{1}{2}mk, \quad k \in \mathbb{Z}$$

## Kaluza-Klein spectrum

**spin 3/2**  $2 \bigoplus_{j_L=\frac{1}{2}, \frac{3}{2}, \dots}^{\infty} (j_L, j_L \pm \frac{1}{2})^{\mp}$

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## Loop corrections

	spin 1/2	spin 3/2	vectors
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**Projection condition**  $j_L^{(3)} = \frac{1}{2}mk \quad k \in \mathbb{Z}$

## left level $k_L$

$$k_L^{\text{sugra}} = \frac{1}{2}mC \cdot C - \frac{1}{2}m^2c_1(B_2) \cdot C + \frac{1}{8}m^3c_1(B_2) \cdot c_1(B_2)$$

$$k_L^{\text{quantum}} = -\frac{1}{8}m^3c_1(B_2) \cdot c_1(B_2)$$

$$\rightarrow k_L^{\text{total}} = \frac{1}{2}mC \cdot C - \frac{1}{2}m^2c_1(B_2) \cdot C = k_L^{\text{micro}}$$

## right level $k_R$

$$k_R^{\text{sugra}} = \frac{1}{2}mC \cdot C - \frac{1}{2}m^2c_1(B_2) \cdot C + \frac{1}{8}m^3c_1(B_2)^2 + c_1(B_2) \cdot C - \frac{1}{2}mc_1(B_2)^2$$

$$k_R^{\text{quantum}} = \frac{m^3}{24}c_1(B_2)^2 + \frac{m}{3}c_1(B_2)^2 + m$$

$$\begin{aligned} \rightarrow c_R^{\text{total}} = 6k_R^{\text{total}} &= 3mC \cdot C - 3m^2c_1(B_2) \cdot C + m^3c_1(B_2)^2 \\ &+ 6c_1(B_2) \cdot C + 6m - mc_1(B_2)^2 \end{aligned}$$

## **gravitational Chern-Simons level $c_L - c_R$**

$$(c_L - c_R)^{\text{sugra}} = 6c_1(B_2) \cdot C - 3mc_1(B_2) \cdot c_1(B_2)$$

$$(c_L - c_R)^{\text{quantum}} = 6m + 2mc_1(B_2) \cdot c_1(B_2)$$

$$\begin{aligned} \rightarrow c_L^{\text{total}} &= c_R^{\text{total}} + (c_L - c_R)^{\text{total}} \\ &= 3mC \cdot C - 3m^2c_1(B_2) \cdot C + m^3c_1(B_2)^2 + 12c_1(B_2) \cdot C + 12m - 2mc_1(B_2)^2 \end{aligned}$$

## **final result**

$$\begin{aligned} c_L &= 3mC \cdot C - 3m^2c_1(B_2) \cdot C + m^3c_1(B_2)^2 + 12c_1(B_2) \cdot C + 12m - 2mc_1(B_2)^2 \\ c_R &= 6k_R = 3mC \cdot C - 3m^2c_1(B_2) \cdot C + m^3c_1(B_2)^2 + 6c_1(B_2) \cdot C + 6m - mc_1(B_2)^2 \\ k_L &= \frac{1}{2}mC \cdot C - \frac{1}{2}m^2c_1(B_2) \cdot C \end{aligned}$$

**➡ matches microscopic prediction!**



## **E. Other families: ADE Black Holes**

# D. Other families: ADE Black holes

- up to now restricted to Taub-NUT space  $\rightarrow \mathbb{C}^2/\mathbb{Z}_m$  singularity close to center
- **recall:** F-theory  $\text{AdS}_3$  solutions preserving  $\mathcal{N} = (0, 4)$  SUSY have ADE classification

$$\text{AdS}_3 \times S^3/\Gamma_{\text{ADE}} \times (\text{CY}_3 \rightarrow B_2)$$

$$\begin{aligned} \Gamma_A &= \mathbb{Z}_m \subset \text{SU}(2) && \text{cyclic group} \\ \Gamma_D &= \mathbb{D}_m^* \subset \text{SU}(2) && \text{bin. dihedral group} \\ \Gamma_E &= \mathbb{T}^*, \mathbb{O}^*, \mathbb{I}^* \subset \text{SU}(2) \end{aligned}$$

- microscopic interpretation: D3-brane with transverse **ALF space**

hyperkähler manifold with  $\mathbb{C}^2/\Gamma_{\text{ADE}}$  singularity

- no (straightforward) dual M5-brane picture in M-theory

$\rightarrow$  MSW techniques for micro don't apply

**However: macroscopic computation can be done!**



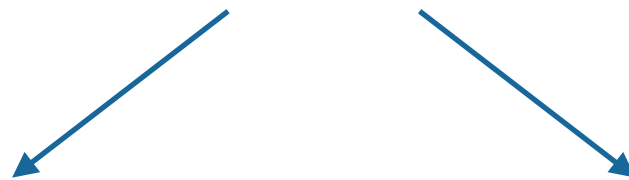
# F. Summary

- *studied IR CFT of wrapped D3-branes in F-theory*
- *computed central charges and levels of 2d  $N=(0,4)$  IR SCFT*
  - *determine entropy*
- *$c_{L,R}$  and  $k_{L,R}$  are determined by geometric/topological data in the setup*
  - first Pontryagin number of Taub-NUT space  $m$
  - first Chern class of the base of the fibration  $c_1(B_2)$
  - (co-)homology class of the curve wrapped by the D3  $C$
- *obtained matching of micro and macro result (for a fairly involved expression)*
- *one-loop Chern–Simons terms crucial for the matching*

# F. Outlook

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→ study the remaining possible quotients in the *ADE* classification



macro computation done ✓

micro difficult

generalization of top. duality twist?

→ wrapped D3-branes probing singularities in F-theory

→ look at D3-branes in Type IIB on  $K3 \times T^2$  with ADE quotients

→ does **not** follow by  $c_1(B_2 = K3) = 0$

→ both macroscopics and microscopics under good control, yet non-trivial