

# Nonlinear Behaviour of Axions

Mark Hertzberg

Tufts University

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# QCD-Axion Reminder

$$\Delta \mathcal{L}_{qcd} \sim \theta \, \mathbf{E}^a \cdot \mathbf{B}^a \qquad \qquad |\theta| \lesssim 10^{-10}$$

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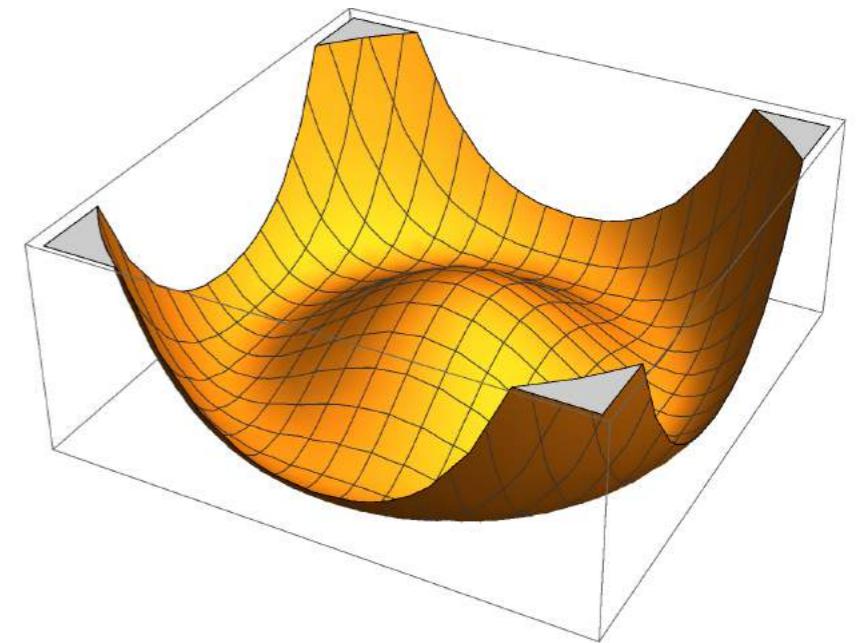
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(Peccei-Quinn, Weinberg, Wilczek)



$$\theta \rightarrow \phi/f_a$$

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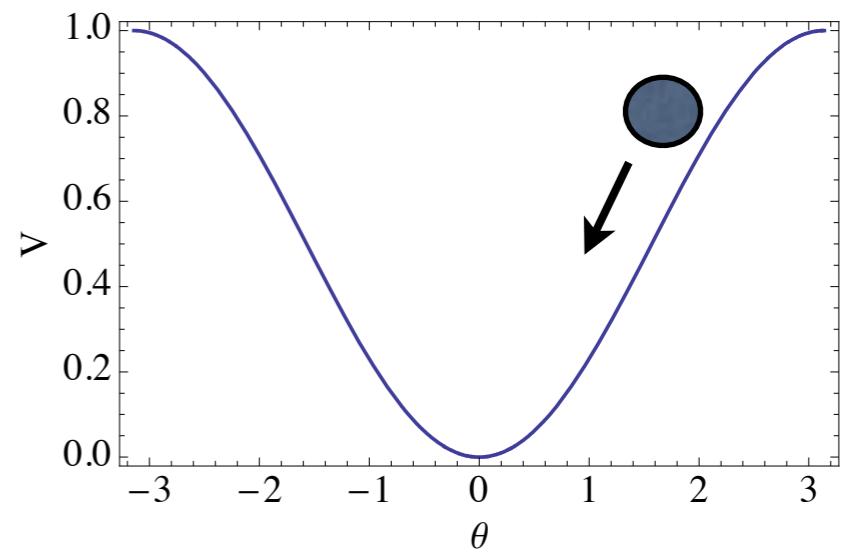
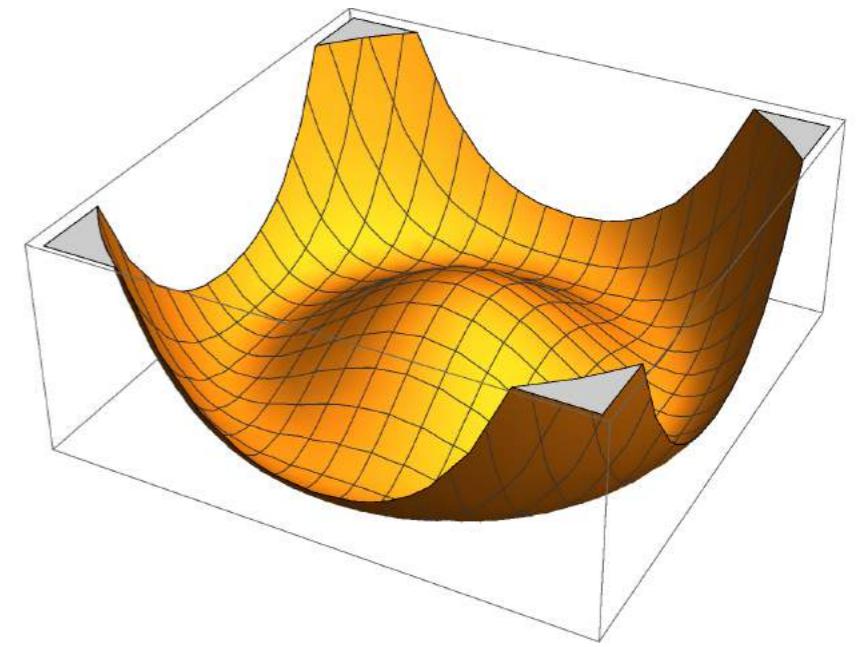
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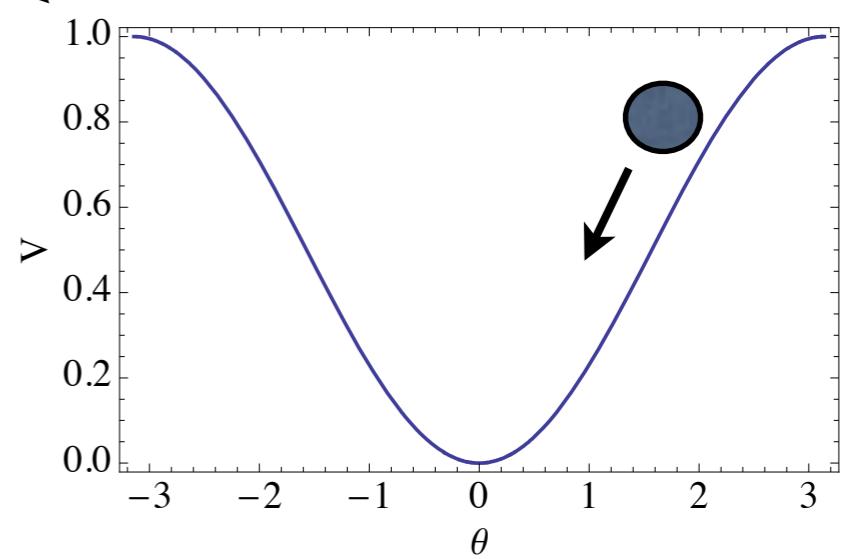
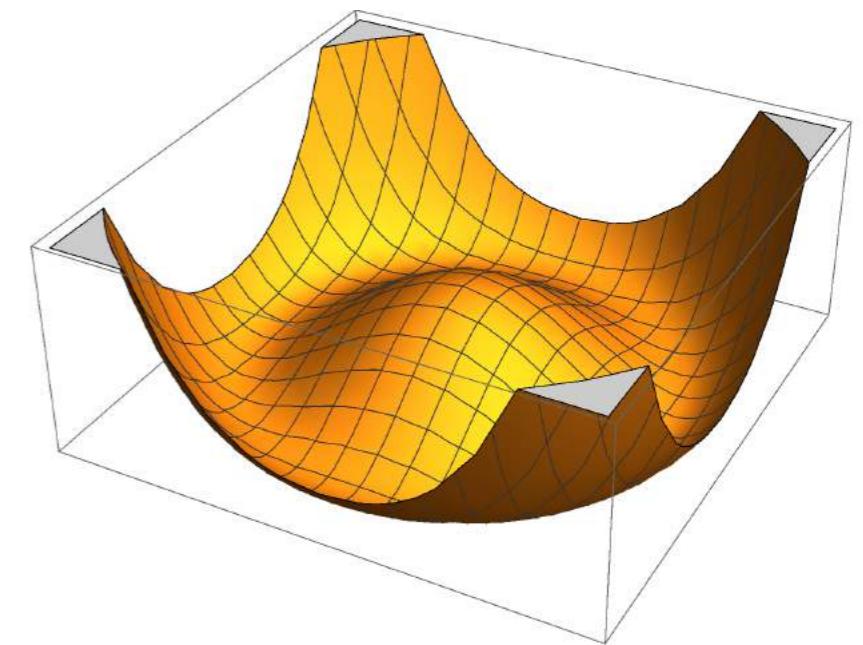
$$V(\phi) = \frac{1}{2} m_a^2 \phi^2 + \frac{\lambda}{4!} \phi^4 + \dots$$

Axion mass:

$$m_a \sim \frac{\Lambda_{qcd}^2}{f_a}$$

(Attractive) Self-Coupling:

$$\lambda \sim -\frac{\Lambda_{qcd}^4}{f_a^4}$$



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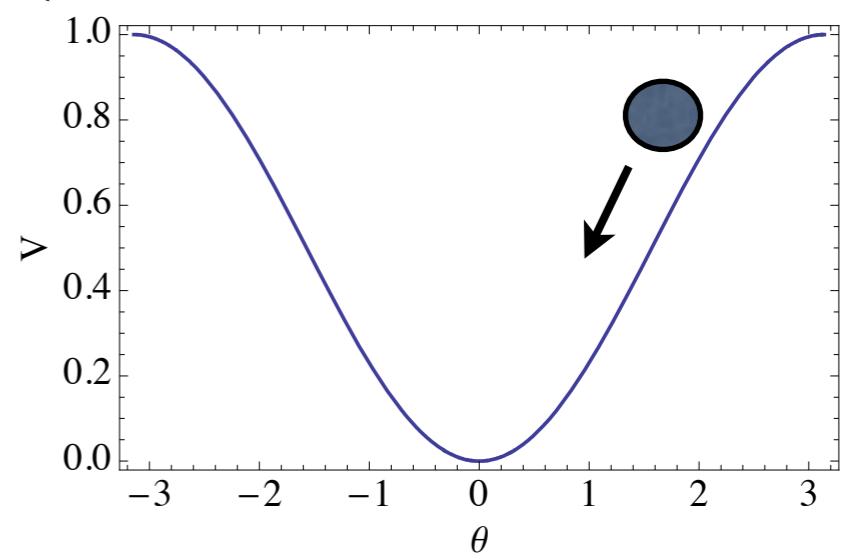
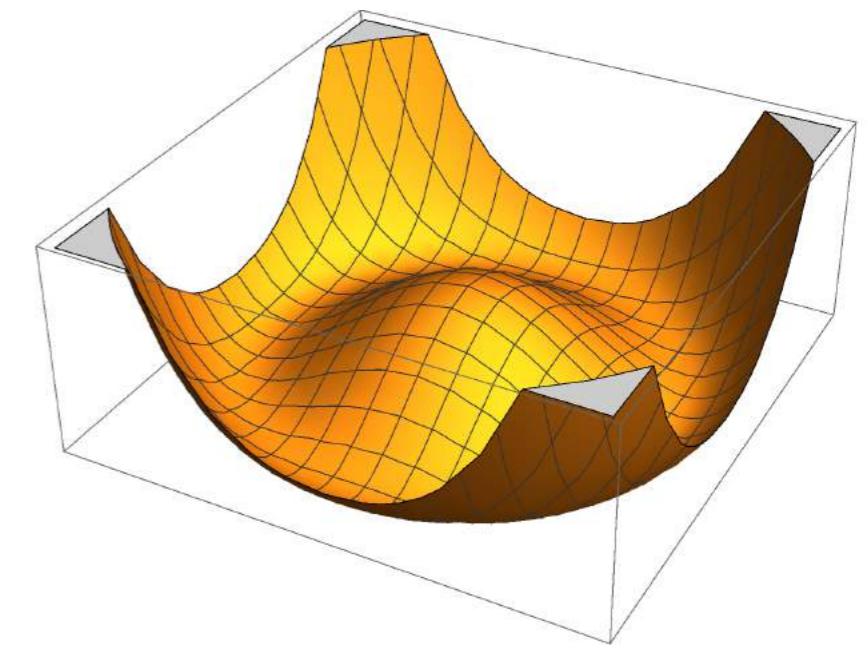


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Abundance

$$\Omega_a \approx \langle \theta_i^2 \rangle \left( \frac{f_a}{10^{12} \text{GeV}} \right)^{7/6} < 0.25$$



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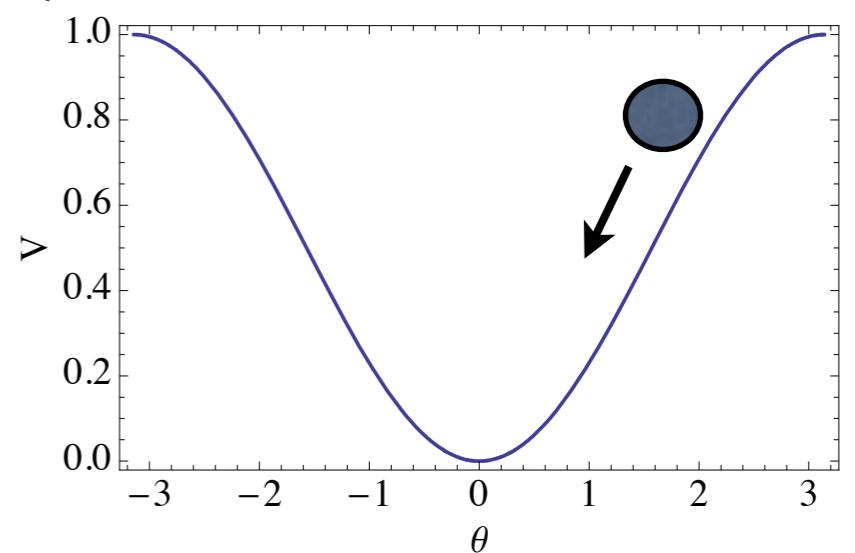
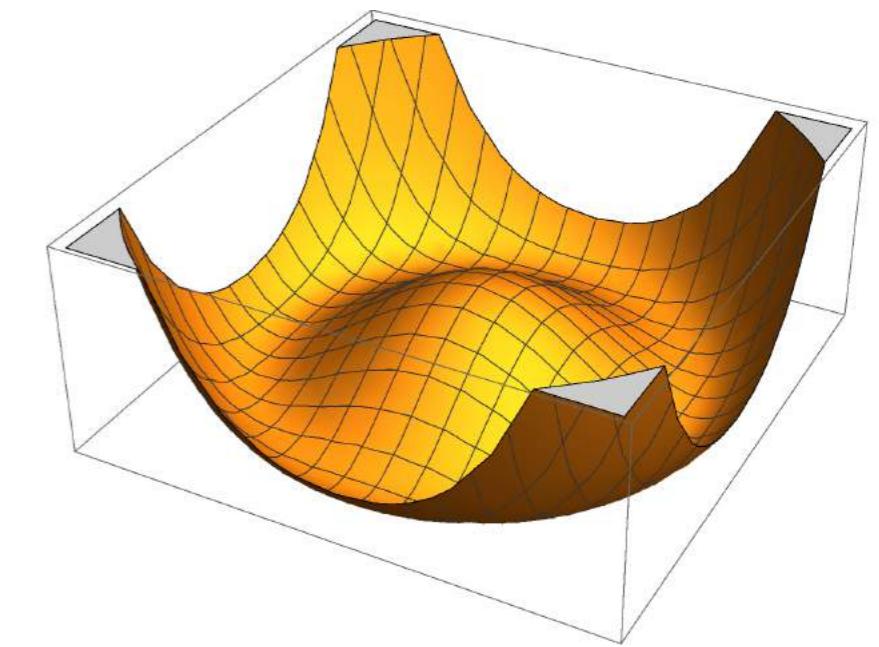
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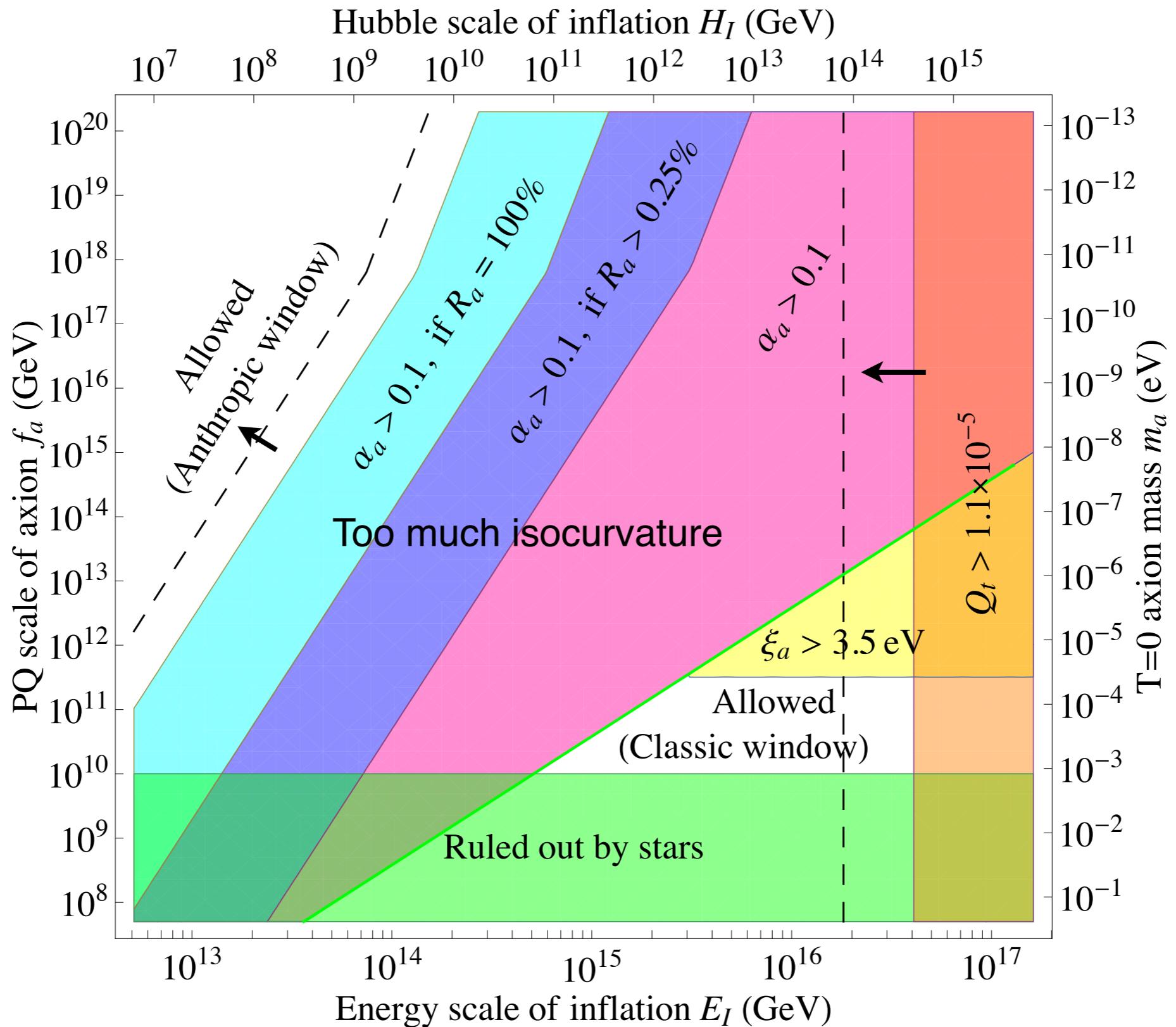
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Related issues for string-axions,  
ALPs, light bosonic DM



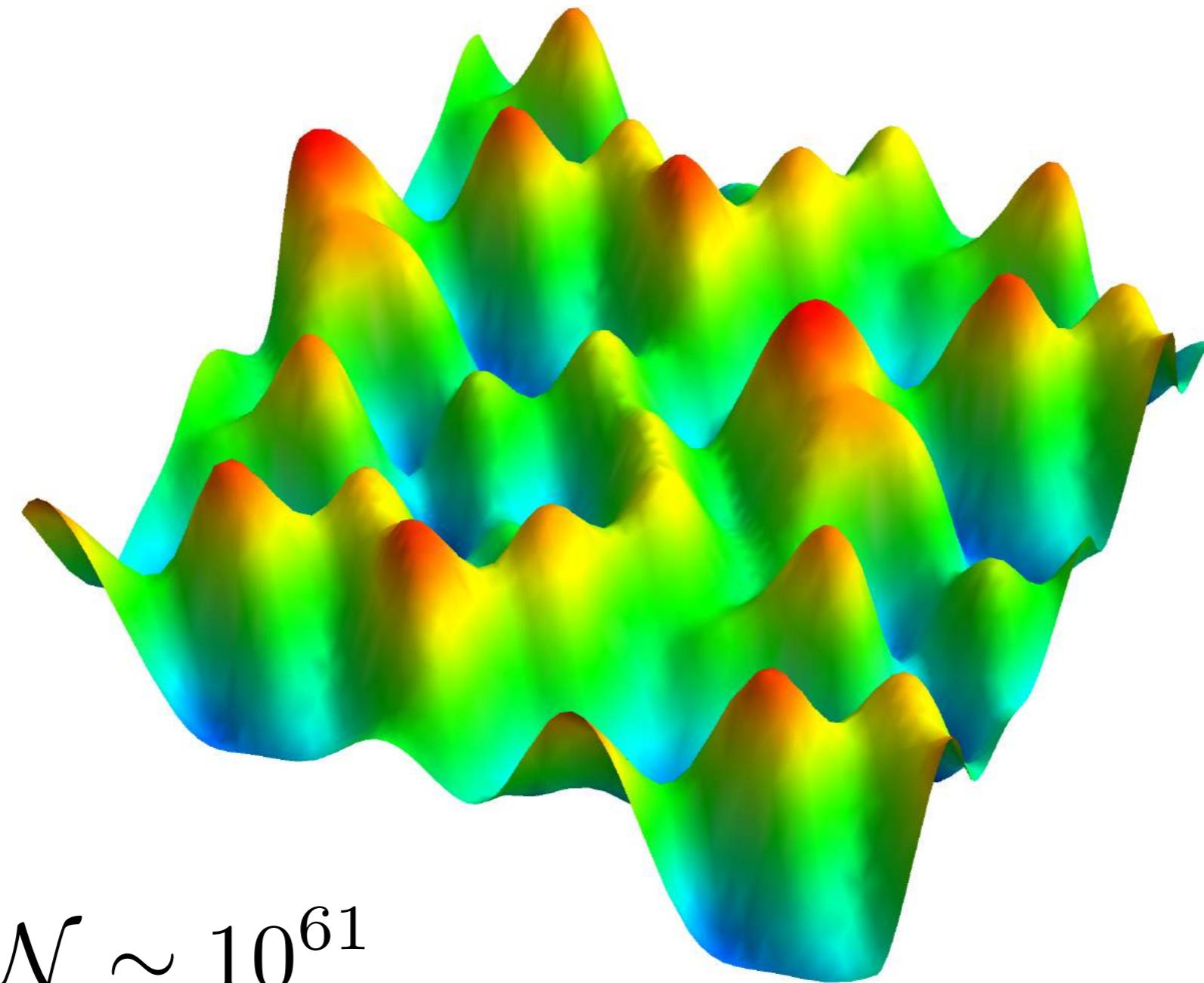
# QCD-Axion Allowed Windows



Hertzberg, Tegmark, Wilczek 0807.1726

Focus on Classic Window

# In Classic Window; Axion Initial Distribution



# Consider Non-Relativistic Behavior

$$\phi(\mathbf{x}, t) = \frac{1}{\sqrt{2m}} (e^{-imt} \psi(\mathbf{x}, t) + e^{imt} \psi^*(\mathbf{x}, t))$$

(For rigorous treatment: Namjoo, Guth, Kaiser 2017,  
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# Consider Non-Relativistic Behavior

$$\phi(\mathbf{x}, t) = \frac{1}{\sqrt{2m}} (e^{-imt} \psi(\mathbf{x}, t) + e^{imt} \psi^*(\mathbf{x}, t))$$

Hamiltonian

$$\hat{H} = \hat{H}_{\text{kin}} + \hat{H}_{\text{int}} + \hat{H}_{\text{grav}}$$

$$\hat{H}_{\text{kin}} = \int d^3x \frac{1}{2m} \nabla \hat{\psi}^\dagger \cdot \nabla \hat{\psi}$$

$$\hat{H}_{\text{int}} = \int d^3x \frac{\lambda}{16m^2} \hat{\psi}^\dagger \hat{\psi}^\dagger \hat{\psi} \hat{\psi}$$

$$\hat{H}_{\text{grav}} = -\frac{Gm^2}{2} \int d^3x \int d^3x' \frac{\hat{\psi}^\dagger(\mathbf{x}) \hat{\psi}^\dagger(\mathbf{x}') \hat{\psi}(\mathbf{x}) \hat{\psi}(\mathbf{x}')}{|\mathbf{x} - \mathbf{x}'|}$$

Number Density

$$\hat{n}(\mathbf{x}) = \hat{\psi}^\dagger(\mathbf{x}) \hat{\psi}(\mathbf{x})$$

(For rigorous treatment: Namjoo, Guth, Kaiser 2017,  
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# Dynamical Time Scales

## Equation of Motion

$$i\dot{\psi} = -\frac{1}{2m}\nabla^2\psi + \frac{\lambda}{8m^2}|\psi|^2\psi - Gm^2\psi \int d^3x' \frac{|\psi(\mathbf{x}')|^2}{|\mathbf{x} - \mathbf{x}'|}$$

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Occupancy number change rate

$$\Gamma_k \equiv \frac{\dot{\mathcal{N}}_k}{\mathcal{N}_k} \sim \frac{G m^2 n_{ave}}{k^2}$$

(See Sikivie, Yang 2009)

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Relaxation rate       $\Gamma_{rel} \sim \frac{G^2 n^2 m^5}{k^6}$

$(\sim n \sigma v \mathcal{N})$

(See Levkov, Panin, Tkachev 2018)

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Equilibrium with high occupancy suggests BEC

# Axion BEC Literature

- Sikivie, Yang (2009)
- Erken, Sikivie, Tam, Yang (2011)
- Chavanis (2012)
- Banik, Sikivie (2013)
- Davidson, Elmer (2013)
- Saikawa, Yamaguchi (2013)
- Noumi, Saikawa, Sato, Yamaguchi (2014)
- Vega, Sanchez (2014)
- Li, Rindler-Daller, Shapiro (2014)
- Berges, Haeckel (2014)
- Banik, Christopherson, Sikivie, Todarello (2015)
- Davidson (2015)
- Eby, Suranyi, Wijewardhana (2014, 2015, 2016, 2017, 2018, 2019, 2020) [w/Leembruggen, Ma, Street, Vaz]
- ..... many more.....

# Classical Description of BEC Phase Transition

Free Theory

$$F[\psi] = \int \frac{d^3k}{(2\pi)^3} \left[ \frac{k^2}{2m} - \mu(T) \right] |\psi_k|^2$$

Number

$$\langle N \rangle = \frac{\int \mathcal{D}\psi N[\psi] \exp(-F[\psi]/T)}{\int \mathcal{D}\psi \exp(-F[\psi]/T)}$$

Density

$$n_{\text{th}} = \int \frac{d^3k}{(2\pi)^3} \frac{T}{\frac{k^2}{2m} - \mu(T)}$$

Critical Temperature

$$T_{\text{crit}} = \frac{\pi^2 n_{\text{tot}}}{m k_{\text{UV}}}$$

# Classical vs Quantum with Interactions

## What About Interactions?

Fundamental claim of Sikivie, Todarello, 1607.00949

On time scales  $t > \tau = 1/\Gamma$  the classical description breaks down, requiring the full quantum theory, which is the only way to see thermalization

# Toy Model

Second Quantized Language

$$\hat{H} = \sum_i \omega_i \hat{a}_i^\dagger \hat{a}_i + \frac{1}{4} \sum_{ijkl} \Lambda_{ij}^{kl} \hat{a}_i^\dagger \hat{a}_j^\dagger \hat{a}_k \hat{a}_l,$$

Consider just 5 oscillators for simplicity

Initial quantum state  $|\{N_i\}\rangle = |12, 25, 4, 12, 1\rangle$

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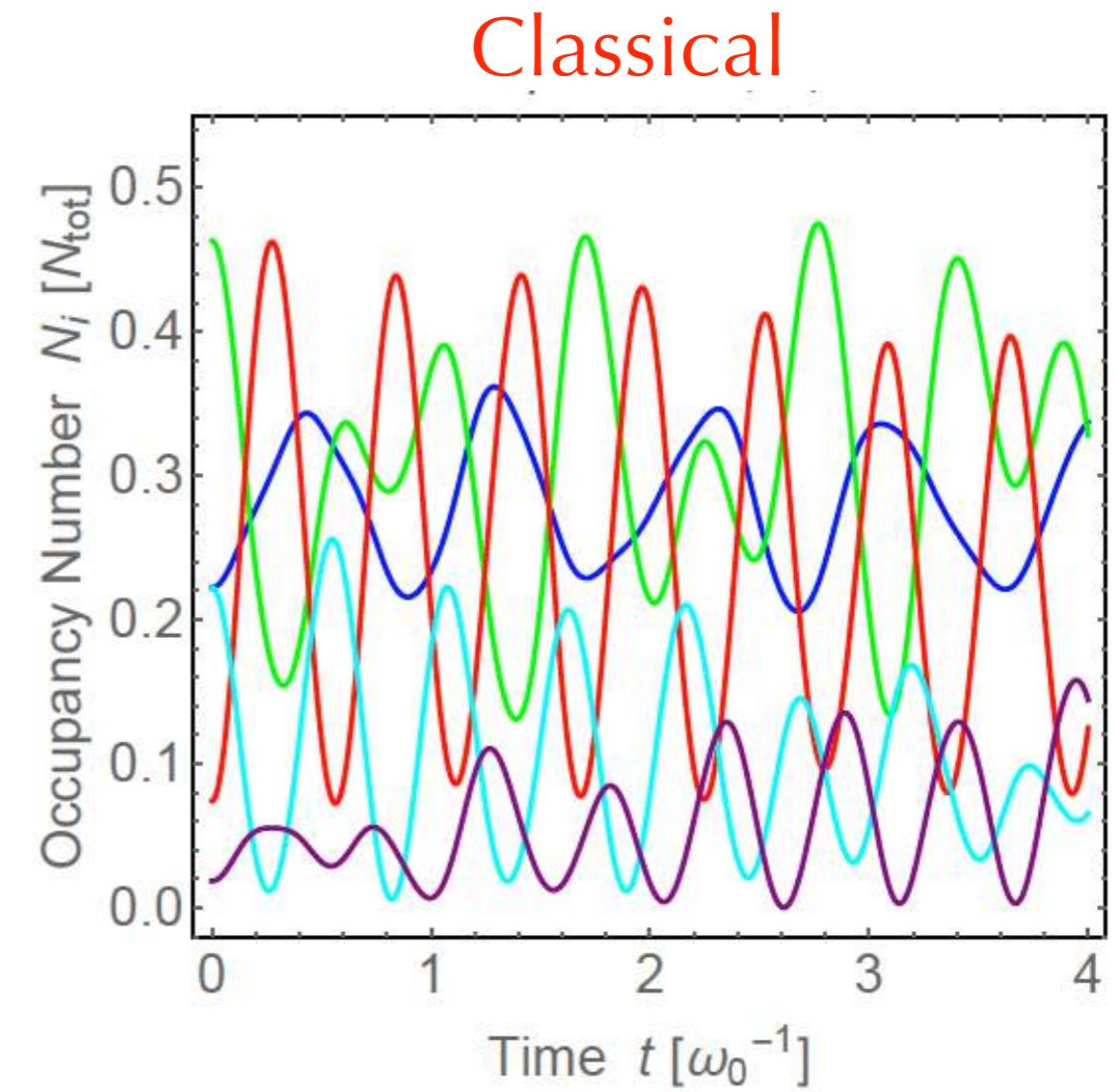
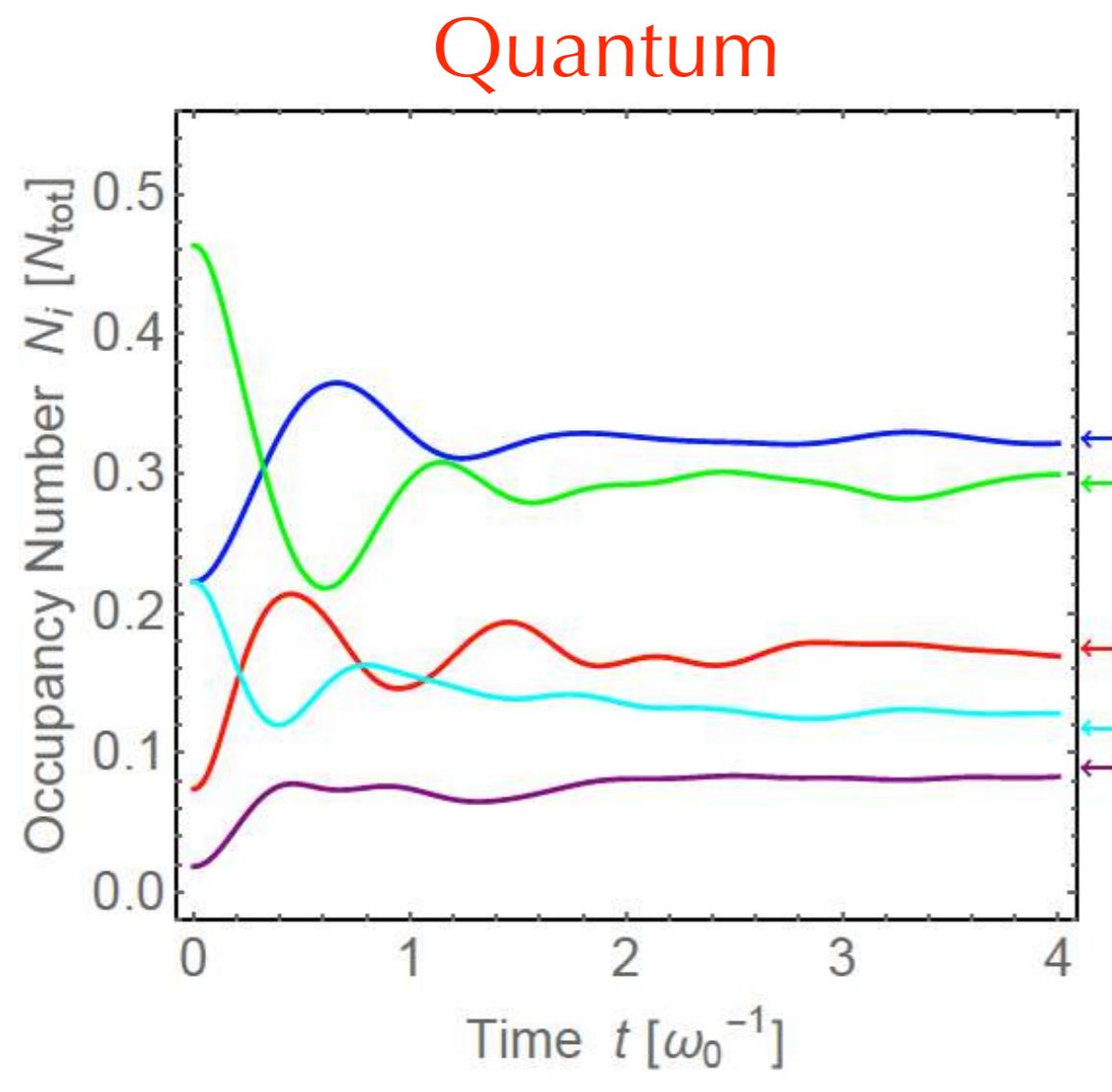
Consider just 5 oscillators for simplicity

Initial quantum state  $|\{N_i\}\rangle = |12, 25, 4, 12, 1\rangle$

Initial classical state  $a_i = \sqrt{N_i}$

Sikivie, Todarello, 1607.00949

# Quantum vs Classical??



Sikivie, Todarello, 1607.00949

# Correct Classical Treatment

Initial classical state

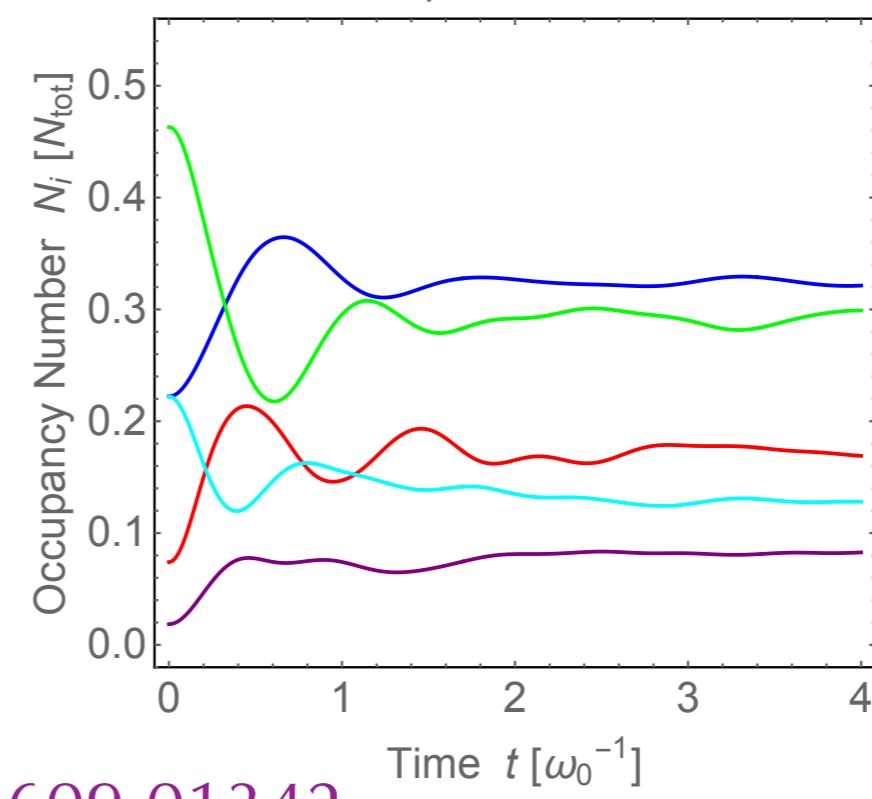
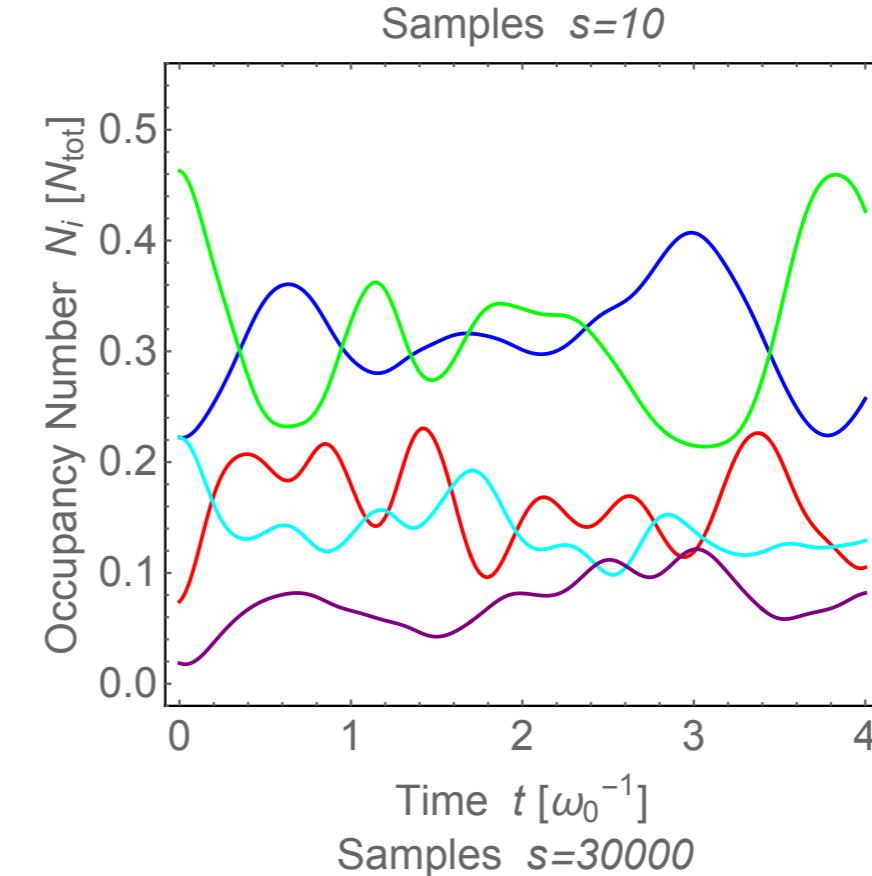
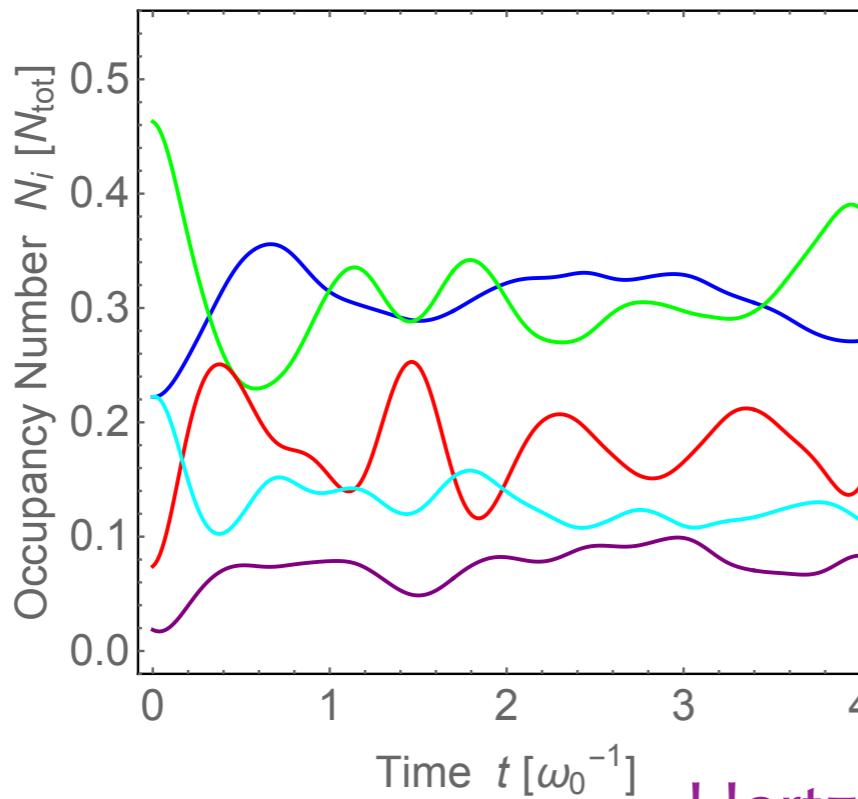
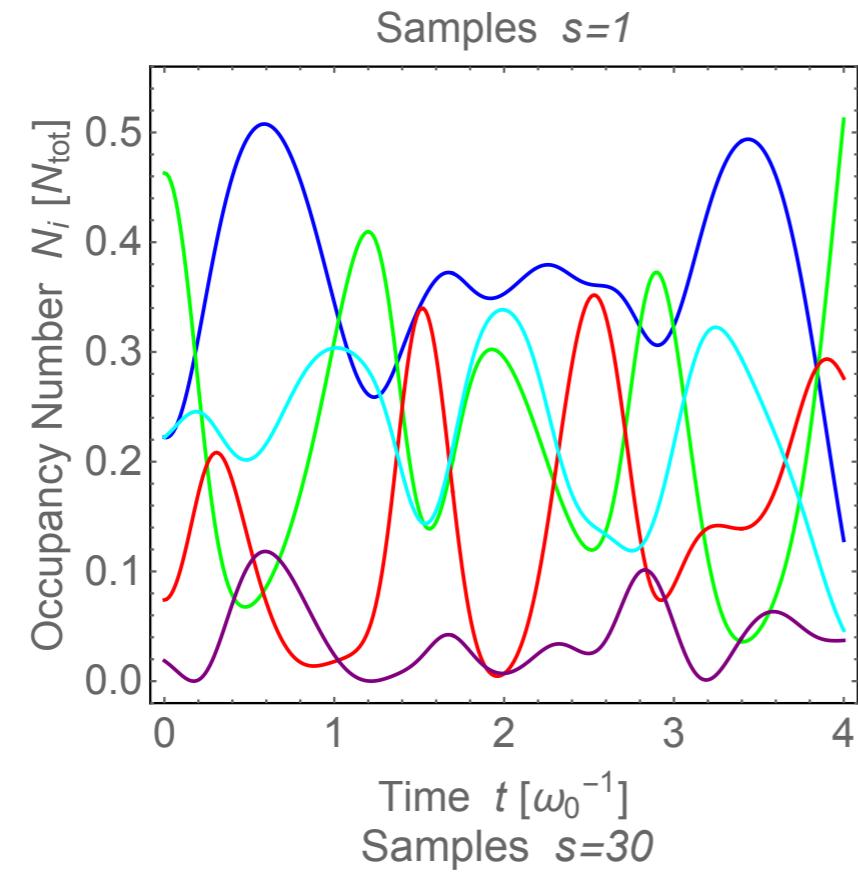
$$a_i = \sqrt{N_i} e^{I\theta_i}, \quad \theta_i \in [0, 2\pi)$$

Ensemble average over random initial phases

Meaningful comparison

Connects to uncertainty in branch of wavefunction

# Correct Classical Treatment



Hertzberg 1609.01342

## Implication for Correlation Functions

# Implication for Correlation Functions

At high occupancy

$$\langle \{N_i\} | \hat{\psi}^\dagger(\mathbf{x}, t) \hat{\psi}(\mathbf{y}, t) | \{N_i\} \rangle \approx \langle \psi^*(\mathbf{x}, t) \psi(\mathbf{y}, t) \rangle_{ens}$$

Ergodic theorem

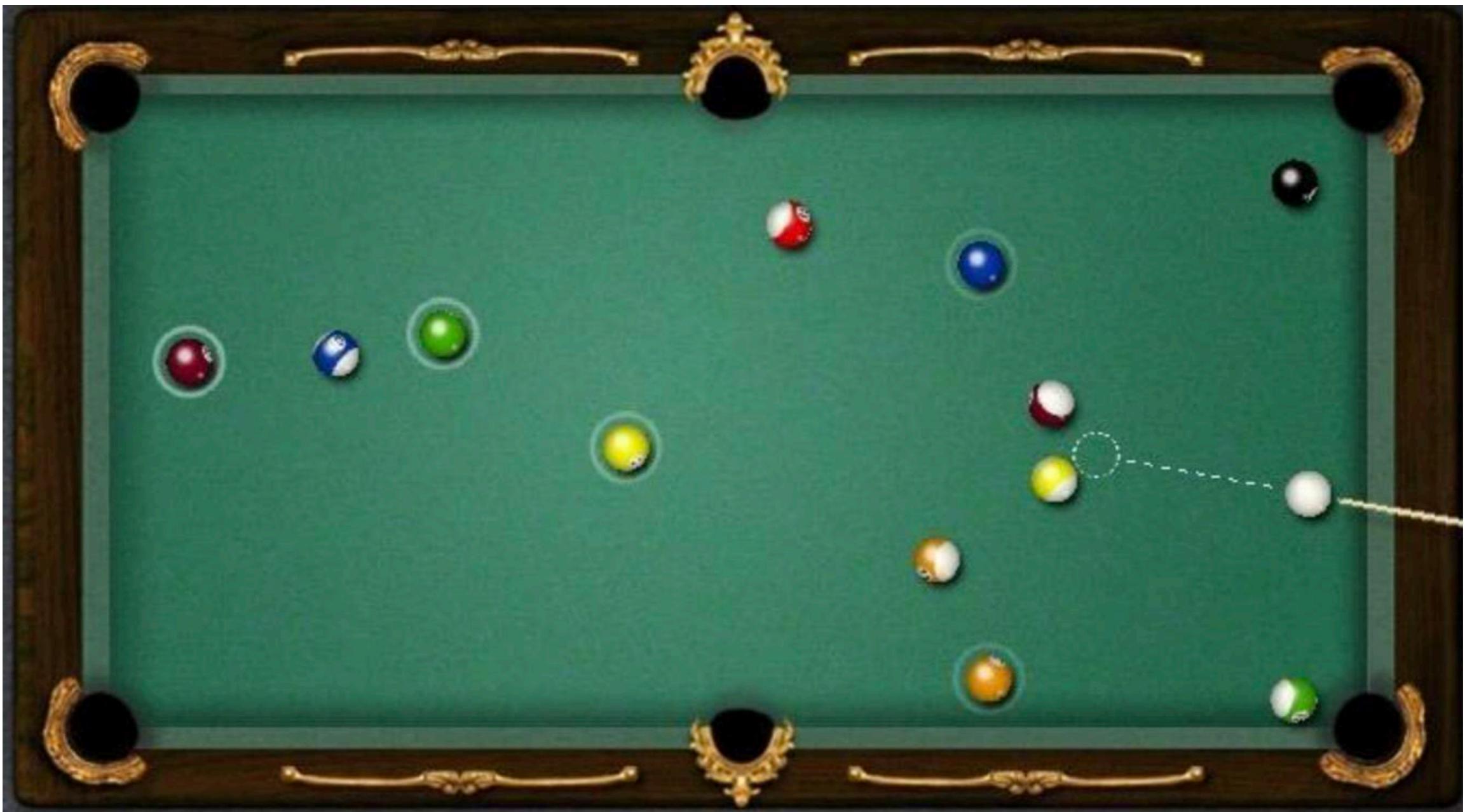
$$\langle \psi^*(\mathbf{x}, t) \psi(\mathbf{y}, t) \rangle_{ens} = \frac{1}{V} \int_V d^3z \psi_\mu^*(\mathbf{x} + \mathbf{z}, t) \psi_\mu(\mathbf{y} + \mathbf{z}, t)$$

# Implication for Axion Simulations

Correlation functions of quantum and classical micro-states agree at high occupancy, despite the **macroscopic** spreading of wave-functions in these **chaotic** systems

Note: this is **not** some trivial consequence of Ehrenfest theorem...

Akin to billiard balls which exhibit chaos



Albrecht, Phillips 2012

(Return to this at end of talk)

## Implication for Axion Dark Matter

Statistically, axions are well described by classical field theory, after all

What is the BEC?

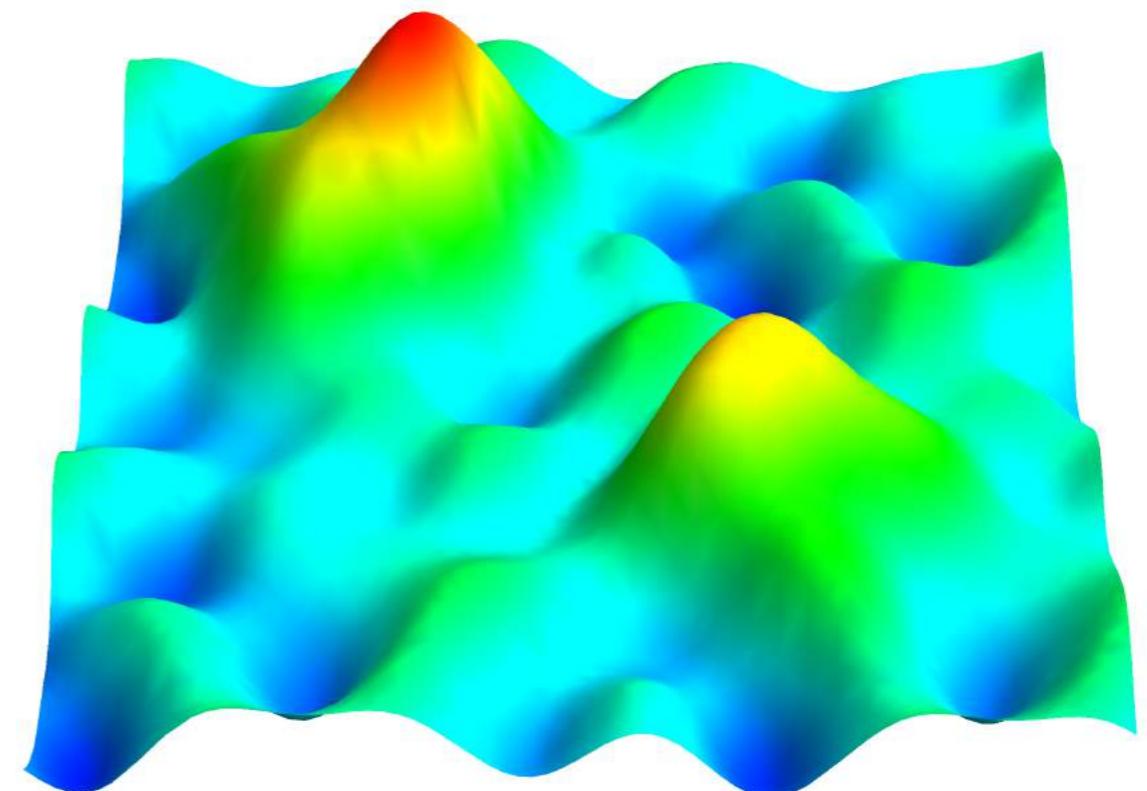
# Implication for Axion Dark Matter

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What is the BEC?

Miniclusters  
→ Axion stars

that may exist in galaxies



Hogan, Rees 1988; Kolb, Tkachev 1993, 1994, 1995; Barranco, Bernal 2001; Guth, Hertzberg, Prescod-Weinstein 2014; Fairbairn, Marsh, Quevillon, Rozier 2017; Kitajima, Soda, Urakawa 2018; Eby, Leembruggen, Ma, Street, Suranyi, Vaz, Wijewardhana 2014 — 2020

## Axion Stars in Detail

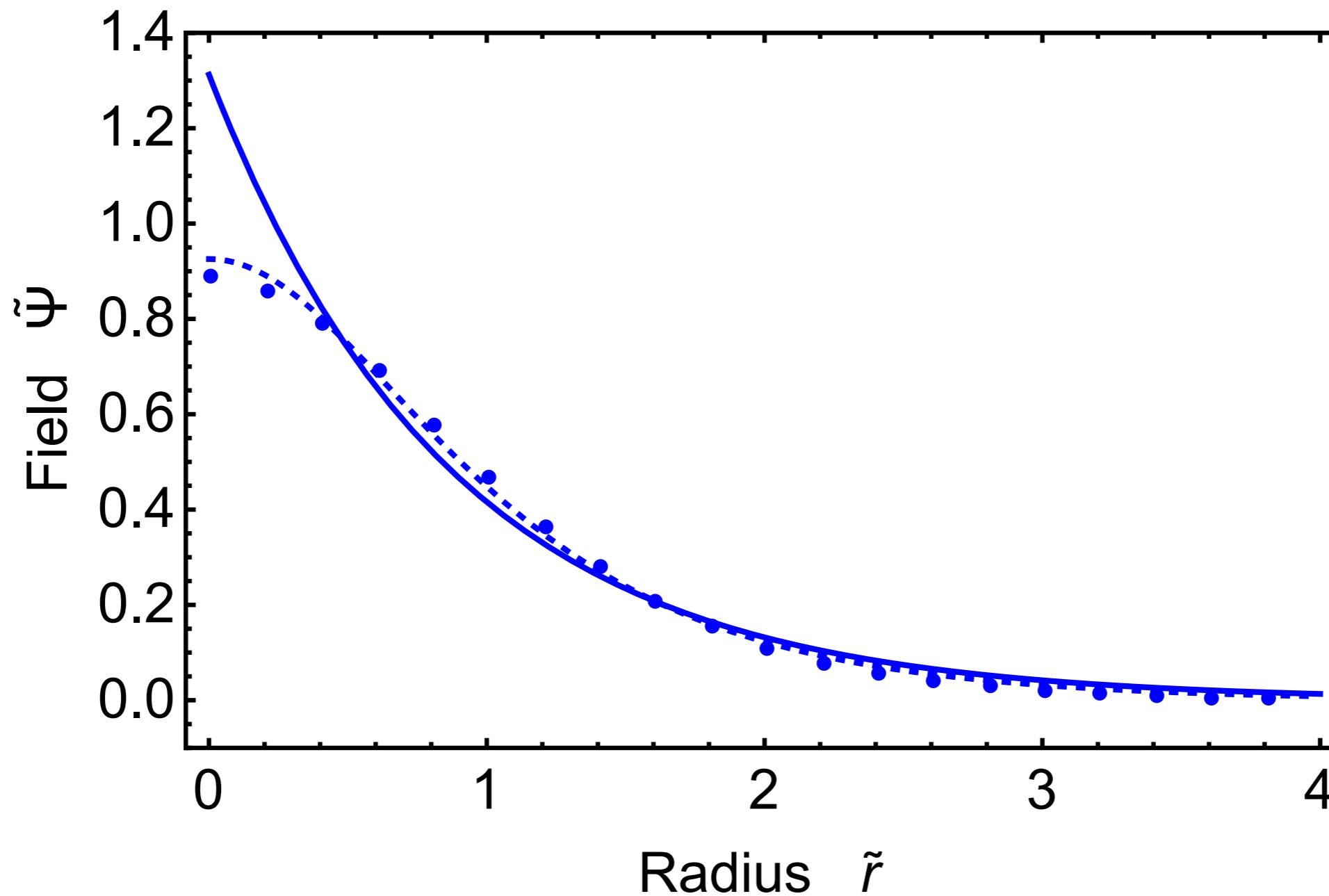
# Return to Non-Relativistic Classical Field Theory

## Equation of Motion

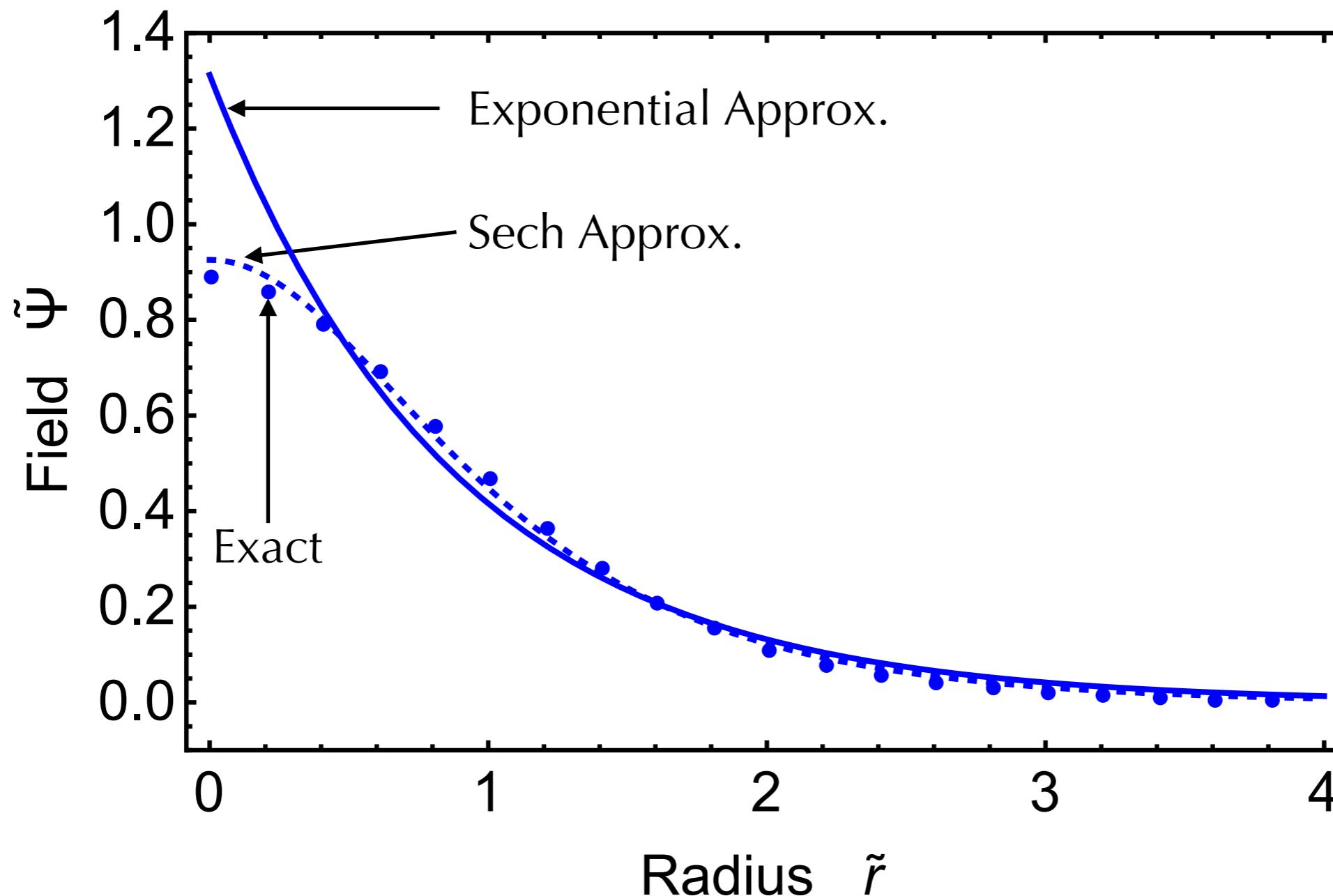
$$i\dot{\psi} = -\frac{1}{2m}\nabla^2\psi + \frac{\lambda}{8m^2}|\psi|^2\psi - Gm^2\psi \int d^3x' \frac{|\psi(x')|^2}{|x - x'|}$$

$$(\lambda < 0)$$

# Star Solutions (BEC) at fixed N

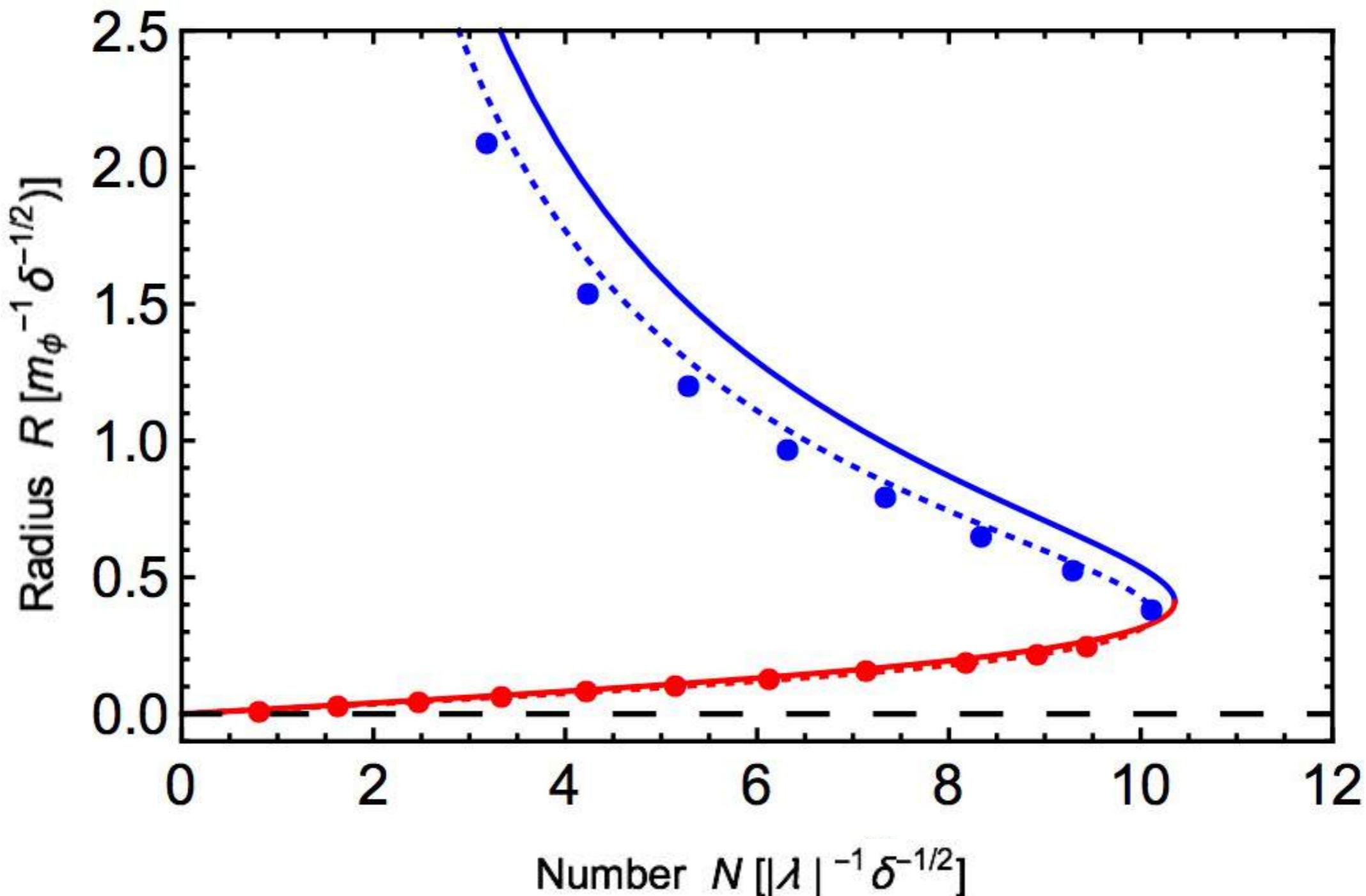


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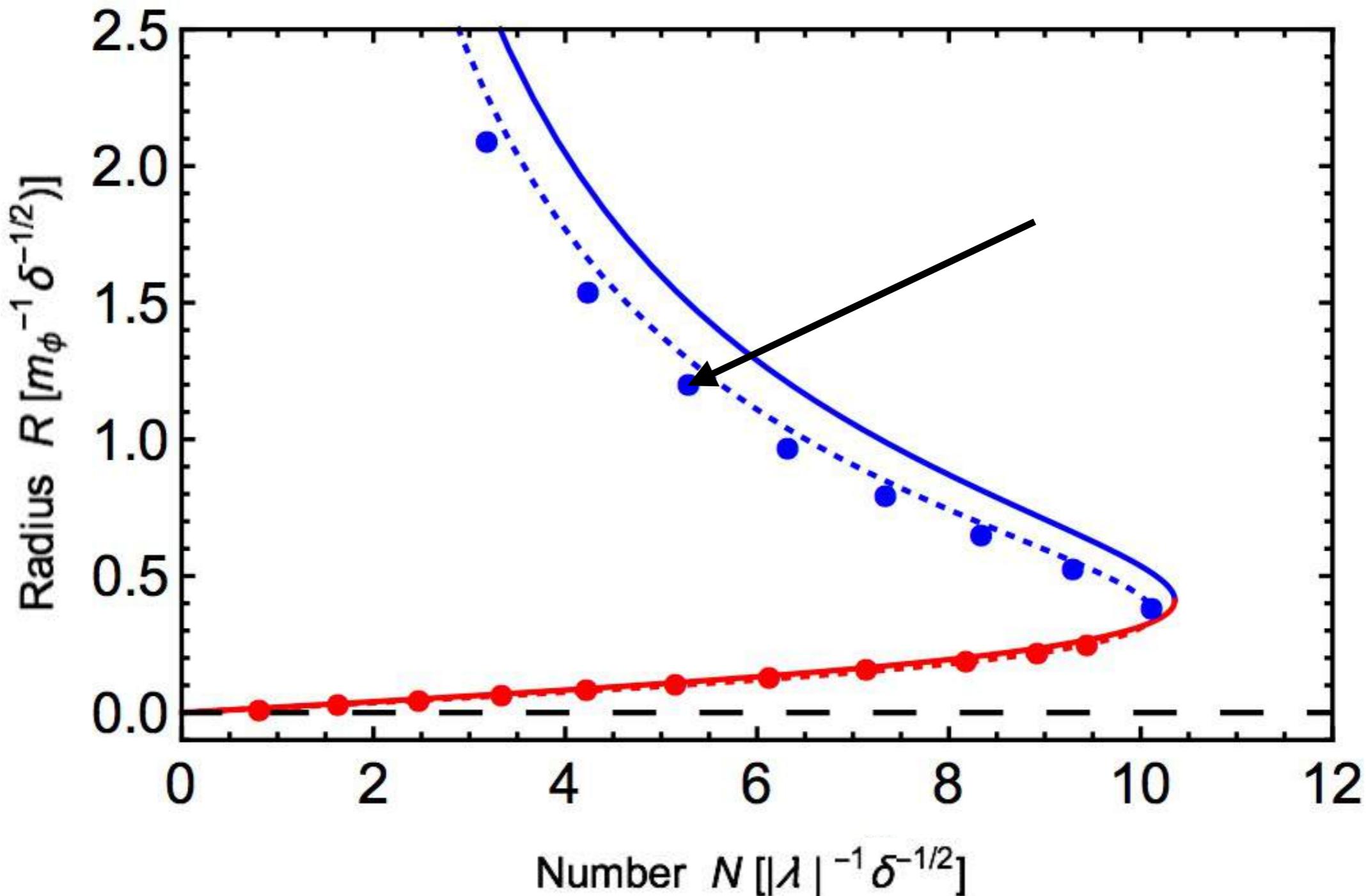
Schiappacasse, Hertzberg 1710.04729

## Two Branches of Solutions



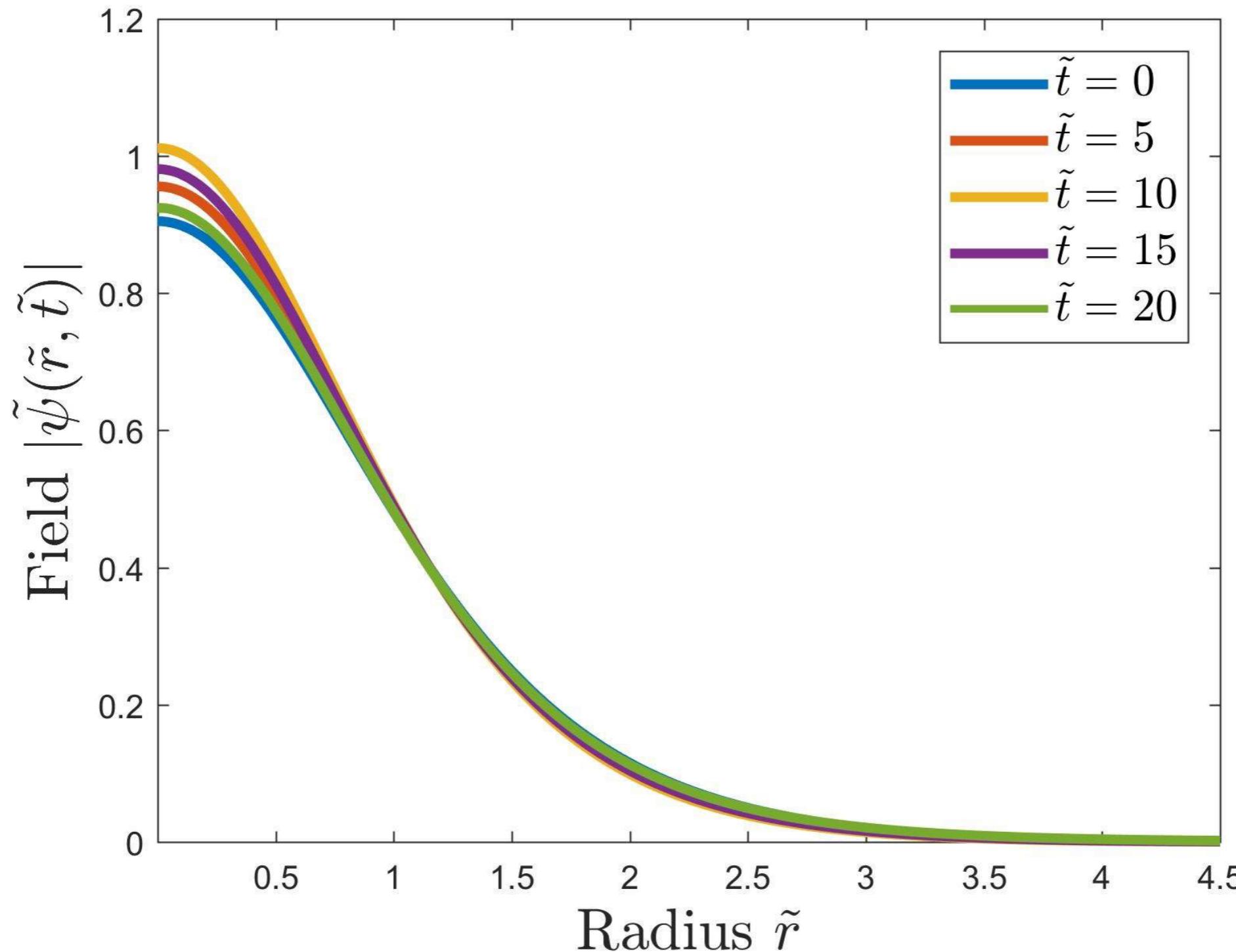
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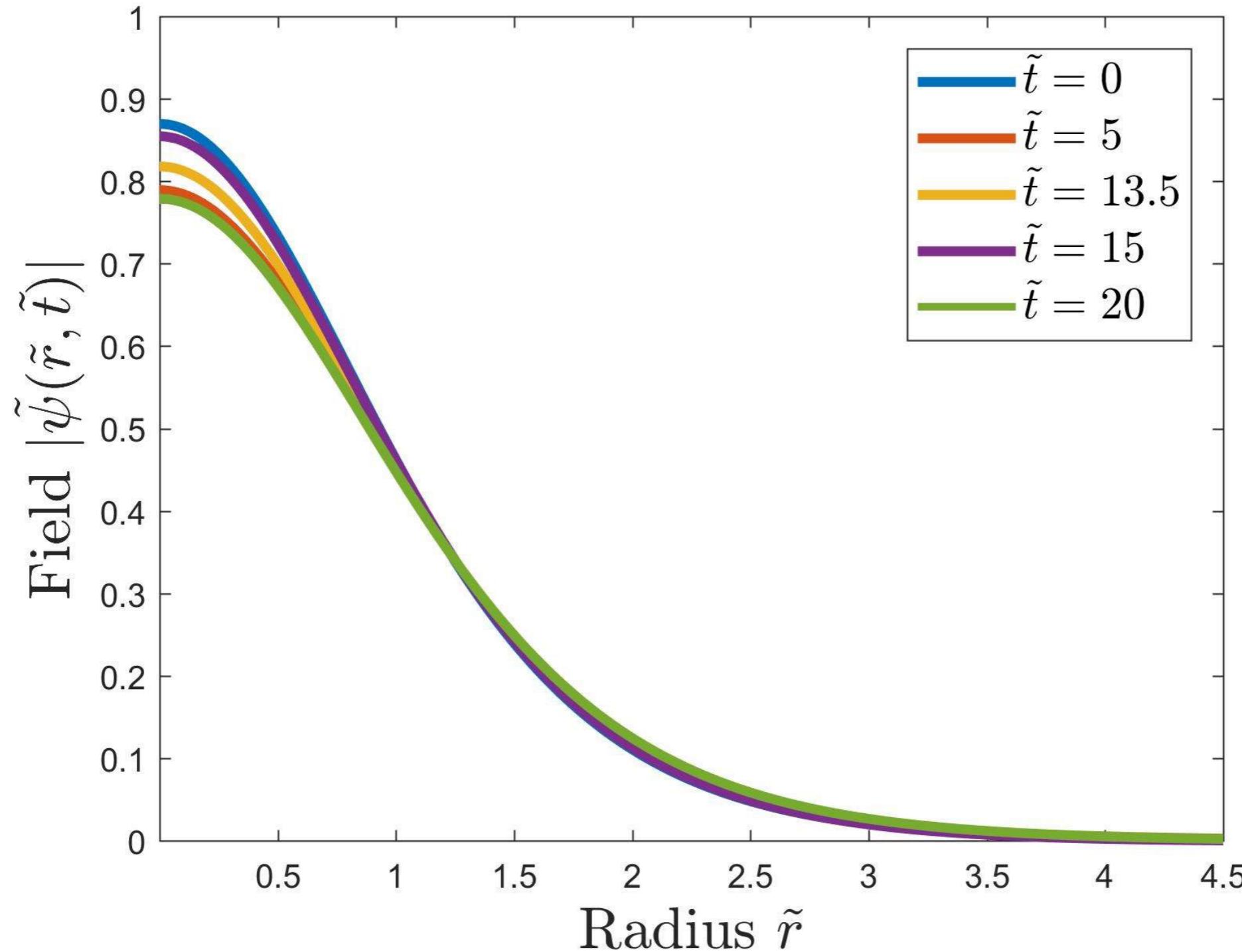
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# Perturbing Upper Branch



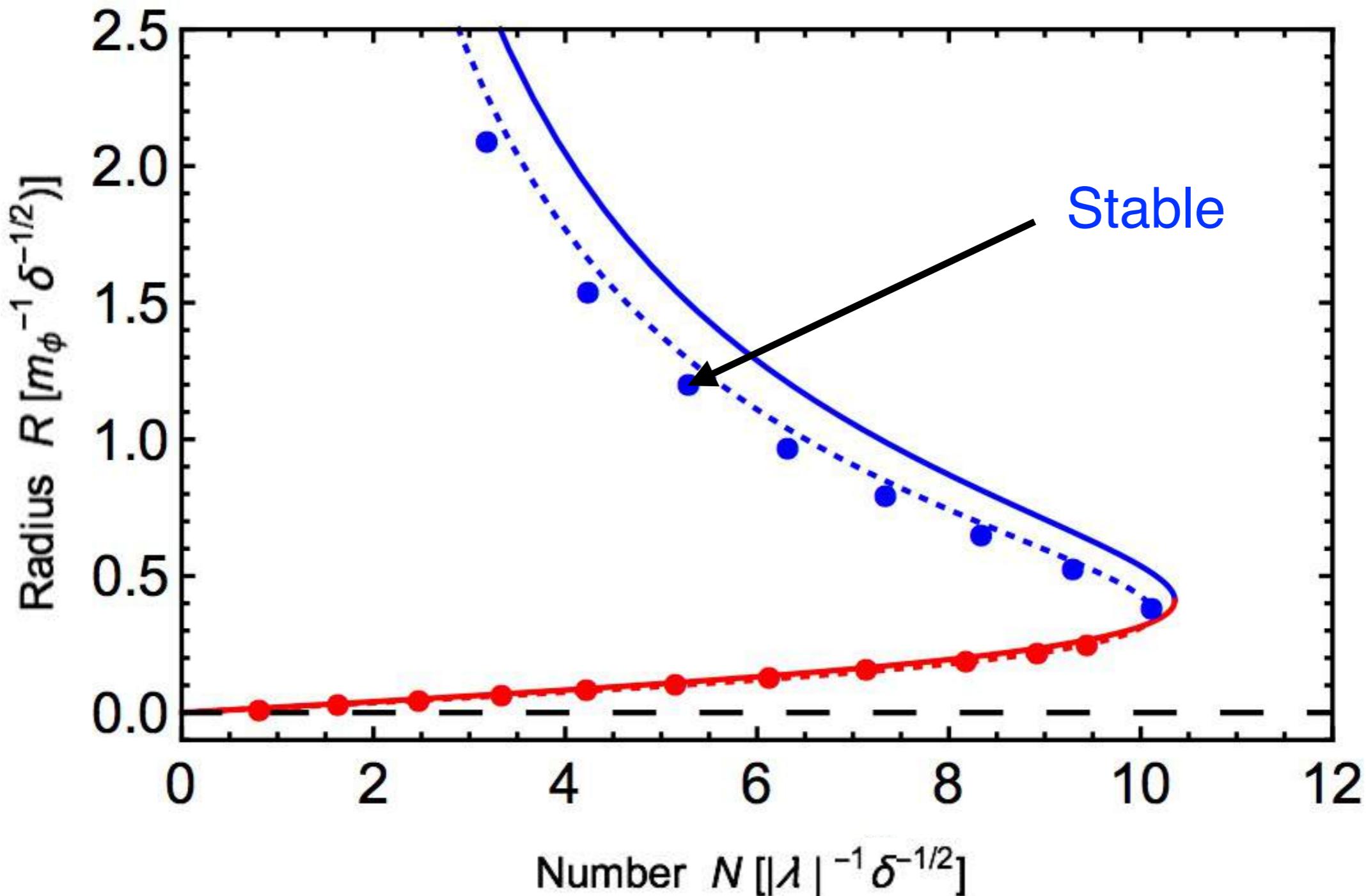
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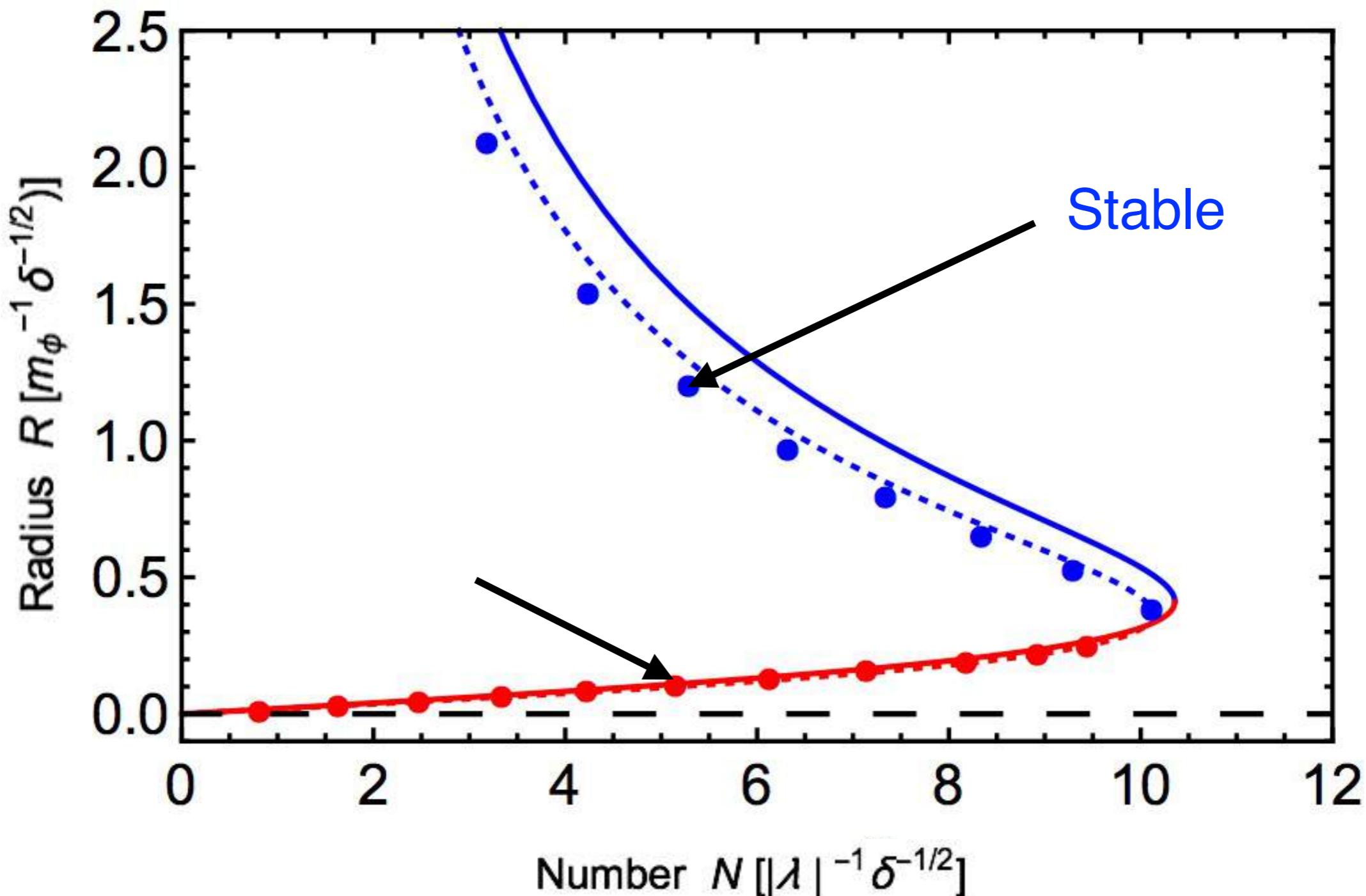
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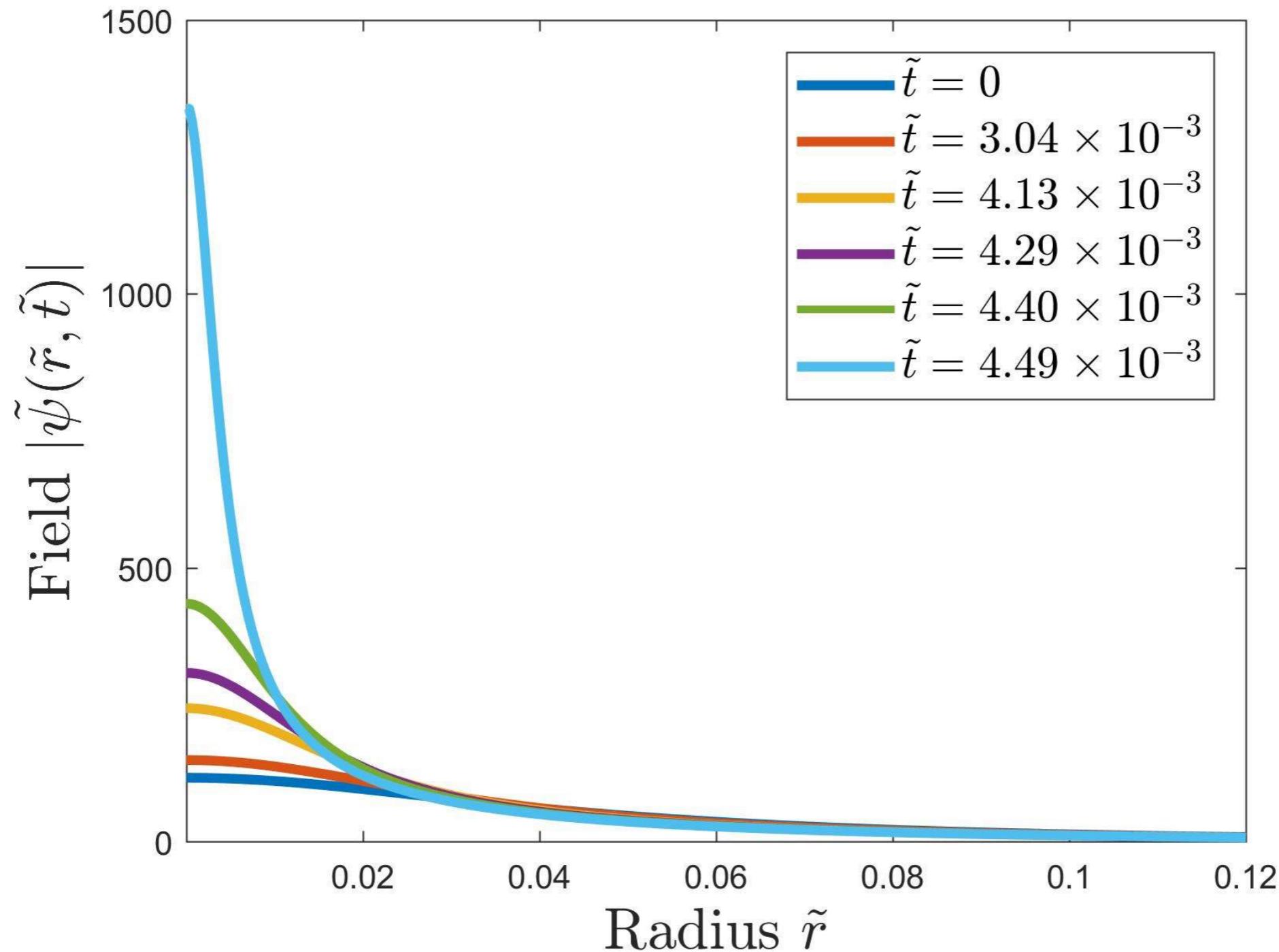
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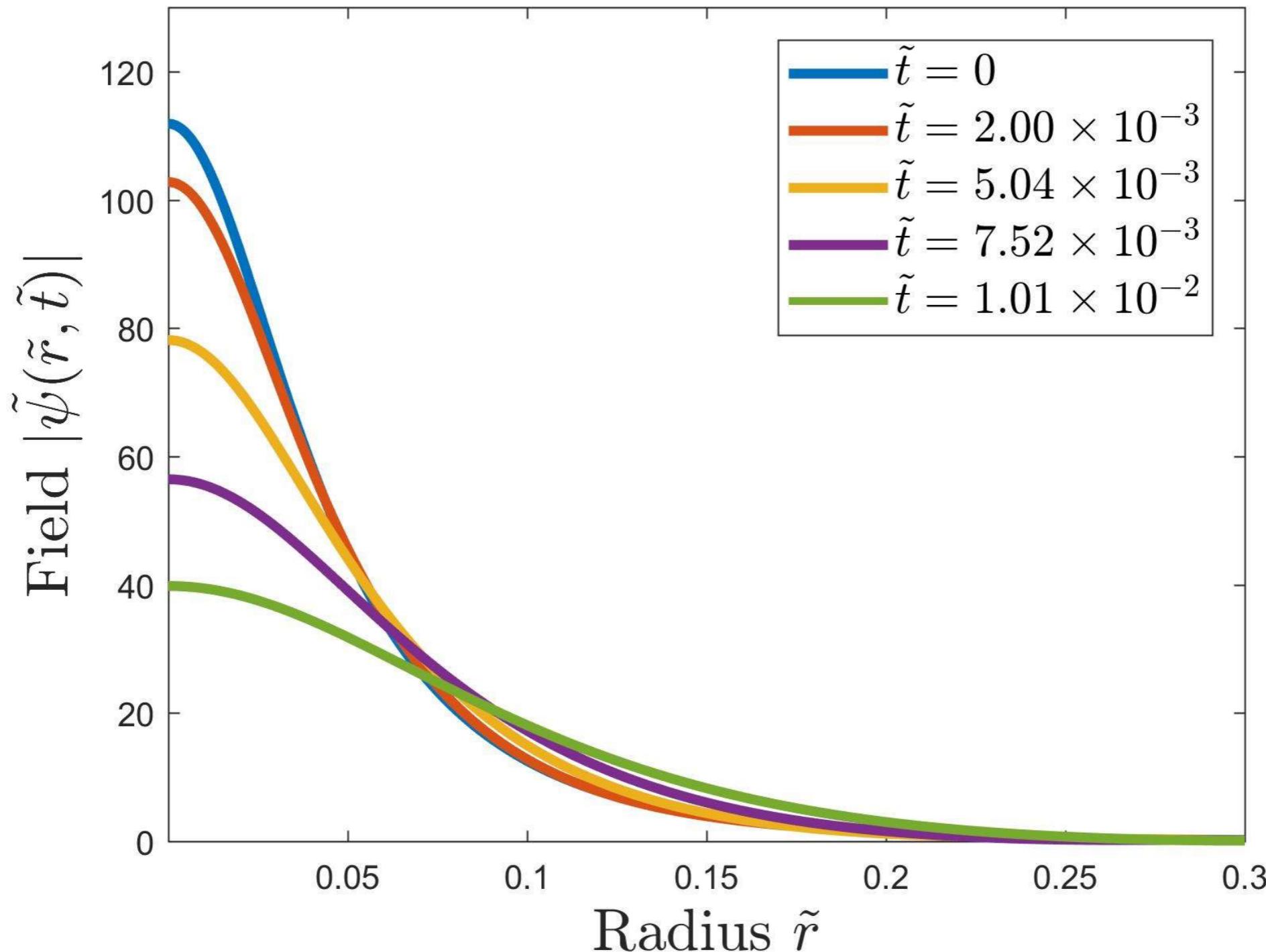
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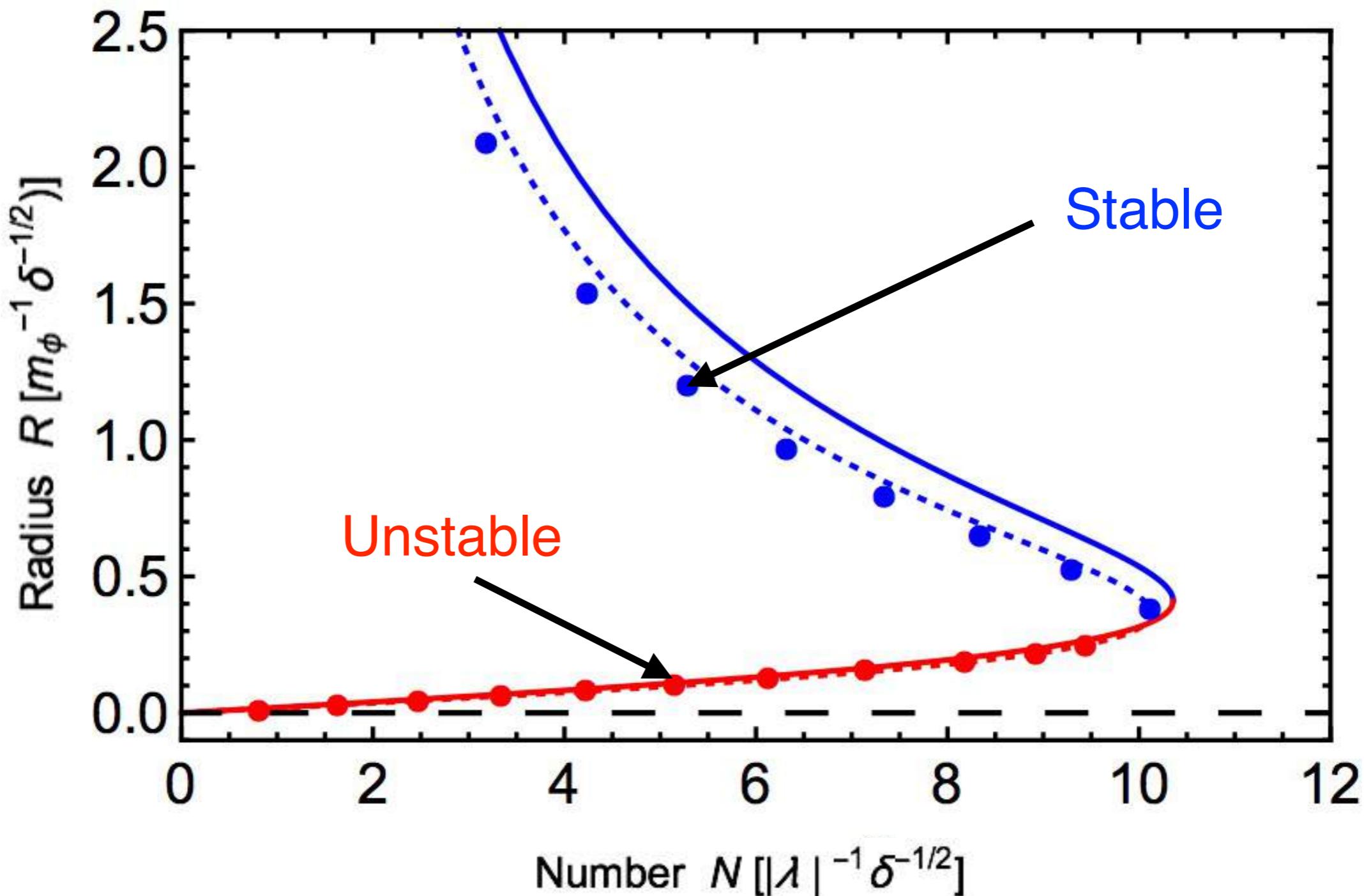
Schiappacasse, Hertzberg 1710.04729

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Schiappacasse, Hertzberg 1710.04729

## Two Branches of Solutions



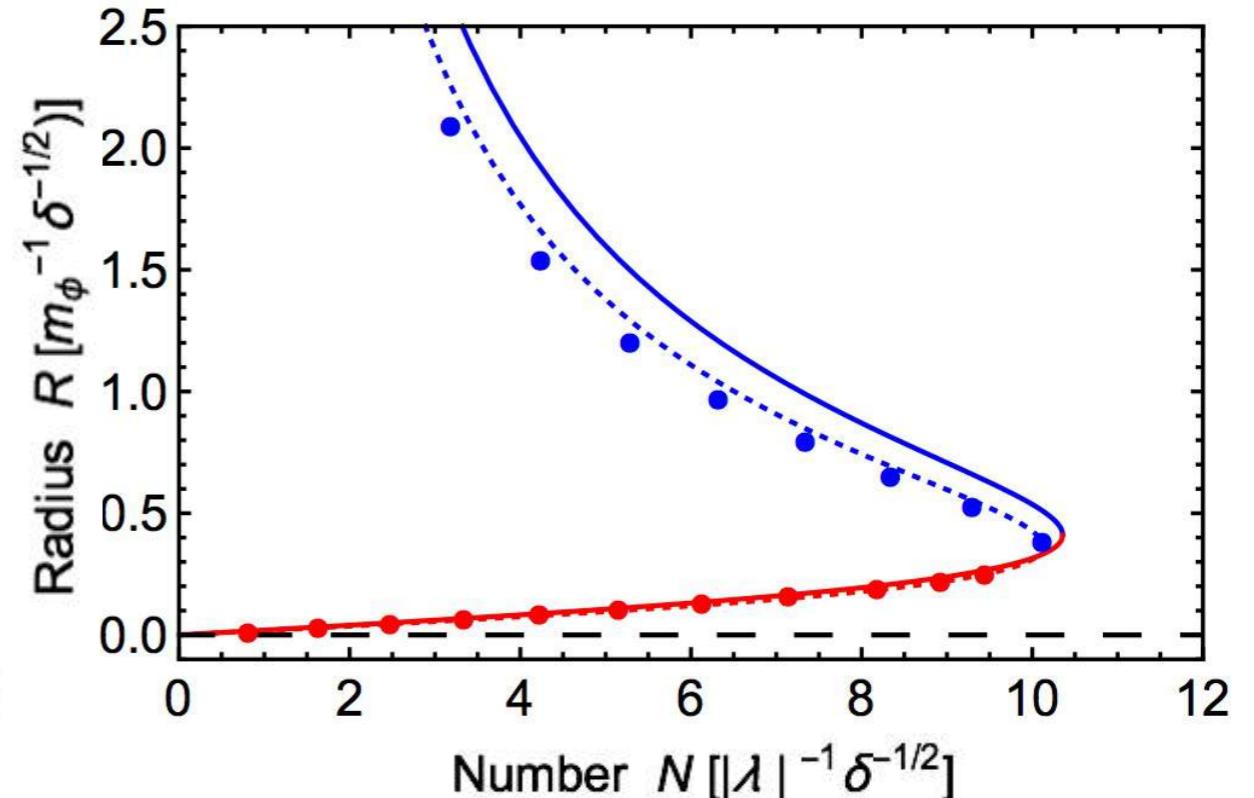
See Chavanis, Delfini 2011 and others...  
Schiappacasse, Hertzberg 1710.04729

# Two Branches of Solutions

$$N_{max} = \frac{f_a}{m^2 \sqrt{G}} \tilde{N}_{max} \sim 8 \times 10^{59} (\tilde{m}^{-2} \tilde{f}_a),$$

$$M_{max} = N_{max} m \sim 1.4 \times 10^{19} \text{ kg} (\tilde{m}^{-1} \tilde{f}_a),$$

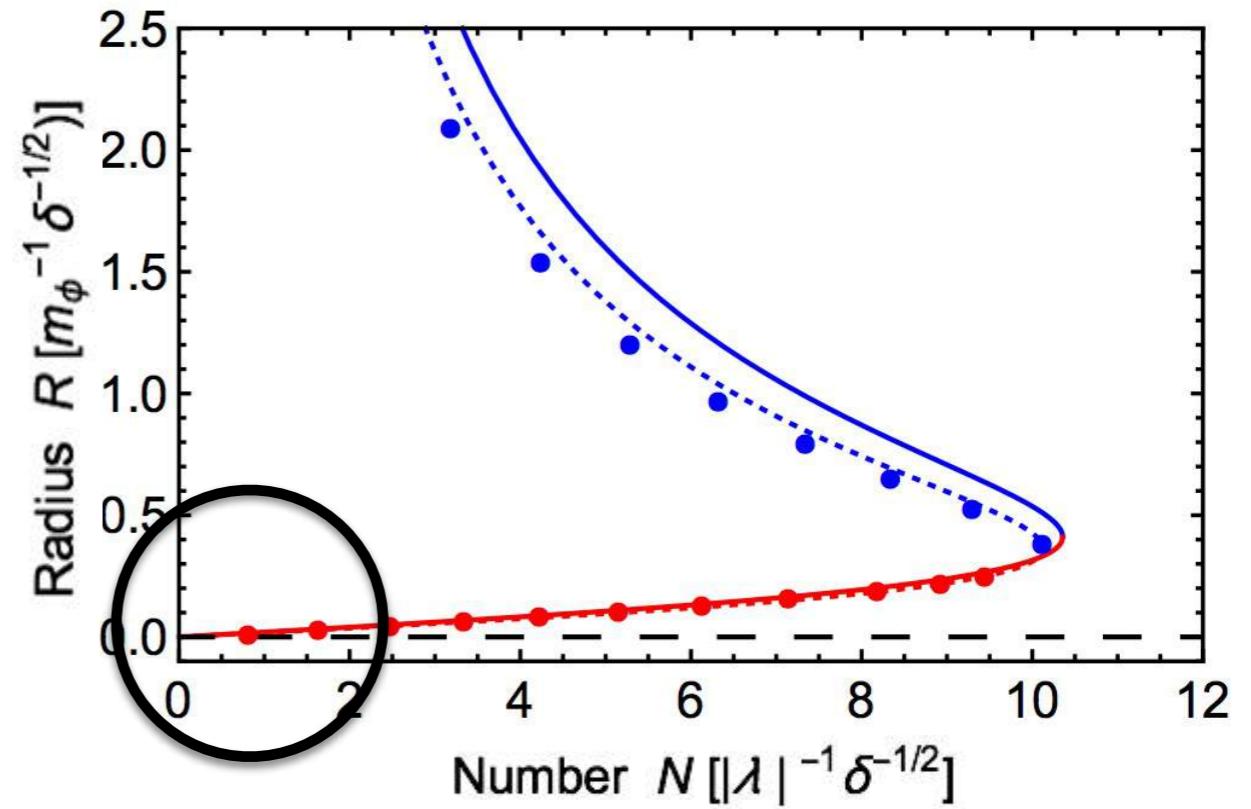
$$R_{90,min} = \frac{a (\tilde{R}_{90}/\tilde{R})}{b N_{max} G m^3} \sim 130 \text{ km} (\tilde{m}^{-1} \tilde{f}_a^{-1}),$$



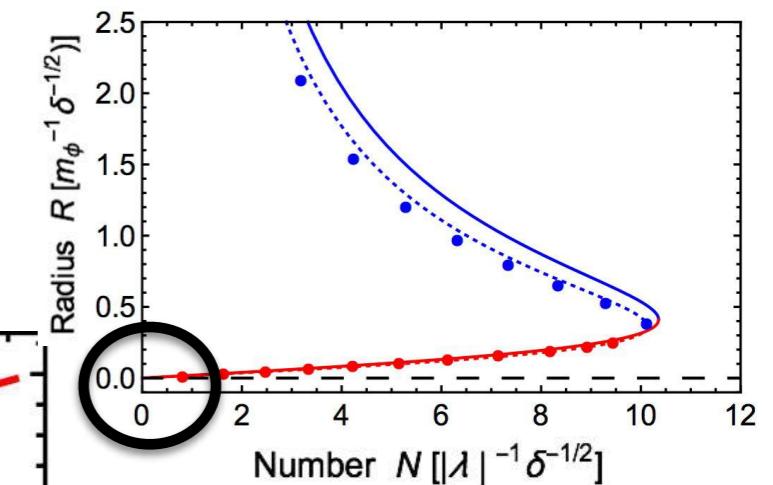
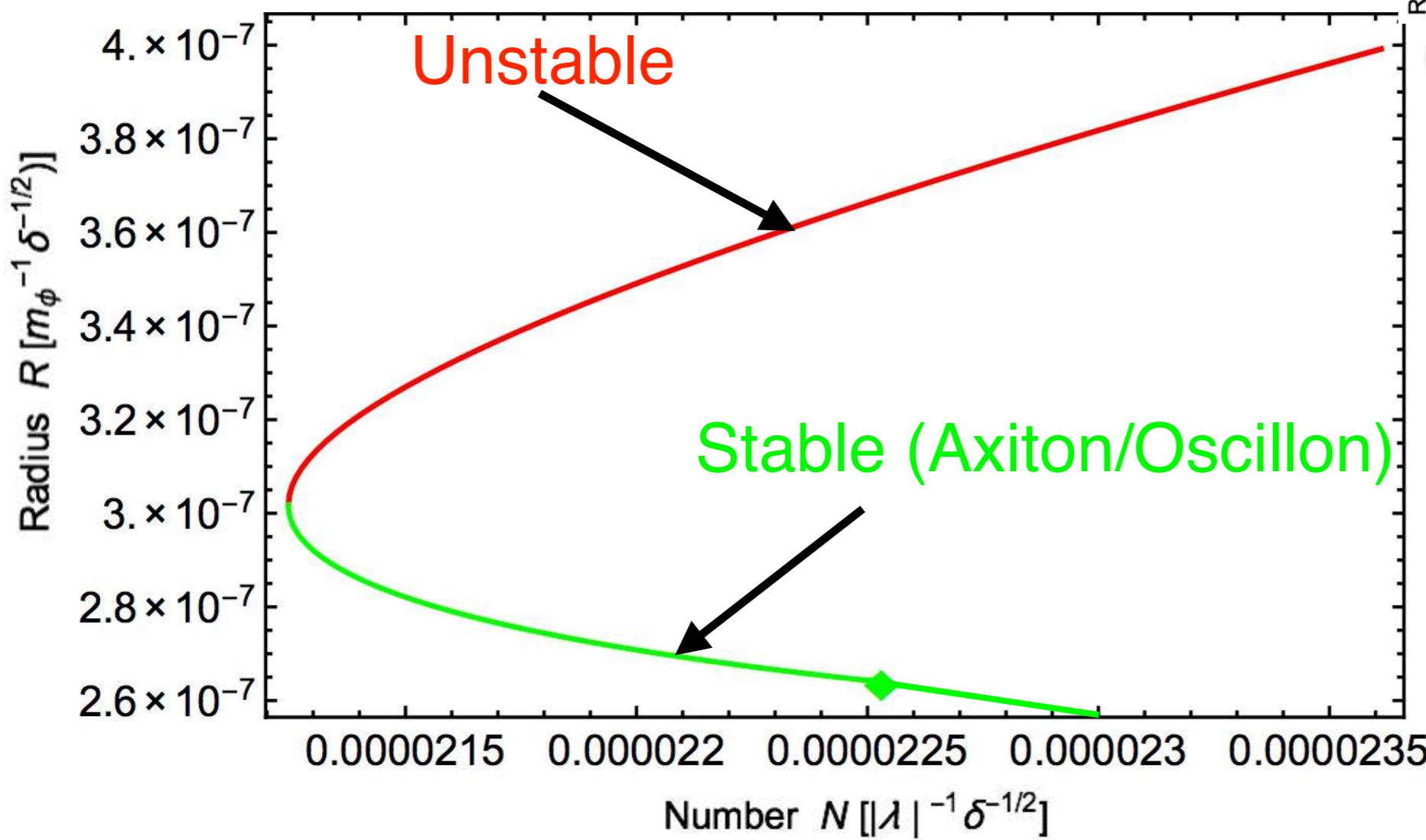
where  $\tilde{f}_a \equiv f_a / (6 \times 10^{11} \text{ GeV})$  and  $\tilde{m} \equiv m / (10^{-5} \text{ eV})$ .

See Chavanis, Delfini 2011 and others...  
Schiappacasse, Hertzberg 1710.04729

# Relativistic Branch (Axiton)

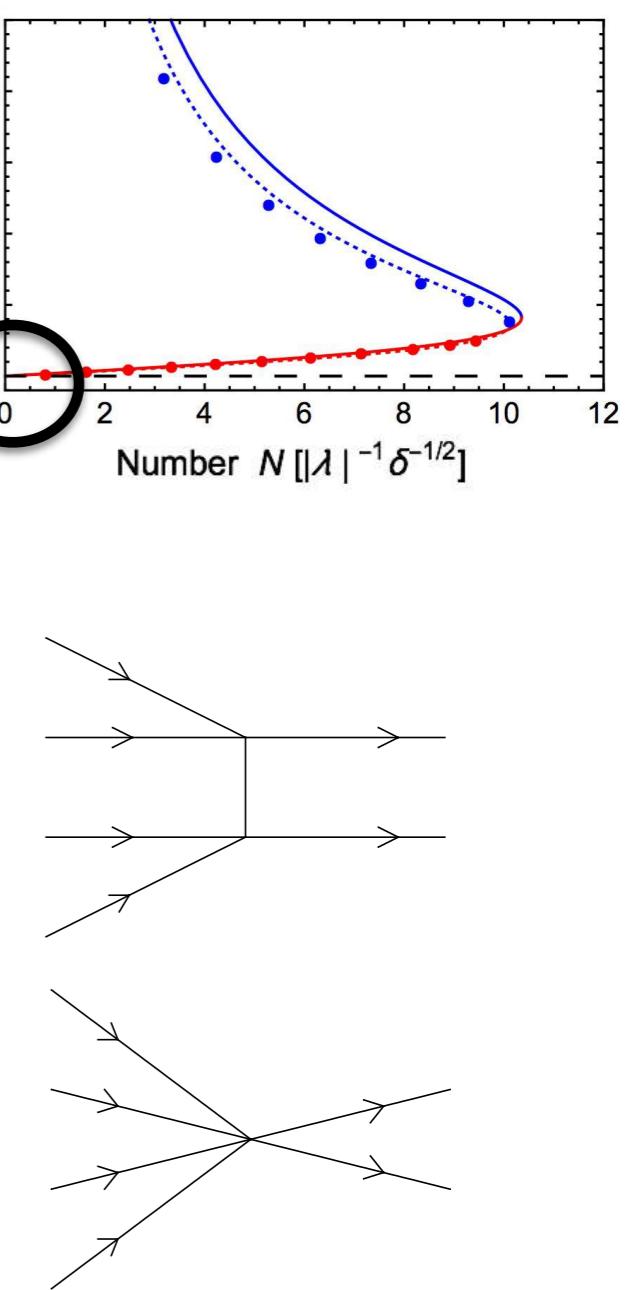
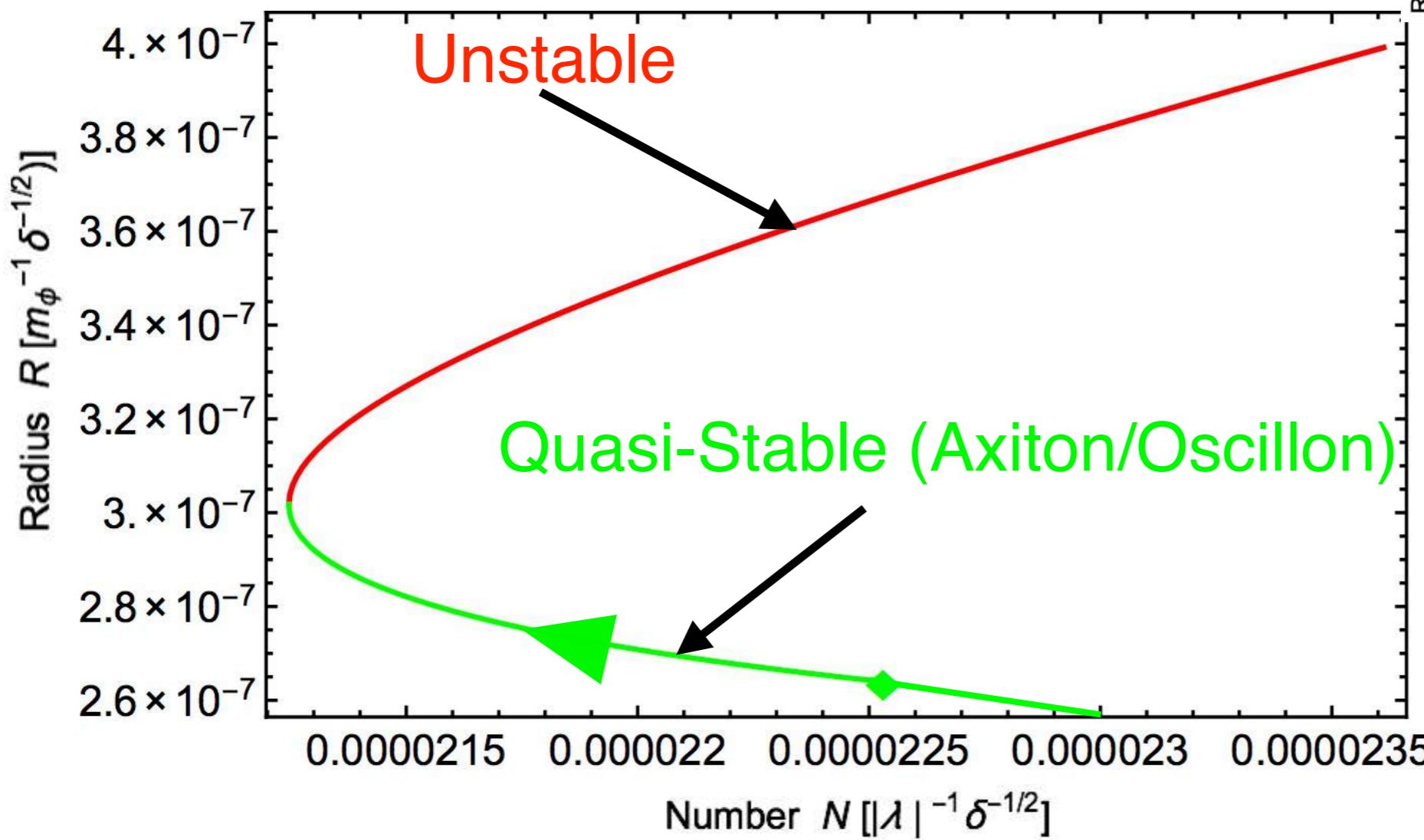


# Relativistic Branch (Axiton/Oscillon)



Kolb, Tkachev astro-ph/9311037; Fodor, Fogacs, Horvath, Mezei 0903.0953;  
Hertzberg 1003.3459; Eby, Suranyi, Wijewardhana 1512.01709; Schiappacasse, Hertzberg  
1710.04729; Visinelli, Baum, Redondo, Freese, Wilczek 1710.08910; ...

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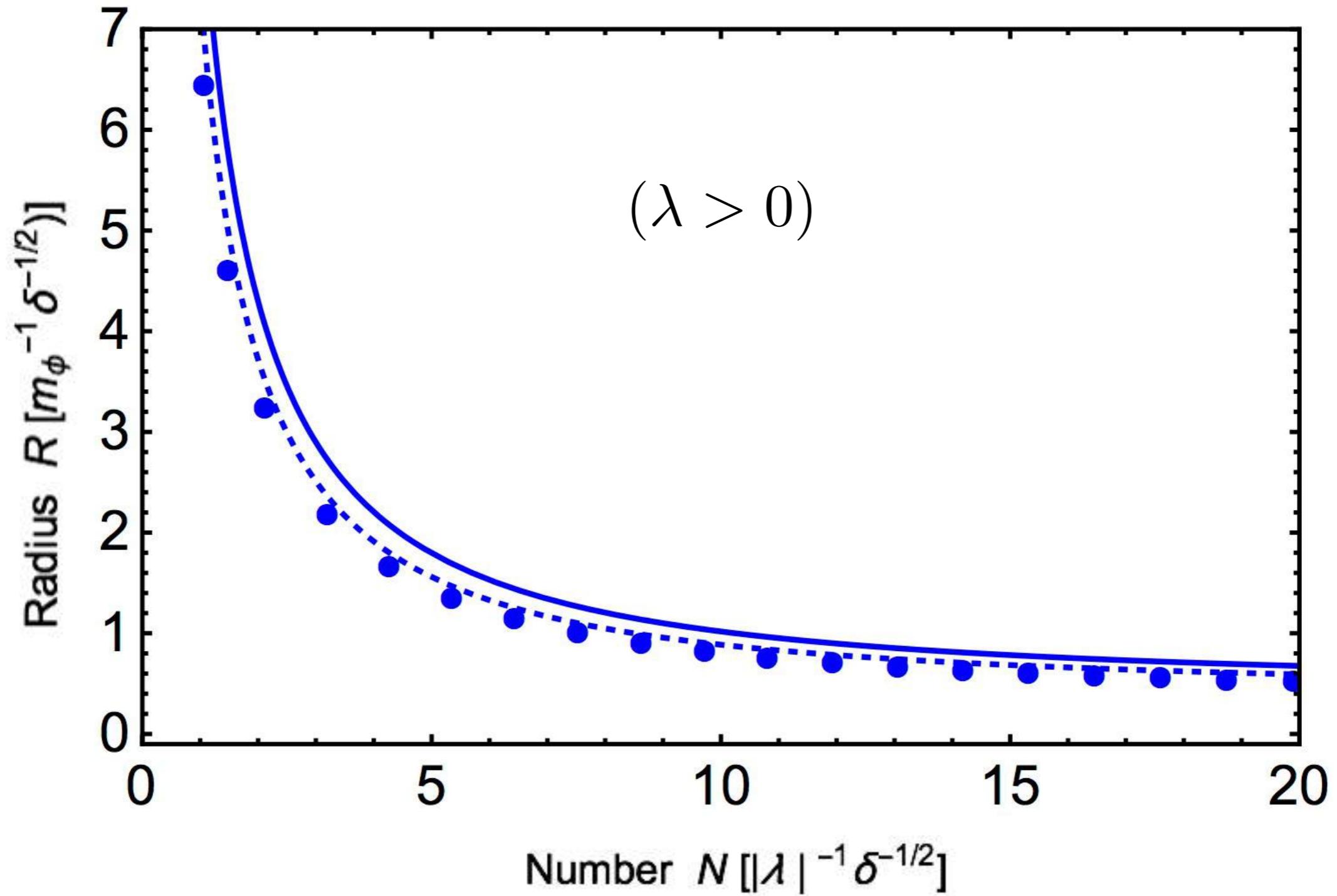


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# Repulsive Self Interactions

(see; Fan 2016)

# Repulsive Self Interaction (Axion-Like Particle)



See Chavanis, Delfini 2011 and others...

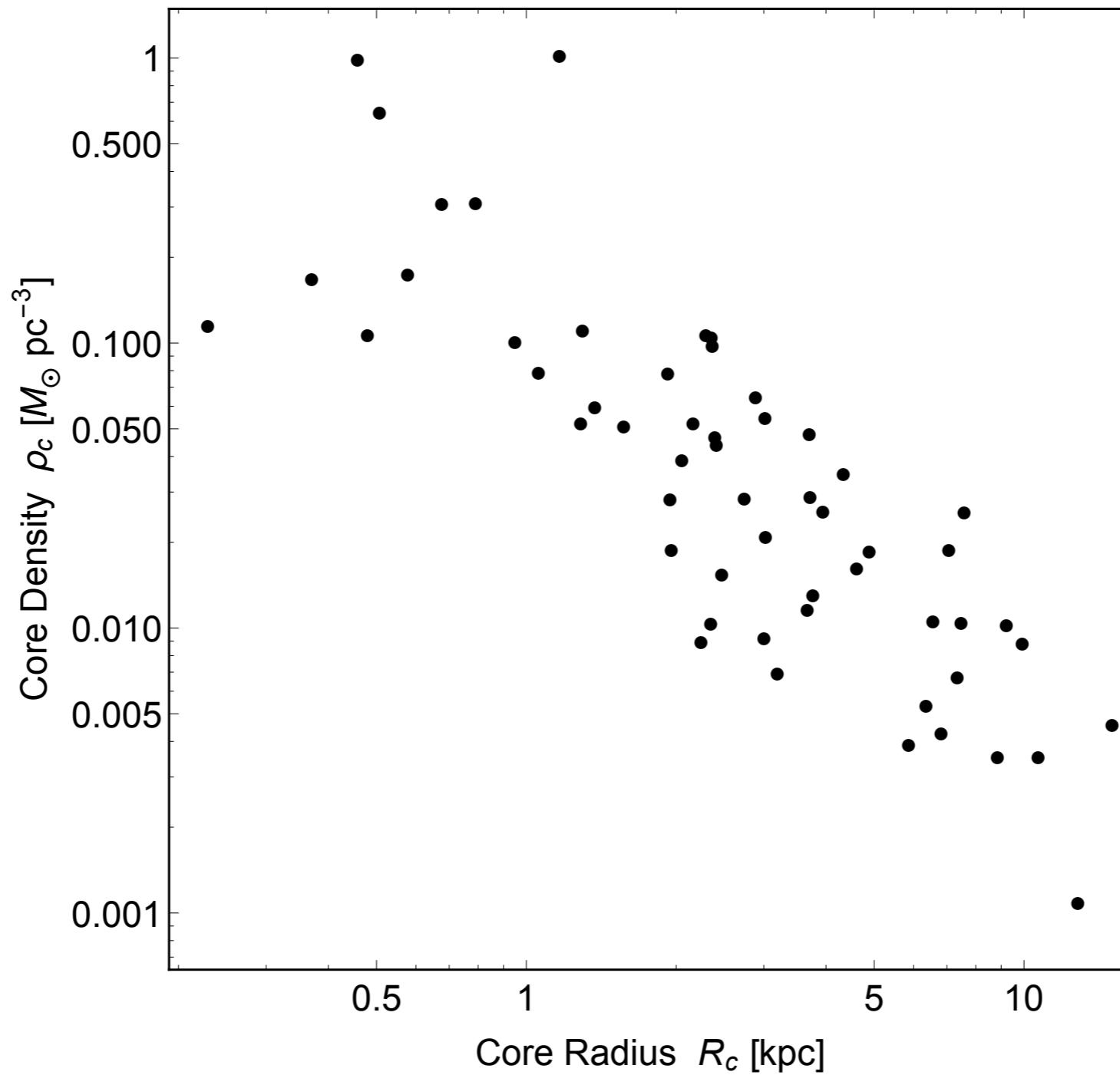
Schiappacasse, Hertzberg 1710.04729; Hertzberg, Rompineve, Yang 2010.07927

## Implications for Fuzzy Dark Matter

Can it explain galactic cores?

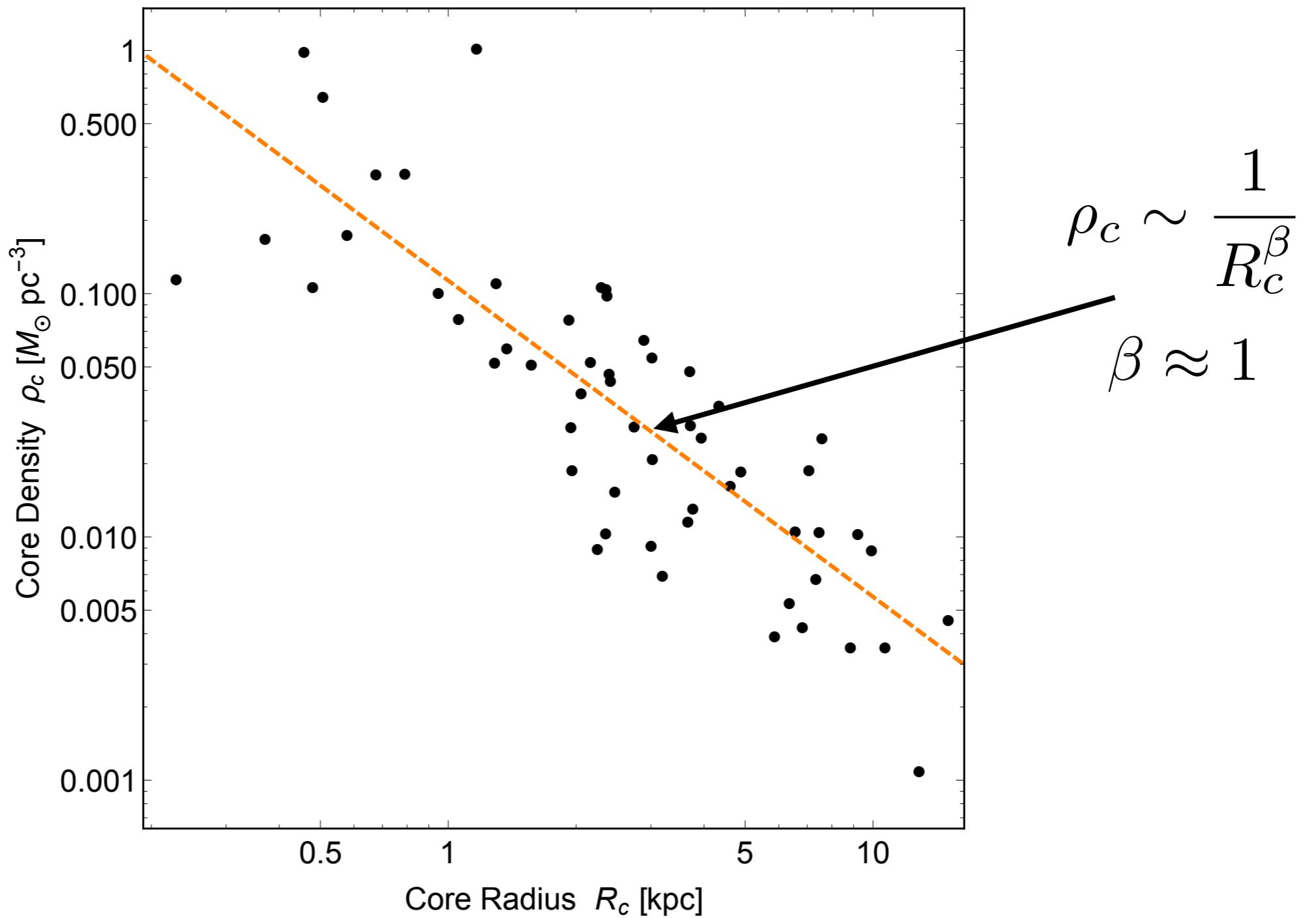
Hu, Barkana, Gruzinov 2000, ....

# Core Density Vs Core Radius (Data)



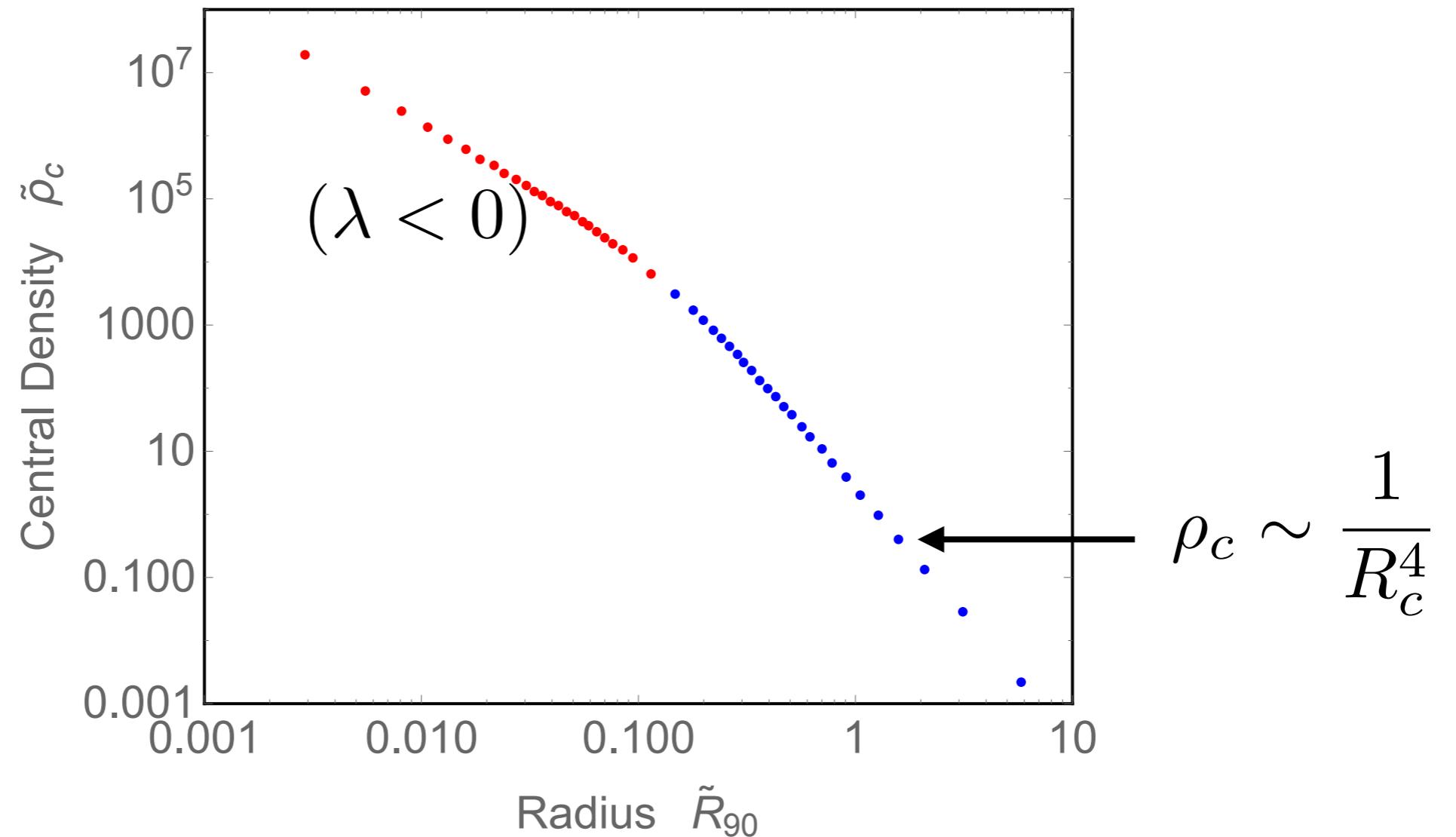
Data from: Rodriguez, del Popolo, Marra, de Oliveira 2017

# Core Density Vs Core Radius (Data)

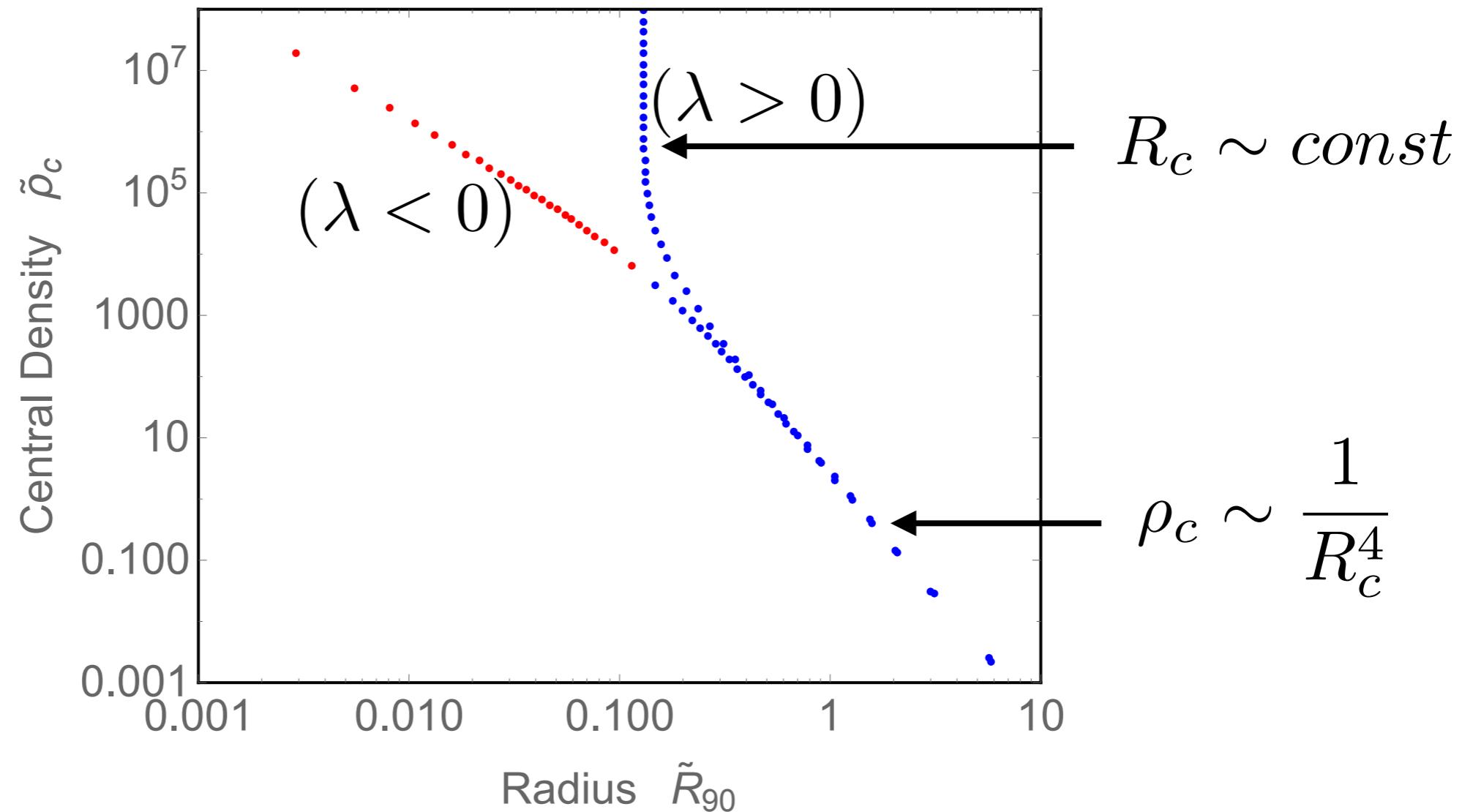


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# Core Density Vs Core Radius (Light Scalar in BEC)



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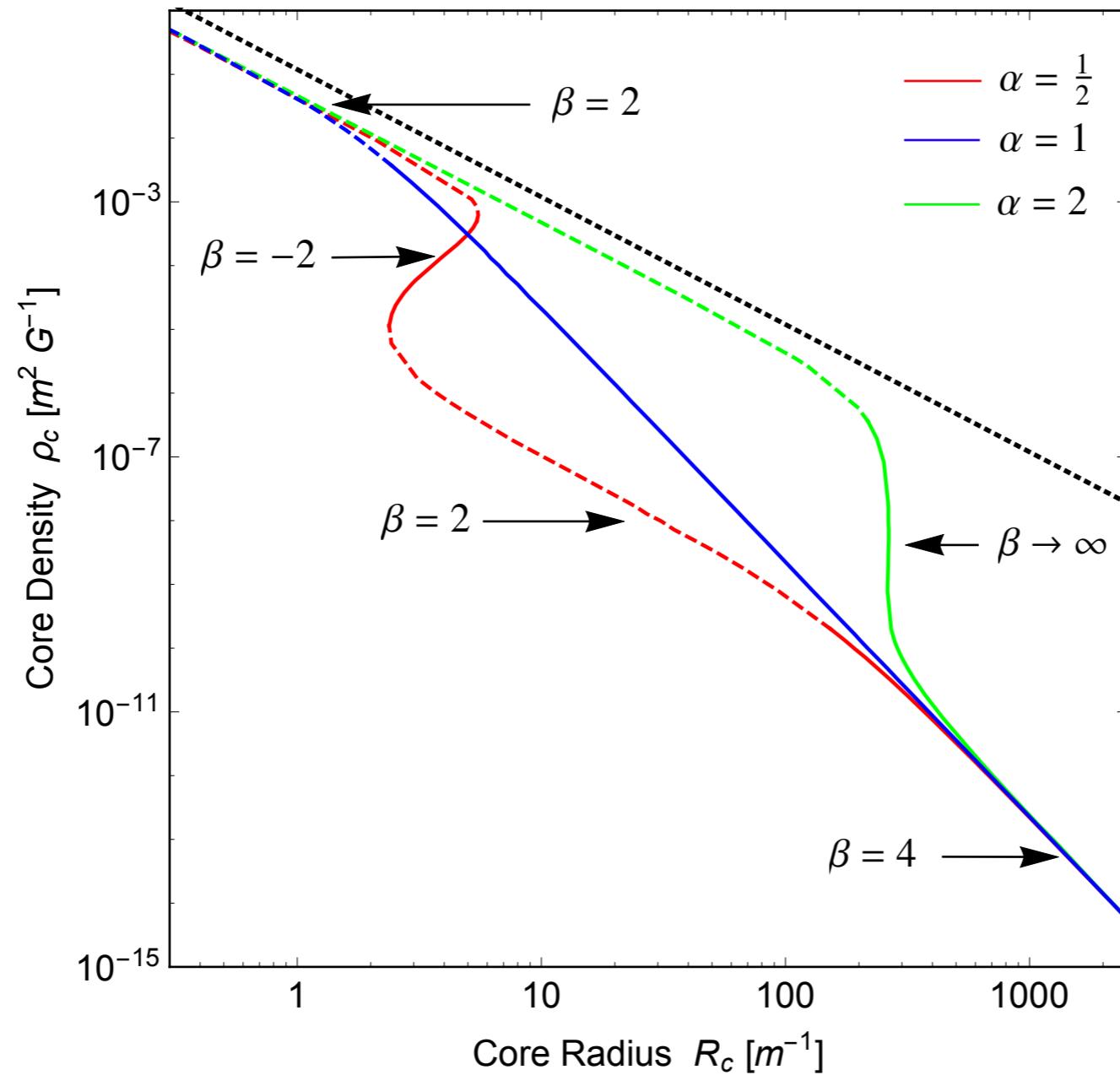


# Core Density Vs Core Radius (Light Scalar in BEC)

Extension to general potentials,  
polytropes, full relativistic

$$V(\phi) \propto ((1 + \phi^2/F^2)^\alpha - 1)$$

Solid = Stable  
Dashed = Unstable



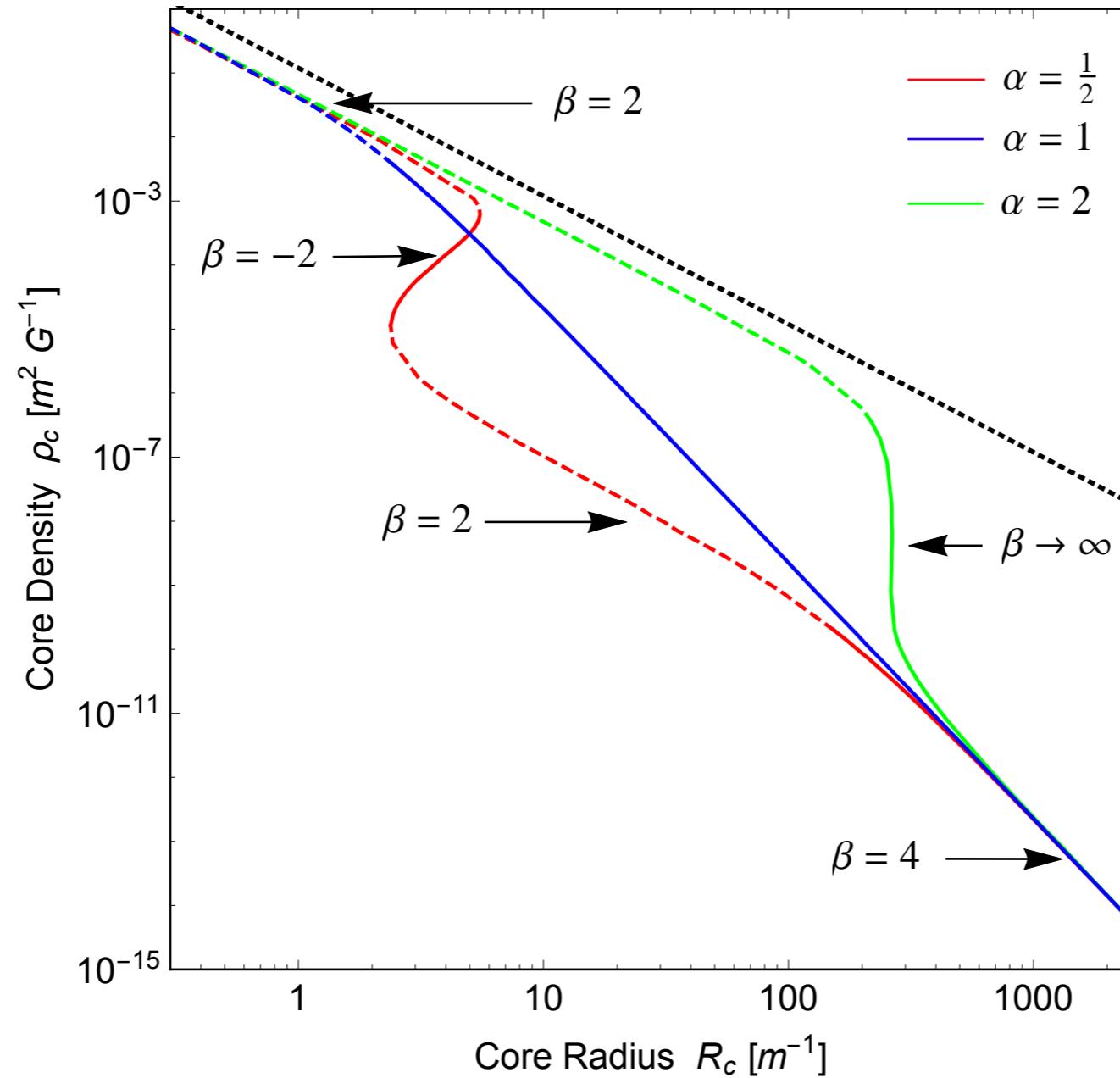
$$\rho_c \sim \frac{1}{R_c^\beta}$$

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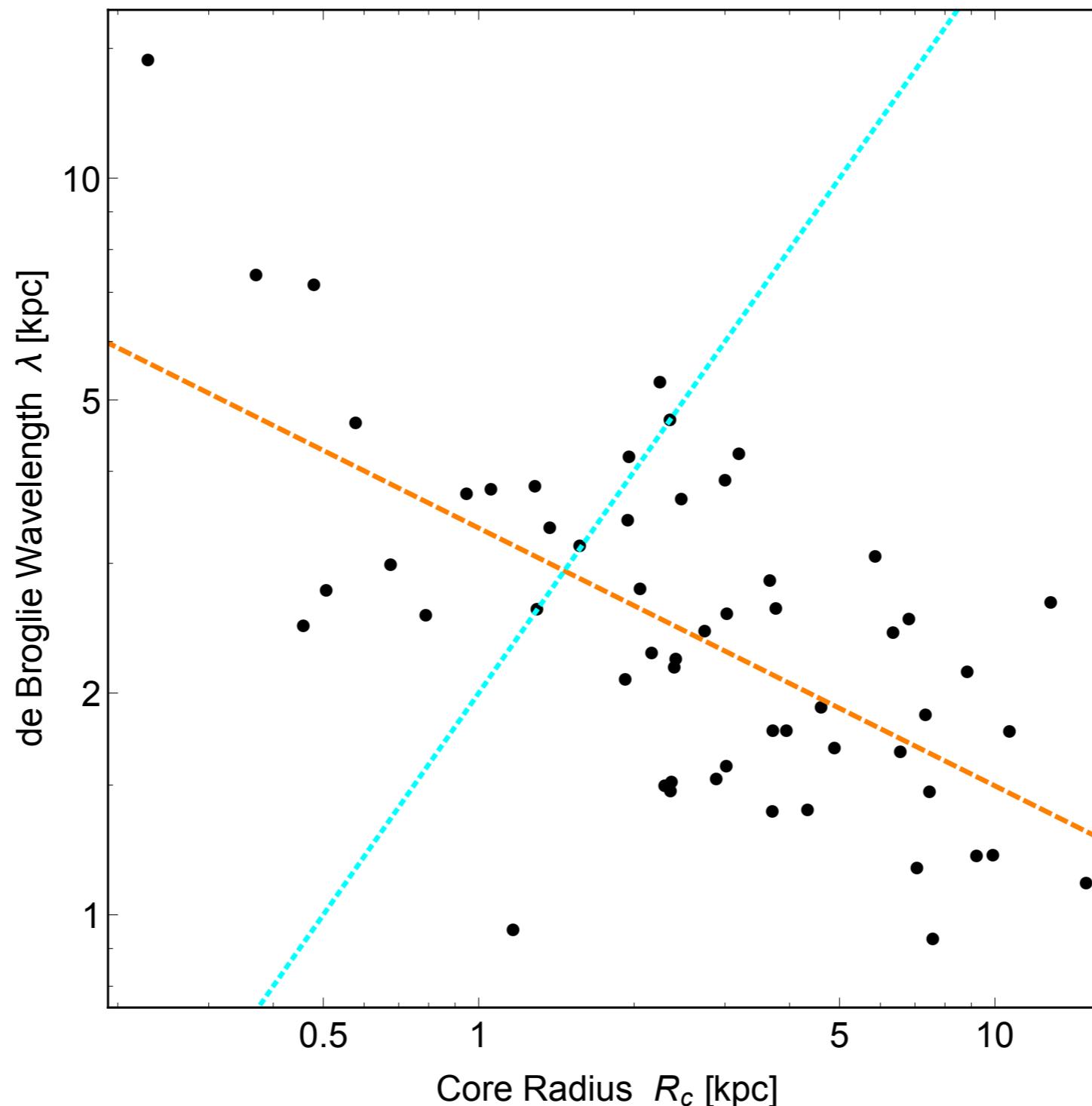
Solid = Stable  
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$$\rho_c \sim \frac{1}{R_c^\beta}$$

Never obtain  $\beta \sim 1$   
and stable

# Core Density Vs Core Radius (Light Scalar in BEC)



Deng, Hertzberg, Namjoo, Masoumi 1804.05921

# Axion Star Resonance into Photons

# Consider Axion to Photon Coupling

Photon Lagrangian

$$\mathcal{L}_\gamma = \frac{1}{2}(\mathbf{E}^2 - \mathbf{B}^2) + g_{a\gamma} \phi \mathbf{E} \cdot \mathbf{B}$$

(Sikivie 1983; Adshead, Giblin, Scully, Sfakianakis 2015, 2016; Masaki, Aoki, Soda 2017)

# Consider Axion to Photon Coupling

Photon Lagrangian

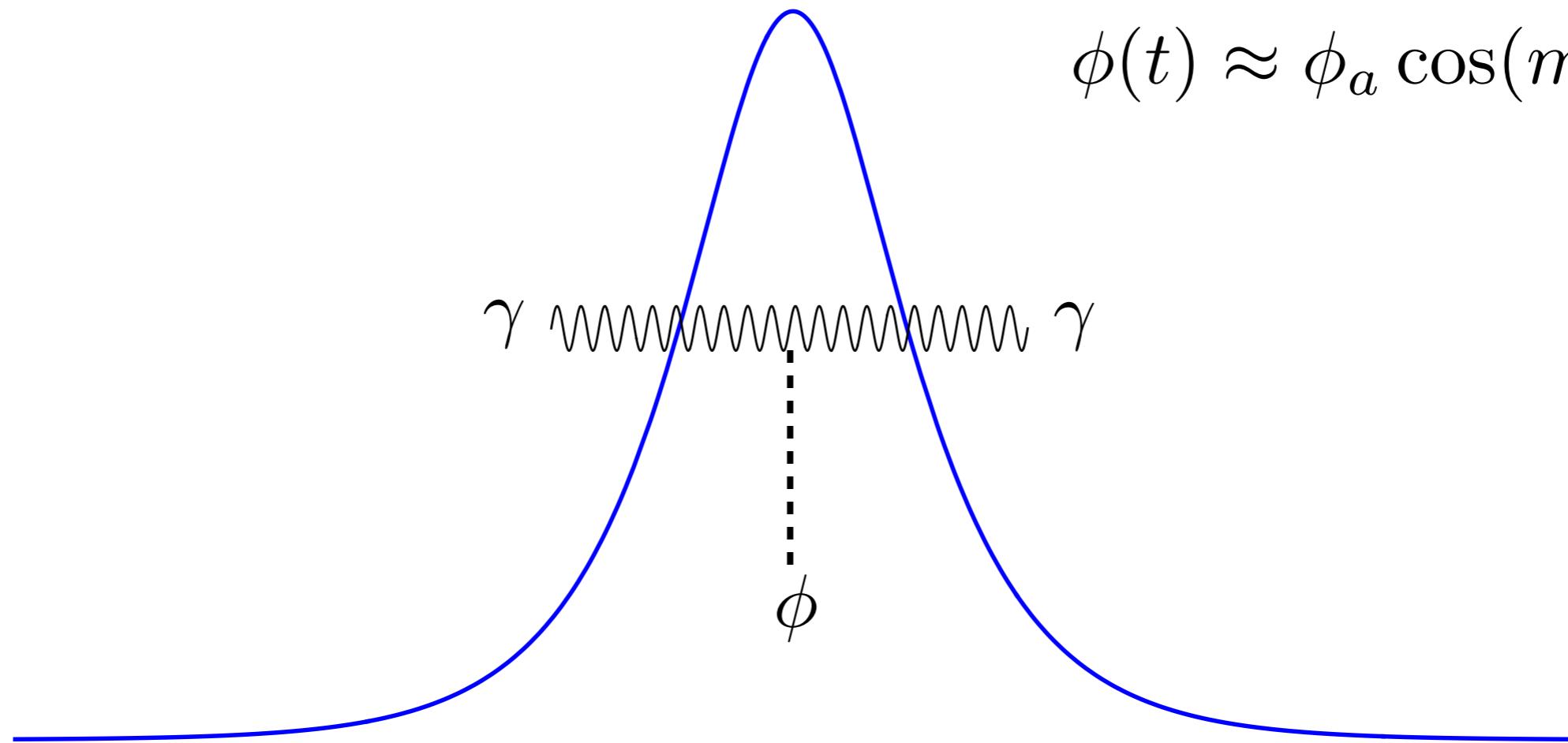
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(Sikivie 1983; Adshead, Giblin, Scully, Sfakianakis 2015, 2016; Masaki, Aoki, Soda 2017)

Equation of motion

$$\ddot{\mathbf{A}} - \nabla^2 \mathbf{A} + g_{a\gamma} \partial_t \phi \nabla \times \mathbf{A} = 0$$

$$\phi(t) \approx \phi_a \cos(m_\phi t)$$



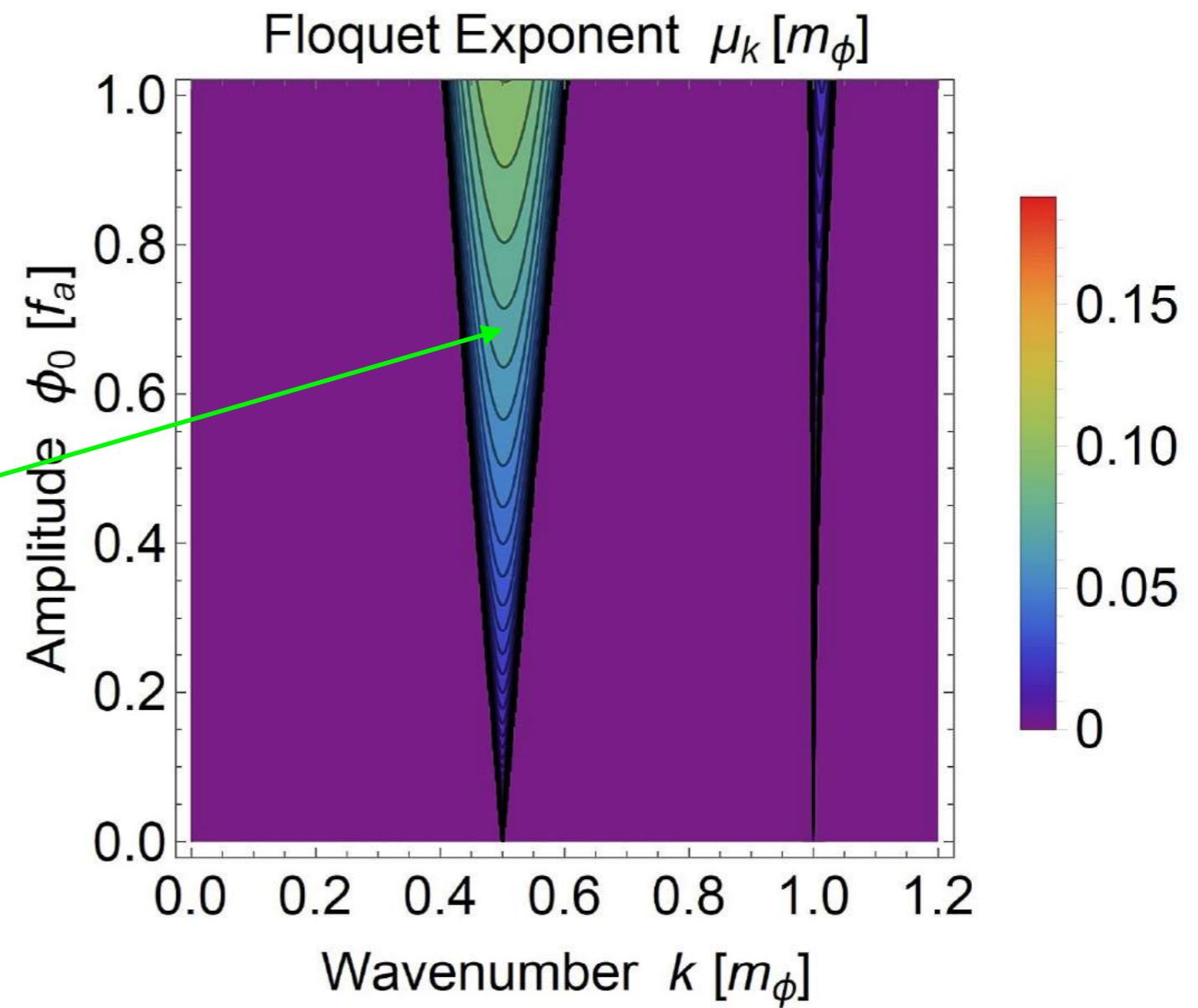
# Homogeneous Axion Field

Mathieu Equation

$$\ddot{\mathbf{A}}_{\mathbf{k}}^T + k^2 \mathbf{A}_{\mathbf{k}}^T + g_{a\gamma} k \partial_t \phi(t) \mathbf{A}_{\mathbf{k}}^T = 0$$

Parametric resonance  
always present

$$k \approx \frac{m_a}{2}$$
$$\mu_H^* \approx \frac{1}{4} g_{a\gamma} m_\phi \phi_a$$



e.g., Yoshimura 1996

# Comment on Photon Plasma Mass

In plasma, the photon acquires an effective mass  $\omega_p^2 = \frac{4\pi\alpha n_e}{m_e}$

In VERY EARLY universe, this is huge; preventing resonance

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In VERY EARLY universe, this is huge; preventing resonance

Clumps in halo:  $\omega_p^2 \approx \frac{n_e}{0.03 \text{ cm}^{-3}} (6 \times 10^{-12} \text{ eV})^2$

Negligibly small; allowing for resonance

# Inhomogeneous (Spherical) Axion Star

Decomposition into vector spherical harmonics

$$\mathbf{A}(\mathbf{r}, t) = \sum_{lm} \int \frac{d^3 k}{(2\pi)^3} [a_{lm}(k, t) \mathbf{N}_{lm}(k, \mathbf{r}) + b_{lm}(k, t) \mathbf{M}_{lm}(k, \mathbf{r})]$$

$$\mathbf{M}_{lm}(k, \mathbf{r}) = i \frac{j_l(kr)}{\sqrt{l(l+1)}} \nabla \times [Y_{lm}(\theta, \varphi) \mathbf{r}]$$

where

$$\mathbf{N}_{lm}(k, \mathbf{r}) = \frac{i}{k} \nabla \times \mathbf{M}_{lm}$$

# Inhomogeneous (Spherical) Axion Star

Instability channel

$$l = 1, m = 0, \quad b_{10} = -i a_{10}$$

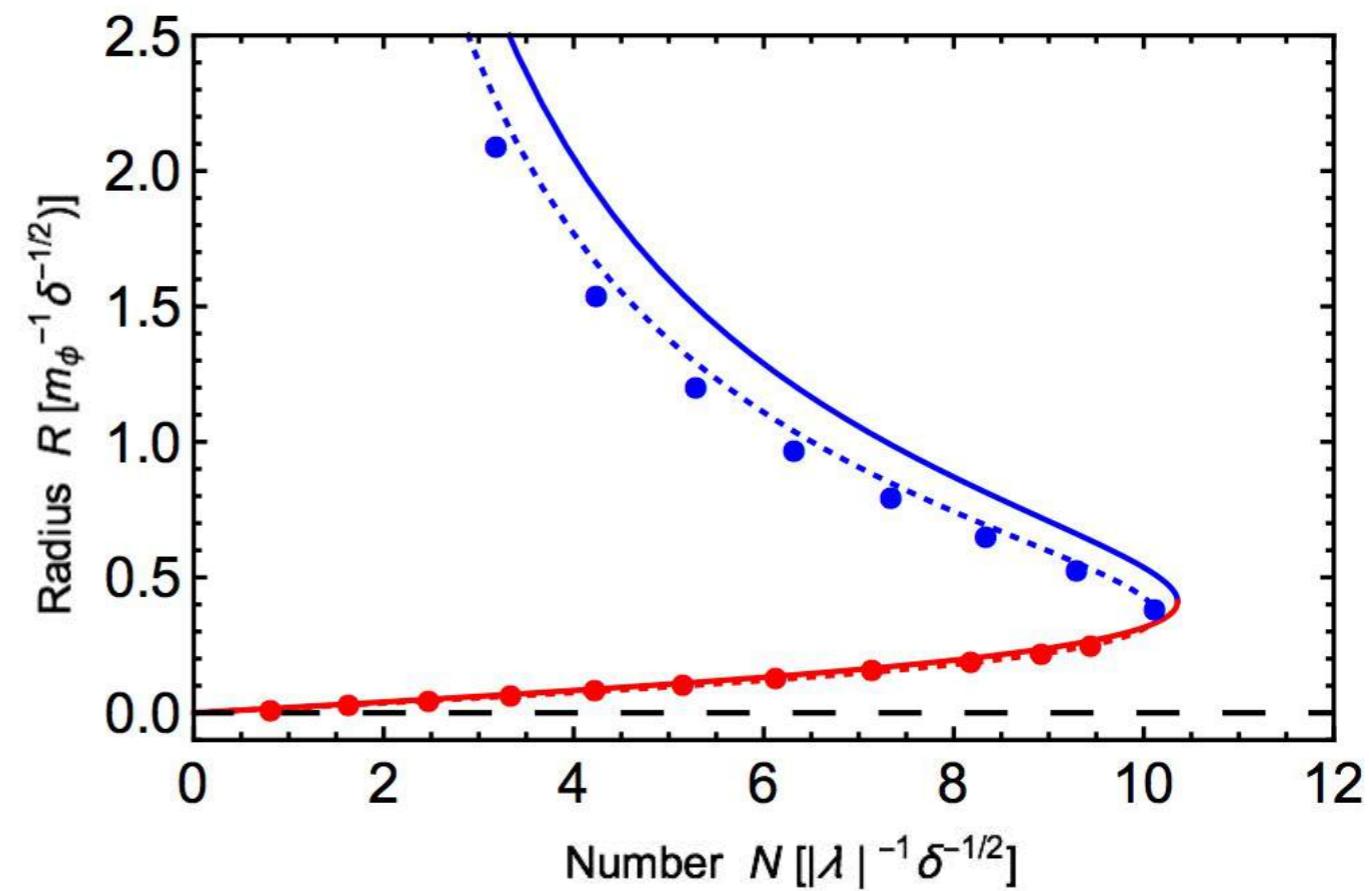
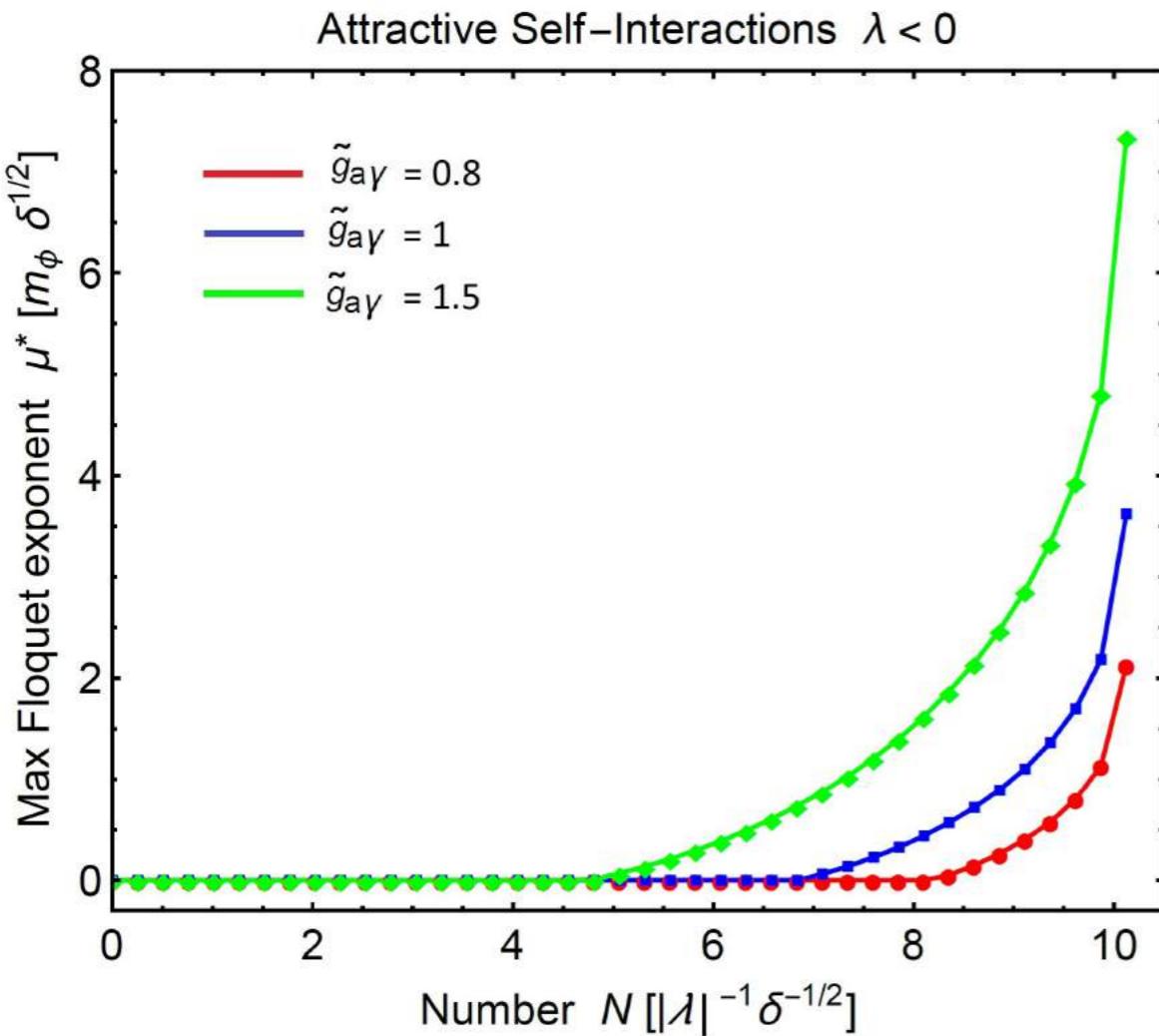
$$\ddot{a}_{10}(k, t) + k^2 a_{10}(k, t) + g_{a\gamma} k \int \frac{dk'}{(2\pi)} \partial_t \tilde{\phi}(k - k') a_{10}(k', t) = 0$$

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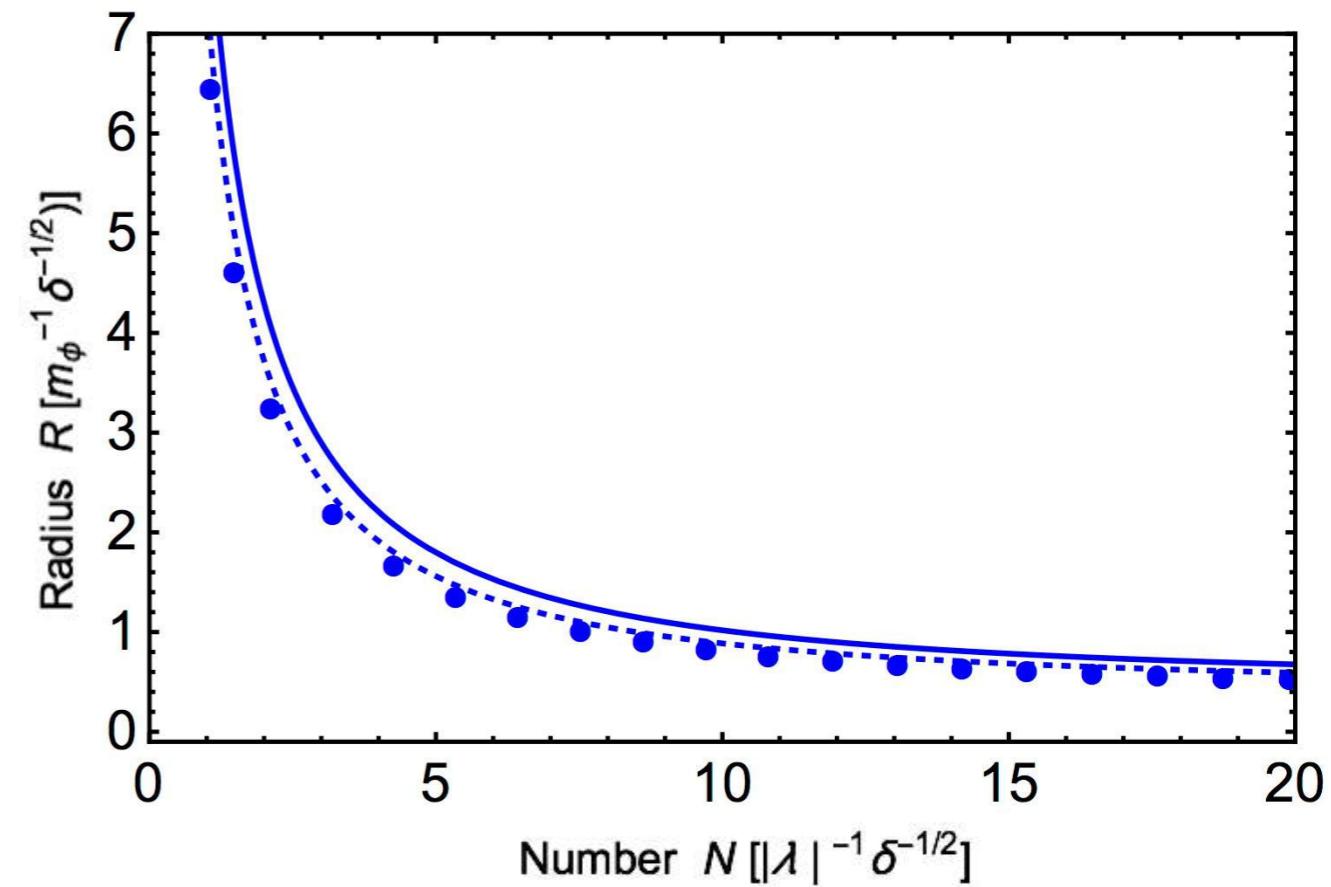
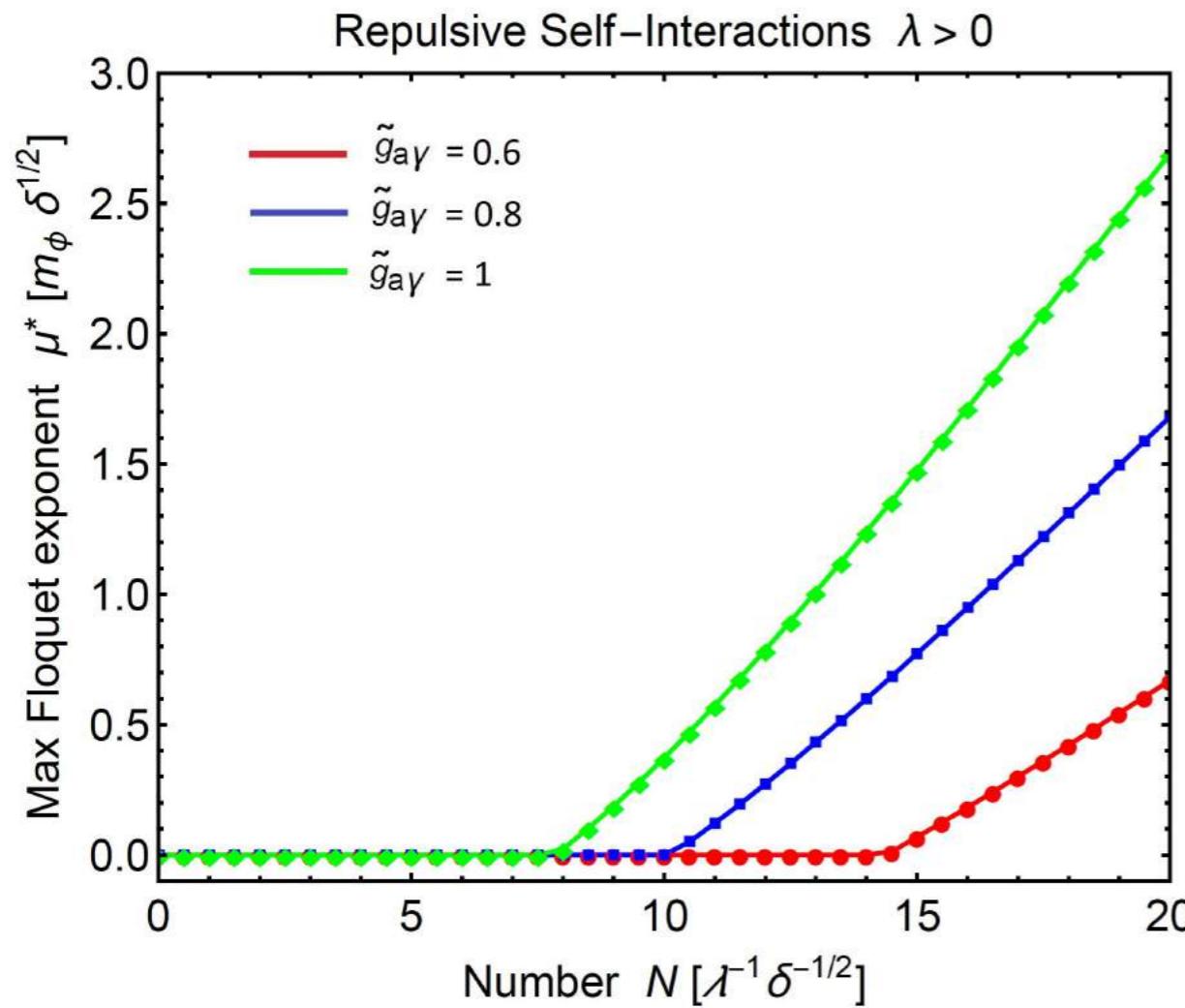


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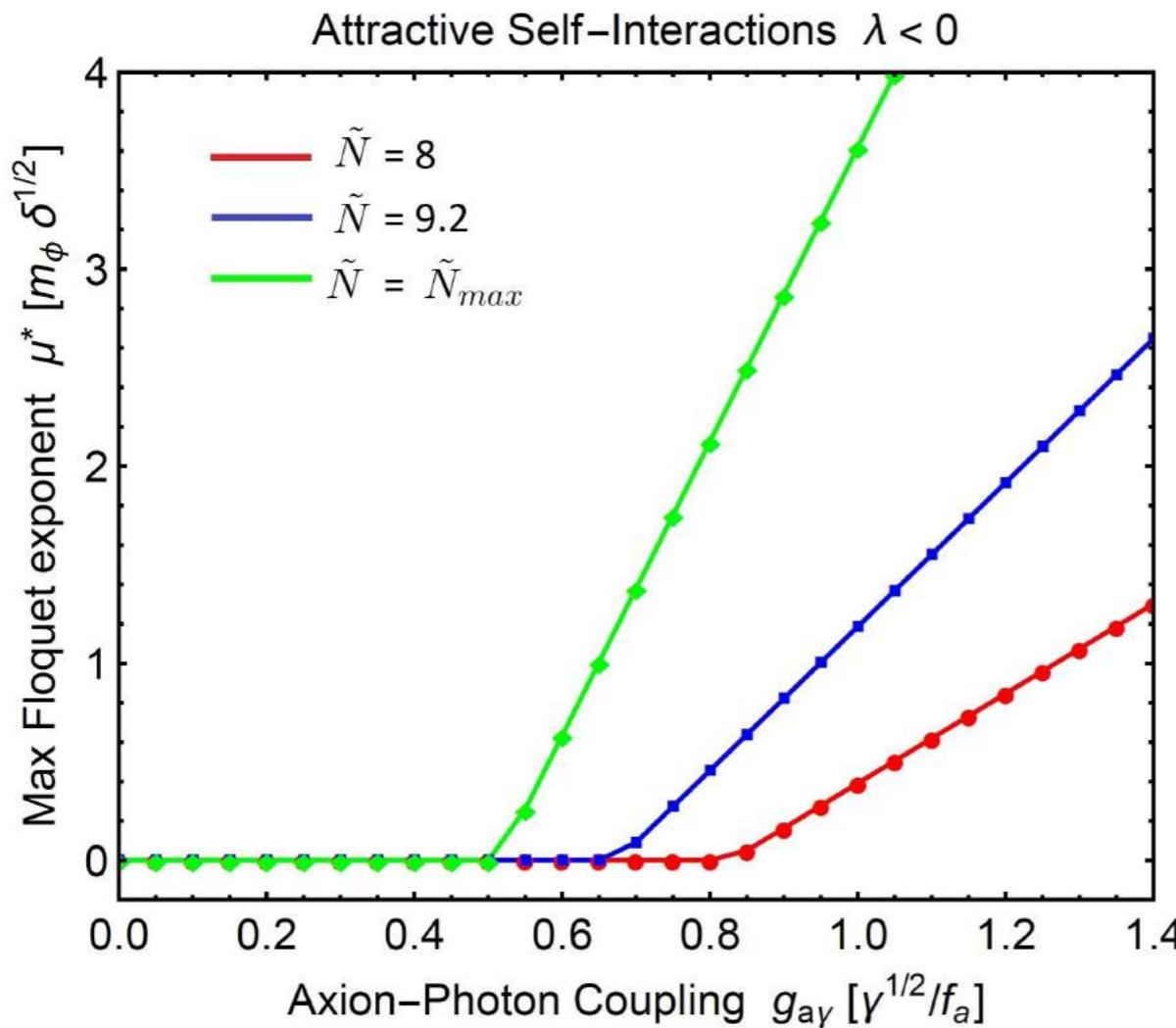


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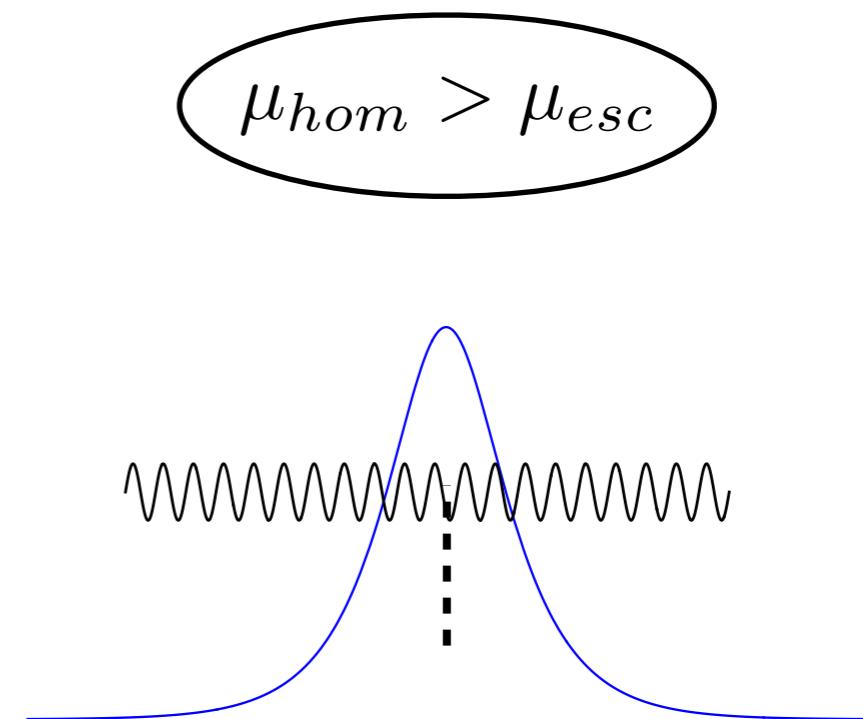
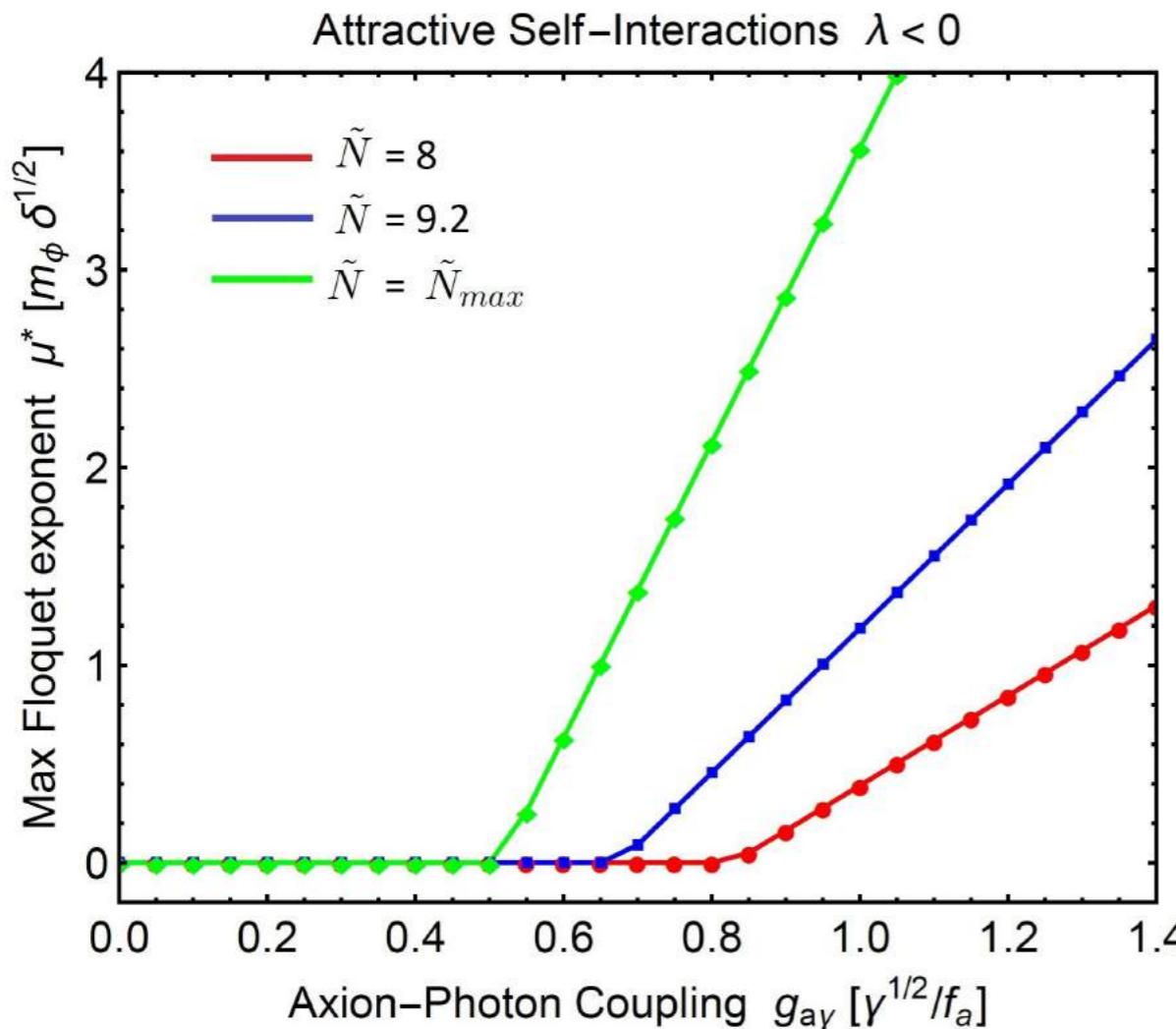


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Hertzberg, Schiappacasse 1805.00430

Tkachev 1986, 1987, 2015;  
Hertzberg 2010; Kawasaki, Yamada 2014

# Resonance Condition (Spherical) Axion Star

$$g_{a\gamma} > \frac{0.3}{f_a}$$

$$(\lambda < 0)$$

No resonance for standard QCD axion-photon coupling

$$g_{a\gamma} \sim \frac{\alpha}{f_a}$$

Allowed for models with enhanced couplings, decay to hidden sectors, or repulsive interactions

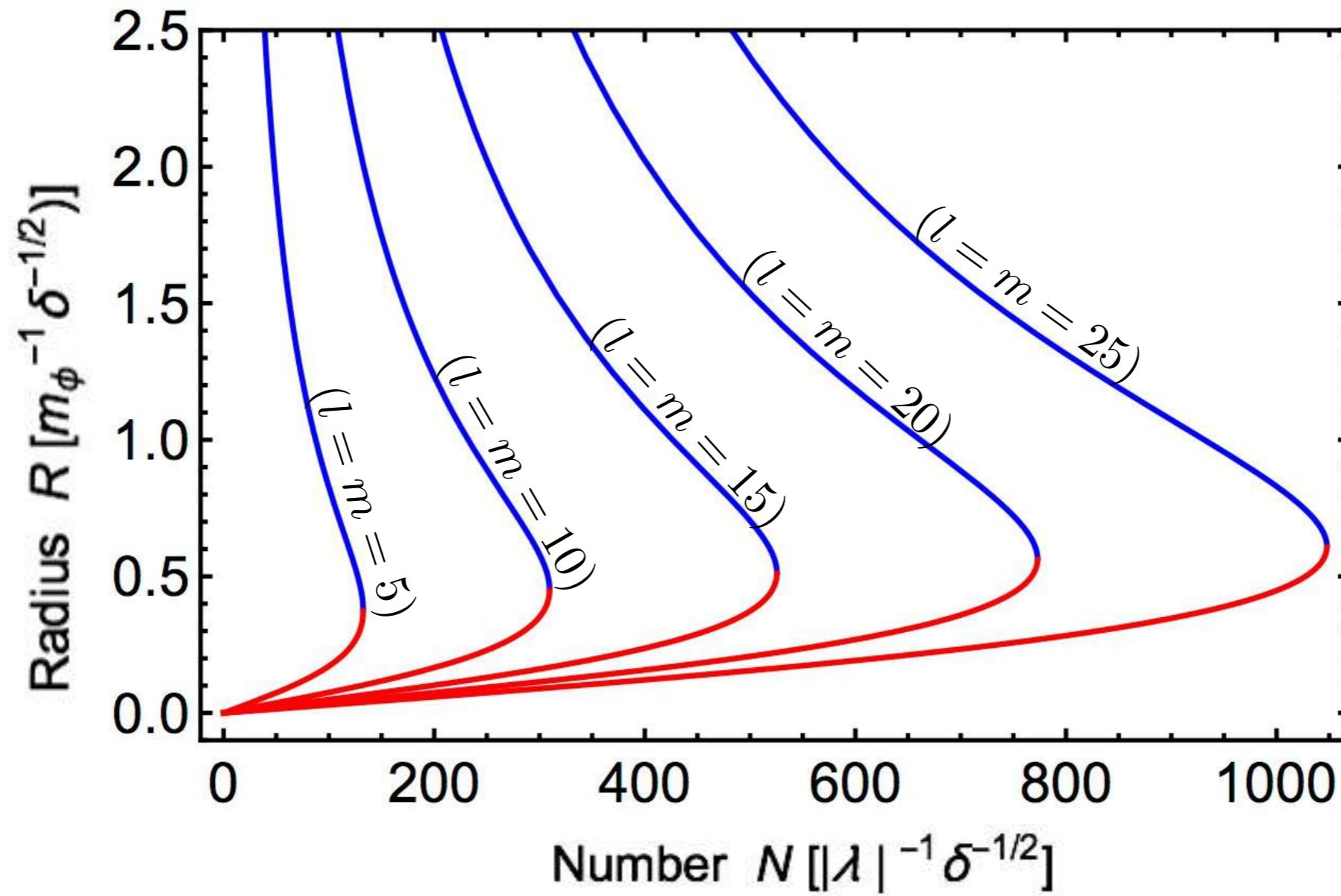
(e.g., Daido, Takahashi, Yokozaki 2018)

(e.g., Farina, Pappadopulo, Rompineve, Tesi 2016)

(e.g., Fan 2016)

# Including Angular Momentum

# Two Branches of Solutions (with Angular Momentum)

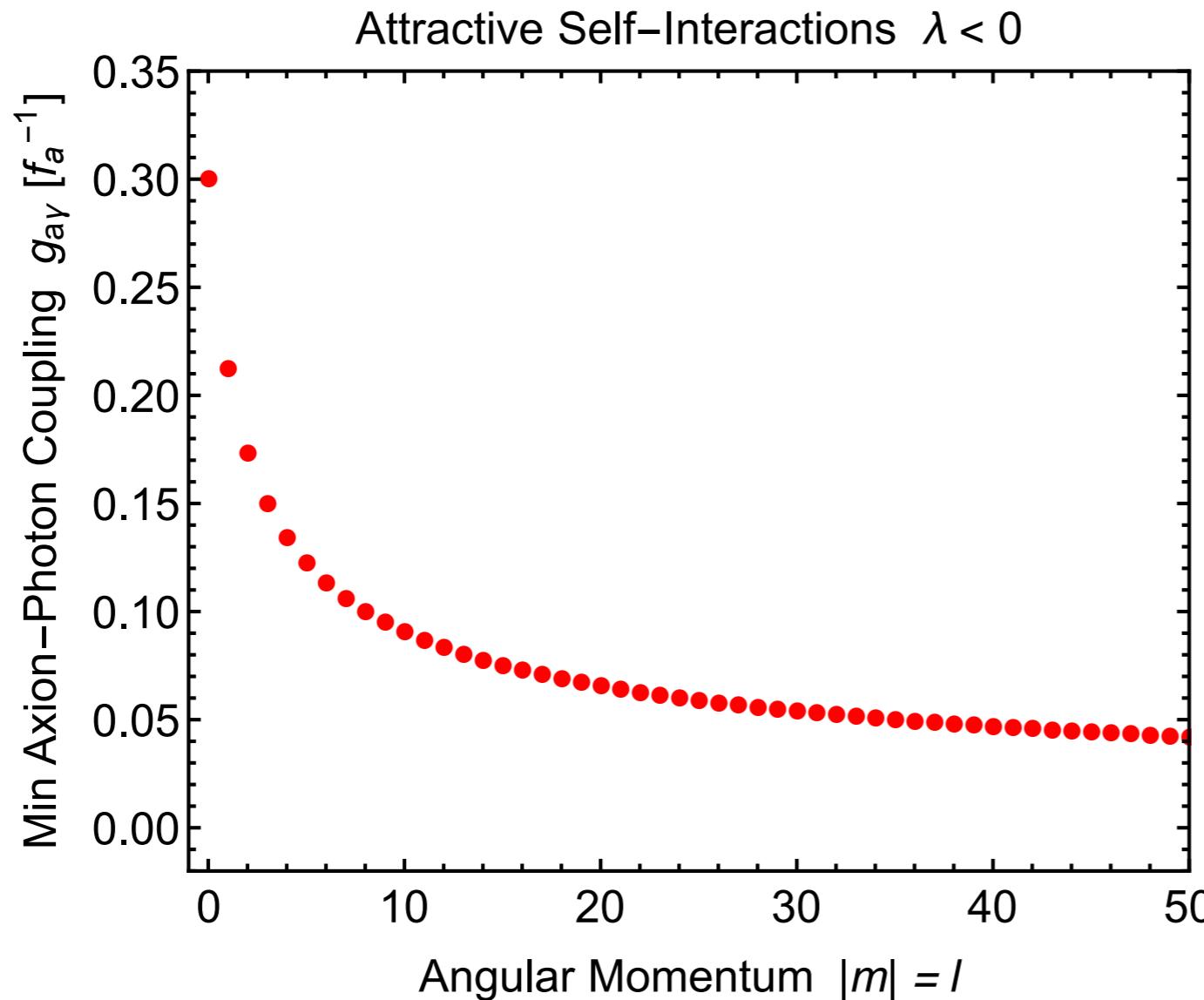


High angular momentum allows higher amplitude at core,  
which helps for resonance into photons

# Resonance Condition (Non-Spherical) Axion Star

$$g_{a\gamma} > \frac{0.3}{f_a \sqrt{l+1}}$$

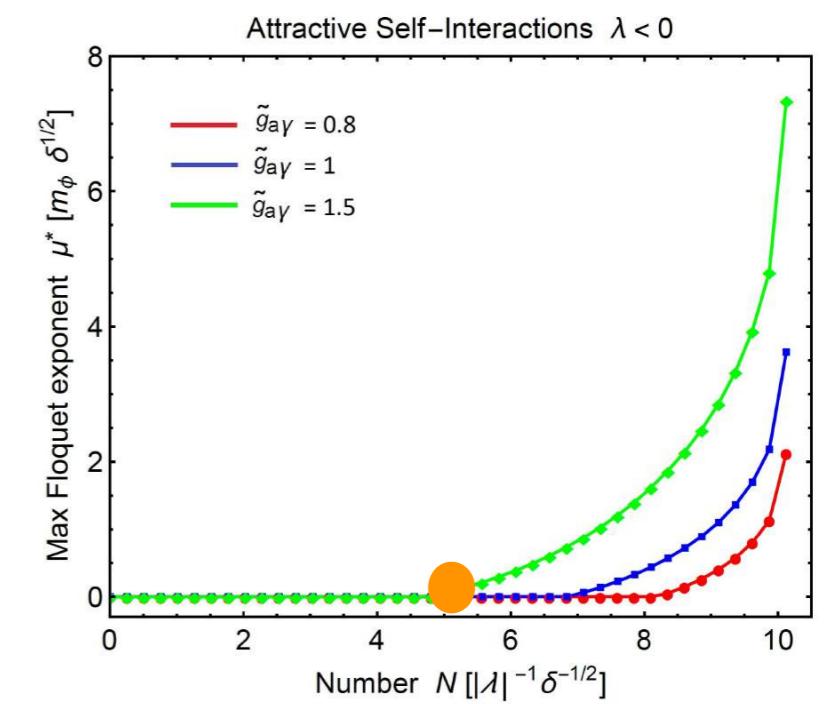
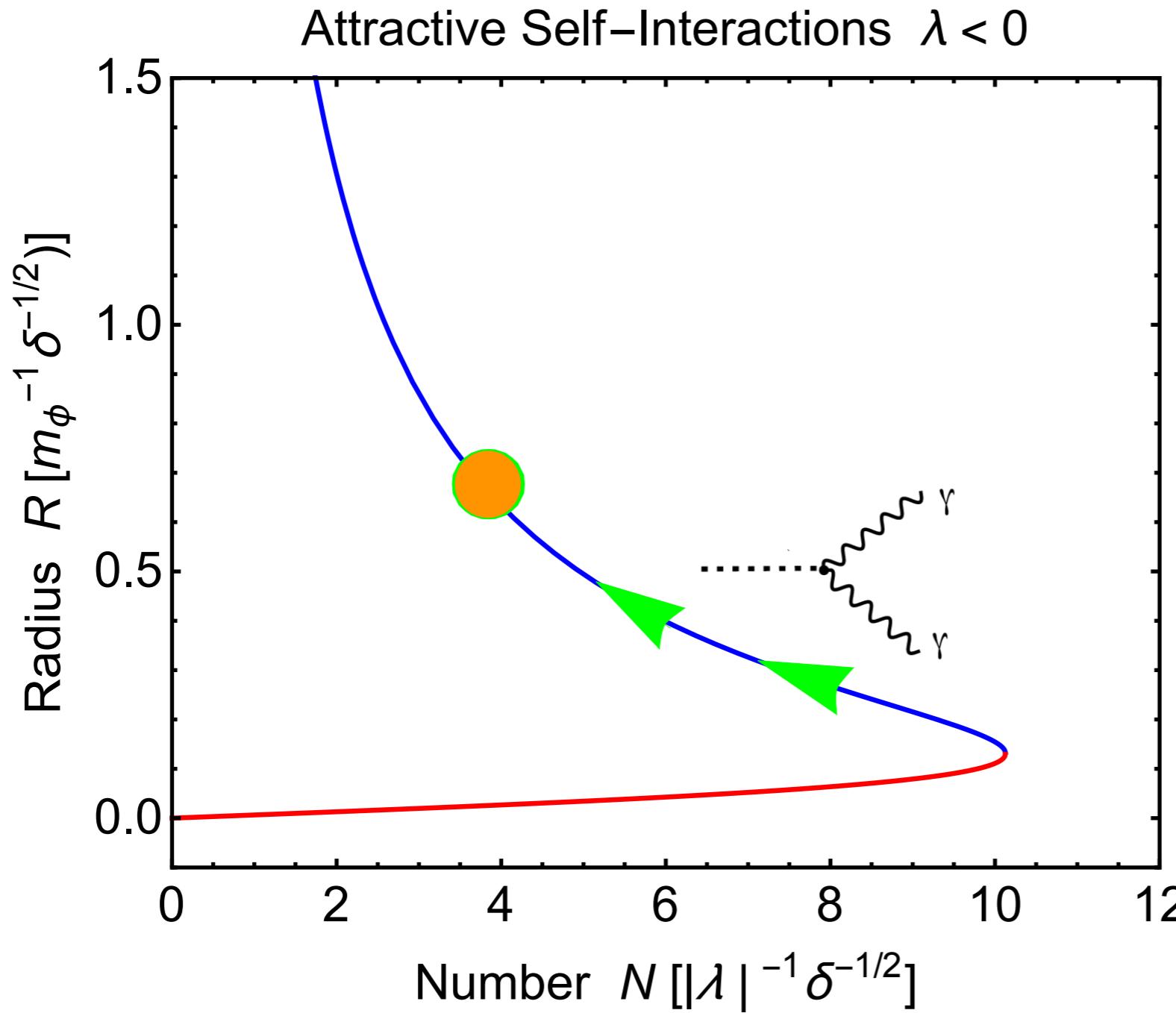
$(\lambda < 0)$



Resonance allowed for standard  
QCD axion-photon couplings,  
with high angular momentum

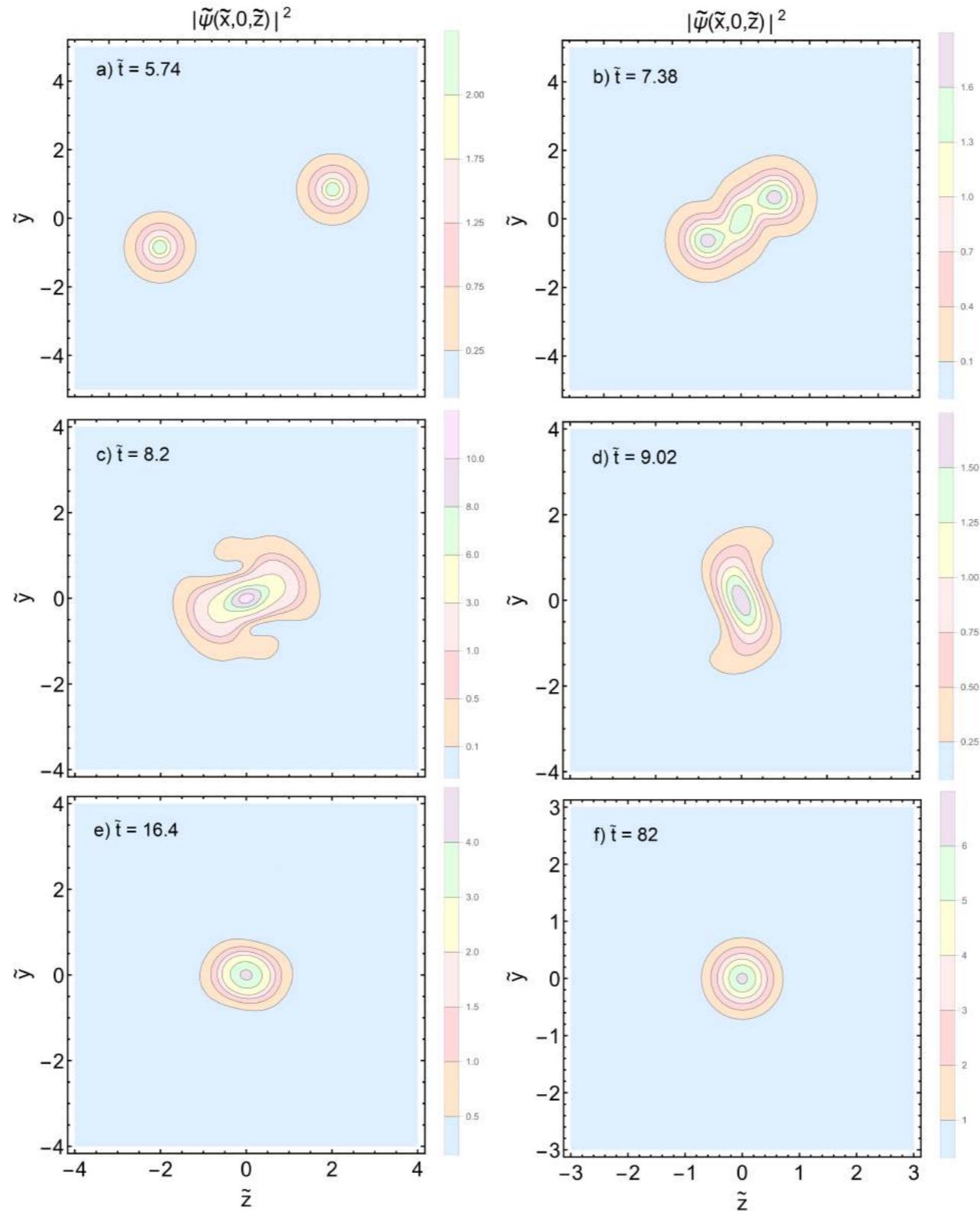
## Astrophysical Consequences

# Lasing Stars Early Universe



(i) Mass Pile Up

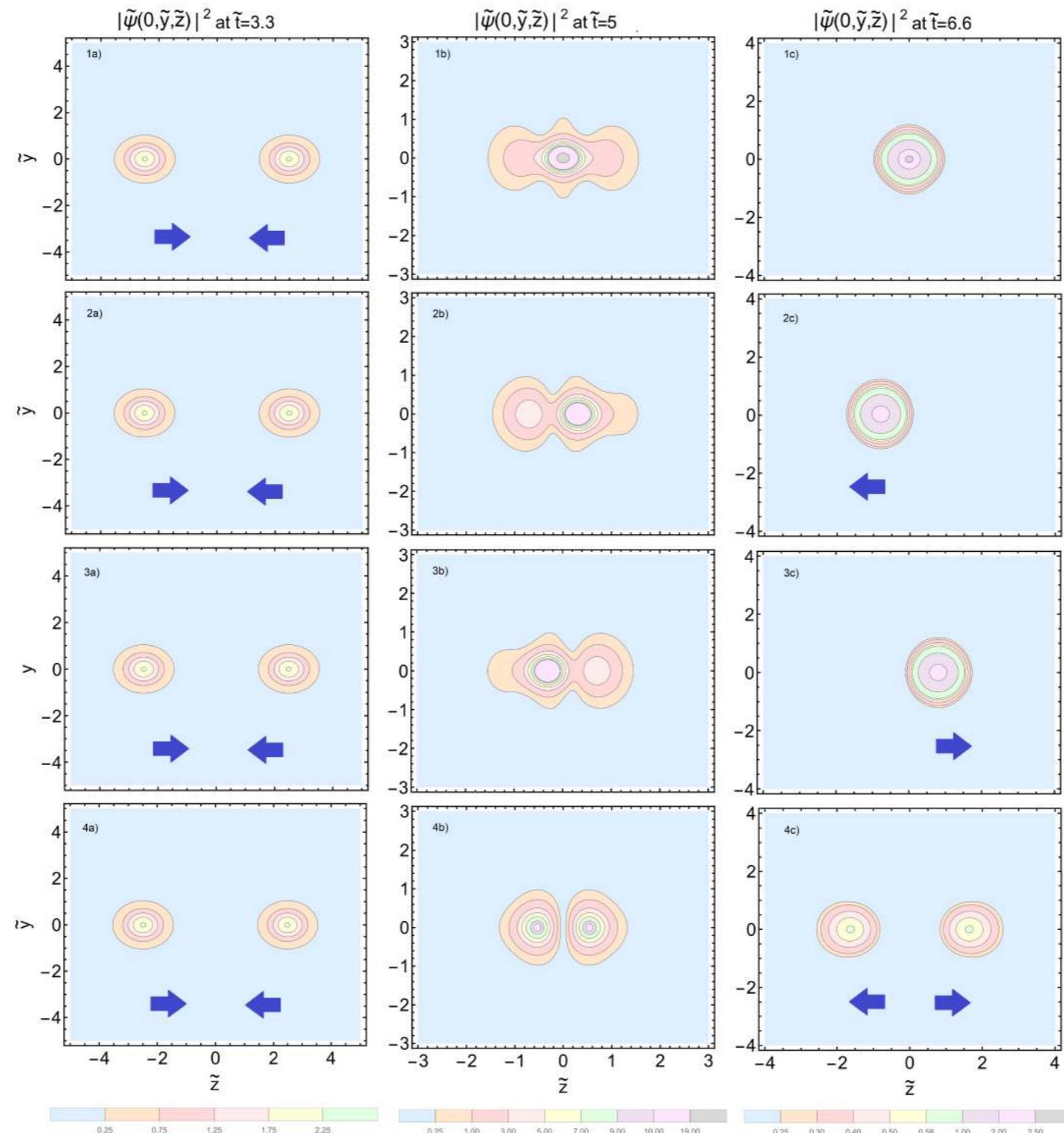
# Axion Star Mergers



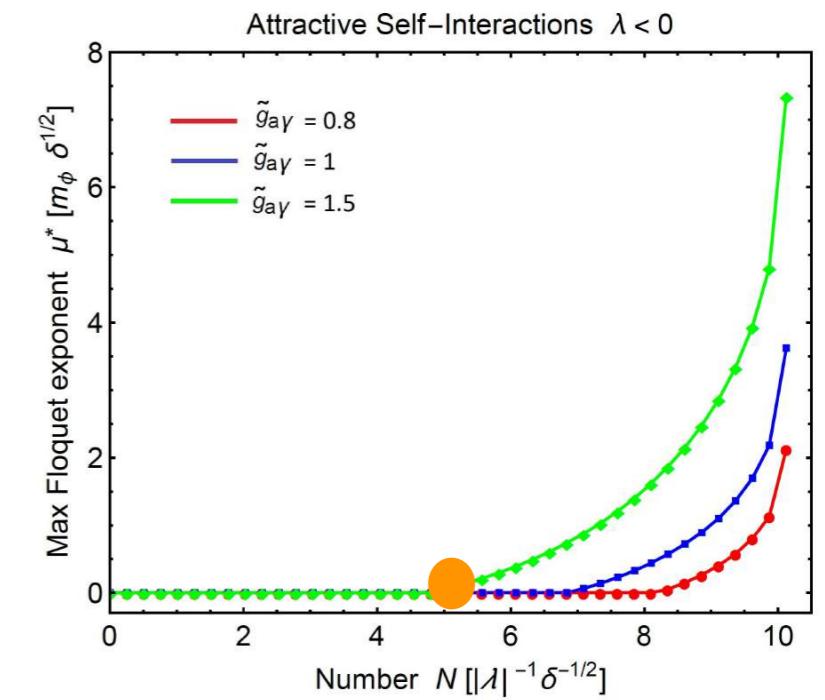
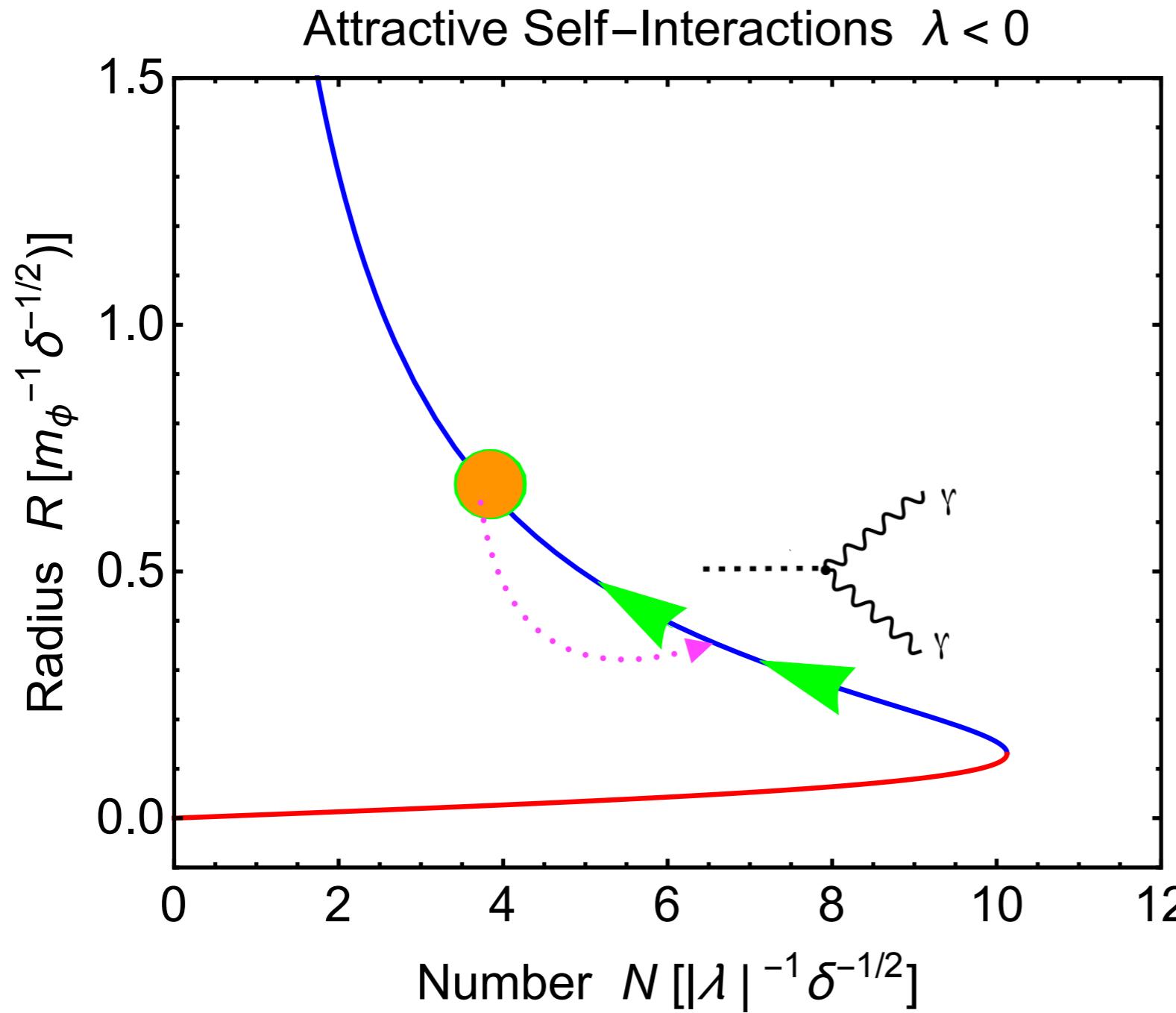
Hertzberg, Li, Schiappacasse 2005.02405

# Axion Star Mergers

Phase  
dependence



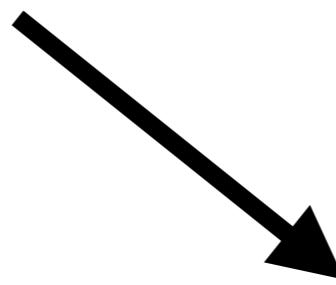
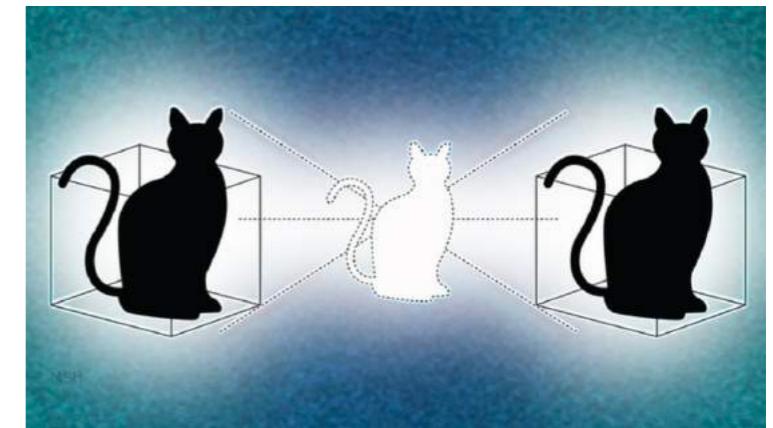
# Lasing Stars Late Universe



- (i) Mass Pile Up
- (ii) Late Time Mergers;  
Radio-wave Bursts

$$\begin{aligned} \lambda_{EM} &= \frac{2\pi}{k} \approx \frac{4\pi}{m_a} \\ &= \mathcal{O}(1) \text{ meters} \end{aligned}$$

Recall that non-linear dynamics can launch states into Schrodinger cat-like states



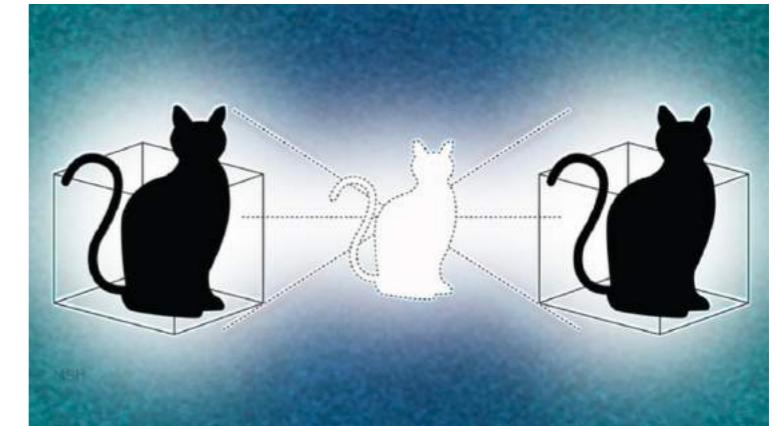
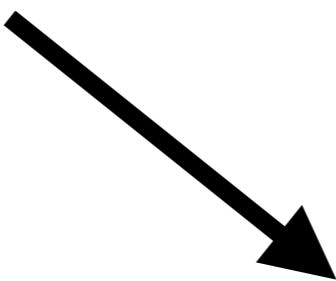
Schrodinger Cat Billiards



Recall that non-linear dynamics can launch states into Schrodinger cat-like states



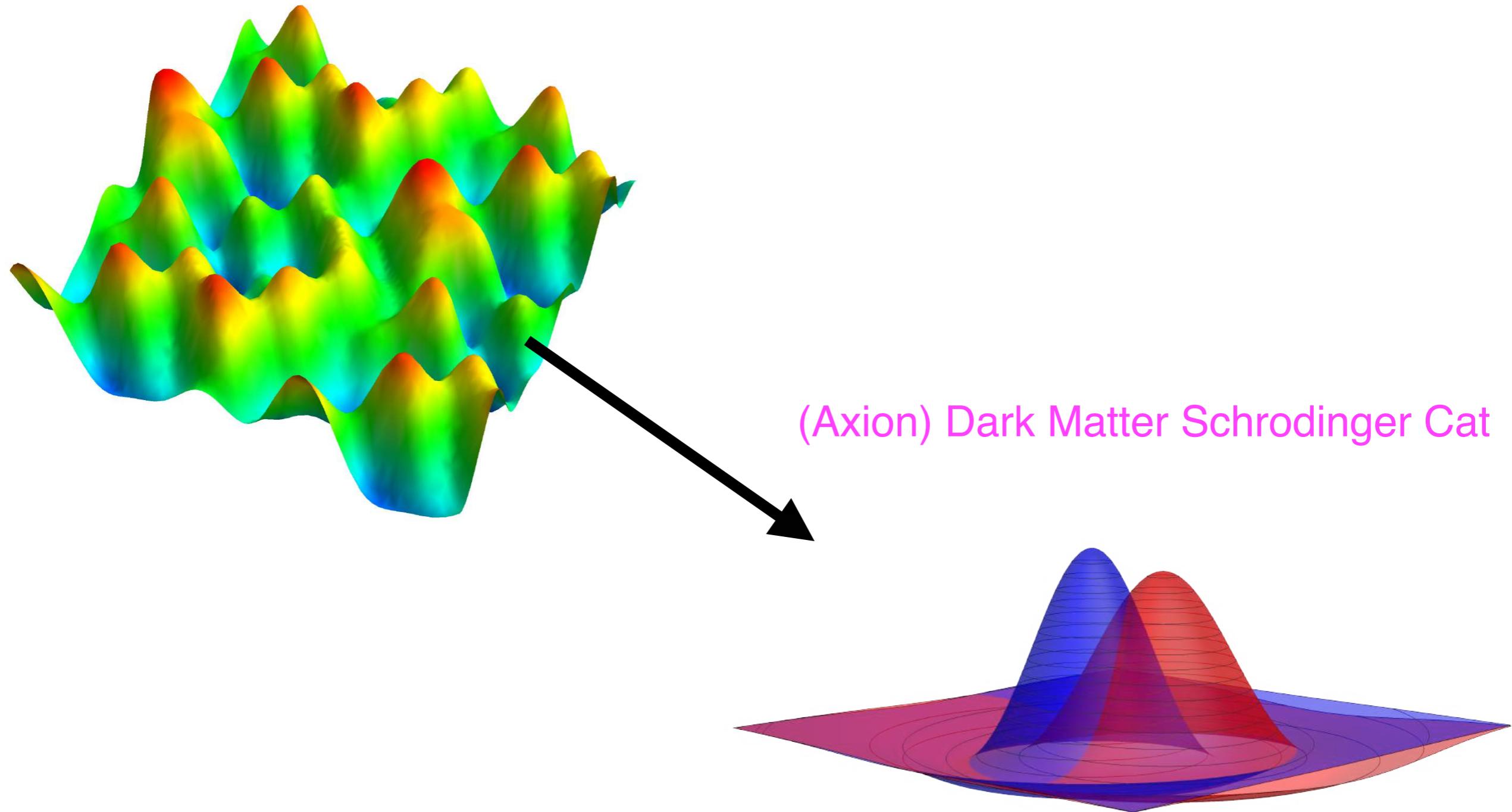
Quantumness destroyed due to  
DECOHERENCE



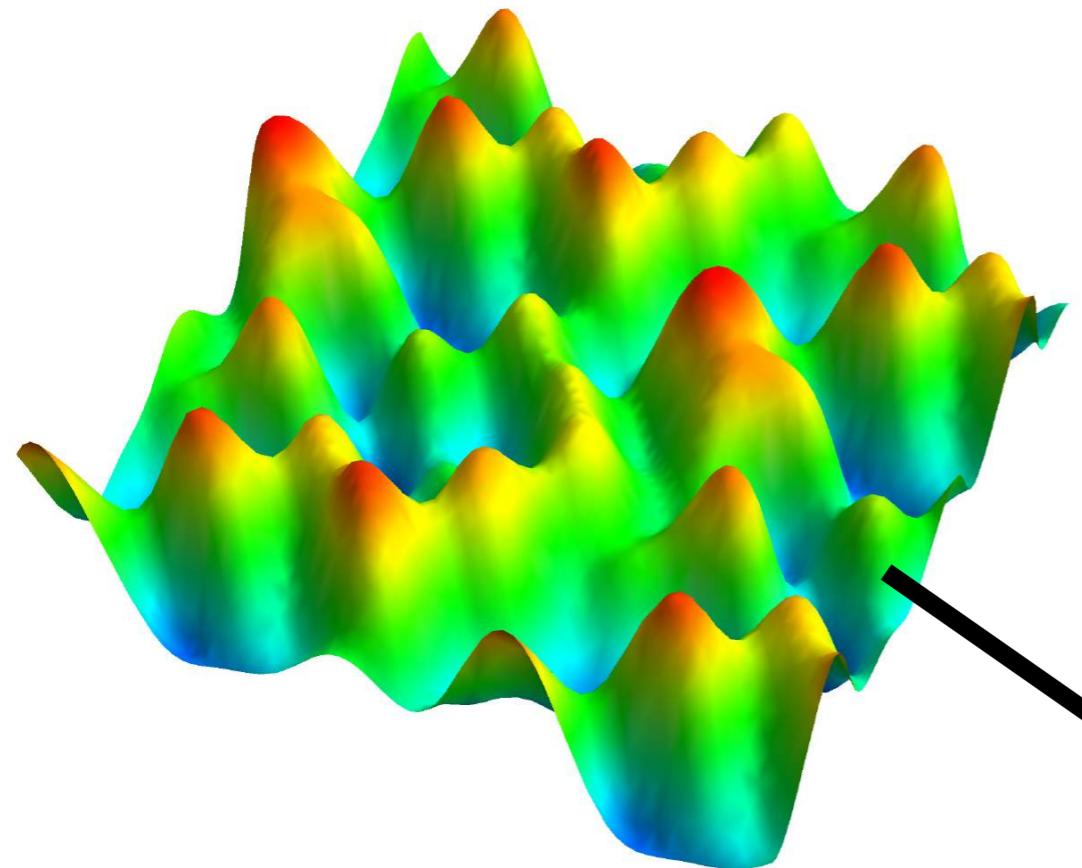
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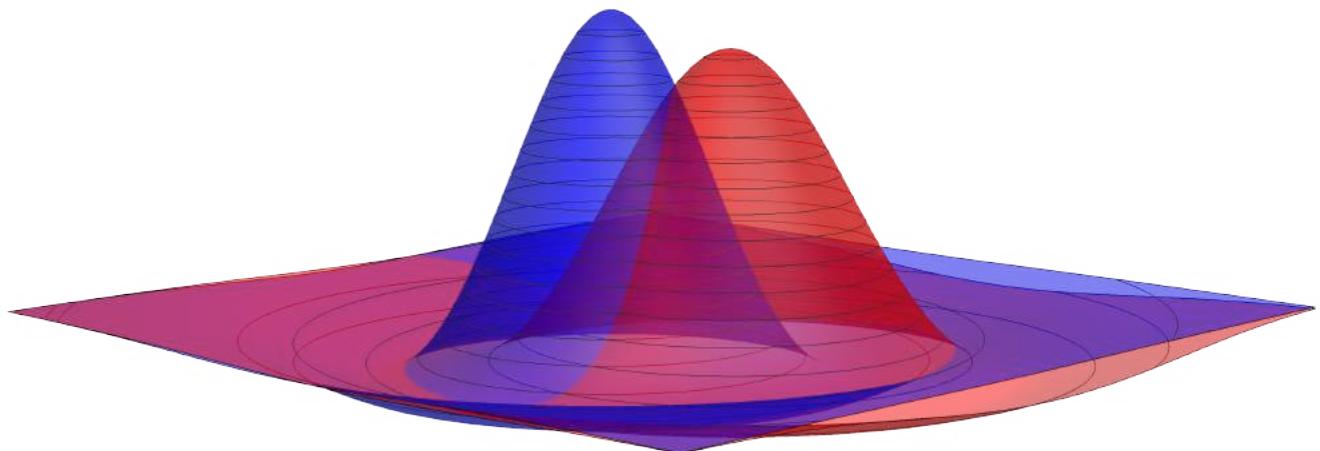
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(Axion) Dark Matter Schrodinger Cat

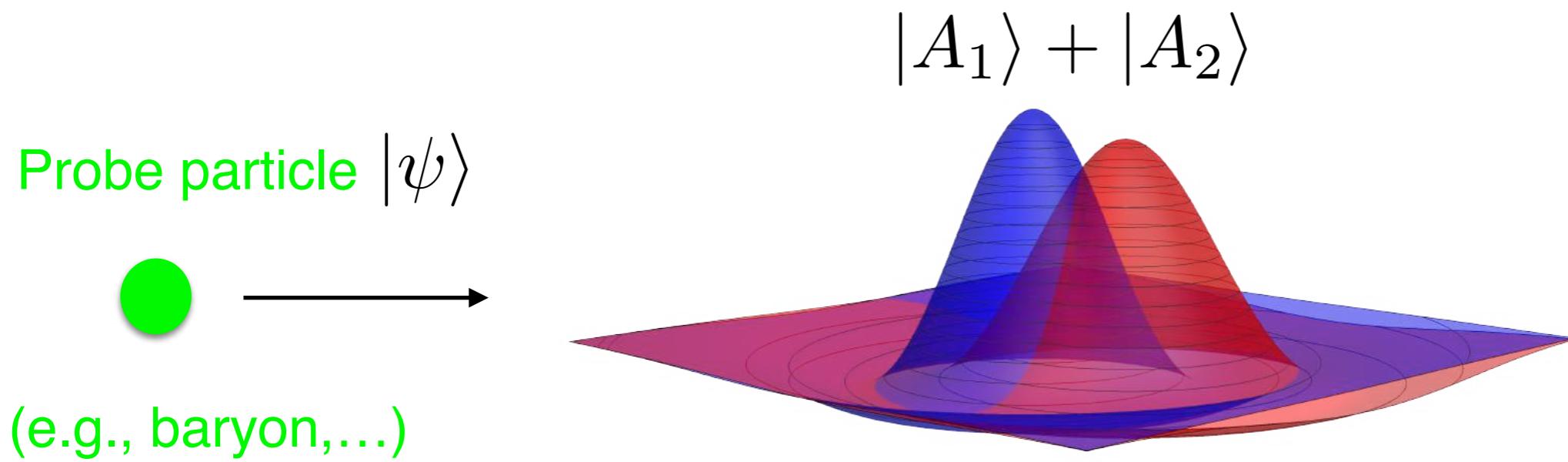
Quantumness destroyed due to  
DECOHERENCE???

Less clear because dark matter has  
tiny (non-gravitational) interactions



Could Dark Matter Schrodinger Cats Survive?

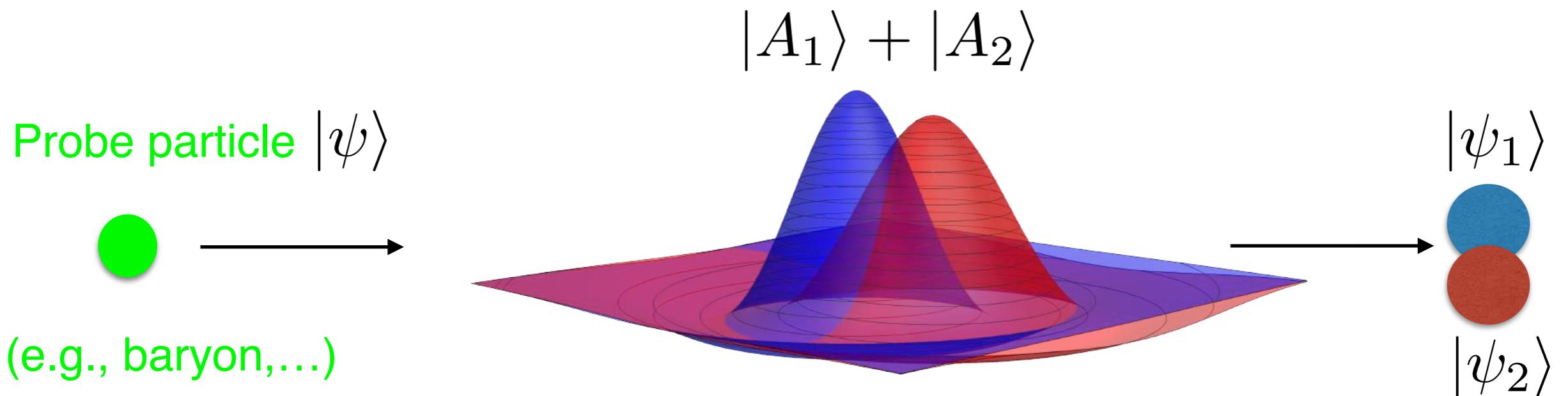
# Entanglement from Gravitational Scattering



$$|\Psi_i\rangle = (|A_1\rangle + |A_2\rangle)|\psi\rangle$$

Product State

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Product State

$$|\Psi_f\rangle = |A_1\rangle|\psi_1\rangle + |A_2\rangle|\psi_2\rangle$$

Entangled State

## Trace Out Probe Particle

$$\rho = |\Psi_f\rangle\langle\Psi_f| \quad \text{Full Density Matrix}$$

$$\rho_{red} = \text{Tr}_p[\rho] \quad \text{Reduced Density Matrix}$$

$$= |A_1\rangle\langle A_1| + |A_2\rangle\langle A_2| + \langle\psi_1|\psi_2\rangle|A_2\rangle\langle A_1| + \langle\psi_2|\psi_1\rangle|A_1\rangle\langle A_2|$$

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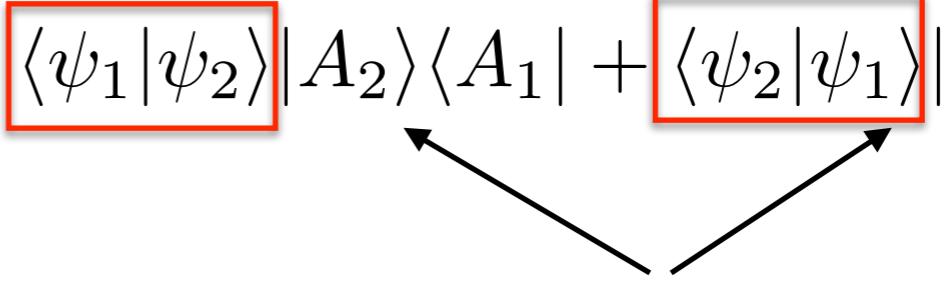
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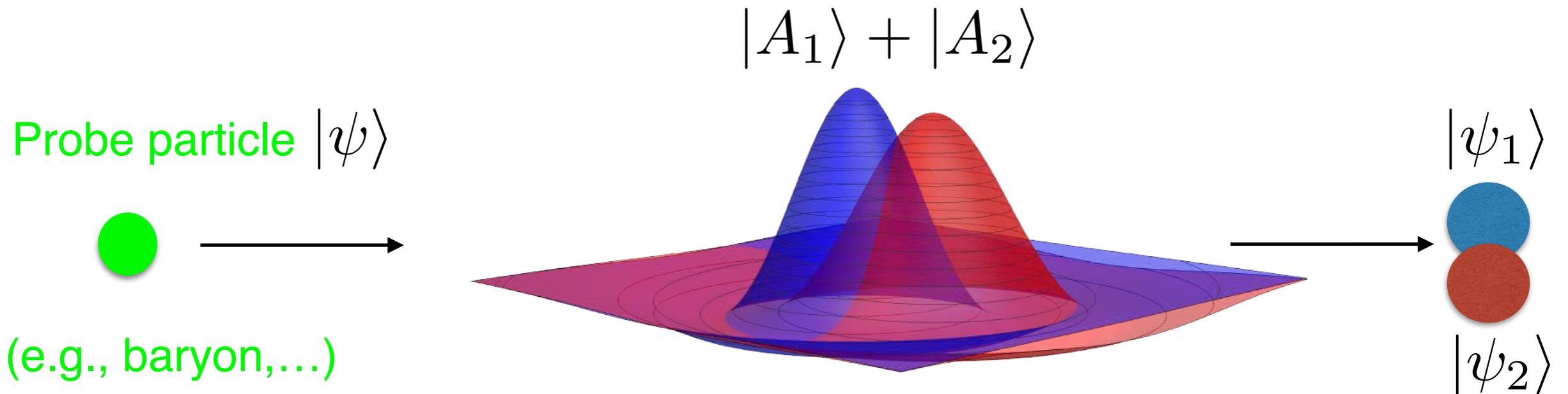
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Off diagonal elements;  
controlling true quantum effects

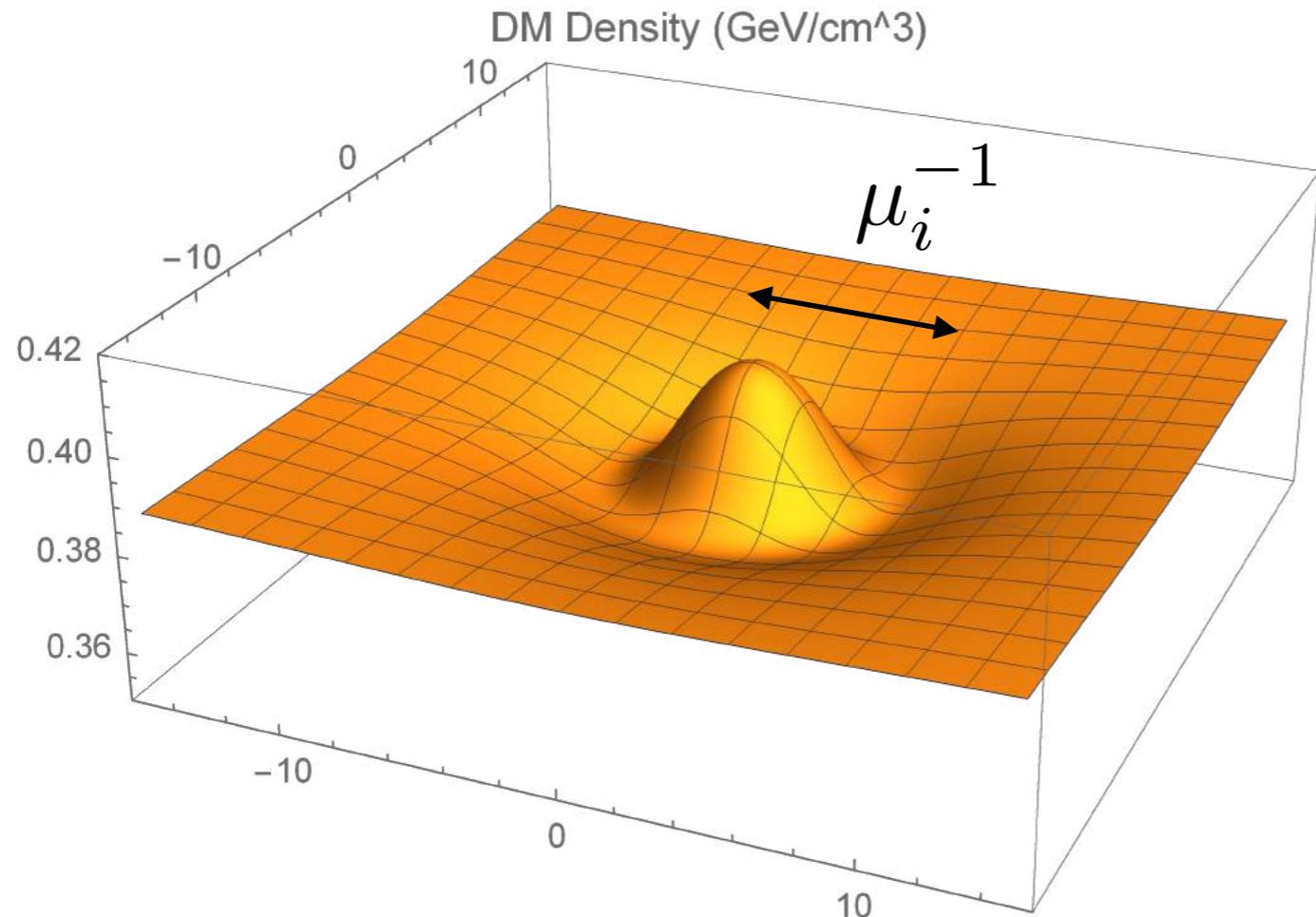
# Overlap of Probe Particle States



$$|\langle \psi_1 | \psi_2 \rangle|^2 = |\psi_i\rangle = |uns\rangle + |sc_i\rangle$$

$$\begin{aligned} |\langle \psi_1 | \psi_2 \rangle|^2 &= 1 + 2 \left( \langle sc_1 | sc_2 \rangle_{\text{R}} - \frac{1}{2} \left\{ \langle sc_1 | sc_1 \rangle + \langle sc_2 | sc_2 \rangle \right\} \right) \\ &\quad + \left( \langle sc_1 | uns \rangle_{\text{I}} + \langle uns | sc_2 \rangle_{\text{I}} \right)^2 + O(G^3) \end{aligned}$$

# Choice of Axion State



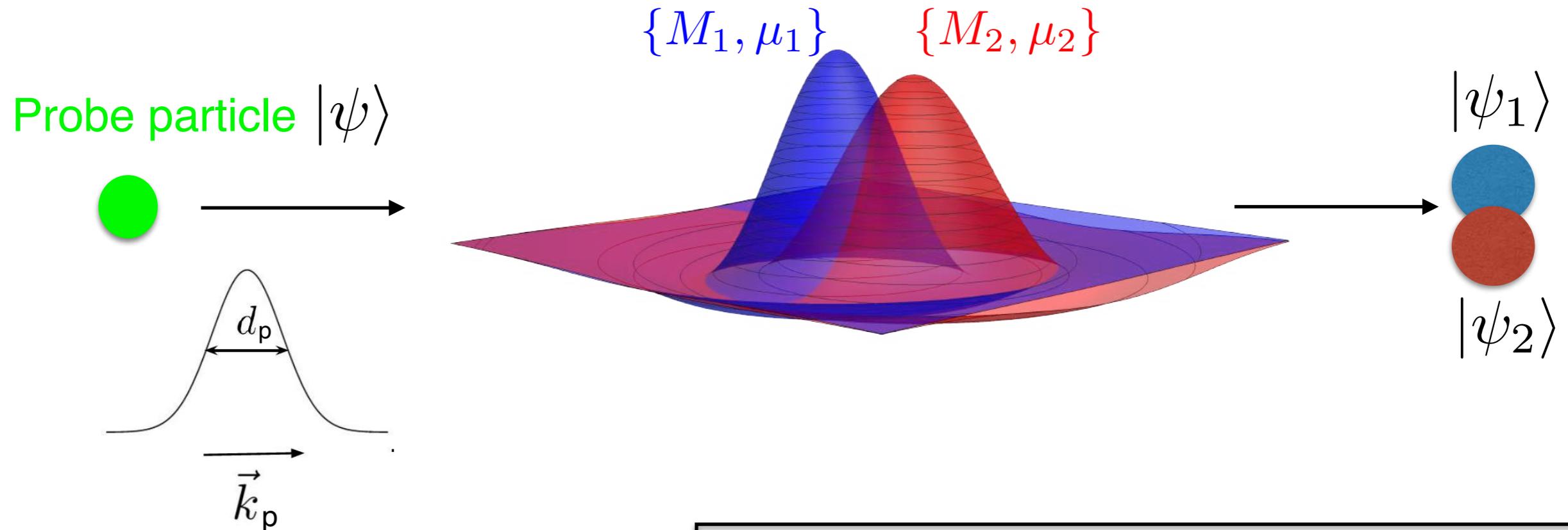
$$\int d^3x \delta\rho_i(r) = 0$$

e.g.,  $\delta\rho_i(r) = M_i\mu_i^3(1 - 2r^2\mu_i^2/3)e^{-r^2\mu_i^2}$

Zero integrated mass (otherwise even more rapid decoherence)

# Result for Overlap of Probe Particle States

We evolve a Gaussian wave packet using perturbation theory



$$|\langle \psi_1 | \psi_2 \rangle|^2 = 1 - 2\Delta$$

$$\Delta_0 = \frac{2G^2 m^4}{\hbar^4 k^2 d^2} \left[ \frac{M_1^2}{\mu_1^2} \chi_{11} + \frac{M_2^2}{\mu_2^2} \chi_{22} - 2 \frac{M_1 M_2}{\mu_1 \mu_2} \chi_{12} \right]$$

# Decoherence Rate from N-Probe Particles

Off diagonal element  
of density matrix

$$\prod_{n=1}^N |\langle \psi_1 | \psi_2 \rangle|_n = \prod_{n=1}^N (1 - \Delta_b) \sim e^{-\sum_{n=1}^N \Delta_b}$$

Decoherence rate

$$\Gamma_{\text{dec}} = n v \int d^2 b \Delta_b$$

$$\boxed{\Gamma_{\text{dec}} = \frac{4\pi G^2 m^4 n v}{\hbar^4 k^2} \left[ \frac{M_1^2}{\mu_1^2} \chi_{11} + \frac{M_2^2}{\mu_2^2} \chi_{22} - 2 \frac{M_1 M_2}{\mu_1 \mu_2} \chi_{12} \right]}$$

# Application to Diffuse Axions

Diffuse axions

$$\mu_i \sim \frac{1}{\lambda_{dB,a}} \sim \frac{2\pi}{m_a v_{vir}} \quad M_i \sim \frac{4\pi}{3} \rho_{DM} \lambda_{dB,a}^3$$

Probe: Diffuse baryons

$$k_p \sim m_p v_{vir}$$

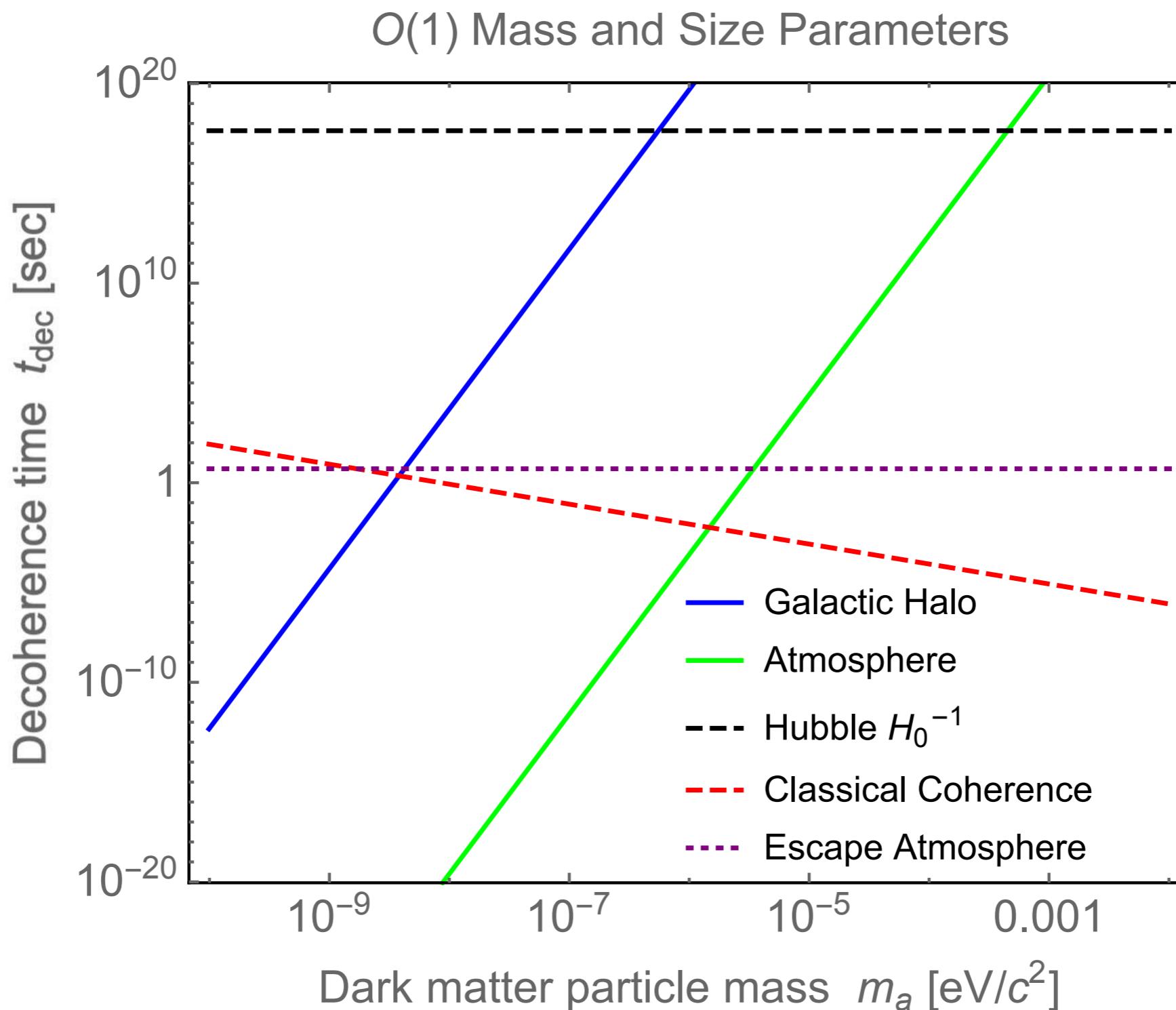
Decoherence Rate

$$\Gamma_{dec} \sim \frac{G^2 m_p \rho_b \rho_{DM}^2}{m_a^8 v_{vir}^9}$$

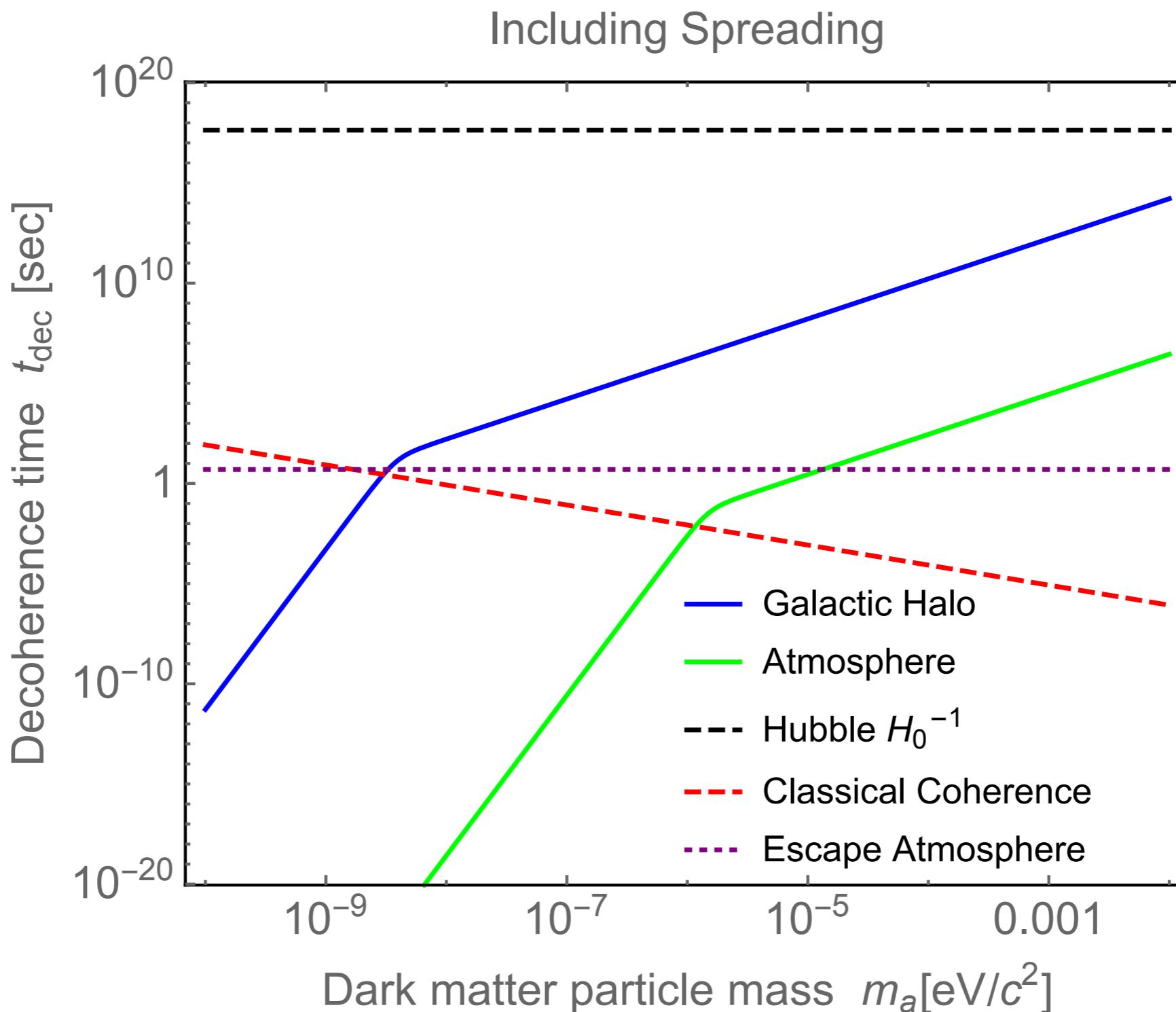
Decoherence Time

$$t_{dec} = 1/\Gamma_{dec} \propto m_a^8$$

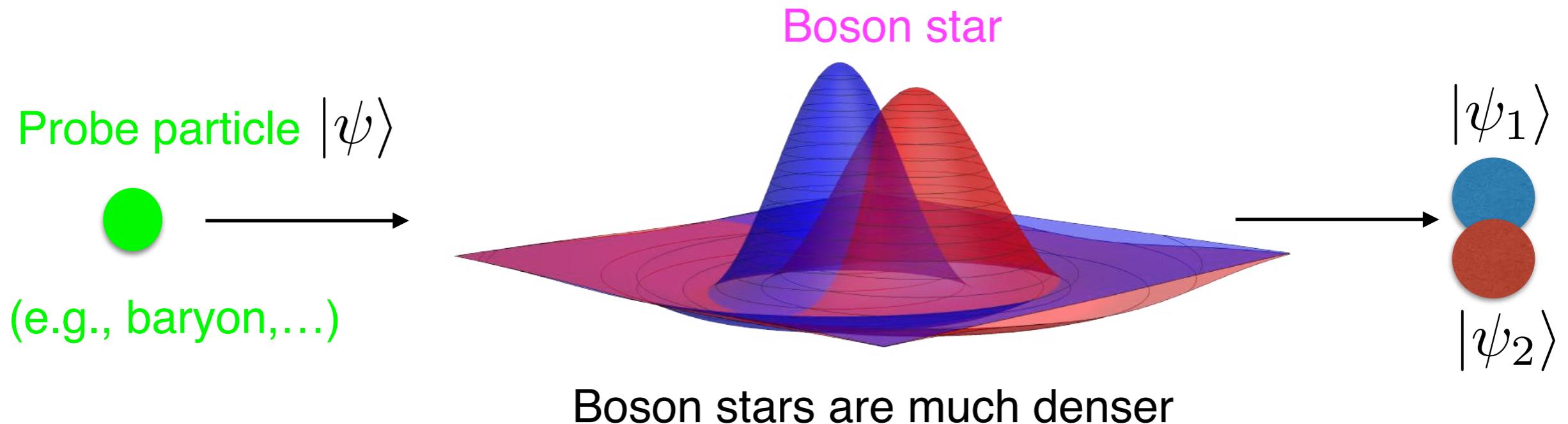
# Application to Diffuse Axions



# Application to Diffuse Axions



# Application to Boson Stars

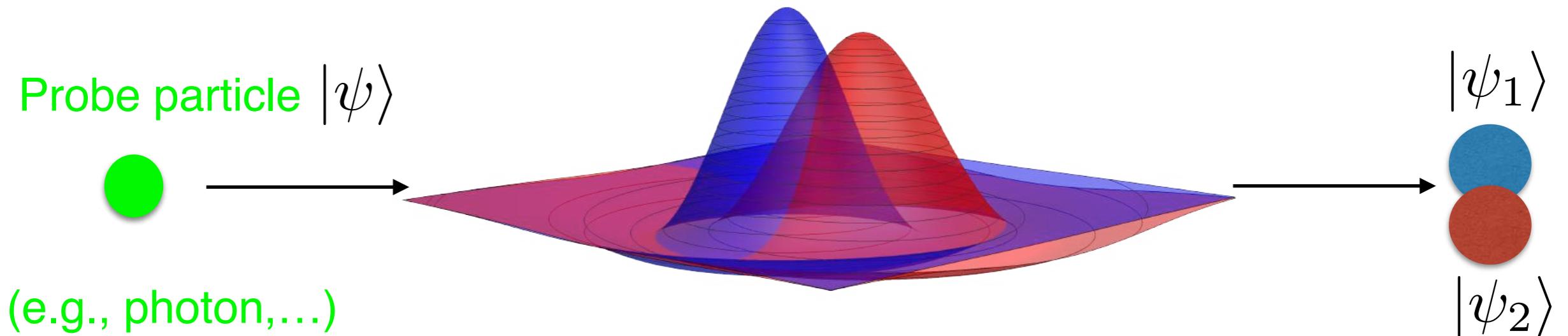


Decoherence Rate

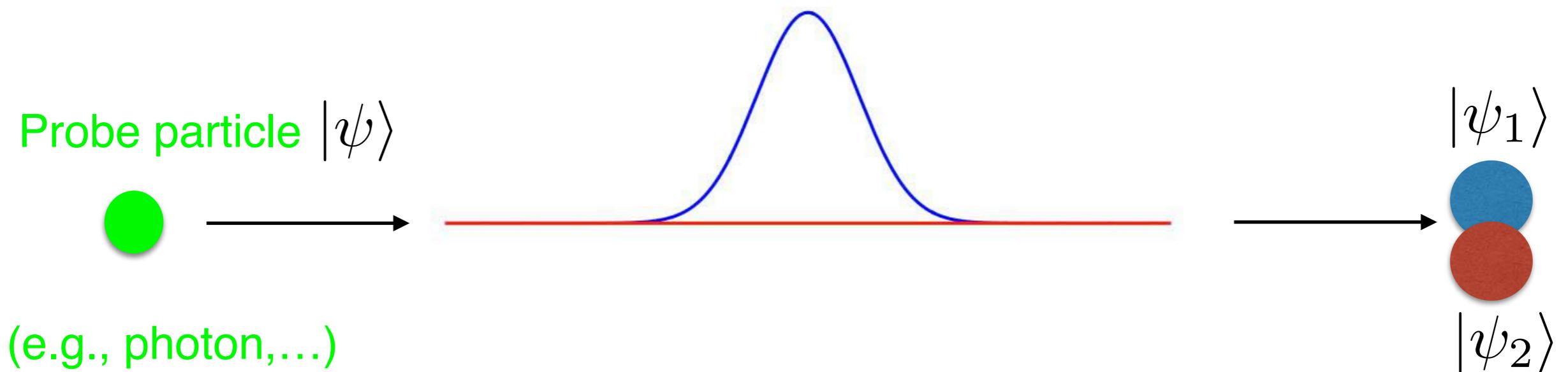
$$\Gamma_{\text{dec}} \gtrsim \frac{\hbar^2 m_p \rho_p}{v_p m_a^4} \sim 10^{21} \text{ sec}^{-1} \left( \frac{1 \text{ eV}}{m_a c^2} \right)^4$$

Extremely rapid decoherence  $\rightarrow$  Very classical

# General Relativistic Extension



# General Relativistic Extension



# General Relativistic Extension

Starting from QFT, can derive in RSE for 1-particle sub-space: (ignoring spin)

$$(i\partial_t - \sqrt{-\nabla^2 + m^2})\psi(\mathbf{x}, t) = \left( \Phi(\mathbf{x}, t)\sqrt{-\nabla^2 + m^2} - \frac{\Psi(\mathbf{x}, t)\nabla^2}{\sqrt{-\nabla^2 + m^2}} \right) \psi(\mathbf{x}, t)$$

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Decoherence Rate  
for superposition of  
different phases

$$\Gamma_{dec} \propto \exp \left[ -\frac{m_a^2 E_p^2}{\mu^2 k^2} \right] \sim \exp \left[ -\frac{1}{v_a^2 v_p^2} \right]$$

Exponentially suppressed for non-relativistic axions or probes

So the phase is rather robust against decoherence

Thank you