# Probing the Nature of Neutrino Mass



Karsten Heeger Yale University

August 9, 2023





# **Neutrinos in the Universe**



nuclear decays~ MeV energies



## **Early Days of Neutrinos**

### 1930, Pauli







### FIG. 5. Energy distribution curve of the beta-rays.

### 1935, Goeppert Mayer





1937, Majorana

-	-	-	-	•		
2						



### **From Anomalies to Precision Oscillation Physics** 1990 - 2000 1960 - 1990 solar neutrino problem oscillation searches













## 25 Years Ago - Discovery of Atmospheric Neutrino Oscillations

V98, @Takayam June 1998

Atmospheric neutrino results from Super-Kamiokande & Kamiokando - Evidence for Yu oscillations -T. Kajita Kamioka observatory, Univ. of Tokyo for the {Kamiokande Super-Kamiokande} Collaborations





**Neutrino 98** 





# **Discovery of Neutrino Flavor Change and Oscillation**

Solar v<sub>e</sub>



## Neutrino oscillations imply that neutrinos have mass and mix.

### Reactor $\overline{v}_e$

L/E



## **Neutrino Mixing**

evidence for neutrino oscillations in many sources



reactor solar long baseline atmospheric

### **3 flavor picture fits data well**









## **Open Questions**

Where do neutrino masses come from?

What is the origin of leptonic mixing?

Are neutrinos their own antiparticles?

Major discoveries ahead



 $m_{3}^{2}$ 

 $m_2^2$ .

 $m_1^2_{-}$ 

0







## What is the nature of neutrino mass?





## **Understanding Neutrino Mass from Beta Decay**

Single Beta Decay



Karsten Heeger, Yale University



## **Understanding Neutrino Mass from Beta Decay**

Single Beta Decay



Karsten Heeger, Yale University

**Double Beta Decay** 





11

# **Understanding Neutrino Mass from Double Beta Decay**

## Nuclei as a laboratory to study lepton number violation at low energies

2νββ



**Ο**νββ

Proposed in 1935 by Maria Goeppert-Mayer **Observed in several nuclei**  $T_{1/2} \sim 10^{19} - 10^{21}$  yrs  $\Gamma_{2v} = G_{2v} |M_{2v}|^2$ 



Proposed in 1937 by Ettore Majorana Not observed yet

 $T_{1/2} \ge 10^{25} y$ 

$$\Gamma_{0\nu} = G_{0\nu} \mid M_{0\nu} \mid^2 \left\langle m_{\beta\beta} \right\rangle^2$$



# **Understanding Neutrino Mass from Double Beta Decay**

## Nuclei as a laboratory to study lepton number violation at low energies

2νββ



Proposed in 1935 by Maria Goeppert-Mayer **Observed in several nuclei** 

 $T_{1/2} \sim 10^{19} - 10^{21} \text{ yrs}$ 

$$\Gamma_{2\nu} = G_{2\nu} \mid M_{2\nu} \mid^2$$

Karsten Heeger, Yale University

**Ο**νββ



Proposed in 1937 by Ettore Majorana Not observed yet

 $T_{1/2} \ge 10^{25} y$ 

$$\Gamma_{0\nu} = G_{0\nu} \mid M_{0\nu} \mid^2 \left\langle m_{\beta\beta} \right\rangle^2$$

 $0\nu\beta\beta$  would imply - lepton number non-conservation - Majorana nature of neutrinos



# **Understanding Neutrino Mass from Double Beta Decay**

## Nuclei as a laboratory to study lepton number violation at low energies

2νββ



Proposed in 1935 by Maria Goeppert-Mayer **Observed in several nuclei** 

 $T_{1/2} \sim 10^{19} - 10^{21} \text{ yrs}$ 

$$\Gamma_{2\nu} = G_{2\nu} \mid M_{2\nu} \mid^2$$

Karsten Heeger, Yale University

**Ο**νββ



Proposed in 1937 by Ettore Majorana Not observed yet  $T_{1/2} \ge 10^{25} y$ 

$$\Gamma_{0\nu} = G_{0\nu} \mid M_{0\nu} \mid^2 \left\langle m_{\beta\beta} \right\rangle^2$$

 $0\nu\beta\beta$  may allow us to determine - effective neutrino mass



# **Neutrinoless Double Beta Decay (0vßß)**



Search for peak search at the Q value of the decay

### Energy peak is necessary and sufficient signature to claim a discovery. Additional signatures from signal topology etc

Annual Reviews: 52:115-151

# **Neutrinoless Double Beta Decay (0vßß)**



### Energy peak is necessary and sufficient signature to claim a discovery. Additional signatures from signal topology etc

# **Isotope Choice**

### **Desired Characteristics**

- High isotopic abundance
- Enrichment possible
- Qββ above end point of β or γ radiation
- Large scale production possible

Karsten Heeger, Yale University



17

## **Οvββ Half Life**

Phase space factor  $m_{\beta\beta}^{2} = |\sum_{i} U_{ei}^{2} m_{\nu_{i}}|^{2} \qquad \begin{pmatrix} \nu_{e} \\ \nu_{\mu} \\ \nu_{-} \end{pmatrix} = \begin{pmatrix} \mathsf{PMNS} \\ \mathsf{matrix} \end{pmatrix} \begin{pmatrix} \nu_{1} \\ \nu_{2} \\ \nu_{0} \end{pmatrix}$ 

Karsten Heeger, Yale University





## **Isotope Choice**



From: Fundamental Symmetries, Neutrons, and Neutrinos (FSNN): Whitepaper for the 2023 Nuclear Science Advisory Committee Long Range Plan: arXiv:2304.03451iv:2304.03451



## **Οvββ Searches**









# LNGS: Laboratori Nazionali del Gran Sasso

ITAL 3600 meter water equivalent overburden -----**CUORE** PALER 10 Rome Adriatic coast

Karsten Heeger, Yale University

- Natural shielding from cosmic rays by the mountain of Gran Sasso
- Well-established support for experiments and user access





**History of Bolometer Experiments** 30 years of experience in searching for  $0v\beta\beta$  with cryogenic bolometers CUORE is in a long series of experiments, from few grams to 742 kg of detector material First tonne-scale bolometric experiment in the world



Brofferio, C. and Dell'Oro, S., Rev. Sci. Inst. 89, 121501 (2018)

Karsten Heeger, Yale University







Karsten Heeger, Yale University

Screwjacks Rubber damper

Concrete beam

Sand-filled coulmn

Y-beam

Steel rope

Minus K

Concrete wall



Unique cryogenic infrastructure.





# **CUORE - Coldest Cubic Meter in the Known Univers**

### CUORE cryostat

- Multistage cryogen-free
- cryostat
- Cooling systems: fast cooling
- system, Pulse Tubes (PTs), and
- Dilution Unit (DU) ullet
- $\sim 15 \text{ tons } @ < 4 \text{ K}$
- ~ 3 tons @ < 50 mK
- Mechanical vibration isolation
- Active noise cancelling

### CUORE (passive) shielding

- Roman Pb shielding in cryostat
- External Pb shielding ullet
- H<sub>3</sub>BO<sub>3</sub> panels + polyethylene lacksquare



Karsten Heeger, Yale University





# **Bolometric Search for 0vßß**





### $^{130}\text{Te} \rightarrow ^{130}\text{Xe} + 2\text{e}^{-1}$



### Q = (2527.518 +/- 0.013) keV



Karsten Heeger, Yale University



single hit, monochromatic event



# **CUORE Detector**

0

0

An



## **Bolometer Event**

Cooldown: Started in Dec 2016

1 month cool down

First data in Jan 2017



Karsten Heeger, Yale University



# **CUORE Data Taking**







28

# **CUORE 1-tonne Year Spectrum**





Collaboration), *Nature* **604**, 53-58 (2022)



## **CUORE 1-tonne Year Spectrum**



Adams, D.Q. et al. (CUORE Collaboration), *Nature* **604**, 53-58 (2022)







# **Background in Region of Interest (ROI)**

## $\alpha$ region

fit flat background in [2650,3100] keV 1.40(2) 10<sup>-2</sup> counts/(keV kg yr)

## $Q_{\beta\beta}$ region

fit background +  ${}^{60}$ Co peak in [2490,2575] keV 1.49(4) 10<sup>-2</sup> counts/(keV kg yr)

### source

~90% of the background in the ROI is given by degraded alpha interactions  $10^{-2}$ 

Muons are the next dominant background source

CUORE uses <sup>130</sup>Te with 34% natural isotopic abundance,  $Q_{\beta\beta}$  (2528 keV)



Adams, D.Q. et al. (CUORE Collaboration), *Nature* **604**, 53-58 (2022)



# **CUORE Fit**



No evidence of 0vββ

# Best fit rate: (0.9 ± 1.4)x10<sup>-26</sup> yr Background index = $1.49(4)x10^{-2}$ cts/keV/kg/yr $T^{0v_{1/2}} > 2.2 \times 10^{25}$ yr at 90% C.L.

Karsten Heeger, Yale University

Counts / (2.5 keV)

Adams, D.Q. et al. (CUORE Collaboration), *Nature* **604**, 53-58 (2022)







# CUORE 0vßß Limit and Sensitivity

10

10<sup>2</sup>

10

10-1

m<sub>ββ</sub> (mev)

$$(T_{1/2}^{0\nu})^{-1} = G^{0\nu}(Q,Z) \left| |M^{0\nu}|^2 \frac{|\langle m_{\beta\beta} \rangle|^2}{m_e^2} \right|^2$$

- Phase Space Factor
- Nuclear Matrix element
- Effective Majorona mass: a weighted sum of different v flavors masses

## CUORE 1 Tonne Limit: m<sub>ββ</sub>< 90-305 meV

CUORE Sensitivity (5 yrs) m<sub>ββ</sub> < 50 - 130 meV

Karsten Heeger, Yale University





# **CUPID Detector**

**Single Detector** Li<sub>2</sub><sup>100</sup>MoO4, 45x45x45 mm, 280 g Ge light detector as in CUPID-Mo, CUPID-0



## **Detector Array**

~240 kg of <sup>100</sup>Mo with >95% enrichment

~1.6.10<sup>27</sup><sup>100</sup>Mo atoms

57 towers of 14 floors with 2 crystals each, 1596 crystals

Opportunity to deploy multiple isotopes, phased deployment

### Gravity stacked structure Crystals thermally interconnected



# CUORE <sup>130</sup>Te (bolometer)



## No PID Q = 2527 keV < 2615 keV

Karsten Heeger, Yale University

### <sup>100</sup>Mo **Q-value: 3034 keV**: β/γ background significantly reduced





Measure heat and light from energy deposition

Heat is particle independent, but light yield depends on particle type

Actively discriminate  $\alpha$  using measured light yield

Karsten Heeger, Yale University





# **Isotope choice**

**Balance** between **performance** (background reduction, NME, detector performance) and **cost** (isotope enrichment, crystal growth). Higher Q-value translates into smaller background



- Q-value above most of natural radioactivity
- good quality scintillating crystals for  $\alpha$ - $\beta$ discrimination
- existing enrichment technology and interest for medical applications
- CUPID requires producing ~280 kg of <sup>100</sup>**Mo**



# Background from <sup>100</sup>Mo 2vßß Pileup

## <sup>100</sup>Mo $2\nu\beta\beta$ half-life ~ 7 x 10<sup>18</sup> yr

rate ~ 3 mHz/crystal pile-up events may populate the  $0\nu\beta\beta$  ROI

## **Pile-up discrimination depends**

LMO and light detector risetime and S/N read-out & DAQ band-width noise (vibration reduction) analysis algorithms

## demonstrated

goal (test on-going)





## < 1x10<sup>-4</sup> counts/(keV·kg·yr) $< 0.5 \times 10^{-4}$ counts/(keV·kg·yr)



# CUPID Sensitivity to 0vßß

## Baseline

- Mass: 450 kg (240 Kg) of Li<sub>2</sub><sup>100</sup>MoO<sub>4</sub>(<sup>100</sup>Mo) for 10 yrs
- Energy resolution: 5 keV FWHM
- Background: 10-4 cts/keV.kg.yr
- Discovery sensitivity  $T_{1/2} > 1.1 \times 10^{27}$  yr (3 $\sigma$ )
- Conservative, limited R&D

## Reach

- R&D for further background reduction by radio purity and reduce pileup background
- Discovery sensitivity  $T_{1/2} > 2 \times 10^{27}$  yr (3 $\sigma$ )

## 1-Ton

- 1000 kg of <sup>100</sup>Mo
- Discovery sensitivity  $T_{1/2} > 8 \times 10^{27}$  yr (3 $\sigma$ )

CUPID-1T is within technical reach, limited by timeline and cost







# **CUPID Signal: Preparing for Discovery**



Example of toy experiments simulated for 10-year exposure and  $T_{1/2}(^{100}Mo)=10^{27}$  years.

If signal is seen, modular detector allows data taking with different isotopes.

Envision CUPID to be part of a world-wide suite of experiments to discover  $0\nu\beta\beta$ .

Multiple experiments will be needed to establish discovery.





40

# **CUPID Sensitivity to 0vββ**

## **CUPID** Baseline

- Mass: 472 kg (240 Kg) of  $Li_{2}^{100}MoO_{4}(^{100}Mo)$
- **10** yr runtime
- Energy resolution: 5 keV FWHM
- Background: **10**-4 cts/keV.kg.yr

## **CUPID Baseline Discovery Sensitivity** $T_{1/2} > 1.1 \times 10^{27} \text{ yrs} (3\sigma)$ m<sub>ββ</sub> ~ 12-20 meV

CUPID aims to cover the inverted hierarchy and a fraction of normal ordering



 $10^{3}$ 

10<sup>2</sup>

10

**10**<sup>-1</sup>

 $10^{-1}$ 

 $m_{
m Beta}$  (meV)



## Sensitivity of Future 0vßß Searches



## What is the mass scale?





## Paths to the Neutrino Mass Scale



	Cosmology	Search for 0vßß	β-decay & electron capture
Observable	$M_{\nu} = \sum_{i} m_{i}$	$m_{\beta\beta}^2 = \left \sum_i U_{ei}^2  m_i\right ^2$	$m_{\beta}^2 = \sum_i  U_{ei} ^2  m_i^2$
Present upper limit	~0.1 – 0.6 eV	~0.1 – 0.4 eV	2 eV 0.8 eV
Potential: near-term (long-term)	60 meV (15 meV)	50 – 200 meV (20 – 40 meV)	200 meV (40 – 100 meV)
Model dependence	Multi-parameter cosmological model	<ul> <li>Majorana nature of v, lepton number violation</li> <li>BSM contributions other than m(v)?</li> <li>Nuclear matrix elements</li> </ul>	<b>Direct</b> , only kinematics; no cancellations in incoherent sum

K. Valerius



## **Neutrino Mass Constraints**

**Cosmology** measures Double beta decay measures Direct searches measure



## **mv** measurable both by laboratory experiments and cosmology a critical test of consistency



Cosmo data already contribute to put IO "under pressure". Major improvements expected in the next decade



Mezetto







## **Neutrino Mass Constraints**

Cosmology measures Double beta decay measures Direct searches measure



## m<sub>v</sub> measurable both by laboratory experiments and cosmology a critical test of consistency



Major improvements expected in the next decade





## **Direct Neutrino Mass Measurements**









# **KATRIN**







# **Direct Neutrino Mass Measurements**

Experiment	Operations	Final Results
Los Alamos	1980–1987	<i>m</i> <sub>β</sub> < 9.3 eV, 1991
Mainz	1997–2001	<i>m</i> <sub>β</sub> < 2.3 eV, 2005
Troitsk	1994–2004	<i>m</i> <sub>β</sub> < 2.05 eV, 2011
KATRIN	2019–2023*	<i>m</i> <sub>β</sub> < 0.8 eV, 2022*

[1] Robertson *et al.*, Phys. Rev. Lett. **67** 957, 1991
[2] Kraus *et al.*, Eur. Phys. J C **40** 447, 2005.
[3] Aseev *et al.*, Phys. Rev. D **84** 112003, 2011.
[4] Aker *et al.*, Nature Physics **18** 160, 2022.
\* KATRIN is not yet complete



Formaggio, de Gouvea, Robertson, Physics Reports **914** 1, 2021.



# **Direct Neutrino Mass Measurements**

Experiment	Operations	Final Results
Los Alamos	1980–1987	<i>m</i> <sub>β</sub> < 9.3 eV, 1991
Mainz	1997–2001	<i>m</i> <sub>β</sub> < 2.3 eV, 2005
Troitsk	1994–2004	<i>m</i> <sub>β</sub> < 2.05 eV, 2011
KATRIN	2019–2023*	<i>m</i> <sub>β</sub> < 0.8 eV, 2022*

[1] Robertson *et al.*, Phys. Rev. Lett. **67** 957, 1991
[2] Kraus *et al.*, Eur. Phys. J C **40** 447, 2005.
[3] Aseev *et al.*, Phys. Rev. D **84** 112003, 2011.
[4] Aker *et al.*, Nature Physics **18** 160, 2022.
\* KATRIN is not yet complete





In uniform magnetic field, a charged particle will have a helical trajectory

Accelerating electron will radiate EM waves at frequency:

$$f_{Cyc} = \frac{1}{2\pi} \frac{q B}{m\gamma} = \frac{1}{2\pi} \frac{q B}{m_e + E_e}$$



B







### **Cyclotron Radiation Emission** Spectroscopy (CRES)









In uniform magnetic field, a charged particle will have a helical trajectory

Accelerating electron will radiate EM waves at frequency:

$$f_{Cyc} = \frac{1}{2\pi} \frac{q B}{m\gamma} = \frac{1}{2\pi} \frac{q B}{m_e + E_e}$$







**Cyclotron Radiation Emission** Spectroscopy (CRES)

- Magnetic trap (no energy change)
- Extends observation time of electron (\*time)
- Knowledge of B places limit on energy resolution

$$\triangle E = \frac{\triangle B}{B} (m_e c^2 + E_{kin})$$

**Axial distance** 









In uniform magnetic field, a charged particle will have a helical trajectory

Accelerating electron will radiate EM waves at frequency:

$$f_{Cyc} = \frac{1}{2\pi} \frac{q B}{m\gamma} = \frac{1}{2\pi} \frac{q B}{m_e + E_e}$$

	24.79
cy [GHz]	24.787
Frequenc	24.784
	24.781 Sta
	24.778



Time [s]







- Mass limit: 170 eV (Bayesian) 180 eV (Frequentist)
- Count rate: 3770 events over 82 days. T<sub>2</sub> at 10<sup>-6</sup> mbar
- Resolution: 54.3 eV (FWHM)
- Effective volume: 1.20 ± 0.09 mm<sup>3</sup>

First measurement of the T<sub>2</sub> endpoint with CRES, Placed limit on the neutrino mass of  $m_{\beta}$ <155 eV Karsten Heeger, Yale University







# **Cavity CRES in Project 8**

The elements of CRES:

- Uniform magnetic field
- Magnetic trap for e-
- Antenna or cavity
- Sensitive receiver
- Tritium
- Atomic source
- Magnetic trap for atoms

e





# Why use CRES to measure neutrino mass?

- Source is transparent at radio frequency. Scales with volume instead of area. (10 m<sup>3</sup> is roughly comparable to KATRIN's 1200 m<sup>3</sup>.)
- Whole spectrum is recorded at once, not pointby-point.
- Low backgrounds obtainable.
- Excellent resolution obtainable.
- An atomic source of T (rather than molecular T<sub>2</sub>) is compatible. Eliminates the molecular broadening.



Phase III pilot experiment





# Why use CRES to measure neutrino mass?

- Source is transparent at radio frequency. Scales with volume instead of area. (10 m<sup>3</sup> is roughly comparable to KATRIN's 1200 m<sup>3</sup>.)
- Whole spectrum is recorded at once, not pointby-point.
- Low backgrounds obtainable.
- Excellent resolution obtainable.
- An atomic source of T (rather than molecular T<sub>2</sub>) is compatible. Eliminates the molecular broadening.



![](_page_57_Picture_8.jpeg)

![](_page_57_Picture_9.jpeg)

### **Cavity demonstrators**

![](_page_58_Picture_4.jpeg)

### Magnetic beamline and 325-MHz cavity

Sensitivity goal ~ 100 - 70 meV in 1 year

![](_page_58_Picture_8.jpeg)

### **Cavity demonstrators**

![](_page_59_Picture_4.jpeg)

## **Phase IV**

![](_page_59_Picture_7.jpeg)

With 10 of these you get to 40 meV in 3 years

![](_page_59_Picture_9.jpeg)

![](_page_59_Picture_10.jpeg)

# **Project 8 Sensitivity**

Probing the neutrino mass hierarchy at 40meV

Sensitivity below inverted mass ordering

New technologies required

- atomic tritium
- CRES

![](_page_60_Figure_6.jpeg)

# **Project 8 - Sterile Neutrinos**

- Tritium spectrum = sum of individual spectra from each mass state
- With fine enough resolution, Project 8 could potentially resolve the individual mass-state contributions — Phase IV
- In Phase III we could have sensitivity to higher-mass sterile neutrino mass states, if they exist
- An O(eV) sterile neutrino would put a kink in the spectrum

![](_page_61_Figure_6.jpeg)

![](_page_61_Picture_7.jpeg)

![](_page_61_Picture_8.jpeg)

![](_page_62_Picture_0.jpeg)

## **Exploring the Invisible Universe**

![](_page_62_Picture_2.jpeg)

Advancing frontiers of nuclear, particle, and astrophysics including studies of **neutrinos**; searches for dark matter; understanding matter; exploration of quantum science and observations of the early Universe.

https://wlab.yale.edu

### **Developing Tools for Discoveries**

![](_page_62_Picture_6.jpeg)

![](_page_62_Picture_7.jpeg)

### Training Future Scientists

![](_page_62_Picture_9.jpeg)

![](_page_62_Picture_10.jpeg)

![](_page_63_Picture_0.jpeg)

## **Exploring the Invisible Universe**

![](_page_63_Figure_2.jpeg)

## **Research Worldwide**

![](_page_63_Picture_4.jpeg)

Gran Sasso National Underground Laboratory L'Aquila, Italy CUORE, CUPID

> Daya Bay Nuclear Power Plant Guangdong, China DayaBay

Yangyang Underground Laboratory Yangyang, South Korea COSINE-100

Karoo Desert South Africa HIRAX

![](_page_63_Picture_9.jpeg)

# **Summary and Outlook**

Low-energy v experiments provide key insight into the nature of neutrinos

![](_page_64_Picture_2.jpeg)

![](_page_64_Picture_3.jpeg)

Beta decay allow direct neutrino mass measurements

Project 8 aima to reach m<sub>v</sub> < 0.04 eV

Karsten Heeger, Yale University

![](_page_64_Picture_7.jpeg)

![](_page_64_Picture_9.jpeg)

Neutrinoless double beta decay

![](_page_64_Picture_12.jpeg)

Neutrinoless double beta (0vββ) most powerful and comprehensive probe of lepton number violation ( $\Delta L=2$ ).

Would establish lepton number violation and demonstrate that neutrinos are Majorana.

CUPID reaches half lives of 10<sup>27</sup>-10<sup>28</sup> years with tonne-scale experiments

![](_page_64_Picture_16.jpeg)

![](_page_64_Picture_17.jpeg)

![](_page_64_Picture_18.jpeg)