

# *Low energy lessons for High energy physics*

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September 13, 2008

# Motivation

## Issues in Quantum Gravity

- Information loss paradox
- Black hole microstates
- Allowed semi-classical geometries
- Cosmic censorship

## Main theme

- Propose to discuss some of these issues using concepts from a low energy (condensed matter) perspective.
- Focus on simple models which can teach us some lessons about Quantum Gravity.
- Exploit the broad framework of the gauge-gravity correspondence.

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# Motivation

## *The information loss problem*

- Can one establish concretely how the semi-classical computation of Hawking evaporation fails?
- Explicit model for unitary evolution, say from a dual perspective.

## *Black hole & microstates*

- Are there good diagnostics for geometric characteristics of black holes, viz., singularities, horizons, etc?
- Can one tell apart black holes from microstates (say fuzzball geometries)?

# Motivation

## *Restrictions on semi-classical geometries?*

- Are there any restrictions on semi-classical geometries that are allowed in a full quantum theory of gravity?
- Can one postulate precise conditions on causal structures that are acceptable?

## *Cosmic censorship & Singularities*

- Does the gauge-gravity correspondence provide a framework to prove cosmic censorship?
- Can one understand physics in the vicinity of spacelike singularities?
- Singularity resolution?

- 1 *Introduction*
- 2 *Quantum Quench and Information Loss*
  - Quantum Quench
  - Asymptotics of correlators
  - Lessons for Black Holes
- 3 *Black hole, microstates and all that*
  - Microstates and Macrostates
  - On ensembles & variances
  - Black holes versus microstates: Toy models
- 4 *Causal structures and holography*
  - Non-relativistic CFTs
- 5 *Open issues*

# Quantum Quench: The problem

## Sudden quenches

- Consider a quantum system with Hamiltonian  $H(\lambda)$  where  $\lambda$  is a control parameter.
- Lets take the Hamiltonian to be

$$H = \begin{cases} H(\lambda_0) & = H_0, & \text{for } t < 0 \\ H(\lambda_1) & = H_1, & \text{for } t \geq 0 \end{cases}$$

which we achieve by suddenly tuning  $\lambda$  – this the the **quench**.

- Start off with the system in the ground state  $|\psi_0\rangle$  of  $H_0$ .
- $|\psi_0\rangle$  is not the ground state of  $H_1$ : rather it is an excited state.
- Physically relevant in studies of cold atoms, optical traps, etc..

# Quantum Quench: Observables

## Sudden quenches

- The main question concerns the evolution of observables: correlation functions of local observables in the state  $\psi_0$  evolved now with  $H_1$

$$\langle \mathcal{O} \rangle_0 = \langle \psi_0 | e^{-iH_1 t} \mathcal{O}(\{x_i\}) e^{iH_1 t} | \psi_0 \rangle$$

- In particular, we are interested in the asymptotic behaviour of the correlation functions at late times.
- Conventional intuition would tell us that:
  - ★ If  $|\psi_0\rangle$  is a generic excited state, we should expect the system thermalize.
  - ★ In particular, the correlator should show signs of exponential decay at late times.
- Similarity with the issue of black hole formation: evolution of an highly excited, but pure state.



# Boundary CFT and the quench problem

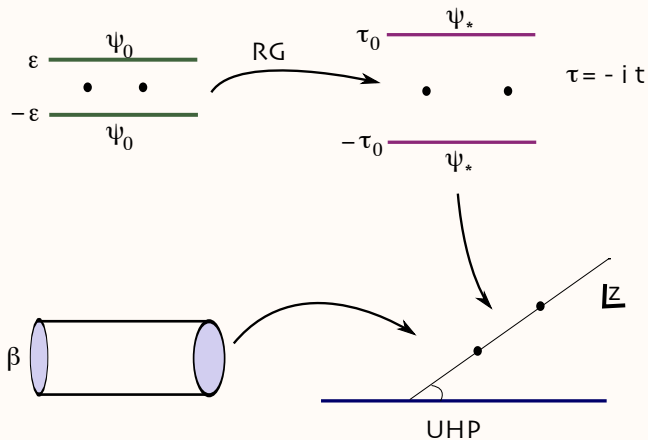
## Quench & CFT

- To extract the asymptotic late-time behaviour of the correlator, one can use CFT techniques if  $H_1$  corresponds to a critical point.

Calabrese & Cardy

- Basic idea: compute the correlation functions in Euclidean time with an appropriate  $i\epsilon$  prescription with  $|\psi_0\rangle$  providing the boundary conditions.
- To compute asymptotics, one can replace the state  $|\psi_0\rangle$  by an effective state  $|\psi_*\rangle$  which is RG invariant.
- Encode the distinction between  $|\psi_0\rangle$  and  $|\psi_*\rangle$  by a single parameter, the **extrapolation length**,  $\tau_0$ .
- Claim: Correlation functions can be computed by looking at CFT correlators on a strip of width  $2\tau_0$ .

# Boundary CFT and the quench problem



## Boundary CFT and the quench problem

### Asymptotics from CFTs

- One-point functions of generic operators relax to their ground state values exponentially.

$$\langle \mathcal{O}(t) \rangle_0 \propto e^{-\frac{\pi \Delta_{\mathcal{O}}}{2\tau_0} t}$$

- Relaxation time is set by the conformal dimension  $\Delta_{\mathcal{O}}$ .
- The connected two point function also damps out exponentially:

$$\langle \mathcal{O}(r, t) \mathcal{O}(0, t) \rangle_0^c \propto \begin{cases} 0 & \text{for } t < x/2 \\ e^{-c_1 x - c_2 t} & \text{for } t > x/2 \end{cases} .$$

- Correlation functions share characteristics of thermal correlators, with effective temperature

$$T_{\text{eff}} = \frac{1}{4\tau_0}$$

# Quench and information loss

## Lessons for the information loss paradox

- The quench problem confirms our basic intuition: systems started off in a highly excited state tend to thermalize.
- Of course, thermalization is the effect of coarse-graining.
- Following the system with arbitrary precision will allow us to distinguish the precise state we are in.
- Focussing on the thermodynamic limit one sees non-unitary evolution.

Festuccia & Liu; Izuka, Polchinski + Okuda

# Quench and information loss

## The Generalized Gibbs Ensemble

Conjecture: The asymptotic behaviour of the correlation function is given in terms of a stationary state governed by a Gibbs ensemble

$$\rho = \frac{1}{Z} e^{-\alpha_m Q_m}$$

where  $Q_m$  is the maximal set of commuting, linearly independent integrals of motion.

Rigol, Djunko, Yurovsky, Olshanii

- The effective temperature is determined by  $\alpha_m$ , which are fixed by the initial state condition.
- Does this imply that black holes are best thought of a mixed states governing thermal equilibrium?

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# On microstates and geometries

## Microstate perspective

- Black hole microstates correspond to smooth, horizon free ‘geometries’.  
Mathur, Lunin, Bena, Warner, . . .
- The microstate geometries differ from the black hole spacetime inside the horizon, being comprised of some spacetime foam.

Can correlation functions  $\langle \mathcal{O}(x) \mathcal{O}(y) \rangle_*$  distinguish

- microstates from each other?
- individual microstates from thermal state?

## On microstates and geometries

For a system with large entropy  $S$ :

- Classical degeneracy expected to be broken by quantum effects with

$$\Delta E \sim e^{-S}$$

Balasubramanian, Marolf, Rozali

- Expect  $e^{-S}$  to govern characteristic scales of deviations between microstates  $\implies$  resolving power of  $e^{-S}$ .
- The relevant time scale from analysis of correlation functions in the canonical and micro-canonical ensembles is the Poincaré time

$$t_{\text{dist}} \sim e^S$$



# On microstates and geometries

## Macrostate perspective

- Black holes are characterized by non-trivial causal structure.
- Microstate geometries do not have complicated causal structure; the spacetime foam can however act coherently.
- Two spacetime boundaries for eternal black holes versus one boundary for microstates.

## On microstates and geometries

- AdS/CFT correspondence can be interpreted as an isomorphism between  $\mathcal{H}_{\text{bulk}}$  and  $\mathcal{H}_{\text{CFT}}$ , for pure states and for density matrices.

$$|\text{pure}\rangle_{\text{bulk}} \leftrightarrow |\text{pure}\rangle_{\text{CFT}}$$

$$\rho_{\text{bulk}} \leftrightarrow \rho_{\text{CFT}}$$

- ★ Easy to construct geometries dual to density matrices in the field theory, like black hole or Wheeler bags of gold.

Frievogel, Hubeny, Maloney, Myers, MR, Shenker

- Having a dual semi-classical geometry is neither necessary nor guaranteed for most states in the CFT.

## On microstates and geometries

- Eternal black holes in AdS correspond to the **thermal density matrix**.
- Should be able to tell apart the black hole from a microstate.
- Use the double boundary picture seriously – analytic continuation.

Balasubramanian, Czech, Hubeny, Larjo, MR, Simón

- We however have to first deal with influence of statistics on our notions of distinguishability of microstates: the bane of ensemble equivalence.

## Basis states in the microcanonical ensemble

Consider the microcanonical ensemble at energy  $E$ , with energy resolution  $\mathcal{O}(\Delta E)$ . We can choose to parameterize the states by

- Energy eigenstates:

$$\mathcal{M}_{\text{bas}} = \{ |s\rangle : H|s\rangle = e_s|s\rangle \quad ; \quad E \leq e_s \leq E + \Delta E \}$$

- Normalized superpositions of energy eigenstates:

$$\mathcal{M}_{\text{sup}} = \left\{ |\psi\rangle = \sum_s c_s^\psi |s\rangle \right\}, \quad \sum_s |c_s^\psi|^2 = 1$$

Note that

$$\dim(\mathcal{M}_{\text{sup}}) = \dim(\mathcal{M}_{\text{bas}}) - 1 = e^S$$

## Variances in the microcanonical ensemble

Consider some local operator  $\mathcal{O}$  whose correlator we want to measure in the ensemble of microstates.

### Entropic suppression

The variance in the ensemble of superpositions is diminished by a factor of  $e^S$  in comparison to the variance in the ensemble comprising of energy eigenstates:

$$\text{Var}(\mathcal{O})_{\mathcal{M}_{\text{sup}}} = \frac{1}{e^S + 1} \text{Var}(\mathcal{O})_{\mathcal{M}_{\text{bas}}}$$

- $\mathcal{M}_{\text{sup}}$  gives us the worst case scenario for distinguishing microstates.
- We need to defeat the exponential suppression in order to be able to distinguish the microstates (apart from the usual statistical suppression).

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## Canonical versus microcanonical ensemble

- Calculation in the microcanonical ensemble in general is non-trivial.
- Compare the canonical expectations to get an estimate of how the variance behaves.
- This will certainly give us information about how the canonical ensemble differs from the microcanonical: distinguish pure states from mixed!

### Two toy models

- Free chiral boson with  $E \gg 1$  for statistics.
- D1-D5 system and the  $M = 0$  BTZ black hole.

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## Results from toy models

- In the free chiral boson case, we can show that variances get large for

$$\tau_{\text{bas}} \sim \frac{\beta}{2}$$

$$\tau_{\text{sup}} \sim \frac{3\beta}{2}$$

- In the more interesting D1-D5 system which captures the physics of fractionation, variances get large for imaginary time

$$\tau_{\text{bas}} \sim S$$

$$\tau_{\text{sup}} \sim S^2$$

- In either case the time scales are much shorter than  $e^S$ .

## Summary thus far

- Black hole geometries are best thought of as mixed states.  
Suggested by the
  - ★ Thermalization picture resulting from quantum quenches.
  - ★ Hydrodynamic description of interacting QFTs.
- It is possible to distinguish black holes from microstates, provided we wander off into the complex plane.
- Non-trivial causal structure is key to these complex excursions.

# Causal structures

## *Restrictions on causal structure*

- One important issue in the context of holography as realized by the gauge-gravity correspondence is the nature of allowed causal structures in the bulk spacetime.
- Does it suffice for semi-classical spacetimes, to be causal i.e. devoid of closed causal curves to allow for a dual description? Or does one need a more stringent criterion?

# Hierarchy of causality conditions

## The causality hierarchy

$\exists$  a hierarchy of causality conditions which are inclusive:

$$\begin{array}{l} \text{Causal} \quad \Leftarrow \quad \text{Distinguishing} \quad \Leftarrow \quad \text{Strong causality} \\ \quad \quad \quad \Leftarrow \quad \text{Stable causality} \quad \Leftarrow \quad \text{Global hyperbolicity} \end{array}$$

► Causality conditions

## Question

What is the minimum causality constraint a spacetime must satisfy in order for it to admit a dual holographic description?

Naive answer: Stable causality.

## Holographic duals for “cold atoms”

- Recently, spacetimes with Schrödinger group isometry have been discussed as potential playgrounds to understand physics of cold atoms.

Son; Balasubramanian, McGreevy

- The dual spacetimes are of the form

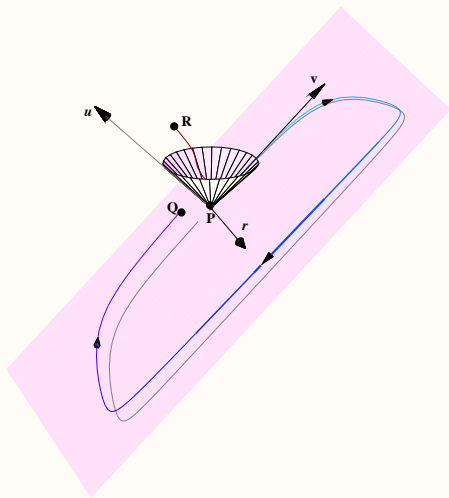
$$ds^2 = r^2 \left( -2 dudv - r^{2\nu} du^2 + dx^2 \right) + \frac{dr^2}{r^2}$$

- $\nu = 1$  is the conformal case and  $\nu = 2$  is realized for light-like non-commutative SYM.
- These spacetimes can be realized as near-horizon limits of D3-branes probing a Null Melvin geometry.

Herzog, MR, Ross; Maldacena, Martelli, Tachikawa; Adams, Balasubramanian, McGreevy

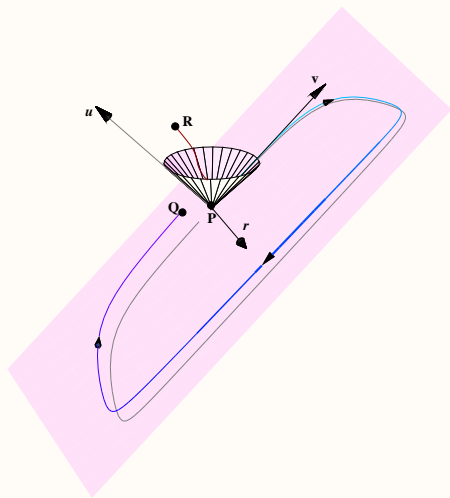
- These spacetimes are non-distinguishing for  $\nu \neq 0$ .

# Why is the spacetime non-distinguishing?



- The causal future of  $p = (u_0, v_0, r_0, \vec{x}_0)$  is the set of points with  $u > u_0$ .
- So every point on a plane of constant  $u$  shares the same causal future.

# Why is the spacetime non-distinguishing?



- Effectively, the spacetime has **almost** closed timelike curves.
- Nevertheless, we know what the field theory dual is and it is sensible!

Hubeny, MR, Ross

# *Moral from cold atoms*

## *Non-relativistic symmetry and non-distinguishability*

- The fact that the dual theory has non-relativistic invariance, necessitates that the bulk spacetime be non-distinguishing.
- Otherwise it would not be possible for a sensible bulk spacetime with local Lorentz invariance to be dual to a theory with Galilean symmetry.



## Moral from cold atoms

### Non-relativistic symmetry and non-distinguishability

- Note that the field theory (for  $\nu = 1$ ) is  $\mathcal{N} = 4$  SYM deformed by a (heterotic) star product

$$f \star g = e^{i(\mathcal{V}^f R^g - \mathcal{V}^g R^f)} f g$$

where  $\mathcal{V}$  is the v-momentum of the field and  $R$  refers to a global  $U(1)_R$  charge.

- These theories provide a playground to explore interesting physics in strongly coupled non-relativistic CFTs, e.g. thermodynamics, transport coefficients, etc..
- At the same time they also provide important lesson for Quantum Gravity, viz., non-distinguishability is ‘acceptable’.

## Summary

- Condensed matter systems provide a vast theoretical laboratory to study issues relevant for quantum gravity via gauge/gravity correspondence and its generalizations.
- Understanding the details of quantum quench phenomenon in greater generality should shed light on information loss.
- Analytic properties of correlators are a useful diagnostic to distinguish black holes (eternal) from the microstates.
- The increase in number of “AdS/CFT” examples provides us with new arenas to explore questions relevant for quantum gravity, such as nature of causal structure.

## Open questions

- A precise formulation for the quench problem in  $\mathcal{N} = 4$ : In particular, understand the non-equilibrium physics of evolution from a generic high energy state.
- How does physics of relaxation feed into the issue of scrambling?
- Clean understanding of the spectrum at  $E \sim \mathcal{O}(N^2)$ : relevant for quench and also distinguishability of states.
- Manifestation/diagnostics of bulk causal structure in the field theory?

## I. The free field results

- For a free chiral boson one can show that

$$\frac{\sqrt{\text{var}(\mathcal{O}(\tau))_{\mathcal{M}_{\text{bas}}}}}{\langle \mathcal{O}(\tau) \rangle_{\mathcal{M}_{\text{bas}}}} \gg 1$$

for Euclidean time scale  $\tau$ :

$$\tau \sim \frac{\beta}{2}$$

- The entropic suppression in  $\mathcal{M}_{\text{sup}}$  makes its presence felt by increasing the relevant time scale:

$$\tau \sim \frac{3\beta}{2}$$

- The calculation is easy in the canonical ensemble as two-point functions are linear in the occupation numbers  $\{N_n\}$ .

# I. The free field results

## Moral from free field

- Simple probes (like  $\text{Tr}(X^{(i)}X^{(j)})$ ) are able to distinguish microstates from thermal state at

$$\tau_{\text{dist}} \sim \beta \propto \frac{1}{S}$$

- Contrast this with usual Poincaré recurrence time  $t_P \sim e^S$ .

## Some caveats

- The free field theory doesn't describe a black hole!
- Single chiral boson is incapable of encoding fractionation that is crucial to the picture of the microstate geometries.

◀ Back to toy models

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## II. The D1-D5 system

- For the D1-D5 system at the orbifold point, we can calculate

$$\langle \{N_{n\mu}, N'_{n\mu}\} | \mathcal{A}^\dagger(t, \phi) \mathcal{A}(0, 0) | \{N_{n\mu}, N'_{n\mu}\} \rangle$$

Balasubramanian, Kraus, Shigemori

- Like the free field case the correlation function is a linear function of the occupation numbers  $\{N_{n\mu}, N'_{n\mu}\}$ .
- Variances easy to estimate in the canonical ensemble from standard statistical distributions.

## II. The D1-D5 system

### *Moral from fractionated free field*

- Variances in the correlation function get large for

$$\tau \sim \log S$$

- Simple probes (like  $\text{Tr}(X^{(i)}X^{(j)})$ ) are able to distinguish microstates in  $\mathcal{M}_{\text{bas}}$  from thermal state at

$$\tau \sim S$$

- Folding in the exponential suppression factor for  $\mathcal{M}_{\text{sup}}$  we find that the relevant timescale is

$$\tau_{\text{sup}} \sim S^2$$

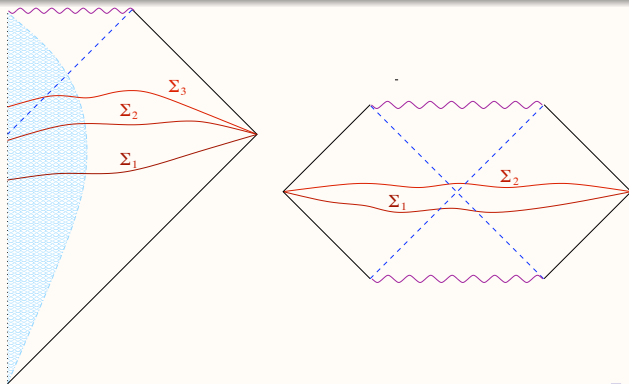


## Causality conditions I: Top-Down

- 1 *Global hyperbolicity*: A spacetime is said to be globally hyperbolic if it admits a Cauchy surface.

### Examples of globally hyperbolic spacetimes

Minkowski space, Schwarzschild black hole.

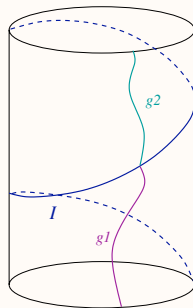
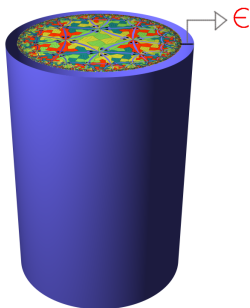


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### Non globally hyperbolic spacetimes

AdS, plane wave geometries.



## Causality conditions I: Top-Down

- ① *Global hyperbolicity*: A spacetime is said to be globally hyperbolic if it admits a Cauchy surface.
- ② *Stable causality*: A stably causal spacetime is one that admits a **time-function**, i.e.,

$$\exists \text{ smooth } t : \mathcal{M} \rightarrow \mathbb{R}, \text{ with } \|\nabla_a t\|^2 < 0 \text{ everywhere}$$

### Examples

Minkowski space, AdS, plane wave spacetimes.

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$$\exists \text{ smooth } t : \mathcal{M} \rightarrow \mathbb{R}, \text{ with } \|\nabla_a t\|^2 < 0 \text{ everywhere}$$

- ③ *Strong causality*: For point  $p \in \mathcal{M}$ , causal curves passing close to  $p$  do not come arbitrarily close to being CCCs.

◀ Causal hierarchy

## Causality conditions II: Bottom-Up

- 1 *Causal*: A causal spacetime is one which is devoid of closed causal curves.

### Examples

Minkowski space, AdS, plane wave spacetimes.

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### *Not Examples*

Gödel, Minkowski space with periodic time identification.

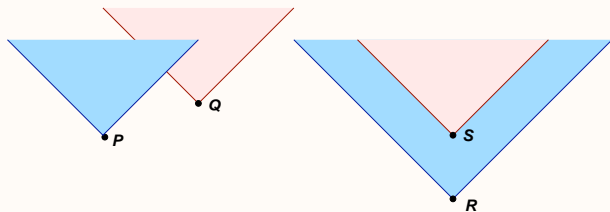
## Causality conditions II: Bottom-Up

- 1 *Causal*: A causal spacetime is one which is devoid of closed causal curves.
- 2 *Distinguishing*: A spacetime is said to be distinguishing if we can distinguish points on the manifold  $\mathcal{M}$  based on their causal sets.  
For  $p, q \in \mathcal{M}$ ,

$$\mathcal{J}^\pm(p) = \mathcal{J}^\pm(q) \Rightarrow p = q$$

### Examples of distinguishing spacetimes

Minkowski space, AdS, plane wave spacetimes.



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### Examples of non-distinguishing spacetimes

A large class of pp-wave spacetimes are **non-distinguishing**.

◀ Causal hierarchy