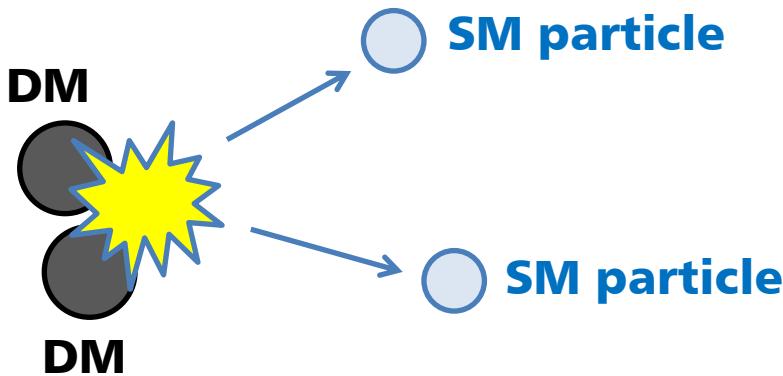


# A Consistent Calculation of Sommerfeld Effect

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Kfir Blum (Weizmann Inst.), RS, Tracy R. Slatyer (MIT)  
[arXiv:1603.01383]

# Dark matter and its annihilation cross section



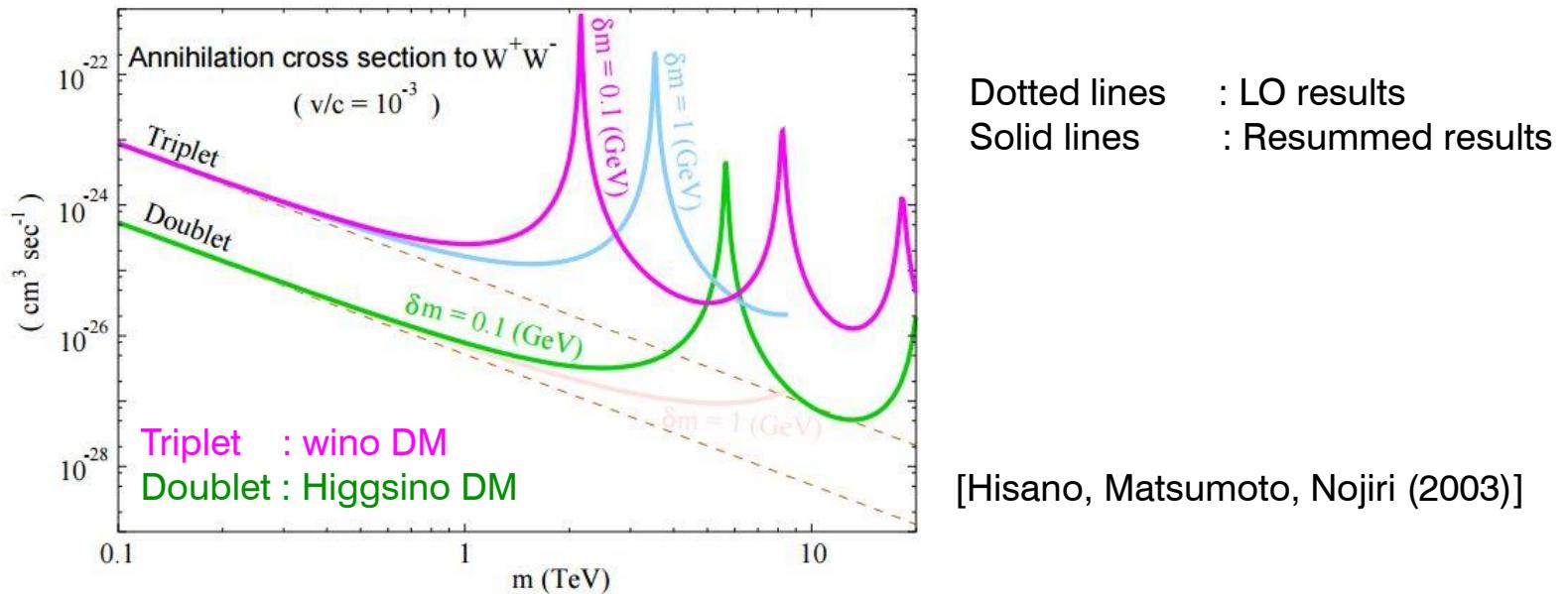
DM annihilation cross section is very important to discuss DM phenomenology.

- Thermal relic abundance
- Effect on CMB
- Observation of cosmic ray (anti-proton, positron, ...)

# Sommerfeld enhancement

[Sommerfeld (1931)]  
[Hisano, Matsumoto, Nojiri (2003)]

If the dark matter couples with a light force carrier ( $m_{force} \ll m_{DM}$ ),  
There is large enhancement of the cross section in non-relativistic regime.



Non-perturbative resummation is required to calculate this effect.

# “Usual” way to calculate

See, e.g., [Hisano, Matsumoto, Nojiri, Saito (2004)], [Cirelli, Strumia, Tamburini (2007)]

Long range force gives distortion from plane-wave.

1. Solve Schrodinger Eq. **with long-range force**

$$\left( -\frac{1}{2\mu} \nabla^2 + V(\mathbf{x}) - \frac{p^2}{2\mu} \right) \psi(\mathbf{x}) = 0 \quad \text{with} \quad \psi \rightarrow e^{ipz} + f(\theta) \frac{e^{ipr}}{r}$$

2. Compare the result with  $V(r) = 0 \longrightarrow \sigma = \sigma_0 \times S(v)$

LO cross section :  $\sigma_0$

Enhancement factor :  $S(v) = |\psi(\mathbf{0})|^2 / |\psi_0(\mathbf{0})|^2$  with  $\psi_0(\mathbf{x}) = e^{ipz}$

# A problem of the “usual” way

$$\sigma = \sigma_0 \times S(v)$$

LO cross section :  $\sigma_0$

Enhancement factor :  $S(v) = |\psi(\mathbf{0})|^2 / |\psi_0(\mathbf{0})|^2$  with  $\psi_0(x) = e^{ipz}$

$\sigma_0$  and  $S(v)$  are irrelevant each other.



Unitarity bound on s-wave :  $\sigma \leq \frac{\pi}{p^2}$

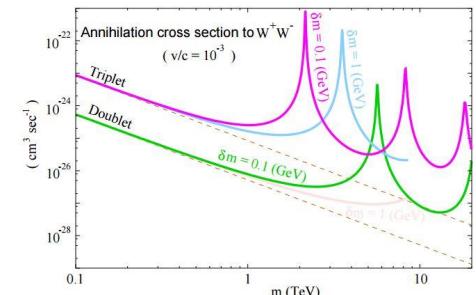
[Griest, Kamionkowski (1992)]

[Landau-Lifshits's textbook]

## Problematic situations

1. Large  $\sigma_0 v$
2. At zero energy resonance ( $S(v) \propto v^{-2} \rightarrow \sigma \propto v^{-3}$ )

e.g., peaks in right figure



# Outline of this talk

- Motivation

To obtain a formula which satisfies the **unitarity bound**.

- Strategy

We treat DM annihilation as scattering problem in **non-relativistic QM**.

An effective description is given by

$$\left( -\frac{1}{2\mu} \nabla^2 + V(|x|) + u\delta^3(x) - \frac{p^2}{2\mu} \right) \psi(x) = 0$$

What we have to do is **to solve this equation!**

- Outline

1. Introduction

2. Formalism

3. Examples

## 2. Formalism

# Basics: Scattering problem in QM

1. Solve the Schrodinger equation:

$$\left( -\frac{1}{2\mu} \nabla^2 + V(x) - \frac{p^2}{2\mu} \right) \psi(x) = 0$$

2. Determine asymptotic form ( $r \rightarrow \infty$ ) of s-wave solution :

$$\psi(r) \rightarrow S_0 \frac{e^{ipr}}{r} - \frac{e^{-ipr}}{r}$$

3. Calculate the cross section from the above  $S_0$ .

$$\sigma_{sc} = \frac{\pi}{p^2} |S_0 - 1|^2, \quad \sigma_{ann} = \frac{\pi}{p^2} (1 - |S_0|^2)$$

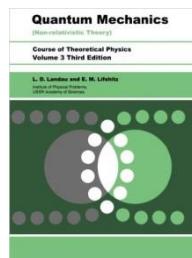
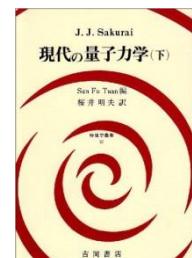
DM-DM elastic scattering

DM-DM annihilation

That's it.

**What we need is s-wave solution.**

See also



etc.

# 1. Solve the Schrodinger equation

c.f. [Jackiw (1992)]

We take short range-effect as delta function potential:

$$\left( -\frac{1}{2\mu} \nabla^2 + V(|x|) + u\delta^3(x) - \frac{p^2}{2\mu} \right) \psi(x) = 0$$

( $u$  is a complex parameter.)

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$$\left( -\frac{1}{2\mu} \nabla^2 + V(|x|) - \frac{p^2}{2\mu} \right) \psi(x) = -u \delta^3(x) \psi(\mathbf{0})$$

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( $u$  is a complex parameter.)



$$\psi(x) = \psi_0(x) - 2\mu u G_p(x, 0) \psi(0)$$

We have to solve a consistency condition at the origin.

Wave function w/o short range effect :

$$\left( -\frac{1}{2\mu} \nabla^2 + V(|x|) - \frac{p^2}{2\mu} \right) \psi_0(x) = 0$$

Green's function :

$$\left( -\frac{1}{2\mu} \nabla^2 + V(|x|) - \frac{p^2}{2\mu} \right) G_p(x, 0) = \frac{1}{2\mu} \delta^3(x), \quad G_p(\infty, 0) \propto \frac{e^{ipr}}{4\pi r}$$

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( $u$  is a complex parameter.)



$$\psi(x) = \psi_0(x) - G_p(x, 0) \psi_0(0) \left( \frac{1}{2\mu u} + G_p(0, 0) \right)^{-1}$$

We solved a consistency condition at the origin.

c.f.) Perturbative expansion of  $u$ :

$$\psi(x) = \underbrace{\psi_0(x) - 2\mu u G_p(x, 0) \psi_0(0)}_{O(u^1)} + 4\mu^2 u^2 G_p(x, 0) G_p(0, 0) \psi_0(0) + \dots$$

$O(u^0)$                      $O(u^1)$                      $O(u^2)$                      $O(u^3)$

This part corresponds to the “usual” calculation.

Wave function w/o short range effect :

$$\left( -\frac{1}{2\mu} \nabla^2 + V(|x|) - \frac{p^2}{2\mu} \right) \psi_0(x) = 0$$

Green's function :

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# 1. Solve the Schrodinger equation

c.f. [Jackiw (1992)]

$G_p(0,0)$  is divergent. ex)  $G_p(r, 0) = \frac{e^{ipr}}{4\pi r}$  for  $V(r) = 0$

It is relevant to short-range physics (UV divergence). We need renormalization.

$G_p(0,0) - \text{Re}G_{p_0}(0,0)$  is finite

For  $V(r) = \frac{V_{-1}}{r} + V_0 + V_1 r + \dots$



$$\frac{k_{p_0}}{4\pi} \equiv \frac{1}{2\mu u} + \text{Re}G_{p_0}(0,0)$$

$$\psi(x) = \psi_0(x) - G_p(x, 0)\psi_0(0) \left( \frac{1}{2\mu u} + G_p(0,0) \right)^{-1}$$

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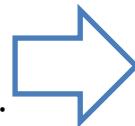
## 2. Determine asymptotic form of $\Psi$

$G_p(0,0)$  is divergent. ex)  $G_p(r, 0) = \frac{e^{ipr}}{4\pi r}$  for  $V(r) = 0$

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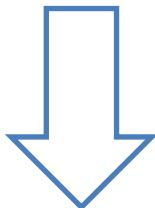
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$$\psi(x) = \psi_0(x) - G_p(x, 0)\psi_0(0) \left( \frac{k_{p_0}}{4\pi} - \text{Re}G_{p_0}(0,0) + G_p(0,0) \right)^{-1}$$



$$G_p(x, 0) = \frac{g_p(x)}{4\pi x} \quad \text{with } g_p(0) = 1, g_p(x) \rightarrow d_p e^{ipx}$$

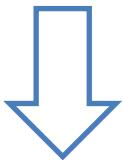
We can take  $\psi_0(x) = c \text{Im}G_p(x, 0)$ , and  $\text{Re}G_p(0,0) = \frac{1}{4\pi} g'_p(0)$

$$\psi(\infty) \propto \frac{1}{r} \left( \frac{d_p k_{p_0} - \text{Re}g'_{p_0}(0) + {g'_p}^*(0)}{d_p^* k_{p_0} - \text{Re}g'_{p_0}(0) + g'_p(0)} e^{ipr} - e^{-ipr} \right)$$

amplitude of DM-DM s-wave scattering

### 3. Calculate the cross sections

$$S_0 = \frac{d_p}{d_p^*} \frac{k_{p_0} - \text{Reg}'_{p_0}(0) + g_p'^*(0)}{k_{p_0} - \text{Reg}'_{p_0}(0) + g_p'(0)}$$

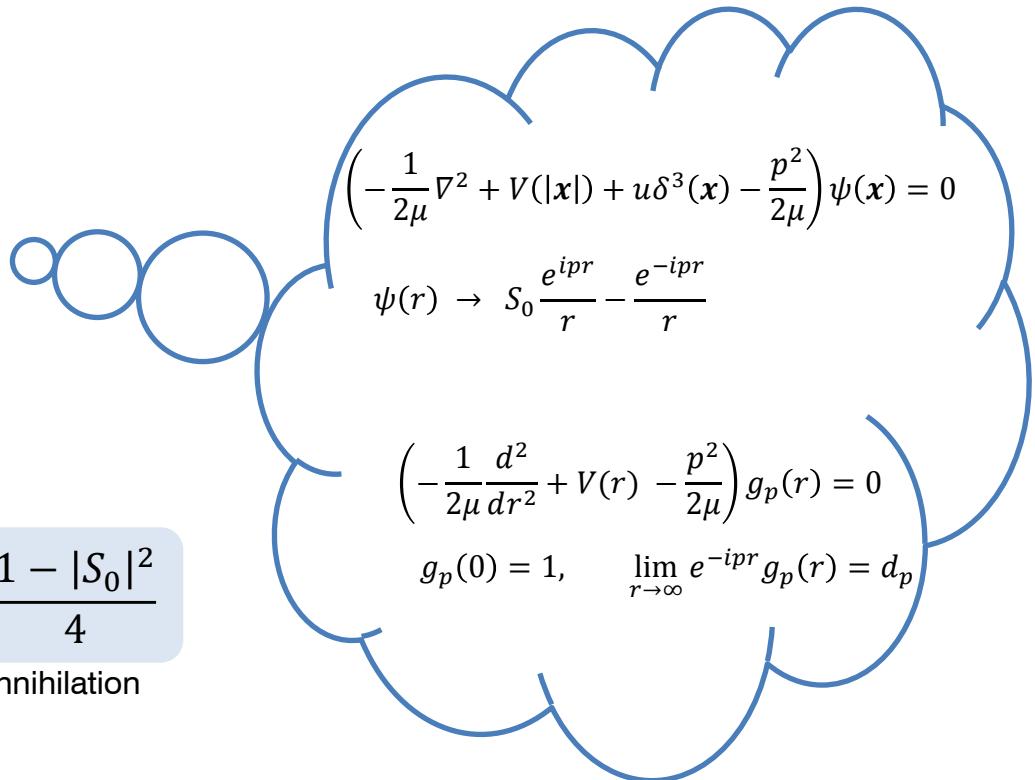


$$\sigma_{sc} = \frac{4\pi}{p^2} \left| \frac{1 - S_0}{2i} \right|^2,$$

DM-DM elastic scattering

$$\sigma_{ann} = \frac{4\pi}{p^2} \frac{1 - |S_0|^2}{4}$$

DM-DM annihilation



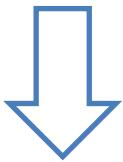
$\sigma_{sc}, \sigma_{ann}$  ( $S_0$ ) are determined from  $k_{p_0}$ ,  $d_p$  and  $g_p'(0) - \text{Reg}'_{p_0}(0)$ .

Short-range effect

Long-range effect

# Large momentum limit (determination of k)

$$S_0 = \frac{d_p}{d_p^*} \frac{k_{p_0} - \text{Reg}'_{p_0}(0) + g_p'^*(0)}{k_{p_0} - \text{Reg}'_{p_0}(0) + g_p'(0)}$$



$$\sigma_{sc} = \frac{4\pi}{p^2} \left| \frac{1 - S_0}{2i} \right|^2, \quad \text{DM-DM elastic scattering}$$

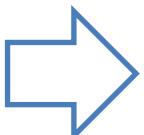
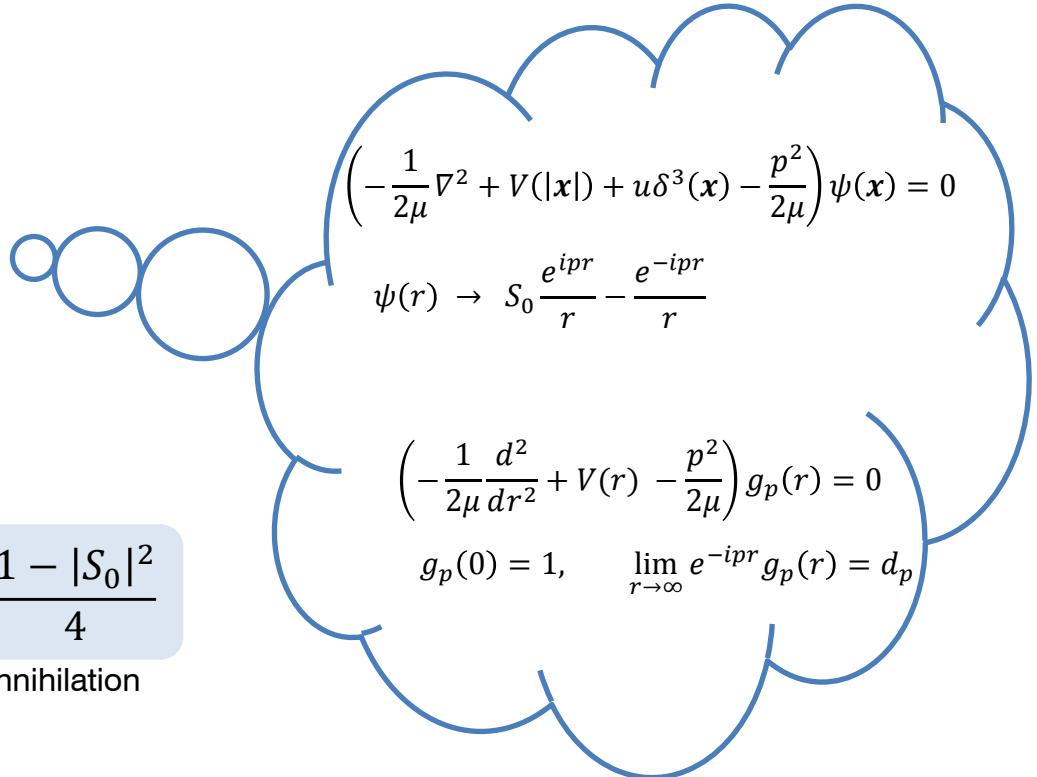
$$\sigma_{ann} = \frac{4\pi}{p^2} \frac{1 - |S_0|^2}{4}, \quad \text{DM-DM annihilation}$$

Large momentum limit ( $p^2 \gg |2\mu V|$ )

$$d_p \rightarrow 1, \quad g_p' \rightarrow ip$$

and for small coupling ( $|k_{p_0}^{-1}| \ll p^{-1}, p_0^{-1}$ ),

$$\sigma_{sc} \rightarrow 4\pi |k_{p_0}^{-1}|^2, \quad \sigma_{ann} \rightarrow \frac{4\pi}{p} \frac{\text{Im} k_{p_0}}{|k_{p_0}|^2}$$

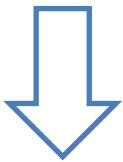


$$\text{Re} k_{p_0}^{-1} \simeq \pm \sqrt{\frac{\sigma_{sc}}{4\pi} - \left( \frac{\mu \sigma_{ann} v}{4\pi} \right)^2}$$

$$\text{Im} k_{p_0}^{-1} \simeq - \frac{\mu \sigma_{ann} v}{4\pi}$$

# Relation with conventional formulae

$$S_0 = \frac{d_p}{d_p^*} \frac{k_{p_0} - \text{Reg}'_{p_0}(0) + g_p'^*(0)}{k_{p_0} - \text{Reg}'_{p_0}(0) + g_p'(0)}$$



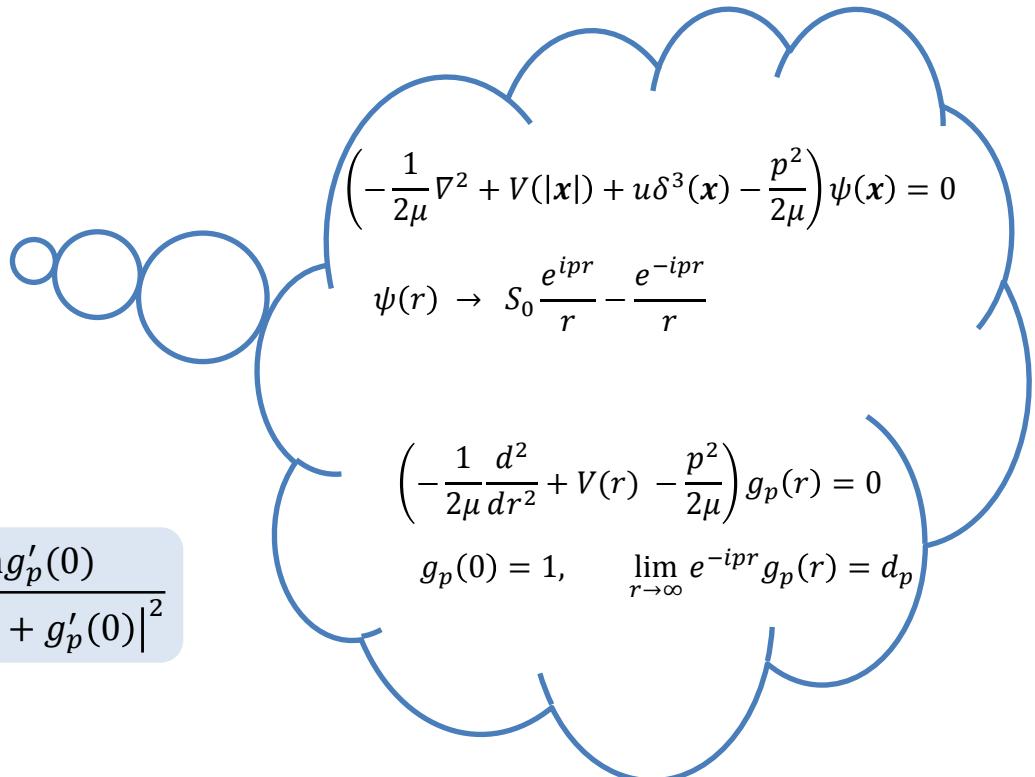
$$\sigma_{ann} = \frac{4\pi}{p^2} \frac{1 - |S_0|^2}{4} = \frac{4\pi}{p^2} \frac{\text{Im} k_{p_0} \text{Im} g_p'(0)}{|k_{p_0} - \text{Reg}'_{p_0} + g_p'(0)|^2}$$

At the leading order of  $k^{-1}$  (or  $u$ ),

$$\sigma v \simeq \frac{4\pi}{\mu} \frac{\text{Im} k_{p_0}}{|k_{p_0}|^2} \times \frac{\text{Im} g_p'(0)}{p}$$

$$\downarrow \quad \quad \quad \downarrow$$

$$\sigma v \simeq (\sigma v)_0 \times S(v)$$



$$W_p(r) \equiv \frac{1}{2i} (g_p^*(r)g_p'(r) - g_p(r)g_p'^*(r))$$

$$\begin{cases} W_p'(r) = 0 \\ W_p(0) = \text{Im} g_p'(0) \\ W_p(\infty) = p|d_p|^2 \end{cases} \rightarrow \frac{1}{p} \text{Im} g_p'(0) = |d_p|^2 = S(v)$$

# A formula of annihilation cross section

$$\sigma v \simeq \frac{\sigma v_0 S(v)}{\left| 1 + \left( \eta \sqrt{\frac{\mu^2 \sigma_{sc,0}}{4\pi} - \left( \frac{\mu^2 \sigma v_0}{4\pi} \right)^2} - i \frac{\mu^2 \sigma v_0}{4\pi} \right) (T(v) + iS(v)) v \right|^2}$$

Short-range  
force

$$\left\{ \begin{array}{ll} \sigma v_0 & : \text{LO annihilation cross section} \\ \sigma_{sc,0} & : \text{LO DM-DM elastic scattering cross section} \\ \eta = \text{sgn} k_{p_0}^{-1} & \begin{array}{l} \text{It is determined by matching the scattering amplitude} \\ \text{It is relevant that short-range force is attractive / repulsive.} \end{array} \end{array} \right.$$

long-range  
force

$$\left\{ \begin{array}{ll} S(v) = \frac{1}{p} \text{Im} g_p'(0) & (\text{"usual" Sommerfeld factor}) \\ T(v) = \frac{1}{p} [\text{Re} g_p'(0) - \text{Re} g_{p_0}'(0)] & \end{array} \right.$$

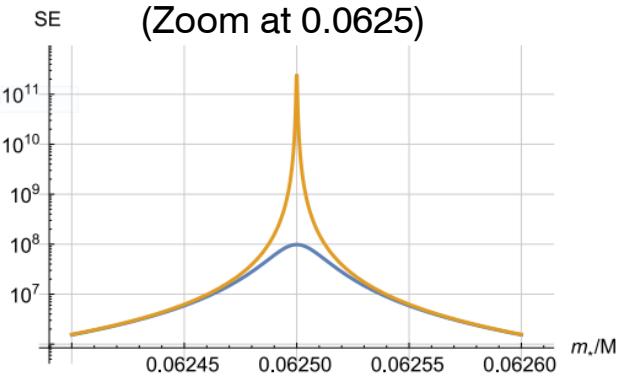
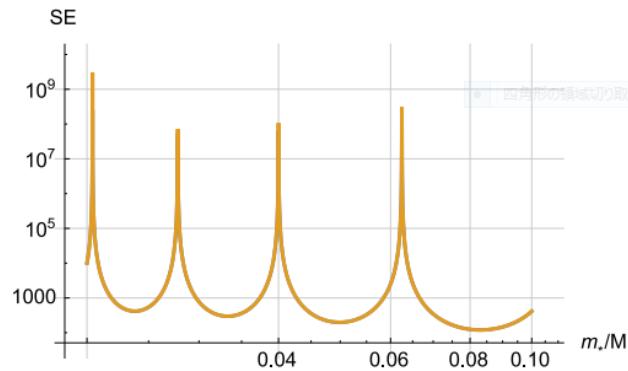
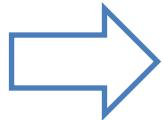
### 3. Examples

# Example 1 (small bare cross section)

Hulthen potential :  $V(r) = -\frac{\alpha m_* e^{-m_* r}}{1 - e^{-m_* r}}$  (Good approximation of  $V(r) = -\frac{\alpha e^{-mr}}{r}$ ,  $m_* = \frac{\pi^2}{6} m$ )

$$\alpha = 1, \quad \sigma_{ann} v = \frac{1}{32\pi M^2}, \quad \sigma_{sc} = \frac{\mu^2}{4\pi} (\sigma_{ann} v)^2$$

$$v = 10^{-6}$$



Yellow : usual formula

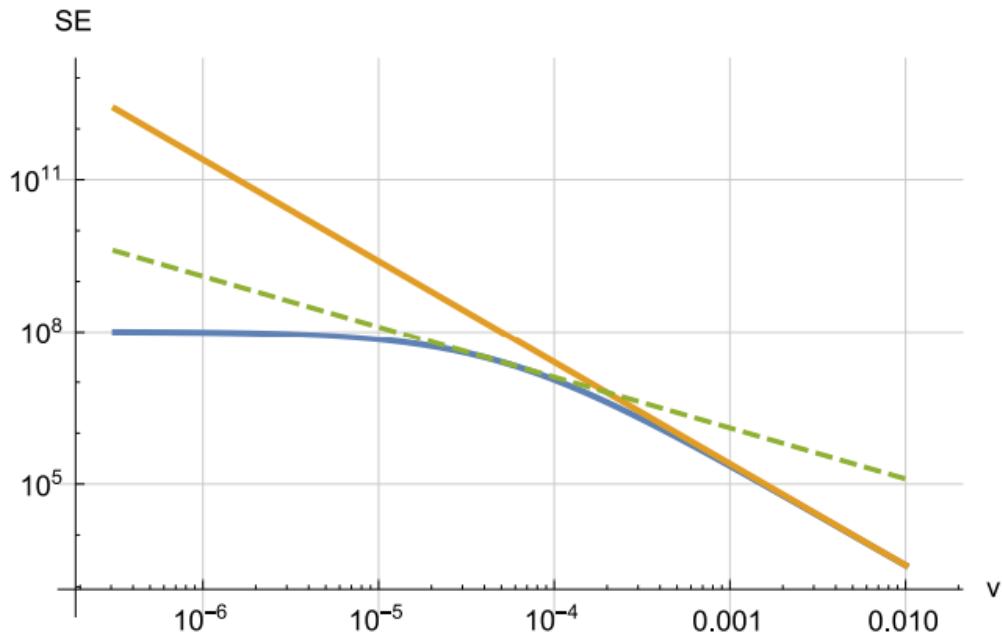
Blue : our formula

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$$\alpha = 1, \quad \sigma v = \frac{1}{32\pi M^2}, \quad \sigma_{sc} = \frac{\mu^2}{4\pi} (\sigma v)^2$$

$$m_* = 0.0625M \quad \rightarrow$$



Yellow : usual formula

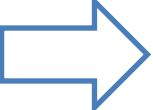
Blue : our formula

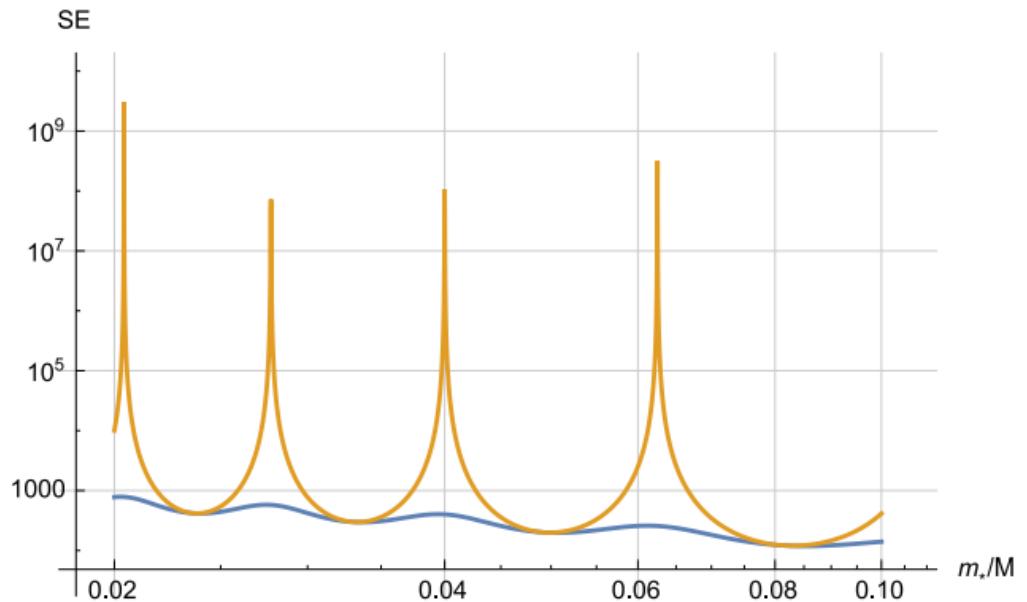
Green dotted : Unitarity bound

## Example 2 (large bare cross section)

Hulthen potential :  $V(r) = -\frac{\alpha m_* e^{-m_* r}}{1 - e^{-m_* r}}$  (Good approximation of  $V(r) = -\frac{\alpha e^{-mr}}{r}$ ,  $m_* = \frac{\pi^2}{6} m$ )

$$\alpha = 1, \quad \sigma v = \frac{2\pi}{M^2}, \quad \sigma_{sc} = \frac{\mu^2}{4\pi} (\sigma v)^2$$

$v = 10^{-6}$  



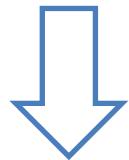
Yellow : usual formula

Blue : our formula

# Summary

- Solved Schrodinger Eq with long-range potential and delta function potential.
- Constructed DM annihilation cross section from non-relativistic QM.
- Our formula satisfies the unitarity bound.

$$S_0 = \frac{d_p}{d_p^*} \frac{k_{p_0} - \text{Reg}'_{p_0}(0) + {g'_p}^*(0)}{k_{p_0} - \text{Reg}'_{p_0}(0) + g'_p(0)}$$



$$\sigma_{sc} = \frac{4\pi}{p^2} \left| \frac{1 - S_0}{2i} \right|^2, \quad \sigma_{ann} = \frac{4\pi}{p^2} \frac{1 - |S_0|^2}{4}$$

# Backup

# An examples of zero energy resonance

- S-wave bound-state in square-well potential

$$\left( -\frac{1}{2\mu} \nabla^2 + V(r) \right) \psi(\mathbf{r}) = E_{bin} \psi(\mathbf{r}), \quad V(r) = -\frac{k_V^2}{2\mu} \theta(r_0 - r), \quad E_{bin.} = -\frac{\kappa^2}{2\mu}$$

$$\psi(\mathbf{x}) = \frac{\chi(|\mathbf{x}|)}{|\mathbf{x}|} \quad \rightarrow \quad \left( -\frac{1}{2\mu} \frac{d^2}{dr^2} + V(r) + \frac{\kappa^2}{2\mu} \right) \chi(r) = 0, \quad \chi(0) = 0$$

- Condition for a bound state

For  $r < r_0$ ,  $\chi(r) = A \sin(\tilde{k}r)$

For  $r > r_0$ ,  $\chi(r) = e^{-\kappa r}$

$$\rightarrow \quad \kappa = -\tilde{k} \cot \tilde{k}r_0 \quad (\tilde{k} = \sqrt{k_V^2 - \kappa^2})$$

- Zero energy resonance

Tuning of the potential

$$k_V r_0 = \frac{2n-1}{2}\pi + \epsilon$$

Binding energy of the most “shallow” state

$$\kappa \simeq k_V \epsilon \rightarrow E_{bin.} \simeq -\frac{k_V^2 \epsilon^2}{2\mu}$$

# Another way to see

$$\left( -\frac{1}{2\mu} \nabla^2 + V(|x|) + u\delta^3(x) - \frac{p^2}{2\mu} \right) \psi(x) = 0 \quad (u \text{ is a complex parameter.})$$

Probability flux

$$j = \frac{1}{\mu} \operatorname{Im} \psi^* \nabla \psi$$



“Lost” of probability

$$\nabla \cdot j = 2\operatorname{Im} u |\psi(0)|^2 \delta^3(x)$$

Annihilation cross section

$$\sigma v = - \int d^3x (\nabla \cdot j) = -2\operatorname{Im} u |\psi(0)|^2$$

Short-range	Long-range	
LO	Neglect	$-2\operatorname{Im} u$
LO	full	$-2\operatorname{Im} u  \psi_0(0) ^2$
full	full	$-2\operatorname{Im} u  \psi(0) ^2$

# Finite piece of Green function

$$G_p(r, 0) = \frac{g_p(r)}{4\pi r} \quad \left\{ \begin{array}{l} \left( -\frac{d^2}{dr^2} + 2\mu V(r) - p^2 \right) g_p(r) = 0 \\ g_p(0) = 0, \quad \lim_{r \rightarrow \infty} g_p(r) e^{-ipr} = d_p \end{array} \right.$$

$$2\mu V(r) = \frac{V_{-1}}{r} + V_0 + V_1 r + \dots$$

$$\Rightarrow \left\{ \begin{array}{l} g_p(r) = (1 + g_1 r + \dots) + V_{-1}(r + h_2 r^2 + \dots) \log r \\ G_p(r, 0) = \frac{1}{4\pi r} + \frac{V_{-1}}{4\pi} \log r + \frac{g_1}{4\pi} + \dots \end{array} \right. \begin{array}{l} \text{Divergent parts} \\ \text{Finite parts} \end{array}$$

Finite part of  $G_p(0, 0)$  is only in real part and it is independent on  $p$ .

$G_p(0, 0) - \operatorname{Re} G_{p_0}(0, 0)$  is finite for any  $p$  and  $p_0$

# Regularization velocity

Full Sommerfeld factor is approximated by shifting velocity.

$$\frac{\sigma v}{\sigma v_0} \simeq S(v + v_c),$$

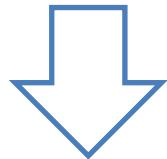
$$\begin{aligned} v_c &= \frac{\alpha m M \sigma v_0}{4\pi} \\ &\approx 2 \times 10^{-3} \alpha \left( \frac{M}{10 \text{ TeV}} \right)^2 \left( \frac{m/M}{0.1} \right) \left( \frac{\sigma v_0}{3 \times 10^{-26} \text{ cm}^3/\text{sec}} \right) \end{aligned}$$

# Scattering problem in quantum mechanics

Boundary condition of wave function  $\psi$  at large  $r \equiv |\mathbf{x}|$ :

$$\psi(\mathbf{x}) \rightarrow e^{ipz} + f(\theta) \frac{e^{ipr}}{r}$$

Initial-wave    Scattered-wave



$$e^{ipz} \rightarrow \sum_{\ell} (2\ell + 1) P_{\ell}(\cos\theta) \left( \frac{e^{ikr}}{2ikr} - \frac{(-1)^{\ell} e^{-ikr}}{2ikr} \right), \quad f(\theta) = \sum_{\ell} (2\ell + 1) f_{\ell} P_{\ell}(\cos\theta)$$

$$\psi(\mathbf{x}) \rightarrow \left( (1 + 2ipf_0) \frac{e^{ipr}}{2ipr} - \frac{e^{-ipr}}{2ipr} \right) + (\ell \geq 1 \text{ wave})$$

$S_0$

Asymptotic behavior of  $\psi$  tells us the (s-wave) cross sections.

$$\sigma_{sc} = 4\pi |f_0|^2 = \frac{\pi}{p^2} |S_0 - 1|^2 \quad (\text{amplitude of scattered wave})$$

$$\sigma_{ann} = \frac{\pi}{p^2} (1 - |S_0|^2) \quad (\text{difference between } e^{ipr} \text{ and } e^{-ipr})$$