

Generalized Indices for $\mathcal{N} = 1$ Theories in Four-Dimensions

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Data for a QFT

A Quantum Field Theory *can* be constructed using a set of “fields” Φ and a real even functional $S[\Phi]$

- Φ could be sections of - or connections for - some bundles over a smooth “spacetime” M . They determine a (super) Hilbert space \mathcal{H} associated to ∂M .
- The charges, equivalently representations, are restricted
 - Spin-statistics: odd fields sit in spinor representations of the tangent bundle.
 - Anomaly cancelation.
- A family of S 's is parametrized by “coupling constants”. In modern language: non-dynamical background fields - $S[\Phi, \Phi_B]$.
- S determines a linear map between \mathcal{H} 's associated to different components of ∂M in one of two ways
 - By determining an operator (the Hamiltonian) H and the propagator $\exp itH$.
 - By providing a “measure” for the path integral.

Symmetries

A transformation δ on the fields Φ is said to be a symmetry if

$$\delta S[\Phi, \Phi_B] = 0.$$

Every δ determines a U_δ such that

$$[U_\delta, H] = 0.$$

Some standard QFT symmetries when $M = \mathbb{R}^d$ (a group G_{even} with algebra $\mathfrak{g}_{\text{even}}$)

- ① The Lorentz or Euclidean rotation groups ($SO(1, d-1), SO(d)$). The central element of the double cover (e.g. $\text{Spin}(d)$) is denoted $(-1)^F$. The Poincare group also includes translations.
- ② Global symmetries - do not act on M . Sometimes called “flavor” if they come from including duplicate fields in Φ .
- ③ Conformal symmetry - an extension of 1.

Supersymmetry and BPS states

An (\mathcal{N} extended) supersymmetry algebra adds odd generators (must be Lorentz spinors)

$$\{Q_i, Q_j\} \subset \mathfrak{g}_{\text{even}}, \quad (-1)^F Q_i = -Q_i (-1)^F, \quad i \in \{1 \dots \mathcal{N}\}$$

States are paired when $Q^2 \neq 0$

$$Q^2 |\Psi\rangle = (H + \dots) |\Psi\rangle = \lambda |\Psi\rangle \Rightarrow |\Psi\rangle = Q \left(\frac{Q}{\lambda} |\Psi\rangle \right).$$

Note that

$$|\Psi\rangle = \begin{pmatrix} B \\ F \end{pmatrix}, \quad Q = \begin{pmatrix} 0 & \bullet \\ \bullet & 0 \end{pmatrix}, \quad (-1)^F = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

Define a state $|\Psi\rangle$ is said to be **BPS** if

$$Q|\Psi\rangle = 0 \Leftrightarrow (H + \dots)|\Psi\rangle = 0.$$

The Witten Index

An “index” is a quantity you can calculate in a supersymmetric QFT defined on $\mathbb{R}_t \times M_{\text{space}}$.

- Example: Choose a “space” manifold T^{d-1} . Q is odd and Hermitian

$$Q^2 = H, \quad Q = \begin{pmatrix} 0 & M^* \\ M & 0 \end{pmatrix}.$$

The Witten index is¹

$$\mathcal{I}_W \equiv \text{tr}_{\mathcal{H}} (-1)^F = \dim \ker M - \dim \ker M^*$$

If $[Q, X_i] = 0$, form a “refined” index

$$\mathcal{I}(\{a\}) = \text{tr}_{\mathcal{H}} \left[(-1)^F e^{a^i X_i} \right]$$

¹Witten (1988)

Calculating an index by deformation (localization)

Indices are deformation invariant and get contributions only from BPS (“unpaired”) states

$$A = \{Q, V\}, \quad [Q, A] = 0 \quad \Rightarrow$$

$$\mathrm{tr}_{\mathcal{H}} \left[(-1)^F e^{a^i X_i} \right] = \mathrm{tr}_{\mathcal{H}} \left[(-1)^F e^{a^i X_i} e^{-tA} \right].$$

Specifically, can be calculated at weak coupling ($\beta \rightarrow \infty$)

$$\mathrm{tr}_{\mathcal{H}} \left[(-1)^F e^{a^i X_i} \right] = \mathrm{tr}_{\mathcal{H}} \left[(-1)^F e^{a^i X_i} e^{-\beta(H+\dots)} \right]$$

- Note: interesting deformations (a^i) parametrize the Q -cohomology.

Indices and path integrals

Path integral formula for an index of states on M_3

$$\text{tr} \left[(-1)^F e^{a^i X_i} e^{-\beta(H+\dots)} \right] = \int \mathcal{D}[\Phi] \exp(-S_{\{a\},\beta}[\Phi])$$

- The fields Φ live on $S^1 \times M_3$.
- Supersymmetry means $\delta_Q S_{\{a\},\beta}[\Phi] = 0$. Example: $(-1)^F$ picks out the spin structure on the S^1 such that fermions are periodic.
- The a_i are coordinates on some space of supersymmetric deformations of S : metrics, background fluxes etc.

Atiyah-Bott-Berline-Vergne formula

Theorem (Atiyah and Bott - 1984, Berline and Vergne - 1982)

Let Q be an equivariant differential and α a Q -closed equivariant form on a compact manifold M , then the following holds

$$\int_M \alpha = \int_{\mathcal{K}_Q} \frac{i_{\mathcal{K}_Q}^* \alpha}{e(N_{\mathcal{K}_Q})}$$

where \mathcal{K}_Q is the zero set of Q , $i_{\mathcal{K}_Q}^*$ is the pullback and $e(N_{\mathcal{K}_Q})$ is the equivariant Euler class of the normal bundle of \mathcal{K}_Q in M .

- Example: Duistermaat-Heckman Formula (1982)

$$\alpha = \exp [i (H + \Omega)]$$

$$\int_M \Omega^n e^{iH} = i^n \sum_{p \in R} e^{\frac{i\pi}{4} \text{sgn}(\text{Hess}(H(p)))} \frac{e^{iH(p)}}{\sqrt{\det(\text{Hess}(H(p)))}}$$

Localization in supergeometry

Theorem (Schwarz and Zaboronski - 1995)

Let M be a compact supermanifold with volume form dV . Let Q be an odd non-degenerate vector field on M such that

- ① $\text{div}_{dV} Q = 0$ (the volume form is Q invariant)
- ② Q^2 is an even compact vector field on M .

Let \mathcal{K}_Q be the zero set of Q and let S be an even Q -invariant function, $\rho(p)$ is the volume density at p , and “sdet” denotes the superdeterminant (Berezinian)

$$\int_M dV e^{iS} = \sum_{p \in \mathcal{K}_Q} \frac{\rho(p) e^{iS(p)}}{\sqrt{\text{sdet}(\text{Hess}(S(p)))}}$$

In the DH formula

$$\int_M \Omega^n e^{iH} \rightarrow i^{-n} \int_{\Pi TM} \prod_{i=1}^{2n} dx^i d\xi^i e^{i(H(x) + \Omega_{ab}(x) \xi^a \xi^b)}$$

Localization for path integrals

Deformation

- Identify an appropriate conserved fermionic charge: Q .
- Choose V such that $\{Q, V\}$ is a positive semi-definite functional (Q should square to 0 on V).
- Deform the action by a total Q variation $S \rightarrow S + t\{Q, V\}$.
The resulting path integral is independent of t !
- Add some Q closed operators (Wilson loops, defect operators).

Localization

- Take the limit $t \rightarrow \infty$.
- The measure $\exp(-S)$ is very small for $\{Q, V\} \neq 0$.
- The semi-classical approximation becomes exact, but there may be many saddle points to sum over ("the zero locus").
- Integrate over the zero locus of $\{Q, V\}$ (+ small fluctuations)

Setting up QFT localization

Set up an integral with the odd symmetry Q

- 1 Write down a general $S[\Phi, \Phi_B]$ such that $\delta_Q S = 0$.
- 2 Pick background fields Φ_B such that $\delta_Q \Phi_B = 0$.

Some susy jargon

- **Twisting**: picking Q and $\Phi_B(g)$ such that $T_{EM} \equiv dS/dg = \{Q, X\}$. Under mild assumptions, the result is a (“cohomological” or “Witten type”) TQFT - changing the metric g results in

$$\frac{d}{dg} \int \mathcal{D}[\Phi] \exp(-S) = \int \mathcal{D}[\Phi] T \exp(-S) = 0.$$

- **Moduli space** - the set $\{\Phi | \delta_Q \Phi = 0\}$.
- **One loop determinant** - the function on moduli space given by $\text{sdet}^{-1/2} [\text{Hess}(\{Q, V\})]$.

About the model

The (dynamical) field content

- ① $U(N)$ vector multiplet (SYM) - A, λ, D
- ② Some chiral multiplets - ϕ_i, ψ_i, F_i

The action functional ($S[A, \lambda, D, \phi, \psi, F]$)

- Yang Mills action - $\frac{1}{g_{YM}^2} \int \text{tr}(F \wedge \star F)$
- Kinetic terms and minimal coupling - $\int \bar{\lambda} \not{D} \lambda, \int \bar{\psi} \not{D} \psi, \int D\phi \wedge \star D\phi$
- A “superpotential” which won’t play a prominent role.
- Non-derivative terms in D, F .

Parameters and symmetries of the model

Some parameters are not background fields

- 1 The gauge group G (I took $U(N)$).
- 2 The representations of the matter fields (chirals).

Spacetime symmetries

- 1 Poincare - translations + rotations + boosts.
- 2 $\mathcal{N} = 1$ supersymmetry - a fermionic symmetry with one Weyl generator.

Global symmetries

- 1 $U(1)_R$ which does not commute with supersymmetry.
- 2 Some flavor symmetry group F acting on chirals.

General motivation for $\mathcal{N} = 1$ SYM and SQCD

- A lot in common with QCD and electroweak theory
 - Asymptotic freedom/strongly coupled IR theory, higgs mechanism.
 - Confinement of color, chiral symmetry breaking.
 - Instantons and monopoles.
- Many other interesting features
 - Some exact results: non-renormalization theorem, NSVZ β -function etc.
 - Interacting conformal phase.
 - Seiberg duality.
 - No “solution” a la Seiberg-Witten for $\mathcal{N} = 2$ (but some partial results).

Additional motivation

- Exact results for strongly coupled theories are hard to come by.
- Few computations for 4d $\mathcal{N} = 1$ theories using localization.
- Supersymmetric backgrounds have been worked out recently and a large class of manifolds preserving two supercharges was identified.²
- Existing examples like the superconformal index³ ($S^1 \times S^3$) and $T^2 \times S^2$ ⁴ show that the two supercharge case is particularly nice.

²Dumitrescu, Festuccia, and Seiberg (2012)

³Assel et al (2014)

⁴Closset and Shamir (2013)

Indices and partition functions

Indices are Euclidean partition functions that can be interpreted as a supertrace over the spectrum of a theory quantized on a $d - 1$ dimensional manifold (usually compact)

- The Witten index is a partition function on T^d . It counts supersymmetric ground states with signs.⁵
- The superconformal index counts local BPS operators in a CFT.⁶ In 4d

$$\mathcal{I}(p, q, u) = \text{Tr}_{S^3} \left((-1)^F p^{J_3 + J'_3 - \frac{R}{2}} q^{J_3 - J'_3 - \frac{R}{2}} u^{Q_f} \right)$$

is equivalently the partition function on a Hopf surface (topologically $S^1 \times S^3$) and p, q are complex structure moduli.⁷

- The lens space index replaces S^3 by $L(r, 1)$.⁸

⁵Witten (1982)

⁶Kinney et al (2005), Romelsberger (2005)

⁷Closset, Dumitrescu, Festuccia, and Komargodski (2013)

⁸Benini, Nishioka and Yamazaki (2012) Razamat and Willett (2013)

Overview

The goal: compute partition functions that represent indices for 4d $\mathcal{N} = 1$ theories

- Applicability

- The theory must have a conserved $U(1)_R$ current.
- The manifold should admit an appropriate metric with a holomorphic torus isometry.
- The result is an unambiguous universal quantity which characterizes the IR CFT.⁹

- Method

- Choose a topology and complex structure only. The metric doesn't matter!¹⁰
- Calculate fluctuations using the equivariant index theorem.

⁹ Assel, Cassani, and Martelli (2014)

¹⁰ Closset, Dumitrescu, Festuccia, and Komargodski (2013)

Rigid supersymmetry in curved space

New minimal supergravity couples to the \mathcal{R} multiplet¹¹ of a 4d $\mathcal{N} = 1$ theory with a conserved $U(1)_R$

- The SUGRA multiplet: $g_{\mu\nu}, A_\mu^{(R)}, B_{\mu\nu}, \psi_\mu, \tilde{\psi}_\mu$
- The \mathcal{R} multiplet: $T_{\mu\nu}, J_\mu^{(R)}, \dots$

Rigid supersymmetric backgrounds solve a generalized Killing spinor equation¹² ($V \propto \star dB$)

$$\begin{aligned}\delta\psi_\mu &= (\nabla_\mu - i(A_\mu - V_\mu) - iV^\nu\sigma_{\mu\nu})\epsilon = 0, \\ \delta\tilde{\psi}_\mu &= (\nabla_\mu + i(A_\mu - V_\mu) + iV^\nu\bar{\sigma}_{\mu\nu})\tilde{\epsilon} = 0,\end{aligned}$$

The backgrounds are complex manifolds

$$J_{\mu\nu} \equiv -\frac{2i}{|\epsilon|^2}\epsilon^\dagger\sigma_{\mu\nu}\epsilon, \quad J^\mu{}_\rho J^\rho{}_\nu = -\delta^\mu{}_\nu$$

¹¹Komargodski and Seiberg (2010)

¹²Dumitrescu, Festuccia, and Seiberg (2012)

Backgrounds with both ϵ and $\tilde{\epsilon}$

When we restrict to backgrounds preserving an ϵ and an $\tilde{\epsilon}$ we get, in addition

- two commuting complex structures

$$J_{\mu\nu} = -\frac{2i}{|\epsilon|^2} \epsilon^\dagger \sigma_{\mu\nu} \epsilon, \quad \tilde{J}_{\mu\nu} = -\frac{2i}{|\tilde{\epsilon}|^2} \tilde{\epsilon}^\dagger \bar{\sigma}_{\mu\nu} \tilde{\epsilon}, \quad [J, \tilde{J}] = 0$$

- a complex holomorphic Killing vector

$$K^\mu = \epsilon \sigma^\mu \tilde{\epsilon} .$$

$$\nabla_\mu K_\nu + \nabla_\nu K_\mu = 0, \quad J_\nu^\mu K^\nu = \tilde{J}_\nu^\mu K^\nu = iK^\mu ,$$

- the backgrounds are torus fibrations over a Riemann surface. We'll restrict to

$$[K, K^\dagger] = 0$$

A simple class: $M_4 \simeq S^1 \times M_3$

Take M_4 to be the total space of a *principal elliptic fiber bundle* over a compact orientable Riemann surface Σ_g

$$T^2 \rightarrow M_4 \xrightarrow{\pi} \Sigma_g .$$

- M is actually diffeomorphic to $S^1 \times M_3$ where M_3 is a principal $U(1)$ bundle over Σ_g . The topology is determined by two numbers: the genus (g) and the degree (d).
- M is Kähler if and only if $d = 0$, in which case it is diffeomorphic to $T^2 \times \Sigma_g$.
- M has interesting cohomology classes, specifically¹³

$$\text{Tor} (H^2 (M_4, \mathbb{Z})) = \pi^* (H^2 (\Sigma_g, \mathbb{Z})) \simeq \mathbb{Z}_d .$$

¹³Teleman (1998)

Complex structure and R symmetry

The localization depends on the topological and holomorphic properties of the R symmetry line bundle L .

- The supersymmetry equations imply that L is “locked” to the canonical bundle: $L^{-2} \times \mathcal{K}_{M_4}$ is a trivial line bundle.¹⁴
- For most values of g, d the manifold M_4 has a canonical bundle with properties¹⁵

$$\mathcal{K}_{M_4} = \pi^* \mathcal{K}_{\Sigma_g} ,$$

and hence

$$c_1(\mathcal{K}_{M_4}) = \pi^* c_1(\mathcal{K}_{\Sigma_g}) = 2g - 2 \bmod d \in \mathbb{Z}_d \subset H^2(M, \mathbb{Z}) .$$

- For $g = 0$ and $d \geq 3$ there is a more general possibility¹⁶

$$\mathcal{K}_{M_4} = \begin{cases} \text{topologically trivial} & \text{I} , \\ \pi^* \mathcal{K}_{\Sigma_g} & \text{II} . \end{cases}$$

¹⁴Dumitrescu, Festuccia, and Seiberg (2012)

¹⁵Hofer (1993)

¹⁶Nakagawa (1995)

Supersymmetry on M_4

At this point we *assume* that M admits the right type of metric to support two supercharges

- The complex Killing vector K has non-vanishing components in the fiber directions and acts freely on them.
- The supersymmetry algebra is

$$\begin{aligned} \{\delta_\epsilon, \delta_{\bar{\epsilon}}\} &= \frac{1}{2} \delta_K , \\ \{\delta_\epsilon, \delta_\epsilon\} &= \{\delta_{\bar{\epsilon}}, \delta_{\bar{\epsilon}}\} = 0 , \\ &= [\delta_K, \delta_\epsilon] = 0 , \\ &= [\delta_K, \delta_{\bar{\epsilon}}] = 0 , \end{aligned}$$

$$\delta_K \equiv \mathcal{L}_K - irK^\mu A_\mu^{(R)} - iq_{\text{flavor/gauge}} K^\mu a_\mu .$$

- Supersymmetric actions for vector/chiral multiplets are easy to write down. R charge quantization may be required if L is non-trivial.

Localization on M_4

We choose a supercharge Q which is a linear combination of transformation using ϵ and $\tilde{\epsilon}$

$$\{Q, Q\} = \frac{1}{2}\delta_K ,$$

$$\delta_K = \mathcal{L}_K - irK^\mu A_\mu^{(R)} - iq_{\text{flavor/gauge}} K^\mu a_\mu .$$

The localizing functionals are the curved space D terms

$$\mathcal{L}_{\text{gauge}}^{(\text{loc})} = \frac{1}{2} F_{\mu\nu} F^{\mu\nu} + \lambda \sigma^\mu D_\mu \tilde{\lambda} + \tilde{\lambda} \bar{\sigma}^\mu D_\mu \lambda + D^2 ,$$

$$\mathcal{L}_{\text{matter}}^{(\text{loc})} = D_\mu \tilde{\phi} D^\mu \phi + \frac{1}{2} \tilde{\psi} \bar{\sigma}^\mu D_\mu \psi + \dots$$

The path integral localizes to flat connections

$$F_{\mu\nu} = 0 , \quad D = 0, \quad \phi = 0 , \quad F = 0,$$

and we'll call a linearized operator acting on fluctuations around this D_{oe} .

The partition function

$$Z_{G,r,M_{g,d}}(\tau_{CS}, \xi_{FI}, a_f) = \int_{\mathcal{M}_G^0(g,d)} e^{-S_{\text{classical}}(\tau_{CS}, \xi_{FI})} \times \\ Z_{\text{gauge}}^{g,d}(\tau_{CS}) Z_{\text{matter}}^{g,d,r}(\tau_{CS}, a_f)$$

- Actually an integral and sum over the moduli space of flat connections $\mathcal{M}_G^0(g, d)$. Background flat connections are included: a_f .
- Dependence on the metric is through the space of complex structures τ_{CS} .
- The determinants will be computed using the equivariant index theorem

$$\text{ind}(D_{oe}) = \text{tr}_{\text{Ker}D_{oe}} e^{\delta K} - \text{tr}_{\text{Coker}D_{oe}} e^{\delta K} \rightarrow Z_{\text{one-loop}} = \frac{\det_{\text{Coker}D_{oe}} \delta K}{\det_{\text{Ker}D_{oe}} \delta K}$$

Moduli space of flat connections I - $\pi_1(M_4)$

The fundamental group of $M_4(g, d)$ is described by generators

$$a_i, b_i, h, x, \quad i \in 1, \dots, g,$$

and relations

$$[a_i, h] = [b_i, h] = [a_i, x] = [b_i, x] = [x, h] = 1, \quad \prod_{i=1}^g [a_i, b_i] = h^d.$$

- It's a central extension of $\pi_1(\Sigma_g)$ plus the decoupled generator x . For $g \neq 1$ only the h and x holonomies deform δ_K .
- For non-trivial values of h^d this implies flux on Σ_g .¹⁷ The flux changes the bundles used in the index theorem for D_{oe} .

¹⁷Atiyah and Bott (1983)

Moduli space of flat connections II - $U(N)$

This is the simplest case: in the holonomy representation $\mathcal{M}_{g,d}^0$ is the set of N dim unitary representations of $\pi_1(M_4)$

- Commuting generators can be simultaneously put in the Cartan.
- $\det h^d = 1$ so the spectrum of h is discrete - the quantum number m is the flux. The effect of the degree is $m \rightarrow m \bmod d$.
- In an irrep of $\prod_{i=1}^g [a_i, b_i] = h^d$ the additional holonomy x must be scalar. A general representation breaks

$$U(N) \rightarrow U(N_1) \times U(N_2) \times \cdots \times U(N_p)$$

and has p fluxes.

Gauginos zero modes

The Killing spinor equations and the eom for the gaugino are similar

$$\bar{\sigma}^\mu \left(\nabla_\mu - i \left(A_\mu^{(R)} + \frac{1}{2} V_\mu \right) \right) \epsilon = 0 ,$$

$$\bar{\sigma}^\mu \left(\nabla_\mu - i \left(A_\mu^{(R)} + a_\mu^{\text{gauge}} - \frac{3}{2} V_\mu \right) \right) \lambda = 0 .$$

- The background has $\chi(M_4) = \sigma(M_4) = 0$ and all the gauge fields satisfy $c_1^2 = c_2 = 0$ so the index theorem for the Dirac operator gives 0.
- If $V_\mu = 0$, i.e. Kähler manifolds with $d = 0$ and $M_4 \simeq T^2 \times \Sigma_g$, then gauginos in the same Cartan as the holonomies have an obvious zero mode: ϵ .
- Under some assumptions $d > 0$ guarantees no gaugino zero modes.

Equivariant index for $d > 0$

The index is a function (density) on the abelian group of “symmetries” \mathcal{S} or chemical potentials

$$\text{ind}(D_{oe}) = \text{tr}_{\text{Ker}D_{oe}} e^{\delta_K} - \text{tr}_{\text{Coker}D_{oe}} e^{\delta_K},$$

which can be used to compute the one loop determinants by the rule

$$\text{ind}(D_{oe}) = \sum_{\alpha} c_{\alpha} e^{tw_{\alpha}} \longrightarrow Z_{\text{one-loop}} = \prod_{\alpha} w_{\alpha}^{-c_{\alpha}}.$$

- w_{α} are weights in the representation in which the field sits. c_{α} is the multiplicity.
- \mathcal{S} includes the geometric action of \mathcal{L}_K , dynamical/background gauge transformations, and R symmetry transformations.
- The structure of M_4 allows us to reduce to Σ_g . For a chiral, D_{oe} is the pullback of a Dirac operator on Σ_g and its index will be calculated using the Atiyah Singer index theorem (transversally elliptic version). The gauge sector is similar.

Equivariant index - $g > 1$

The computation simplifies because there are no isometries on Σ_g .

- The holonomies on the base do not deform the equivariant complex.
- We can use the usual Atiyah Singer index theorem for the Dirac operator

$$\text{ind}(D_{\text{Dirac}}; E) = \int_X \hat{A}(TX) \text{ch}(E) = \int_{\Sigma} 1 \cdot c_1(E) = \text{deg}(E) .$$

The bundle on the base is geometric+gauge+R symmetry. The index and determinant are

$$\text{ind}(D_{\text{oe}}) = \sum_{\rho \in \mathfrak{R}, n, l \in \mathbb{Z}} \left(-(r-1) \frac{\chi(\Sigma)}{2} + dl + \rho(m) \right) x^n y^{dl - (r-1) \frac{\chi(\Sigma)}{2}} u ,$$

$$Z_{\text{matter}}^{(r, \rho)} = \prod_{n, l \in \mathbb{Z}} \left(n + \tau d \left(l - (r-1) \frac{\chi(\Sigma)}{2d} \right) + \rho(a_w) \right)^{-(r-1) \frac{\chi(\Sigma)}{2} + dl + \rho(m)}$$

Equivariant index - $g = 0$

This is the lens space index¹⁸ for which we use the Atiyah Bott fixed point formula on $\Sigma_0 = S^2$

$$\text{ind}_T(D) = \sum_{p \in F} \frac{\text{tr}_{E_e(p)} t - \text{tr}_{E_o(p)} t}{\det_{TX_p}(1 - t)}.$$

The index and determinant are

$$\text{ind}(D_{\text{oe}}) = \sum_{\rho \in \mathfrak{R}, n, l \in \mathbb{Z}} t^{-r/2} \frac{t^{(dl + \rho(m))/2} - t^{-(dl + \rho(m))/2}}{1 - t^{-1}} x^n y^{dl + \rho(m)} u,$$

$$Z_{\text{matter}}^{(r, \rho)}(m, u) = e^{i\pi \mathcal{E}^{(r)}(\rho(m), u)} \Gamma(u(\rho q)^{r/2} q^{d - \rho(m)}; q^d, \rho q) \begin{pmatrix} p \leftrightarrow q \\ \rho \rightarrow d - \rho \end{pmatrix}$$

- $e^{i\pi \mathcal{E}^{(r)}(\rho(m), u)}$ is an interesting zero point energy.

¹⁸Benini, Nishioka and Yamazaki (2012) Razamat and Willett (2013) 

Equivariant index - $g = 1$

An interesting case

- $\chi(\Sigma) = 0$ implies that there is no R charge quantization for any d .
- There are isometries on the base torus, but no fixed points.
- General arguments imply that the base complex structure does not affect the partition function, but it seems like the holonomies do.

Classical contributions

Fayet-Iliopoulos terms for $U(1)$ factors exist in curved space

$$\xi \int (D - iV^\mu a_\mu) ,$$

- After localizing to flat connections only $K^\mu a_\mu$ contributes due to

$$V_\mu = -\frac{1}{2} \nabla^\nu J_{\nu\mu} + \kappa K_\mu , \quad K^\mu \partial_\mu \kappa = 0.$$

- ξ must be quantized to keep this invariant under large gauge transformations. This may not make sense for arbitrary g, d and an arbitrary complex structure.
- The result is trivial if $V = \star dB$ for a well defined B , hence we must have a non trivial three form flux in $H^{1,2}(M_4)$.
- The expression is equivalent to a sort of smeared supersymmetric abelian Wilson loop. Is there a non-abelian analogue?

Aspects of the partition function - I

$$Z_{G,r,M_{g,d}}(\tau_{CS}, \xi_{FI}, a_f) = \frac{1}{|\mathcal{W}|} \int_{\mathcal{M}_G^0(g,d)} e^{-S_{\text{classical}}(\tau_{CS}, \xi_{FI})} \times \\ Z_{\text{gauge}}^{g,d}(\tau_{CS}) Z_{\text{matter}}^{g,d,r}(\tau_{CS}, a_f)$$

- The restriction on R charges is

$$r(g-1 \bmod d) \in \mathbb{Z}.$$

This does not apply to the (usual) lens space index.

- τ_{CS} consists of the complex structure parameter for the torus fiber (τ), an additional complex number for the fibration (σ) when $g=0$, and possibly the complex structure on the base for $g=1$.
- $Z_{\text{matter}}^{g,d,r}(\tau_{CS}, a_f)$ and $Z_{\text{gauge}}^{g,d}(\tau_{CS})$ are elliptic gamma (type) functions.
- An overall factor is included to account for the residual Weyl

Aspects of the partition function - II

The parameters entering the partition function are split between¹⁹

① Parameters and deformations of the theory

- ① The gauge/flavor groups and the matter representations. This is where the superpotential comes in.
- ② A set of admissible Fayet-Iliopoulos terms ξ , one for each independent $U(1)$ factor in G .
- ③ An element of the moduli space of flat connections on M of the flavor symmetry group F .

② Parameters of M

- ① The genus, g , of the underlying Riemann surface and the first Chern class, d , of the circle bundle whose total space is M_3 .
- ② A point in the complex structure moduli space on M admitting a holomorphic Killing vector K . This may include a discrete choice in the case $g = 0$.
- ③ A choice of $W \in H^{1,2}(M)$.

¹⁹In agreement with Closset et al. (2014)

Issues/caveats

The interpretation of the index is complicated by

- 1 Accidental symmetries may prevent us from correctly identifying the IR R charge.
- 2 A metric supporting the necessary holomorphic Killing vector may not exist for all g, d, τ_{CS} .

The computation itself has a few shortcomings

- 1 The integral over the moduli space of flat connections is complicated and involves an unresolved quantity

$$\int_{\mathcal{M}_G^0(g,d)} = \sum_{\text{partitions}} \prod_{j=1}^p \left(\sum_{m_j \in 0, \dots, dN_j - 1} v \left[\mathcal{M}_{N_j, m_j}^g \right] \int_0^1 \frac{dx_j}{2\pi} \right).$$

- 2 Exclusion of fermionic zero modes required some assumptions.

Applications

A few standard applications for exact calculations

- ① Checking dualities: this involved a complicated calculation in the case of Seiberg duality and the superconformal index $(S^1 \times S^3)$.²⁰ The more intricate topology of M_4 can help check some global issues like discrete theta angles.²¹ Mapping of operators would be more ambitious.
- ② Holography and large N : this potentially sidesteps some of the intricacies of the moduli space of flat connections.

Some more recent applications

- ① Extracting trace anomalies from supersymmetric partition functions at “high temperature”.²²
- ② Constructing integrable lattice models.²³

²⁰Spiridonov and Vartanov (2009)

²¹Razamat and Willett (2013)

²²Di Pietro and Komargodski (2014)

²³Yamazaki (2013)

Future directions

Extending the results to include

- Manifolds where K acts with finite isotropy groups. The same basic techniques can be used.
- Looking for supersymmetric operators/defects.

More challenging options

- Manifolds with gaugino zero modes.
- Backgrounds preserving one supercharge: localization to the instanton moduli space.

Thank you!