Causality and Hyperbolicity of Lovelock Theories

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Based on arXiv:1406.3379 & work in progress

Causality and Hyperbolicity of Lovelock Theories

- Lovelock Theories
 - = General Relativity + (higher-curvature corrections)
 - ➤ EoM up to 2nd-order derivatives → Avoids ghost instability
 - > From string theory?
- GR: Gravitons propagate at the speed of light
- Lovelock: Faster/slower propagation than light

Causality in Lovelock theories?

Does EoM remain hyperbolic?

Causality and Hyperbolicity of Lovelock Theories

- Causality in Lovelock theories?
 - Can we define causality in this theory?
 - Can graviton escape from black hole interior?

- Does EoM remain hyperbolic?
 - Hyperbolic EoM = Wave equation
 - Determined by principal part of EoM
 - ➤ GR: EoM guaranteed to be hyperbolic
 - >Lovelock: ?

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1. Introduction

- Lovelock theories
- Characteristics
- Hyperbolicity

2. Questions

- Can graviton escape from black hole interior?
- Propagation on plane wave solutions
- Propagation around black holes

3. Summary

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Introduction: Lovelock theories

• Lovelock theories in d dimensions ($p \le (d-1)/2$)

$$\mathcal{L} = R - 2\Lambda - \sum_{p \geq 2} 2k_p \delta_{d_1 \dots d_{2p}}^{c_1 \dots c_{2p}} R_{c_1 c_2}^{d_1 d_2} \dots R_{c_{2p-1} c_{2p}}^{d_{2p-1} d_{2p}}$$

$$= R - 2\Lambda - 8k_2 \left(R^2 - 4R_{ab}R^{ab} + R_{abcd}R^{abcd} \right) + \cdots$$

$$\left(\delta_{d_1 \dots d_n}^{c_1 \dots c_n} \equiv n! \delta_{[d_1}^{c_1} \dots \delta_{d_n]}^{c_n} \right)$$

• EoM = Einstein eq. + correction

$$0 = A^a_b \equiv G^a_b + \Lambda \delta^a_b + B^a_b$$

where

$$B_b^a = \sum k_p \delta_{bd_1...d_{2p}}^{ac_1...c_{2p}} R_{c_1c_2}^{d_1d_2} \dots R_{c_{2p-1}c_{2p}}^{d_{2p-1}d_{2p}}$$

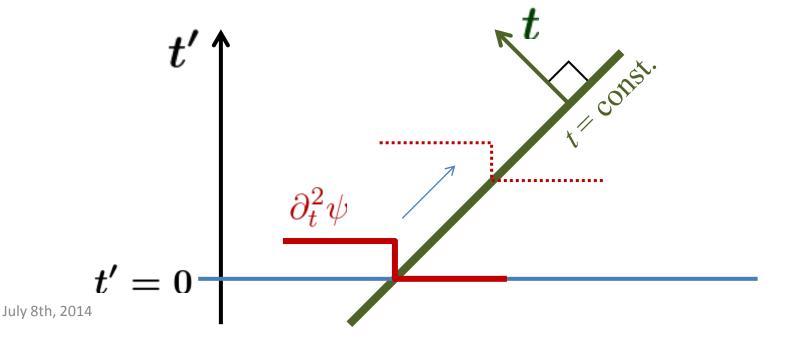
July 8th, 2014 $p \geq 2$

- Propagation of gravitational signals
- Propagate on *characteristic surface*

EoM of
$$\psi$$
: $0 = E(\psi, \partial \psi, \partial^2 \psi)$
= $\frac{\partial E}{\partial (\partial_t^2 \psi)} \partial_t^2 \psi + F(\partial_t \psi, \psi)$

•
$$\frac{\partial E}{\partial (\partial_t^2 \psi)} = 0$$
: $\frac{\partial_t^2 \psi}{\partial t}$ non-unique $\frac{\partial E}{\partial t} = 0$: $\frac{\partial_t^2 \psi}{\partial t} = 0$: $\frac{\partial_t^2 \psi}{\partial$

√ Characteristic surface is a possible wave front



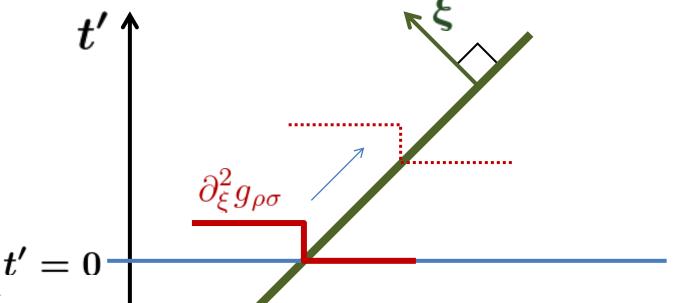
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• Characteristics in Lovelock theories [Aragone '87]

[Choquet-Bruhat'88]

$$E_{ab} \equiv R_{ab} - \frac{2\Lambda}{d-2}g_{ab} + B_{ab} - \frac{1}{d-2}B^c{}_c g_{ab} = 0$$

$$P(x,\xi)_{\mu\nu}^{\ \rho\sigma} \equiv \frac{\delta E_{\mu\nu}}{\delta(\partial_t^2 g_{\rho\sigma})} = \frac{\delta E_{\mu\nu}}{\delta(\partial_\alpha \partial_\beta g_{\rho\sigma})} \xi_\alpha \xi_\beta$$



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Characteristics in Lovelock theories

[Aragone '87] [Choquet-Bruhat'88]

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$$E_{ab} \equiv R_{ab} - \frac{2\Lambda}{d-2}g_{ab} + B_{ab} - \frac{1}{d-2}B^c{}_c g_{ab} = 0$$

$$P(x,\xi)_{\mu\nu}^{\rho\sigma} \equiv \frac{\delta E_{\mu\nu}}{\delta(\partial_t^2 g_{\rho\sigma})} = \frac{\delta E_{\mu\nu}}{\delta(\partial_\alpha \partial_\beta g_{\rho\sigma})} \xi_\alpha \xi_\beta$$

✓ Characteristic \Leftrightarrow det P = 0

 $+ \frac{1}{d-2} \delta_b^a \sum_{r>2} 2p k_p \delta_{ed_1 \dots d_{2p}}^{ec_1 \dots c_{2p}} \xi_{c_1} \xi^{d_1} t_{c_2}^{d_2} R_{c_3 c_4}^{d_3 d_4} \dots R_{c_{2p-1} c_{2p}}^{d_{2p-1} d_{2p}}$

Characteristics in GR

$$\det P = 0 \implies (P_{GR} \cdot t)_{ab} = -\frac{1}{2}\xi^2 t_{ab} + \xi^c \xi_{(a} t_{b)c} - \frac{1}{2}\xi_a \xi_b t^c{}_c = 0$$

✓ Gauge modes: $(P \cdot t)$ invariant under

$$t_{ab} \rightarrow t_{ab} + \xi_{(a} X_{b)}$$
 for any X_a .

- ightharpoonup If ξ is not null, $t_{ab}=\xi_{(a}X_{b)}$ for some $X_a \to \text{Pure gauge modes} \times d$
- \blacktriangleright If ξ is null, $\xi^c \xi_{(a} t_{b)c} \frac{1}{2} \xi_a \xi_b t^c{}_c = 0$

$$\rightarrow \quad \xi^b t_{ab} - \frac{1}{2} \xi_a t^c{}_c = 0 \qquad \rightarrow \text{Constraints} \times d$$

 \therefore Physical modes with null ξ , $\frac{1}{2}d(d+1)-d-d=\frac{1}{2}d(d-3)$ modes

Characteristics in Lovelock theories

[Aragone '87] [Choquet-Bruhat'88]

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$$\det P = 0 \Rightarrow (P \cdot t)_{ab} = (P_{GR} \cdot t)_{ab} + (\mathcal{R} \cdot t)_{ab} = 0$$

ightharpoonup If ξ is not null, t_{ab} = (non-gauge part) + (gauge part)

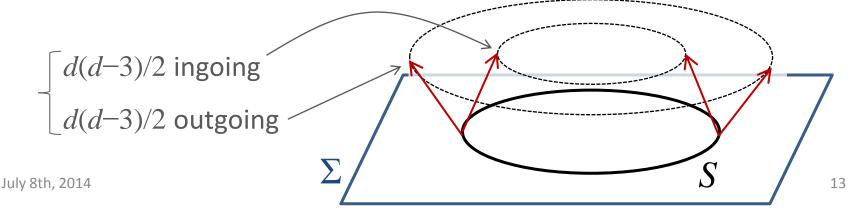
$$t_{ab} = \hat{t}_{ab} + \xi_{(a}X_{b)} \quad \text{s.t.} \quad \xi^b \hat{t}_{ab} - \frac{1}{2}\xi_a \hat{t}^c{}_c = 0$$
$$\Rightarrow \quad \frac{1}{2}\xi^2 \hat{t} = \mathcal{R}(x,\xi) \cdot \hat{t}$$

 \blacktriangleright If ξ is null, solve in null coordinates $\xi_0=0=\xi_i,\ \xi_1=1$

$$\begin{cases} \frac{1}{2}t_{00} + (\mathcal{R} \cdot t)_{01} = 0 & \frac{1}{2}t_{0i} + (\mathcal{R} \cdot t)_{1i} = 0 \\ (\mathcal{R} \cdot t)_{ij} = 0 & -\frac{1}{2}t_{ii} + (\mathcal{R} \cdot t)_{11} = 0 \end{cases}$$

Introduction: Hyperbolicity

- Hyperbolicity
 - = "Initial value problem is well-posed"
 - = "Unique solution exists locally for good initial data" "Solution depends on initial data continuously"
 - = Σ : (d-1)-dim. initial surface "Any (d-2)-dim. surface S in Σ has d(d-3) physical characteristic surfaces from S"



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Questions

1. Can graviton escape from black hole interior?

- 2. Propagation on *plane wave solutions*
 - Propagation *around black holes*

 - Does it obey causality?Is hyperbolicity maintained?

Summary

- Characteristics in Lovelock theories
 - 1. Can graviton escape from black hole interior?
 - → No: Killing horizon is characteristic surface
 - 2. Propagation on *Ricci-flat type N spacetimes*
 - ✓ Characteristics = Null w.r.t. effective metrics
 - ✓ Causality w.r.t. the largest cone
 - 3. Propagation *around black holes*
 - ✓ Characteristics = Null w.r.t. effective metrics
 - ✓ Hyperbolicity violation near small BH horizons
- ?: Does <u>hyperbolicity</u> occur in generic time evolution?
- ?: Propagation of discontinuity in this theory
 - → Shock formation due to nonlinearity?

- 1. "Can graviton escape from black hole interior?"
- "Is an event horizon characteristic for any mode?"
- ≈ "Is a Killing horizon characteristic for any mode?"
 - ✓ GR: All characteristics are null
 - → Killing horizon is a characteristic
 - ✓ GR + Gauss-Bonnet correction:

 Killing horizon shown to be a characteristic

 [Izumi '14]
 - ✓ Lovelock: ?

- 1. "Can graviton escape from black hole interior?"
- "Is an event horizon characteristic for any mode?"
- ≈ "Is a Killing horizon characteristic for any mode?"
 - Killing horizon $\Rightarrow R_{0i0i} = R_{0iik} = 0$ in null coordinates
 - Assuming null ξ , count the number of solutions of

$$\begin{cases} \frac{1}{2}t_{00} + (\mathcal{R} \cdot t)_{01} = 0 & \frac{1}{2}t_{0i} + (\mathcal{R} \cdot t)_{1i} = 0 \\ (\mathcal{R} \cdot t)_{ij} = 0 & -\frac{1}{2}t_{ii} + (\mathcal{R} \cdot t)_{11} = 0 \end{cases}$$

 \checkmark Assume $t_{00} = t_{0i} = 0 \implies \text{Only } -\frac{1}{2}t_{ii} + (\mathcal{R} \cdot t)_{11} = 0 \text{ remains}$

$$\therefore \frac{1}{2}d(d+1) - d - (1 + d - 2) - 1 = \frac{1}{2}d(d-3) \text{ modes}$$

... A Killing horizon is characteristic for any mode.

2. Propagation on plane wave solutions

More generally, we consider

Ricci-flat type N spacetimes

as backgrounds.

Null basis

$$egin{aligned} ig(e_0)^a &= \ell^a \ (e_1)^a &= n^a \ (e_i)^a &= m^a \end{aligned}$$

$$\ell \cdot \ell = 0 = n \cdot n$$
 $\ell \cdot n = 1$
 $n^a \quad \ell^a$
 $(m_i)^a$

Ricci-flat type N spacetimes:

Only non-vanishing component of Riemann tensor is

$$R_{1i1j} \equiv \Omega_{ij}$$
 symmetric traceless

2. Propagation on plane wave solutions

Ricci-flat type N spacetimes:

Only non-vanishing component of Riemann tensor is

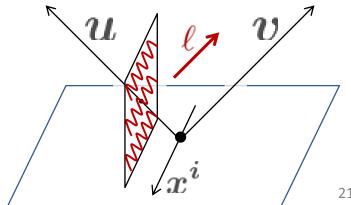
$$R_{1i1j} \equiv \Omega_{ij}$$
 symmetric traceless

- \checkmark Solution of Lovelock theories if $\Lambda = 0$
- ✓ Example: Plane wave solution [Boulware-Deser '85]

$$ds^{2} = \mathbf{a}_{ij}(\mathbf{u})x^{i}x^{j}du^{2} + 2dudv + \delta_{ij}dx^{i}dx^{j}$$

 $a_{ij}(u)$: Symmetric traceless

$$(e_0)^a = \ell^a = (\partial/\partial v)^a$$



2. Propagation on *plane wave solutions*

Ricci-flat type N spacetimes:

Only non-vanishing component of Riemann tensor is

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 symmetric traceless

- \checkmark Solution of Lovelock theories if $\Lambda = 0$
- ✓ Example: Plane wave solution [Boulware-Deser '85]

$$ds^{2} = \mathbf{a}_{ij}(\mathbf{u})x^{i}x^{j}du^{2} + 2dudv + \delta_{ij}dx^{i}dx^{j}$$

 \triangleright Assume a_{ij} to be constant for simplicity

$$\Rightarrow R_{1i1j} \propto a_{ij}$$

Proposition:

Characteristic surfaces are null w.r.t. "effective metrics":

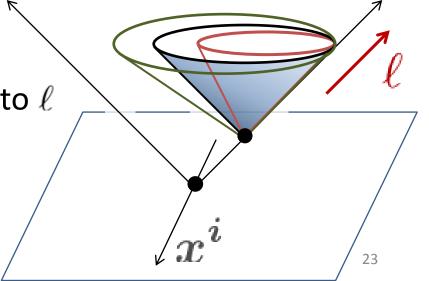
$$G_I^{ab} = g^{ab} + \omega_I \ell^a \ell^b \quad (I = 1, \dots, d(d-3)/2)$$

 $\checkmark \omega_I$: Functions of Ω_{ij}

 $\checkmark \ell$: null w.r.t. G_I

 \Rightarrow Characteristic cones tangent to ℓ

- ✓ Nested characteristic cones
- ✓ Causality w.r.t. the largest cone



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Characteristic surfaces are null w.r.t. "effective metrics":

$$G_I^{ab} = g^{ab} + \omega_I \ell^a \ell^b \quad (I = 1, \dots, d(d-3)/2)$$

Key points:

• $(\mathcal{R} \cdot t)^{\mu}_{\nu}$ simplifies:

$$(\mathcal{R} \cdot t)^{\mu}{}_{\nu} = 16k_2 \left(-\delta^{\mu\rho_1\rho_2}{}_{\nu\sigma_1\sigma_20j}^{1i} \xi_{\rho_1} \xi^{\sigma_1} t_{\rho_2}{}^{\sigma_2} \Omega_{ij} + \frac{1}{d-2} \delta^{\mu}_{\nu} \delta^{k\rho_1\rho_2}{}_{k\sigma_1\sigma_20j}^{1i} \xi_{\rho_1} \xi^{\sigma_1} t_{\rho_2}{}^{\sigma_2} \Omega_{ij} \right)$$

- Non-null characteristics satisfies $\frac{1}{2}\xi^2\hat{t} = \mathcal{R}(x,\xi)\cdot\hat{t}$
 - \Rightarrow Eigenvalue eq. $\mathcal{R}(x,\xi) \cdot \hat{t} = T^{ab} \xi_a \xi_b \hat{t}$ gives

$$0 = \xi^2 - T^{ab}\xi_a\xi_b = (g^{ab} - T^{ab})\xi_a\xi_b$$

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- Eigenvalue eq. for $\mathcal{R}(x,\xi) \cdot t$
 - ➤ Gauge modes: $t_{ab} = \xi_{(a} X_{b)}$
 - > Zero eigenvalue modes: $\begin{cases} t_{ab}=\ell_{(a}X_{b)} \\ t_{ii}=\hat{t}_{ij}+\alpha\delta_{ij}, & t_{0\mu}=0=t_{1\mu} \end{cases}$
 - Non-zero eigenvalue modes:

$$t_{ab} = 2t_{01}\ell_{(a}n_{b)} + t_{ij}m_{ia}m_{ib} \qquad (t_{ii} = 0)$$

$$(\mathcal{R} \cdot t)_{01} = \frac{16k_2(d-4)}{d-2} \left(\frac{1}{2}t_{01}\xi^i\xi^j + \xi_0^2t^{ij}\right) \Omega_{ij}$$
$$(\mathcal{R} \cdot t)_{ij} = 16k_2 \ \xi_0^2 \ \mathcal{O}(t)_{ij}$$

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$$\left[\mathcal{O}(t)_{ij}=t_{ik}\Omega_{kj}+t_{jk}\Omega_{ki}-rac{2}{d-2}t_{kl}\Omega_{kl}\delta_{ij}
ight]$$

• Eigenvalue eq. for $\mathcal{R}(x,\xi) \cdot t$

$$\mathcal{O}(t)_{ij} = \nu_I t_{ij} \qquad \Rightarrow \qquad (\mathcal{R} \cdot t)_{ij} = -\frac{1}{2} \xi_0^2 \omega_I t_{ij}$$
$$(I = 1, \dots, d(d-3)/2) \qquad (\omega_I = -32k_2 \nu_I)$$

➤ Non-zero eigenvalue modes:

$$t_{ab} = 2t_{01}\ell_{(a}n_{b)} + t_{ij}m_{ia}m_{ib} \qquad (t_{ii} = 0)$$

$$(\mathcal{R} \cdot t)_{01} = \frac{16k_2(d-4)}{d-2} \left(\frac{1}{2}t_{01}\xi^i \xi^j + \xi_0^2 t^{ij}\right) \Omega_{ij}$$
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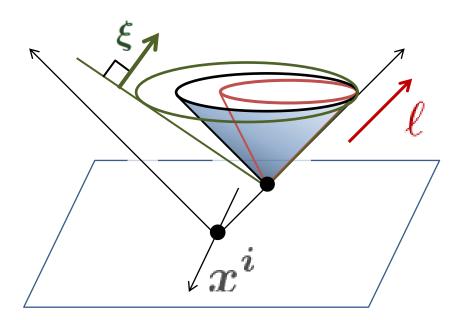
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$$\left(\mathcal{O}(t)_{ij}=t_{ik}\Omega_{kj}+t_{jk}\Omega_{ki}-rac{2}{d-2}t_{kl}\Omega_{kl}\delta_{ij}
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• Non-null characteristics satisfies $\frac{1}{2}\xi^2\hat{t} = \mathcal{R}(x,\xi)\cdot\hat{t}$

•
$$(\mathcal{R} \cdot t)_{ij} = -\frac{1}{2}\xi_0^2 \omega_I t_{ij}$$

$$\Rightarrow 0 = \xi^2 + \omega_I \xi_0^2 = (g^{ab} + \omega_I \ell^a \ell^b) \xi_a \xi_b$$

$$\equiv G_I^{ab} \xi_a \xi_b \qquad (I = 1, \dots, d(d-3)/2)$$



Static, maximally symmetric black holes

$$ds^{2} = -f(r)dt^{2} + f(r)^{-1}dr^{2} + r^{2}d\Sigma^{2}$$

 $\succ \Sigma : (d-2)$ -dim space with constant curvature $\kappa = +1, \, 0, \, -1$

$$\triangleright f(r) = \kappa - r^2 \psi(r)$$

 $\triangleright \psi(r)$ satisfies an algebraic equation

$$W[\psi] \equiv -\sum_{p>2} \left[2^{p+1} k_p \left(\prod_{k=1}^{2p-2} (d-2-k) \right) \psi^p \right] + \psi - \frac{2\Lambda}{(d-1)(d-2)} = \frac{\mu}{r^{d-1}}$$

Static, maximally symmetric black holes

$$ds^{2} = -f(r)dt^{2} + f(r)^{-1}dr^{2} + r^{2}d\Sigma^{2}$$

• Orthonormal basis
$$egin{aligned} e_0 &= -f^{1/2} dt \ e_1 &= f^{-1/2} dr \ \end{aligned}$$
 $e_i = ext{(Orthonormal in Σ)}$

•
$$\begin{cases} R_{IJKL} = R_1(r) \left(\eta_{IK} \eta_{JL} - \eta_{IL} \eta_{JK} \right) \\ R_{IiJj} = R_2(r) \eta_{IJ} \delta_{ij} \\ R_{ijkl} = R_3(r) \left(\delta_{ik} \delta_{jl} - \delta_{il} \delta_{jk} \right) \end{cases}$$

 η_{IJ} : 2 dim Minkowski

 δ_{ij} : metric of Σ

Proposition:

Characteristic surfaces are null w.r.t. "effective metrics":

$$G_{\mu\nu}^{A}dx^{\mu}dx^{\nu} = -f(r)dt^{2} + f(r)^{-1}dr^{2} + \frac{r^{2}}{c_{A}(r)}d\Sigma^{2}$$

- \checkmark A: Tensor, Vector, Scalar modes
- $\checkmark c_A(r)$: (Propagation speed)² in Σ directions

$$0 = \det P(x,\xi) = (G_S^{ab}(x)\xi_a\xi_b)^{p_S} (G_V^{cd}(x)\xi_c\xi_d)^{p_V} (G_T^{ef}(x)\xi_e\xi_f)^{p_T}$$

$$p_S + p_V + p_T = d(d-3)/2$$

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- ✓ A : Tensor, Vector, Scalar modes
- $\checkmark c_A(r)$: (Propagation speed)² in Σ directions
- ✓ Read out from perturbation equations

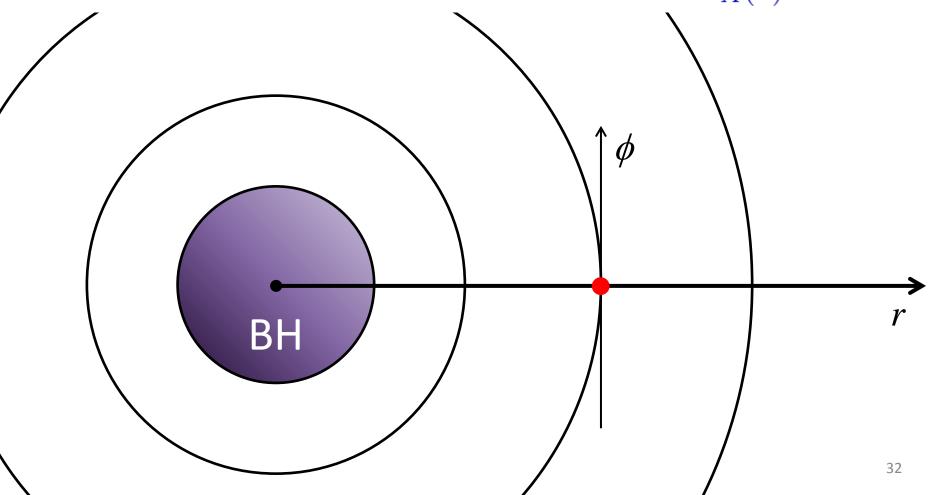
$$0 = \frac{\delta E_{\mu\nu}}{\delta(\partial_{\alpha}\partial_{\beta}g_{\rho\sigma})}\partial_{\alpha}\partial_{\beta}\delta g_{\rho\sigma} + \cdots \quad \Rightarrow \quad \frac{\delta E_{\mu\nu}}{\delta(\partial_{\alpha}\partial_{\beta}g_{\rho\sigma})}\xi_{\alpha}\xi_{\beta} = P(x,\xi)$$

$$\left(-\frac{\partial^2}{\partial t^2} + \frac{\partial^2}{\partial r_*^2} - V_l(r)\right)\Psi_l(t,r) = 0 \implies \left(-\frac{\partial^2}{\partial t^2} + \frac{\partial^2}{\partial r_*^2} + \frac{f(r)c_A(r)D^2}{r^2}\right)\Psi \equiv f(r)G_A^{\mu\nu}\partial_\mu\partial_\nu\Psi$$

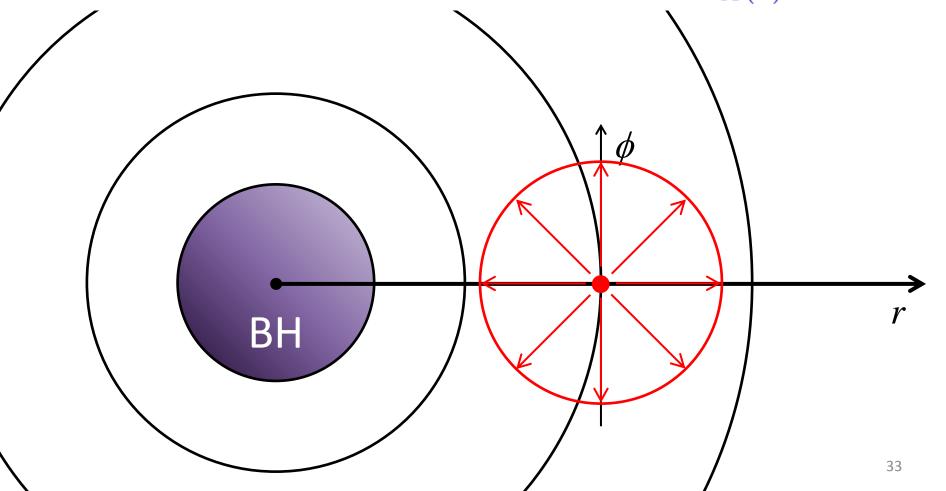
[Dotti-Gleiser '05] [Konoplya-Zhidenko '08] [Takahashi-Soda '09, '10]

$$V_l(r)
ightharpoonup rac{l^2}{r^2} \simeq -rac{1}{r^2}D^2$$

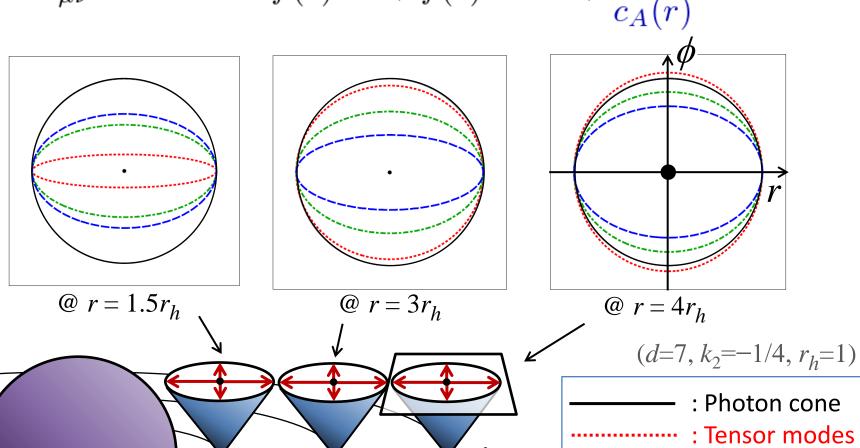
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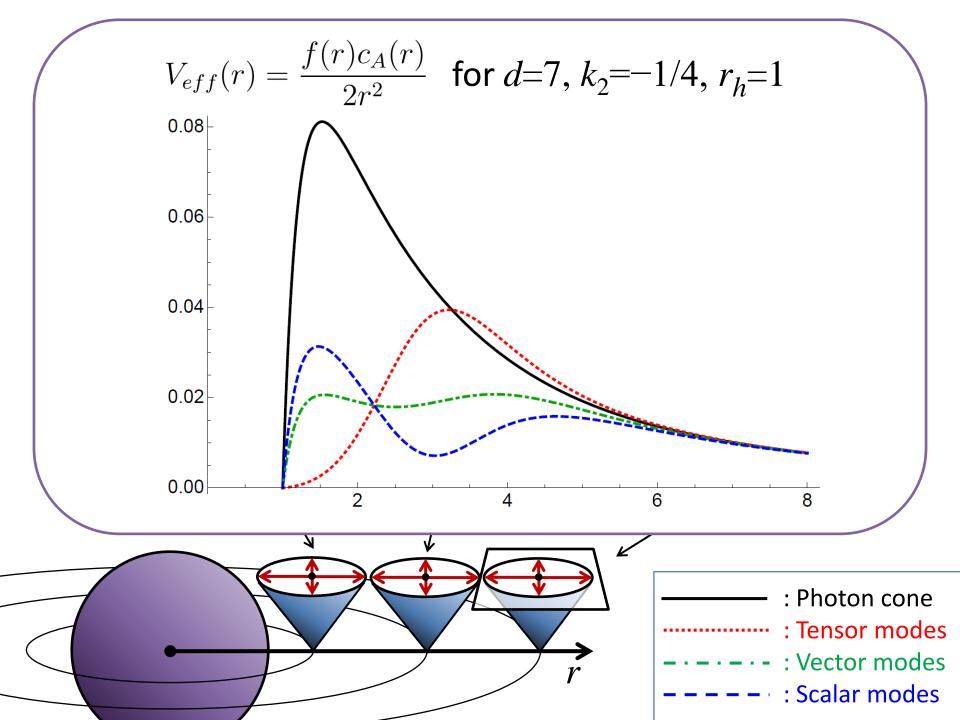


: Photon cone

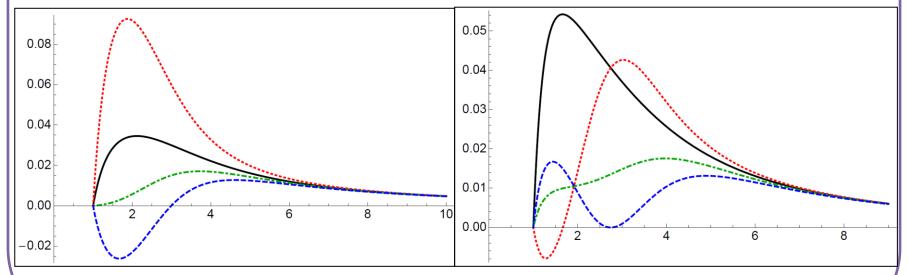
: Tensor modes

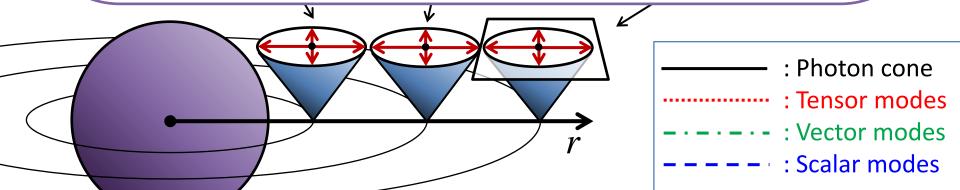
: Vector modes

: Scalar modes



For d=5: For d=6: $c_{\text{scalar}}(r) < 0$ near horizon $c_{\text{tensor}}(r) < 0$ near horizon





• Small BH limit $(r_h \rightarrow 0, k_n \text{ fixed})$

P: Highest order of Lovelock term $(d \ge 2P+1)$

$$d = 2P+1 \Rightarrow \begin{cases} c_T(r_0) = \frac{3}{2P-3} + \mathcal{O}(r_0^2) \\ c_V(r_0) = \mathcal{O}(r_0^4) \\ c_S(r_0) = -\frac{3}{2P-1} + \mathcal{O}(r_0^2) \\ < 0 \end{cases}$$

$$d \neq 2P+1 \quad \Rightarrow \quad \begin{cases} c_T(r_h) = \frac{d-1-3P}{(d-4)P} + \mathcal{O}(r_h^2) < 0 \text{ for } d < 1+3P \\ c_V(r_h) = \frac{d-1-2P}{(d-3)P} + \mathcal{O}(r_h^2) \\ c_S(r_h) = \frac{d-1-P}{(d-2)P} + \mathcal{O}(r_h^2) \end{cases}$$

• $c_A < 0 \Rightarrow$ Violation of hyperbolicity

$$G^{A}_{\mu\nu}dx^{\mu}dx^{\nu} = -f(r)dt^{2} + f(r)^{-1}dr^{2} + \frac{r^{2}}{c_{A}(r)}d\Sigma^{2}$$

$$\Leftrightarrow \left(-\frac{\partial^2}{\partial t^2} + \frac{\partial^2}{\partial r_*^2} + \frac{f(r)c_A(r)D^2}{r^2}\right)\Psi \equiv f(r)G_A^{\mu\nu}\partial_\mu\partial_\nu\Psi$$

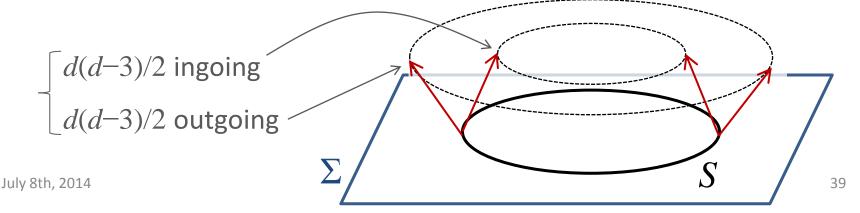
$$D^2 \simeq -l^2$$

- Interpretations
 - 1. $\omega^2 = -\alpha^2 l^2 \Rightarrow \text{Instability} \propto \exp(\alpha lt)$
 - 2. Initial value problem is not well-posed

$$\delta g_{\mu\nu}(t,r,x) \sim \frac{e^{-\sqrt{l}}}{e^{\alpha lt}} = \begin{cases} \bullet \ t = 0 \Rightarrow \delta g, \partial^n \delta g = 0 \\ \bullet \ t > 0 \Rightarrow \delta g \to \infty \end{cases}$$
with $l \to \infty$

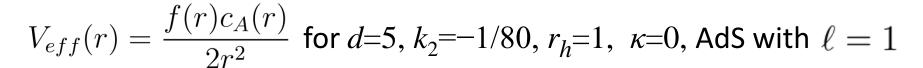
Introduction: Hyperbolicity

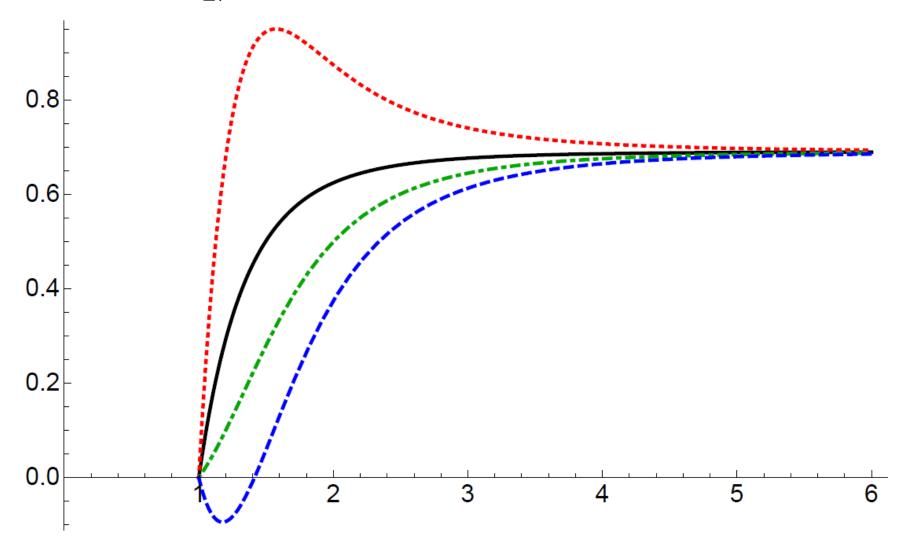
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- ?: Does <u>hyperbolicity</u> occur in generic time evolution?
- ?: Propagation of discontinuity in this theory
 - → Shock formation due to nonlinearity?





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