

COXETER ELEMENTS FOR VANISHING CYCLES OF TYPES $A_{\frac{1}{2}\infty}$ AND $D_{\frac{1}{2}\infty}$

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ABSTRACT. We introduce two real entire functions $f_{A_{\frac{1}{2}\infty}}$ and $f_{D_{\frac{1}{2}\infty}}$ in two variables, having only two critical values 0 and 1. Associated maps $\mathbf{C}^2 \rightarrow \mathbf{C}$ define topologically locally trivial fibrations over $\mathbf{C} \setminus \{0, 1\}$. The critical points over 0 and 1 are infinitely many ordinary double points, whose associated vanishing cycles in the generic fiber span its middle homology group and their intersection diagram forms bi-partitely decomposed quivers of type $A_{\frac{1}{2}\infty}$ and $D_{\frac{1}{2}\infty}$, respectively. Coxeter element of type $A_{\frac{1}{2}\infty}$ and $D_{\frac{1}{2}\infty}$ are introduced as the product of the monodromies of the fibrations around 0 and 1. We describe the spectra of the intersection form (normalized in the interval $[0, 4]$) and the Coxeter elements (normalized in the interval $(-\frac{1}{2}, \frac{1}{2})$).

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¹Present paper is planned as the first part of a paper “Primitive forms of types $A_{\frac{1}{2}\infty}$ and $D_{\frac{1}{2}\infty}$ ” in preparation. We publish the present part (the spectra of Coxeter elements) separately, because of its own interests.

1. FUNCTIONS OF TYPES $A_{\frac{1}{2}\infty}$ AND $D_{\frac{1}{2}\infty}$

We introduce functions of type $A_{\frac{1}{2}\infty}$ and $D_{\frac{1}{2}\infty}$ and associated fibrations.

1.1. Definition of $f_{A_{\frac{1}{2}\infty}}$ and $f_{D_{\frac{1}{2}\infty}}$.

Definition. The function f_P of type $P \in \{A_{\frac{1}{2}\infty}, D_{\frac{1}{2}\infty}\}$ ¹ is a *real entire function*² in two variables x and y given by

$$(1.1.1) \quad f_{A_{\frac{1}{2}\infty}}(x, y) := xs^2(x) - y^2 = 1 - c^2(x) - y^2$$

$$(1.1.2) \quad f_{D_{\frac{1}{2}\infty}}(x, y) := xs^2(x) - xy^2 = 1 - c^2(x) - xy^2.$$

Here $s(x)$ and $c(x)$ are real entire functions³ in a variable x given by

$$(1.1.3) \quad s(x) := \frac{\sin \sqrt{x}}{\sqrt{x}} = \prod_{n=1}^{\infty} \left(1 - \frac{x}{n^2\pi^2}\right)$$

$$(1.1.4) \quad c(x) := \cos \sqrt{x} = \prod_{n=1}^{\infty} \left(1 - \frac{4x}{(2n-1)^2\pi^2}\right).$$

1.2. Real level sets $X_{A_{\frac{1}{2}\infty}, 0, \mathbf{R}}$ and $X_{D_{\frac{1}{2}\infty}, 0, \mathbf{R}}$.

We introduce the real level-0 set of the function f_P of type P by

$$X_{P, 0, \mathbf{R}} := \mathbf{R}^2 \cap f_P^{-1}(0).$$

Conceptual figures of them are drawn in the following.

Figure 1

$X_{A_{\frac{1}{2}\infty}, 0, \mathbf{R}}$

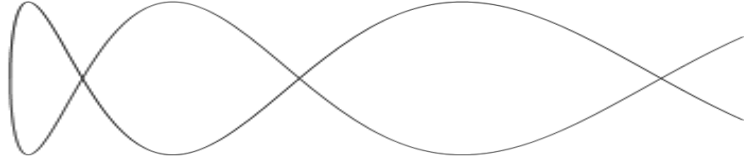
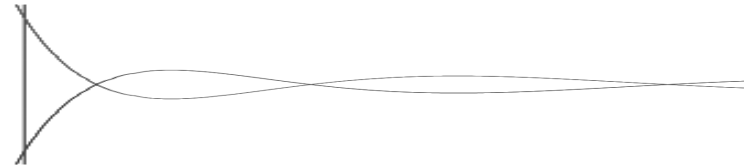


Figure 2

$X_{D_{\frac{1}{2}\infty}, 0, \mathbf{R}}$



¹In the present paper, the expression “of type P ” automatically implies $P \in \{A_{\frac{1}{2}\infty}, D_{\frac{1}{2}\infty}\}$. Meaning for this name is given in §2.2 Quiver and its Remark.

²We mean by a *real entire function of n -variables* a holomorphic function on \mathbf{C}^n which is real valued on the real form \mathbf{R}^n of \mathbf{C}^n .

³In the sequel of the present paper, we shall freely use the following equalities: $c(0)=s(0)=1$, $xs^2(x)+c^2(x)=1$, $s'(x)=\frac{1}{2x}(c(x)-s(x))$ and $c'(x)=-\frac{1}{2}s(x)$ without referring to them explicitly (here $f'(x)$ =the differentiation of $f(x)$).

Terminology 1. By a *bounded connected component* (bcc for short) of type P , we mean a bounded connected component of $\mathbf{R}^2 \setminus X_{P,0,\mathbf{R}}$.

2. By a *node* of type P , we mean a point on the real curve $X_{P,0,\mathbf{R}}$ where two local smooth irreducible components are crossing normally.

3. We say that a node of type P is *adjacent* to a bcc of type P if the node belongs to the closure of the bcc.

We state some immediate observations on the level set $X_{P,0,\mathbf{R}}$, which can be easily verified by a use of absolutely convergent infinite products (1.1.3) and (1.1.4).

Observation 1. *For $n = 0, 1, 2, \dots$, there exists exactly one bounded connected component of type P , containing the interval $(n^2\pi^2, (n+1)^2\pi^2)$ on the x -axis and contained in the domain $(n^2\pi^2, (n+1)^2\pi^2) \times y$ -axis.*

2. *For $n = 1, 2, 3, \dots$, the point $c_{P,0}^{(n)} := (n^2\pi^2, 0)$ on the x -axis is a node of type P , which is adjacent to two bcc containing the interval $((n-1)^2\pi^2, n^2\pi^2)$ and the interval $(n^2\pi^2, (n+1)^2\pi^2)$.*

1.3. Fibrations over $\mathbf{C} \setminus \{0, 1\}$.

For each type $P \in \{A_{\frac{1}{2}\infty}, D_{\frac{1}{2}\infty}\}$, let us consider a holomorphic map

$$(1.3.5) \quad f_P : \mathbf{X}_P \longrightarrow \mathbf{C},$$

where the domain $\mathbf{X}_P := \mathbf{C}^2$ of f_P is regarded as a contractible Stein manifold equipped with the real form \mathbf{R}^2 . The fiber $X_{P,t} := f_P^{-1}(t)$ over $t \in \mathbf{C}$ is an *open* Riemann surface, closely embedded in \mathbf{C}^2 .

Remark. As we shall see in sequel, the fiber $X_{P,t}$ ($t \in \mathbf{C}$) has infinite genus. It is “wild” in the sense that the closure $\bar{X}_{P,t}$ in $\mathbf{P}_{\mathbf{C}}^2$ is equal to $X_{P,t} \cup \mathbf{P}_{\mathbf{C}}^1$ (i.e. the “ends” of $X_{P,t}$ is the $\mathbf{P}_{\mathbf{C}}^1$, this fact can be easily shown by the value distribution theory of one variable). By putting

$$(1.3.6) \quad \bar{\mathbf{X}}_P := \mathbf{X}_P \cup (\mathbf{P}_{\mathbf{C}}^1 \times \mathbf{C}) := \cup_{t \in \mathbf{C}} (\bar{X}_{P,t}, t) \subset \mathbf{P}_{\mathbf{C}}^2 \times \mathbf{C},$$

we obtain a proper map, i.e. a “compactification” of (1.3.5):

$$(1.3.7) \quad \bar{f}_P : \bar{\mathbf{X}}_P \longrightarrow \mathbf{C}.$$

However, the spaces $\bar{X}_{P,t}$ and $\bar{\mathbf{X}}_P$ are not manifolds with boundary (note that their “boundaries” $\mathbf{P}_{\mathbf{C}}^1$ and $\mathbf{P}_{\mathbf{C}}^1 \times \mathbf{C}$, respectively, have the same dimension as the “interior” $X_{P,t}$ and \mathbf{X}_P).

By a lack of tools to handle such objects at present, we shall not use this compactification in the present paper. Nevertheless, in the following Theorem 3, we show that f_P induces a locally topologically trivial fibration over $\mathbf{C} \setminus \{0, 1\}$. The proof is an elementary handwork, however it is not standard due to the transcendental nature of f_P mentioned. Therefore, we write the proof down to the earth.

Theorem. For each type $P \in \{A_{\frac{1}{2}\infty}, D_{\frac{1}{2}\infty}\}$, we have the followings.

1. The function f_P has only two critical values 0 and 1. That is, the set of critical points C_P of f_P is contained in two fibers $X_{P,0}$ and $X_{P,1}$.

2. i) The critical set C_P lies in the real form \mathbf{R}^2 of \mathbf{X}_P .

ii) The Hessian form of $f_P|_{\mathbf{R}^2}$ at a critical point is non-degenerate. More precisely, the Hessian form is indefinite at a point in $C_{P,0} := C_P \cap X_{P,0}$ and is negative definite at a point in $C_{P,1} := C_P \cap X_{P,1}$.

iii) We have the natural bijections:

$$(1.3.8) \quad C_{P,0} \simeq \{\text{nodes of type } P\} \quad (\text{identity map}),$$

$$(1.3.9) \quad C_{P,1} \simeq \{\text{bcc's of type } P\} \quad (c \mapsto B_c := \text{the bcc containing } c)$$

3. The restriction of the map f_P to the smooth fibers:

$$(1.3.10) \quad f_P|_{\mathbf{X}_P \setminus (X_{P,0} \cup X_{P,1})} : \mathbf{X}_P \setminus (X_{P,0} \cup X_{P,1}) \rightarrow \mathbf{C} \setminus \{0, 1\}$$

is a topologically locally trivial fibration.

Proof. 1. We proceed direct calculations separately for each type.

$A_{\frac{1}{2}\infty}$: The defining equations for $C_{A_{\frac{1}{2}\infty}}$ are $\partial_x f_{A_{\frac{1}{2}\infty}} = cs = 0, \partial_y f_{A_{\frac{1}{2}\infty}} = -2y = 0$. Hence, $C_{A_{\frac{1}{2}\infty}} = \{(x, 0) \mid s(x) = 0 \text{ or } c(x) = 0\}$, where we have

$$f_{A_{\frac{1}{2}\infty}}(x, 0) = \begin{cases} 0 & \text{if } s(x) = 0, \\ 1 & \text{if } c(x) = 0. \end{cases}$$

$D_{\frac{1}{2}\infty}$: The defining equations for $C_{D_{\frac{1}{2}\infty}}$ are $\partial_x f_{D_{\frac{1}{2}\infty}} = cs - y^2 = 0, \partial_y f_{D_{\frac{1}{2}\infty}} = -2xy = 0$. Hence, $C_{D_{\frac{1}{2}\infty}} = \{(0, \pm 1)\} \cup \{(x, 0) \mid s(x) = 0 \text{ or } c(x) = 0\}$, where we have

$$f_{D_{\frac{1}{2}\infty}}(0, \pm 1) = 0 \quad \text{and} \quad f_{D_{\frac{1}{2}\infty}}(x, 0) = \begin{cases} 0 & \text{if } s(x) = 0, \\ 1 & \text{if } c(x) = 0. \end{cases}$$

2. i) Due to the descriptions of C_P in 1., we have only to show that the zero loci of $s(x) = 0$ and $c(x) = 0$ are real numbers. This follows from the fact that the infinite product expressions (1.1.3) and (1.1.4) are absolutely convergent and the zero loci of $s(x) = 0$ and $c(x) = 0$ are given by the union of zero locus of factors of the expressions, respectively.

ii) Let us calculate the Hessian at a critical point.

The statement for the two critical points $(0, \pm 1)$ on $X_{D_{\frac{1}{2}\infty},0}$ can be verified directly. The other critical points are on the x -axis, i.e. one always has $y = 0$. Since $\partial_x \partial_y f_P|_{y=0} = 0$ for each type $P \in \{A_{\frac{1}{2}\infty}, D_{\frac{1}{2}\infty}\}$, the Hessian is a diagonal matrix of the form

$$[\partial_x(c(x)s(x)), -2]_{diag} \quad \text{for type } P = A_{\frac{1}{2}\infty},$$

$$[\partial_x(c(x)s(x)), -2x]_{diag} \quad \text{for type } P = D_{\frac{1}{2}\infty},$$

where the second diagonal component is always negative. We calculate the sign of the first diagonal component by

$\partial_x(c(x)s(x))|_{c=0} = -\frac{1}{2}s^2 = -\frac{1}{2x} < 0$ and $\partial_x(c(x)s(x))|_{s=0} = \frac{1}{2x} > 0$, implying the statement **ii**).

iii) Combining the explicit descriptions of the set $C_{P,0}$, $C_{P,1}$ in Proof of **1.** with Observations 2. and 3. in §1.2, the correspondences are defined and are injective (see Figure 1 and 2.). So, we need only to show their surjectivity. But, this is again trivial since i) any node of a curve is a critical point of the defining equation of the curve, where Hessian is indefinite, and ii) inside of any bounded connected component of a complement of a real curve in \mathbf{R}^2 , there exists at least a point where f_P takes local maximum, then the Hessian at the point should be negative definite since we saw in **2. ii**) that it is already non-degenerate.

3. Let us show that the fibration (1.3.10) is locally topologically trivial. Since our map is neither proper nor extendable to a suitably stratified proper map (recall 1.3 Remark.), we cannot use standard technique such as Thom-Ehrshman theorems. Instead, we use an elementary fact that $X_{P,t}$ is a ramified covering space: namely, in view of the equations (1.3.8) and (1.3.9), the projection map $(x, y) \in \mathbf{C}^2 \mapsto x \in \mathbf{C}$ to the x -plane induces a proper and ramified double covering maps $\pi_{P,t}$:

$$(1.3.11) \quad X_{A_{\frac{1}{2}\infty},t} \rightarrow \mathbf{C} \ (t \in \mathbf{C}) \quad \text{and} \quad X_{D_{\frac{1}{2}\infty},t} \rightarrow \mathbf{C} \setminus \{0\} \ (t \in \mathbf{C} \setminus \{0\}),$$

(for $X_{D_{\frac{1}{2}\infty},0}$, see ⁴). Let us denote by \mathbf{C}_P the base space of this covering, i.e. $\mathbf{C}_P := \mathbf{C}$ if $P = A_{\frac{1}{2}\infty}$ and $:= \mathbf{C} \setminus \{0\}$ if $P = D_{\frac{1}{2}\infty}$. In view of the defining equation of $X_{P,t}$, the covering is ramifying at $X_{P,t} \cap \{y=0\}$, i.e. at solutions $x \in \mathbf{C}_P$ of the equation

$$(1.3.12) \quad xs^2(x) - t = 0,$$

which, apparently, has infinitely many solutions, depending on $t \in \mathbf{C}$.

We, now, state an elementary but a crucial fact on the function xs^2 .

Fact. *The correspondence $\pi : \mathbf{C}_P \rightarrow \mathbf{C}$, $x \mapsto t := xs^2(x) = \sin^2(\sqrt{x})$ is ramifying exactly and only at the inverse images of the points 0 and 1, and induces a (topological) covering map over $\mathbf{C} \setminus \{0, 1\}$.*

Proof of Fact. The critical points of the map $t = xs^2(x)$ are given by the equation $s(x)c(x) = 0$, and are exactly the points where $t = 0$ or 1) (recall Proof of **1.**). Thus, the restricted map $\pi' := \pi|_{\pi^{-1}(\mathbf{C} \setminus \{0,1\})}$

⁴Since the fiber $X_{D_{\frac{1}{2}\infty},0}$ contains an irreducible component $L := \{x=0\}$, the map on $X_{D_{\frac{1}{2}\infty},0}$ is not a covering, but its restriction to $X_{D_{\frac{1}{2}\infty},0} \setminus L$ is a covering.

over $\mathbf{C} \setminus \{0, 1\}$ is a locally homeomorphism. To see that π' is a covering (i.e. a proper map on each component of an inverse image of a simply connected open subset of $\mathbf{C} \setminus \{0, 1\}$), we need to show that the inverse map of $xs^2(x)=t$ as a multivalued function in t is analytically continuable everywhere on the set $\mathbf{C} \setminus \{0, 1\}$. Since the equation is equivalent to $\sqrt{x} = \pm \sin^{-1}(\sqrt{t})$, this fact follows from the fact that the multivalued function $\sin^{-1}(u)$ has singular points (i.e. points where the function cannot be analytically continued) only at $u = \pm 1$, easily seen from the integral expression $\sin^{-1}(u) = \int_0^u \frac{du}{\sqrt{1-u^2}}$. \square

Owing to **Fact**, we find a disc neighbourhood \mathfrak{U} for any $t_0 \in \mathbf{C} \setminus \{0, 1\}$ so that $\pi^{-1}(\mathfrak{U})$ decomposes into components homeomorphic to U . For each $x_i \in \pi^{-1}(t_0)$ ($i \in I$ index set), let $s_i(t)$ be the function on $t \in \mathfrak{U}$, defining a section of π such that $s_i(t_0) = x_i$ (actually, $s_i(t) = (\sqrt{x_i} + \int_{\sqrt{t_0}}^{\sqrt{t}} \frac{du}{\sqrt{1-u^2}})^2$ for choices of $\sqrt{t_0}$ and $\sqrt{x_i}$ such that $\sqrt{t_0} = \sin(\sqrt{x_i})$ and path of integral in the connected component of $\pm\sqrt{\mathfrak{U}}$ containing $\sqrt{t_0}$).

We can find a differentiable map $\varphi : \mathfrak{U} \times \mathbf{C}_P \rightarrow \mathbf{C}_P$ such that i) $\varphi(t_0, x) = x$, ii) for each $t \in U$, the $\varphi_t := \varphi(t, \cdot)$ is a diffeomorphism of \mathbf{C}_P , and iii) for each $i \in I$, $\varphi(t, s_i(t))$ is constant (equal to $s_i(t_0) = x_i$). The diffeomorphism φ_t can be uniquely lifted to a diffeomorphism $\hat{\varphi}_t : X_{P,t} \simeq X_{P,t_0}$ of the double covers such that $\varphi_t \circ \pi_{P,t} = \pi_{P,t_0} \circ \hat{\varphi}_t$. The $\hat{\varphi}_t$ gives the local trivialization of (1.3.10). \square

This completes a proof of Theorem 1., 2. and 3. \square

2. VANISHING CYCLES

We show that the middle homology group of a generic fiber of the map (1.3.5) has basis consisting of vanishing cycles. The intersection form among them forms the *principal quiver*⁵ of type $A_{\frac{1}{2}\infty}$ or $D_{\frac{1}{2}\infty}$.

2.1. Middle homology groups. In the present paragraph, we describe the middle homology group of the general fibers of (1.3.10) in terms of vanishing cycles of the function f_P of type $P \in \{A_{\frac{1}{2}\infty}, D_{\frac{1}{2}\infty}\}$.

Vanishing cycles: For a critical point $c \in C_P = C_{P,0} \sqcup C_{P,1}$, we define an oriented 1-cycle $\gamma_{P,c}$ in $X_{P,t}$ for $t \in (0, 1)$ as follows.

Due to Theorem 2, we can choose holomorphic local coordinates (u, v) in a neighborhood \mathfrak{U} of c in \mathbf{X}_P such that i) u and v are real valued on $\mathfrak{U}_{\mathbf{R}} := \mathfrak{U} \cap \mathbf{R}^2$, ii) $\frac{\partial(u,v)}{\partial(x,y)}|_{\mathfrak{U}_{\mathbf{R}}} > 0$ and iii) $f_P|_{\mathfrak{U}} = u^2 - v^2$ if

⁵We mean by a *quiver* an oriented graph. It is called *principal*, if the set of vertices's has a bipartite decomposition $\Gamma_0 \sqcup \Gamma_1$ such that the head (resp. tail) of any edge belongs to Γ_0 (resp. Γ_1) (e.g. Figure 3 and 4). See [Sa2,3].

$c \in C_{P,0}$ and $f_P|_{\mathcal{U}} = 1 - u^2 - v^2$ if $c \in C_{P,1}$. Then, define cycles:

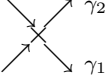
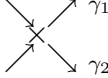
$$(2.1.13) \quad \gamma_{P,c} := \begin{cases} (\sqrt{t} \cos(\theta), \sqrt{-1}\sqrt{t} \sin(\theta)) & (0 \leq \theta \leq 2\pi), \text{ if } c \in C_{P,0} \\ (\sqrt{1-t} \cos(\theta), \sqrt{1-t} \sin(\theta)) & (0 \leq \theta \leq 2\pi), \text{ if } c \in C_{P,1}. \end{cases}$$

Fact. The oriented cycle $\gamma_{P,c}$ in the surface $X_{P,t}$ is, up to free homotopy, unique and independent of a choice of coordinates (u, v) .

Definition. We shall denote the homology class in $H_1(X_{P,t}, \mathbf{Z})$ of the cycle $\gamma_{P,c}$ by the same $\gamma_{P,c}$, and call it the *vanishing cycle* of the function f_P at the critical point $c \in C_P$ (vanishing along the path $t \downarrow 0$ or $t \uparrow 1$).

Sign convention of intersection numbers of 1-cycles on $X_{P,t}$.

i) Let I be the skew symmetric intersection form between two oriented 1-cycles on a oriented surface. Then we define the convention of the sign of intersection number locally as follows:

Fig.3 $I(\gamma_1, \gamma_2) = 1$ if  , $I(\gamma_1, \gamma_2) = -1$ if 

ii) The orientation of the surface $X_{P,t}$ is $\sqrt{-1}dz \wedge d\bar{z} = 2dx \wedge dy$ for a local holomorphic coordinate $z = x + iy$ on $X_{P,t}$. Eg. Cycles γ_x and γ_y locally homotopic to x -axis and y -axis intersects as $I(\gamma_x, \gamma_y) = 1$ at $z=0$.

Theorem. 4. The middle homology group of $X_{P,t}$, $t \in (0,1)$ is given by

$$(2.1.14) \quad H_1(X_{P,t}, \mathbf{Z}) \simeq H_P := H_{P,0} \oplus H_{P,1},$$

where

$$(2.1.15) \quad H_{P,0} := \bigoplus_{c \in C_{P,0}} \mathbf{Z} \gamma_{P,c}$$

$$(2.1.16) \quad H_{P,1} := \bigoplus_{c \in C_{P,1}} \mathbf{Z} \gamma_{P,c}$$

are formally defined free abelian group spanned by vanishing cycles.

5. Let $I_P : H_1(X_{P,t}, \mathbf{Z}) \times H_1(X_{P,t}, \mathbf{Z}) \rightarrow \mathbf{Z}$ be the intersection form on the middle homology group. Then we have

$$(2.1.17) \quad I_P = J_P - {}^t J_P$$

where J_P and ${}^t J_P$ are integral bilinear forms on H_P given by

$$(2.1.18) \quad J_P(\gamma_{P,c}, \gamma_{P,c'}) := \begin{cases} 1 & \text{if } c = c', \\ -1 & \text{if } c \in C_{P,0}, c' \in C_{P,1} \text{ and } c \in \bar{B}_{c'}, \\ 0 & \text{else,} \end{cases}$$

and

$$(2.1.19) \quad {}^t J_P(\gamma_{P,c}, \gamma_{P,c'}) := \begin{cases} 1 & \text{if } c = c', \\ -1 & \text{if } c \in C_{P,1}, c' \in C_{P,0} \text{ and } c' \in \bar{B}_c, \\ 0 & \text{else.} \end{cases}$$

Remark. The meaning to use the form J_P shall be clarified in §2.3.

Proof. We first calculate intersection numbers between vanishing cycles $\gamma_{P,c}$ and $\gamma_{P,c'}$ as given in **5**.

Suppose both critical points c, c' belong to $C_{P,0}$ (resp. $C_{P,1}$). If $c \neq c'$ then we, for t close enough to 0 (resp. 1), the supports of the vanishing cycles are close to c and c' so that they are disjoint, i.e. $\gamma_{P,c} \cap \gamma_{P,c'} = \emptyset$ and we get $I_P(\gamma_{P,c}, \gamma_{P,c'}) = 0$. Then, this equality holds for any $t \in (0, 1)$. If $c = c'$, then $I_P(\gamma_{P,c}, \gamma_{P,c}) = 0$ due to skew-symmetry of I_P .

Next, we consider a cycle $\gamma_{P,c}$ for $c \in C_{P,0}$ and a cycle $\gamma_{P,c'}$ for $c' \in C_{P,1}$. From their expressions in (2.1.13), we observe the following two facts:

- i) The cycle $\gamma_{P,c}$ intersects only with each of connected component of $\mathbf{R}^2 \setminus X_{P,0,\mathbf{R}}$ adjacent to c at one point $(u, v) = (\varepsilon\sqrt{t}, 0)$ for $\varepsilon \in \{\pm 1\}$.
- ii) The underlying set $|\gamma_{P,c'}|$ is presented by a circle of radius $1-t$ in the bcc $B_{c'}$ containing c' , i.e. it is equal to $\{(u', v') \in B_{c'} \mid f_P(u', v') = t\}$.

These means that cycles $\gamma_{P,c}$ and $\gamma_{P,c'}$ for the same $t \in (0, 1)$ intersect if and only if the critical point c is adjacent to the bounded component $B_{c'}$, and, then, they intersect transversely at one point, say p . Let (u', v') be the coordinates for the cycle $\gamma_{P,c'}$ in (2.1.13). Then, by an orientation preserving orthogonal linear transformation of the coordinates, the intersection point p may be given by $(u', v') = (\sqrt{1-t}, 0)$

We determine the sign of the intersection as follows: in a neighbourhood of p , we have an equality $f_P = u^2 - v^2 = 1 - u'^2 - v'^2$. Then the differentiation at p of the equation gives $df|_p = \varepsilon\sqrt{t}du|_p = -\sqrt{1-t}dv'|_p$. Since $du \wedge dv|_p = cdu' \wedge dv'|_p$ for some positive $c \in \mathbf{R}_{>0}$, we get

$$\text{a)} \quad \frac{\partial v}{\partial v'}|_p = \varepsilon c \frac{\sqrt{t}}{\sqrt{1-t}}.$$

On the other hand, since du and du' are co-normal vectors to $X_{P,t}$ at p (i.e. $df|_p \parallel du|_p \parallel du'|_p$), we use dv and dv' as for complex coordinates of the 1-dimensional complex tangent space $T(X_{P,t})_p$ at p , which are compatible with the sign convention ii) of the surface $X_{P,t}$.

Using these coordinates, the infinitesimal direction $\frac{\partial}{\partial \theta}|_p$ of $\gamma_{P,c}$ at p is evaluated by

$$\text{b)} \quad \frac{\partial v}{\partial \theta}|_p = \varepsilon\sqrt{-1}\sqrt{t}$$

and the infinitesimal direction $\frac{\partial}{\partial \theta'}|_p$ of $\gamma_{P,c'}$ at p is evaluate by

$$\text{c)} \quad \frac{\partial v'}{\partial \theta'}|_p = \sqrt{1-t}.$$

Combining a), b) and c), we obtain that the angle from the cycle $\gamma_{P,c'}$ to the cycle $\gamma_{P,c}$ at their intersection point p is given by the angle of the complex number

$$\text{d)} \quad \left(\frac{\partial v}{\partial \theta}|_p / \frac{\partial v'}{\partial \theta'}|_p \right) / \frac{\partial v}{\partial v'}|_p = \frac{\sqrt{-1}}{c},$$

i.e. the angle is $\frac{\pi}{2}$. Then due to our sign convention, we obtain

$$I_P(\gamma_{P,c}, \gamma_{P,c'}) = -1 \quad \text{and} \quad I_P(\gamma_{P,c'}, \gamma_{P,c}) = 1,$$

which is independent of the sign $\varepsilon \in \{\pm 1\}$. Thus, (2.1.17) is shown.

Finally in the following i)-v), we prove **4**.

We formally put (2.1.15) and (2.1.16).

i) Let us first show a natural isomorphism.

$$(2.1.20) \quad H_1(X_{P,0}, \mathbf{Z}) \simeq H_{P,1}.$$

Proof of (2.1.20). We first show that $X_{P,0,\mathbf{R}}$ is a deformation retract of $X_{P,0}$. For the proof of it, recall the double cover expression of $X_{P,0}$ over \mathbf{C}_P , used in the proof of **Theorem 3**. In case of type $P = A_{\frac{1}{2}\infty}$, the deformation retract of the plane \mathbf{C}_P to the half real axis $\mathbf{R}_{\geq 0}$ induces the retract of the covering space $X_{P,0}$ to its real form $X_{P,0,\mathbf{R}}$. In case of type $P = D_{\frac{1}{2}\infty}$, we do the retraction irreducible-componentwisely to the real axis \mathbf{R} (details are left to the reader). Thus, in view of Figure 1 and 2, we have a natural isomorphism:

$$H_1(X_{P,0}, \mathbf{Z}) \simeq H_1(X_{P,0,\mathbf{R}}, \mathbf{Z}) \simeq H_{P,1}. \quad \square)$$

ii) Using the double cover expressions of fibers $X_{P,t}$ in the proof of **Theorem 3**., we can show that $f_P^{-1}([0, t])$ ($t \in (0, 1)$) retracts to its subset $X_{P,0}$. Then composing with the inclusion map $X_{P,t} \subset f_P^{-1}([0, t])$, we get an exact sequence

$$H_{P,0} \rightarrow H_1(X_{P,t}, \mathbf{Z}) \xrightarrow{r} H_1(X_{P,0}, \mathbf{Z}) \rightarrow 0,$$

where the restriction of r to the submodule $H_{P,1}$ composed with the isomorphism (2.1.20) induces the identity on $H_{P,1}$. This implies that $H_{P,1}$ is a factor of $H_1(X_{P,t}, \mathbf{Z})$.

iii) What remains to show is that $H_{P,0}$ is injectively embedded in $H_1(X_{P,t}, \mathbf{Z})$. This can be partially shown by using the non-degeneracy of the intersection relations (2.1.18) as follows.

Let $\gamma \in H_{P,0}$ be a non-zero element, whose image in $H_1(X_{P,t}, \mathbf{Z})$ is zero. Then solving the relation $I_P(\gamma, \gamma_{P,c}) = 0$ for $c = c_{P,1}^{(n)} \in C_{P,1}$ (see Notation in §2.2) from large enough $n \in \mathbf{Z}_{>0}$ back wards to 1, we see successive vanishings of the coefficients of γ , and finally see that γ , up to a constant factor, is equal to $\gamma_{D,0}^+ - \gamma_{D,0}^-$ (see §2.2 for Notation $\gamma_{D,0}^+$ and $\gamma_{D,0}^-$). In order to show that this is not possible, we prepare a fact.

iv) **Fact.** *The function f_P of type P is invariant by the involution $\sigma : \mathbf{X}_P \rightarrow \mathbf{X}_P$, $(x, y) \mapsto (x, -y)$ on its domain, i.e. $f_P \circ \sigma = f_P$. The induced involution on the surface $X_{P,t}$, denoted again by σ , is equivariant with the covering map $\pi_{P,t}$ (1.3.11), i.e. $\pi_{P,t} \circ \sigma = \pi_{P,t}$. Then, one has $\sigma_*(\gamma_{P,c}) = -\gamma_{P,c}$ for all $c \in C_P$, except for the following two cases*

$$\sigma_*(\gamma_{D,0}^+) = -\gamma_{D,0}^- \quad \text{and} \quad \sigma_*(\gamma_{D,0}^-) = -\gamma_{D,0}^+.$$

Proof of Fact. Except for the cases $\gamma_{D,0}^+$ and $\gamma_{D,0}^-$, we can choose the coordinate in (2.1.13) in such manner that $\sigma(u, v) = (u, -v)$. \square

v) Assuming $\gamma_{D,0}^+ = \gamma_{D,0}^-$, let us show a contradiction. Consider the homomorphism $(\pi_D)_* : H_1(X_{D,t}, \mathbf{Z}) \rightarrow H_1(\mathbf{C}_D, \mathbf{Z}) \simeq \mathbf{Z}$. Above **Fact.** implies $(\pi_D)_*(\gamma_{D,0}^+) = (\pi_D \circ \sigma)_*(\gamma_{D,0}^+) = (\pi_D)_* \circ \sigma_*(\gamma_{D,0}^+) = -(\pi_D)_*(\gamma_{D,0}^-)$ which, by the assumption, is equal to $-(\pi_D)_*(\gamma_{D,0}^+)$. Thus, we get $(\pi_D)_*(\gamma_{D,0}^+) = 0$. This contradicts to the fact that $(\pi_D)_*(\gamma_{D,0}^+)$ generates $H_1(\mathbf{C}_D, \mathbf{Z}) \simeq \mathbf{Z}$ (observed easily from the fact that the equation $x = 0$ defines i) a branch of $X_{D,0,\mathbf{R}}$ at the nodal point $c_{D,0}^+$ and also ii) the puncture in \mathbf{C}_D , and from the description of $\gamma_{D,0}^+$ in (2.1.13)).

This completes a proof of Theorem 4. and 5. \square

Remark. In the step v) in above proof, we may use a σ -invariant form $\omega := \text{Res}\left[\frac{ydx dy}{f_D - t}\right]$. Since $\int_{\gamma_{D,0}^+} \omega = \int_{\gamma_{D,0}^+} \sigma^*(\omega) = \int_{\sigma_*(\gamma_{D,0}^+)} \omega = -\int_{\gamma_{D,0}^-} \omega$, the assumption $\gamma_{D,0}^+ = \gamma_{D,0}^-$ implies $\int_{\gamma_{D,0}^+} \omega = 0$. On the other hand, $\omega = \text{Res}\left[\frac{ydx dy}{f_D - t}\right] = \frac{dx}{2x}|_{X_{D,t}}$, and hence $\int_{\gamma_{D,0}^+} \omega = \pm\sqrt{-1}\pi \neq 0$. A contradiction!

2.2. Quivers of type $A_{\frac{1}{2}\infty}$ and $D_{\frac{1}{2}\infty}$.

We encode homological data of vanishing cycles of f_P in a quiver Γ_P .

Definition. A quiver Γ_P of type $P \in \{A_{\frac{1}{2}\infty}, D_{\frac{1}{2}\infty}\}$ is defined by

- i) The set of vertices of Γ_P is bijective to $\{\gamma_{P,c} \mid c \in C_{P,0} \cup C_{P,1}\}$.
- ii) We put an oriented edge from $\gamma_{P,c}$ to $\gamma_{P,c'}$ if and only if $c \in C_{P,0}$, $c' \in C_{P,1}$ and $c \in \overline{B}_{c'}$, that is, when $J_P(\gamma_{P,c}, \gamma_{P,c'}) = -1$.

Let us fix a numbering of elements in $C_{P,0} \cup C_{P,1}$ as follows.

$$\begin{aligned} C_{A,0} &= \{c_{A,0}^{(n)} := (n^2\pi^2, 0)\}_{n \in \mathbf{Z}_{>0}} \\ C_{A,1} &= \{c_{A,1}^{(n)} := ((n - \frac{1}{2})^2\pi^2, 0)\}_{n \in \mathbf{Z}_{>0}} \\ C_{D,0} &= \{c_{D,0}^{(n)} := (n^2\pi^2, 0)\}_{n \in \mathbf{Z}_{>0}} \cup \{c_{D,0}^+ := (0, 1), c_{D,0}^- := (0, -1)\} \\ C_{D,1} &= \{c_{D,1}^{(n)} := ((n - \frac{1}{2})^2\pi^2, 0)\}_{n \in \mathbf{Z}_{>0}}. \end{aligned}$$

According to them, the vertices of the quiver Γ_P are numbered as below.

$$\begin{array}{l} \Gamma_{A_{\frac{1}{2}\infty}} : \quad \gamma_{A,1}^{(1)} \longrightarrow \gamma_{A,0}^{(1)} \longleftarrow \gamma_{A,1}^{(2)} \longrightarrow \gamma_{A,0}^{(2)} \longleftarrow \gamma_{A,1}^{(3)} \longrightarrow \gamma_{A,0}^{(3)} \longleftarrow \cdots \\ \Gamma_{D_{\frac{1}{2}\infty}} : \quad \begin{array}{c} \gamma_{D,0}^+ \\ \swarrow \\ \gamma_{D,1}^{(1)} \longrightarrow \gamma_{D,0}^{(1)} \longleftarrow \gamma_{D,1}^{(2)} \longrightarrow \gamma_{D,0}^{(2)} \longleftarrow \gamma_{D,1}^{(3)} \longrightarrow \cdots \\ \nwarrow \\ \gamma_{D,0}^- \end{array} \end{array}$$

Note that the decomposition of the critical set C_P into $C_{P,0} \cup C_{P,1}$ gives arise the bi-partite (or principal) decomposition of the quiver Γ_P .

Remark. A real polynomial in one variable, such that 1) it has only non degenerate critical points with two critical values 0 and 1 and 2) vanishing cycles associated with its critical points form the bipartite decomposed Dynkin diagram of type A_l , is (up to suspensions, see §2.3) well-known as the *Chebyshev polynomial*. Thus, the functions $f_{A_{\frac{1}{2}\infty}}$ and $f_{D_{\frac{1}{2}\infty}}$ may be regarded as transcendental analogues of Chebyshev polynomials.

More generally, for any Dynkin quiver of finite type P (i.e. $P \in \{A_l \ (l \geq 1), B_l \ (l \geq 2), C_l \ (l \geq 3), D_l \ (l \geq 4), E_l \ (l = 6, 7, 8), F_4, G_2\}$), there are real polynomials $f_P(x, y)$ such that they have only non-degenerate critical points with only two critical values and 2) the vanishing cycles associated with the critical points give the bi-partite decomposition of the Dynkin quiver of type P . They form a (half) line, called the *real vertex orbit axis*, in the real deformation parameter space of real simple singularities (see [Sa2, §2.5]). Thus, the functions $f_{A_{\frac{1}{2}\infty}}$ and $f_{D_{\frac{1}{2}\infty}}$ in the present paper are their transcendental analogues for the quivers of types $A_{\frac{1}{2}\infty}$ and $D_{\frac{1}{2}\infty}$, respectively. Theory of primitive forms for simple singularities is established [Sa1]. The present paper is a step towards construction of primitive forms of types $A_{\frac{1}{2}\infty}$ and $D_{\frac{1}{2}\infty}$.

2.3. Suspensions to higher dimensions. .

In this subsection, we briefly describe the suspensions of the results in previous subsections to higher dimensional cases.

For a type $P \in \{A_{\frac{1}{2}\infty}, D_{\frac{1}{2}\infty}\}$ and $n \in \mathbf{Z}_{\geq 0}$, let us introduce the n -th *suspension* of f_P as the entire functions in $2 + n$ -variables x, y and $\underline{z} = (z_1, \dots, z_n)$ defined by

$$(2.3.21) \quad f_P^{(n)}(x, y, \underline{z}) := f_P(x, y) - z_1^2 - \dots - z_n^2.$$

Then, replacing the function f_P by $f_P^{(n)}$ and the domain $\mathbf{X}_P = \mathbf{C}^2$ by $\mathbf{X}_P^{(n)} = \mathbf{C}^2 \times \mathbf{C}^n$, we obtain a holomorphic map $(1.3.5)^{(n)}$ whose fibers, denoted by $X_{P,t}^{(n)}$ ($t \in \mathbf{C}$), are Stein variety of complex dimension $n+1$.

Replacing, further, the real form \mathbf{R}^2 of \mathbf{X}_P by the real form $\mathbf{R}^2 \times \mathbf{R}^n$ of $\mathbf{X}_P^{(n)}$, **Theorem 1., 2., 3.** in §1.3 hold completely parallelly for $f_P^{(n)}$, where the set of critical points of $f_P^{(n)}$ is bijective to that of f_P by the natural embedding $\mathbf{X}_P \subset \mathbf{X}_P^{(n)}$ so that we identify them. Then the signature of Hessians of $f_P^{(n)}$ at points of $C_{P,0}$ is $(1, n+1)$ and that at

points of $C_{P,1}$ is $(0, n+2)$. The suspended fibration shall be referred by $(1.3.10)^{(n)}$. The proof are reduced to the original case $n=0$.

Applying n -times suspension S on a homology class γ in $H_1(X_{P,t}, \mathbf{Z})$, we obtain an element $S^n \gamma$ of the middle homology group $H_{n+1}(X_{P,t}^{(n)}, \mathbf{Z})$ of the fiber $X_{P,t}^{(n)}$. In particular, the suspension $S^n \gamma_{P,c}$ of a vanishing cycle $\gamma_{P,c}$ of f_P at a critical point $c \in C_P$ is a vanishing cycle of $f_P^{(n)}$ at the same critical point, which, for simplicity, we shall denote again by $\gamma_{P,c}$. Then replacing $H_1(X_{P,t}, \mathbf{Z})$ by the middle homology group $H_{n+1}(X_{P,t}^{(n)}, \mathbf{Z})$, **Theorem 4.** in §2.1 holds completely parallely, where we keep notations (2.1.14) and (2.1.15).

The intersection form $I_P^{(n)}$ on the middle homology group is well-known to be symmetric or skew-symmetric according as cycles are even or odd dimensional (i.e. according as $n-1$ is even or odd). It is also wellknown that $I_P^{(n)}(\gamma_{P,c}, \gamma_{P,c}) = (-1)^{\frac{n+1}{2}} 2$ for even dimensional vanishing cycles (i.e. when n is odd). Therefore, the formula (2.1.17) of the intersection form in **Theorem 5.** need to be slightly modified as in the following theorem, where we keep the notation J_P and ${}^t J_P$ together with the formulae (2.1.18) and (2.1.19).

Theorem 5⁽ⁿ⁾. *Let $I_P^{(n)} : H_{n+1}(X_{P,t}^{(n)}, \mathbf{Z}) \times H_{n+1}(X_{P,t}^{(n)}, \mathbf{Z}) \rightarrow \mathbf{Z}$ be the intersection form on middle-homology groups of the fibers of the fibration $(1.3.10)^{(n)}$. Then we have the following 4-periodic expression.*

$$(2.3.22) \quad I_P^{(n)} = (-1)^{[\frac{n+1}{2}]} J_P - (-1)^{[\frac{n}{2}]} {}^t J_P.$$

The proof of Theorem is standard, and is omitted. Actually, the form $I_P^{(n)}$ is symmetric for n odd and is skew symmetric for n even.

Remark. We may regard that the form J_P is an infinite rank analogue of a *Seifert matrix* with respect to a “suitable compactification” of the three-fold $f_P^{-1}(S^1)$, where S^1 is a circle in the base space \mathbf{C} of (1.3.5) which encloses the two points 0 and 1. However, we do not pursue any further this analogy (see §1.3 Remark and the next subsection §2.4).

2.4. Monodromy Transformations and Coxeter elements. .

The fundamental group $\pi_1(\mathbf{C} \setminus \{0, 1\}, t_0)$ with $t_0 \in (0, 1)$ of the base space of the fibration $(1.3.10)^{(n)}$ has two generators g_0 and g_1 which are presented by circular paths in $\mathbf{C} \setminus \{0, 1\}$ starting at t_0 and turning once around the point 0 and 1 counterclockwise, respectively. Let $\sigma_{P,0}^{(n)}$ (resp. $\sigma_{P,1}^{(n)}$) be the monodromy action of g_0 (resp. g_1) on the middle homology group $(2.1.13)^{(n)}$ of the fiber of the family $(1.3.10)^{(n)}$, which preserves the intersection form (2.3.22). Though the singular fibers $X_{P,0}^{(n)}$ and

$X_{P,1}^{(n)}$ have infinitely many critical points, we can apply Picard-Lefschetz formula. That is, for $u \in H_P := H_{P,0} \oplus H_{P,1}$

$$\begin{aligned}
 \sigma_{P,0}^{(n)}(u) &= u + (-1)^{\lfloor \frac{n}{2} \rfloor} \sum_{c \in C_{P,0}} I_P^{(n)}(u, \gamma_{P,c}) \gamma_{P,c} \\
 &= u + \sum_{c \in C_{P,0}} ((-1)^n J_P(u, \gamma_{P,c}) - J_P(\gamma_{P,c}, u)) \gamma_{P,c} \\
 (2.4.23) \quad &= \begin{cases} (-1)^n u & \text{if } u \in H_{P,0} \\ u - \sum_{c \in C_{P,0}} J_P(\gamma_{P,c}, u) \gamma_{P,c} & \text{if } u \in H_{P,1} \end{cases}
 \end{aligned}$$

$$\begin{aligned}
 \sigma_{P,1}^{(n)}(u) &= u + (-1)^{\lfloor \frac{n}{2} \rfloor} \sum_{c \in C_{P,1}} I_P^{(n)}(u, \gamma_{P,c}) \gamma_{P,c} \\
 &= u + \sum_{c \in C_{P,1}} ((-1)^n J_P(u, \gamma_{P,c}) - J_P(\gamma_{P,c}, u)) \gamma_{P,c}, \\
 (2.4.24) \quad &= \begin{cases} u + (-1)^n \sum_{c \in C_{P,1}} J_P(u, \gamma_{P,c}) \gamma_{P,c} & \text{if } u \in H_{P,0} \\ (-1)^n u & \text{if } u \in H_{P,1}. \end{cases}
 \end{aligned}$$

Note that $\sigma_{P,0}^{(n)} = \sigma_{P,0}^{(n+2)}$ and $\sigma_{P,1}^{(n)} = \sigma_{P,1}^{(n+2)}$ for $n \in \mathbf{Z}_{\geq 0}$.

Note. From the definition immediately, we see the involutivity relations

$$(2.4.25) \quad (\sigma_{P,0}^{(n)})^2 = (\sigma_{P,1}^{(n)})^2 = \text{id}_{H_P} \quad \text{for odd } n \in \mathbf{Z}_{\geq 0}$$

are satisfied. Using the fact that the type of the quiver Γ_P is either $A_{\frac{1}{2}\infty}$ or $D_{\frac{1}{2}\infty}$, i.e. the “inductive limit” of A_l or D_l for $l \rightarrow \infty$, we can show that there is no more relations among $\sigma_{P,0}^{(n)}$ and $\sigma_{P,1}^{(n)}$. Actually, we shall see in the next section that the eigenvalues in a suitable sense of the product $\sigma_{P,0}^{(n)} \circ \sigma_{P,1}^{(n)}$ is “dense” in the unit circle S^1 in \mathbf{C}^\times .

Definition. In analogy with the classical simple singularities, let us call the product of the two monodromy transformations $\sigma_{P,0}^{(n)}$ and $\sigma_{P,1}^{(n)}$ a *Coxeter element*. Two Coxeter elements depending on the order of the product are conjugate to each other. We fix one order as follows and call the product the Coxeter element.

$$\begin{aligned}
 (2.4.26) \quad \text{Cox}_P^{(n)}(u) &:= \sigma_{P,0}^{(n)} \circ \sigma_{P,1}^{(n)}(u) \\
 &= \begin{cases} (-1)^n (u + \sum_{c \in C_{P,1}} J_P(u, \gamma_{P,c}) \gamma_{P,c} \\ - \sum_{c \in C_{P,1}} \sum_{d \in C_{P,0}} J_P(u, \gamma_{P,c}) J_P(\gamma_{P,d}, \gamma_{P,c}) \gamma_{P,d}) & \text{if } u \in H_{P,0} \\ (-1)^n (u - \sum_{c \in C_{P,0}} J_P(\gamma_{P,c}, u) \gamma_{P,c}) & \text{if } u \in H_{P,1}. \end{cases}
 \end{aligned}$$

Observation. The Coxeter element is, up to the sign factor $(-1)^n$, independent of the suspensions for $n \in \mathbf{Z}_{\geq 0}$ (2.3.21).

Remark. It is wellknown that a classical Coxeter element for a root system of finite type is semisimple of finite order, and $\frac{1}{2\pi i} \log$ of its

eigenvalues, referred as *spectra*, play important role ([Bo]). The Coxeter elements of types $A_{\frac{1}{2}\infty}$ and $D_{\frac{1}{2}\infty}$ are no longer of finite order. However, in the next section, we show that they are diagonalizable in suitable sense and the *spectra* for them are introduced, where the sign factor $(-1)^n$ of the Coxeter elements is lifted to the shift by $\frac{n}{2}$ of the spectra. The spectra should play a key role for primitive forms of type $A_{\frac{1}{2}\infty}$ and $D_{\frac{1}{2}\infty}$ in a forth coming paper, where the shift of the spectra corresponds to the $\frac{n}{2}$ -shift of the primitive forms in the semi-infinite Hodge filtration.

3. SPECTRA OF COXETER ELEMENTS

We study spectra of the Coxeter element $Cox_P^{(n)}$ for $P \in \{A_{\frac{1}{2}\infty}, D_{\frac{1}{2}\infty}\}$. For the purpose, we extend the domain of the Coxeter element to the completion of $H_{P,\mathbf{C}} := H_P \otimes_{\mathbf{Z}} \mathbf{C}$ with respect to the l^2 -norm with the orthonormal basis $\{\gamma_{P,c}\}_{c \in C_P}$. The Coxeter element action on this space is diagonalizable (in a suitable sense) where the eigenvalues take values in the unit circle $S^1 \subset \mathbf{C}^\times$. Then, we introduce the *spectra* of the Coxeter element as the $\frac{1}{2\pi\sqrt{-1}}$ log of the eigenvalues where the branch of the logarithm is normalized to the interval $(\frac{n-1}{2}, \frac{n+1}{2})$.

3.1. Hilbert space $\overline{H}_{P,\mathbf{C}}$.

Consider \mathbf{C} -vector spaces obtained by the complexification of the \mathbf{Z} -lattices $H_{P,0}$, $H_{P,1}$ and H_P (recall (2.1.14), (2.1.15) and (2.1.16)):

$$(3.1.27) \quad H_{P,0,\mathbf{C}} := H_{P,0} \otimes_{\mathbf{Z}} \mathbf{C}, H_{P,1,\mathbf{C}} := H_{P,1} \otimes_{\mathbf{Z}} \mathbf{C} \quad \text{and} \quad H_{P,\mathbf{C}} := H_P \otimes_{\mathbf{Z}} \mathbf{C}.$$

We equip them with a hermitian inner product $\langle \cdot, \cdot \rangle$ defined by

$$(3.1.28) \quad \left\langle \sum_{c \in C_P} a_c \gamma_{P,c}, \sum_{c \in C_P} b_c \gamma_{P,c} \right\rangle := \sum_{c \in C_P} a_c \bar{b}_c,$$

where a_c, b_c ($c \in C_P$) are complex numbers. Then, the l^2 -completions of the spaces with respect to this inner product are separable Hilbert spaces, denoted by $\overline{H}_{P,0,\mathbf{C}}$, $\overline{H}_{P,1,\mathbf{C}}$ and $\overline{H}_{P,\mathbf{C}}$, respectively. We have the orthogonal direct sum decomposition:

$$(3.1.29) \quad \overline{H}_{P,\mathbf{C}} = \overline{H}_{P,0,\mathbf{C}} \oplus \overline{H}_{P,1,\mathbf{C}}.$$

Let us denote by π_0 and π_1 the orthogonal projections of the space $\overline{H}_{P,\mathbf{C}}$ to the subspaces $\overline{H}_{P,0,\mathbf{C}}$ and $\overline{H}_{P,1,\mathbf{C}}$, respectively, so that the sum

$$id_{\overline{H}_{P,\mathbf{C}}} = \pi_0 + \pi_1$$

is the identity map on $\overline{H}_{P,\mathbf{C}}$.

Remark that the lattice H_P is self-dual: $\text{Hom}_{\mathbf{Z}}(H_P, \mathbf{Z}) \cap \overline{H}_{P,\mathbf{C}} = H_P$.

Convention. In the sequel of the present paper, we freely identify a continuous bilinear form A on $\overline{H}_{P,\mathbf{C}}$ (resp. $H_{P,\mathbf{C}}$) and a continuous

endomorphism \dot{A} on $\overline{H}_{P,\mathbf{C}}$ (resp. $H_{P,\mathbf{C}}$) by the following relations:

$$A(\xi, \eta) = \langle \dot{A}(\xi), \eta \rangle \quad \text{and} \quad \sum_{c \in C_P} A(u, \gamma_{P,c}) \gamma_{P,c} = \dot{A}(u).$$

Transposes tA of A and ${}^t(\dot{A})$ of \dot{A} are defined by the relations ${}^tA(\xi, \eta) = A(\eta, \xi)$ and $\langle \dot{A}(u), v \rangle = \langle u, {}^t(\dot{A})(v) \rangle$, respectively. Then, ${}^t(\dot{A}) = ({}^tA)$.

3.2. Extendability of $I_P^{(n)}$ and $\text{Cox}_P^{(n)}$ on \overline{H}_P .

In order to calculate the eigenvalues of the intersection forms $I_P^{(n)}$ and the Coxeter elements $\text{Cox}_P^{(n)}$, we use the identification mentioned at the end of §3.1. Before we do this, we need to check that they are continuously extendable to the completion $\overline{H}_{P,\mathbf{C}}$. This is achieved by using the extendabilities of the endomorphisms \dot{J}_P , ${}^t\dot{J}_P$ associated with the bilinear forms (2.1.18) and (2.1.19). Put

$$(3.2.30) \quad \begin{aligned} \dot{J}_P(u) &:= \sum_{c \in C_P} J(u, \gamma_{P,c}) \gamma_{P,c} \\ &= \begin{cases} u + \sum_{c \in C_{P,1}} J_P(u, \gamma_{P,c}) \gamma_{P,c} & \text{if } u \in H_{P,0} \\ u & \text{if } u \in H_{P,1} \end{cases} \end{aligned}$$

$$(3.2.31) \quad \begin{aligned} {}^t\dot{J}_P(u) &:= \sum_{c \in C_P} {}^tJ(u, \gamma_{P,c}) \gamma_{P,c} \\ &= \begin{cases} u & \text{if } u \in H_{P,0} \\ u + \sum_{c \in C_{P,0}} J_P(\gamma_{P,c}, u) \gamma_{P,c} & \text{if } u \in H_{P,1} \end{cases} \end{aligned}$$

which are endomorphisms on $H_{P,\mathbf{C}}$, since the quiver Γ_P in §2.2 is locally finite, i.e. any vertex is connected with only finite number of other vertices. The inverse action of \dot{J}_P (resp. ${}^t\dot{J}_P$) on $H_{P,\mathbf{C}}$ can be obtained by just replacing “+” by “−” in RHS of (3.2.30) (resp. (3.2.31)).

Assertion 1. *The endomorphisms \dot{J}_P , ${}^t\dot{J}_P$ and their inverses \dot{J}_P^{-1} , ${}^t\dot{J}_P^{-1}$ acting on $H_{P,\mathbf{C}}$ are extendable to bounded endomorphisms on $\overline{H}_{P,\mathbf{C}}$. The extensions are transpose to each other.*

Proof. We show only the extendability of the domain of endomorphisms \dot{J}_P , ${}^t\dot{J}_P$ and their inverses \dot{J}_P^{-1} , ${}^t\dot{J}_P^{-1}$ from $H_{P,\mathbf{C}}$ to $\overline{H}_{P,\mathbf{C}}$, where the extensions are denoted by the same notation. Then the relations ${}^t(\dot{J}_P) = {}^t\dot{J}_P$, $\dot{J}_P \dot{J}_P^{-1} = \text{id}_{H_P}$, ..., etc. are automatically preserved for the extensions.

The quivers $\Gamma_{A_{\frac{1}{2}\infty}}$ and $\Gamma_{D_{\frac{1}{2}\infty}}$ show that any critical point $c \in C_{P,0}$ is adjacent to at most two bdd components. In view of (3.2.30), this implies the inequality $\|\dot{J}_P(u) - u\| \leq 2\|u\|$. Hence \dot{J}_P is extendable to a bounded endomorphisms on $\overline{H}_{P,\mathbf{C}}$, denoted by the same \dot{J}_P .

We observe also that, to any bdd component, at most 3 critical points in $C_{P,0}$ are adjacent (actually, 3 occurs only one bdd component for the critical point $c_{D,1}^{(1)}$ of type $D_{\frac{1}{2}\infty}$). In view of (3.2.31), we get an inequality $\| {}^t\dot{J}_P(u) - u \| \leq 3 \| u \|$, implying again the extendability of ${}^t\dot{J}_P$ to a bounded endomorphism on $\overline{H}_{P,\mathbf{C}}$, denoted by the same ${}^t\dot{J}_P$.

Similar arguments shows the extendability of the inverses. \square

An immediate consequence of **Assertion 1** is that *the endomorphism*

$$(2.3.22)^{\bullet} \quad \dot{I}_P^{(n)} := (-1)^{[\frac{n+1}{2}]} \dot{J}_P - (-1)^{[\frac{n}{2}]} {}^t\dot{J}_P$$

defined on $H_{P,\mathbf{C}}$ is extendable to a bounded endomorphism on $\overline{H}_{P,\mathbf{C}}$.

Another important consequence of **Assertion 1** is the following.

Corollary. *The Coxeter element $\text{Cox}_P^{(n)}$ ($n \in \mathbf{Z}_{\geq 0}$) defined on $H_{P,\mathbf{C}}$ is extendable to an invertible bounded automorphism on $\overline{H}_{P,\mathbf{C}}$.*

Proof. Let us, first, show a formula:

$$(3.2.32) \quad \text{Cox}_P^{(n)} = (-1)^n ({}^t\dot{J}_P)^{-1} \dot{J}_P,$$

on H_P by a direct calculation using formulae (2.4.26), (3.2.30) and

$$(3.2.30)^{-1} \quad ({}^t\dot{J}_P)^{-1}(u) \doteq \begin{cases} u & \text{if } u \in H_{P,0} \\ u - \sum_{c \in C_{P,0}} ! J_P(\gamma_{P,c}, u) \gamma_{P,c} & \text{if } u \in H_{P,1}. \end{cases} !$$

Then, RHS of (3.2.32) is extendable to a bounded operator on $\overline{H}_{P,\mathbf{C}}$.

Invertibility of $\text{Cox}_P^{(n)}$ follows from that of \dot{J}_P and ${}^t\dot{J}_P$. \square

Remark. Let $\check{H}_{P,\mathbf{C}} := \text{Hom}_{\mathbf{C}}(H_{P,\mathbf{C}}, \mathbf{C})$ be the (formal) dual vector space of $H_{P,\mathbf{C}}$. The contragradient actions on $\check{H}_{P,\mathbf{C}}$ of the endomorphisms \dot{J}_P , ${}^t\dot{J}_P$, $\dot{I}_P^{(n)}$, ${}^t\dot{I}_P^{(n)}$, $\text{Cox}_P^{(n)}$ and ${}^t\text{Cox}_P^{(n)}$ on $H_{P,\mathbf{C}}$ shall be denoted, as usual, by the super script “ ${}^t(-)$ ” such that “ ${}^{tt}(-) = (-)$ ”.

On the other hand, by regarding $\{\gamma_{P,c}\}_{c \in C_P}$ as the self-dual basis, $\check{H}_{P,\mathbf{C}}$ is identified with the direct product $\prod_{c \in C_P} \mathbf{C} \gamma_{P,c}$ so that we have natural inclusions of \mathbf{C} -vector spaces:

$$H_{P,\mathbf{C}} \subset \overline{H}_{P,\mathbf{C}} \subset \check{H}_{P,\mathbf{C}}.$$

Then it is easy to verify that the extensions of \dot{J}_P , ${}^t\dot{J}_P$, $\dot{I}_P^{(n)}$, ${}^t\dot{I}_P^{(n)}$, $\text{Cox}_P^{(n)}$ and ${}^t\text{Cox}_P^{(n)}$ to the spaces $\overline{H}_{P,\mathbf{C}}$ and $\check{H}_{P,\mathbf{C}}$ are naturally compatible with respect to the above inclusions. The relationships between these extensions and the transpositions are given as follows:

$${}^t\dot{I}_P^{(n)} = (-1)^{n+1} \dot{I}_P^{(n)} \quad \text{and} \quad ({}^t\text{Cox}_P^{(n)})^{-1} = \dot{J}_P \text{Cox}_P^{(n)} \dot{J}_P^{-1}.$$

However, the bilinear form $I_{P,\mathbf{C}}$ itself is no longer extendable to $\check{H}_{P,\mathbf{C}}$ and the endomorphism \dot{I}_P on $\check{H}_{P,\mathbf{C}}$ has non-trivial kernel.

3.3. Spectral decomposition of $I_P^{(n)}$ for odd n .

Using the fact (2.3.22), the bilinear form $I_P^{(n)}$ is symmetric for odd n . Let us consider the operator for the cases $n \in \mathbf{Z}_{\geq 0}$ with $n \equiv 3 \pmod{4}$,⁶

$$(3.3.33) \quad \dot{I}_P := \dot{I}_P^{(n)} = \dot{J}_P + {}^t \dot{J}_P.$$

We, first, determine the point spectrum of the symmetric operator \dot{I}_P on $\overline{H}_{P,\mathbf{C}}$. Let us consider following two eigenspaces for $\lambda \in \mathbf{C}$:

$$(3.3.34) \quad \check{H}_{P,\lambda} := \{\xi \in \check{H}_{P,\mathbf{C}} \mid \dot{I}_P(\xi) = \lambda \xi\} \quad \text{and} \quad \overline{H}_{P,\lambda} := \check{H}_{P,\lambda} \cap \overline{H}_{P,\mathbf{C}}.$$

Assertion 2. *For each type $P \in \{A_{\frac{1}{2}\infty}, D_{\frac{1}{2}\infty}\}$ and all $\lambda \in \mathbf{C}$, we have*

$$(3.3.35) \quad \dim_{\mathbf{C}} \check{H}_{P,\lambda} = 1 \quad \text{and} \quad \dim_{\mathbf{C}} \overline{H}_{P,\lambda} = 0,$$

except for the case $P = D_{\frac{1}{2}\infty}$ and $\lambda = 2$, where we have

$$(3.3.36) \quad \dim_{\mathbf{C}} \check{H}_{D_{\frac{1}{2}\infty},2} = 2 \quad \text{and} \quad \dim_{\mathbf{C}} \overline{H}_{D_{\frac{1}{2}\infty},2} = 1,$$

and $\overline{H}_{D_{\frac{1}{2}\infty},2}$ is spanned by a vector $\eta_{D_{\frac{1}{2}\infty},2} := \gamma_{D,0}^+ - \gamma_{D,0}^-$.

Proof. This is shown by solving the equation $\dot{I}_P(\xi) = \lambda \xi$ for the coefficients of $\xi = \sum_{c \in C_P} a_c \gamma_{P,c} \in \check{H}_{P,\mathbf{C}}$ formally and inductively according to the following labeling and ordering of coefficients:

$$\begin{array}{lcl} \Gamma_{A_{\frac{1}{2}\infty}} : & a_0 \longrightarrow a_1 \longleftarrow a_2 \longrightarrow a_3 \longleftarrow a_4 \longrightarrow a_5 \longleftarrow & \cdots \\ & b_0^+ \swarrow & \\ \Gamma_{D_{\frac{1}{2}\infty}} : & b_1 \longrightarrow b_2 \longleftarrow b_3 \longrightarrow b_4 \longleftarrow b_5 \longrightarrow & \cdots \\ & b_0^- \searrow & \end{array}$$

Details of the calculation are omitted. Results are summerized as:

$A_{\frac{1}{2}\infty}$: The space $\check{H}_{A_{\frac{1}{2}\infty},\lambda}$ for any $\lambda \in \mathbf{C}$ is spanned by

$$\check{\xi}_{A_{\frac{1}{2}\infty},\lambda} : a_n = \frac{\exp((n+1)\sqrt{-1}\pi\theta) - \exp(-(n+1)\sqrt{-1}\pi\theta)}{\exp(\sqrt{-1}\pi\theta) - \exp(-\sqrt{-1}\pi\theta)} \quad (n \geq 0)$$

where θ is any complex number satisfying $\lambda = 4 \sin^2(\frac{\pi}{2}\theta)$. In case $\lambda = 0$ or 4 (i.e. when $\theta \in \mathbf{Z}$), we interpret this formula as $a_n = \pm(n+1)$.

$D_{\frac{1}{2}\infty}$: For all $\lambda \in \mathbf{C}$, let us introduce a vector

$$\check{\xi}_{D_{\frac{1}{2}\infty},\lambda} : b_0^+ = 1, b_0^- = 1, b_n = \exp(n\sqrt{-1}\theta) + \exp(-n\sqrt{-1}\theta) \quad (n \geq 1)$$

where θ is any complex number satisfying the equation $\lambda = 4 \sin^2(\frac{\pi}{2}\theta)$. Then, the space $\check{H}_{D_{\frac{1}{2}\infty},\lambda}$ for any $\lambda \neq 2$ is spanned by $\check{\xi}_{D_{\frac{1}{2}\infty},\lambda}$. The space $\check{H}_{D_{\frac{1}{2}\infty},2}$ is spanned by $\check{\xi}_{D_{\frac{1}{2}\infty},2}$ and

$$\eta_{D_{\frac{1}{2}\infty}} := \gamma_{D,0}^+ - \gamma_{D,0}^- : b_0^+ = 1, b_0^- = -1, b_n = 0 \quad (n \geq 1).$$

The norm $\langle \check{\xi}_{P,\lambda}, \check{\xi}_{P,\lambda} \rangle$ (3.1.28) for all $P \in \{A_{\frac{1}{2}\infty}, D_{\frac{1}{2}\infty}\}$ and $\lambda \in \mathbf{C}$ is unbounded, whereas $\eta_{D_{\frac{1}{2}\infty}}$ has the norm $\langle \eta_{D_{\frac{1}{2}\infty}}, \eta_{D_{\frac{1}{2}\infty}} \rangle = 2$. \square

⁶We choose the form I_P for $n \equiv 3 \pmod{4}$, since it is positive and symmetric, defining a “root lattice structure of infinite rank” on H_P (cf. Proof of Assertion 3.).

Corollary. *The point spectrum of the operator $\dot{I}_{A_{\frac{1}{2}\infty}}$ on $\overline{H}_{P,\mathbf{C}}$ is empty, and that of $\dot{I}_{D_{\frac{1}{2}\infty}}$ consists in the single eigenvalue $\lambda = 2$ with multiplicity 1. In particular, the operator \dot{I}_P is non-degenerate on $\overline{H}_{P,\mathbf{C}}$.*

Remark. By introducing the double cover of the λ -plane by $\mu := \exp(\pi\sqrt{-1}\theta) \in \mathbf{C} \setminus \{0\}$ with the relation $2 - \lambda = \mu + \mu^{-1}$, the base $\check{\xi}_{P,\lambda}$ in the proof of **Assertion 2** can be expressed in terms of Laurent polynomials in μ . Then, the reader may be puzzled in the above proof by the reason of introducing the parameter θ instead of μ . We used the parameter θ since it shall parametrize the spectra of Coxeter elements in the next paragraph. We remark also that $\lambda \in [0, 4] \Leftrightarrow \theta \in \mathbf{R}$.

For a symmetric operator \dot{I}_P on $\overline{H}_{P,\mathbf{C}}$, the *greatest lower bound* and the *least upper bound* are defined as the maximal real number m and the minimal real number M satisfying the following inequalities, respectively (see [R-N, §104]).

$$(3.3.37) \quad m\langle \xi, \xi \rangle \leq \langle \dot{I}_P(\xi), \xi \rangle = I_P(\xi, \xi) \leq M\langle \xi, \xi \rangle \quad \forall \xi \in \overline{H}_{P,\mathbf{C}}$$

Assertion 3. *The greatest lower bound m and the least upper bound M of \dot{I}_P for both $P \in \{A_{\frac{1}{2}\infty}, D_{\frac{1}{2}\infty}\}$ is given by $m = 0$ and $M = 4$.*

Proof. For the definition of m and M , it is sufficient to run ξ only in H_P in the defining relation (3.3.37), since $H_{P,\mathbf{C}}$ is dense in $\overline{H}_{P,\mathbf{C}}$. Any $\xi \in H_P$ is contained in a sublattice L of H_P generated by the vertices of a finite (connected) subdiagram Γ of Γ_P (recall §2.2). Actually, Γ is a diagram of type either A_l or D_l for some $l \in \mathbf{Z}_{>0}$ and $I_P|_L$ gives a root lattice structure of that type on L . That is, $\{I_P(\gamma_{P,c}, \gamma_{P,d})\}_{c,d \in \Gamma \subset C_P}$ is the Cartan matrix of type Γ . In particular, the eigenvalues of $\dot{I}_P|_L$ ($n \in \mathbf{Z}_{\geq 0}$) is given by $4 \sin^2(\frac{\pi m_i}{2h})$ ($i = 1, \dots, l = \text{rank}(L)$), where m_i are the exponents and h is the Coxeter number of the root system of type Γ (see e.g. [Bo]). Since the smallest and the largest exponent of the (finite) root system are 1 and $h-1$, respectively, the minimal and the maximal of the eigenvalues are $4 \sin^2(\frac{\pi}{2h})$ and $4 \cos^2(\frac{\pi}{2h})$, respectively. Since $h \rightarrow \infty$ according as Γ "exhaust" Γ_P , we obtain

$$\begin{aligned} m &= \inf_{\Gamma \subset \Gamma_P} 4 \sin^2\left(\frac{\pi}{2h}\right) = \lim_{h \rightarrow \infty} 4 \sin^2\left(\frac{\pi}{2h}\right) = 0. \\ M &= \sup_{\Gamma \subset \Gamma_P} 4 \cos^2\left(\frac{\pi}{2h}\right) = \lim_{h \rightarrow \infty} 4 \cos^2\left(\frac{\pi}{2h}\right) = 4. \end{aligned}$$

□

We apply the spectral decomposition theory of bounded symmetric operators (see [R-N, §107 Theorem]) to the operator \dot{I}_P . Let us reformulate the result in [ibid] by adjusting the notation to our setting.

Theorem 6. *For each type $P \in \{A_{\frac{1}{2}\infty}, D_{\frac{1}{2}\infty}\}$, there exists a unique spectral family $\{E_{P,\lambda}\}_{\lambda \in \mathbf{R}}$ (i.e. a family of projection operators⁷ on $\overline{H}_{P,\mathbf{C}}$ satisfying the following a), b), c)):*

a) *For $\lambda \leq \mu$, one has $E_{P,\lambda} \leq E_{P,\mu}$ ($\Leftrightarrow_{\text{def}} E_{P,\lambda}E_{P,\mu} = E_{P,\lambda}$).*

b) *The family is strongly continuous with respect to λ , i.e.*

$$E_{P,\lambda+0} (:= \lim_{\mu \downarrow 0} E_{P,\lambda+\mu}) = E_{P,\lambda-0} (:= \lim_{\mu \uparrow 0} E_{P,\lambda-\mu}),$$

except at $\lambda = 2$ for type $P = D_{\frac{1}{2}\infty}$, where we have

$$(3.3.38) \quad E_{D_{\frac{1}{2}\infty}, 2+0} - E_{D_{\frac{1}{2}\infty}, 2-0} = \text{the projection: } \overline{H}_{D_{\frac{1}{2}\infty}, \mathbf{C}} \rightarrow \overline{H}_{D_{\frac{1}{2}\infty}, 2}.$$

c) *One has $E_{P,\lambda} = 0$ for $\lambda \leq 0$ and $E_{P,\lambda} = \text{Id}_{\overline{H}_{P,\mathbf{C}}}$ for $\lambda \geq 4$.*

so that following (3.3.39) holds.

$$(3.3.39) \quad (\dot{I}_P)^r = \int_0^4 \lambda^r dE_{P,\lambda} \quad (\text{for } r = 0, 1, 2, \dots).$$

where the integral is in the sense of Lebesgue-Stieltjes. ⁸

3.4. Spectra of Coxeter elements. .

Recall that $\lambda \in [0, 4]$ in §3.3 Theorem 6 is the parameter for the spectra of the intersection form $I_P := I_P^{(n)}$ for $n \equiv 3 \pmod{4}$. What is wonderful, is the fact that this parameter gives a clue to parametrize the spectra of the Coxeter elements $\text{Cox}_P^{(n)}$ for all $n \in \mathbf{Z}_{\geq 0}$. In order to achieve this, we introduce another parameter θ and re-parametrize λ by the relation (which we once observed in a proof of **Assertion 2**.)

$$(3.4.40) \quad \lambda = 4 \sin^2 \left(\theta \frac{\pi}{2} \right) \quad \text{for } 0 \leq \theta \leq 1.$$

We state now the goal results of the present paper.

Theorem 7. *For each type $P \in \{A_{\frac{1}{2}\infty}, D_{\frac{1}{2}\infty}\}$, by the coordinate transform (3.4.40), we introduce a Stieltjes measure on the interval $\theta \in [0, 1]$:*

$$(3.4.41) \quad \xi_{P,\theta} := U_\theta \cdot dE_{P,\lambda} \cdot U_\theta^{-1}$$

⁷Here, we mean by a *projection operator* an orthogonal projection map from $\overline{H}_{P,\mathbf{C}}$ to its closed subspace such that the real form $\overline{H}_{P,\mathbf{R}}$ is mapped into itself. The fact that $E_{P,\lambda}$ is real, is not explicitly stated in the literature [R-N], but follows trivially from its construction and from the fact that \dot{I}_P is real.

⁸More generally [R-N, §107 Theorem], for any complex valued continuous function $u(\lambda)$ on the interval $[0, 4]$, we have an equality $u(\dot{I}_P) = \int_0^4 u(\lambda) dE_{P,\lambda}$ between bounded operators, where LHS is defined by a (monotone decreasing) polynomial approximation of u and RHS is given by the norm-limit of the Stieltjes type summation. Then, for any $\xi, \eta \in \overline{H}_{P,\mathbf{C}}$, we have $\langle u(\dot{I}_P)\xi, \eta \rangle = \int_0^4 u(\lambda) d\langle E_{P,\lambda}\xi, \eta \rangle$.

where U_θ ($0 \leq \theta \leq 1$) is a family of unitary operators on $\overline{H}_{P,\mathbf{C}}$ given by

$$(3.4.42) \quad U_\theta := \exp\left(-\frac{\pi}{2}\sqrt{-1}\theta\right)\pi_0 - \exp\left(\frac{\pi}{2}\sqrt{-1}\theta\right)\pi_1,$$

and (i) $\{E_{P,\lambda}\}_{\lambda \in [0,4]}$ is the spectral family in §3.3 **Theorem 6**,

(ii) $\pi_i : \overline{H}_{P,\mathbf{C}} \rightarrow \overline{H}_{P,\mathbf{C},i}$ ($i = 0, 1$) are orthogonal projections.

Then the following two formulae hold:

$$(3.4.43) \quad Cox_P^{(n)} \cdot \xi_{P,\theta} = \exp\left(2\pi\sqrt{-1}\left(\theta + \frac{n-1}{2}\right)\right) \xi_{P,\theta},$$

and

$$(3.4.44) \quad \int_{\theta=0}^{\theta=1} \xi_{P,\theta} = \frac{1}{2} \dot{I}_P.$$

Proof. 1. Proof of (3.4.43).

Consider the infinitesimal form of the formula (3.3.39) for $r=1$:

$$(3.4.45) \quad \dot{I}_P \cdot dE_{P,\lambda} = \lambda dE_{P,\lambda}.$$

Substitute the decomposition $dE_{P,\lambda} = \pi_0 \cdot dE_{P,\lambda} + \pi_1 \cdot dE_{P,\lambda}$ in this formula. Then, using (3.3.33), the LHS is equal to

$$\begin{aligned} \dot{I}_P \cdot dE_{P,\lambda} &= (\dot{J}_P + {}^t\dot{J}_P)(\pi_0 \cdot dE_{P,\lambda} + \pi_1 \cdot dE_{P,\lambda}) \\ &= 2\pi_0 \cdot dE_{P,\lambda} + 2\pi_1 \cdot dE_{P,\lambda} \\ &\quad + (\dot{J}_P - id)(\pi_0 \cdot dE_{P,\lambda}) + (\dot{J}_P - id)(\pi_1 \cdot dE_{P,\lambda}) \\ &\quad + ({}^t\dot{J}_P - id)(\pi_0 \cdot dE_{P,\lambda}) + ({}^t\dot{J}_P - id)(\pi_1 \cdot dE_{P,\lambda}). \end{aligned}$$

On the other hand, recalling (3.2.30) and (3.2.31), we know that

$$\begin{aligned} (\dot{J}_P - id)(\pi_1 \cdot dE_{P,\lambda}) &= 0, \quad (\dot{J}_P - id)(\pi_0 \cdot dE_{P,\lambda}) \in \text{Hom}(\overline{H}_{P,\mathbf{C}}, \overline{H}_{P,\mathbf{C},1}), \\ ({}^t\dot{J}_P - id)(\pi_0 \cdot dE_{P,\lambda}) &= 0, \quad ({}^t\dot{J}_P - id)(\pi_1 \cdot dE_{P,\lambda}) \in \text{Hom}(\overline{H}_{P,\mathbf{C}}, \overline{H}_{P,\mathbf{C},0}). \end{aligned}$$

Equating this with $\lambda dE_{P,\lambda} = \lambda\pi_0 \cdot dE_{P,\lambda} + \lambda\pi_1 \cdot dE_{P,\lambda}$ (3.4.44), we obtain

$$({}^t\dot{J}_P - id)(\pi_1 dE_{P,\lambda}) = (\lambda - 2)\pi_0 dE_{P,\lambda}, \quad (\dot{J}_P - id)(\pi_0 dE_{P,\lambda}) = (\lambda - 2)\pi_1 dE_{P,\lambda}.$$

Rewriting these together in matrix expressions, we obtain

$$(3.4.46) \quad \dot{J}_P \begin{pmatrix} \pi_0 \cdot dE_{P,\lambda} \\ \pi_1 \cdot dE_{P,\lambda} \end{pmatrix} = \begin{pmatrix} 1 & \lambda - 2 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \pi_0 \cdot dE_{P,\lambda} \\ \pi_1 \cdot dE_{P,\lambda} \end{pmatrix}.$$

$$(3.4.47) \quad {}^t\dot{J}_P \begin{pmatrix} \pi_0 \cdot dE_{P,\lambda} \\ \pi_1 \cdot dE_{P,\lambda} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ \lambda - 2 & 1 \end{pmatrix} \begin{pmatrix} \pi_0 \cdot dE_{P,\lambda} \\ \pi_1 \cdot dE_{P,\lambda} \end{pmatrix}.$$

and, hence, also

$$({}^t\dot{J}_P)^{-1} \begin{pmatrix} \pi_0 \cdot dE_{P,\lambda} \\ \pi_1 \cdot dE_{P,\lambda} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 2 - \lambda & 1 \end{pmatrix} \begin{pmatrix} \pi_0 \cdot dE_{P,\lambda} \\ \pi_1 \cdot dE_{P,\lambda} \end{pmatrix}.$$

Thus, combining these with the expression (3.2.32), we obtain

$$(3.4.48) \quad Cox_P^{(n)} \begin{pmatrix} \pi_0 \cdot dE_{P,\lambda} \\ \pi_1 \cdot dE_{P,\lambda} \end{pmatrix} = (-1)^n \begin{pmatrix} 1 & \lambda - 2 \\ 2 - \lambda & 1 - (\lambda - 2)^2 \end{pmatrix} \begin{pmatrix} \pi_0 \cdot dE_{P,\lambda} \\ \pi_1 \cdot dE_{P,\lambda} \end{pmatrix}.$$

Substitute λ in the RHS matrix by the expression (3.4.40) :

$$(-1)^n \begin{pmatrix} 1 & \lambda - 2 \\ 2 - \lambda & 1 - (\lambda - 2)^2 \end{pmatrix} = (-1)^n \begin{pmatrix} 1 & -2 \cos(\pi\theta) \\ 2 \cos(\pi\theta) & \sin^2(\pi\theta) - 3 \cos^2(\pi\theta) \end{pmatrix}.$$

We see that the matrix is semi-simple for any θ . The eigenvalues are

$$\exp \left(\pm 2\pi\sqrt{-1} \left(\theta + \frac{n-1}{2} \right) \right),$$

and associated row eigenvectors (independent of n) are

$$\left(\exp \left(\mp \frac{\pi}{2} \sqrt{-1} \theta \right), -\exp \left(\pm \frac{\pi}{2} \sqrt{-1} \theta \right) \right).$$

Therefore, by introducing the unitary operators

$$(3.4.49) \quad U_{\pm\theta} := \exp \left(\mp \frac{\pi}{2} \sqrt{-1} \theta \right) \pi_0 - \exp \left(\pm \frac{\pi}{2} \sqrt{-1} \theta \right) \pi_1$$

satisfying relations: ${}^tU_{\pm\theta} = U_{\pm\theta} = \overline{U_{\mp\theta}}$ and $U_{\pm\theta} \cdot U_{\mp\theta} = \text{id}_{\overline{H}_{P,\mathbf{C}}}$, we introduce a Stieltjes measure on $[0, 4] := \{\lambda \in \mathbf{R} \mid 0 \leq \lambda \leq 4\} \simeq [0, 1] := \{\theta \in \mathbf{R} \mid 0 \leq \theta \leq 1\}$:

$$(3.4.50) \quad \xi_{\theta}^{\pm} := U_{\pm\theta} \cdot dE_{P,\lambda} \cdot U_{\mp\theta}.$$

Then, from (3.4.48), we obtain

$$(3.4.51) \quad Cox_P^{(n)} \cdot \xi_{\theta}^{\pm} = \exp \left(\pm 2\pi\sqrt{-1} \left(\theta + \frac{n-1}{2} \right) \right) \xi_{\theta}^{\pm}.$$

Putting $\xi_{P,\theta} := \xi_{\theta}^+$, we obtain (3.4.43).

2. Proof of (3.4.44).

Using (3.4.41) and (3.4.42), we decompose $\xi_{P,\theta}$ into 4 pieces:

$$\pi_0 \cdot dE_{P,\theta} \cdot \pi_0 + \pi_1 \cdot dE_{P,\theta} \cdot \pi_1 - \exp(\pi\sqrt{-1}\theta) \pi_1 \cdot dE_{P,\theta} \cdot \pi_0 - \exp(-\pi\sqrt{-1}\theta) \pi_0 \cdot dE_{P,\theta} \cdot \pi_1.$$

The first two terms are integrated easily by

$$\begin{aligned} \int_{\theta=0}^{\theta=1} \pi_0 \cdot dE_{P,\theta} \cdot \pi_0 &= \pi_0 \cdot \left(\int_{\theta=0}^{\theta=1} dE_{P,\theta} \right) \cdot \pi_0 = \pi_0 \cdot \text{id}_{\overline{H}_{P,\mathbf{C}}} \cdot \pi_0 = \pi_0, \\ \int_{\theta=0}^{\theta=1} \pi_1 \cdot dE_{P,\theta} \cdot \pi_1 &= \pi_1 \cdot \left(\int_{\theta=0}^{\theta=1} dE_{P,\theta} \right) \cdot \pi_1 = \pi_1 \cdot \text{id}_{\overline{H}_{P,\mathbf{C}}} \cdot \pi_1 = \pi_1. \end{aligned}$$

The third and fourth terms are integrated by the use of Footnote 8.

First, we introduce bounded nilpotent operators $\dot{K}_P: \overline{H}_{P,0,\mathbf{C}} \rightarrow \overline{H}_{P,1,\mathbf{C}}$ and ${}^t\dot{K}_P: \overline{H}_{P,1,\mathbf{C}} \rightarrow \overline{H}_{P,0,\mathbf{C}}$, by $\dot{K}_P := \text{id}_{\overline{H}_{P,\mathbf{C}}} - \dot{J}_P$ and ${}^t\dot{K}_P := \text{id}_{\overline{H}_{P,\mathbf{C}}} - {}^t\dot{J}_P$ so that we have $\dot{K}_P^2 = {}^t\dot{K}_P^2 = 0$ and $\dot{I}_P = 2 \text{id}_{\overline{H}_{P,\mathbf{C}}} - \dot{K}_P - {}^t\dot{K}_P$. Then,

$$\begin{aligned}
& \int_{\theta=0}^{\theta=1} \exp(\pi\sqrt{-1}\theta) \pi_1 \cdot dE_{P,\theta} \cdot \pi_0 \\
&= \pi_1 \left[\int_{\theta=0}^{\theta=1} \left(1 - 2\sin^2\left(\frac{\pi}{2}\theta\right) + \sqrt{-1} \, 2\sqrt{1 - \sin^2\left(\frac{\pi}{2}\theta\right)} \sin\left(\frac{\pi}{2}\theta\right) \right) dE_{P,\lambda} \right] \pi_0 \\
&= \pi_1 \left[\int_{\theta=0}^{\theta=1} \left(1 - \frac{\lambda}{2} + \frac{\sqrt{-1}}{2} \sqrt{(4-\lambda)\lambda} \right) dE_{P,\lambda} \right] \pi_0 \\
&= \pi_1 \left[\text{id}_{\overline{H}_{P,C}} - \frac{\dot{I}_P}{2} + \frac{\sqrt{-1}}{2} \sqrt{(4 \text{id}_{\overline{H}_{P,C}} - \dot{I}_P) \dot{I}_P} \right] \pi_0
\end{aligned}$$

After sandwiching by π_1 and π_0 , the first and the second terms turn out to be $\pi_1 \cdot \text{id}_{\overline{H}} \cdot \pi_0 = 0$ and $\pi_1 \cdot \frac{\dot{I}_P}{2} \cdot \pi_0 = -\frac{\dot{K}_P}{2}$, respectively. The third term turns out to be zero, since the operator

$$\begin{aligned}
\sqrt{(4 \text{id}_{\overline{H}_{P,C}} - \dot{I}_P) \dot{I}_P} &= \sqrt{(2 \text{id}_{\overline{H}_{P,C}} + \dot{K}_P + {}^t\dot{K}_P)(2 \text{id}_{\overline{H}_{P,C}} - \dot{K}_P - {}^t\dot{K}_P)} \\
&= \sqrt{4 \text{id}_{\overline{H}_{P,C}} - \dot{K}_P \cdot {}^t\dot{K}_P - {}^t\dot{K}_P \cdot \dot{K}_P}
\end{aligned}$$

preserves the decomposition (3.1.29) so that it does not have the “cross” term sandwiched by π_1 and π_0 . Thus, we get

$$\int_{\theta=0}^{\theta=1} \exp(\pi\sqrt{-1}\theta) \pi_1 \cdot dE_{P,\lambda} \cdot \pi_0 = \frac{\dot{K}_P}{2}.$$

Similarly, we obtain also

$$\int_{\theta=0}^{\theta=1} \exp(-\pi\sqrt{-1}\theta) \pi_0 \cdot dE_{P,\lambda} \cdot \pi_1 = \frac{{}^t\dot{K}_P}{2}.$$

These altogether show the formula (3.4.44) □

Corollary. *Let $\varphi(\theta) = \sum_{m \in \mathbf{Z}} a_m \exp(2\pi\sqrt{-1}m(\theta + \frac{n-1}{2}))$ be an absolutely convergent Fourier expansion of a complex valued continuous function on the interval $\theta \in [0, 1]$. Then, we have*

$$(3.4.52) \quad 2 \int_{\theta=0}^{\theta=1} \varphi(\theta) \cdot \xi_\theta = \sum_{m \in \mathbf{Z}} a_m (\text{Cox}_P^{(n)})^m \cdot \dot{I}_P.$$

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