

Dark Matter Primordial Black Holes and their Formation

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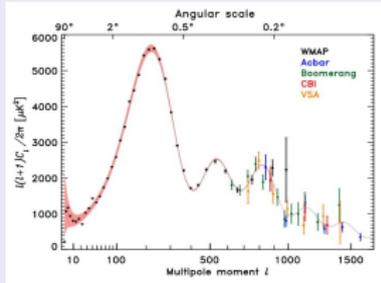
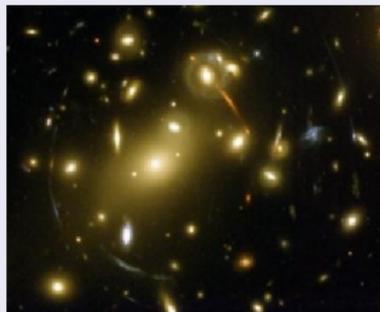
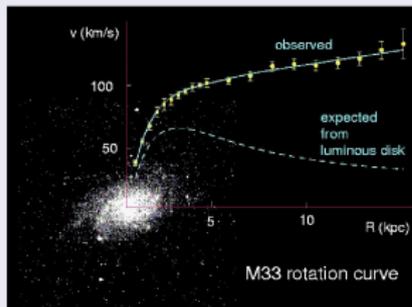
Based on

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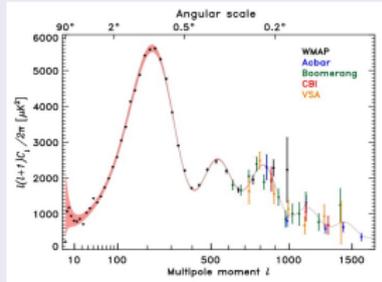
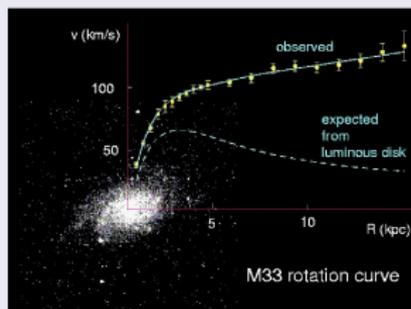
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 - Small Field Models
 - Large Field Models
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 - Particle Production
 - Gauge Production
 - Scalar Production
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Evidences



Dark Matter

Evidences



How much DM?

Planck.XIII, [arXiv: 1502.01589]

$$\Omega_{\text{DM}} h^2 = 0.1188 \pm 0.0010$$

Properties

- stable
- neutral
- weakly interacting
- right relic density

Candidates

- Axions
- Sterile neutrinos
- WIMPs
- ...

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Any candidate in Standard Model?

Primordial Black Holes (PBHs)

Definition

A PBH is a type of black hole that is **not** formed by the gravitational collapse of a star, but by the extreme density of matter present during the Universe's early expansion.

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$$\text{Planck scale} \quad \longrightarrow \quad 10^{-5} \text{ g}$$

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$$\text{RD era} \quad t \propto T^{-2} \quad \longrightarrow \quad M_{\text{PBH}} = M_{\text{P}} \left(\frac{T}{T_{\text{P}}} \right)^{-2} \xrightarrow{T_{\text{RH}} \simeq 10^{16} \text{ GeV}} M_{\text{min}} = 1 \text{ g}$$

Hawking radiation

Temperature: $T_{\text{BH}} \approx 10^{-7} \left(\frac{M}{M_{\odot}} \right)^{-1} \text{ K}$

	$M > 10^{17} \text{ g}$	massless particles
10^{15} g	$\lesssim M \lesssim 10^{17} \text{ g}$	electrons
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$$\text{Lifetime: } \tau_{\text{BH}} \approx 10^{64} \left(\frac{M}{M_{\odot}} \right)^3 \text{ y}$$

M_{BH}	τ_{BH}
A man	10^{-12} s
A building	1 s
10^{15} g	10^{10} y
The Earth	10^{49} y
The Sun	10^{66} y
The Galaxy	10^{99} y

Why PBHs are useful?

- PBHs as a probe of the early Universe ($M < 10^{15}$ g)
- PBHs as a probe of gravitational collapse ($M > 10^{15}$ g) ✓
DM candidates $\Omega_{\text{PBH}}^0 \lesssim \Omega_{\text{CDM}}^0 (= 0.23)$
- PBHs as a probe of High Energy Physics ($M \sim 10^{15}$ g)
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How PBHs form?

- Soft equation of state
- Bubble collisions
- Collapse of cosmic loops
- Fluctuations by inflation ✓

Inflation

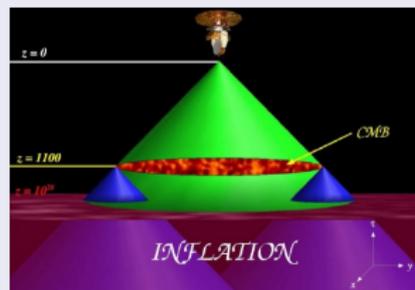
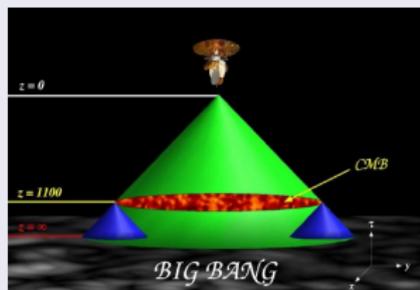
Accelerated expansion of the Universe $\ddot{a} > 0$

Why inflation?

- Flatness problem

$$\Omega_0 - 1 = (\Omega_i - 1) \left(\frac{\dot{a}_i}{\dot{a}_0} \right)^2$$

- Horizon problem



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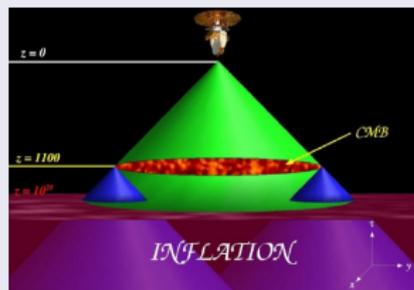
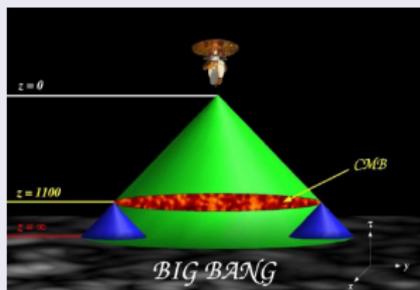
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$$\frac{\ddot{a}}{a} = -\frac{4\pi G}{3} (\rho + 3p) \xrightarrow{\ddot{a} > 0} w < -\frac{1}{3}$$

Scenario

Equation of motion $\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0 \xrightarrow{V(\phi) \gg \dot{\phi}^2} 3H\dot{\phi} \simeq -V'(\phi)$

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Slow-roll parameters

$$\epsilon \equiv \frac{M_P^2}{2} \left(\frac{V'}{V} \right)^2, \quad \eta \equiv M_P^2 \frac{V''}{V}, \quad \xi \equiv M_P^4 \frac{V'V'''}{V^2}, \quad \sigma \equiv M_P^6 \frac{V'^2 V''''}{V^3}.$$

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Power Spectrum

$$\mathcal{P}_{\mathcal{R}_c}(k) = \mathcal{P}_{\mathcal{R}_c}(k_0) \left(\frac{k}{k_0} \right)^{n_s(k)-1}$$

$$n_s(k) = n_s(k_0) + \frac{1}{2!} \alpha_s(k_0) \ln \left(\frac{k}{k_0} \right) + \frac{1}{3!} \beta_s(k_0) \ln^2 \left(\frac{k}{k_0} \right) + \dots$$

$$n_s(k_0) \equiv \left. \frac{d \ln \mathcal{P}_{\mathcal{R}_c}}{d \ln k} \right|_{k=k_0}, \quad \alpha_s(k_0) \equiv \left. \frac{dn_s}{d \ln k} \right|_{k=k_0}, \quad \beta_s(k_0) \equiv \left. \frac{d^2 n_s}{d \ln^2 k} \right|_{k=k_0}$$

$$\mathcal{P}_{\mathcal{R}_c} = \frac{1}{12\pi^2 M_{\text{P}}^6} \frac{V^3}{V'^2}$$

$$n_s = 1 - 6\epsilon + 2\eta$$

$$\alpha_s = -24\epsilon^2 + 16\epsilon\eta - 2\xi$$

$$\beta_s = -192\epsilon^3 + 192\epsilon^2\eta - 32\epsilon\eta^2 - 24\epsilon\xi + 2\eta\xi + 2\sigma$$

$$r \simeq 16\epsilon$$

$$B_\zeta(k_1, k_2, k_3) = f_{\text{NL}} F(k_1, k_2, k_3)$$

Observation

Planck XX, arXiv: 1502.01592

$$\ln(10^{10} \mathcal{P}_{\zeta, \text{vac.}}(k_0)) = 3.094 \pm 0.034$$

$$k_0 = 0.05 \text{ Mpc}^{-1}$$

$$n_s = 0.9645 \pm 0.0049$$

$$\alpha_s = -0.0065 \pm 0.0076$$

$$\beta_s = 0.025 \pm 0.013$$

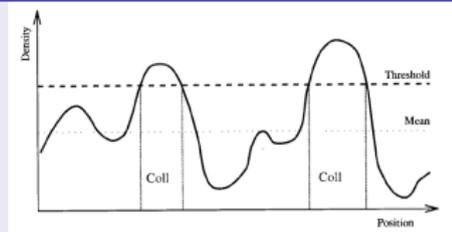
$$r_{0.002} < 0.10 \quad (95\% \text{ CL})$$

$$f_{\text{NL}} = 22.7 \pm 25.5$$

Press-Schechter Formalism

The Press-Schechter formalism is a model for predicting the number density of bound objects of a certain mass.

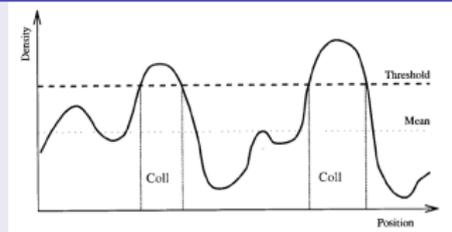
$$f(\geq M) = \gamma \int_{\delta_{\text{th}}}^{\infty} P(\delta; M(R))$$



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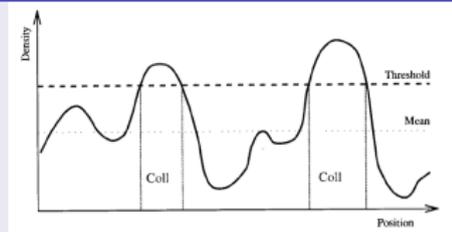
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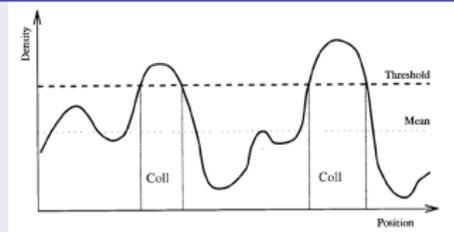
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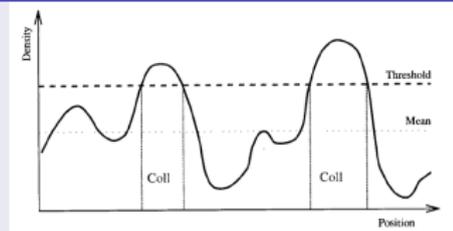
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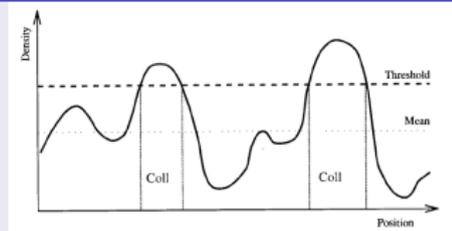
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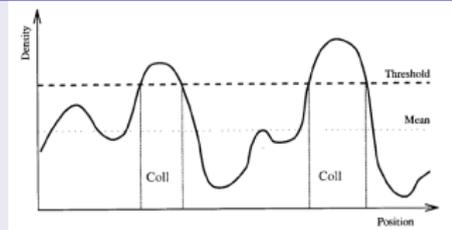
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$$\sigma_\delta^2(R) = \int_0^\infty W^2(kR) \mathcal{P}_\delta(k) \frac{dk}{k}$$

$$W(kR) = \exp(-k^2 R^2 / 2)$$

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$$M_{\text{PBH}} = \gamma M_{\text{PH}} \xrightarrow{\gamma=w^{3/2}} \frac{R}{1 \text{ Mpc}} = 5.5 \times 10^{-24} \gamma^{-1/2} \left(\frac{M_{\text{PBH}}}{1 \text{ g}}\right)^{1/2} \left(\frac{g_*}{3.36}\right)^{1/6}$$

Gaussian PDF:

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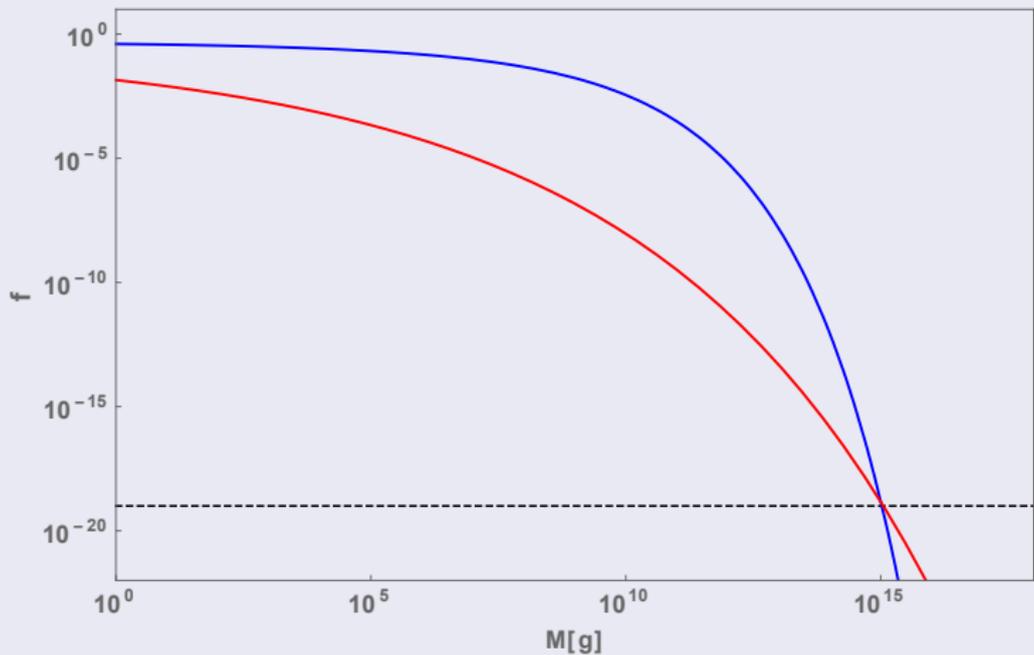
$$f_G = \frac{1}{2} \operatorname{erfc}\left(\delta_{\text{th}}/\sqrt{2\sigma_\delta^2(R)}\right)$$

non-Gaussian PDF:

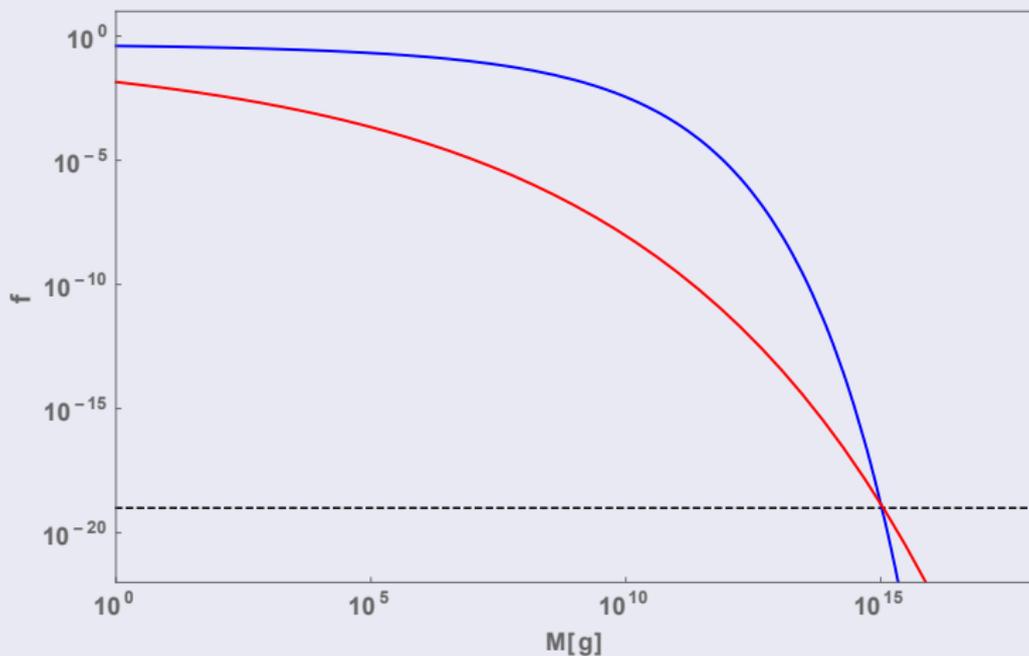
$$P_{\text{NG}}(\delta; R) = \frac{1}{\sqrt{2\pi}(\delta + \sigma_g^2(R))\sigma_g(R)} \exp\left(-\frac{\delta + \sigma_g^2(R)}{2\sigma_g^2(R)}\right)$$

$$f_{\text{NG}} = \operatorname{erfc}\left(\sqrt{\delta_{\text{th}} + \sigma_g^2(R)}/\sqrt{2\sigma_g^2(R)}\right)$$

$f(\geq M)$ diagram for the mass range $10^0 - 10^{20}$ g

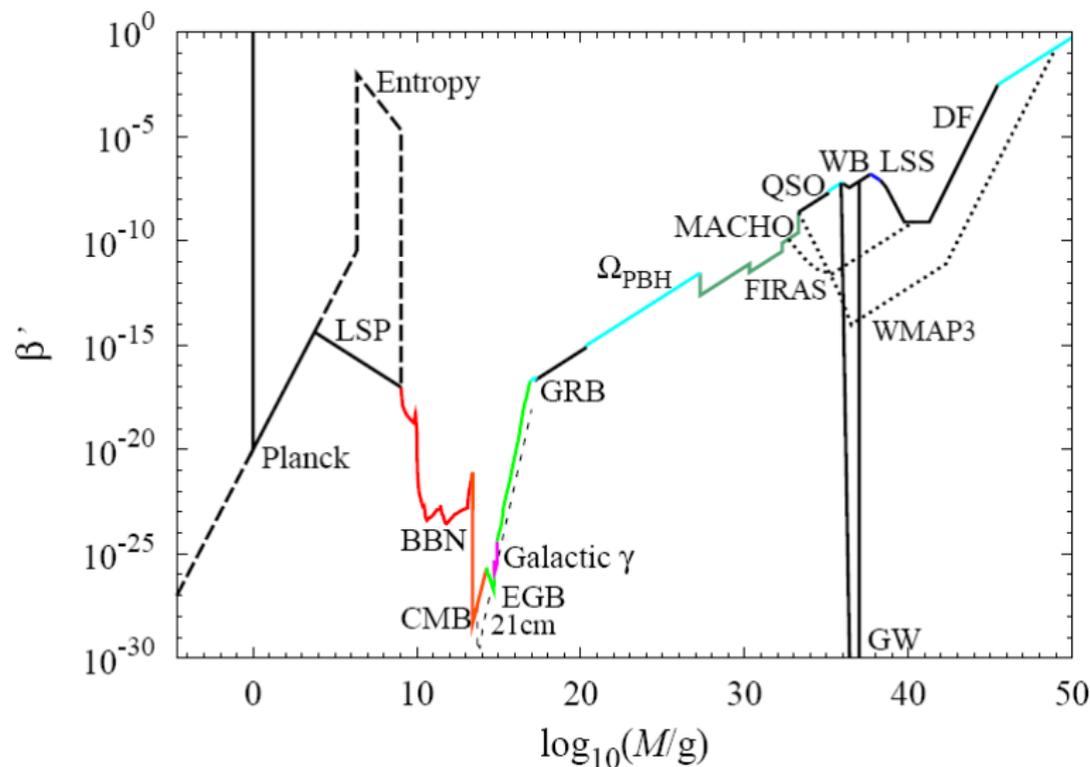


$f(\geq M)$ diagram for the mass range $10^0 - 10^{20}$ g



Result

$$\begin{aligned} n_s(k_{\text{PBH}}) \geq 1.418 &\Rightarrow \mathcal{P}_\zeta \simeq 2 \times 10^{-2} && \text{for Gaussian PDF} \\ n_s(k_{\text{PBH}}) \geq 1.322 &\Rightarrow \mathcal{P}_\zeta \simeq 4 \times 10^{-4} && \text{for non-Gaussian PDF} \end{aligned}$$



Result

$$n_s(k) = n_s(k_0) + \frac{1}{2!} \alpha_s(k_0) \ln \left(\frac{k}{k_0} \right) + \frac{1}{3!} \beta_s(k_0) \ln^2 \left(\frac{k}{k_0} \right)$$

$$\beta_s(k_0) \leq 0.0025 \quad \Lambda\text{CDM} + dn_s/d \ln k$$

$$\beta_s(k_0) \leq 0.0017 \quad \Lambda\text{CDM} + dn_s/d \ln k + d^2 n_s/d \ln^2 k$$

Non-production of DM PBHs put stronger upper bound on β_s .

Hilltop/inflection point inflation

$$V(\phi) = V_0 \left[1 - \left(\frac{\phi}{\mu} \right)^p \right] \quad \boxtimes$$

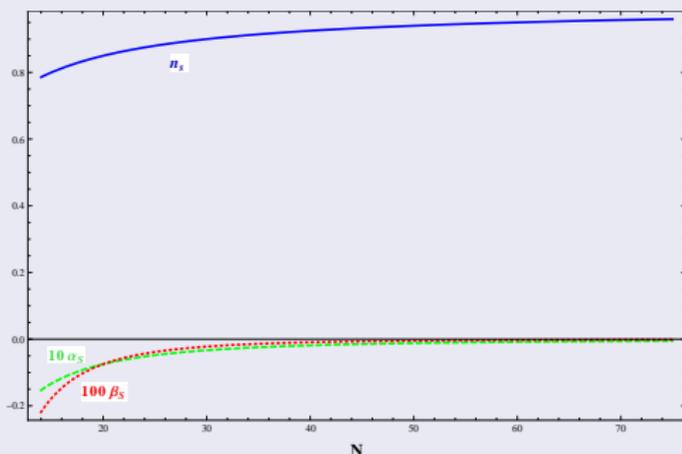
for $p > 2$

$$n_s - 1 \simeq -\frac{p-1}{p-2} \frac{2}{N} < 0$$

$$\alpha_s \simeq -\frac{p-1}{p-2} \frac{2}{N^2} < 0$$

$$\beta_s \simeq -\frac{p-1}{p-2} \frac{2}{N^3} < 0$$

This model is not ruled out
by *Planck*.



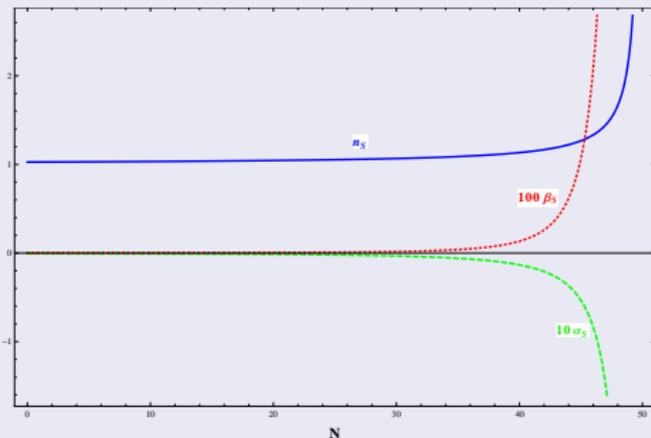
Inverse power low inflation

$$V(\phi) = V_0 + \frac{\Lambda_3^{p+4}}{\phi^p} \quad \boxtimes$$

$$n_s - 1 \simeq \frac{p+1}{p+2} \frac{2}{N_{\text{tot}} \left(1 - \frac{N}{N_{\text{tot}}}\right)}$$

$$\alpha_s \simeq -\frac{p+2}{p+1} \frac{(n_s - 1)^2}{2}$$

$$\beta_s \simeq \left(\frac{p+2}{p+1}\right)^2 \frac{(n_s - 1)^3}{2}$$



This model is disfavored by
Planck for any p .

Running-mass inflation

The inflation potential is dominated by the soft SUSY breaking mass term generated by V_0 and its radiative corrections

$$V(\phi) = V_0 + \frac{1}{2} m_\phi^2(\phi) \phi^2 + \dots$$

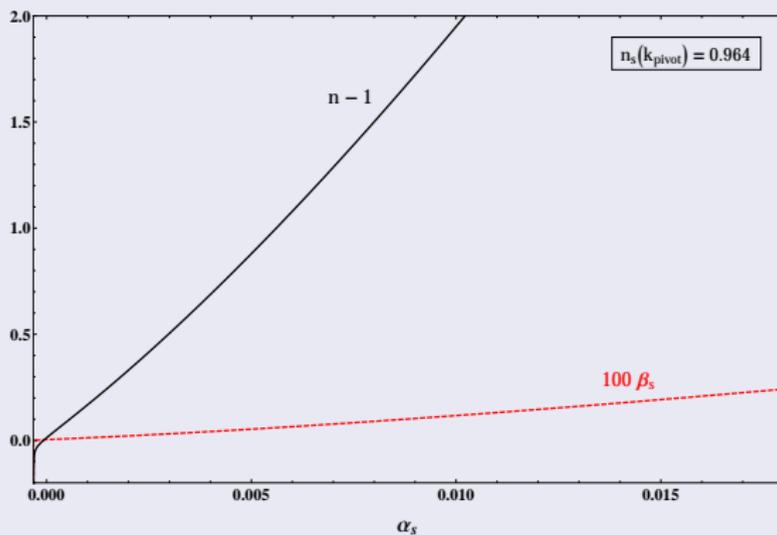
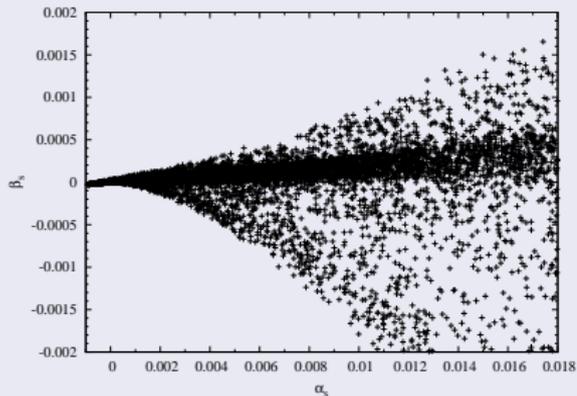
$$\text{RGE} \quad \frac{dm^2}{d \ln \phi} \equiv \beta_m \quad \text{with} \quad \beta_m = -\frac{2C}{\pi} \alpha \tilde{m}^2 + \frac{D}{16\pi^2} |\lambda_Y|^2 m_s^2$$

Over a sufficiently small range of ϕ , or small inflaton coupling, we can do the Taylor expansion:

$$V = V_0 + \frac{1}{2} m_\phi^2(\phi_*) \phi^2 + \frac{1}{2} \left. \frac{dm_\phi^2}{d \ln \phi} \right|_{\phi_*} \ln \left(\frac{\phi}{\phi_*} \right) + \frac{1}{4} \left. \frac{d^2 m_\phi^2}{d(\ln \phi)^2} \right|_{\phi_*} \ln^2 \left(\frac{\phi}{\phi_*} \right)$$

where ϕ_* is the local extremum of the potential.

$$\alpha_s \geq -\frac{(n_s - 1)^2}{4}$$



Chaotic inflation

$$V(\phi) = \Lambda^4 \left(\frac{\phi}{\mu} \right)^p \quad \boxtimes$$

$$n_s - 1 = -\frac{2(p+2)}{4N+p}$$

$$\alpha_s = -\frac{2}{p+2}(n_s - 1)^2$$

$$\beta_s = \frac{8}{(p+2)^2}(n_s - 1)^3$$

$$r = -\frac{7p}{p+2}(n_s - 1)$$

This model is ruled out with *Planck* data for $p = 4$.

Natural inflation

$$V(\phi) = \Lambda^4 \left[1 + \cos \left(\frac{\phi}{f} \right) \right] \quad \boxtimes$$

$$n_s - 1 \propto -\frac{2}{N} < 0$$

$$\alpha_s \propto -\frac{2}{N^2} < 0$$

$$\beta_s \propto -\frac{2}{N^3} < 0$$

This model agrees with *Planck*+WP data for $f \gtrsim 5 M_{\text{P}}$.

Negative exponential inflation

$$V(\phi) = V_0 \left[1 - \exp\left(\frac{-q\phi}{M_{\text{P}}}\right) \right], \quad q > 0 \quad \boxtimes$$

$$n_s - 1 \simeq -2/(N+1) < 0$$

$$\alpha_s \simeq -2/(N+1)^2 < 0$$

$$\beta_s \simeq -4/(N+1)^3 < 0$$

This model is ruled out by *Planck*.

• Higgs Inflation

$$S_{\text{J}} = \int d^4x \sqrt{-g} \left\{ -\frac{M^2 + \xi h^2}{2} R + \frac{\partial_\mu h \partial^\mu h}{2} - \frac{\lambda}{4} (h^2 - h_0^2)^2 \right\}$$

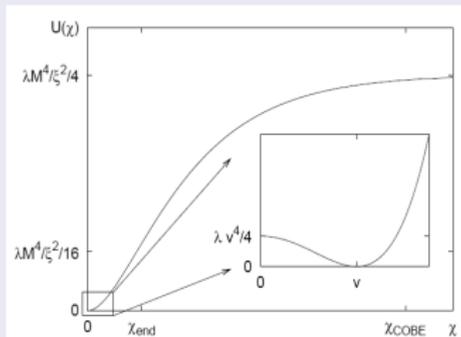
$$U(\chi) = \frac{\lambda M_{\text{P}}^4}{4\xi^2} \left[1 - \exp\left(-\frac{2\chi}{\sqrt{6}M_{\text{P}}}\right) \right]^2 \quad \text{where } h \simeq \frac{M_{\text{P}}}{\sqrt{\xi}} \exp\left(\frac{\chi}{\sqrt{6}M_{\text{P}}}\right) \quad \boxtimes$$

$$n_s - 1 \simeq -\frac{8}{3} \frac{M_{\text{P}}^2}{\xi h^2} < 0$$

$$\alpha_s = -(n_s - 1)^2/2 < 0$$

$$\beta_s = (n_s - 1)^3/2 < 0$$

This model is fully consistent with *Planck* constraints.



Modulated Inflation Model

Idea

$$V(\phi) = V_0(\phi) + V_{\text{mod}}(\phi)$$

Conditions:

$$|V_0(\phi)| \gg |V_{\text{mod}}(\phi)|$$

Hierarchy:

$$\left| \frac{V_{\text{mod}}}{V_0} \right| \ll \left| \frac{V'_{\text{mod}}}{V'_0} \right| \ll \left| \frac{V''_{\text{mod}}}{V''_0} \right| \ll \left| \frac{V'''_{\text{mod}}}{V'''_0} \right| \ll \left| \frac{V''''_{\text{mod}}}{V''''_0} \right|$$

Inflation parameters

$$n_s \simeq 1 - 6\epsilon_0 + 2\eta_0 - M_{\text{P}}^2 \left[6 \frac{V' V'_{\text{mod}}}{V_0^2} + 2 \frac{V''_{\text{mod}}}{V_0} \right]$$

$$\alpha_s \simeq -24\epsilon_0^2 + 16\epsilon_0\eta_0 - 2\xi_0^2 - M_{\text{P}}^4 \left[\frac{24V'^3 V'_{\text{mod}}}{V_0^4} + \frac{8V'^2 V''_{\text{mod}}}{V_0^3} - \frac{2V' V'''_{\text{mod}}}{V_0^2} \right]$$

$$\beta_s \simeq -192\epsilon_0^3 + 192\epsilon_0^2\eta_0 - 32\epsilon_0\eta_0^2 - 24\epsilon_0\xi_0^2 + 2\eta_0\xi_0^2 + 2\sigma_0^3 - M_{\text{P}}^6 \left[24 \frac{V'^5 V'_{\text{mod}}}{V_0^6} + \frac{48V'^3 V'_{\text{mod}} V''_{\text{mod}}}{V_0^5} - \frac{16V' V'_{\text{mod}} V''_{\text{mod}}^2}{V_0^4} - \frac{12V'^2 V'_{\text{mod}} V'''_{\text{mod}}}{V_0^4} + \frac{2V' V''_{\text{mod}} V'''_{\text{mod}}}{V_0^3} + \frac{2V'^2 V''''_{\text{mod}}}{V_0^3} \right]$$

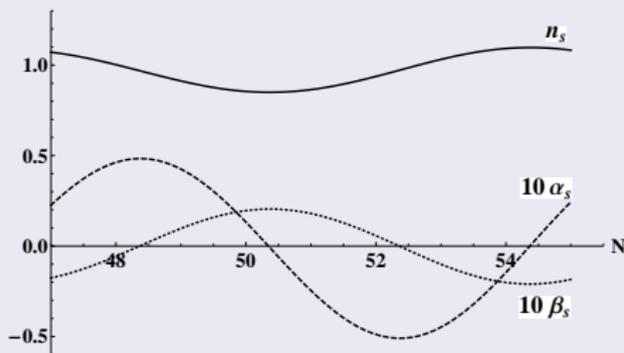
Modulated Power-law Inflation

$$V(\phi) = \lambda\phi^p + \Lambda^4 \cos\left(\frac{\phi}{f} + \theta\right)$$

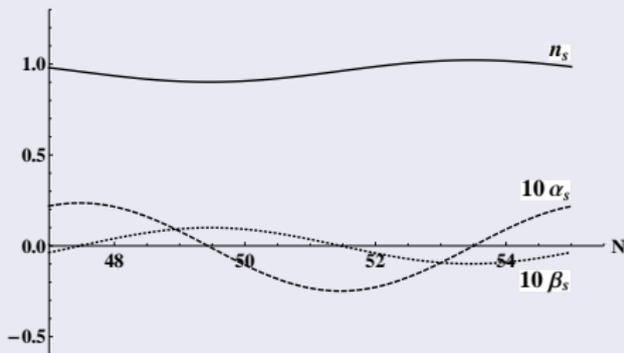
for Planck+WP+highL data

- $\left\{ \begin{array}{l} p=2/3 \text{ on the boundary of the joint 95\% CL region} \\ p=1 \text{ within the 95\% CL region in the } n_s - r \text{ plane} \\ p=2 \text{ outside the joint 95\% CL region} \\ p=4 \text{ outside of the joint 99.7\% CL region} \end{array} \right.$

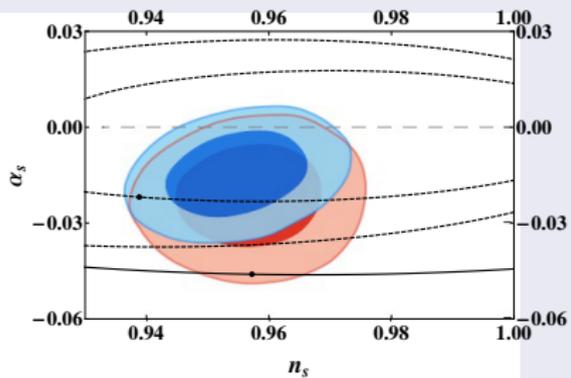
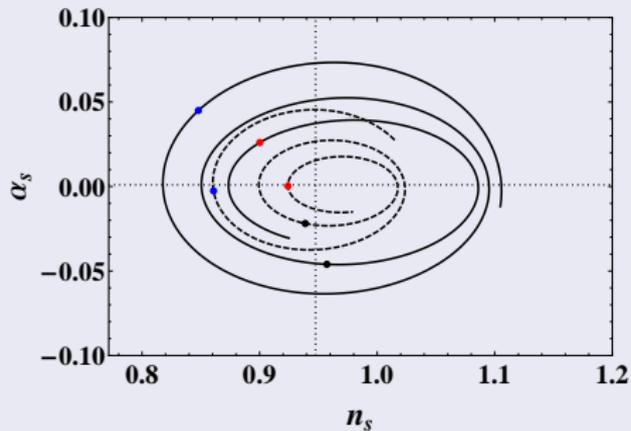
$p=1$



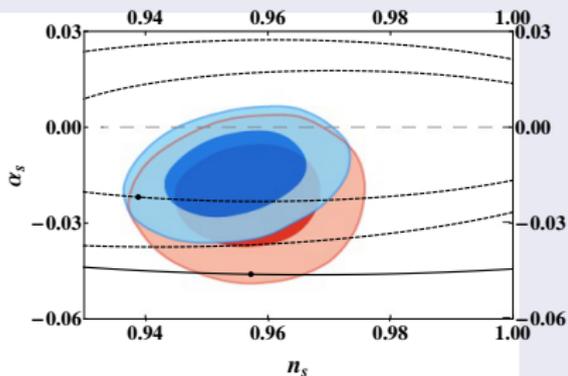
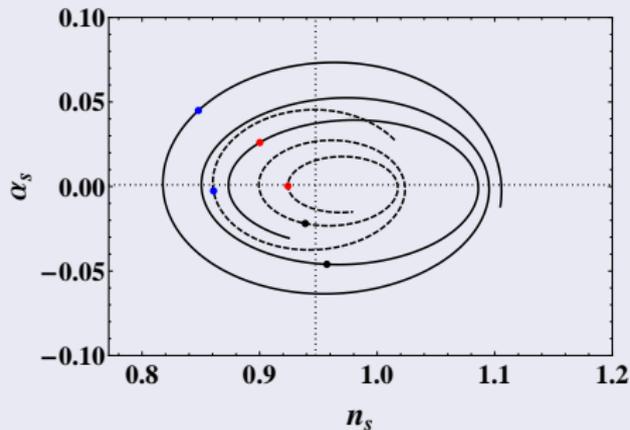
$p=2$



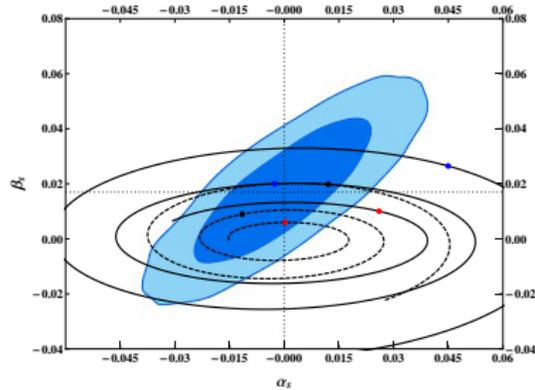
$n_s - \alpha_s$ plane



$n_s - \alpha_s$ plane

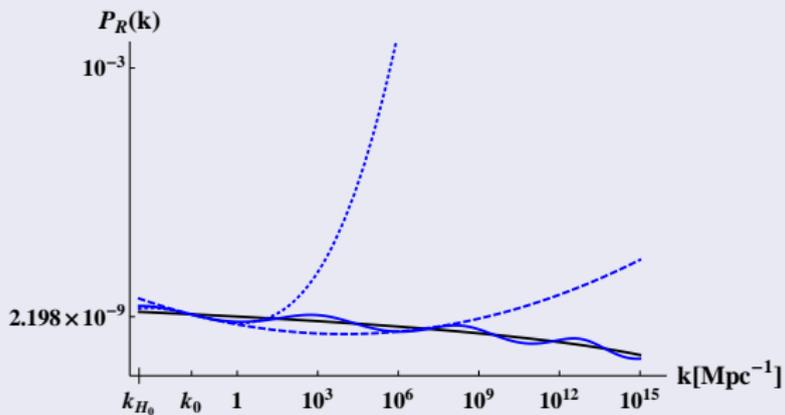


$\alpha_s - \beta_s$ plane

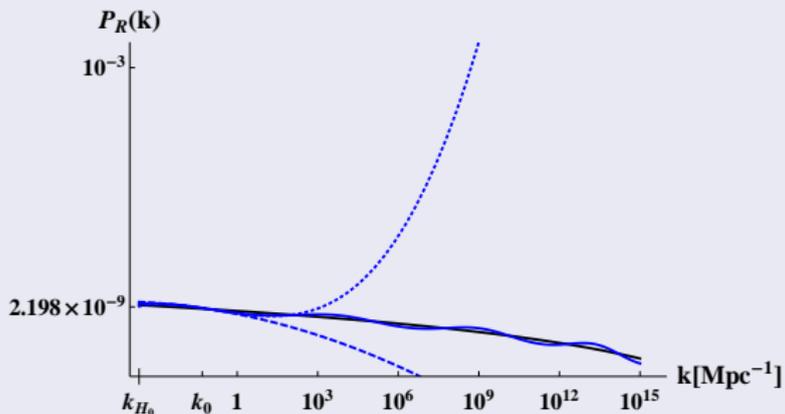


Power spectrum

$\rho = 1$



$\rho = 2$



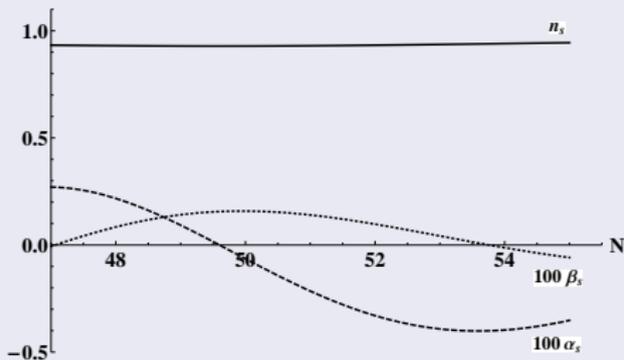
Hilltop/inflection point Inflation

$$V(\phi) \approx \lambda^4 \left[1 - \left(\frac{\phi}{\mu} \right)^p \right] + \Lambda^4 \cos \left(\frac{\phi}{f} + \theta \right)$$

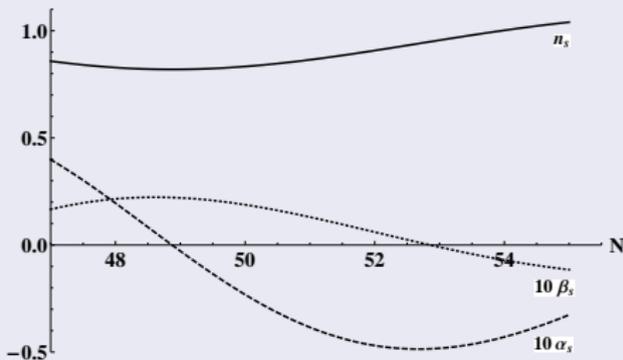
for Planck+WP+BAO data

- $\left\{ \begin{array}{l} p=2 \text{ within the 95\% CL region for } \mu \geq 9M_{\text{P}} \\ p=3 \text{ outside the joint 95\% CL region} \\ p=4 \text{ within the joint 95\% CL region for } N \geq 50 \end{array} \right.$

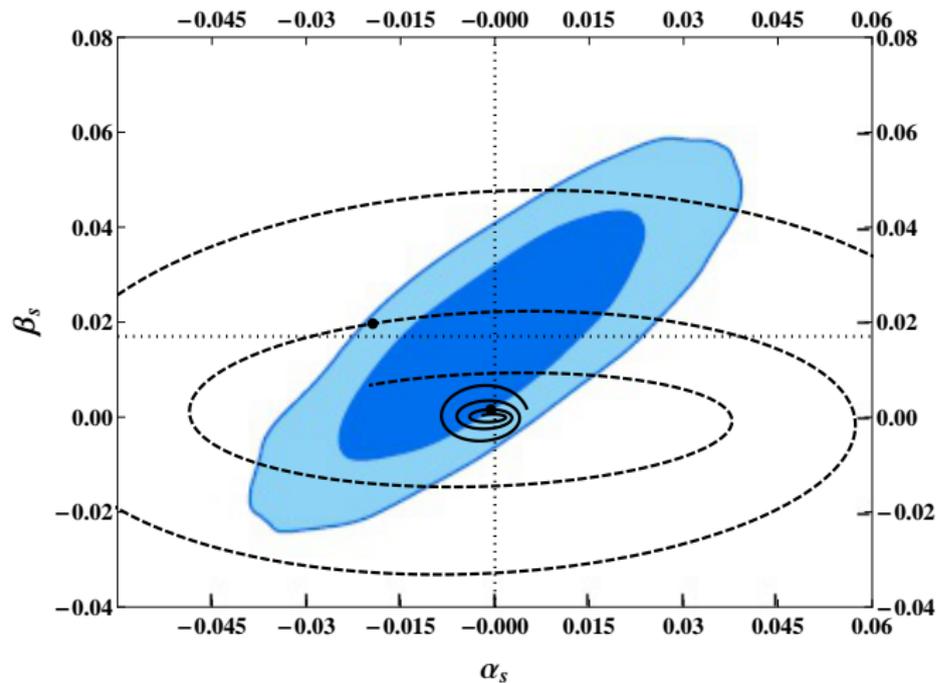
$p=3$



$p=4$

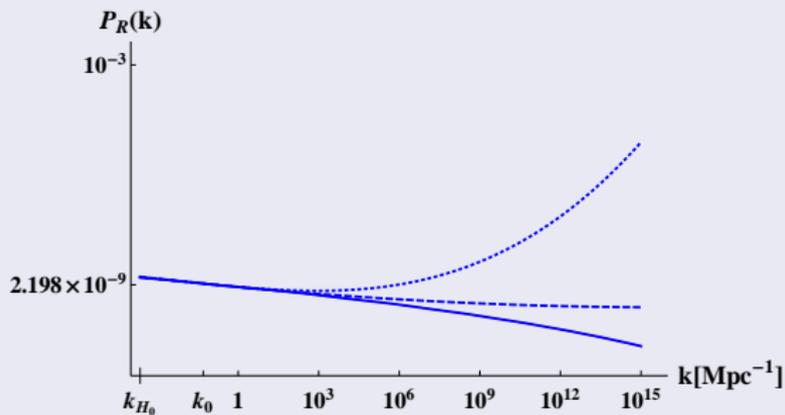


$\alpha_s - \beta_s$ plane

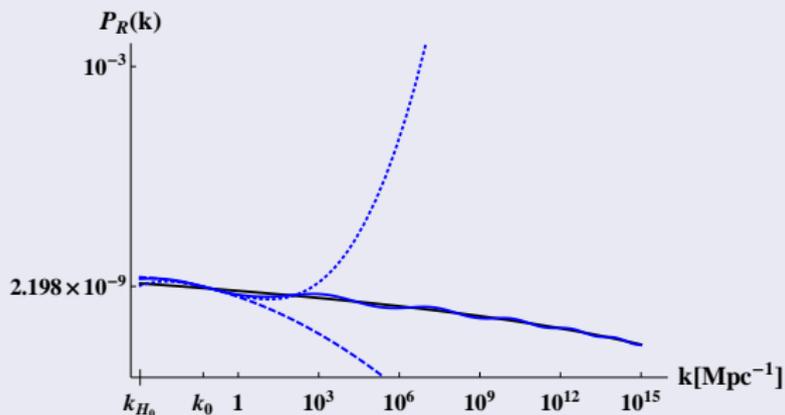


Power spectrum

$\rho = 3$



$\rho = 4$



PBHs formation from Particle Production

direct or gravitational coupling of the inflaton (ϕ) to another field (χ)

$$\mathcal{L}(\phi, \chi) = -\frac{1}{2}\partial_\mu\phi\partial^\mu\phi - V(\phi) - \frac{1}{2}\partial_\mu\chi\partial^\mu\chi - U(\chi) + \mathcal{L}_{\text{int}}(\phi, \chi)$$

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The equations of motion for the inflaton field:

$$H^2 = \frac{1}{3M_{\text{P}}^2} \left(\frac{1}{2}\dot{\phi}^2 + V(\phi) + \rho_\chi \right)$$

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = \frac{\partial\mathcal{L}_{\text{int}}}{\partial\phi}$$

The inflaton fluctuations satisfy

$$\delta\ddot{\phi} + 3H\delta\dot{\phi} - \frac{\nabla^2}{a^2}\delta\phi + V''(\phi)\delta\phi = \delta\left(\frac{\partial\mathcal{L}_{\text{int}}}{\partial\phi}\right)$$

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Result

$$\mathcal{P}_\zeta(k) = \mathcal{P}_{\zeta, \text{vac.}}(k) + \mathcal{P}_{\zeta, \text{src.}}(k)$$

$$\mathcal{P}_t(k) = \mathcal{P}_{t, \text{vac.}}(k) + \mathcal{P}_{t, \text{src.}}(k)$$

$$\mathcal{L}_{\text{int}} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} - \frac{\alpha}{4f}\Phi F_{\mu\nu}\tilde{F}^{\mu\nu}$$

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direct coupling

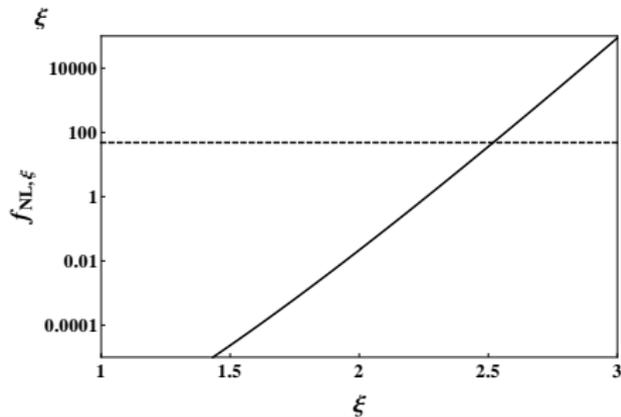
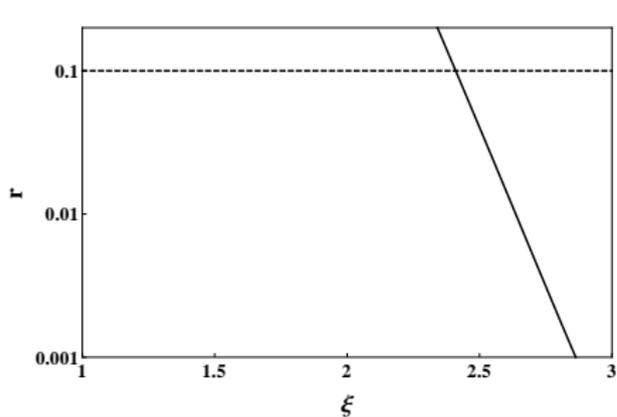
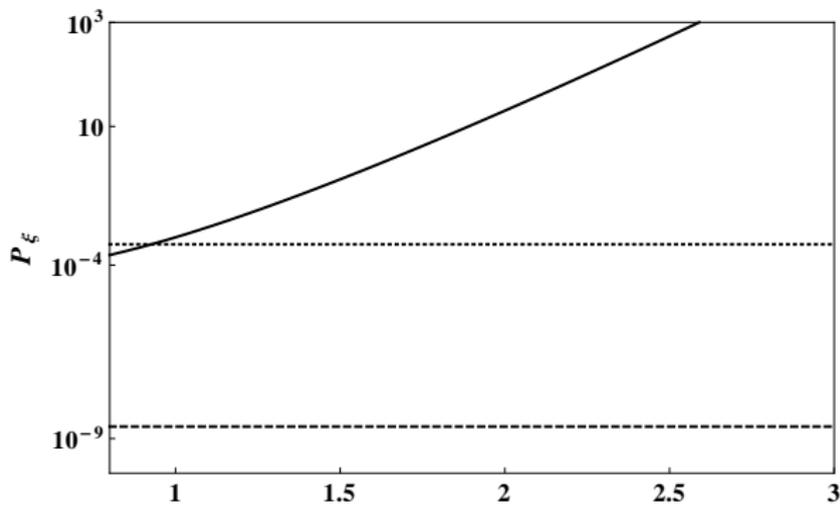
$$\mathcal{P}_{\zeta} = \mathcal{P}_{\zeta, \text{vac.}} (1 + 7.5 \times 10^{-5} \epsilon^2 \mathcal{P}_{\zeta, \text{vac.}} X^2)$$

$$r = 16\epsilon \frac{1 + 2.2 \times 10^{-7} \mathcal{P}_{t, \text{vac.}} X^2}{1 + 7.5 \times 10^{-5} \epsilon^2 \mathcal{P}_{\zeta, \text{vac.}} X^2}$$

$$f_{\text{NL}, \zeta}^{\text{equil.}} \approx 4.4 \times 10^{10} \epsilon^3 \mathcal{P}_{\zeta, \text{vac.}}^3 X^3$$

where

$$X \equiv \frac{e^{2\pi\xi}}{\xi^3} \quad \xi \equiv \frac{\alpha}{2fH} \dot{\Phi}$$

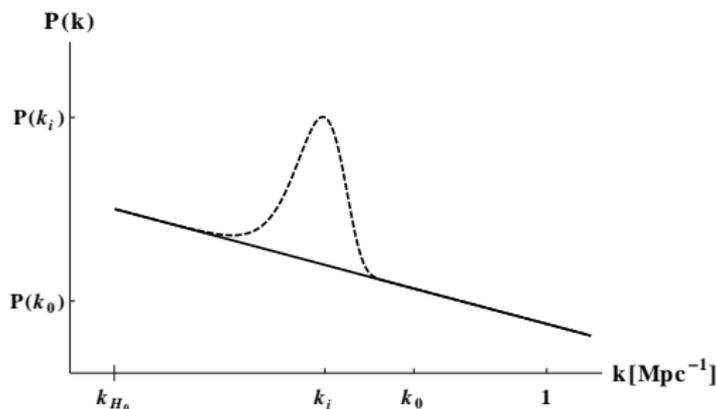


Scalar Production

$$\mathcal{L}_{\text{int}}(\phi, \chi) = -\frac{g^2}{2} (\phi - \phi_0)^2 \chi^2$$

$$\mathcal{P}_{\zeta, \text{src.}}(k) \sim A k^3 e^{-\frac{\pi}{2} \left(\frac{k}{k_i}\right)^2}$$

Result



$$A \lesssim 4 \times 10^{-4}$$

Conclusions

- The fluctuations which arise at inflation are the most likely source of PBHs formation.
- The spectral index at scale of PBHs formation should be at least 1.418 (1.322) for Gaussian (non-Gaussian) PDF.
- Non-production of DM PBHs put stronger upper bound on the value of the running of the spectral index, β_s .
- Except running-mass inflation model, most of the single field inflation models can not accommodate long-lived PBHs formation.
- PBHs' formation is possible in the modulated chaotic and hilltop inflationary models.
- The most stringent constraints on the gauge production parameter is derived from the non-production of DM PBHs at the end of inflation.
- In the scenario where the inflaton field coupled to a scalar field, the model is free of DM PBHs overproduction in the CMB observational range if the amplitude of the generated bump in the scalar power spectrum, A is less than 4×10^{-4} .

**DM PBHs may had masses
similar to that of mount Everest.**



Thank you