

# Instantons on $\mathbb{R}^3 \times S^1$ and Renormalons

Work w/ M. Ünsal, arXiv:1202... (to appear)

Outline:

1. Motivation: Perturbation series and Borel resummation
2. QCD on  $\mathbb{R}^4$ : Instantons and renormalons
3. QCD on  $\mathbb{R}^3 \times S^1$ : Quick summary of results

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4. QCD(adj) on  $\mathbb{R}^3 \times S^1$
5. Monopole-instantons on  $\mathbb{R}^3 \times S^1$
6. Multi-instanton "molecules"
7. Further directions

- Brings together some 'old' topics:
  - Borel resummation & 't Hooft's renormalons
  - Polyakov picture of confinement in 3d
  - Bogomolny & Zinn-Justin on neutral topological molecules ("bounces")
- And some newer developments
  - M. Ünsal on gauge theories on  $\mathbb{R}^3 \times S^1$
- Will try to review as necessary.

# I. MOTIVATION / BOREL RESUMMATION

-(When) is there a continuum def' of QFTS?

- $\Leftrightarrow$  Can we make sense of perturbation theory/semi-class. expansion? [E.g.  $N \geq 2 \cup \mathcal{Y}$ ]

- Problem: perturbation series in QFT,

$$F(g^2) = P_0 + P_1 g^2 + P_2 g^4 + \dots \stackrel{?}{=} P$$

generally have 0-radius of convergence

- Instantons (saddle-point contributions to the path integral for small  $g^4$ )  
give non-pert. corrections

$$F(g^4) = P + e^{-\frac{8\pi^2/g^2}{g^2}} (v_0 + v_1 g^2 + \dots) \} \stackrel{I}{=} I$$

$$\begin{bmatrix} I = \text{instanton} \\ B = \text{brane} = \text{inst-instanton} \end{bmatrix} + e^{-2 \cdot \left(\frac{8\pi^2}{g^2}\right)} (b_0 + b_1 g^2 + \dots) \} \stackrel{B}{=} B + \dots$$

but does not improve convergence.

## Borel resummation idea:

If  $P$  has convergent Borel transform

$$\beta P(t) := \frac{P_0}{0!} + \frac{P_1}{1!} t^1 + \frac{P_2}{2!} t^2 + \dots$$

in neighborhood of  $t=0$  (e.g., if  $|P_n| < c \cdot n!$  as  $n \rightarrow \infty$ ), then

$$P(g^2) := \frac{1}{g^2} \int_0^\infty \beta P(t) e^{-t/g^2} dt$$

formally gives back  $P(g^2)$ ... But

ambiguous if  $\beta P(t)$  has sing @  $t \in \mathbb{R}^+$   
 $\Leftrightarrow$  pick contour (or give  $g^2$  small than part).

## $t$ -plane ("Borel plane")

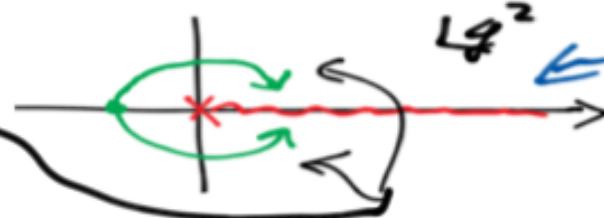
$t$  typically branch cut

REV: Weinberg II, 20.7  
't Hooft ?? Eric S. M.

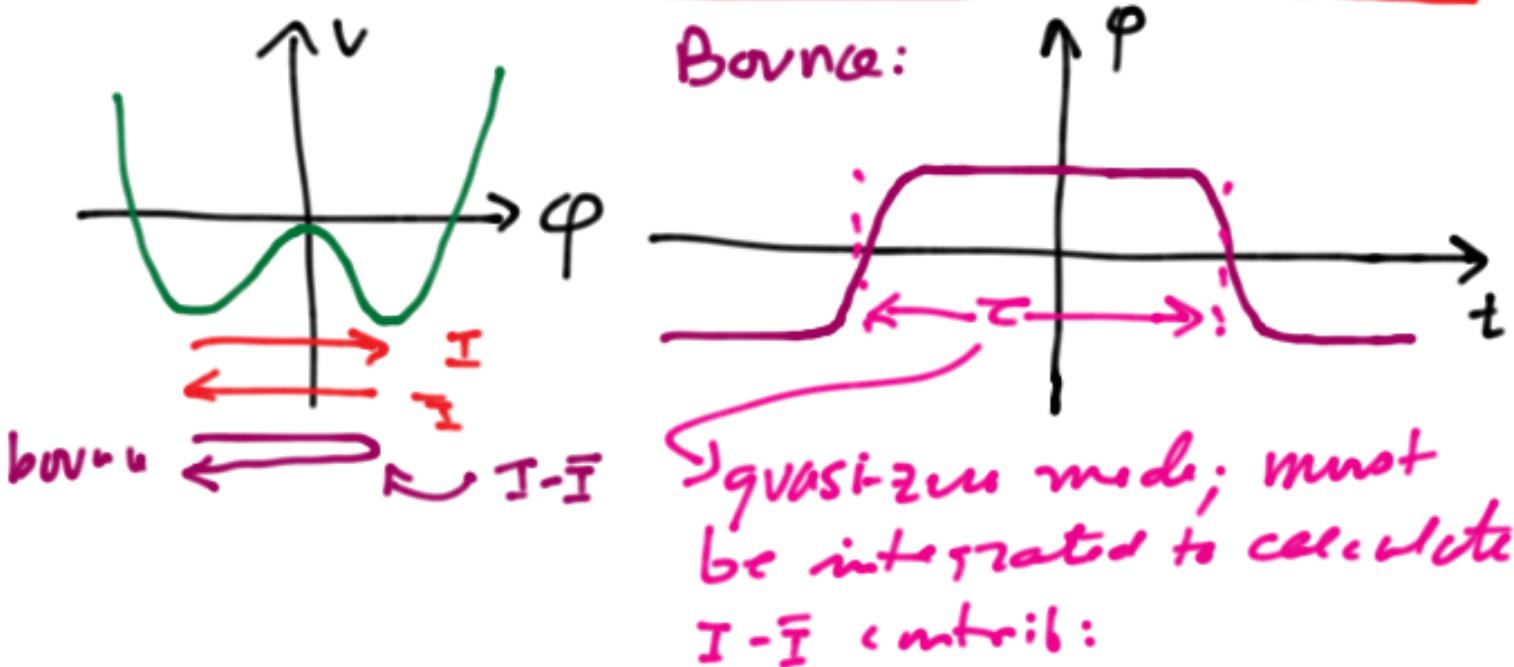
$$\Rightarrow P(g^2) = R P \pm i \ell P$$

$$w/ \quad \ell P(g^2) \simeq e^{-t_1/g^2} + \underbrace{\left( \text{sub-leading term} \right)}_{t_h > t_1, \dots}$$

analytic cont.  
is ambiguous.  
( $\ell P$  unphysical)

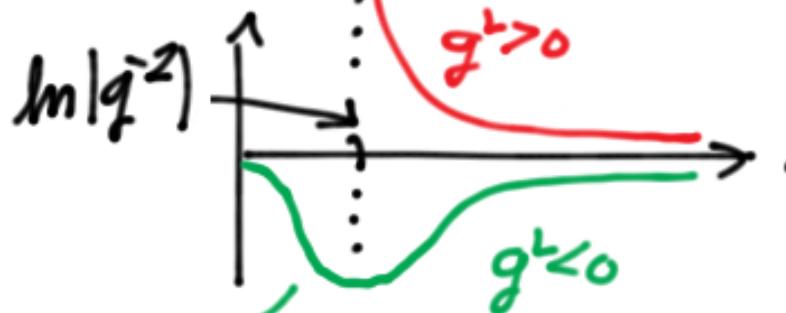


- Ambiguity in  $\text{FP}(g^2)$  has same form as inst. contrib  $\sim e^{-8\pi^2/g^2}$ .
- In late '70's Bogomolnyi, Zinn-Justin advocated showed in double-well QM ... that inst.-int. ("bounce") contrib  $\equiv \text{B}(g)$  to vacuum quantities, evaluated @  $g^2 < 0$ , & continued to  $g^2 > 0$  in same way as  $\text{FP}(g^2)$  gives unambiguous answers: the part cancels in  $\text{FP} + \text{B}$ :



$$\rho_{I\bar{I}} \sim \int_0^\infty dt [ \exp(g^2 e^{-t}) - 1 ]$$

I-I interaction  $e^{-V}$   
non-interacting  
D.F.G.



Bogomolny P.B. 91 (1980)  
 $\Rightarrow$  calc @  $g^2 < 0$  &  
 continue ...

$$\tilde{\alpha}(g^2) = c + \ln(-g^2) \Rightarrow \tilde{\alpha}(q^2) = c + \ln q^2 \pm i\pi$$

$$\Rightarrow B = \operatorname{Re} \pm i\pi e^{-2S_I}$$

From high orders in p.t. (Zinn-Justin text) <sup>NPB 192</sup>  $\Rightarrow$  ('81)

sing. in Borel plane @  $t = 2 \cdot 8\pi^2 \Rightarrow$

$$P(q^2) = \dots \mp i\pi e^{-2S_I} \Rightarrow P+B = \operatorname{Re}, \text{unambig.}$$

$\therefore$  Call "BZJ prescription".

Q: Can this work for QFTs?

e.g. QCD? Balitsky, Yung '86, '88.

A1: Not on  $\mathbb{R}^4$  ... ('t Hooft)

A2: Yes on  $\mathbb{R}^3 \times S^1$  (for some theory)

## 2. QCD on $\mathbb{R}^4$ : Instantons and renormalon

Why doesn't this work for QCD on  $\mathbb{R}^4$ ?

Inst-int contribs can be calculated in the

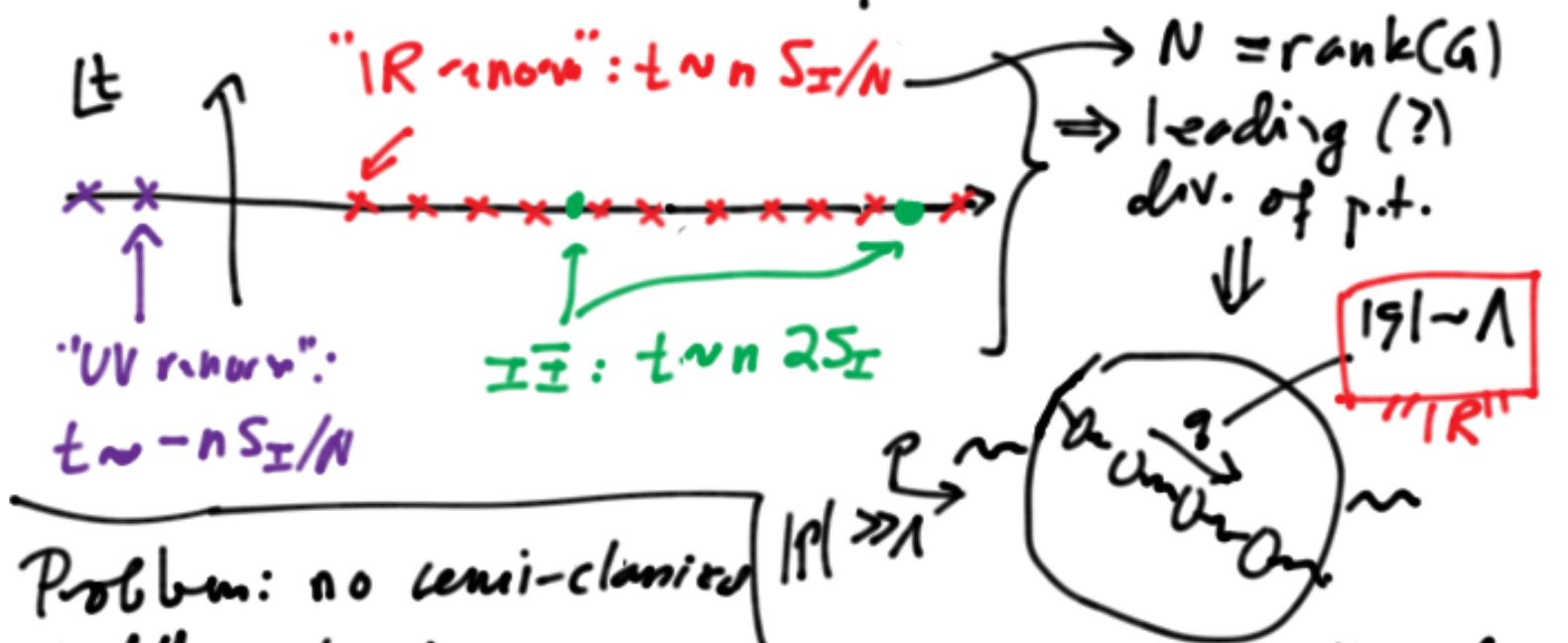
\* always same way, give  $|B - \pm i e^{-2S_I}|$  ambiguity.

$\exists$   $\text{BP}(t)$  has sing at  $t_n = 2nS_I g^2$

quark gluon quarks & gluons  $\Rightarrow P(g) \sim \pm i e^{-t_n/g^2} - \pm i e^{-2nS_I}$ ,  $\cancel{\text{cancd.}}$

But  $\text{BP}(t)$  has other sing closer to origin

$$\text{of } t\text{-plane } t_r = r \cdot \frac{16\pi^2}{\beta_0} \quad r=2, 3, \dots$$



Problem: no semi-classical saddle point gives const. contribution - no prescription to make sense of p.t. in QCD.

Real problem: "power-law corrections" in QCD p.t. Beneke PR99

\* Lipatov argument (from Weinberg v. II)

$$\text{Say } F(g^2) = \int D\phi e^{-S_E[\phi, g^2]} \quad (\text{eucl. PI})$$

$$\Rightarrow \omega / S_E = \frac{1}{g^2} \hat{S}[\phi].$$

$$F(g^2) = \sum_{n=0}^{\infty} p_n (g^2)^n \quad \omega /$$

$$p_n = \frac{1}{2\pi} \int D\phi \phi d\phi (g^2)^{n+1} e^{-\frac{1}{g^2} \hat{S}}$$

$$= \frac{1}{2\pi} \int D\phi \phi d\phi \exp \left\{ -\frac{1}{g^2} \hat{S}[\phi] - (n+1) \ln g^2 \right\}$$

↙ saddle pt.:  $(\phi = \phi_n, g = g_n)$

$$\underbrace{\frac{\delta \hat{S}}{\delta \phi}(\phi_n)}_0 = \frac{S}{g_n^2} \left( \frac{\hat{S}}{g_n^2} + (n+1) \ln g_n^2 \right) = -\frac{S}{g_n^4} + \frac{n+1}{g_n^2}$$

↳ ⇒ instanton sol'n w/  $S_E = \frac{1}{g_n^2} \hat{S}_I = -(n+1)$ .

$$\Rightarrow p_n \approx (g_n^2)^{-n-1} e^{n+1}$$

$$\approx (n+1)^{n+1} e^{n+1} (-\hat{S}_I)^{-n-1}$$

$$\approx n! (-\hat{S}_I)^{-n} \Rightarrow \text{pole} \propto \text{BP(A)} @ t = -\hat{S}_I$$

$$= -g^2 S_I$$

### 3. QCD on $\mathbb{R}^3 \times S^1$ : Summary of results

- Studied extensively by M. Ünsal & collab.s so most of what follows is a summary of his work ...
- $S^1$ : periodic BF. for fermions, (not thermal!)
- Small  $S^1$ , large class of theories, semi-clas. dynamics  $\Rightarrow G \rightarrow U(1)^n \Rightarrow$  3d compact  $U(1)$  w/ fermions.
- 3d  $U(1) \xrightleftharpoons{\text{EM dual}}$  periodic scalar or "doubtful"
- Polyakov (PLB 59 (1975)): no ferm.  $\Rightarrow$  monopole - instantons generate  $V(\sigma) \sim$  mass gap & confinement. ( $M \equiv$  monopole-inst.):  
 $M + \bar{M} \sim V_m(\sigma) \sim \cos(\sigma) e^{-S_m} \Rightarrow m_\sigma$ .
- $\mathbb{R}^3$  • Affleck, Harvey, Witten (NPB 206 (1982)): w/ ferm., mono-inst have ferm. 0-modes,  $\Rightarrow$  do not generate  $V(\sigma) \xrightarrow{\text{[}} V(\sigma) \cdot \psi^n f \text{]} \dots$

- Ünsd (0709.3269): w/ fermions,  $\exists m_i \bar{m}_j = B_{ij}$   
 $R^3 \times S^1$  bound states "bions" w/ net magn.  
 charge  $\Rightarrow B + \bar{B} \sim V_B(\sigma) \sim \cos \sigma \cdot e^{-2S_B}$   
 generates  $m_\sigma \rightarrow$  mass gap, confinement.
- Together w/ large- $N$  vol. 1. dependence ( $E$ ) uchi-kawai,  
 Kovton, Ünsd, Yaffe), get int. qualitative &  
 quantitative results; e.g. Poppitz-Ünsd  
 0905.0634 & 0906.5156; Ünsu-Yaffe 1006.2101

- (Will tell what  $B'$  is later.)
- We look at effect of  $M_i \bar{M}_i := B_0$  ( $i=j$ )  
 magnetically neutral bions & high top. mol.s
  - quasi-D-mode  $\zeta \Rightarrow$  needs to be continued take overgap
  - but analytic continuation not ambiguous.
  - $B$ -+ same w/  $B' \bar{B}' \rightarrow$  but now gives ambigu.  
 im part  $\pm i\pi e^{-4S_B} \propto S_B \approx S_I/N$ .
  - $\therefore B \text{-ZJ} \Rightarrow$  predict pole in  $t$ -plane @  

$$t_r = r \cdot 4S_I g^2 / N$$

- There are at similar position as 4-d IR renormalon poles:

$$t_r^{4-d} = r \cdot \frac{4 S_I g^2}{\beta_0} \underset{\substack{\uparrow \\ \approx}}{\simeq} r \cdot \frac{2 S_I}{N} g^2$$

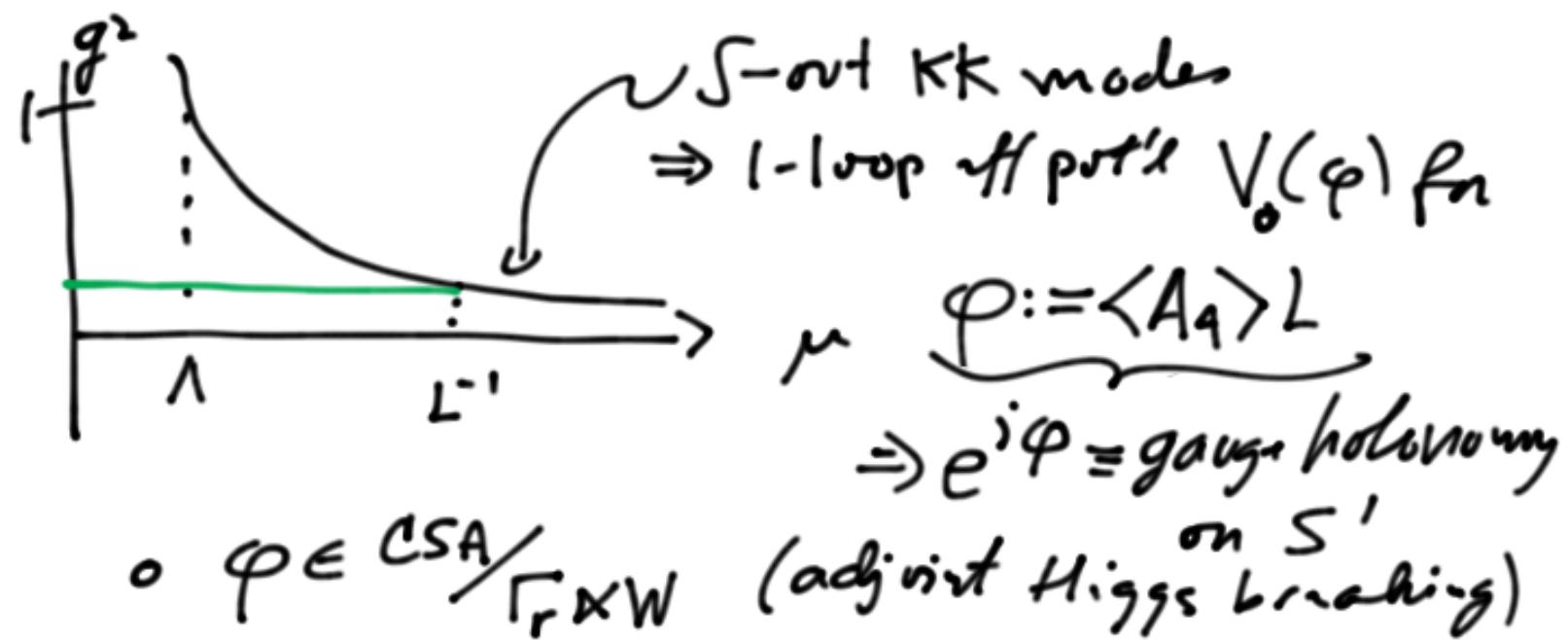
- Leads to following conjecture (questions):

- (1) 3d  $B\bar{B}$  pole is semiclassical realization of 4d IR renormalon pole.
- (1') Same set of bubble diagrams in 4d which give IR renormalon pole, give  $B\bar{B}$  pole in pert. theory around  $U(1)^N$  vac.
- (2) Abelianizing g.t.s or  $\mathbb{R}^3 \times S^1$  have no closer pole in  $t$ -plane.

If true, then these theories may be defined through their semi-classical expansions.

## 4. QCD(adj) on $R^3 \times S^1$

- Look at  $G$  w/  $n_f$  adjoint fermions } for  
(e.g.  $SU(2)$  w/  $n_f = 3'$ ). } simplicity &
- NB  $n_f = 1 \equiv N=1$  SYM on  $R^3 \times S^1$ . ↪
- Look at 3-d eff. act. @ scale  $\mu \sim L^{-1}$   
( $L = \text{size of } S^1$  with  $L^{-1} \gg \Lambda$ )



- $\frac{\text{CSA}}{\Gamma_r \times W} = \text{affine Weyl chamber:}$ 
  - $\langle \varphi \rangle \in \text{interior} \Rightarrow G \rightarrow U(1)^r$
  - $\langle \varphi \rangle \in \text{bdry } G \rightarrow SU(2) \times U(1)^{r-1} \dots$

◦ We compute  $V_0(\phi) \Rightarrow SU(n), Sp(n)$

pot'l min. in interior  $\rightarrow U(1)^n$

[Other cases: show stays on boundary & no less pert. theory. Semi-classical non-pert. effects don't move it either ... Leaves a question of the strong-cplg fate of QCD(adj) for  $G = \{SO(n), E_n, F_4, G_2\}$ .]

## 5. MONOPOLE-INSTANTONS on $\mathbb{R}^3 \times S^1$

◦ In  $U(1)^N$  vac. (" $\langle \phi \rangle \neq 0$ "),  $\exists$  microscopic (4-d) semiclassical BPS configs:

① Ad (BFST) instantons:  $S_I = 8\pi^2/g^2$

$\begin{matrix} \text{rank}(G) \\ \text{simple reprs.} \\ (i = 1 \dots N-1) \end{matrix}$

② 4d monopole:  $m = \frac{8\pi^2}{g^2} \langle A_i \rangle$ , charge  $\alpha_i^V$ .

◦ On  $S^1$ , length  $L$ , can wrap monopole world-line around  $S^1$ , giving 3d monopole-instantons w/ charges  $\frac{1}{2\pi} \oint_{S^1} F = \alpha_i^V$ , action  $S_i = Lm = \frac{8\pi^2}{g^2} (\alpha_i^V, \varphi)$ .

- K Lee, P.Y; (9702107): ∃ another independent BPS monopole-instanton, 'twisting' monopole by large gauge-transf around the end.  
 Twisted monopole-instanton has  
 charge  $\alpha_0^V$  = lowest co-root,  $S_0 = \frac{8\pi^2}{g^2} [1 - (\alpha_0^V, \varphi)]$ .  
 [See Davis, Hollowood, Khoze (0006011) for review w/  $N=1$  SUSY.]
- At pert. vacua,  $\langle \varphi \rangle$ , find  $[k_i \cdot (k_i^V, \varphi)] \sim \frac{1}{h^V} \sim \frac{1}{N}$   
 $\Rightarrow \forall$  BPS monopole-inst have action  
 $S_m \sim S_I / N$ .  
 2 Ad inst. is unique mag'n - neutral sum of  $h^V$  monopole-instantons.
- Thus, semi-classical expansion is organized in terms of multi-monopole-inst configs.  
 Calculate in (euclidian) dilute monopole plasma ...

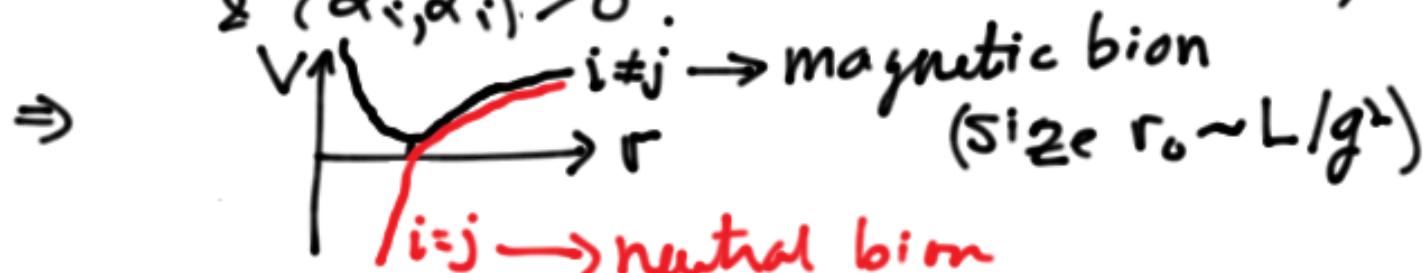
## 6. Multi-instanton "molecules"

- Note: differs from "dilute instanton gas" b/c of  $\frac{1}{r}$  force.
- Also,  $M_i$  has  $2n_f$  fermion O-modes. So  $M$ -plasma also has long range  $\sim \ln r$  attraction from fermion exchange.
- Net. effective pot'l between  $M_i - \bar{M}_j$

$$V_{ij} \sim + \underbrace{\frac{(\alpha_i^V, \alpha_j^V)}{g^2}}_{\text{(mag)} \text{ Coul. pot'l}} \frac{L}{r} + \underbrace{2n_f \ln r}_{\text{Fermion exchange}}$$

- $(\alpha_i, \alpha_j) \propto$  Cartan matrix:  $(\alpha_i, \alpha_j) < 0 \begin{cases} i \neq j \\ (-j) \text{ linked} \end{cases}$

$\Rightarrow 2(\alpha_i, \alpha_i) > 0$ .



- Or u't quasi-O-mode:  $\int_{r_0}^{\infty} r^2 dr e^{-V_{ij}(r)}$   
 same as Bogomolny's  $GM$  integrand w/  $r \rightarrow e^t$ .

- The quasi-0-mode S using BZJ prescription:

$$\int d^3r e^{-V(r)} \sim \begin{cases} (g^2)^{2n_f - 3} & \text{magn. bion} \\ (-g^2)^{2n_f - 3} & \text{neutral bion} \end{cases}$$

Since  $n_f \in \mathbb{Z}$ , no branch pt at  $g^2 = 0$  in neutral bion contrib.

- In QM examples, find similar behavior:  
if constituents have fermionic 0-modes,  
then no branch pt in analytic continuation  
of neutral amplitude.
- A check on the BZJ prescription: for  $n_f = 1$  it  
reproduces the  $N=1$  SYM result ...

- Repeat analysis for  $B_{ij} - \bar{B}_{ij}$  molecule  
 $(\text{mag'n-bion} - \text{anti-mag-bion})$  (<sup>action</sup> $\sim 4S_0$ )
  - Now no ferm. O-modes  $\Rightarrow$  only Coulomb attraction  $V(r) \sim \frac{-1}{q^2} \frac{L}{r^2} \Rightarrow$
  - Quasi-O-mode  $\int:$
- $$I(q^2) = \bar{e}^{-4S_0} \int r e^{-V} = \bar{e}^{-4S_0} \int_0^\infty dr \cdot r^2 e^{+\frac{1}{q^2 r}} \left. \begin{array}{l} \text{div } V \\ r \rightarrow \infty \\ r \rightarrow 0 \end{array} \right\}$$
- $\circ r \rightarrow \infty$  div: overcounting of dilute-B-gas config ... subtract off  $r \rightarrow \infty$  divergence,  
 $\circ r \rightarrow 0$  div: use BZJ prescription: calc.  
 @  $q^2 < 0$ :  
 $\Rightarrow I(q^2) \sim + \left( -\frac{1}{q^2} \right)^3 \ln(-q^2) \cdot \bar{e}^{-4S_0}$
- $\circ$  & analytically come back to  $q^2 > 0 \Rightarrow$   
 $I(q^2) = - \left( \frac{1}{q^2} \right)^3 [\ln(q^2) \pm i\pi] \bar{e}^{-4S_0}$   $\left. \begin{array}{l} \text{ambig.} \\ \text{slm point} \end{array} \right\}$

- Then BZJ (Lipatov, 't Hooft) say:  
must  $\exists$  pole @  $t_r = 4S_0 g^2$  ( $\cdot r \in \mathbb{Z}$ )  
 $S_0 \sim S_I/N \Rightarrow t_r = \frac{4 \cdot 8\pi^2 \cdot r}{N}$  }  $\leftarrow$   $SU(N)$   
but we can calc. precisely for general  
 $\langle \varphi \rangle \in$  affine Weyl cell.

- This is then (1) a prediction of high-order behavior of p.t.
- Similarity:  $t_r^{R^4} \sim \frac{2 \cdot 8\pi^2 \cdot r}{N}$  &  $t_r^{R^3 \times S^1} \sim \frac{4 \cdot 8\pi^2 \cdot r}{N}$   
leads to conjectures described before:  
 $R^3 \times S^1$  "renormalon" =  $R^3 \times S^1$  (m-bion) - ( $\overline{m}$ -bion)

## 7. FURTHER DIRECTIONS

- High orders p.t. in Weyl chamber QCD(adj) on  $R^3 \times S^1$
- Fate of SO-E-F-G QCD(adj)
- Other fermion reps... (quarks, chiral)
- $R^3 \times T^2$ ,  $R \times T^3$ ,  $T^4$
- Anal. cont. of PI (Witten)