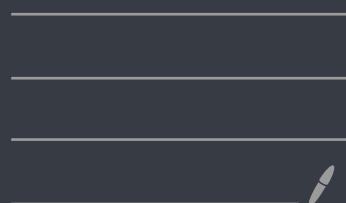


Singularities of R-matrices,
graded quiver varieties,
& generalized quantum affine Schur-Weyl duality

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Introduction

Classical theory

\mathfrak{g} : f.d. simple Lie / \mathbb{C} , $I = \{\text{Dynkin index}\}$

$\text{Rep}(\mathfrak{g})$ = category of f.d. rep's of \mathfrak{g}

\uparrow
 semisimple as abelian cat.
 symmetric as \otimes -cat. $V \otimes W \cong W \otimes V$
 $v \otimes w \leftrightarrow w \otimes v$

$$\sim K(\text{Rep } \mathfrak{g}) = \bigoplus_{V: \text{irr}} \mathbb{Z}[V]$$

Grothendieck

$$\text{ring} \cong \mathbb{Z}[x_i \mid i \in I] \text{ poly ring}$$

$$[V(\omega_i)] \leftrightarrow x_i$$

\uparrow
 fund. rep.

Quantum affinization

$$\begin{array}{ccc}
 \text{quantize } \mathfrak{g} & & \text{affinize} \\
 \swarrow & & \searrow \\
 U_q(\mathfrak{g}) & & \mathcal{L}(\mathfrak{g}) = \mathfrak{g} \otimes \mathbb{C}[[\hbar^{\pm 1}]] \\
 \text{non symm } \otimes\text{-cat.} & & \text{non semisimple abel cat} \\
 & \swarrow & \\
 U_q(\mathcal{L}(\mathfrak{g})) & \text{quantum loop alg} & \\
 & / \quad \hbar := \overline{\mathbb{Q}(q)} & \\
 & \uparrow & \\
 & \text{quantization param} &
 \end{array}$$

$$\mathcal{C} := \text{Rep } \mathcal{U}_g(\mathbb{L})$$

\nwarrow Not semisimple & Not symmetric

abelian \otimes -cat.

$$V \otimes W \not\cong W \otimes V$$

in general

① Nevertheless

$$K(\mathcal{C}) \cong \mathbb{Z}[X_{i,a} \mid i \in I, a \in k^\times] \text{ comm}$$

$$[V_i(a)] \longleftrightarrow X_{i,a}$$

fund. rep. spectral param. (or affinization)

Q. When $V_i(a) \otimes V_j(b) \cong V_j(b) \otimes V_i(a)$?

Rem "Monoidal categorification of cluster alg"

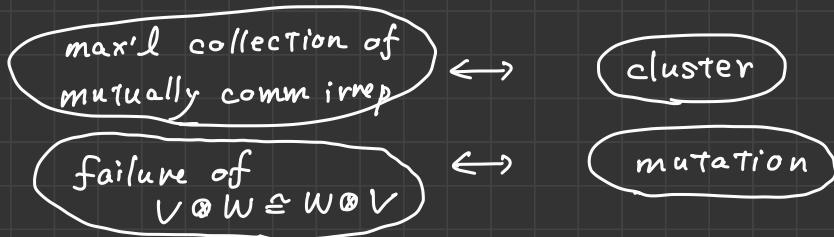
[Hernandez-Leclerc 2010s]

We expect a nice \otimes -subcategory

$\mathcal{C}' \subset \mathcal{C}$ categorifies a cluster alg \mathcal{A}

$$\text{i.e. } K(\mathcal{C}') \cong \mathcal{A}$$

$\text{irr } \mathcal{C}' \leftrightarrow \{\text{cluster variables}\}$



R-matrix z_1, z_2 formal spectral param

$$\exists! R_{ij}(u) : V_i(z_1) \otimes V_j(z_2) \xrightarrow{\sim} V_j(z_2) \otimes V_i(z_1)$$

$$\begin{matrix} u \\ n_i \otimes n_j \\ \text{h.wt.vec} \\ \sim \end{matrix} \longmapsto n_j \otimes n_i$$

matrix-valued rat'l function in $u = z_2/z_1$

$\rightsquigarrow d_{ij}(u) \in \mathbb{k}[u]$ denominator

Ex. $\mathfrak{g} = sl_2$, $I = \{1\}$, $V_1(a) \cong \mathbb{k}^2$ vec. rep.

$$R_{11}(u) = \frac{1}{u - q^2} \begin{pmatrix} u - q^2 & 0 & 0 & 0 \\ 0 & 1 - q^2 & q(u-1) & 0 \\ 0 & q(u-1) & (1-q^2)u & 0 \\ 0 & 0 & 0 & u - q^2 \end{pmatrix}$$

$d_{11}(u)$

Th'm (Chari, Kashiwara, ...)

TFAE (i) $V_i(a) \otimes V_j(b) \cong V_j(b) \otimes V_i(a)$

(ii) $V_i(a) \otimes V_j(b)$ is irreducible

(iii) $d_{ij}(b/a) \neq 0$ & $d_{ji}(a/b) \neq 0$

Rem All $d_{ij}(u)$ have been computed

by many people recently.

From now on, we assume Δ is simply-laced (A D E)

Δ : Dynkin diagram



Relation to quiver rep's

Q : Dynkin quiver

forget orientation

Δ

$\text{Rep}(Q)$: cat of f.d. rep of Q / \mathbb{C}

$\mathcal{D}_Q := D^b(\text{Rep } Q)$

Thm (Gabriel & Happel)

$$(i) \underbrace{\text{Indec Rep}(Q)}_{\text{indecomposable}} \xleftrightarrow{1:1} R^+ = \{ \text{positive roots} \}$$

$$M_\alpha \longleftrightarrow \alpha$$

$$(ii) \text{Indec } \mathcal{D}_Q \xleftrightarrow{1:1} R^+ \times \mathbb{Z}$$

$$M_\alpha[k] \longleftrightarrow (\alpha, k)$$

Def (Repetition quiver) $\hat{\Delta} = (\hat{\Delta}_0, \hat{\Delta}_1)$

vertices arrows

Fix $\epsilon : I \rightarrow \{0, 1\}$ s.t. $\epsilon_i \neq \epsilon_j$ if $i - j$ in Δ
 $i \mapsto \epsilon_i$

Def (Repetition quiver) $\hat{\Delta} = (\hat{\Delta}_0, \hat{\Delta}_1)$

vertices arrows

Fix $\epsilon : I \rightarrow \{0, 1\}$ s.t. $\epsilon_i \neq \epsilon_j$ if $i - j$ in Δ
 $i \mapsto \epsilon_i$

$$\hat{\Delta}_0 := \{(i, p) \in I \times \mathbb{Z} \mid p - \epsilon_i \in 2\mathbb{Z}\}$$

$$\hat{\Delta}_1 := \{(i, p) \rightarrow (j, p+1) \mid i - j \text{ in } \Delta\}$$

Thm (Happel) \exists isom of quivers Auslander-Reiten

$$H_Q : \hat{\Delta} \xrightarrow{\sim} \text{AR}(\mathcal{D}_Q)$$

v. sets $\rightsquigarrow \hat{\Delta}_0 \xrightarrow{1:1} \text{Indec } \mathcal{D}_Q = R^+ \times \mathbb{Z}$

H_Q can be considered as a "coordinate of $\text{AR}(\mathcal{D}_Q)$ "

Picture of $\hat{\Delta}$

$$\mathfrak{g} = \mathfrak{sl}_4 \text{ (type } A_3\text{)}$$

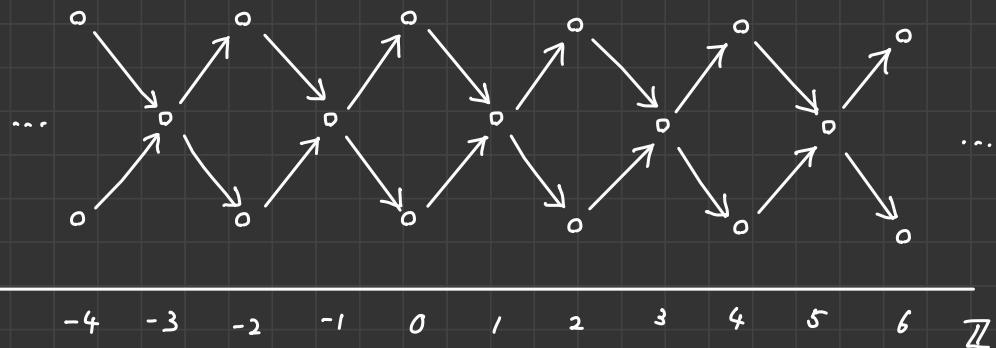
$$\Delta = \left(\begin{smallmatrix} 1 & 2 & 3 \\ 0 & 0 & 0 \end{smallmatrix} \right)$$

I

3

2

1



\mathbb{Z}

$$\mathfrak{g} = \mathfrak{so}_8 \text{ (type } D_4\text{)}$$

$$\Delta = \left(\begin{smallmatrix} 1 & 2 & 3 \\ 0 & 0 & 4 \end{smallmatrix} \right)$$

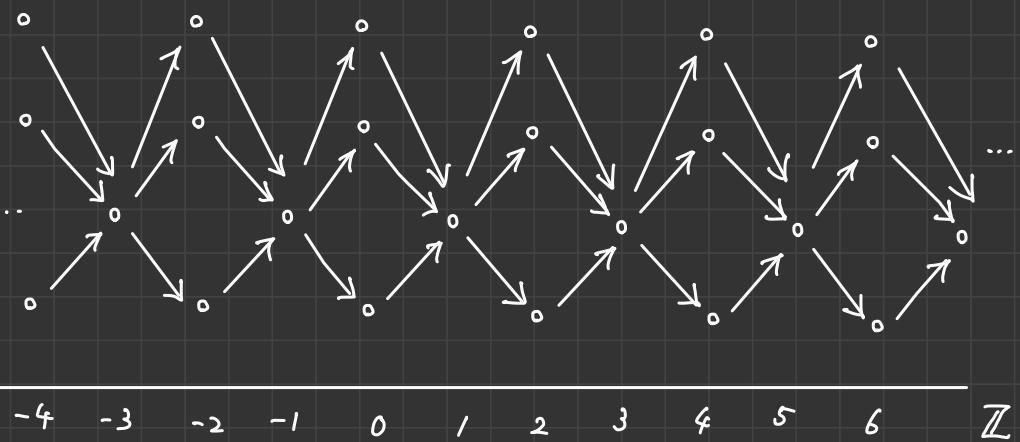
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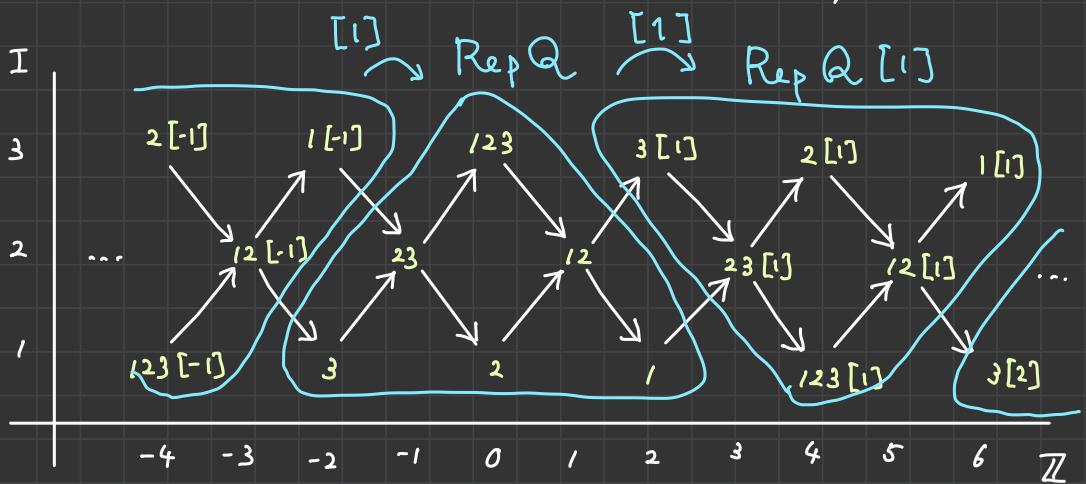
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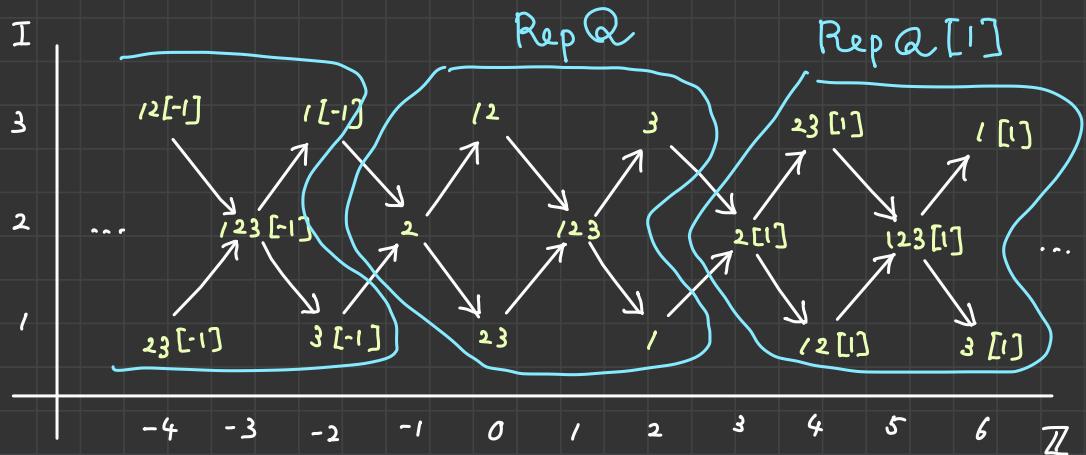
\mathbb{Z}

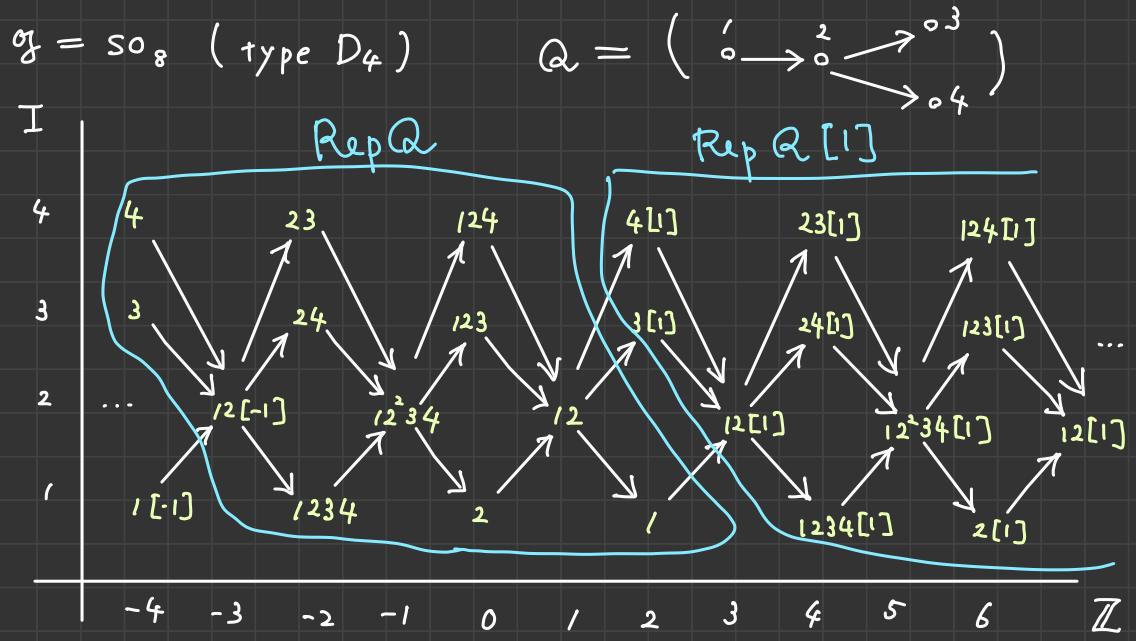
Picture of H_Q

$$\mathfrak{g}_f = \mathfrak{sl}_4 \text{ (type } A_3 \text{)} \quad Q = \left(\begin{array}{ccc} 1 & 2 & 3 \\ 0 & \rightarrow & \rightarrow \\ & \rightarrow & \rightarrow \end{array} \right)$$



$$\mathfrak{g}_f = \mathfrak{sl}_4 \text{ (type } A_3 \text{)} \quad Q = \left(\begin{array}{ccc} 1 & 2 & 3 \\ 0 & \rightarrow & \rightarrow \\ & \rightarrow & \rightarrow \end{array} \right)$$





Thm 1 (F.) $\alpha \in \mathbb{k}^\times$

↙ easier to compute

$$\text{zero } d_{ij}(u) = \begin{cases} \dim \text{Ext}_{\mathcal{D}_Q}^1(H_Q(j,r), H_Q(i,p)) \\ \quad \text{if } \alpha = q^r/q^p, (i,p), (j,r) \in \hat{\Delta}_0 \\ 0 \quad \text{otherwise} \end{cases}$$

\parallel

Notation $x = (i,p) \in \hat{\Delta}_0$

$$V_x = V_i(q^p) \in \text{irr } \mathcal{C}$$

$$H_Q(x) \in \text{Indec } \mathcal{D}_Q$$

Cor. $x, y \in \hat{\Delta}_0$

$$V_x \otimes V_y \cong V_y \otimes V_x$$

$$\Leftrightarrow \text{Ext}^1(H_Q(x), H_Q(y)) = \text{Ext}^1(H_Q(y), H_Q(x)) = 0$$

Relation to graded quiver varieties

$\cong \mathbb{C}^\times$ -fixed pts of
usual Nakajima quiver variety.

$$W = \bigoplus_{x \in \hat{\Delta}_0} W_x \quad \hat{\Delta}_0\text{-gr v.sp.}$$

$$\leadsto \begin{bmatrix} m^*(w) & \text{smooth} \\ \downarrow \pi & \text{proper} \\ m_o^*(w) & \text{affine} \end{bmatrix} \hookrightarrow G_w = \prod_c GL(W_x)$$

Theorem (Nakajima) \exists alg hom completion

$$U_q(\mathfrak{g}) \rightarrow \mathcal{K}_{G_w}^{G_w} \left(\frac{m^*(w) \times m^*(w)}{m_o^*(w)} \right)_{lk}$$

convolution alg
w. / good properties.

Def. (Ext¹-quiver) $\mathcal{E} = (\mathcal{E}_0, \mathcal{E}_1)$

$$\mathcal{E}_0 = \hat{\Delta}_0$$

$$\begin{aligned}\mathcal{E}_1 : \#\{x \rightarrow y\} &= \dim \text{Ext}^1(H_Q(x), H_Q(y)) \\ &=: \varepsilon(x, y) \quad //\end{aligned}$$

Thm (Keller - Scherotzke '16)

GW-equiv. emb.

$$m_0^\cdot(w) \hookrightarrow \text{rep}(\mathcal{E}, w) = \bigoplus_{(x \rightarrow y) \in \mathcal{E}} \text{Hom}(w_x, w_y)$$

proof of Thm 1.

(idea) $x, y \in \hat{\Delta}_0$, assume $\varepsilon(x, y) > 0$

Take W s.t. $\dim W_z = \delta_{zx} + \delta_{zy}$

$$\Rightarrow m_0^\cdot(w) \cong A^{\varepsilon(x, y)}$$

$$\boxed{\begin{array}{ccc} K(m_0^\cdot(w)) & \cong & V_x \otimes V_y \\ i^* \uparrow & \curvearrowleft & \uparrow "R" \\ K(\pi^{-1}(o)) & \cong & V_y \otimes V_x \end{array}}$$

analyze equiv. ver of this.

Generalized Schur-Weyl duality.

Original construction (Kang-Kashiwara-Kim '18)

Given $\{V^j\}_{j \in J}$ family of irrep in \mathcal{C}
 \nwarrow any index set

\rightsquigarrow Define quiver $\Gamma = (\Gamma_0, \Gamma_1)$

$$\cdot \Gamma_0 = J$$

$$\cdot \Gamma_1 : \# \{ i \rightarrow j \} = \underset{u=1}{\text{pole}} R_{V^j, V^i(u)}$$

$\rightsquigarrow \forall \nu \in \text{IN } J$ ak.a.KLR alg (a variant of
Quiver Hecke alg $\mathcal{H}_\nu(\Gamma)$ is defined
as a \mathbb{Z} -gr $\text{ht}\text{-alg}$ by gen & rel.)

Thm (KKK) \cong bimodule

$$\mathcal{U}_\nu(\text{Lg}) \curvearrowright \hat{V}^{\otimes \nu} \curvearrowleft \mathcal{H}_\nu(\Gamma)$$

R-mat

||

$$\bigoplus_{j_1 + \dots + j_n = \nu} \hat{V}^{j_1} \otimes \dots \otimes \hat{V}^{j_n}$$

"infinitesimal deformation"

$$\rightsquigarrow F^J : \bigoplus_{\mathcal{M}} \hat{\mathcal{H}}_\nu(\Gamma)\text{-mod}_{fd} \longrightarrow \mathcal{C}$$

\nwarrow \otimes -functor \swarrow M

\otimes -cat.
w.r.t. parab. induction.

$$\hat{V}^{\otimes \nu} \otimes_{\mathcal{H}} M$$

Assume $\sigma_j : A \rightarrow E$,

$$\exists \quad x : J \hookrightarrow \hat{\Delta}_0$$

s.t. $V^j = V_{x(j)}$ fundamental rep.

By Thm 1, $\Gamma = \mathcal{E}|_J$

$\rightsquigarrow W : J\text{-gr v.sp.}$

$$\left[\begin{array}{c} m^\circ(w) \\ \downarrow \\ m_o^\circ(w) \xhookrightarrow[\text{KS}]{} E_W = \text{rep}(\Gamma, W) \end{array} \right]$$

$\curvearrowleft \overset{G_W}{\curvearrowright}$
 $\text{Fl Lusztig's quiver-flag variety}$

Thm 2. (F.) $\cong_{\text{comm diag}}$

$$\hat{K}_E^G(m^\circ \times m^\circ) \curvearrowright \hat{K}_E^G(m^\circ \times \text{Fl}) \curvearrowright \hat{K}_E^G(\text{Fl} \times \text{Fl})$$

$$\begin{matrix} \uparrow & & | & & | \\ \mathcal{U}_\beta(\text{Log}) & \curvearrowright & \hat{V}^{\otimes \nu} & \curvearrowright & \hat{\mathcal{H}}_\nu(\Gamma) \end{matrix}$$

$\left| \begin{array}{l} \text{Varagnolo} \\ \text{-Vasserot} \end{array} \right.$

$$\nu = \underline{\dim} W$$

$$\underline{\text{Ex 1. }} \quad J = I \quad \xhookrightarrow{x} \quad \hat{\Delta}_0$$

$$x(i) := H_Q^{-1}(\underset{n}{\underset{\uparrow}{M_{\alpha_i}}})$$

$\text{Rep } Q$

$$\rightsquigarrow \Gamma \cong Q$$

$$m_0^*(w) \cong \text{rep}(Q, w)$$

" supp on
 $\text{Rep } Q \subset \mathcal{D}_Q$ "

$$\rightsquigarrow F^J : \hat{\mathcal{H}}(Q)\text{-mod}_{fd} \xrightarrow{\sim} \mathcal{L}_Q \subset \mathcal{C}$$

$$\xrightarrow{K_0} \mathcal{U}_q^+(\mathfrak{g})^*|_{\mathfrak{g}=1} \cong K(\mathcal{C}_Q)$$

dual canonical \leftrightarrow irr \mathcal{C}_Q
 basis

Ex 2. Assume $Q' = (1 \rightarrow 2 \rightarrow \dots \rightarrow N-1) \subset Q$

e.g. $Q = \boxed{o \rightarrow o \rightarrow o \rightarrow \dots \rightarrow o \xrightarrow{\nearrow \searrow} o} = Q'$

$$\rightsquigarrow J = \mathbb{Z} \hookrightarrow \hat{\Delta}_\alpha$$

$$x(j) = \begin{cases} H_{\bar{Q}}^{-1}(M_{\alpha_i}[-2k]) & \text{if } j = i + kN \\ H_{\bar{Q}}^{-1}(M_\alpha[-2k+1]) & \text{if } j = kN \end{cases} \quad \begin{matrix} j = i + kN \\ 1 \leq i \leq N-1 \end{matrix}$$

$$\text{where } \theta = \alpha_1 + \alpha_2 + \dots + \alpha_{N-1}$$

$$\rightsquigarrow \Gamma = (\dots \rightarrow -_1 \rightarrow 0 \rightarrow 1 \rightarrow 2 \rightarrow \dots) \text{ type } A_\infty$$

$$\hat{\mathcal{H}}_\nu(\Gamma) \cong \text{affine Hecke } \hat{\mathcal{H}}^{\text{aff}}(\widetilde{S}_{1|N})$$

$$M_0^\circ(w) \cong \text{graded nilpotent orbits in } \mathfrak{gl}(w)$$

$$\rightsquigarrow F^J : \underbrace{\hat{\mathcal{H}}^{\text{aff}}(A_\infty)\text{-mod}_{fd}}_{\text{well-studied}} \rightarrow \mathcal{C}' \subset \mathcal{C}$$

"suppon"

$$\mathcal{D}_{Q'} \subset \mathcal{D}_Q$$

Using [Kashiwara-Kim-Oh-Park '19]

we see \mathcal{C}' categorifies a cluster alg.