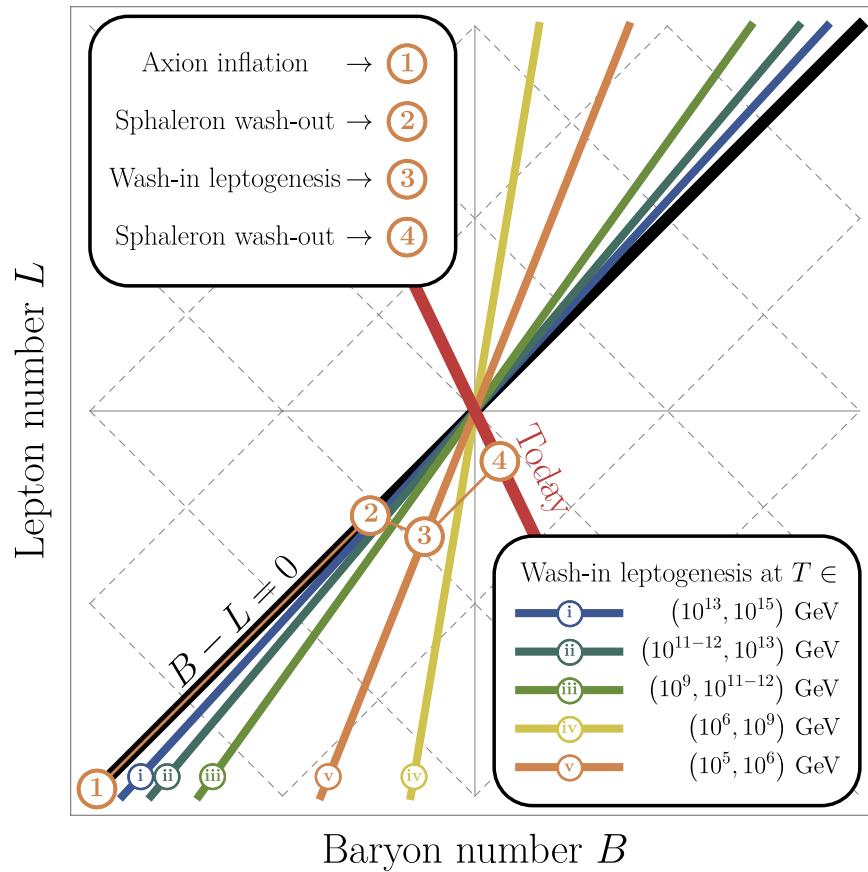


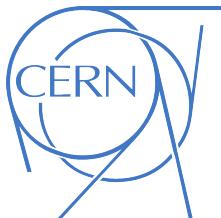
# Wash-in leptogenesis



Valerie Domcke  
CERN/EPFL

APEC Seminar @ IPMU,  
02.12.2020

mainly based on [arxiv:2011.09347](https://arxiv.org/abs/2011.09347)  
in collaboration with  
Kohei Kamada, Kyohei Mukaida, Kai  
Schmitz, Masaki Yamada



EPFL

# introduction: baryogenesis



$$\frac{n_B - n_{\bar{B}}}{n_B + n_{\bar{B}}} \sim 10^{-9}$$

in the very early Universe



Sakherov '67

Sakherov conditions:

- B violation
- C and CP violation
- departure from thermal equilibrium



particles >> anti-particles

$$\frac{n_B}{n_\gamma} \sim 10^{-9}$$

# introduction: baryogenesis

GUT baryogenesis

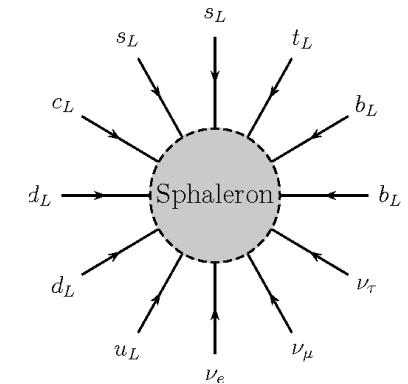
unification of forces

Yoshimura '78, Dimopoulos, Susskind '78

$$X \xrightarrow[y_t]{CP} \text{SM}$$

B + L asymmetry

But: non-perturbative sphaleron processes  
wash out B+L asymmetry [Kuzmin, Rubakov, Shaposhnikov '85](#)



# introduction: baryogenesis

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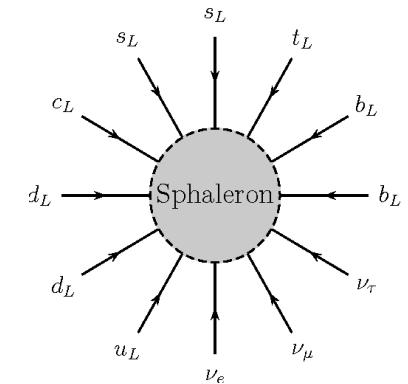
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wash out B+L asymmetry [Kuzmin, Rubakov, Shaposhnikov '85](#)



## Leptogenesis

$$N_R \xrightarrow[\substack{CP \\ y_N}]{} \ell\phi, \bar{\ell}\bar{\phi}$$

## neutrino masses

[Fukugita, Yanagida '86](#)

B - L asymmetry

- $N_R$  need to remain out of equilibrium ( $\rightarrow$  upper bound on  $y_N$ )
- sufficient CP violation requires  $M_N > 10^9$  GeV

[Davidson, Ibarra '02,  
Buchmüller, Bari, Plümacher '02](#)

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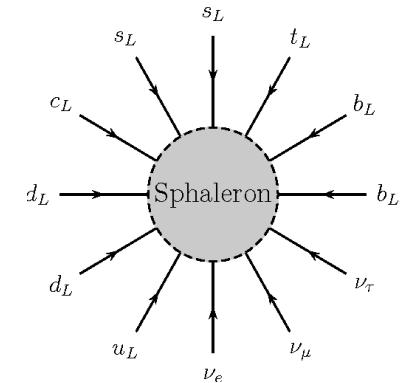
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[Davidson, Ibarra '02,](#)  
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This talk: combine these two ideas

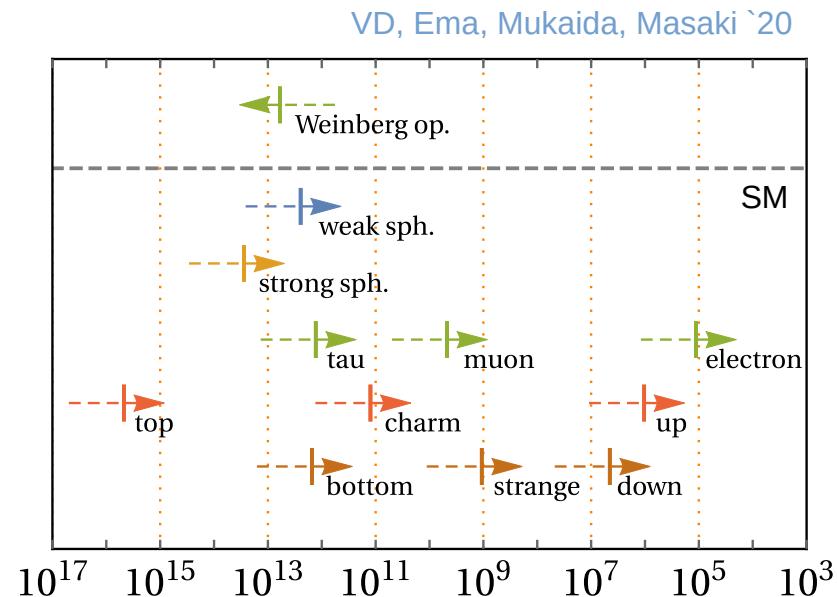
# Outline

- SM interactions and conserved charges
- Wash-in leptogenesis
- initial conditions: GUT baryogenesis & axion inflation
- (spontaneous baryogenesis)

# SM interactions and conserved charges

- exactly conserved charges:  $B/3 - L_\alpha, Y$   
(lepton flavour, hypercharge)

- in the early Universe, SM interactions cannot keep up with expansion



→ additional approximately conserved charges:

$T$  [GeV]

	$T$ [GeV]	$y_e$	$y_{ds}$	$y_d$	$y_s$	$y_{sb}$	$y_\mu$	$y_c$	$y_\tau$	$y_b$	WS	SS	$y_t$
(v)	$(10^5, 10^6)$	$q_e$	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
(iv)	$(10^6, 10^9)$	$q_e$	$q_{2B_1-B_2-B_3}$	$q_{u-d}$	✓	✓	✓	✓	✓	✓	✓	✓	✓
(iii)	$(10^9, 10^{11-12})$	$q_e$	$q_{2B_1-B_2-B_3}$	$q_{u-d}$	$q_{d-s}$	$q_{B_1-B_2}$	$q_\mu$	✓	✓	✓	✓	✓	✓
(ii)	$(10^{11-12}, 10^{13})$	$q_e$	$q_{2B_1-B_2-B_3}$	$q_{u-d}$	$q_{d-s}$	$q_{B_1-B_2}$	$q_\mu$	$q_{u-c}$	$q_\tau$	$q_{d-b}$	$q_B$	✓	✓
(i)	$(10^{13}, 10^{15})$	$q_e$	$q_{2B_1-B_2-B_3}$	$q_{u-d}$	$q_{d-s}$	$q_{B_1-B_2}$	$q_\mu$	$q_{u-c}$	$q_\tau$	$q_{d-b}$	$q_B$	$q_u$	✓

$$\# \text{ conserved charges} + \# \text{ equilibrated interactions} = \# \text{ particle species} = 16$$

# wash-in leptogenesis

wash-in leptogenesis with  $M_R \sim 10^{5\ldots 6}$  GeV (schematic) :

see also  
 Cambell et al '93, Cline et al '94,  
 Fukugita & Yanagida '02

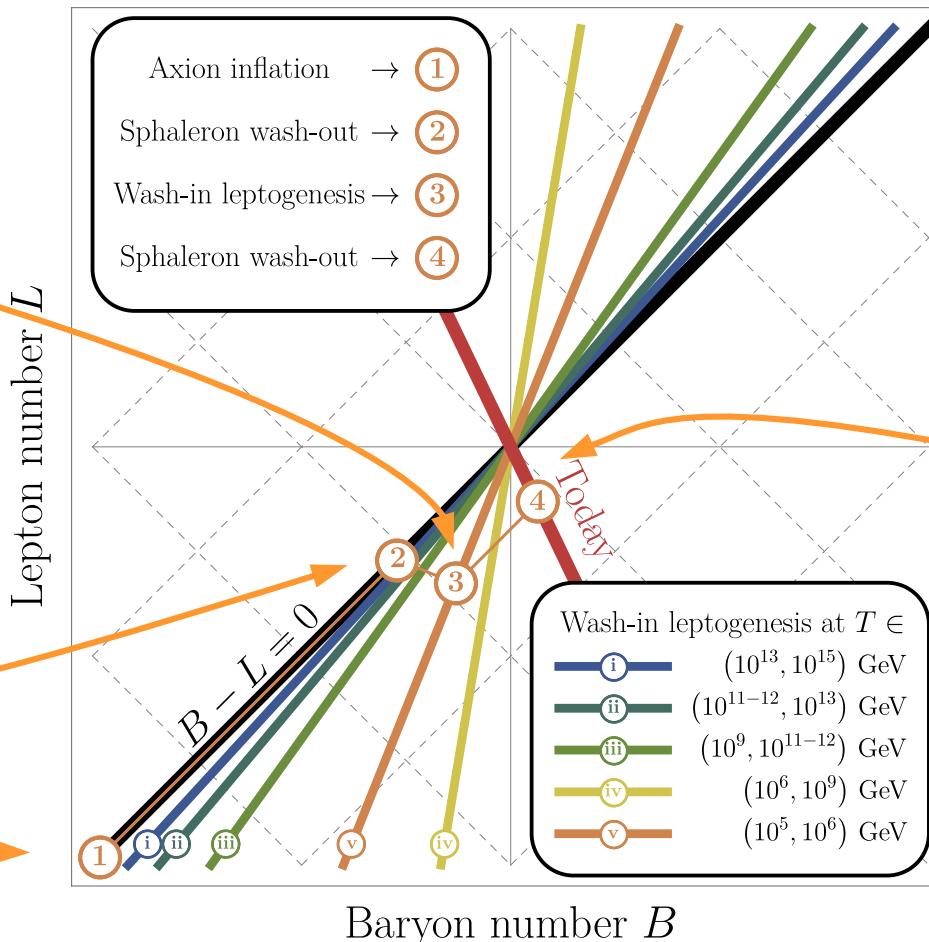
include L-violating RHN interactions

3.

asymmetry washed out through SM interactions, erasure incomplete due to conserved charge  $q_e$

2.

initial condition with  $B + L \neq 0$   
 $q_e \neq 0$



equilibrium solutions depending on (fully) equilibrated interactions

4.

RHN interactions decouple, sphalerons convert B & L  
 → final baryon asymmetry

L-violating „wash-out“ processes convert B+L to B-L → „wash-in“

# B-L asymmetry for strong washout

see also [VD](#), Ema, Mukaida, Masaki '20

if the RHN is fully equilibrated, the B-L asymmetry (or any other charge) is obtained by solving an algebraic system of equations:<sup>(#)</sup>

$$q_{B-L}^{eq} = \sum_{C \neq \Delta_\alpha} x_C q_C \quad \text{with } C \text{ labelling conserved charges,} \quad \frac{q_B}{s}|_{\text{today}} = \frac{12}{37} \frac{q_{B-L}^{eq} + q_{B-L}^{th}}{s}$$

	$T_{B-L}$ [GeV]	Index $\alpha$	$\mu_e$	$\mu_{2B_1-B_2-B_3}$	$\mu_{u-d}$	$\mu_{d-s}$	$\mu_{B_1-B_2}$	$\mu_\mu$	$\mu_{u-c}$	$\mu_\tau$	$\mu_{d-b}$	$\mu_B$	$\mu_u$	$\mu_{\Delta_\perp}$
(v)	$(10^5, 10^6)$	$e, \mu, \tau$	$-\frac{3}{10}$											
(iv)	$(10^6, 10^9)$	$e, \mu, \tau$	$-\frac{3}{17}$	0	$-\frac{7}{17}$									
(iii)	$(10^9, 10^{11-12})$	$\parallel, \tau$	$\frac{142-225P_\tau}{247}$	0	$-\frac{123}{247}$	$-\frac{82}{247}$	$\frac{123}{494}$	$\frac{142-225P_\tau}{247}$						$\frac{225}{247}$
(ii)	$(10^{11-12}, 10^{13})$	$\parallel$	$\frac{-23P+7}{30}$	$\frac{1}{5}$	$-\frac{3}{5}$	$-\frac{1}{6}$	$-\frac{3}{10}$	$\frac{-23P+7}{30}$	$\frac{3}{10}$	$-\frac{23P+7}{30}$	$-\frac{4}{15}$	$\frac{23}{90}$	$\frac{1}{3}$	$\frac{23}{30}$
(i)	$(10^{13}, 10^{15})$	$\parallel$	$\frac{-3P+1}{4}$	$\frac{1}{6}$	$-\frac{5}{6}$	$-\frac{1}{4}$	$-\frac{1}{4}$	$\frac{-3P+1}{4}$	$\frac{1}{4}$	$-\frac{3P+1}{4}$	$-\frac{1}{3}$	$\frac{1}{6}$	$\frac{1}{3}$	$\frac{3}{4}$

toolkit to convert any set of primordial asymmetries to final baryon asymmetry using RHN

- 
- (#)
- SM interactions taken to be fully equilibrated or fully decoupled
  - only one relevant RHN
  - projection operators  $P, P\tau$  are model dependent and encode the flavour decomposition of primordial asymmetries with respect to RHN wash-out
  - flavour coherence / decoherence taken into account

# B-L asymmetry for mild washout

Solve Boltzmann equation for RHN:



$$-(\partial_t + 3H) q_{\Delta_\alpha} = \varepsilon_{1\alpha} \Gamma_1 (n_{N_1} - n_{N_1}^{\text{eq}}) - \sum_\beta \gamma_{\alpha\beta}^w \frac{\mu_{\ell_\beta} + \mu_\phi}{T}$$

$$q_{\Delta_\alpha}^{\text{win}} = \sum_\beta (\delta_{\alpha\beta} - E_{\alpha\beta}) q_{\Delta_\beta}^{\text{eq}} + \sum_\beta E_{\alpha\beta} q_{\Delta_\beta}^{\text{ini}} \frac{s}{s^{\text{ini}}}$$

$$E = \exp(-w K_1 P C)$$

$3\pi/4$   
for MB  
statistics

$\Gamma_1/H$   
decay  
parameter

$\Gamma_{\alpha\beta}^w = P_{\alpha\beta} \Gamma_w$   
flavour  
structure (RH)

$\mu_{\ell_\alpha} + \mu_\phi = -C_{\alpha\beta} \mu_{\Delta_\beta}$   
flavour  
coupling (LH)

- RHN as dynamical dof, with decays and inverse decays (vs Weinberg operator)
- including charged lepton flavour effects

reduces to equilibrium solution for  $\Gamma_1 \gg H$

# pros and cons of wash-in leptogenesis

- ✓ works for  $M_R \gtrsim 100$  TeV (equilibration of electron Yukawa)
- ✓ no CP violation in RHN sector required
- ✓ can be straightforwardly applied to various models generating primordial asymmetries
- ✗ not a complete model itself, requires non-trivial initial conditions

depending on distribution  
of initial asymmetries

CP violation provided by  
initial conditions

eg GUT baryogenesis

# Outline

- SM interactions and conserved charges
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# GUT baryogenesis

see also [Fukugita & Yanagida '02](#)

eg SU(5) unification

$$H^c \rightarrow \bar{Q}_3 \bar{Q}_3, t\tau, Q_3 \ell_\tau, \bar{t}\bar{b}$$

$$B - L = 0, \quad B + L \neq 0$$

→ wash-in leptogenesis works for  $M_R \gtrsim 10^{11}$  GeV (tau Yukawa equilibration)

projection operators

direction of  $N_1$  washout:  
 $N_1 \rightarrow \ell\phi$

$$h_{\parallel} \ell_{\parallel} = h_1^e \ell_e + h_1^\mu \ell_\mu + h_1^\tau \ell_\tau, \quad h_{\parallel\tau} \ell_{\parallel\tau} = h_1^e \ell_e + h_1^\mu \ell_\mu$$

$$h_{\parallel}^2 = |h_1^e|^2 + |h_1^\mu|^2 + |h_1^\tau|^2, \\ h_{\parallel\tau}^2 = |h_1^e|^2 + |h_1^\mu|^2$$

initial asymmetries:

$$\bar{e} = c_e e + c_\mu \mu + c_\tau \tau, \quad \bar{e}_\tau = c_e^\tau e + c_\mu^\tau \mu$$

projection operators:

$$P = |h_1^e c_e^* + h_1^\mu c_\mu^* + h_1^\tau c_\tau^*|^2 / |h_{\parallel}|^2, \quad P_\tau = |h_1^e c_e^{\tau*} + h_1^\mu c_\mu^{\tau*}|^2 / |h_{\parallel\tau}|^2$$

# „axion“ inflation

slow-roll inflation



very flat scalar potential

reheating after inflation



coupling to the SM



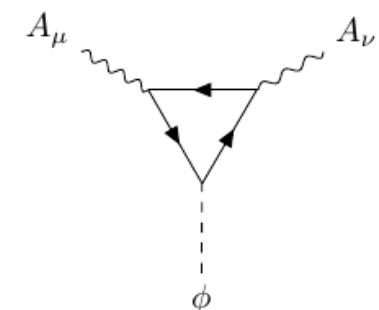
inflaton as Pseudo Goldstone Boson (PNGB, ALP) with shift-symmetric couplings:

$$\phi F_{\mu\nu} \tilde{F}^{\mu\nu}$$

$$(\partial_\mu \phi) \underbrace{\bar{\psi} \gamma^\mu \gamma^5 \psi}_{J_5^\mu}$$

related by chiral anomaly equation:

$$0 \neq \partial_\mu J_5^\mu = -\frac{1}{16\pi^2} F_{\mu\nu} \tilde{F}^{\mu\nu}$$



# „axion“ inflation

→ a minimal setup for SM + inflation:

axion with scalar potential	(hyper charge) U(1) gauge field	massless (SM) fermions	axion gauge field coupling
$\mathcal{L} = \sqrt{-g} \left[ \frac{1}{2} \partial^\mu \phi \partial_\mu \phi - V(\phi) \right] - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \sum_\alpha \bar{\psi}_\alpha (i \partial \cdot \gamma - g Q A \cdot \gamma) \psi_\alpha + \frac{\alpha \phi}{4\pi f_a} F_{\mu\nu} \tilde{F}^{\mu\nu}$			
<p>SM chiral anomaly forces us to consider gauge fields and fermions simultaneously</p>		after chiral fermion rotation: $(\partial_\mu \phi) \bar{\psi} \gamma^\mu \gamma^5 \psi$	shift- symmetric coupling to $\phi$

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$\underbrace{\hspace{10em}}$		$\underbrace{\hspace{10em}}$	
SM chiral anomaly forces us to consider gauge fields and fermions simultaneously		<u>after chiral fermion rotation:</u> $(\partial_\mu \phi) \bar{\psi} \gamma^\mu \gamma^5 \psi$	shift- symmetric coupling to $\phi$

- axion – hypercharge coupling leads to exponential helical gauge field production (ignoring fermion backreaction for the moment):

$$\frac{d^2}{d\tau^2} A_\pm(\tau, k) + \left[ k^2 \pm 2k \frac{\xi}{\tau} \right] A_\pm(\tau, k) = 0, \quad \xi = \frac{\alpha \dot{\phi}}{2H f_a}$$

# fermion production in axion inflation

VD, Mukaida '18

## helical gauge field production

- one gauge field helicity acquires tachyonic mass
- parallel E & B fields, constant & homogeneous on scales  $\ll H^{-1}$

## (chiral) fermion production

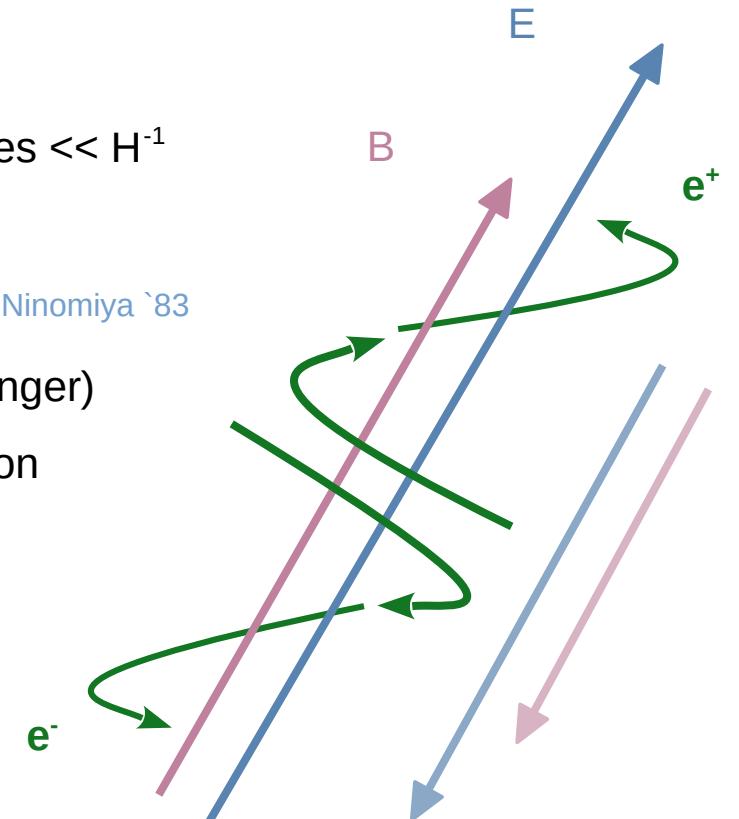
- fermion production in constant E,B background (Schwinger)
- asymmetric production consistent with anomaly equation

## backreaction on gauge field production

- fermions are accelerated in gauge field background
- induced current inhibits gauge field production

$$\square A^\nu - \partial_\mu \left( \frac{\alpha\phi}{\pi f_a} \tilde{F}^{\mu\nu} \right) - g Q J_\psi^\nu = 0$$

Nielsen, Ninomiya '83



dual production of helical gauge fields and chiral fermions

# wash-in leptogenesis after axion inflation

VD, Mukaida '18; VD, von Harling, Morgante, Mukaida '19

chemical potentials for all SM particles according to their hypercharge:

$$\frac{\mu_i}{T} = \pm 3g_i(Q_i^Y)^2\alpha_Y \frac{(\mathbf{A}_Y \cdot \mathbf{B}_Y)_{\text{rh}}}{\pi T^3}$$

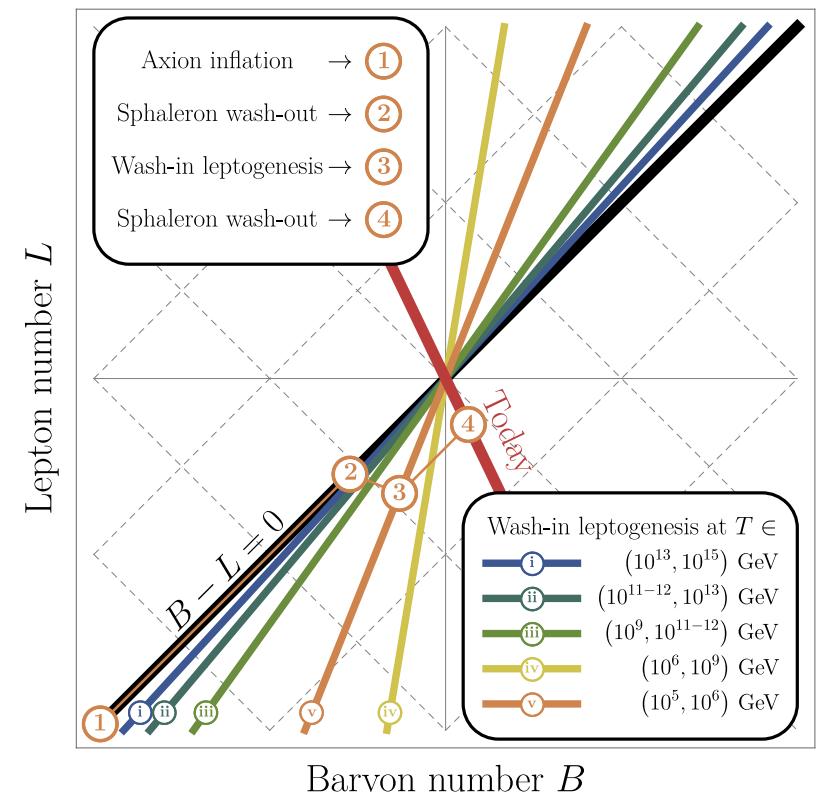
includes in particular RH electron

→ wash-in leptogenesis works for  $M_R \gtrsim 100$  TeV

$$\frac{\mu_{B-L}^{\text{win}}}{T} = \frac{9}{10}\alpha_Y \frac{(\mathbf{A}_Y \cdot \mathbf{B}_Y)_{\text{rh}}}{\pi T^3}$$

-----

- additional contribution from baryogenesis from decaying helical hypermagnetic fields possible,  
see VD, von Harling, Morgante, Mukaida '19
- here we assume  $\mu_e/T$  to be small, avoiding anomalous violation through the chiral plasma instability



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- SM interactions and conserved charges
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# spontaneous baryogenesis

## wash-in leptogenesis

initial asymmetries  
in conserved charges



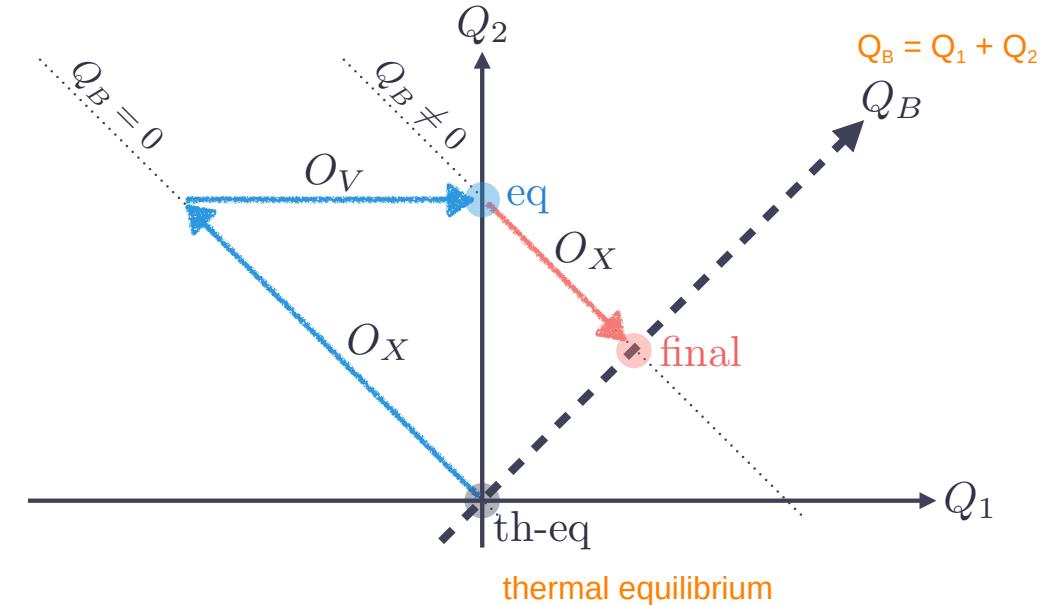
## spontaneous baryogenesis

chemical potentials induced  
by rolling axion field

Cohen, Kaplan '87, '88

- rolling of axion needs to happen when B,L violating processes are active (eg Weinberg operator, sphalerons)
- due to SM equilibration processes, no direct axion coupling to these processes needed, eg
  - ✓ any generic axion couplings
  - ✓ only axion-gluon coupling
- see also Co, Harigaya '19
- formalism inherently invariant under chiral fermion rotations

VD, Ema, Mukaida, Yamada '20



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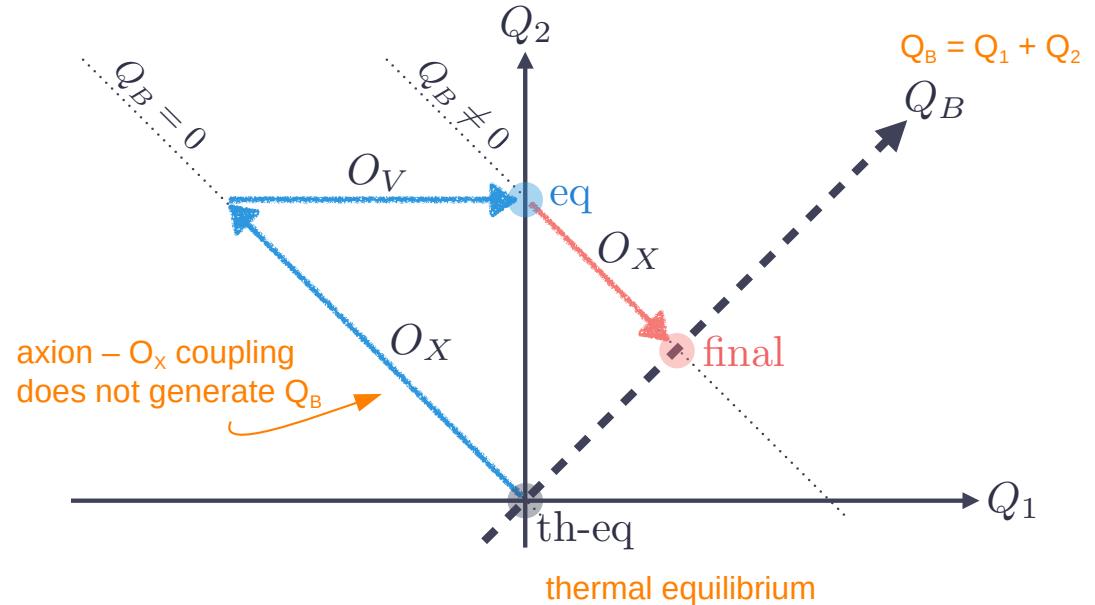


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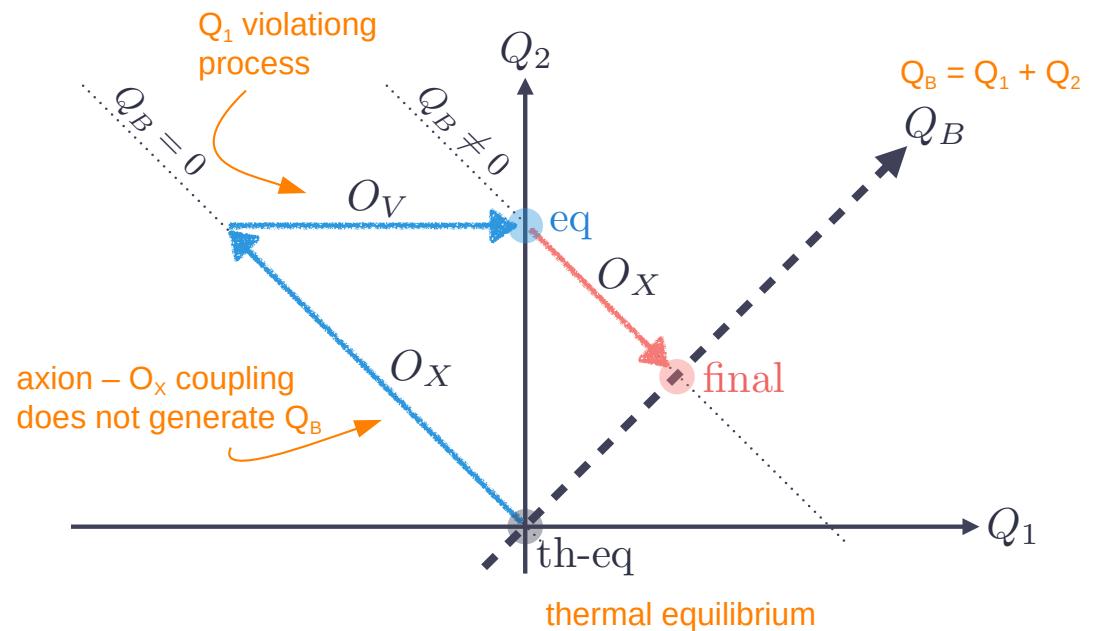


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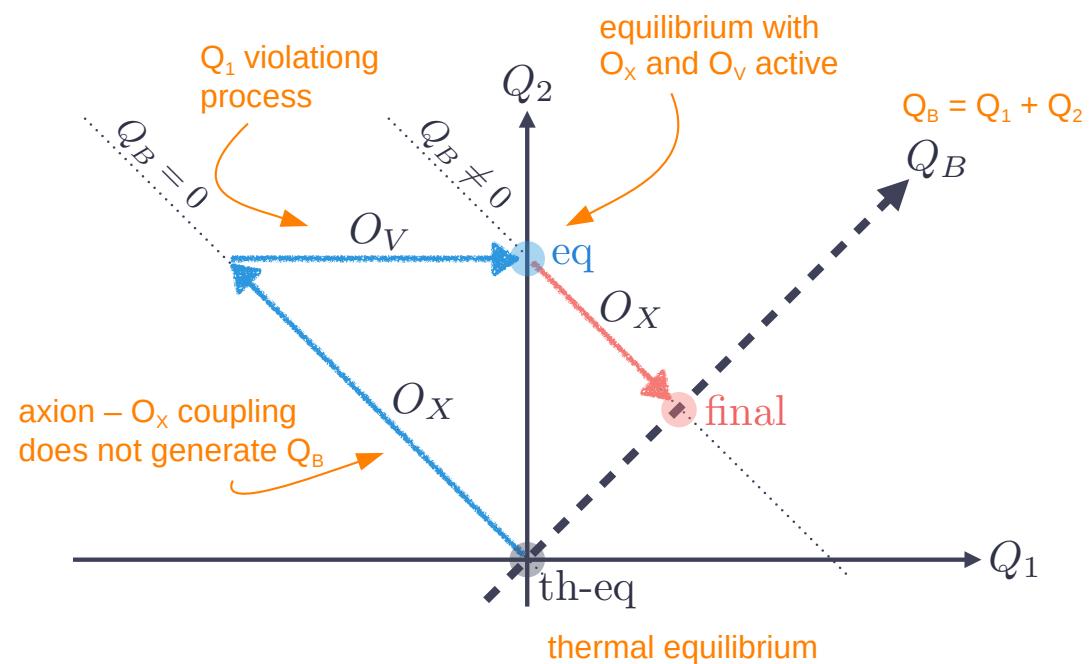


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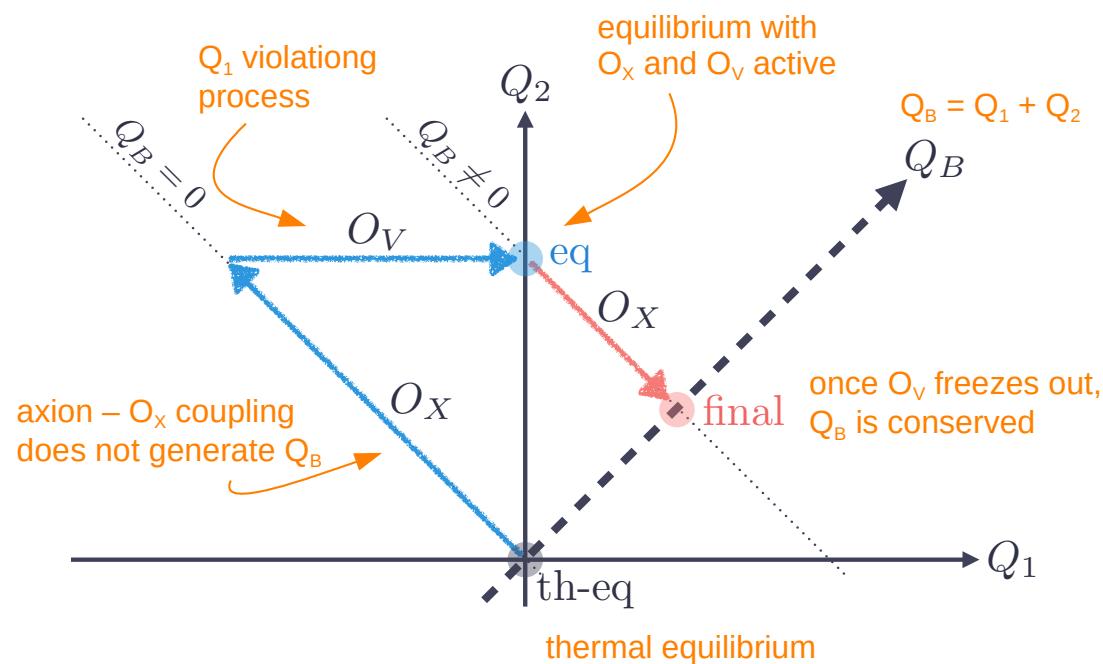


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# conclusions

- right-handed neutrinos are a minimal extension of the SM, elegantly explaining neutrino masses
- they may be responsible for the observed baryon asymmetry via standard thermal leptogenesis
- they also interfere with other baryogenesis mechanisms in a non-trivial way, rescuing eg GUT baryogenesis
- we provide an explicit toolkit to apply to a wide range of models and temperature regimes

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**Questions ?**