On arithmetic topology and arithmetic Dijkgraaf-Witten theory

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22nd, February, 2021, MS seminar at Kavli IPMU

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Arithmetic Topology

• investigates the interaction between 3-dim. topology and number theory,

and, historically,

• is along a string of thoughts of geometrization of number theory.

Geometrization of Number Theory

An origin of modern number theory goes back to Gauss' Disquisitiones Arithmeticae (1801):

quadratic residues
 binary quadratic forms (genus theory)
 Knot theory is originated from Gauss' electro-magnetic theory (1833)

After Gauss, algebraic number theory had been developed by Kummer, Dedekind and Hilbert etc during 19th century, and, entering in the 20th century, Takagi and E. Artin established class field theory.

A principal leading this line of developments was the analogy between number fields and function fields.

Analogy between number fields and function fields

 $\mathbb{Z} \subset \mathbb{Q} \qquad \longleftrightarrow \quad \mathbb{C}[x] \subset \mathbb{C}(x)$ integers, rationals \longleftrightarrow polynomials, rational functions prime number \longleftrightarrow irred. polynomial \Leftrightarrow point on \mathbb{C}

Number Theory	Algebraic Geometry
number field k	function field $F(x, y)$, $f(x, y) = 0$ (F: field)
ring of integers	algebraic curve
i.e., arithmetic curve	(Riemann surface for $F=\mathbb{C}$)
$M_k = \operatorname{Spec}(\mathcal{O}_k)$	$V = \operatorname{Spec}(F[x, y]/(f(x, y)))$
prime ideal $\mathfrak{p} \in M_k$	point $P \in V$

★ This analogy is algebraic, based on that \mathcal{O}_k and F[x,y]/(f(x,y)) are both Dedekind ring (1-dim. ring)

However,

for an algebraic curve $V = \operatorname{Spec}(F[x,y]/(f(x,y)))$, say $F = \mathbb{C}$,

$$V(\mathbb{C}) = \{(x, y) \in \mathbb{C} \times \mathbb{C} | f(x, y) = 0\}$$

= Hom(F[x, y]/(f(x, y)), \mathbb{C})
= Max(F[x, y]/(f(x, y))

On the other hand, for an arithmetic curve $M_k = \text{Spec}(\mathcal{O}_k)$,

$$M_k(\mathbb{C}) = \operatorname{Hom}(\mathcal{O}_k, \mathbb{C}) = \{k \hookrightarrow \mathbb{C}\} : \text{ finite set} \\ \neq \operatorname{Max}(\mathcal{O}_k) : \text{ infinite set}$$

So, the analogy is not satisfactory.

After Gauss, entering in 20th century, topology had been developed by Poincaré, Alexander, Lefschetz, Dehn, Seifert, Reidemeister etc.

homology and homotopy groups
 combinatorial group theory

<u>Alexander's Thm</u>: Any oriented connected closed 3-manifold is a finite branched cover of the 3-sphere S^3 .

In the course of simplifying the complicated proof of class field theory, number theory has been influenced by topology and also by Grothendieck's topology (1950 \sim 1960's; Nakayama, Tate, M. Artin, Verdier etc)

· Galois cohomology · étale cohomology, étale homotopy

From topological view point,

for distinct points $P, Q \in \operatorname{Aff}_F^1 = \operatorname{Spec}(F[x])$,

$$\pi_1(\operatorname{Aff}_F^1 \setminus \{P\}) = \pi_1(\operatorname{Aff}_F^1 \setminus \{Q\})$$
$$(\pi_1(\mathbb{C} \setminus \{P\}) = \pi_1(\mathbb{C} \setminus \{Q\}) \text{ for } F = \mathbb{C}).$$

On the other hand, for distinct prime ideals $(p), (q) \in \operatorname{Spec}(\mathbb{Z})$,

$$\pi_1(\operatorname{Spec}(\mathbb{Z} \setminus \{(p)\}) \neq \pi_1(\operatorname{Spec}(\mathbb{Z} \setminus \{(q)\}).$$

So, the analogy between number fields and function fields is not satisfactory again.

Topologically,

for $\mathfrak{p} \in \operatorname{Max}(\mathcal{O}_k)$,

$$\begin{aligned} \{\mathfrak{p}\} &= \operatorname{Spec}(\mathcal{O}_k/\mathfrak{p}) = K(\widehat{\mathbb{Z}}, 1) = B_{\widehat{\mathbb{Z}}}, \\ \widehat{\mathbb{Z}} &= \text{ profinite infinite cyclic group.} \end{aligned}$$

Similarly,

$$S^1 = K(\mathbb{Z}, 1) = B_{\mathbb{Z}},$$

$$\mathbb{Z} = \text{ infinite cyclic group.}$$

A number ring $\operatorname{Spec}(\mathcal{O}_k)$ is of étale cohomological dimension 3 and enjoys a sort of 3-dimensional arithmetic Poincaré duality, called Artin-Verdier duality (arithmetic analog of electro-magnetic duality).

 \sim

$$\begin{array}{ccc} M_k = \operatorname{Spec}(\mathcal{O}_k) & \longleftrightarrow & \operatorname{3-manifold} M\\ \overline{M}_k = M_k \cup \{ \text{infinite primes} \} & \longleftrightarrow & \text{end compactified 3-manifolds } \overline{M} \end{array}$$

Alexander's Thm \leftrightarrow Any number field is a finite branched extension of $\mathbb Q$

Thus,

prime
$$\{\mathfrak{p}\} = \operatorname{Spec}(\mathcal{O}_k/\mathfrak{p}) = K(\hat{\mathbb{Z}}, 1) \hookrightarrow \operatorname{Spec}(\mathcal{O}_k)$$

 \longleftrightarrow
knot $\mathcal{K} : S^1 = K(\mathbb{Z}, 1) \hookrightarrow M$

1. Background and Motivation

3-dim. Topology	Number Theory
3-manifold M	number ring $M_k = \operatorname{Spec}(\mathcal{O}_k)$
knot	maximal ideal
$\mathcal{K} \; : \; S^1 \hookrightarrow M$	$\{\mathfrak{p}\} = \operatorname{Spec}(\mathcal{O}_k/\mathfrak{p}) \hookrightarrow \operatorname{Spec}(\mathcal{O}_k)$
tubular n.b.d. of a knot	p-adic integer ring
$V_{\mathcal{K}}$	$V_S = \operatorname{Spec}(\mathcal{O}_{\mathfrak{p}})$
boundary torus	p-adic field
$\partial V_{\mathcal{K}}$	$\partial V_S = \operatorname{Spec}(k_{\mathfrak{p}})$
link	finite set of prime ideals
$\mathcal{L} = \mathcal{K}_1 \cup \cdots \cup \mathcal{K}_r$	$S = \{\mathfrak{p}_1, \dots, \mathfrak{p}_r\}$
link complement	complement of a finite set of primes
$X_{\mathcal{L}} = M \setminus \mathcal{L}$	$X_S = M_k \setminus S$
link group	Galois gr. with given ramification
$\Pi_{\mathcal{L}} = \pi_1(X_{\mathcal{L}})$	$\Pi_S = \pi_1^{\acute{e}t}(X_S) = \operatorname{Gal}(k_S/k)$
:	: :

Arithmetic Topology

A driving force to obtain analogous results and develop 3-dim. topology and number theory.







Relation with the analogy between no. fields and f'n fields

- \cdot algebraic curve over a finite field \longleftrightarrow surface bundle over S^1
- \cdot Analogy between knots and primes, 3-manifolds and number ring =

Time evolution of the analogy between number fields and function fields, where prime ideal looks like a trajectory (braid) of a particle on a surface.

1. Background and Motivation

Enhancement of arithmetic topology

Foliated dynamical systems (C. Deninger)





Reflection

knot group $\pi_1(S^3 \setminus K) \iff$ prime group $\pi_1(\operatorname{Spec}(\mathbb{Z}) \setminus \{(p)\})$ $\pi_1(S^3 \setminus K)$ is finitely presented, on the other hand, $\pi_1(\operatorname{Spec}(\mathbb{Z}) \setminus \{(p)\})$ is huger (unknown if it is finitely generated or not) \Longrightarrow

A prime is a invisibly complicated knot ! 3-dim. picture is a geometric approximation of a number ring and primes.

Hope (Dream)

Deninger proposed recently a candidate \mathfrak{D}_k of such a space \mathfrak{X}_k of infinite dimension, equipped with dynamical sysytem (\mathbb{R} -action).

$$\begin{cases} \mathfrak{D}_k \sim \operatorname{Spec}(W_{\operatorname{rat}}(\mathcal{O}_k))(\mathbb{C}), \\ W_{\operatorname{rat}}(R) \subset W(\mathcal{O}_k) = \mathbb{Z} \otimes_{\mathbb{F}_1} \mathcal{O}_k. \end{cases}$$

 \swarrow Note $\pi_1(\mathbb{F}_1) = \mathbb{R}$. Arithmetic Topology $\overset{\text{Deninger}}{\longleftrightarrow} \mathbb{F}_1$ -geometry

Basic Dictionary of AT

3-dim. Topology	Number Theory
oriented, connected 3-manifold M	number ring $M_k = \operatorname{Spec}(\mathcal{O}_k)$
end of M	infinite primes
closed 3-manifold \overline{M}	compactified \overline{M}_k
knot	prime ideal
$\mathcal{K} \; : \; S^1 \hookrightarrow X$	$\{\mathfrak{p}\} = \operatorname{Spec} \left(\mathcal{O}_K/\mathfrak{p}\right) \hookrightarrow \overline{X}_k$
link	finite set of prime ideals
$\mathcal{L} = \mathcal{K}_1 \cup \dots \cup \mathcal{K}_r$	$S = \{\mathfrak{p}_1, \cdots, \mathfrak{p}_r\}$
tubular n.b.d. of a knot	p-adic integer ring
$V_{\mathcal{K}}$	$V_{\mathfrak{p}} = \operatorname{Spec}(\mathcal{O}_{\mathfrak{p}})$
boundary torus	p-adic field
$\partial V_{\mathcal{K}}$	$\partial V_{\mathfrak{p}} = \operatorname{Spec}(k_{\mathfrak{p}})$
peripheral group	local absolute Galois group
$\Pi_{\mathcal{K}} = \pi_1(\partial V_{\mathcal{K}})$	$\Pi_{\mathfrak{p}} = \pi_1(\operatorname{Spec}(k_{\mathfrak{p}})) = \operatorname{Gal}(\overline{k_{\mathfrak{p}}}/k_{\mathfrak{p}})$

link complement	complement of a finite set of primes
$X_{\mathcal{L}} = \overline{M} \setminus \mathcal{L}$	$\overline{X}_S = \overline{M}_k \setminus S$
link group	Galois gr. with given ramification
$\Pi_{\mathcal{L}} = \pi_1(X_{\mathcal{L}})$	$\Pi_S = \pi_1^{\acute{e}t}(\overline{X}_S) = \operatorname{Gal}(k_S/k)$
$\partial: C_2(M) \to Z_1(M)$	$k^{\times} \to I_k$
$\Sigma \mapsto \partial \Sigma$	$a \mapsto (a) = a\mathcal{O}_k$
1st homology group	ideal class group
$H_1(M)$	Cl(k)
2nd homology group	unit group
$H_2(M)$	$\mathcal{O}_k^ imes$

More elaborate analogies were obtained by T. Mihara and J. Ueki in recent years.

<u>Classical invariants</u> · · · defined by using knot, link, 3-manifold groups and Galois groups and their representations.

• Topology ⇒ Number Theory: Higher order linking numbers and multiple quadratic residue symbols

link group	Galois gr. with given ramification
$\Pi_{\mathcal{L}} = \pi_1(X_{\mathcal{L}})$	$\Pi_S = \pi_1^{\acute{e}t}(\overline{X}_S) = \operatorname{Gal}(k_S/k)$
for $\mathcal{L} = \mathcal{K}_1 \cup \dots \cup \mathcal{K}_r$	for $S=\{p_1,\ldots,p_r\}$
Milnor' Theorem	Koch's Theorem
$\Pi_{\mathcal{L}}^{pro-l} = \langle x_1, \dots, x_r \mid$	$\Pi_S^{pro-l} = \langle x_1, \dots, x_r \mid$
$[x_1, y_1] = \dots = [x_r. y_r] = 1\rangle$	$x_1^{p_1-1}[x_1, y_1] = \dots = x_r^{p_r-1}[x_r, y_r] = 1$
$x_i = meridian of\mathcal{K}_i$	$x_i = monodromy over p_i$
$y_i = longitude \; of \; \mathcal{K}_i$	$y_i = Frobenius \text{ auto. over } p_i$
Higher order linking numbers	
(Milnor invariants) $lk(\mathcal{K}_1,\cdots,\mathcal{K}_n)$?

Arithmetic higher order mod 2 linking numbers of primes (M.)

We can introduce arithmetic higher order mod 2 linking numbers $lk_2(1\cdots n)$ and the multiple quadratic residue symbols $[p_1,\ldots,p_n] = (-1)^{lk_2(1\cdots n)}$ so that $\cdot [p_1,p_2] = \left(\frac{p_1}{p_2}\right)$ (quadratic residue symbol), $\cdot [p_1,\cdots,p_n]$ describes the decomposition of p_n in a certain nilpotent extension K_n of \mathbb{Q} branched over p_1,\ldots,p_{n-1} .

<u>Ex.</u>(Borromean primes, Milnor primes (Amano))



Applications

Higher order generalization of Gauss' genus theory (M.)

Multiple quadratic residue symbols $[p_{i_1},\ldots,p_{i_n}]$'s describes the 2-class group of $\mathbb{Q}(\sqrt{p_1\cdots p_r}).$

Cf. Recent related works by A. Smith, P. Koymans and C. Pagono on Higher Genus Theory for the 2-class groups of multi-quadratic fields $\mathbb{Q}(\sqrt{p_1}, \dots, \sqrt{p_r})$.

Pro-p link groups of number fields (Mizusawa)

Deep study of certain pro-p Galois groups of number fields with Koch type presentation, called pro-p link groups of number fields, and arithmetic higher order linking numbers, including primes over p, with application to Iwasawa theory.

Y. Mizusawa, On pro-p link groups of number fields, Transactions of AMS, **372** (2019), no. 10, 7225-7254.

Digression:

Quadratic reciprocity law	The law of action-reaction
$[p_1, p_2] = [p_2, p_1]$	(e,b) = (b,e)
$p_1, p_2 \equiv 1 \mod 4$	e = electric charge, b = magnetic charge
Class field theory	Maxwell theory
Artin-Verdier duality	electro-magnetic duality
multiple quadratic reciprocity law	
$[p_{\sigma(1)},\ldots,p_{\sigma(n)}]=[p_1,\ldots,p_n]$?

Ques. Is there (electro-magnetic) duality with nilpotent gauge groups ?

 Number Theory ⇒ Topology: <u>Alexander invariants and</u> <u>Iwasawa zeta functions</u>

$\Pi^{\rm ab}_{\mathcal{K}} = \operatorname{Gal}(X^{\infty}_{\mathcal{K}}/X_{\mathcal{K}})$	$\Pi_p^{\rm ab} = \operatorname{Gal}(X_p^{\infty}/X_p)$
$=\langle \mathfrak{m} angle =\mathbb{Z}$	$lphapprox \langle au angle = \mathbb{Z}_p$
infinite cyclic cover	cyclotomic \mathbb{Z}_p -extension
$X^{\infty}_{\mathcal{K}} \to X_{\mathcal{K}}$	$X_p^{\infty} \to X_p \left(\mathbb{Q}(\sqrt[p^{\infty}]{1})/\mathbb{Q} \right)$
Alexander module over $\mathbb{Z}[lpha]$	lwasawa module $\mathbb{Z}_p[[au]]$
$H_1(X^\infty_\mathcal{K})$	$H_1(X_p^\infty)$
Alexander polynomial	lwasawa polynomial
= Lefschetz zeta function	= p-adic zeta function
$A_K(t) = \det(t - \mathfrak{m} H_1(X_{\mathcal{K}}^\infty))$	$I_p(t) = \det(t - \tau H_1(X_p^\infty))$
twisted Alexander polynomial	twisted Iwasawa polynomial
for a repr. $\Pi_{\mathcal{K}} \to GL_n(\mathbb{C})$	for a repr. $\Pi_p \to GL_n(\mathbb{Z}_p)$

Iwasawa theoretic and *p*-adic study of covers of links (Hillman-Matei-M., Kadokami-Mizusawa, Sugiyama, Ueki, Tange)

- *p*-adic study of homology growth of covers of links (H-M-M, Kadokami-Mizusawa).
- Topological analog of Iwasawa main conjecture (Sugiyama).
- *p*-adic dynamical study of Iwasawa invariants for covers of links, Kida's formula (Ueki).
- Twisted homology growth of covers of knots (Tange).

1-dim. tautological repr.	1-dim. universal deformation
$\rho_{\mathcal{K}}: \Pi_{\mathcal{K}} \to \Pi^{\mathrm{ab}}_{\mathcal{K}} \subset \mathbb{C}[\langle \mathfrak{m} \rangle]^{\times}$	$\rho_p: \Pi_p \to \Pi_p^{\mathrm{ab}} \subset \mathbb{Z}_p[[\langle \tau \rangle]]^{\times}$
$\mathfrak{A}_{\mathcal{K}} = H_1(\Pi_{\mathcal{K}}, \rho_{\mathcal{K}})$ is a coherent sheaf	$\mathfrak{I}_p = H_1(\Pi_p, \rho_p)$ is a coherent sheaf
on $\mathfrak{X}_{\mathcal{K}} = \operatorname{Spec}(\mathbb{C}[\langle \alpha \rangle])$ s.t.	on $\mathfrak{X}_p = \operatorname{Spec}(\mathbb{Z}_p[[\langle \tau \rangle]])$ s.t.
$A_{\mathcal{K}}(t) \in \Gamma(\mathfrak{X}_{\mathcal{K}}, \mathfrak{A}_{\mathcal{K}})$	$I_p(t)\in \Gamma(\mathfrak{X}_p,\mathfrak{I}_p)$
?	deformation theory for higher dim.
	repr.'s of Π_p and the Selmer module

Deformations of knot group representations (Kitayama, M., Takakura, Tange, Terashima, Tran, Ueki)

- \bullet Deformation theory for $\mathrm{SL}_2\text{-representations}$ of a knot group.
- \bullet Study of Alexander invariants associated to the universal deformations of ${\rm SL}_2\text{-}{\rm representations}$ of knot groups.

holonomy repr's of $\Pi_{\mathcal{K}}$	ordinary <i>p</i> -adic modular
(K: hyperbolic knot)	repr's of Π_p
deformations of hyperbolic str's	deformations of <i>p</i> -adic ordinary
on $X_{\mathcal{K}}$	modular forms

Chern-Simons variations of MHS (M., Terashima)

Complex Chern-Simons invariants $CS(\rho)$ gives a variation of MHS on the deformation space $\rho \in \mathfrak{D}_K$ of hyperbolic structures.

3. Two Basic Questions

Two basic questions in order to develop the analogies further:

Q 1. Number Theory \Rightarrow 3-dim. Topology :

What set of knots in S^3 is a topological analog of the set of all primes 2, 3, 5, 7, ... ? (\bigstar Note that the set $\{2, 3, 5, 7, ...\}$ has marvelous nice structures such as the product formula, Hilbert reciprocity law, etc)

Can we develop an idèlic theory for 3-manifolds ?

Q 2. 3-dim Topology \Rightarrow Number Theory :

What are arithmetic analogs of quantum invariants ?

What are arithmetic analogs of Witten invariants (partition functions) in (2+1)-dim. TQFT ?

As for $\underline{Q 1}$, Niibo-Ueki and Mihara gave answers and established idèlic class field theory for 3-manifolds.

• H. Niibo and J. Ueki, Idèlic class field theory for 3-manifolds and very admissible link, Transactions AMS, **371**, 2019, 8467–8488.

• T. Mihara,

Cohomological approach to class field theory in arithmetic topology, Canadian J. Math., **71**, 2019, 891–935.

stably generic link







Very admissible link, Stably generic link (Niibo-Ueki, Mihara)

A link \mathcal{L} of countably many components in a 3-manifold M is called a very admissible link if for any finite branched cocer $h: N \to M$ branched over a finite link in \mathcal{L} , the components of $h^{-1}(\mathcal{L})$ generates $H_1(N)$. A link \mathcal{L} is called a stably generic link if for any finite branched cocer $h: N \to M$ branched over a finite link in \mathcal{L} and for a finite link L of $h^{-1}(\mathcal{L})$, the components of $h^{-1}(\mathcal{L}) \setminus L$ generates $H_1(N \setminus L)$.

So stably generic \Rightarrow very admissible.

Thm. (Niibo-Ueki, Mihara)

Stably generic link (hence very admissible link) exists.

Example of stably generic link (Ueki):

Thm. (Ueki)

The set {closed of orbits of ϕ^t } \cup { \mathcal{K} } is a stably generic link.

Local theory.

Local class field theory for $\partial V_{\mathcal{K}}$ (Niibo-Ueki)

Cohomological local class field theory for $\partial V_{\mathcal{K}}$ (Mihara)

Rem.

• This local class field theory is analogous to Tate's arithmetic local duality: $H^1(k_{\mathfrak{p}}, \mu_n) \simeq H^1(k_{\mathfrak{p}}, \mathbb{Z}/n\mathbb{Z})^*.$

• This local class field theory is essentially same as the isomorphism $H_1(\partial V_{\mathcal{K}}) \simeq H_1(\operatorname{Jac}(\partial V_{\mathcal{K}}))$, which is, taking $\operatorname{Hom}(-, \mathbb{C}^{\times})$, same as the abelian *S*-duality

flat \mathbb{C}^{\times} -connections on $\partial V_{\mathcal{K}} \Leftrightarrow$ flat \mathbb{C}^{\times} -connections on $\operatorname{Jac}(\partial V_{\mathcal{K}})$

Global theory.

 \mathcal{L} : stably generic link in an ori. conn. closed 3-manifold M. Idèle group for (M, \mathcal{L}) : $J_{\mathcal{L}} := \{(a_{\mathcal{K}}) \in \prod_{\mathcal{K} \in \mathcal{L}} H^1(\partial V_{\mathcal{K}}) \mid v_{\mathcal{K}}(a_{\mathcal{K}}) = 0 \text{ for almost all } \mathcal{K}\}$ Idèle class group for (M, \mathcal{L}) : $C_{\mathcal{L}} := \operatorname{Coker}(\Delta : H_2(X_{\mathcal{L}}, \partial V_{\mathcal{L}}) \simeq H^1(X_{\mathcal{L}}) \to J_{\mathcal{L}})$ Idèlic class field theory for a 3-manifold M (Niibo-Ueki, Mihara) $\prod_{\mathcal{K} \in \mathcal{L}} \rho_{\mathcal{K}} : J_{\mathcal{L}} \to \prod_{\mathcal{K} \in \mathcal{L}} \operatorname{Gal}(\partial V_{\mathcal{K}}^{\mathrm{ab}} / \partial V_{\mathcal{K}}) \to \operatorname{Gal}(X_{\mathcal{L}}^{\mathrm{ab}} / X_{\mathcal{L}})$ induces the isomorphism $C_{\ell} \xrightarrow{\sim} \operatorname{Gal}(X_{\ell}^{\mathrm{ab}}/X_{\ell})$

Rem. and Ques.

We showed Hilbert type reciprocity law for Deninger's 3-dimensional foliated dynamical system (J. Kim, M., Noda, Terashima). Can we develop enhanced idèlic class field theory for FDS ? Then, can we have an analytic interpretation for idèlic class field theory by introducing Artin and Hecke type *L*-functions for FDS ?

(Is there any relation with 3d/3d duality in physics ?)

5. Arithmetic Dijkgraaf-Witten TQFT

As for **Q 2**: Rough answer:

invariants in 3-dim. topology \longleftrightarrow zeta functions in number theory (and their special values)

Ex. Alexander polynomial = Lefschetz zeta function.Jones polynomial = partition function in Chern-Simons gauge theory.

partition functions in physics \longleftrightarrow zeta functions in number theory partition function = $\int_{\text{all fields}} e^{S(A)} \mathcal{D}A$, S(A) = action f'nal. Riemann's $\zeta(s) = \int_{-\infty}^{\infty} e^{\Lambda(x)} dx$, $\Lambda(x) = \log ||x||^{s} \phi(x) = \arctan f'$

 $\text{Riemann's } \zeta(s) = \int_{\text{all idèles}} e^{\Lambda(x)} dx \text{, } \Lambda(x) = \log ||x||^s \varphi(x) = \text{action f'nal}.$

Ex. U(1) CS partition f'n \leftrightarrow Gaussian sum $\sim L(\chi, 1)$.

Q 2:

3-dim. Topology		Number Theory
Quantum invariants	\longleftrightarrow	?
Witten invariants	\longleftrightarrow	?

Recall:

A framework to produce quantum invariants for knots, 3-manifolds is (2+1)-dim. Topological Quantum Field Theory (TQFT)

Ex. (Witten)

 $\mathsf{Jones \ polynomial} \Leftarrow \mathsf{Chern}\text{-}\mathsf{Simons \ }\mathsf{TQFT} \text{ with gauge group } \mathrm{SU}(2)$

 \star For <u>Q 2</u>, we want an arithmetic analog of Chern-Simons TQFT.

5. Arithmetic Dijkgraaf-Witten TQFT

Arithmetic Chern-Simons Theory (Minhyong Kim, 2006)

3-dim. TQFT	Number Theory
Chern-Simons theory	arithmetic Chern-Simons Theory
with compact gauge group	with finite or p -adic gauge gr.
Chern-Simons functional	arithmetic Chern-Simons functional
on the space of connections	on the space of Galois representations



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5. Arithmetic Dijkgraaf-Witten TQFT

Dijkgraaf-Witten TQFT

= Chern-Simons TQFT with finite gauge group

"path integral" is replaced by a finite sum





Question:

DW TQFT	Arithmetic DW. TQFT
CS f'nal	arithmetic CS f'nal (M. Kim)
TQFT structure	
$\Sigma \rightsquigarrow \mathcal{H}_{\Sigma}$	2
$M \rightsquigarrow \mathcal{Z}_M \in \mathcal{H}_{\partial M}$:
quantum Hilbert space \mathcal{H}_Σ	?
DW invariant \mathcal{Z}_M	?

Arithmetic Dijkgraaf-Witten TQFT (J. Kim-Hirano-M.)

DW TQFT	Arithmetic DW TQFT
CS f'nal	arithmetic CS f'nal (M. Kim)
TQFT structure	arithmetic TQFT structure
$\Sigma \rightsquigarrow \mathcal{H}_{\Sigma}$	$\Sigma_S \rightsquigarrow \mathcal{H}_S$
$M \rightsquigarrow \mathcal{Z}_M \in \mathcal{H}_{\partial M}$	$X_S \rightsquigarrow \mathcal{Z}_S \in \mathcal{H}_S$
quantum Hilbert space \mathcal{H}_Σ	arithmetic quantum Hilbert space \mathcal{H}_S
DW invariant \mathcal{Z}_M	arithmetic DW invariant \mathcal{Z}_S

$$\Sigma_S = \partial V_S = \operatorname{Spec}(k_{\mathfrak{p}_1}) \cup \dots \cup \operatorname{Spec}(k_{\mathfrak{p}_r}),$$
$$X_S = \operatorname{Spec}(\mathcal{O}_k) \setminus S, \ \partial X_S = \partial V_S$$

5. Arithmetic Dijkgraaf-Witten TQFT

Dijkgraaf-Witten TQFT (Review)

G: a finite group $c\in Z^3(G,\mathbb{R}/\mathbb{Z}): \text{ 3-cocycle}$

For an oriented compact manifold X with a fixed finite triangulation, \mathcal{F}_X : the space of gauge fields $\mathcal{G}_X = \operatorname{Map}(X, G)$: the gauge group $\mathcal{F}_X/\mathcal{G}_X = \operatorname{Hom}(\pi_1(X), G)/G$ (X: connected)

The following construction is due to K. Gomi.

Key ingredient - transgression hom.

$$\tau_X : C^3(G, \mathbb{R}/\mathbb{Z}) \longrightarrow C^{3-d}(\mathcal{G}_X, \operatorname{Map}(\mathcal{F}_X, \mathbb{R}/\mathbb{Z})) \quad (d = \dim(X))$$

$$\begin{cases} \lambda_\Sigma := \tau_X(c) \text{ for a surface } \Sigma \\ CS_M := \tau_X(c) \text{ for a 3-manifold } M \end{cases}$$

Classical theory:

oriented closed surface $\Sigma \longrightarrow \lambda_{\Sigma} \in Z^1(\mathcal{G}_{\Sigma}, \operatorname{Map}(\mathcal{F}_{\Sigma}, \mathbb{R}/\mathbb{Z})),$ oriented compact 3-manifold $M \longrightarrow CS_M \in C^0(\mathcal{G}_M, \operatorname{Map}(\mathcal{F}_M, \mathbb{R}/\mathbb{Z}))$

s.t.
$$dCS_M = \operatorname{res}^* \lambda_{\partial M}$$

res :
$$\mathcal{F}_M$$
 (resp. \mathcal{G}_M) $\rightarrow \mathcal{F}_{\partial M}$ (resp. $\mathcal{G}_{\partial M}$): restriction map
 $\lambda_{\Sigma} : \mathcal{G}_{\Sigma} \rightarrow \operatorname{Map}(\mathcal{F}_{\Sigma}, \mathbb{R}/\mathbb{Z})$: Chern-Simons 1-cocycle
 $CS_M : \mathcal{F}_M \rightarrow \mathbb{R}/\mathbb{Z}$: Chern-Simons functional

 $\begin{array}{rcl} \mathsf{CS} \mbox{ 1-cocycle } \lambda_{\Sigma} \rightsquigarrow & \mathcal{G}_{\Sigma}\mbox{-equiv. complex line bundle } L_{\Sigma} \mbox{ on } \mathcal{F}_{\Sigma} \\ & \text{ prequantum line bundle } \overline{L}_{\Sigma} \mbox{ on } \mathcal{F}_{X}/\mathcal{G}_{X}. \end{array}$

5. Arithmetic Dijkgraaf-Witten TQFT

Quantum theory:

oriented closed surface $\Sigma \longrightarrow$ quantum Hilbert space \mathcal{H}_{Σ} , oriented compact 3-manifold $M \longrightarrow$ partition function $\mathcal{Z}_M \in \mathcal{H}_{\partial M}$.

quantum Hilbert space:

$$\mathcal{H}_{\Sigma} := \Gamma(\mathcal{F}_{\Sigma}/\mathcal{G}_{\Sigma}, \overline{L}_{\Sigma})$$

Dijkgraaf-Witten invariant:

$$\mathcal{Z}_M(\rho) = \frac{1}{\#G} \sum_{\substack{\tilde{\rho} \in \mathcal{F}_M \\ \operatorname{res}(\tilde{\rho}) = \rho}} e^{2\pi\sqrt{-1}CS_M(\tilde{\rho})}$$

Ex.

$$M$$
 is closed and c is trivial $\Rightarrow \mathcal{Z}_M = \frac{1}{\#G} \# \operatorname{Hom}(\pi_1(M), G)$

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5. Arithmetic Dijkgraaf-Witten theory

k: a number field \mathcal{O}_k : the ring of alg. integers in k

For a prime ideal $\mathfrak{p} \ (\neq 0)$ of \mathcal{O}_k , $k_{\mathfrak{p}}$: the \mathfrak{p} -adic field $\mathcal{O}_{\mathfrak{p}}$: the ring of \mathfrak{p} -adic integers

$$\begin{split} &X_k := \operatorname{Spec}(\mathcal{O}_k) \\ &\overline{X}_k := X_k \cup \{\text{infinite prime}\} \\ &\text{For a finite set of prime ideals } S = \{\mathfrak{p}_1, \dots, \mathfrak{p}_r\}, \\ &\overline{X}_S := \overline{X}_k \setminus S \end{split}$$

$$\Pi_{\mathfrak{p}} := \pi_1(\operatorname{Spec}(k_{\mathfrak{p}})) = \operatorname{Gal}(\overline{k}_{\mathfrak{p}}/k_{\mathfrak{p}})$$

$$\Pi_S := \pi_1(\overline{X}_S) = \operatorname{Gal}(k_S/k)$$

(k_S: max. Galois ext. of k unramified outside S)

N: a fixed integer > 1 G: finite group, $c \in Z^3(G, \mathbb{Z}/N\mathbb{Z})$

Assume k contains a primitive N-th root of unity $\zeta_N = e^{\frac{2\pi\sqrt{-1}}{N}}$.

$$\begin{split} \mathcal{F}_S &:= \prod_{i=1}^r \operatorname{Hom}_{\operatorname{cont}}(\Pi_{\mathfrak{p}_i}, G) \curvearrowleft \mathcal{G}_S := G \quad \text{ diagonal conjugte action} \\ \mathcal{F}_{\overline{X}_S} &:= \operatorname{Hom}_{\operatorname{cont}}(\Pi_S, G) \curvearrowleft \mathcal{G}_{\overline{X}_S} := G \quad \text{ conjugate action} \end{split}$$

Key ingredients (due to M. Kim):

 $\begin{cases} \cdot 2nd \text{ Galois cohomology (Brauer group) of the local field } k_{\mathfrak{p}} \\ \cdot \text{ Conjugate action on group cocycle} \end{cases}$

5. Arithmetic Dijkgraaf-Witten TQFT

Arithmetic Dijkgraaf-Witten TQFT (J. Kim-Hirano-M.)

We can construct the following correspondences:

• arithmetic CS 1-cocycle:

 $\overline{\Sigma_S} = \operatorname{Spec}(k_{\mathfrak{p}_1}) \cup \cdots \cup \operatorname{Spec}(k_{\mathfrak{p}_r}) \rightsquigarrow \lambda_S \in Z^1(\mathcal{G}_S, \operatorname{Map}(\mathcal{F}_S, \mathbb{Z}/N\mathbb{Z}))$ • arithmetic CS functional:

- $\overline{\overline{X}}_{S} \rightsquigarrow CS_{\overline{X}_{S}} \in C^{0}(\mathcal{G}_{\overline{X}_{S}}, \operatorname{Map}(\mathcal{F}_{\overline{X}_{S}}, \mathbb{Z}/N\mathbb{Z}))$ s.t. $dCS_{M} = \operatorname{res}^{*} \lambda_{\partial M} (\operatorname{res} : \mathcal{F}_{\overline{X}_{S}} \to \mathcal{F}_{S}: \operatorname{restriction})$
- arithmetic prequantum line bundle L_S and arithmetic quantum space: $\Sigma_S = \text{Spec } k_{\mathfrak{p}_1} \sqcup \cdots \sqcup \text{ Spec } K_{\mathfrak{p}_r} \rightsquigarrow \mathcal{H}_S = \Gamma(\mathcal{F}_S/\mathcal{G}_S, \overline{L}_S)$ • arithmetic DW invariant:
 - $\overline{X}_S \rightsquigarrow \mathcal{Z}_{\overline{X}_S} \in \mathcal{H}_S$

$$\begin{split} \mathcal{H}_{S} &:= \{\psi: \mathcal{F}_{S} \to F \mid \psi(\rho_{S}.g_{S}) = \zeta_{N}^{\lambda_{S}(g,\rho_{S})}\psi(\rho_{S}) \text{ for } \rho_{S} \in \mathcal{F}_{S}, g \in G \} \\ &= \Gamma(\mathcal{F}_{S}/\mathcal{G}_{S}, \overline{L}_{S}). \\ \mathcal{Z}_{\overline{X}_{S}}(\rho_{S}) &:= \frac{1}{\#G} \sum_{\substack{\tilde{\rho} \in \mathcal{F}_{\overline{X}_{S}} \\ \operatorname{res}_{S}(\tilde{\rho}) = \rho_{S}}} \zeta_{N}^{CS_{\overline{X}_{S}}(\tilde{\rho})} \end{split}$$

Arithmetic Dijkgraaf-Witten TQFT (J. Kim-Hirano-M.)

DW TQFT	Arithmetic DW TQFT
ori. closed surface $\Sigma \rightsquigarrow \lambda_{\Sigma}$	$\Sigma_S = \operatorname{Spec}(k_{\mathfrak{p}_1}) \sqcup \cdots \sqcup \operatorname{Spec}(k_{\mathfrak{p}_r}) \rightsquigarrow \lambda_S$
ori. 3-manifold $M \rightsquigarrow CS_M$	$\overline{X}_S = \overline{X}_k \setminus S \rightsquigarrow CS_{\overline{X}_S}$
$dCS_M = \mathrm{res}^* \lambda_{\partial M}$	$dCS_{\overline{X}_S} = \mathrm{res}^*\lambda_S$
prequantum line bundle L_Σ	arith. prequantum line bundle L_S
$\Sigma \rightsquigarrow \mathcal{H}_{\Sigma}$	$\Sigma_S \rightsquigarrow \mathcal{H}_S$
$\mathcal{H}_{\Sigma} = \Gamma(\mathcal{F}_{\Sigma}/\mathcal{G}_{\Sigma},\overline{L}_{\Sigma})$	$\mathcal{H}_S = \Gamma(\mathcal{F}_S/\mathcal{G}_S,\overline{L}_S)$
$M \rightsquigarrow \mathcal{Z}_M \in \mathcal{H}_{\partial M}$	$\overline{X}_S \rightsquigarrow \mathcal{Z}_{\overline{X}/S} \in \mathcal{H}_S$
$\mathcal{Z}_M(\varrho) = \frac{1}{\#G} \sum_{\substack{\rho \in \mathcal{F}_M \\ \operatorname{res}(\rho) = \varrho}} e^{2\pi \sqrt{-1}CS_M(\rho)}$	$\mathcal{Z}_{\overline{X}_{S}}(\rho_{S}) := \frac{1}{\#G} \sum_{\substack{\rho \in \mathcal{F}_{\overline{X}_{S}} \\ \operatorname{res}_{S}(\rho) = \rho_{S}}} \zeta_{N}^{CS_{\overline{X}_{S}}(\rho)}$

5. Arithmetic Dijkgraaf-Witten TQFT

Gluing formula ("Decomposition formula" by Kim etc)

We can define the Chern-Simons functionals for $\overline{X}_k = \operatorname{Spec}(\mathcal{O}_k) \cup \{ \text{infinite primes} \} \text{ and } V_{\mathfrak{p}} = \operatorname{Spec}(\mathcal{O}_{\mathfrak{p}}) \text{ (Hirano, Lee-Park).}$

For $\rho: \pi_1(\overline{X}_k) \to G$, $\rho_S: \Pi_S \to \pi_1(\overline{X}_k) \to G$, $\tilde{\rho}_{\mathfrak{p}}: \pi_1(V_{\mathfrak{p}}) \to \pi_1(\overline{X}_k) \to G$ $\Rightarrow CS_{\overline{X}_k}(\rho), CS_{V_S}(\tilde{\rho}_S) := \sum_{i=1}^r CS_{V_{\mathfrak{p}}}(\tilde{\rho}_{\mathfrak{p}_i})$ are defined.

Gluing formula

$$CS_{\overline{X}_k}(\rho) = CS_{V_S}(\tilde{\rho}_S) - CS_{\overline{X}_S}(\rho_S)$$



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Questions for future study

• The arithmetic quantum space \mathcal{H}_S is a finite dim. vector F-space. Note that \mathcal{H}_S is an arithmetic analog of the space of comformal blocks. Can one have a dimension formula ? Is there a "canonical" basis of \mathcal{H}_S ? cf. Verlinde's formula.

• Take $G = GL_n(\mathbb{F}_p)$ so that a Galois repr. ρ would correspond to a automorphic form (for example, when ρ is odd and irreducible).

Is there any relation between the arithmetic Dijkgraaf-Witten invariant some invariant attached to the automorphic form ?

Observe that arithmetic DW invariants are kind (variant) of (non-abelian) Gaussian sums.

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