THE MODULAR GROUP FOR THE TOTAL ANCESTOR POTENTIAL OF A SIMPLE ELLIPTIC SINGULARITY.

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ABSTRACT. In a series of papers Krawitz, Milanov, Ruan, and Shen have verified the so called Landau-Ginzburg/Calabi-Yau (LG/CY) correspondence for simple elliptic singularities $E_N^{(1,1)}$ (N = 6, 7, 8). As a byproduct it was also proved that the orbifold Gromov–Witten invariants of the orbifold projective lines $\mathbb{P}^1_{3,3,3}$, $\mathbb{P}^1_{2,4,4}$, and $\mathbb{P}^1_{2,3,6}$ are quasi-modular forms on an appropriate modular group. While the modular group for the first orbifold is easy to find, namely it is $\Gamma(3)$, the modular groups for the other two were left unknown. The goal of this paper is to prove that the modular groups in the remaining two cases are respectively $\Gamma(4)$ and $\Gamma(6)$.

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1. INTRODUCTION

Let $W(x) = x_1^{a_1} + x_2^{a_2} + x_3^{a_3}$ be a Fermat polynomial whose exponents (a_1, a_2, a_3) are given by one of the following triples (3, 3, 3), (2, 4, 4), or (2, 3, 6). Since such a *W* defines a hypersurface in \mathbb{C}^3 that has a simple-elliptic singularity at x = 0, we will sometimes refer to it as *elliptic* Fermat polynomial. We assign weights $q_i = 1/a_i$ to each variable x_i , so that *W* becomes a quasi-homogeneous polynomial of degree 1.

1.1. Formulation of the main results. Let $H = \mathbb{C}[x_1, x_2, x_3]/(W_{x_1}, W_{x_2}, W_{x_3})$ be the Jacobi algebra of W. Given a triple $e = (e_1, e_2, e_3)$ of non-negative integers we put $\phi_e(x) = x_1^{e_1} x_2^{e_2} x_3^{e_3}$. We choose a set E of exponents e, s.t., the monomials $\phi_e(x)$ project to a basis of H. More presicely, put

$$E = \{ (e_1, e_2, e_3) \mid 0 \le e_i \le a_i - 2 \}.$$
(1)

It will be convenient also to decompose $E = \{(0, 0, 0), m\} \sqcup E_{tw}$, where E_{tw} corresponds to monomials of non-integral degree and $\phi_m(x)$ is the monomial of degree

1. Let us denote by $\Sigma \subset \mathbb{C}$ the set of all *marginal* deformations

$$f(\sigma, x) = W(x) + \sigma \phi_m(x), \quad \sigma \in \Sigma,$$

s.t., $f(\sigma, x)$ has only one critical point. The hypersurfaces

$$X_{\sigma,\lambda} = \{ x \in \mathbb{C}^3 \mid f(\sigma, x) = \lambda \}$$

form a smooth fibration on $\Sigma \times (\mathbb{C} \setminus \{0\})$, while the homology (resp. cohomology) groups $H_2(X_{\sigma,\lambda};\mathbb{C})$ (resp. $H^2(X_{\sigma,\lambda};\mathbb{C})$) form a vector bundle equipped with a flat Gauss–Manin connection. We fix a reference point, say (0, 1), and let

$$\mathfrak{h} = H_2(X_{0,1}; \mathbb{C}), \quad \mathfrak{h}^{\vee} = H^2(X_{0,1}; \mathbb{C})$$

be the reference fibers. The parallel transport around $\lambda = 0$ induces a monodromy transformation $J \in GL(\mathfrak{h})$, which commutes with the monodromy action of $\pi_1(\Sigma)$ on \mathfrak{h} . In other words, we have a monodromy representation

$$\rho: \pi_1(\Sigma) \to \operatorname{GL}(\mathfrak{h}_0) \oplus \operatorname{GL}(\mathfrak{h}_{\neq 0}),$$

where \mathfrak{h}_0 is the *J*-invariant subspace and $\mathfrak{h}_{\neq 0}$ is the direct sum of all eigenspaces of *J* with eigenvalue $\neq 0$. Put $\rho = (\rho_0, \rho_{\neq 0})$ and let

$$\overline{\rho}_{\neq 0}: \pi_1(\Sigma) \longrightarrow \operatorname{GL}(\mathfrak{h}_{\neq 0})/\langle J \rangle,$$

the map induced from $\rho_{\neq 0}$. Using an explicit computation we will check that

$$\operatorname{Ker}\left(\rho_{0}\right)\subset\operatorname{Ker}\left(\overline{\rho}_{\neq0}\right).$$
(2)

It will be nice if one can find a conceptual explanation and determine if (2) is satisfied for other normal forms *W* of the simple elliptic singularities. Using (2) we get an induced homomorphism

$$\rho_W: \widetilde{\Gamma}(W) \longrightarrow \operatorname{GL}(\mathfrak{h}_{\neq 0})/\langle J \rangle,$$

where $\widetilde{\Gamma}(W) = \operatorname{Im}(\rho_0)$. Put $\Gamma(W) := \operatorname{Ker}(\rho_W)$.

Theorem 1.1. The total ancestor potential $\mathcal{A}^{W}_{\sigma}(\hbar; \mathbf{q})$ of the simple elliptic singularity W transforms as a quasi-modular form on $\Gamma(W)$.

The definition of the total ancestor potential in singularity theory as well as the precise meaning of the quasi-modularity will be recalled later on. Our second result can be stated this way.

Theorem 1.2. If W is an elliptic Fermat polynomial of type $E_6^{(1,1)}$, $E_7^{(1,1)}$, or $E_8^{(1,1)}$, then $\Gamma(W)$ is respectively $\Gamma(3)$, $\Gamma(4)$, or $\Gamma(6)$.

1.2. Applications to Gromov–Witten theory. The LG/CY correspondence was proposed by Chiodo and Ruan [9]. In our settings it can be stated this way. A triplet of non-zero complex numbers $(g_1, g_2, g_3) \in (\mathbb{C}^*)^3$ is called a *diagonal symmetry* of *W* if

$$W(g_1x_1, g_2x_2, g_3x_3) = W(x_1, x_2, x_3).$$

The diagonal symmetries form a group G_W . It contains the element

$$J_W = \operatorname{diag}(e^{2\pi\sqrt{-1}q_1}, e^{2\pi\sqrt{-1}q_2}, e^{2\pi\sqrt{-1}q_3}), \quad q_i = 1/a_i.$$

The equation W = 0 defines an elliptic curve \widetilde{X}_W in the weighted projective plane $\mathbb{CP}^2(c_1, c_2, c_3)$, where $q_i = c_i/d$ for a common denominator d. The action of the group $\widetilde{G}_W := G_W/\langle J_W \rangle$ on \widetilde{X}_W is faithful and the quotient $X_W := \widetilde{X}_W/\widetilde{G}_W$ is an orbifold projective line $\mathbb{P}^1_{a_1,a_2,a_3}$. The LG/CY correspondence predicts that the GW invariants of X_W can be obtained from the so called Fan–Jarvis–Ruan–Witten (FJRW) invariants of the pair (W, G_W) via analytic continuation and a certain quantizatied symplectic transformation (c.f. [9]).

The orbifold GW invariants of $\mathcal{X} = \mathbb{P}^1_{a_1,a_2,a_3}$ are defined as follows. Let $\overline{\mathcal{M}}_{g,n,d}^{\mathcal{X}}$ be the moduli space of degree-*d* stable maps from a genus-*g* orbi-curve, equipped with *n* marked points, to \mathcal{X} . Let us denote by π the forgetful map, and by ev_i the evaluation at the *i*-th marked point

$$\overline{\mathcal{M}}_{g,n} \stackrel{\pi}{\longleftarrow} \overline{\mathcal{M}}_{g,n,d}^{\mathcal{X}} \stackrel{\operatorname{ev}_{i}}{\longrightarrow} I\mathcal{X},$$

where *IX* is the inertia orbifold of *X*. Let $\text{Eff}(X) \subset H_2(X; \mathbb{Z})$ be the cone of effective curve classes. By definition the Novikov ring is the completed group algebra of Eff(X). In our case, since $H_2(X; \mathbb{Z}) = \mathbb{Z} \cdot [X]$, where [X] is the fundamental class of X, we may identify the Novikov ring with the space of formal power series $\mathbb{C}[[q]]$. The moduli space is equipped with a virtual fundamental cycle $[\overline{\mathcal{M}}_{g,n,d}^X]$, s.t., the maps

$$\Lambda_{g,n}^{\mathcal{X}}: H^*_{CR}(\mathcal{X}; \mathbb{C}[\![q]\!])^{\otimes n} \longrightarrow H^*(\overline{\mathcal{M}}_{g,n}; \mathbb{C})$$

defined by

$$\Lambda_{g,n}^{\mathcal{X}} = \sum_{d=0}^{\infty} q^d \Lambda_{g,n,d}^{\mathcal{X}}, \quad \Lambda_{g,n,d}^{\mathcal{X}}(\alpha_1,\ldots,\alpha_n) := \pi_* \left([\overline{\mathcal{M}}_{g,n,d}^{\mathcal{X}}] \cap \prod_{i=1}^n \operatorname{ev}_i^*(\alpha_i) \right)$$

form a CohFT with state space the Chen-Ruan cohomology $H^*_{CR}(X; \mathbb{C}[[q]])$. The *ancestor* GW invariants of X are by definition the following formal series:

$$\left\langle \tau_{k_1}(\alpha_1), \dots, \tau_{k_n}(\alpha_n) \right\rangle_{g,n} = \sum_{d=0}^{\infty} q^d \int_{\overline{\mathcal{M}}_{g,n}} \Lambda_{g,n,d}^{\mathcal{X}}(\alpha_1, \dots, \alpha_n) \psi_1^{k_1} \cdots \psi_n^{k_n}, \qquad (3)$$

where ψ_i is the *i*-th psi class on $\overline{\mathcal{M}}_{g,n}$, $\alpha_i \in H$, and $k_i \in \mathbb{Z}_{\geq 0}$. For more details on orbifold Gromov–Witten theory we refer to [7]. The total ancestor potential of \mathcal{X} is by definition the following generating series

$$\mathcal{A}_q^{\mathcal{X}}(\hbar;\mathbf{q}) = \exp\Big(\sum_{g,n=0}^{\infty} \hbar^{g-1} \Big\langle \mathbf{q}(\psi_1) + \psi_1, \dots, \mathbf{q}(\psi_n) + \psi_n \Big\rangle_{g,n} \Big),$$

where $\mathbf{q}(z) = \sum_{k=0}^{\infty} q_k z^k$ and $\{q_k\}_{k=0}^{\infty}$ is a sequence of formal vector variables with values in $H^*_{CR}(\mathcal{X}; \mathbb{C})$. The generating function is a formal series in $q_0, q_1 + 1, q_2, \dots$

Following the ideas of Krawitz–Shen [25] and Milanov–Ruan [27], one can obtain a very precise correspondence between the total ancestor potentials \mathcal{A}_{σ}^{W} and \mathcal{R}_{q}^{X} (see [29]). Let us briefly explain this correspondence. Recall that the curve

$$E_{\sigma} = \{ f(\sigma, x) = 0 \} \subset \mathbb{P}^2(c_1, c_2, c_3)$$

is called *elliptic curve at infinity*. Let us think of Σ as a punctured \mathbb{P}^1 and let us select a puncture p, s.t., the *j*-invariant $j(E_{\sigma}) \to \infty$ as $\sigma \to p$. For example, if Wis the Fermat polynomial of type $E_7^{(1,1)}$, then p = -2, 2, or ∞ . If W is the Fermat polynomial of type $E_8^{(1,1)}$, then p is a solution to $4p^3 + 27 = 0$. The main statement is that there exists a function $f(\sigma)$ on \mathbb{P}^1 holomorphic near $\sigma = p$, s.t., under the substitution $q = f(\sigma)$, the total ancestor potential \mathcal{A}_q^X coincides with \mathcal{A}_{σ}^W . Let us point out that the definition of \mathcal{A}_{σ}^W requires a choice of a *primitive form* in the sense of K. Saito [33]. Part of the statement is that there exists a primitive form, s.t., the identification holds. Combining the mirror symmetry theorem of [29] with Theorem 1.1 and Theorem 1.2 we get

Corollary 1.3. If X is one of the orbifolds $\mathbb{P}^1_{3,3,3}$, $\mathbb{P}^1_{2,4,4}$, or $\mathbb{P}^1_{2,3,6}$, then the Gromov–Witten invariants (3) are quasi-modular forms respectively on $\Gamma(3)$, $\Gamma(4)$, or $\Gamma(6)$.

2. The total ancestor potential in singularity theory

Let $S = \Sigma \times \mathbb{C}^{\mu-1}$. We fix a coordinate system on S, such that the coordinates of $s \in S$ are indexed by the exponents E (cf. (1)) in such a way that $s_m = \sigma \in \Sigma$ and $s_e \in \mathbb{C}$ for $e \neq m$. The miniversal deformation of W can be given by the following function

$$F(s,x) = W(x) + \sum_{e \in E} s_e \phi_e(x), \tag{4}$$

where the domain of *F* is $X = S \times \mathbb{C}^3$. The marginal deformations $f(\sigma, x)$ are obtained from F(s, x) by restricting $s_m = \sigma$ and $s_e = 0$ for $e \neq m$.

It is well known (see [20, 35]) that Saito's theory of primitive forms (cf. [33]) gives rise to a Frobenius structure (cf. [12]) on S. In this section the goal is to recall the key points in the construction of this Frobenius structure and then use the higher-genus reconstruction formalism of Givental to define the total ancestor potential of W.

2.1. Saito's theory. Let $C \subset X$ be the critical variety of *F*, i.e., the support of the sheaf

$$O_C := O_X / \langle \partial_{x_1} F, \partial_{x_2} F, \partial_{x_3} F \rangle.$$

Let $q: X \to S$ be the projection on the first factor. The Kodair–Spencer map (\mathcal{T}_S is the sheaf of holomorphic vector fields on S)

$$\mathcal{T}_{\mathcal{S}} \longrightarrow q_* \mathcal{O}_{\mathcal{C}}, \quad \partial/\partial s_i \mapsto \partial F/\partial s_i \bmod (F_{x_1}, F_{x_2}, F_{x_3})$$

is an isomorphism, which implies that for any $s \in S$, the tangent space T_sS is equipped with an associative commutative multiplication \bullet_s depending holomorphically on $s \in S$. If in addition we have a volume form $\omega = g(s, x)d^3x$, where $d^3x = dx_1 \wedge dx_2 \wedge dx_3$ is the standard volume form; then q_*O_C (hence \mathcal{T}_S as well) is equipped with the *residue pairing*:

$$(\psi_1, \psi_2) = \frac{1}{(2\pi i)^3} \int_{\Gamma_\epsilon} \frac{\psi_1(s, y)\psi_2(s, y)}{F_{y_1}F_{y_2}F_{y_3}} \,\omega,\tag{5}$$

where $y = (y_1, y_2, y_3)$ is an unimodular coordinate system for the volume form, i.e., $\omega = d^3 y$, and Γ_{ϵ} is a real 3-dimensional cycle supported on $|F_{x_i}| = \epsilon$ for $1 \le i \le 3$.

Given a semi-infinite cycle

$$\mathcal{A} \in \lim_{\leftarrow} H_3(\mathbb{C}^3, (\mathbb{C}^3)_{-m}; \mathbb{C}) \cong \mathbb{C}^{\mu}, \tag{6}$$

where

$$(\mathbb{C}^3)_m = \{ x \in \mathbb{C}^3 \mid \operatorname{Re}(F(s, x)/z) \le m \}.$$
(7)

Put

$$J_{\mathcal{A}}(s,z) = (-2\pi z)^{-3/2} z d_{\mathcal{S}} \int_{\mathcal{A}} e^{F(s,x)/z} \omega, \qquad (8)$$

where d_S is the de Rham differential on S. The oscillatory integrals $J_{\mathcal{A}}$ are by definition sections of the cotangent sheaf \mathcal{T}_S^* .

According to Saito's theory of primitive forms [33, 36], there exists a volume form ω such that the residue pairing is flat and the oscillatory integrals satisfy a system of differential equations, which in flat-homogeneous coordinates $t = (t_e)_{e \in E}$ have the form

$$z\partial_e J_{\mathcal{A}}(t,z) = \partial_e \bullet_t J_{\mathcal{A}}(t,z), \tag{9}$$

where $\partial_e := \partial/\partial t_e$ and the multiplication is defined by identifying vectors and covectors via the residue pairing. Using the residue pairing, the flat structure, and the Kodaira–Spencer isomorphism we have the following isomorphisms:

$$T^*S \cong TS \cong S \times T_0S \cong S \times H.$$

Due to homogeneity the integrals satisfy a differential equation with respect to the parameter $z \in \mathbb{C}^*$

$$(z\partial_z + E)J_{\mathcal{A}}(t,z) = \Theta J_{\mathcal{A}}(t,z), \tag{10}$$

where

$$E = \sum_{i=-1}^{\mu-2} d_e t_e \partial_e, \quad (d_e := \deg t_e = \deg s_e),$$

is the *Euler vector field* and Θ is the so-called *Hodge grading operator*

$$\Theta: \mathcal{T}_S^* \to \mathcal{T}_S^*, \quad \Theta(dt_e) = \left(\frac{1}{2} - d_e\right) dt_e.$$

The compatibility of the system (9)–(10) implies that the residue pairing, the multiplication, and the Euler vector field give rise to a *conformal Frobenius structure* of conformal dimension 1. We refer to B. Dubrovin [12] for the definition and more details on Frobenius structures.

2.2. **Primitive forms for simple elliptic singularities.** The classification of primitive forms in general is a very difficult problem. In the case of simple elliptic singularities however, all primitive forms are known (see [33]). They are given by $\omega = d^3 x / \pi_A(\sigma)$, where $\pi_A(\sigma)$ is a period of the elliptic curve at infinity. Let us recall also that $\pi_A(\sigma)$ can be expressed in terms of a period of the Gelfand-Lerey form $d^3 x / dF$ as follows. We embed \mathbb{C}^3 in the weighted projective space $\mathbb{P}^3(1, c_1, c_2, c_3)$ via $x_i = X_i / X_0^{c_i}$, i = 1, 2, 3. The Zariski closure of the Milnor fiber $X_{\sigma,1}$ is $\overline{X}_{\sigma,1} = X_{\sigma,1} \cup E_{\sigma}$, therefore we have a *tube* map

$$L: H_1(E_{\sigma}; \mathbb{Z}) \to H_2(X_{\sigma,1}; \mathbb{Z})$$

which allows us to write

$$\pi_A(\sigma) := \int_{L(A)} \frac{d^3x}{df} = 2\pi \sqrt{-1} \int_A \operatorname{Res}_{E_\sigma} \frac{d^3x}{df}.$$

Let us point out that when $\sigma = 0$ the image of the tube map *L* is precisely \mathfrak{h}_0 and the monodromy representation ρ_0 coincides with the monodromy representation of the elliptic pencil $E_{\sigma}, \sigma \in \Sigma$.

2.3. Givental's higher-genus reconstruction formalism. Following Givental we introduce the vector space $\mathcal{H} = H((z))$ of formal Laurent series in z^{-1} with coefficients in H, equipped with the symplectic structure

$$\Omega(f(z), g(z)) = \operatorname{res}_{z=0}(f(-z), g(z))dz.$$

Using the polarization $\mathcal{H} = \mathcal{H}_+ \oplus \mathcal{H}_-$, where $\mathcal{H}_+ = H[z]$ and $\mathcal{H}_- = H[[z^{-1}]]z^{-1}$ we identify \mathcal{H} with the cotangent bundle $T^*\mathcal{H}_+$. The goal in this subsection is to define the total ancestor potential of W.

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2.3.1. The stationary phase asymptotics. We fix a primitive form $\omega = d^3 x / \pi_A(\sigma)$ and let $t = (t_e)$ be flat coordinates, defined near s = 0, s.t., under the Kodaira– Spencer isomorphism $T_0 S \cong H$ we have $\partial_e = \phi_e(x)$. Since, π_A is a multi-valued analytic function on S, the flat coordinates t_e are also multi-valued analytic functions on S.

Let $s \in S$ be a semi-simple point, i.e., the critical values u_i of F $(1 \le i \le \mu)$ form locally near s a coordinate system. Let us also fix a path from $0 \in S$ to s, so that we have a fixed branch of the flat coordinates. Then we have an isomorphism

$$\Psi_s: \mathbb{C}^{\mu} \to H, \quad e_i \mapsto \sqrt{\Delta_i} \,\partial_{u_i} = \sqrt{\Delta_i} \, \sum_{e \in E} \frac{\partial u_i}{\partial t_e} \,\phi_e,$$

where Δ_i is determined by $(\partial/\partial u_i, \partial/\partial u_j) = \delta_{ij}/\Delta_i$. It is well known that Ψ_s diagonalizes the Frobenius multiplication and the residue pairing, i.e.,

$$e_i \bullet e_j = \sqrt{\Delta_i} e_i \delta_{i,j}, \quad (e_i, e_j) = \delta_{ij}.$$

Let S_{ss} be the set of all semi-simple points. The complement $\mathcal{K} = S \setminus S_{ss}$ is an analytic hypersurface also known as the *caustic*. It corresponds to deformations, s.t., *F* has at least one non-Morse critical point. By definition

$$\mathcal{S}_{ss} \to \operatorname{Hom}_{\mathbb{C}}(\mathbb{C}^{\mu}, H), \quad s \mapsto \Psi_s$$

is a multi-valued analytic map.

The system of differential equations (9) and (10) admits a unique formal asymptotical solution of the type

$$\Psi_s R_s(z) e^{U_s/z}, \quad R_s(z) = 1 + R_{s,1}z + R_{s,2}z^2 + \cdots$$

where U_s is a diagonal matrix with entries $u_1(s), \ldots, u_{\mu}(s)$ on the diagonal and $R_{s,k} \in \text{Hom}_{\mathbb{C}}(\mathbb{C}^{\mu}, \mathbb{C}^{\mu})$. Alternatively this formal solution coincides with the stationary phase asymptotics of the following integrals. Let \mathcal{B}_i be the semi-infinite cycle of the type (6) consisting of all points $x \in \mathbb{C}^3$ such that the gradient trajectories of -Re(F/z) flow into the critical value u_i . Then

$$(-2\pi z)^{-3/2} z d_s \int_{\mathcal{B}_i} e^{F(s,x)/z} \omega \sim e^{u_i(s)/z} \Psi_s R_s(z) e_i \quad \text{as } z \to 0.$$

We refer to [18] for more details and proofs.

2.3.2. The quantization formalism. Let us fix a Darboux coordinate system on \mathcal{H} given by the linear functions q_k^e , $p_{k,e}$ defined as follows:

$$\mathbf{f}(z) = \sum_{k=0}^{\infty} \sum_{e \in E} \left(q_k^e \, \phi_e \, z^k + p_{k,e} \, \phi^e \left(-z \right)^{-k-1} \right) \quad \in \quad \mathcal{H},$$

where $\{\phi^e\}_{e \in E}$ is a basis of *H* dual to $\{\phi_e\}_{e \in E}$ with respect to the residue pairing.

If $R = e^{A(z)}$, where A(z) is an infinitesimal symplectic transformation, then we define \widehat{R} as follows. Since A(z) is infinitesimal symplectic, the map $\mathbf{f} \in \mathcal{H} \mapsto$

 $A\mathbf{f} \in \mathcal{H}$ defines a Hamiltonian vector field with Hamiltonian given by the quadratic function $h_A(\mathbf{f}) = \frac{1}{2}\Omega(A\mathbf{f}, \mathbf{f})$. By definition, the quantization of e^A is given by the differential operator $e^{\hat{h}_A}$, where the quadratic Hamiltonians are quantized according to the following rules:

$$(p_{k',e'}p_{k'',e''}) = \hbar \frac{\partial^2}{\partial q_{k'}^{e'}\partial q_{k''}^{e''}}, \quad (p_{k',e'}q_{k''}^{e''}) = (q_{k''}^{e''}p_{k',e'}) = q_{k''}^{e''}\frac{\partial}{\partial q_{k'}^{e'}}, \quad (q_{k'}^{e'}q_{k''}^{e''}) = q_{k'}^{e''}q_{k''}^{e''}/\hbar.$$

Note that the quantization defines a projective representation of the Poisson Lie algebra of quadratic Hamiltonians:

$$[\widehat{F},\widehat{G}] = \{F,G\} + C(F,G),\$$

where F and G are quadratic Hamiltonians and the values of the cocycle C on a pair of Darboux monomials is non-zero only in the following cases:

$$C(p_{k',e'}p_{k'',e''}, q_{k'}^{e'}q_{k''}^{e''}) = \begin{cases} 1 & \text{if } (k',e') \neq (k'',e''), \\ 2 & \text{if } (k',e') = (k'',e''). \end{cases}$$
(11)

2.3.3. *The total ancestor potential*. By definition, the Kontsevich-Witten tau-function is the following generating series:

$$\mathcal{D}_{\rm pt}(\hbar;q(z)) = \exp\Big(\sum_{g,n} \frac{1}{n!} \hbar^{g-1} \int_{\overline{\mathcal{M}}_{g,n}} \prod_{i=1}^n (q(\psi_i) + \psi_i)\Big),\tag{12}$$

where $q(z) = \sum_{k} q_k z^k$, $(q_0, q_1, ...)$ are formal variables, ψ_i $(1 \le i \le n)$ are the first Chern classes of the cotangent line bundles on $\overline{\mathcal{M}}_{g,n}$. The function is interpreted as a formal series in $q_0, q_1 + 1, q_2, ...$ whose coefficients are Laurent series in \hbar .

Let $s \in S_{ss}$ be *a semi-simple* point. Motivated by Gromov–Witten theory Givental introduced the notion of the *total ancestor potential* of a semi-simple Frobenius structure (see [18]). In our settings the definition takes the form

$$\mathcal{A}_{s}(\hbar;\mathbf{q}) := \widehat{\Psi_{s}} \,\widehat{R_{s}} \, e^{\widehat{U_{s}/z}} \, \prod_{i=1}^{\mu} \mathcal{D}_{\mathrm{pt}}(\hbar \,\Delta_{i}(s);^{i}\mathbf{q}(z) \,\sqrt{\Delta_{i}(s)}) \tag{13}$$

where

$$\mathbf{q}(z) = \sum_{k=0}^{\infty} \sum_{e \in E} q_k^e z^k \phi_e, \quad {}^i \mathbf{q}(z) = \sum_{k=0}^{\infty} {}^i q_k z^k.$$

The quantization $\widehat{\Psi_s}$ is interpreted as the change of variables

$$\sum_{i=1}^{\mu} {}^{i} \mathbf{q}(z) e_{i} = \Psi_{s}^{-1} \mathbf{q}(z) \quad \text{i.e.} \quad {}^{i} q_{k} \sqrt{\Delta_{i}} = \sum_{e \in E} (\partial_{e} u^{i}) q_{k}^{e}.$$
(14)

3. MODULARITY AND MONODROMY

The flat coordinates are multi-valued analytic functions on S. In this section we will compute their monodromy under analytic continuation. Once this task is completed the proof of Theorem 1.1 will be easy.

3.1. **Picard–Fuchs equations.** We make use of the so called *geometric sections* (see [3])

$$\Phi_e(\sigma,\lambda) := \int x^e \frac{d^3x}{df} \in H^2(X_{\sigma,\lambda};\mathbb{C}),$$
(15)

where $e = (e_1, e_2, e_3), e_i \in \mathbb{Z}_{\geq 0}$, and $x^e := x_1^{e_1} x_2^{e_2} x_3^{e_3}$. The geometric sections (15) with $e \in E$ give rise to a trivialization of the vanishing cohomology bundle. The Gauss–Manin connection corresponds to a system of Fuchsian differential equations known as *Picard–Fuchs* equations. It is enough to solve this system at $\lambda = 1$, because the homogeneity of $f(\sigma, x)$ yields the following simple relation

$$\Phi_e(\sigma,\lambda) = \lambda^{\deg(e)} \Phi_e(\sigma,1)$$

where $deg(e) := deg(x^e) = \sum_i e_i q_i$. The Picard–Fuchs equations have the form

$$\partial_{\sigma} \Phi_{e}(\sigma, 1) = \sum_{e' \in E} G_{e,e'}(\sigma) \Phi_{e'}(\sigma, 1), \tag{16}$$

where $G = (G_{e,e'})$ is a square matrix of size $\mu = |E|$, whose entries are holomorphic functions on Σ . Let us denote by $F = (F_{e',e''}(\sigma))$ a fundamental solution to the above system, i.e., F is a non-degenerate matrix satisfying $\partial_{\sigma}F = G \cdot F$. We have

$$\Phi_{e}(\sigma,\lambda) = \lambda^{\deg(e)} \sum_{r \in E} F_{e,r}(\sigma) A_{r}, \qquad (17)$$

where $A_r, r \in E$ are multi-valued *flat* sections.

The system (16) is block-diagonal in the following sense

$$G_{e',e''} \neq 0 \quad \Rightarrow \quad \deg(e') - \deg(e'') \in \mathbb{Z}.$$

Therefore the matrix *F* is also block-diagonal. Since analytic continuation around $\lambda = 0$ corresponds to the classical monodromy transformation *J*, we get that the vectors $\{A_r\}_{r \in E}$ give an eigenbasis of \mathfrak{h}^{\vee} , i.e., $J(A_r) = e^{-2\pi \sqrt{-1} \deg(r)} A_r$.

3.2. Flat coordinates. We follow the idea of [27], except that we will avoid the use of explicit formulas. It is convenient to introduce the following multi-index notation. We will be interested in sequences $\kappa = (\kappa_e)_{e \in E \setminus \{m\}}$, where κ_e are non-negative integers. Put

$$x^{\kappa} := \prod_{e \in E \setminus \{m\}} (x_1^{e_1} x_2^{e_2} x_3^{e_3})^{\kappa_e}, \quad \frac{s^{\kappa}}{\kappa!} := \prod_{e \in E \setminus \{m\}} \frac{s_e^{\kappa_e}}{\kappa_e!}, \quad d_{\kappa} := \deg(s^{\kappa}) = \sum_e \kappa_e \, d_e,$$

where recall that $d_e = \deg s_e = 1 - \deg(x^e)$.

Let us define a block-diagonal matrix $C = (C_{e,r}(s))_{e,r \in E}$, whose entries are holomorphic functions on S

$$C_{e,r}(s) = \sum_{\kappa:d_{\kappa}=d_r} c_{e,\kappa}(\sigma) \frac{s^{\kappa}}{\kappa!},$$
(18)

where the functions $c_{e,\kappa}(s)$ are defined from the identity

$$(-2\pi)^{-\frac{3}{2}} \left(\int_0^{-\infty} e^{\lambda} \lambda^{\deg(x^{\kappa})+1} d\lambda \right) \int x^{\kappa} \frac{d^3x}{df} = \sum_{e \in E} c_{e,\kappa}(\sigma) \Phi_e(\sigma, 1), \tag{19}$$

where the integration path in the first integral is the negative real axis and the 2-nd one is interpreted as a cohomology class in $H^2(X_{\sigma,1}; \mathbb{C})$. The identity is obtained by performing successively integration by parts until the degree of the monomial x^{κ} is reduced to some number in the interval [0, 1]. In particular, since each integration by parts decreases the degree by an integer number, the sum on the RHS is over all $e \in E$, s.t., $d_e - d_{\kappa} \in \mathbb{Z}$. It follows that the matrix *C* is block-diagonal. Given cycles $\alpha_r \in \mathfrak{h}^{\vee}$, $r \in E$, we define the following multi-valued analytic functions on S:

$$t_m(s) = \left(C_{0,m}(s) \left\langle \Phi_0(\sigma, 1), \alpha_m \right\rangle + C_{m,m}(s) \left\langle \Phi_m(\sigma, 1), \alpha_m \right\rangle \right) \pi_A^{-1}$$

$$t_0(s) = \left(C_{m,0}(s) \left\langle \Phi_m(\sigma, 1), \alpha_0 \right\rangle + C_{0,0}(s) \left\langle \Phi_0(\sigma, 1), \alpha_0 \right\rangle \right) \pi_A^{-1},$$

$$t_r(s) = \left(\sum_{e \in E: d_e = d_r} C_{e,r}(s) \left\langle \Phi_e(\sigma, 1), \alpha_r \right\rangle \right) \pi_A^{-1}, \quad r \in E_{\text{tw}}.$$

Note that by definition $C_{m,m}(s) = 0$ and $C_{0,m}(s)$ is a constant independent of s.

Proposition 3.1. There are cycles $\{\alpha_r\}_{r \in E}$ that form an eigenbasis for the classical monodromy *J*, *s.t.*,

- (i) The functions $t_e(s)$, $e \in E$ form a flat coordinate system on S.
- (ii) We have $\partial_0 = 1$ and $(\partial_0, \partial_m) = 1$, where $\partial_e := \partial/\partial t_e$.
- (iii) The following identity holds (compare with the definition of $t_0(s)$):

$$\frac{1}{2} \sum_{e',e'' \in E} (\partial_{e'}, \partial_{e''}) t_{e'} t_{e''} = \left(C_{m,0}(s) \left\langle \Phi_m(\sigma, 1), \alpha_m \right\rangle + C_{0,0}(s) \left\langle \Phi_0(\sigma, 1), \alpha_m \right\rangle \right) \pi_A^{-1}.$$

Proof. Let $\sigma \in \Sigma$ be an arbitrary point. We fix a path in Σ from 0 to σ . Our goal is to construct flat coordinates in a neighborhood of σ . Given a basis of cycles $\{\alpha_r\}_{r\in E}$ we denote by $\alpha_r^{\sigma,1} \in H_2(X_{\sigma,1}; \mathbb{C})$ the parallel transport of α_r . The polynomial $f(\sigma, x)$ is weighted homogeneous, so there is a natural \mathbb{C}^* -action on \mathbb{C}^3 , s.t., $f(\sigma, c \cdot x) = cf(\sigma, x)$ for every $c \in \mathbb{C}^*$. Using this action we define

$$\mathcal{A}_r = \{ (\lambda z) \cdot y \mid \lambda \in (-\infty, 0], \quad y \in \alpha_r^{\sigma, 1} \}.$$

Note that $\mathcal{A}_r^{\sigma,z}$ is a semi-infinite cycle of the type (6), so the corresponding oscillatory integral is convergent. Using the Fubini's theorem we get

$$(-2\pi z)^{-3/2} \int_{\mathcal{A}_r^{\sigma,z}} e^{F(s,x)/z} \omega = (-2\pi z)^{-3/2} \int_0^{-\infty} e^{\lambda} (\lambda z) \int_{\alpha_r^{\sigma,1}} e^{\sum_{e \in E \setminus \{m\}} s_e x^e \lambda^{(1-d_e)} z^{-d_e}} \frac{\omega}{df} d\lambda$$

The exponential in the 2-nd integral on the RHS is

$$\sum_{\kappa} \frac{s^{\kappa}}{\kappa!} x^{\kappa} \lambda^{\deg(x^{\kappa})} z^{-d_{\kappa}},$$

where the sum is over all sequences $\kappa = (\kappa_e)_{e \in E \setminus \{m\}}$ of non-negative integers. Substituting the above expansion we get

$$(-2\pi)^{-3/2}z^{-1/2}\sum_{\kappa}\left(\int_0^{-\infty}e^{\lambda}\,\lambda^{1+\deg(x^{\kappa})}\,d\lambda\right)\frac{s^{\kappa}}{\kappa!}\,z^{-d_{\kappa}}\,\int_{a_r^{\sigma,1}}x^{\kappa}\,\frac{\omega}{df}\,.$$

Comparing with formula (19) we get the following formula for the oscillatory integral

$$J_{\mathcal{A}_r}(s,z) = z^{\frac{1}{2}} d\left(\sum_{\kappa} z^{-d_{\kappa}} \frac{s^{\kappa}}{\kappa!} \sum_{e \in E} c_{e,\kappa}(\sigma) \left\langle \Phi_e(\sigma,1), \alpha_r \right\rangle \pi_A^{-1} \right).$$
(20)

The oscillatory integrals $J_{\mathcal{R}_r}(s, z)$ are solutions to the differential equations (9) and (10). On the other hand near $z = \infty$ these equations have a fundamental solution of the type $S_t(z)z^{\Theta}$, where $S_t(z) = 1 + S_{t,1}z^{-1} + \cdots$ and $S_{t,k} \in \text{Hom}_{\mathbb{C}}(H, H)$. Therefore, we can choose the cycles $\{\alpha_r\}$ in such a way that

$$J_{\mathcal{A}_r}(s,z) = S_t(z) z^{\Theta} dt_r = z^{\frac{1}{2}-d_r} \Big(dt_r + z^{-1} S_{t,1} dt_r + \cdots \Big),$$
(21)

where $t = (t_r)$ is a flat coordinate system. Note that $d_r > 0$ for $r \neq m$ and $d_m = 0$. Therefore, we have

$$J_{\mathcal{R}_r}(s,z) = z^{\frac{1}{2}-d_r} dt_r + z^{\frac{1}{2}-1} \delta_{r,m} S_{t,1} dt_m + \cdots,$$

where the dots stand for terms involving higher order powers of z^{-1} . Let us choose the flat coordinates in such a way that $\partial_0 = 1$ and $(\partial_m, \partial_0) = 1$, then we have

$$S_{t,1} dt_m = S_{t,1} 1 = t = \frac{1}{2} d \Big(\sum_{e',e'' \in E} (\partial_{e'}, \partial_{e''}) t_{e'} t_{e''} \Big).$$

Finally we get

$$J_{\mathcal{A}_{r}}(s,z) = z^{\frac{1}{2}} d \left(z^{-d_{r}} t_{r} + z^{-1} \delta_{r,m} \frac{1}{2} \sum_{e',e'' \in E} (\partial_{e'}, \partial_{e''}) t_{e'} t_{e''} \right) + \cdots$$

All statements in the Proposition follow by comparing the above formula with (20).

3.3. The monodromy of the flat coordinates. Let us choose the fundamental matrix *F* of the Picard–Fuchs equations (see (17)) in such a way that $\{\alpha_r\}_{r\in E}$ and $\{A_r\}_{r\in E}$ are dual bases. Furthermore, since $C_{0,m} \alpha_m$ is a tube cycle we can find $B \in H_1(E_0; \mathbb{C})$ such that $L(B) := C_{0,m} \alpha_m$, so we have

$$t_m = \frac{\pi_B(\sigma)}{\pi_A(\sigma)}.$$

The flat coordinate t_0 is such that $\partial/\partial t_0 = 1$. Therefore, the coefficient in front of s_0 in $t_0(s)$ must be 1. On the other hand, using formulas (18) and (19) we get

$$C_{0,m}(s) = c_{0,0}, \quad C_{0,0}(s) = c_{0,0} s_0 + \cdots,$$

where the dots stand for at least quadratic terms in s. It follows that $L(A) = C_{0,m} \alpha_0$.

Let γ be a loop in Σ based at the reference point $\sigma = 0$. Let us denote by $[\rho_{\neq 0}(\gamma)]_{e,r}$ the matrix of the linear operator $\rho_{\neq 0}(\gamma)$ in the basis $\{\alpha_r\}_{r \in E_{tw}}$, i.e.,

$$\rho_{\neq 0}(\gamma)\alpha_r = \sum_{e \in E_{\text{tw}}} [\rho_{\neq 0}(\gamma)]_{e,r} \, \alpha_e.$$

Since the monodromy representation $\rho_{\neq 0}$ commutes with the classical monodromy J and $\{\alpha_r\}$ is an eigenbasis for J, the matrix $[\rho_{\neq 0}(\gamma)]$ is block diagonal

$$[\rho_{\neq 0}(\gamma)]_{e,r} \neq 0 \quad \Rightarrow \quad d_e = d_r$$

Similarly let us denote by $[\rho_0(\gamma)]$ the matrix of $\rho_0(\gamma)$ in the basis $\{\alpha_m, \alpha_0\}$

$$\rho_0(\gamma)\alpha_m = [\rho_0(\gamma)]_{m,m}\alpha_m + [\rho_0(\gamma)]_{0,m}\alpha_0,$$

$$\rho_0(\gamma)\alpha_0 = [\rho_0(\gamma)]_{m,0}\alpha_m + [\rho_0(\gamma)]_{0,0}\alpha_0.$$

The space $\mathfrak{h}_0 \cong H_1(E_0, \mathbb{C})$ is equipped with a symplectic form that comes from the intersection pairing. By continuity, the linear transformation $\rho_0(\gamma)$ is a symplectic transformation, i.e., the matrix

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} := [\rho_0(\gamma)]^T = \begin{bmatrix} [\rho_0(\gamma)]_{m,m} & [\rho_0(\gamma)]_{0,m} \\ [\rho_0(\gamma)]_{m,0} & [\rho_0(\gamma)]_{0,0} \end{bmatrix} \in \mathrm{SL}_2(\mathbb{C}).$$

An immediate corollary of Proposition 3.1 is the transformation rule for the flat coordinates under the analytic continuation along γ .

Corollary 3.2. The analytic continuation along a loop γ transforms the flat coordinates as follows

$$\widetilde{t}_{m} = \frac{a t_{m} + b}{c t_{m} + d},$$

$$\widetilde{t}_{0} = t_{0} + \frac{c}{2(c t_{m} + d)} \sum_{e', e'' \in E_{tw}} (\partial_{e'}, \partial_{e''}) t_{e'} t_{e''},$$

$$\widetilde{t}_{r} = \sum_{e \in E_{tw}: d_{e} = d_{r}} [\rho_{\neq 0}(\gamma)]_{e, r} t_{e}, \quad r \in E_{tw}.$$

3.4. Monodromy of the asymptotical operator. Recall the notation from Section 2.3.1. Let us identify the space of linear operators $\text{Hom}_{\mathbb{C}}(\mathbb{C}^{\mu}, H)$ with the space of square matrices of size μ by fixing the bases $\phi_e(x) := x^e(e \in E)$ and $e_i(1 \le i \le \mu)$ respectively in H and in \mathbb{C}^{μ} , i.e.,

$$Ae_i = \sum_{e \in E} [A]_{e,i} \phi_e.$$

The asymptotical operator $\Psi_s R_s e^{U_s/z}$ can be viewed as a matrix with entries formal asymptotical series. Let us fix a loop γ in Σ . We would like to find out how the operator changes under the analytic continuation along γ . The answer can be stated in the following way. Let $M(\gamma, t) \in \text{Hom}_{\mathbb{C}}(H, H)$ be the operator whose matrix is

$$[M(\gamma, t)]_{r,e} = (ct_m + d)^{-1} \frac{\partial t_r}{\partial t_e} - z c \,\delta_{e,m} \delta_{0,r},$$

where c, d, and \tilde{t}_e are determined via γ as it was stated in Corollary 3.2. Analytic continuation along γ transforms the sequence of critical values $(u_1(s), \ldots, u_\mu(s))$ via some permutation p. Let us denote by $P(\gamma) \in \text{Hom}_{\mathbb{C}}(\mathbb{C}^\mu, \mathbb{C}^\mu)$ the linear operator whose matrix is given by

$$[P(\gamma)]_{i,j} = \delta_{i,p(j)}.$$

Proposition 3.3. The analytic continuation along the loop γ transforms the asymptotical operator $\Psi_s R_s e^{U_s/z}$ into

$$^{\mathrm{T}}M(\gamma,t)\Psi_{s}R_{s}e^{U_{s}/z}P(\gamma),$$

where for a linear operator $A : H \to H$ we denote by ^TA the transpose of A with respect to the residue pairing (\cdot, \cdot) .

Proof. Let us denote by $I_i(s, z)(1 \le i \le \mu)$ the stationary phase asymptotic of the oscillatory integral

$$(-2\pi z)^{-3/2} \int_{\mathcal{B}_i} e^{F(s,x)/z} d^3 x.$$

By definition the asymptotical operator is defined by the following identity:

$$(\phi_e, \Psi_s R_s e^{U_s/z} e_i) = z \partial_e \Big(I_i(s, z) \pi_A^{-1} \Big), \quad e \in E, \quad 1 \le i \le \mu$$

The analytic continuation along γ transforms the above matrix into

$$z\widetilde{\partial}_e \Big(I_{p(i)}(s,z) \pi_A^{-1} (ct_m + d)^{-1} \Big) = \sum_{r \in E} \frac{\partial t_r}{\partial \overline{t_e}} z \partial_r \Big(I_{p(i)}(s,z) \pi_A^{-1} (ct_m + d)^{-1} \Big), \qquad (22)$$

where $\widetilde{\partial}_e := \partial / \partial \widetilde{t_e}$. Note that

$$\sum_{r \in E} \frac{\partial t_r}{\partial \tilde{t_e}} z \partial_r (ct_m + d)^{-1} = z \delta_{e,m} \frac{\partial t_m}{\partial \tilde{t_m}} \left(-c \left(ct_m + d \right)^{-2} \right) = -zc \, \delta_{e,m}$$

and

$$I_{p(i)}(s,z)\pi_{A}^{-1} = z\partial_{0} \Big(I_{p(i)}(s,z)\pi_{A}^{-1} \Big).$$

Hence the RHS of (22) becomes

$$\Big(\sum_{r\in E} (ct_m+d)^{-1} \frac{\partial t_r}{\partial \tilde{t_e}} z \partial_r (I_{p(i)}(s,z)\pi_A^{-1})\Big) - zc\delta_{e,m} z \partial_0 (I_{p(i)}(s,z)\pi_A^{-1}).$$

It remains only to check that the above expression coincides with

$$(M(\gamma,t)\phi_e,\Psi_s R_s e^{U_s/z} P e_i) = \sum_{r \in E} [M(\gamma,t)]_{r,e} z \partial_r (I_{p(i)}(s,z)\pi_A^{-1}). \quad \Box$$

Let denote by $J(\gamma, t) \in \text{Hom}_{\mathbb{C}}(H, H)$ the linear operator whose matrix is the Jacobian of the transformation $t \mapsto \tilde{t}$ (see Corollary 3.1)

$$[J(\gamma, t)]_{r,e} = \frac{\partial t_r}{\partial \tilde{t_e}}.$$

Let us introduce also the linear operator

$$X(\gamma, t) = 1 - \frac{cz}{ct_m + d} \phi_m \bullet_{s=0},$$

where $\phi_m \bullet_{s=0} : H \to H$ is the operator of multiplication by ϕ_m in the Jacobi algebra H. Note that $X(\gamma, t)$ is a symplectic transformation (of \mathcal{H}).

Proposition 3.4. Analytic continuation transforms

$$\mathcal{A}_t(\hbar; \mathbf{q}) \mapsto (X(\gamma, t) \mathcal{A}_t)(\hbar(ct_m + d)^2; J(\gamma, t)\mathbf{q}),$$

where we first apply the operator $\widehat{X}(\gamma, t)$ and then we rescale \hbar and \mathbf{q} .

We may assume that $P(\gamma, t) = 1$ because *P* is a permutation matrix, so its quantization \widehat{P} will leave the product of Kontsevich–Witten tau functions invariant. Put $M = M_0 + M_1 z$. Then we have

^T
$$M\Psi Re^{U/z} = \widetilde{\Psi}\widetilde{R}e^{U/z}$$
, where $\widetilde{\Psi} = M_0^{-1}\Psi$, $\widetilde{R} = \Psi^{-1}M_0^{-T}M\Psi R$.

The quantization is in general only a projective representation. However, the quantization of the operators $\Psi^{-1}M_0^T M \Psi$ and *R* involves quantizing only p^2 and p q-terms. Since the cocycle (11) on such terms vanishes we get

$$(\widetilde{R})^{\widehat{}} = (\Psi^{-1}M_0^{\mathrm{T}}M\Psi)^{\widehat{}}\widehat{R}.$$

The operators M_0 and Ψ are independent of z and their quantizations by definition are just changes of variables. Hence

$$(\widetilde{\Psi}\widetilde{R})^{\widehat{}} = \widehat{M_0}^{-1} (M_0^{\mathrm{T}} M)^{\widehat{}} (\Psi R)^{\widehat{}}.$$

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By definition Δ_i^{-1} is $(\partial_{u_i}, \partial_{u_i})$, which gains a factor of $(ct_m + d))^{-2}$ under analytic continuation. The ancestor potential (13) is transformed into

$$\widehat{M_0}^{-1}(M_0^{\mathrm{T}}M)\widehat{}\left(\mathcal{A}_t((ct_m+d)^2\hbar;(ct_m+d)\mathbf{q})\right).$$
(23)

Note that

$$M_0^{-1} = (ct_m + d) J(\gamma, t)^{-1}, \quad M_0(^{\mathrm{T}}M) = X(\gamma, t).$$

It remains only to notice that the rescaling

$$(\hbar, \mathbf{q}) \mapsto ((ct_m + d)^2\hbar, (ct_m + d)\mathbf{q})$$

commutes with the action of any quantized operator.

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