## Recent developments in $AdS_6/CFT_5$

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#### Outline

- $\mathsf{AdS}_6/\mathsf{CFT}_5$  dualities in Type IIB
- Matching "stringy" operators
- Sphere partition functions
- Counting black hole microstates

# $AdS_6/CFT_5$ dualities in Type IIB

# Why 5d SCFTs?

Intrinsic interest:

- mathematical consistency allows SCFTs for  $d\leq 6$  [Nahm '78], but conventional Lagrangian constructions fail for d>4
- string theory evidence that SCFTs in  $d=5,6~\mathrm{do}$  exist

More pragmatically: unified perspective on lower-dim. QFT

- new QFTs in d ≤ 4 from compactification of higher-dimensional ones (4d class S, 3d class F)
- new dualities and natural explanations for known dualities (S-duality, AGT, Argyres-Seiberg duality)

## 5d SCFTs from asymptotically safe gauge theories

Gauge theories in d > 4 perturbatively non-renormalizable ( $\sim$  4d GR). Naively make sense only as effective low-energy theories.

But they may make sense non-perturbatively, and flow to strongly-coupled UV fixed point  $\sim$  asymptotic safety.

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- [Seiberg '96]: SU(2)  $\mathcal{N}=1$  gauge theory with  $N_f \leq 7$  may flow to strongly-coupled UV fixed point  $\rightarrow$  asymptotically safe

$$D4 \bullet \qquad \bullet D4 \\ O8 + N_f D8$$

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D4• (i) convex prepotential on Coulomb Branch  
(ii) construction in Type I' string theory  
$$O8 + N_f D8$$

Gauge theories with (i) classified in [Intriligator,Morrison,Seiberg]. Even more theories realized by (p,q) 5-brane webs in Type IIB... 5-brane webs in Type IIB

5-brane web: planar arrangement of (p,q) 5-branes at angles fixed by (p,q), junctions w/ conserved charges

$$\underbrace{\mathsf{D5} = (1,0)}_{\mathsf{NS5} = (0,1)} (1,1) \longrightarrow$$

free massive hypermultiplet

	0 1 2 3 4 5 6 7 8 9
D5	$\times \times \times \times \times \times$
NS5	$  \times \times \times \times \times   \times  $
	·

free massless hypermultiplet



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## 5d SCFTs from 5-brane junctions

General picture: any planar 5-brane junction realizes a 5d SCFT on the intersection point



Characterized entirely by external 5-brane charges. No standard Lagrangian. May or may not have gauge theory deformations.

[DeWolfe, Hanany, Iqbal, Katz '99]

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Additional data for 5-brane junctions w/ 7-branes: partition of like-charged 5-branes into subgroups ending on same 7-brane

## Holographic duals for 5d SCFTs

Brane webs realize Coulomb and Higgs branches, RG flows,  $\ldots$  geometrically. AdS/CFT to access superconformal fixed points?

Needs AdS<sub>6</sub> solutions in Type IIB supergravity:

- Unique superconformal algebra F(4),  $8_Q$  supercharges. No maximally supersymmetric solutions (unlike  $d \neq 5$ ).
- Fully localized intersections, not a standard near-horizon limit.

BPS equations studied by [Apruzzi, Fazzi, Passias, Rosa, Tomasiello '14; Kim, Kim, Suh '15; Kim, Kim '16]. Symmetries and ansatz [D'Hoker,Gutperle,Karch,CFU arXiv:1606.01254]

 $\begin{array}{rcl} \mathsf{AdS}_6 + 16 \text{ susies } \to & \mathsf{F(4)} & \supset \ \text{bosonic } \mathsf{SO(2,5)} \oplus \mathsf{SO(3)} \\ & \swarrow & & & \\ & \mathsf{AdS}_6 & & \mathsf{S}^2 \end{array}$ 

Symmetries and ansatz [D

 $AdS_6 + 16$  susies  $\rightarrow F(4) \supset$  bosonic  $SO(2,5) \oplus SO(3)$ 



 $AdS_6$   $S^2$ 

General ansatz:  $AdS_6$  and  $S^2$  warped over Riemann surface  $\Sigma$ 

$$\mathcal{M} = (AdS_6 \times S^2) \times_w \Sigma$$

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 $S^2$ 

$$\mathcal{M} = (\mathrm{AdS}_6 \times \mathrm{S}^2) \times_w \Sigma$$

 $ds^{2} = f_{6}(w, \bar{w})^{2} ds^{2}_{\text{AdS}_{6}} + f_{2}(w, \bar{w})^{2} ds^{2}_{\text{S}^{2}} + 4\rho(w, \bar{w})^{2} |dw|^{2}$  $C_{(4)} = 0 \qquad B_{2} + iC^{\text{RR}}_{(2)} = \mathcal{C}(w, \bar{w}) \text{vol}_{\text{S}^{2}} \qquad \tau = \tau(w, \bar{w})$ 

## General local solution [D'Hoker,Gutperle,Karch,CFU arXiv:1606.01254]

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Arbitrary locally holomorphic

 $\mathcal{A}_\pm:\Sigma\to\mathbb{C}$ 

yield metric functions, axio-dilaton, two-form field and Killing spinors

 $\mathsf{SU}(1,1)$  transforming  $\partial \mathcal{A}_{\pm}$  induces  $\mathsf{SL}(2,\mathbb{R})$  on supergravity fields

#### General local solution [D'Hoker,Gutperle,Karch,CFU arXiv:1606.01254]

General local solution to BPS eq. parametrized by two locally holomorphic functions on  $\Sigma:$ 

$$f_{6}^{2} = \sqrt{6\mathcal{G}T} \qquad f_{2}^{2} = \frac{1}{9}\sqrt{\frac{6\mathcal{G}}{T^{3}}} \qquad \rho^{2} = \kappa^{2}\sqrt{\frac{T}{6\mathcal{G}}}$$
$$B = \frac{1+i\tau}{1-i\tau} = \frac{\partial_{w}\mathcal{A}_{+}\partial_{\bar{w}}\mathcal{G} - R\,\partial_{\bar{w}}\bar{\mathcal{A}}_{-}\partial_{w}\mathcal{G}}{R\,\partial_{\bar{w}}\bar{\mathcal{A}}_{+}\partial_{w}\mathcal{G} - \partial_{w}\mathcal{A}_{-}\partial_{\bar{w}}\mathcal{G}}$$
$$\mathcal{C} = \frac{2i}{3}\left(\frac{\partial_{\bar{w}}\mathcal{G}\partial_{w}\mathcal{A}_{+} + \partial_{w}\mathcal{G}\partial_{\bar{w}}\bar{\mathcal{A}}_{-}}{3\kappa^{2}T^{2}} - \bar{\mathcal{A}}_{-} - \mathcal{A}_{+}\right)$$

with composite quantities

$$\kappa^{2} = -|\partial_{w}\mathcal{A}_{+}|^{2} + |\partial_{w}\mathcal{A}_{-}|^{2} \qquad \partial_{w}\mathcal{B} = \mathcal{A}_{+}\partial_{w}\mathcal{A}_{-} - \mathcal{A}_{-}\partial_{w}\mathcal{A}_{+}$$
$$\mathcal{G} = |\mathcal{A}_{+}|^{2} - |\mathcal{A}_{-}|^{2} + \mathcal{B} + \bar{\mathcal{B}} \qquad T^{2} = \left[\frac{1+R}{1-R}\right]^{2} = 1 + \frac{2\kappa^{2}\mathcal{G}}{3|\partial_{w}\mathcal{G}|^{2}}$$

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General local form of Type IIB solution with geometry  $AdS_6 \times S^2$  warped over Riemann surface and 16 supersymmetries.

Generic choices of  $\mathcal{A}_\pm$  do not lead to physically regular solutions.

Near-horizon limit of (p,q) 5-brane junctions? Implement global regularity constraints...

Real geometry with consistent spacetime signature,  $Im(\tau) > 0$ :

$$\kappa^2 \big|_{\operatorname{int}(\Sigma)} > 0$$
  $\mathcal{G} \big|_{\operatorname{int}(\Sigma)} > 0$ 

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For 10d geometry w/o boundary, collapse S<sup>2</sup> on  $\partial \Sigma$  (AdS<sub>6</sub> finite):

$$\kappa^2 \big|_{\partial \Sigma} = 0 \qquad \qquad \mathcal{G} \big|_{\partial \Sigma} = 0$$

Global regularity conditions, to be realized by holomorphic  $\mathcal{A}_{\pm}$ .

Strategy to solve regularity conditions for  $\Sigma$  of arbitrary topology:



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1) regular  $\kappa^2$ : electrostatics potential  $\Phi \equiv -\ln |\partial_w \mathcal{A}_+ / \partial_w \mathcal{A}_-|$ positive charges  $s_n$  in  $\Sigma$  + mirror charges ~ zeros of  $\partial \mathcal{A}_{\pm}$ 

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- 2)  $\mathcal{G}|_{\partial\Sigma} = 0$  reduces to one local constraint per pole + one non-local for each extra boundary;  $\mathcal{G} > 0$  in int( $\Sigma$ ) automatic

#### Regular solutions on the disc [D'Hoker, Gutperle, C

 $\Sigma = \operatorname{disc}/\operatorname{upper}$  half plane:  $L \ge 3$  poles, L - 2 "charges"



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 $S^2$  collapses to each side of each pole  $\rightarrow$  3-cycles w/ 3-form flux. Entire solution near poles matches (p,q) 5-brane w/  $q+ip\sim Z^m_+.$ 

# 5-brane web picture



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- external 5-branes explicitly (p,q) charge conserved
- parametrized by choice of residues mod charge cons.
- $-\operatorname{AdS}_6 + 16 \text{ susies} = F(4)$
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Supergravity solutions for arbitrary fully localized 5-brane junctions: 5-branes  $\leftrightarrow$  poles, (p,q) charges  $\leftrightarrow$  residues  $Z^{\ell}_+$   $\checkmark$ 

# $AdS_6/CFT_5$ in Type IIB

 $AdS_6/CFT_5$ : Type IIB string theory on warped  $AdS_6$  solution  $\cong$  5d SCFT realized on associated (p,q) 5-brane junction.

"Large-N": junctions of large groups of like-charged 5-branes



 $p_i, q_i \in \mathbb{Z}$ , relatively prime  $N_i \gg 1 \ \forall i$  $Z^\ell_+ \sim N_\ell(q_\ell + ip_\ell)$ 

 $\rightarrow$  classical supergravity w/ continuous  $Z^\ell_+ \sim N_\ell(q_\ell + i p_\ell)$ 

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Unconstrained junctions: no 7-branes (or one 5-brane per 7-brane)

## Extension to constrained junctions [D'Hoker,Gutperle,CFU 1706.00433]

Supergravity solutions for "constrained" junctions with 7-branes:



- 5-branes can terminate on 7-branes without changing the theory
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 $\to \Sigma = {\rm disc}$  with punctures &  $SL(2,\mathbb{R})$  monodromy = 7-branes. Worked out for commuting monodromies, so far.

# Holographic duals for 5d SCFTs

General warped  $AdS_6 \times S^2 \times \Sigma$  solution with 16 supersymmetries in Type IIB.

Physically regular solutions for  $\Sigma$  =disc with single-valued  $A_{\pm}$ . Identified with unconstrained (p,q) 5-brane junctions.

Regular solutions for punctured disc with commuting  $SL(2, \mathbb{R})$  monodromies. Describe constrained 5-brane junctions.

- Matching stringy operators -

Matching stringy operators [Bergman, Rodriguez-Gomez, CFU 1806.07898]

5-brane picture: gauge invariant operators from strings and string junctions connecting external 5-branes



Supergravity: probe string (junctions)  $\leftrightarrow \Delta = \mathcal{O}(N)$  operators

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Strategy: identify stringy BPS operators in gauge theory deformations, extrapolate charges and scaling dim to SCFT









 $\begin{array}{l} {\rm SU}(N)^2{\times}{\rm SU}(M)^2{\times}{\rm U}(1) \\ \\ {\rm global \ symmetry} \end{array}$ 

gauge theory deformation:  $[N] \xrightarrow{x_1} \mathrm{SU}(N) \xrightarrow{x_2} \cdots \xrightarrow{x_{M-1}} \mathrm{SU}(N) \xrightarrow{x_M} [N]$ 

-

$$M_{j}^{i} = (x^{(1)} \cdots x^{(M)})_{j}^{i} \qquad (\mathbf{N}, \bar{\mathbf{N}}, \mathbf{1}, \mathbf{1}) \qquad \Delta = \frac{3}{2}M \qquad Q = \frac{1}{2}M$$
$$B^{(k)} = \det(x^{(k)}) \qquad \subset (\mathbf{1}, \mathbf{1}, \mathbf{M}, \bar{\mathbf{M}}) \qquad \Delta = \frac{3}{2}N \qquad Q = \frac{1}{2}N$$





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 $M^i_j \sim$  F1 between D5,  $B^{(k)} \subset$  D1 between NS5



Supergravity: 4-pole solution



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F1 between D5 poles, D1 between NS5 poles:

$$\Delta_{\rm F1} = \frac{3}{2}M \qquad \qquad \Delta_{\rm D1} = \frac{3}{2}N$$

Solve EOM and are BPS, scaling dim. match field theory

 $T_N$ : N D5, N NS5, N (1,1) 5-branes [Benini, Benvenuti, Tachikawa '09]



- SU $(N)^3$  global symmetry  $E_6$  theory for N=3
- reduce on  $S^1$  to 4d  $T_N \sim$  6d  $\mathcal{N}{=}(2,0)$  on 3-punctured sphere [Gaiotto '09]

 $T_N$ : N D5, N NS5, N (1,1) 5-branes [Benini, Benvenuti, Tachikawa '09]



Gauge theory deformation of 5d  $T_N$ :

$$N - SU(N-1) - \ldots - SU(2) - 2$$



external 5-branes can be connected with D1-F1-(1, 1) triple string junction  $(\mathbf{N}, \mathbf{N}, \mathbf{N})$  of  $SU(N)^3$ ; contains meson in  $N - SU(N - 1) - \ldots - SU(2) - 2$ 



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Triple junction in supergravity:

$$\Delta = \frac{3}{2}N \qquad Q = \frac{1}{2}N$$

Agrees with  $T_N$  operator at large N.



# Matching stringy operators

Similar quantitative matches of field theory and supergravity for



Predictions for various operators not easily seen in gauge theory, and for more exotic junctions, e.g.  $\Delta = \frac{9}{2}N$  in large- $N E_0$  theory.

- Sphere partition functions -

# $S^5$ partition functions [Gutperle, Marasinou, Trivella, CFU 1705.01561]

Holographically:  $F_{S^5}$  from on-shell action (with zero 5-form) or via  $S_{\rm EE,disc}$  from 8d minimal surface.



- results agree, poles unproblematic for both computations
- generically non-trivial dependence of  ${\cal F}_{S^5}$  on all (p,q) charges

Homogeneous rescaling of all (p,q) charges:

$$N_i \to nN_i \quad \forall i \qquad \Longrightarrow \qquad \mathcal{F}(S^5) \to n^4 \mathcal{F}(S^5)$$

Steeper than  $n^{5/2}$  for USp(N) theory from D4/D8/O8 [Jafferis,Pufu]

## $+_{N,M}$ and $T_N$ theories

Supergravity results for  $T_N$  and  $+_{N,M}$  theories:



5d  $T_N$  theory w/ gauge theory deformation  $N - SU(N-1) \times \cdots \times SU(2) - 2$ 

$$\mathcal{F}_{\text{sugra}}(S^5) = -\frac{27}{8\pi^2}\,\zeta(3)N^4$$

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Supersymmetric localization in large-N gauge theory: instantons exponentially suppressed, saddle point approximation exact.

Extrapolate to SCFT assuming higher-dim operators Q-exact.

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Remaining challenge: long quivers

$$\mathcal{Z}_{0} = \int \prod_{i,j} \mathrm{d}\lambda_{i}^{(j)} \exp\left(-\mathcal{F}\right)$$

Gauge node becomes effectively continuous parameter at large N.

#### $+_{N,M}$ and $T_N$ in field theory

Numerical evaluation [Herzog,Klebanov,Pufu,Tesileanu]: Replace saddle point eq. by set of particles w/ coordinates  $\lambda_i^{(j)}$  in potential  $\mathcal{F}$ 

 $\Rightarrow$   $\mathcal{F}_{S^5}$  numerically,  $N\!\le\!50$  for  $T_N$  ,  $N,M\!\le\!30$  for  $+_{N,M}$ 



Confirms  $N^4$  and  $N^2M^2$  scaling predicted from supergravity, coefficients of leading terms agree to 1%

- Counting AdS<sub>6</sub> black hole microstates -

 $\operatorname{AdS}_6 \times S^2 \times \Sigma$  solution in Type IIB  $\longleftrightarrow$  5d SCFT encoded in  $(\Sigma, \mathcal{A}_{\pm})$  in conformal vacuum on  $\mathbb{R}^{1,4}$ ,  $S^5$ , ..., finite  $T, \ldots$ 

Consistent KK reduction to 6d F(4) sugra based on these general AdS<sub>6</sub> solutions: [Hong,Liu,Mayerson '18;Malek,Samtleben,Vall Camell '18]

 $\rightarrow$  any (bosonic) solution to 6d F(4) supergravity combined with any choice of  $(\Sigma, \mathcal{A}_{\pm})$  uplifts to 10d solution of Type IIB

Magnetically charged AdS<sub>6</sub> black holes in 6d F(4) supergravity with AdS<sub>2</sub> ×  $\Sigma_{g_1}$  ×  $\Sigma_{g_2}$ , near-horizon limit [Suh '18].

Analytic near-horizon solution including  $B_2$  (missing in [Naka '02])

$$ds^{2} = ds^{2}_{AdS_{2}} + ds^{2}_{\Sigma_{\mathfrak{g}_{1}}} + ds^{2}_{\Sigma_{\mathfrak{g}_{2}}} \qquad \phi = \text{const}$$
$$F^{3} \sim \text{vol}_{\Sigma_{\mathfrak{g}_{1}}} + \text{vol}_{\Sigma_{\mathfrak{g}_{2}}} \qquad B_{2} \sim \text{vol}_{AdS_{2}}$$

 $\Sigma_{\mathfrak{g}_i}$  constant curvature Riemann surfaces of genus  $g_i$ ,  $\mathfrak{g}_1, \mathfrak{g}_2 > 1$ .

Uplift to AdS<sub>6</sub> black hole solutions in IIB with near-horizon limit  $(AdS_2 \times \Sigma_{\mathfrak{g}_1} \times \Sigma_{\mathfrak{g}_2}) \times S^2$  warped over IIB Riemann surface  $\Sigma$ .

Family of Type IIB AdS<sub>6</sub> black hole solutions for each (regular) choice  $(\Sigma, \mathcal{A}_{\pm})$ , labeled by  $(\mathfrak{g}_1, \mathfrak{g}_2)$ . Bekenstein-Hawking entropy:

$$S_{\rm BH} = -\frac{8}{9}(1 - \mathfrak{g}_1)(1 - \mathfrak{g}_2)\mathcal{F}_{S^5}$$
$$\mathcal{F}_{S^5} = -\frac{4}{9}\pi^3 \int_{\Sigma} d^2 w |\partial_w \mathcal{G}|^2$$
$$\partial_w \mathcal{G} = (\bar{\mathcal{A}}_+ - \mathcal{A}_-)\partial_w \mathcal{A}_+ + (\mathcal{A}_+ - \bar{\mathcal{A}}_-)\partial_w \mathcal{A}_-$$

Near-horizon solution describes 5d SCFT characterized by  $(\Sigma, \mathcal{A}_{\pm})$  compactified on  $\Sigma_{\mathfrak{g}_1} \times \Sigma_{\mathfrak{g}_2} \times S^1$  with topological twist.

#### Counting black hole microstates [Fluder, Hosseini, CFU 1902.05074]

Partition function  $\mathcal{Z}_{S^1 \times \Sigma_{\mathfrak{g}_1} \times \Sigma_{\mathfrak{g}_2}}$  from localization [Hosseini,Yaakov, Zaffaroni '18, Crichigno,Jain,Willett '18] ~ 5d top. twisted index.

Large-N prescription of [Hosseini, Yaakov, Zaffaroni '18]:

$$Z_{\Sigma_{\mathfrak{g}_1}\times\Sigma_{\mathfrak{g}_2}\times S^1} = \sum_{k=0}^\infty \sum_{\mathfrak{m},\mathfrak{n}} \oint_{\mathcal{C}} q^k Z_{\mathsf{int}}^{(k\mathsf{-instantons})}(\mathfrak{m},\mathfrak{n},a;\dots)$$

(i) exchange sum over flux on  $\Sigma_{\mathfrak{g}_1}$  with integration and resum (ii) (pole, flux on  $\Sigma_{\mathfrak{g}_2}$ ) dominating remaining integral+sum from  $\exp\left(i\frac{\partial\widetilde{\mathcal{W}}(a,\mathfrak{n};\Delta,\mathfrak{t})}{\partial a_\ell}\right) = 1 \qquad \exp\left(\frac{2\pi i}{\hbar}\frac{\partial\mathcal{F}(a)}{\partial a_\ell}\right) = 1$ 

Agrees with holographic prediction for 5d USp(N) theory [Suh '18]

## Counting black hole microstates

#### [Fluder, Hosseini, CFU 1902.05074]


# Counting black hole microstates

#### [Fluder, Hosseini, CFU 1902.05074]



Supports index computation, KK reductions,  $AdS_6/CFT_5$  dualities.

# - Summary & Outlook-



Supergravity solutions for fully localized 5-brane junctions in Type IIB. Holographic duals for the corresponding 5d SCFTs.

Quantitative tests of proposed  $AdS_6/CFT_5$  dualities: spectrum of stringy operators,  $S^5$  partition functions, top. twisted indices.

Supports existence of 5d SCFTs and SCFT interpretation of 5-brane junctions.

 $N^4$  scaling of # d.o.f. from sphere partition functions, results consistent with conjectured 5d F-theorem

### Outlook

More quantitative studies of 5d SCFTs: spectrum, correlators, non-local operators, finite T, ...

Lessons for  $d \leq 4$ : boundaries and defects, compactification

Further solutions: mutually non-local 7-branes?

Similar story for closely related AdS $_2 \times S^6 \times \Sigma$  solutions? [Corbino,D'Hoker,CFU 1712.04463], [Corbino,D'Hoker,Kaidi,CFU 1812.10206]

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# Thank you!