

# $\Omega$ -deformation and quantization

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July 8, 2014 at Kavli IPMU

Based on arXiv:1405.6714

# Overview

Intriguing phenomena in 4d  $\mathcal{N} = 2$  supersymmetric gauge theories:

1  $\Omega$ -deformation on  $\mathbb{R}^3 \times S^1$

$\leftrightarrow$  quantization of integrable systems [Nekrasov–Shatashvili]

2 loop operator VEVs on  $\mathbb{R}^3 \times_{\epsilon} S^1$

$\leftrightarrow$  deformation quantization on a hyperkähler manifold

[Gaiotto–Moore–Neitzke, Ito–Okuda–Taki]

Derivations of (1) have been given using

■ brane quantization [Nekrasov–Witten]

■ topological strings/matrix models [Aganagic et al., Bonelli–Maruyoshi–Tanzini]

Is there a **unified framework** for understanding both phenomena?

Yes.

I will discuss an approach to quantization

- that explains the **4d** phenomena
- based on a deformation of a **3d** TQFT
- viewed as a **2d** TQFT

The 3d TQFT is **Rozansky–Witten theory**.

The 2d TQFT is a **B-twisted Landau–Ginzburg model**.

The idea is similar to [Luo–Tan–Y], where we considered  $\mathcal{N} = 2$  gauge theories on  $\mathbb{R} \times S^2 \times S^1$  and found that they quantize real integrable systems.

## From 4d to 3d

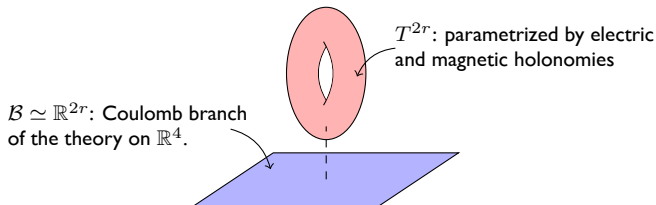
Consider a 4d  $\mathcal{N} = 2$  gauge theory.

We go down to 3d:

- 1 Compactify on  $S^1$ .
- 2 In IR, we get a 3d abelian gauge theory on the Coulomb branch.
- 3 In 3d, abelian gauge fields are dual to periodic scalars.
- 4 Dualization gives an  $\mathcal{N} = 4$  sigma model.

This setup was studied by Gaiotto–Moore–Neitzke in connection with wall-crossing phenomena.

The target  $\mathcal{M}$  is a torus fibration:



$\mathcal{M}$  is **hyperkähler**:

- $\mathbb{CP}^1$  of complex structures  $aI + bJ + cK$ ,  $a^2 + b^2 + c^2 = 1$ , with  $I^2 = J^2 = K^2 = IJK = -1$
- metric  $g$  that is Kähler with respect to  $J_\zeta$  for all  $\zeta \in \mathbb{CP}^1$

For theories in “class  $\mathcal{S}$ ,” the target is the Hitchin moduli space.

In  $I$ ,  $\mathcal{M}$  is a **complex integrable system**:

- complex version of phase space in classical mechanics
- holomorphic symplectic form  $\Omega_I = \omega_J + i\omega_K$
- $\mathcal{B}$  holomorphic,  $T^{2r}$  holomorphic Lagrangian

There are complex coordinates  $(a^i) \in \mathcal{B}$ ,  $(z_i) \in T^{2r}$  in which the Poisson bracket is given by

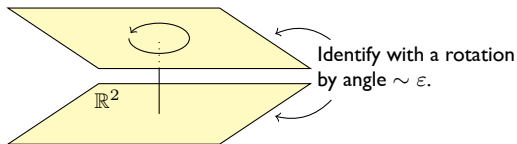
$$\{z_i, z_j\} = \{a^i, a^j\} = 0, \quad \{z_i, a^j\} = \delta_j^i.$$

Integrability:  $\frac{1}{2} \dim_{\mathbb{C}} \mathcal{M}$  commuting conserved momenta  $\{a^i\}$

Start with an  $\mathcal{N} = 2$  gauge theory on  $\mathbb{R}^3 \times S^1$ .

Turn on an  $\Omega$ -deformation:

- 1 Lift to a 5d theory on  $\mathbb{R}^3 \times S^1 \times S^1_R$ .
- 2 Replace  $\mathbb{R}^2 \times S^1_R$  by  $\mathbb{R}^2 \times_\varepsilon S^1_R$ :



- 3 Take  $R \rightarrow 0$  to go back to 4d.



The original theory has a TQFT sector. (Donaldson–Witten theory)

After the  $\Omega$ -deformation, this sector becomes quasi-TQFT.

The quasi-TQFT is equivalent to quantum mechanics on  $L \subset \mathcal{M}$ .

$L$  is a symplectic submanifold, determined by the boundary condition.

The Planck constant  $\hbar \propto \varepsilon$ :

$$[z_i, z_j] = [a^i, a^j] = 0, \quad [z_i, a^j] \propto i\varepsilon\delta_j^i.$$

Start again with an  $\mathcal{N} = 2$  gauge theory on  $\mathbb{R}^3 \times S^1$ .

Define supercharges  $Q^\zeta \propto Q + \zeta G_4$ ,  $\zeta \in \mathbb{CP}^1$ .

For  $\zeta \neq 0, \infty$ , there are  $Q^\zeta$ -invariant line operators  $\mathcal{L}_a^\zeta$ .

$\mathcal{L}_a^\zeta$  realize the algebra of holomorphic functions on  $(\mathcal{M}, J_\zeta)$ :

- 1 Wrap them on  $\{p_a\} \times S^1 \subset \mathbb{R}^3 \times S^1$ .
- 2 We can actually move  $p_a$  around freely.
- 3 Taking them far apart, we find

$$\langle \mathcal{L}_1^\zeta \cdots \mathcal{L}_n^\zeta \rangle = \langle \mathcal{L}_1^\zeta \rangle \cdots \langle \mathcal{L}_n^\zeta \rangle.$$

- 4  $\langle \mathcal{L}_a^\zeta \rangle$  are holomorphic functions on  $\mathcal{M}$ . (framed BPS indices)

Twist the spacetime:  $\mathbb{R}^3 \times S^1 \rightarrow \mathbb{R} \times \mathbb{R}^2 \times_{\varepsilon} S^1$ :

Now  $\mathcal{L}_a^{\zeta}$  must be inserted at  $(t_a, 0) \in \mathbb{R} \times \mathbb{R}^2$  in order to be  $Q^{\zeta}$ -invariant.

Ordering is well-defined:  $\mathcal{L}_1^{\zeta}(t_1)\mathcal{L}_2^{\zeta}(t_2) \neq \mathcal{L}_2^{\zeta}(t_1)\mathcal{L}_1^{\zeta}(t_2)$ .

One finds

The algebra of holomorphic functions on  $\mathcal{M}$  gets quantized:

$$\langle \mathcal{L}_1^{\zeta}(t_1) \cdots \mathcal{L}_n^{\zeta}(t_n) \rangle = \langle \mathcal{L}_1^{\zeta} \rangle \star \cdots \star \langle \mathcal{L}_n^{\zeta} \rangle.$$

$\star$ : noncommutative multiplication with  $\hbar \sim \varepsilon$ .

## Main results

Consider **RW theory**, a TQFT based on 3d  $\mathcal{N} = 4$  sigma model.

Let the spacetime  $\mathbb{R} \times \Sigma$ .

Let the target be a hyperkähler manifold  $X$ .

Pick a complex structure  $I$  on  $X$ .

We can construct an  $\Omega$ -deformation of RW theory.

The construction involves an  $\Omega$ -deformation of a B-twisted LG model with infinite-dimensional target.

## Main results

Take  $\Sigma = D$ , a disk.

Choose  $L \subset X$  that is of **type**  $(A, B, A)$ :

- Lagrangian with respect to  $\omega_I$
- holomorphic in  $J$
- Lagrangian with respect to  $\omega_K$

Use  $L$  as the support of a **brane** placed on  $\partial D$ .

The  $\Omega$ -deformed RW theory is equivalent to QM on  $L$ .

It seems closely related to brane quantization of Gukov–Witten.

## Main results

Furthermore,

the 4d phenomena are two special cases with the same target.

The two cases just differ by the choice of complex structure.

The case (I) (the NS correspondence) should be equivalent to the Nekrasov–Witten approach.

I'll explain things by going  $2d \rightarrow 3d \rightarrow 4d$ .

## 2d: $\Omega$ -deformation of B-twisted LG models

## $\Omega$ -deformation in 4d

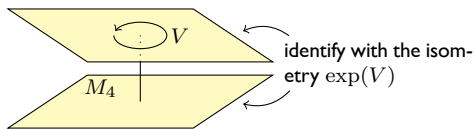
Topologically twisted 4d  $\mathcal{N} = 2$  gauge theory:

- scalar supercharge  $Q$ , with  $Q^2 = 0$ , used as a BRST operator
- TQFT – invariant under deformations of the metric

Pick a **Killing vector field**  $V$  on the spacetime 4-manifold  $M_4$ .

Use  $V$  to introduce the  $\Omega$ -deformation:

- 1 Lift to a 5d theory on  $M_4 \times S^1$ .
- 2 Replace  $M_4 \times S^1$  by  $M_4 \times_V S^1$ :



- 3 Shrink the  $S^1$  to go back to 4d.



## $\Omega$ -deformation in 4d

Topologically twisted 4d  $\mathcal{N} = 2$  gauge theory:

- scalar supercharge  $Q$ , with  $Q^2 = 0$ , used as a BRST operator
- TQFT – invariant under deformations of the metric

Pick a **Killing vector field**  $V$  on the spacetime 4-manifold  $M_4$ .

$\Omega$ -deformed twisted theory:

- $Q^2 = L_V$ , with  $L_V$  acting as the Lie derivative  $\mathcal{L}_V$  on fields
- **quasi-TQFT** – invariant under deformations of the metric as long as  $V$  remains to be a Killing vector field

## $\Omega$ -deformation of B-twisted LG model

B-twisted LG model:

- scalar supercharge  $Q$ , with  $Q^2 = 0$ , used as a BRST operator
- TQFT – invariant under deformations of the metric

Pick a **Killing vector field**  $V$  on the worldsheet  $\Sigma$ .

$\Omega$ -deformed B-twisted LG model:

- $Q^2 = L_V$ , with  $L_V$  acting as the Lie derivative  $\mathcal{L}_V$  on fields
- **quasi-TQFT** – invariant under deformations of the metric as long as  $V$  remains to be a Killing vector field

## $\Omega$ -deformation of B-twisted LG model

Input data:

- worldsheet  $(\Sigma, h)$
- target  $(Y, g)$ , a (curved) Kähler manifold
- superpotential  $W$ , a holomorphic function on  $Y$
- Killing vector field  $V$  on  $\Sigma$

Field content:

- bosonic field:  $\Phi: \Sigma \rightarrow Y$
- fermions: scalar  $\eta^{\bar{i}}$ , 1-form  $\rho^i$ , 2-form  $\mu^{\bar{i}}$
- bosonic auxiliary 2-forms:  $F^i, \bar{F}^{\bar{i}}$

We use  $\mu^{\bar{i}}$  instead of the scalar  $\theta_i$  in ordinary B-twisted models:

$$\mu^{\bar{i}} \sim g^{\bar{i}j} \star \theta_j.$$

## $\Omega$ -deformation of B-twisted LG model

For  $Y$  flat,  $Q = Q_{V=0} + V^\mu G_\mu$ , with  $G$  the 1-form supercharge:

$$\begin{aligned}\delta\phi^i &= \iota_V \rho^i, & \delta\bar{\phi}^{\bar{i}} &= \eta^{\bar{i}}, \\ \delta\rho^i &= d\phi^i + \iota_V F^i, & \delta\eta^{\bar{i}} &= V(\bar{\phi}^{\bar{i}}), \\ \delta F^i &= d\rho^i, & \delta\mu^{\bar{i}} &= \bar{F}^{\bar{i}}, \\ & & \delta\bar{F}^{\bar{i}} &= d\iota_V \mu^{\bar{i}}.\end{aligned}$$

Compare with the 4d formula for abelian gauge group:

$$\begin{aligned}\delta\phi &= \iota_V \psi, & \delta\bar{\phi} &= \eta, \\ \delta\psi &= d\phi + \iota_V F_A, & \delta\eta &= V(\bar{\phi}), \\ \delta A &= \psi, & \delta\chi &= iH, \\ & & \delta H &= -i\mathcal{L}_V \chi.\end{aligned}$$

It makes sense to call the auxiliary field  $F$ !

## $\Omega$ -deformation of B-twisted LG model

The action  $S = S_0 + S_W$ .

$S_0$  is the sigma model action:

- $Q$ -exact
- contains the metrics  $g$  on  $Y$  and  $h$  on  $\Sigma$

$S_W$  is the superpotential part:

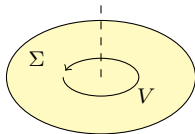
- not  $Q$ -exact, but  $Q$ -invariant **assuming**  $\partial\Sigma = \emptyset$
- independent of  $g$  and  $h$

The theory is invariant under

- **overall rescaling of  $g$**
- deformations of  $h$  as long as  $V$  remains Killing

## Branes for $\Omega$ -deformed LG model

Suppose  $\partial\Sigma = S^1$  and  $V|_{\partial\Sigma} = \varepsilon\partial\varphi$ :



We find  $\delta S_W \neq 0$ .

To recover  $Q$ -invariance, change

$$S_W \rightarrow S_W - \frac{i}{\varepsilon} \int_{\partial\Sigma} W d\varphi.$$

Now  $\delta S_W = 0$ .

But the boundary term

$$-\frac{i}{\varepsilon} \int_{\partial\Sigma} W d\varphi.$$

is not bounded in general.

To remedy this, place a **brane** supported on  $\gamma \subset Y$  and impose

- $\text{Im } W$  is constant on  $\gamma$

We can set  $\text{Im } W = 0$  by shift  $W \rightarrow W + W_0$ .

For a reason that will become clear shortly, we also impose

- $\gamma$  is a Lagrangian submanifold

The brane is more analogous to **A-branes** than B-branes.

## Localization of $\Omega$ -deformed LG model

Localize the path integral for  $\Sigma = D$ :

- 1 Send  $g \rightarrow \infty$ .
- 2 The path integral localizes to constant maps  $\Phi_0$ .
- 3 The 1-loop determinant is independent of  $\Phi_0$  if  $\gamma$  is Lagrangian.
- 4 For  $\Phi = \Phi_0$ , only the boundary term survives in  $S$ .
- 5 No fermion zero modes by the boundary condition.

We obtain the **localization formula**

$$\langle \mathcal{O} \rangle = \int_{\gamma} d\Phi_0 \exp\left(\frac{2\pi i}{\varepsilon} \operatorname{Re} W(\Phi_0)\right) \mathcal{O}(\Phi_0).$$



### 3d: Quantization via $\Omega$ -deformed RW theory

## $\Omega$ -deformation of RW theory

Consider **RW theory**, a TQFT based on  $\mathcal{N} = 4$  sigma model, with

- spacetime  $\mathbb{R} \times \Sigma$ .
- target  $X$  hyperkähler (as opposed to complex symplectic)

Pick a complex structure on  $X$ , say  $I$ .

View the theory as a B-twisted LG model on  $\Sigma$ :

- $Y = \text{Map}(\mathbb{R}, X)$ , with complex structure induced from  $I$
- The terms with  $\partial_t$  are provided by the superpotential

$$W(\Phi) = \frac{1}{2} \int_{\mathbb{R}} \Phi^* \Lambda, \quad \Omega_I = d\Lambda.$$

We can  **$\Omega$ -deform** the theory.

## Branes for $\Omega$ -deformed RW theory

Suppose  $\partial\Sigma = S^1$ .

Recall the conditions on the support of brane  $\gamma$ :

- 1  $\text{Im } W$  is constant on  $\gamma$
- 2  $\gamma$  is Lagrangian

To satisfy these we take  $\gamma = \text{Map}(\mathbb{R}, L)$ , with  $L \subset X$  such that

- 1  $\text{Im } \Omega_I = \omega_K = 0$  on  $L$
- 2  $L$  is Lagrangian with respect to  $\omega_I$

It follows that  $L$  is of type  $(A, B, A)$ ; the brane is similar to  $(A, B, A)$ -branes in  $\mathcal{N} = (4, 4)$  sigma models.

## Localization of the $\Omega$ -deformed RW theory

Take  $\Sigma = D$ . The localization formula

$$\langle \mathcal{O} \rangle = \int_{\gamma} d\Phi_0 \exp\left(\frac{2\pi i}{\varepsilon} \operatorname{Re} W(\Phi_0)\right) \mathcal{O}(\Phi_0)$$

translates into

$$\langle \mathcal{O} \rangle = \int_{\operatorname{Map}(\mathbb{R}, L)} \mathcal{D}\Phi_0 \exp\left(\frac{i\pi}{\varepsilon} \int_{\mathbb{R}} \Phi_0^* \operatorname{Re} \Lambda\right) \mathcal{O}(\Phi_0).$$

If  $\operatorname{Re} \Omega_I = \omega_J = dp^a \wedge dq_a$ , then the Lagrangian is  $p^a dq_a$ .

The  $\Omega$ -deformed RW theory on  $\mathbb{R} \times D$  is equivalent to QM on  $(L, \omega_J)$  with  $\hbar \propto \varepsilon$ .

Note that  $(L, \omega_J)$  is a Kähler submanifold of  $X$ , hence symplectic.

## Localization of the $\Omega$ -deformed RW theory

What about the observables?

The SUSY transformations

$$\begin{aligned}\delta\phi^i &= \iota_V \rho^i, & \delta\bar{\phi}^{\bar{i}} &= \bar{\eta}^{\bar{i}}, \\ \delta\rho^i &= d\phi^i + \iota_V F^i, & \delta\bar{\eta}^{\bar{i}} &= V(\bar{\phi}^{\bar{i}})\end{aligned}$$

show  $Q \leftrightarrow \bar{\partial}$ ,  $\bar{\eta}^{\bar{i}} \leftrightarrow d\bar{\phi}^{\bar{i}}$  at zeros of  $V$ .

Thus  $H^{0,q}(X; \mathbb{C}) \subset Q$ -cohomology.

The localization sets fermions to zero; only the  $q = 0$  part survives.

The  $\Omega$ -deformation quantizes the algebra of holomorphic functions.

This is a deformation quantization.

4d: Applications to  $\mathcal{N} = 2$  gauge theory

## Quantization by $\Omega$ -deformation

Let's derive the Nekrasov–Shatashvili correspondence:

- 1 Consider a twisted  $\mathcal{N} = 2$  gauge theory on  $\mathbb{R} \times D \times S^1$ .
- 2  $\Omega$ -deform the theory.
- 3 By topological invariance, we can shrink the  $S^1$ .
- 4 We get the  $\Omega$ -deformed RW theory on  $\mathbb{R} \times D$  whose target is the complex integrable system  $(\mathcal{M}, \Omega_I)$ .
- 5 It is QM on  $L \subset \mathcal{M}$ , specified by the brane.

We conclude:

The  $\Omega$ -deformation quantizes  $(L, \omega_J)$ .

We can derive the **Bethe/gauge correspondence**:

- 1 Take  $L$  to be the locus  $\text{Im } a_{D,i} = \theta_{m,i} = 0$ .
- 2 The QM Lagrangian is  $-\text{Re } a_{D,i} d\theta_e^i$ .
- 3 Integrating over  $\theta_e^i$  imposes  $\text{Re } a_{D,i}/\hbar = \mathbb{Z}$ .
- 4 Combined with  $\text{Im } a_{D,i} = 0$ , we obtain

$$\exp\left(\frac{2\pi i}{\hbar} a_{D,i}\right) = \exp\left(\frac{\partial \widetilde{W}}{\partial a^i}\right) = 1,$$

where  $\widetilde{W} = 2\pi i \mathcal{F}/\hbar$  and  $\mathcal{F}$  is the deformed prepotential.

This is the **Bethe equations** of the integrable system, with  $\widetilde{W}$  identified with the **Yang–Yang function**.



## Quantization by twisting of the spacetime

Now we derive the second correspondence:

- 1 Consider a twisted  $\mathcal{N} = 2$  gauge theory on  $\mathbb{R} \times D \times_{\epsilon} S^1$ .
- 2 Wrap  $\mathcal{L}_a^{\zeta}$  on the  $S^1$  at  $\{t_a\} \times \{0\} \in \mathbb{R} \times D$ .
- 3 The VEV is an index, so we can shrink the  $S^1$ .
- 4 We get the  $\Omega$ -deformed RW theory on  $\mathbb{R} \times D$  with target  $(\mathcal{M}, J_{\zeta})$ .
- 5  $\mathcal{L}_a^{\zeta}$  descend to local operators, namely holomorphic functions on  $\mathcal{M}$ , and their algebra is quantized.

Twisting the spacetime quantizes the algebra of holomorphic functions on  $(\mathcal{M}, J_{\zeta})$  generated by SUSY loop operators.

Concluding remarks

In this talk I discussed

- $\Omega$ -deformation of B-twisted LG models in 2d
  - branes are analogous to A-branes
  - localization formula on a disk
- $\Omega$ -deformation of RW theory in 3d
  - branes are similar to  $(A, B, A)$ -branes
  - the  $\Omega$ -deformed RW theory on  $\mathbb{R} \times D$  quantizes a symplectic submanifold of the hyperkähler target space
- applications to  $\mathcal{N} = 2$  gauge theory in 4d
  - $\Omega$ -deformation on  $\mathbb{R} \times D \times S^1$  quantizes the integrable system  $(\mathcal{M}, \Omega_I)$  associated with the Coulomb branch
  - loop operator VEVs on  $\mathbb{R} \times D \times_{\varepsilon} S^1$  quantize the algebra of holomorphic functions on  $(\mathcal{M}, J_{\zeta})$ ,  $\zeta \neq 0, \infty$

### Possible directions for future research:

- $\Omega$ -deformation of mirror symmetry

The A-model side compute vortex partition functions. Reproduced by B-twisted LG models?

- $\Omega$ -deformation of gauged RW theory

Constructed by Kapustin & Saulina. A TQFT version of  $\mathcal{N} = 4$  sigma model with Chern–Simons coupling, constructed by Gaiotto–Witten. Lead to “equivariant” quantization?

- quantization of Seiberg–Witten curve [Fucito et al., ...]

- wall-crossing?

### Work in progress (with Y. Luo, M.-C. Tan and Q. Zhao):

- $\Omega$ -deformation of B-twisted gauge theories

Application to the 3d/3d correspondence between 3d SCFT and complex CS [Dimofte et al., Terashima–Yamazaki]. The idea is similar to [JY, Cordova–Jafferis, Lee–Yamazaki].