

# Planckian dissipation

Jan Zaanen

QuickTime™ and a  
TIFF (Uncompressed) decompressor  
are needed to see this picture.



# The dissipative world of apes ...

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# The Gross list: the 14 Big Questions

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## 0. The origin of temperature, dissipation and probability?

1. The origin of the universe?

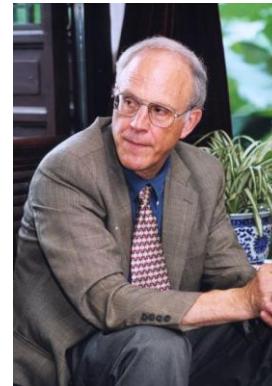
2. What is dark matter?

•

•

11. What is space-time?

•



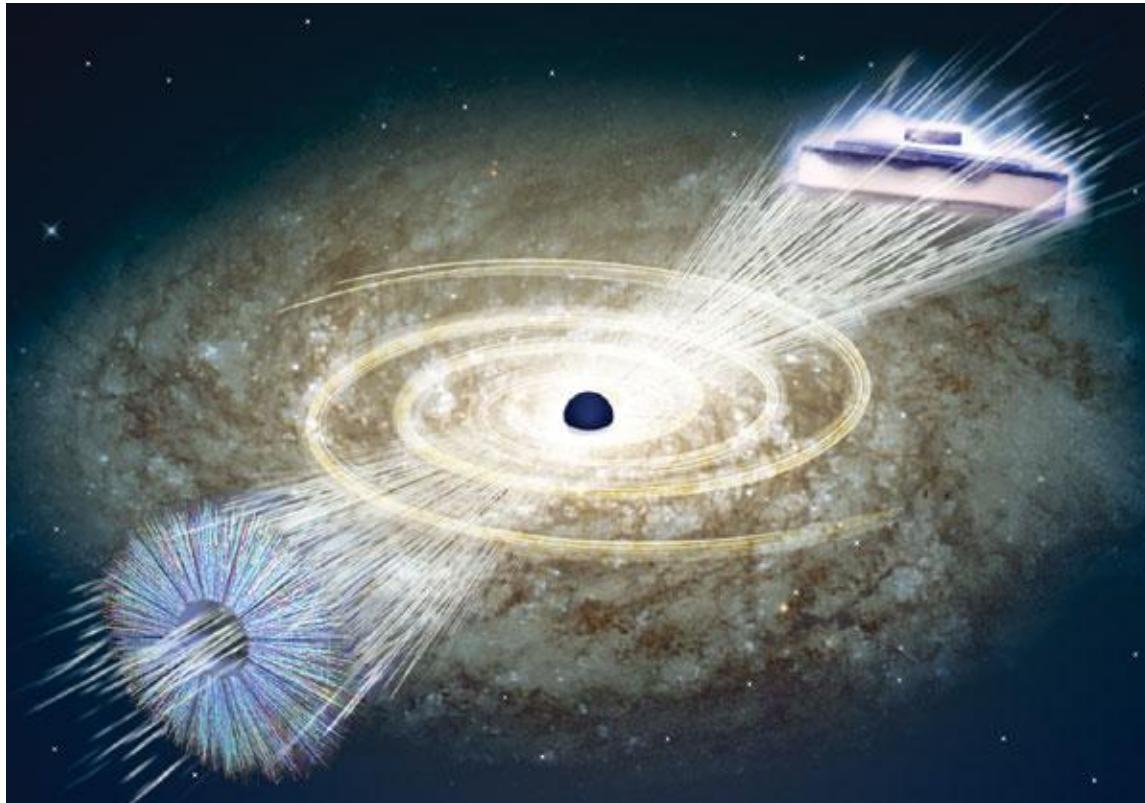
2004

# A black hole full of answers

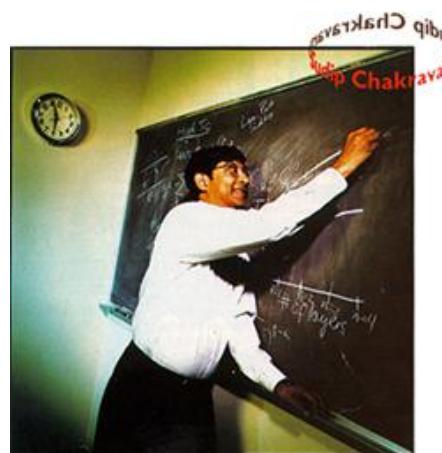
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A facet of string theory, the currently favoured route to a ‘theory of everything’, might help to explain some properties of exotic matter phases — such as some peculiarities of high-temperature superconductors.

NATURE|Vol 448|30 August 2007



# Quantum Gravity



Sudip  
Chakravarty  
(UCLA)

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Subir Sachdev  
(Harvard)

# Plan

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1. The dissipative world of apes ....
2. The high T<sub>c</sub> superconductivity saga: the past.
3. Viscosity, dissipation and black holes: the AdS/CFT magic
4. The high T<sub>c</sub> superconductivity saga: the future is critical.
5. Conclusions

# The second law ....

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Dissipation: useful work has to turn into worthless heat ...

$$\frac{dS_{Cl}}{dt} > 0$$

Let's go quantum:



$$S_{vN} = \text{Tr}[\rho \ln \rho]$$

Von Neumann

Thermal density matrix;  $\rho_{ij} = \delta_{ij} \frac{e^{-\beta E_i}}{\sum_i e^{-\beta E_i}}$   $\Rightarrow S_{vN} = S_{Cl}$

Unitary time evolution:

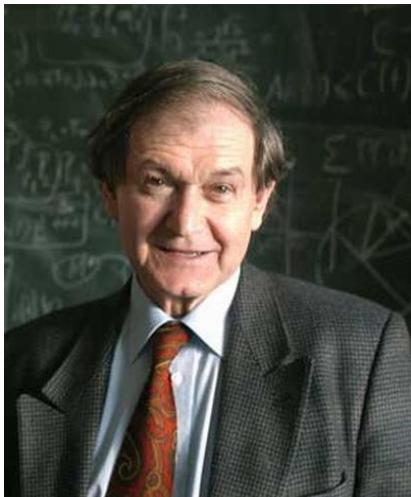
$$i\hbar \partial_t \rho = -[\rho, H] \Rightarrow \frac{dS_{vN}}{dt} = 0$$

The second law is beyond quantum physics

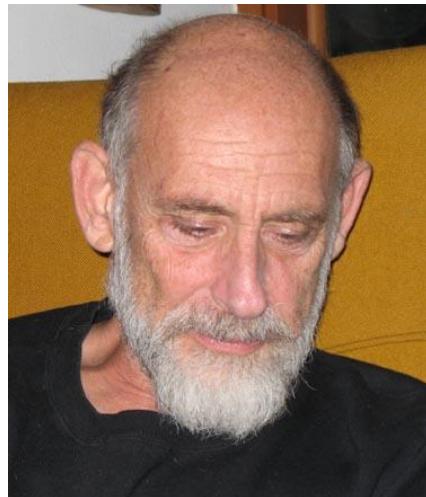
!!

# Unitarity versus general covariance

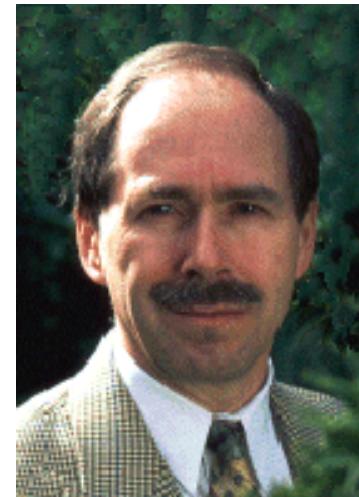
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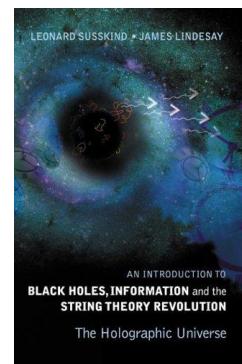
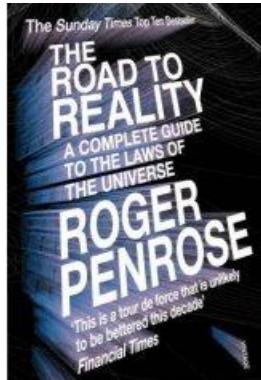
Penrose



Susskind



't Hooft



See also: Van  
Wezel, Oosterkamp,  
JZ, cond-  
mat/0706.3976

# Quantum dissipation in equilibrium worlds

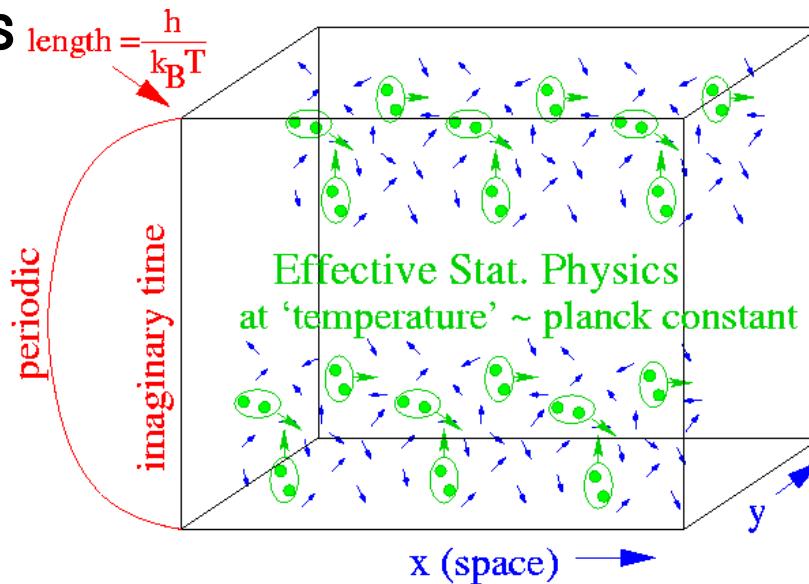
Fluctuation-dissipation theorem:

$$\chi''(\vec{k}, \omega) = \frac{1}{2\hbar} (1 - e^{-\hbar\omega\beta}) S(\vec{k}, \omega)$$

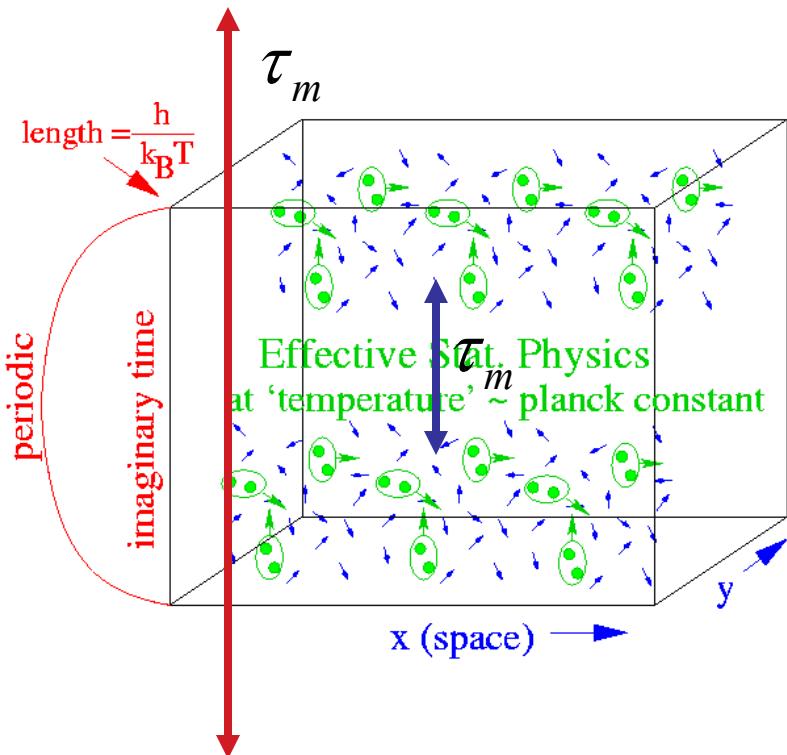


Green-Kubo-  
Matsubara

Thermal quantum field theory = Euclidean path integrals



# Wick rotation: time versus temperature



Two point Euclidean correlators:

$$\Psi(\tau, \vec{r}) = \langle \phi(\tau, \vec{r}) \phi(0, 0) \rangle$$

**Analytically continue** to 'our' Minkowski time  $\Rightarrow$  susceptibilities = observables

$$\chi(t, \vec{r}) = \Psi(i\tau, \vec{r})$$

Measurement time short compared  $\tau_{\text{to}} = \frac{\hbar}{k_B T}$

= **unitary dynamics**

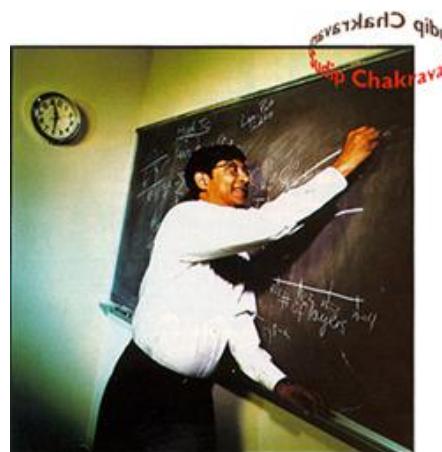
Measurement time long compared  $\tau_{\text{to}}$

= **dissipative dynamics**

: 'coherent'

: 'overdamped' =

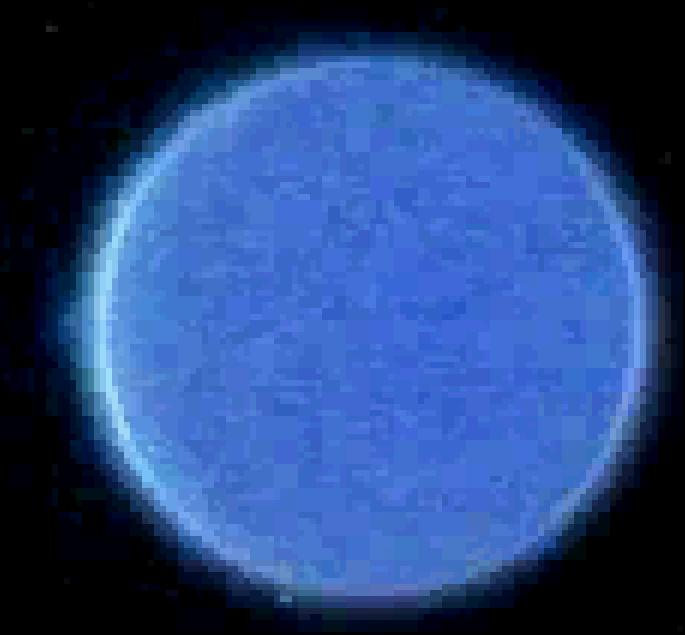
# Quantum Gravity



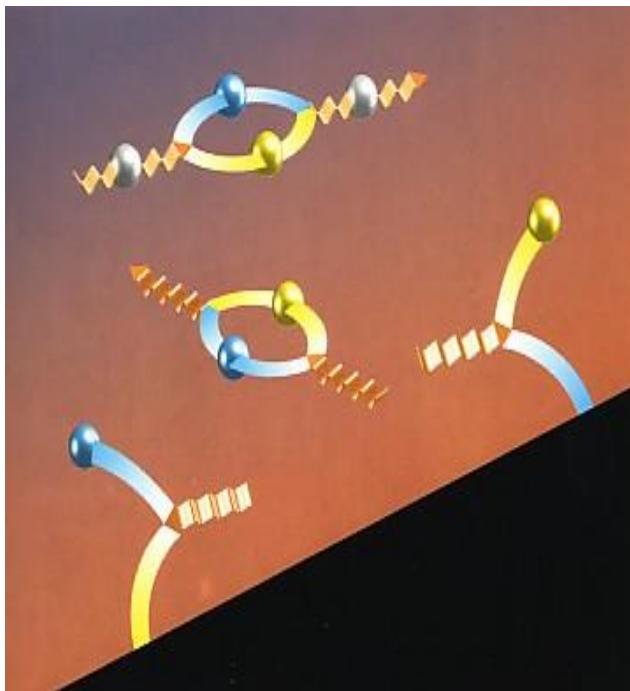
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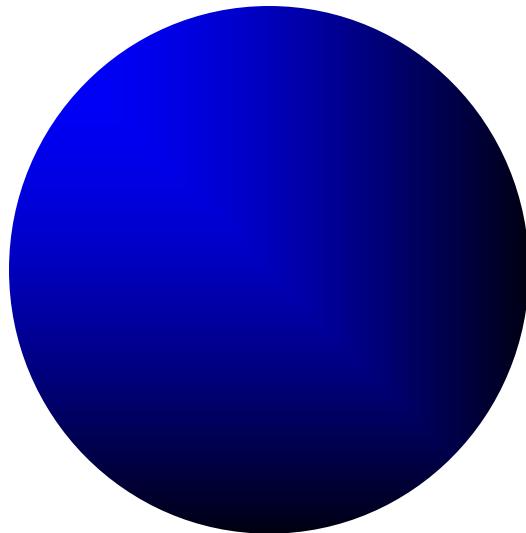


## Hawking Temperature & Entropy



$$T = \frac{\hbar g}{2\pi k c}$$

$g$  = acceleration at horizon

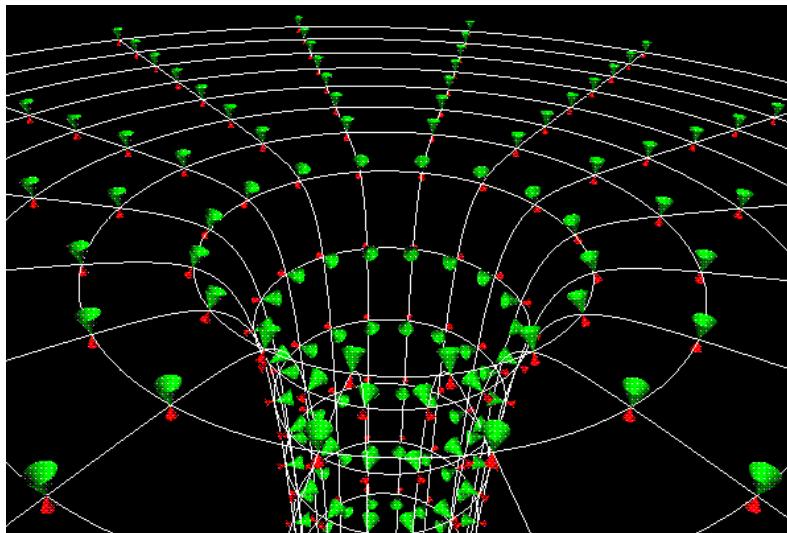


$$S = \frac{k c^3 A}{4 \hbar G}$$

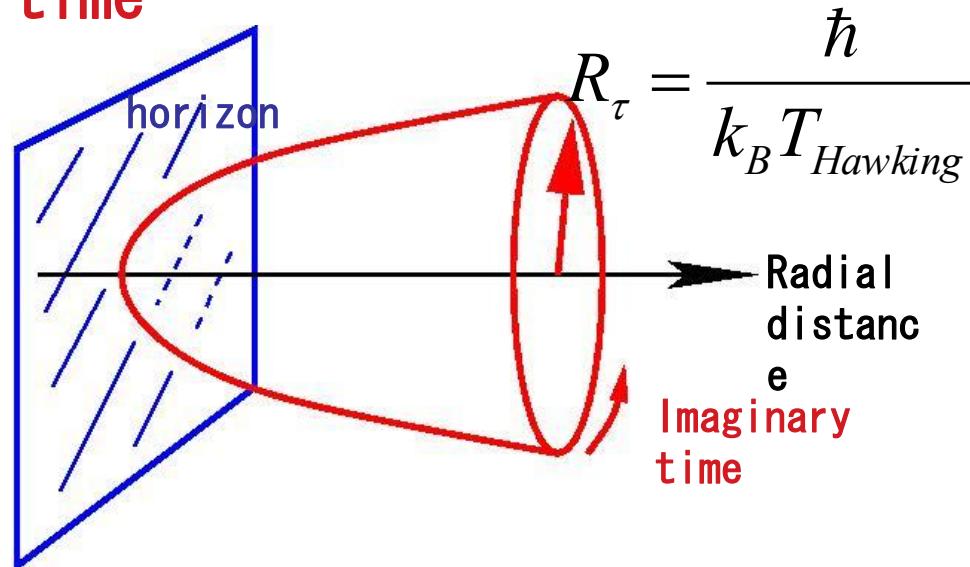
$A$  = area of horizon

# Minkowski versus Euclidean black holes

Schwarzschild in real time



Schwarzschild in **imaginary** time



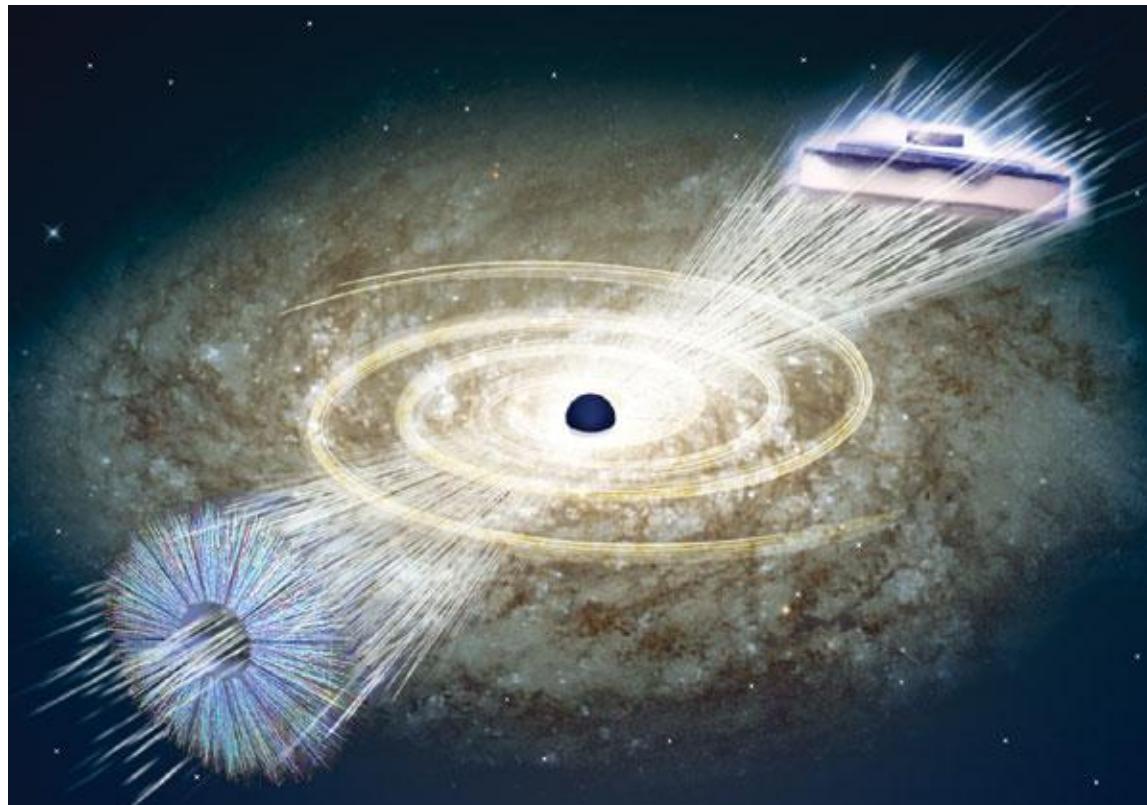
Gibbons–Hawking

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# Planck scales

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Phenomenon: **gravity**, matter warps space-time

Characteristic dimensions: Newton's constant  $G$ ,  
light velocity  $c$ .

Planck's constant carries dimension ~~energy–seconds~~:  
Einstein comes to an end at the  $\text{Planck scale}$   $10^{-42} \text{ sec}$

Phenomenon: **dissipation**, work turns irreversibly  
into heat, takes a characteristic (relaxation) time

Characteristic dimension: temperature  $T$

Given  $\hbar$ , the shortest possible relaxation time is  
**'Planckian dissipation'**: requires that the quantum dynamics is scale invariant (quantum critical)!!!!

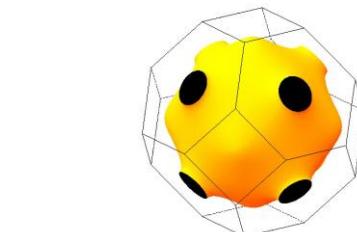
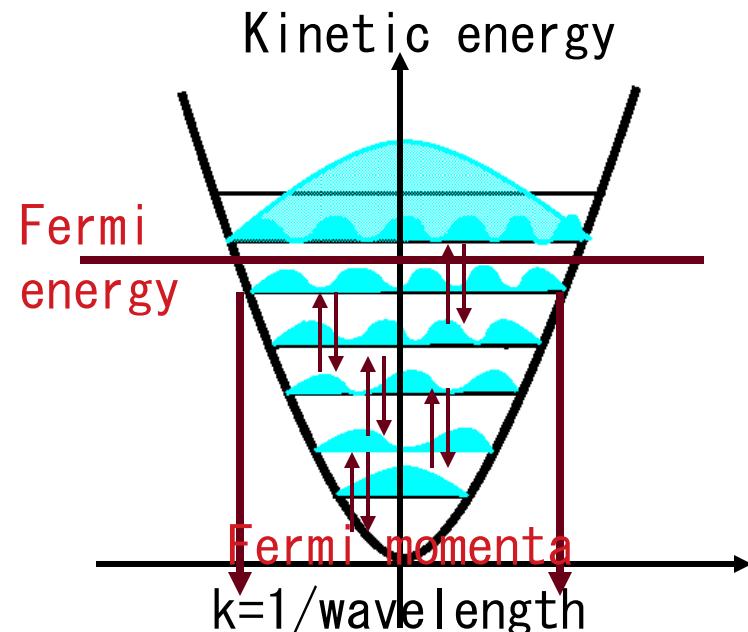
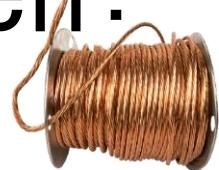
$$\tau_{\text{Planck}} = \frac{\hbar}{k_B T}$$

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# The quantum in the kitchen: Landau's miracle



Fermi surface of  
copper

Electrons are waves

Pauli exclusion principle:  
every state occupied by one  
electron  
Unreasonable: electrons  
strongly interact !!



Landau's Fermi-liquid: the  
highly collective low  
energy quantum  
excitations are like  
electrons that do not  
interact.

# BCS theory: fermions turning into bosons



Bardee  
n

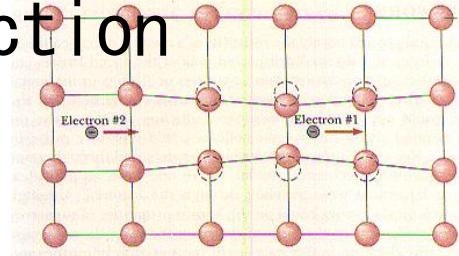


Cooper



Schrieffer

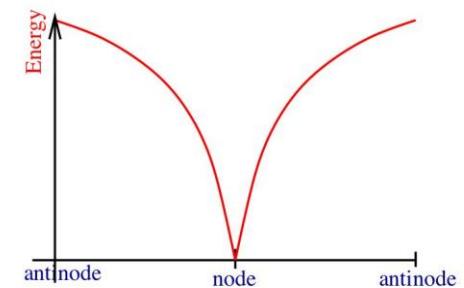
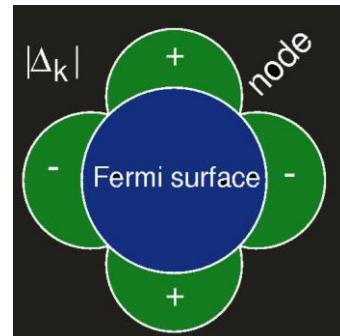
Fermi-liquid + attractive interaction



Quasiparticles pair and Bose condense:

$$\Psi_{BCS}^{\text{state}} = \prod_k (u_k + v_k c_{k\uparrow}^+ c_{-k\downarrow}^+) |vac.\rangle$$

D-wave SC: Dirac spectrum

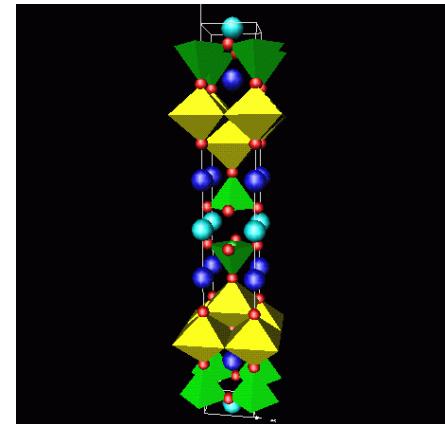


# Twenty two years ago ...

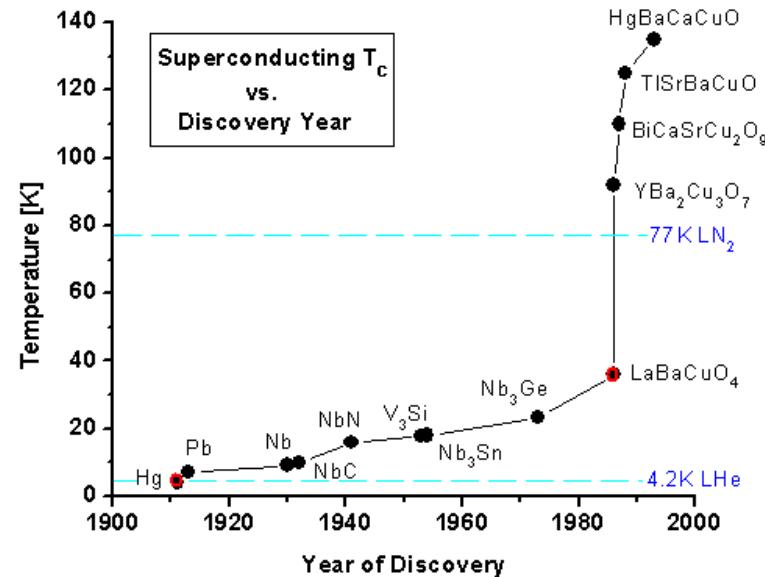
Mueller Bednorz



Ceramic CuO's,  
like  $\text{YBa}_2\text{Cu}_3\text{O}_7$



Superconductivity  
jumps to ‘high’  
temperatures



# Graveyard of Theories

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Mott



Laughlin



Mueller



Schrieffler



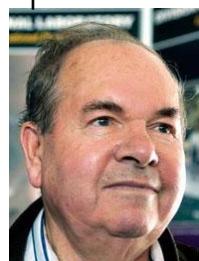
De Gennes



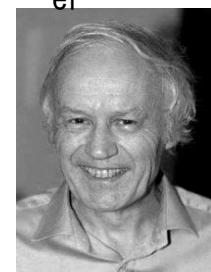
Bednorz



Anderson



Abrinkosson



Leggett



Wilczek



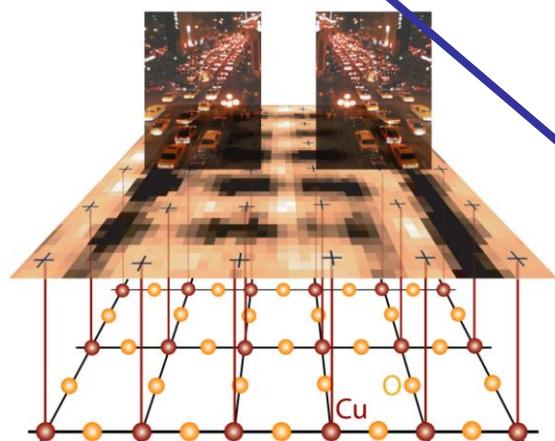
Ginzburg



Yang

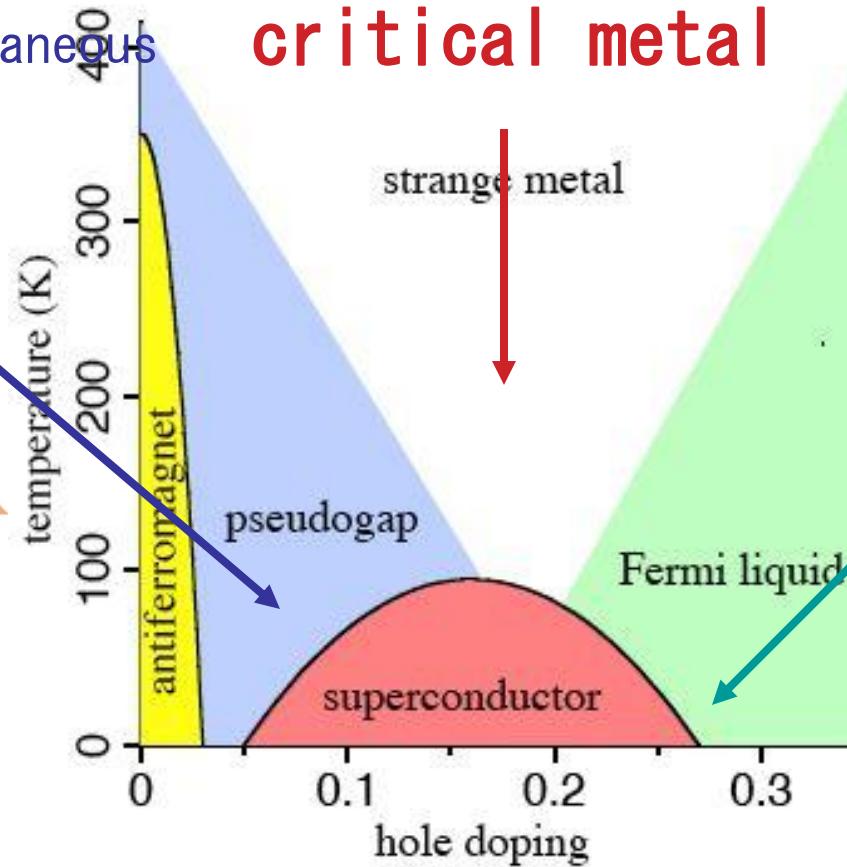
# Phase diagram high T<sub>c</sub> superconductors

'Stripy stuff', spontaneous currents, phase fluctuations...

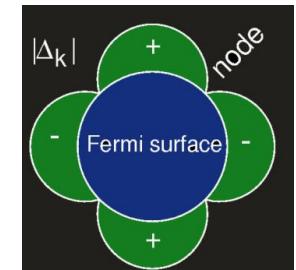


JZ, Science 315,  
1372 (2007)

Mystery quantum critical metal



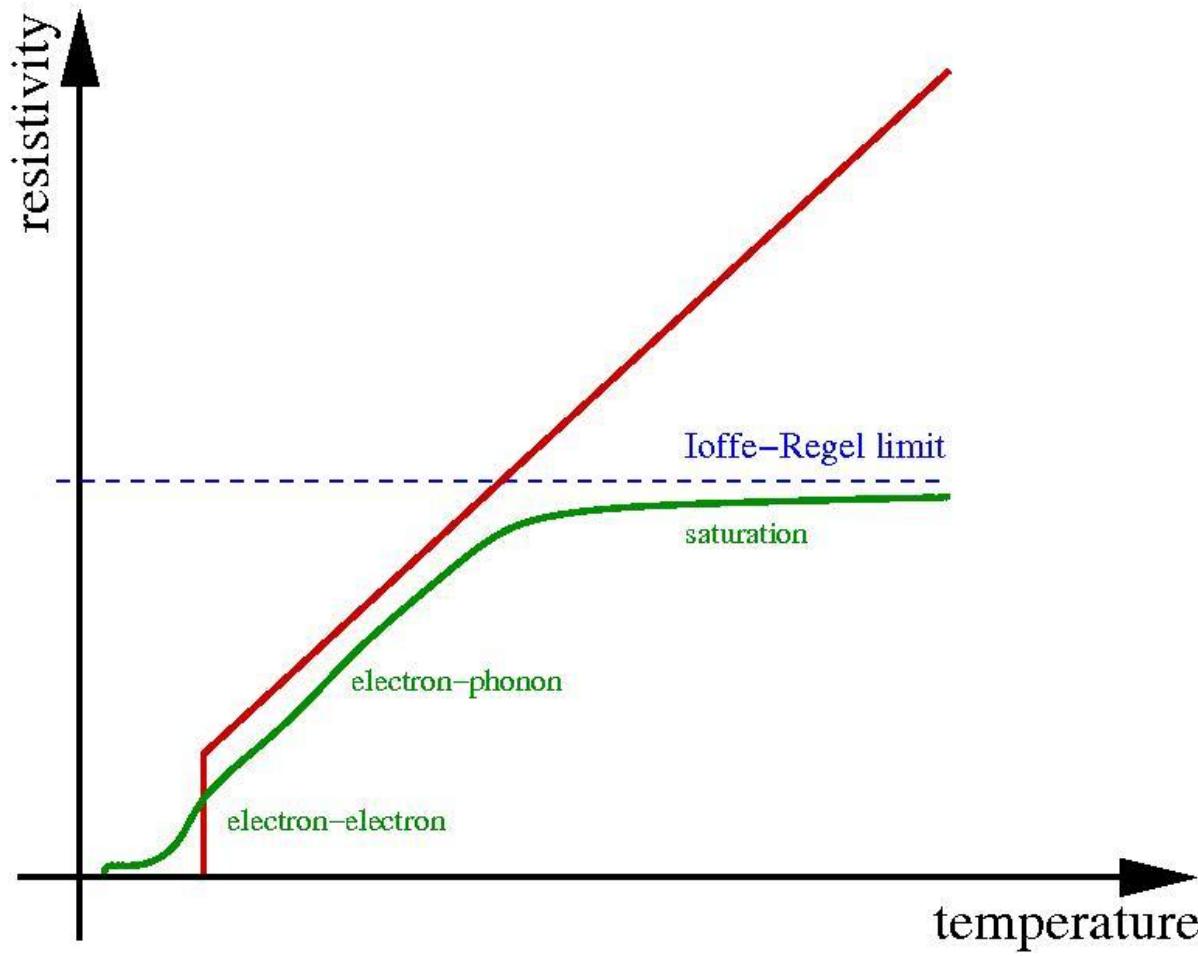
The return of normalcy



$$\hat{H}^{\text{BCS}} = \prod_{\mathbf{k}} \left( \hat{n}_{\mathbf{k}} + \hat{n}_{\mathbf{k}}^{\dagger} c_{\mathbf{k}\downarrow} c_{\mathbf{k}\uparrow}^{\dagger} \right) | \hat{n}_{\mathbf{k}} \rangle$$

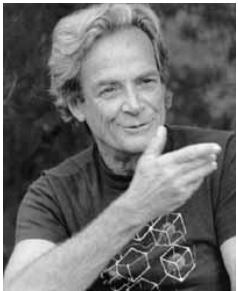
# Divine resistivity

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# Fermion sign problem

Imaginary time path-integral formulation



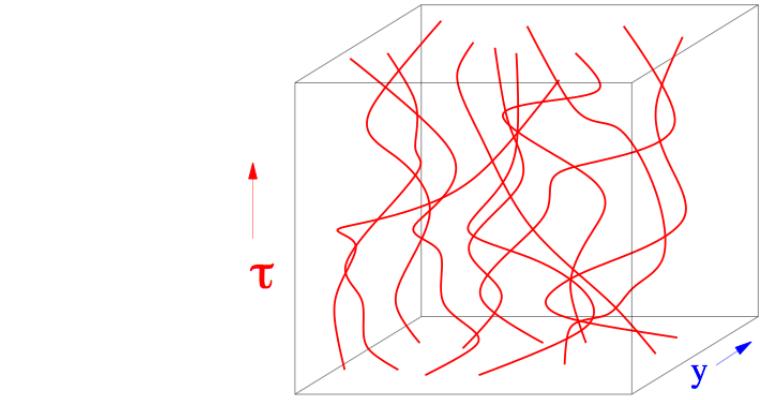
$$\begin{aligned} \mathcal{Z} &= \text{Tr} \exp(-\beta \hat{\mathcal{H}}) \\ &= \int d\mathbf{R} \rho(\mathbf{R}, \mathbf{R}; \beta) \end{aligned}$$

$$\mathbf{R} = (\mathbf{r}_1, \dots, \mathbf{r}_N) \in \mathbb{R}^{Nd}$$

$$\begin{aligned} \rho_{B/F}(\mathbf{R}, \mathbf{R}; \beta) &= \frac{1}{N!} \sum_{\mathcal{P}} (\pm 1)^{\mathcal{P}} \rho_D(\mathbf{R}, \mathcal{P}\mathbf{R}; \beta) \\ &= \frac{1}{N!} \sum_{\mathcal{P}} (\pm 1)^{\mathcal{P}} \int_{\mathbf{R} \rightarrow \mathcal{P}\mathbf{R}} \mathcal{D}\mathbf{R}(\tau) \exp \left\{ -\frac{1}{\hbar} \int_0^{\hbar/T} d\tau \left( \frac{m}{2} \dot{\mathbf{R}}^2(\tau) + V(\mathbf{R}(\tau)) \right) \right\} \end{aligned}$$

Boltzmannons or Bosons:

- integrand non-negative
- probability of equivalent classical system: (crosslinked) ringpolymers



Fermions:

- negative Boltzmann weights
- non probabilistic: NP-hard problem (Troyer, Wiese)!!!

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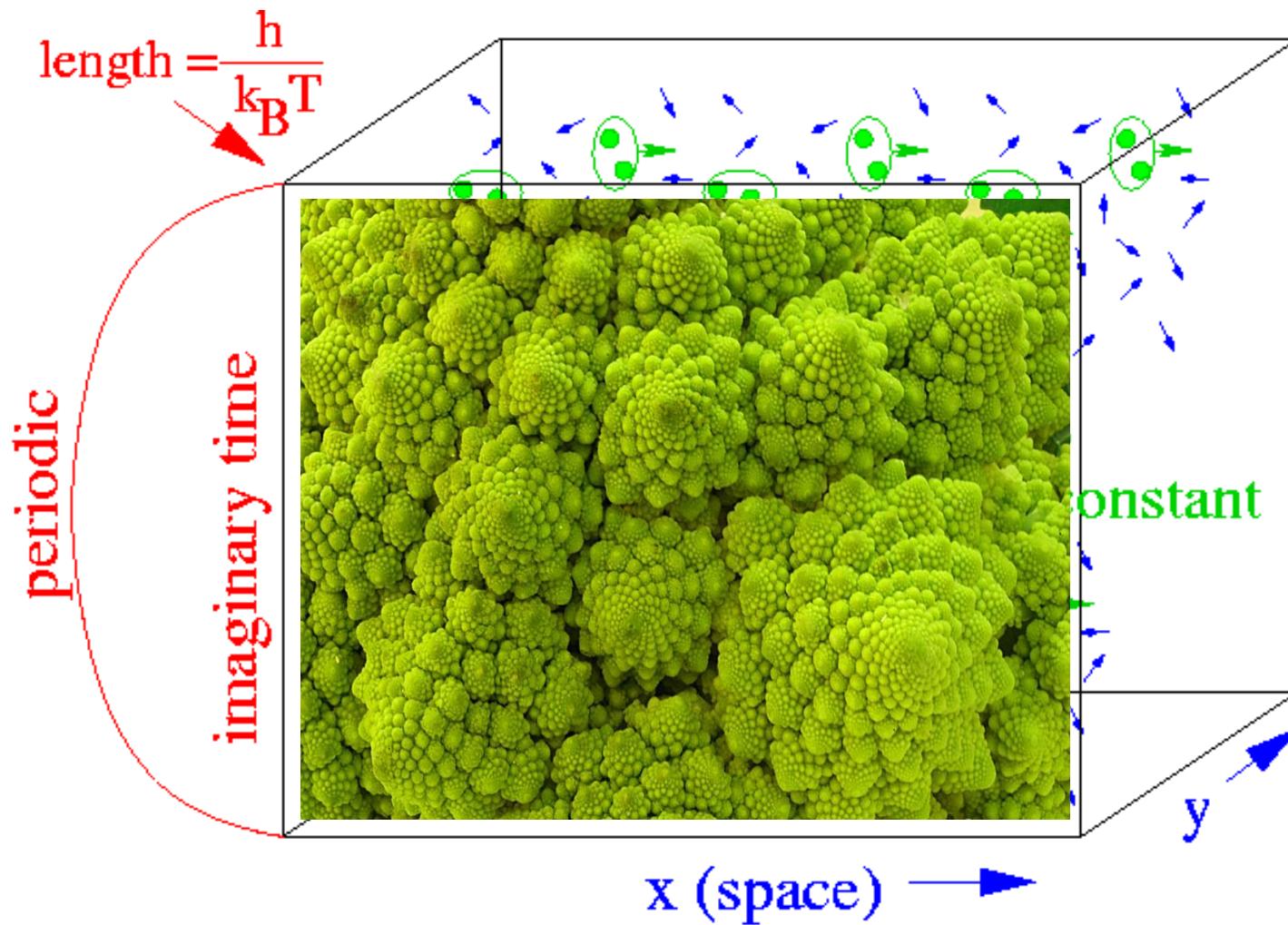
Characteristic dimension: temperature  $k_B T$

Given  $\hbar$ , the shortest possible  
relaxation time is

**'Planckian dissipation'**: requires that the quantum  
dynamics is scale invariant (quantum critical)!!!!

$$\tau_{\text{Planck}} = \frac{\hbar}{k_B T}$$

# Quantum criticality or 'conformal fields'



# Fractal Cauliflower (romanesco)

---



# The quantum critical response

Scaling form dynamical  
susceptibility:  $\chi(\omega) \propto \frac{\hbar\omega}{T^{2-\eta}} \Psi\left(\frac{\hbar\omega}{k_B T}\right)$

Quantum critical regime

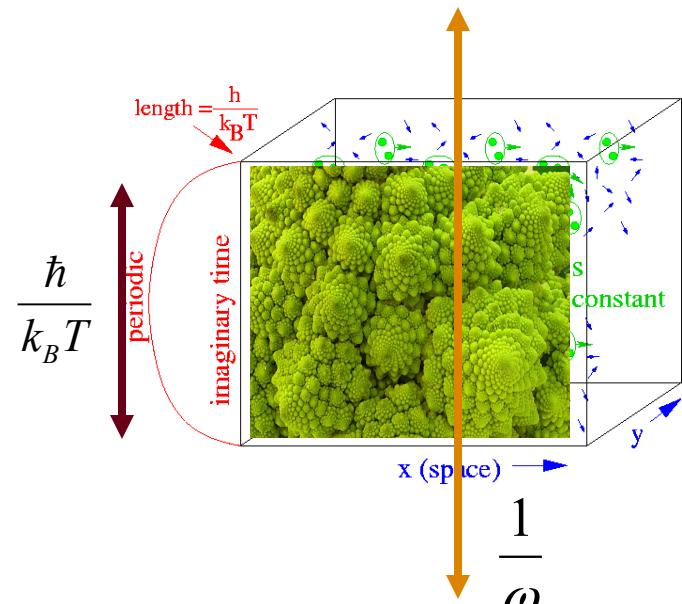


$$\hbar\omega \ll k_B T ??$$

Sachdev  
v  
Planckian  
dissipation:

$$\chi(\omega) \propto \frac{1}{T^{2-\eta}} \frac{1}{1 - i\omega\tau_{\hbar}}$$

$$\tau_{\hbar} = \text{const.} \frac{\hbar}{k_B T}, \quad \text{const.} = O(1)$$



# Dissipation in scale-full quantum systems

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Example: dissipation in the Fermi-liquid  
(electron-electron scattering)

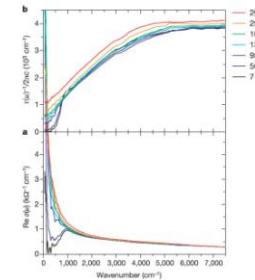
$$\sigma = \frac{n_e e^2}{m} \tau_{inel.} \quad \tau_{inel.} = \frac{\hbar E_F}{(k_B T)^2} = \left( \frac{E_F}{k_B T} \right) \tau_\hbar$$

It takes a time  $E_F/k_B T$  than the **Planck time**  
**longer** by

# Critical Cuprates are Planckian Dissipators

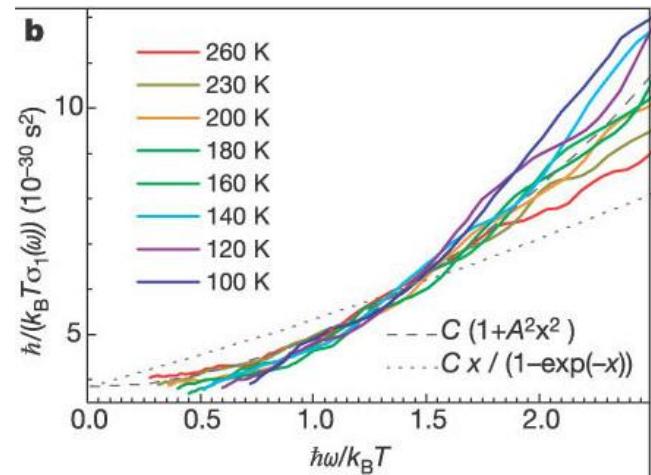


van der Marel, JZ, ... Nature  
2004:  
Optical conductivity QC cuprates



Frequency less than temperature:  $\omega_{pr}^2 \tau_r$

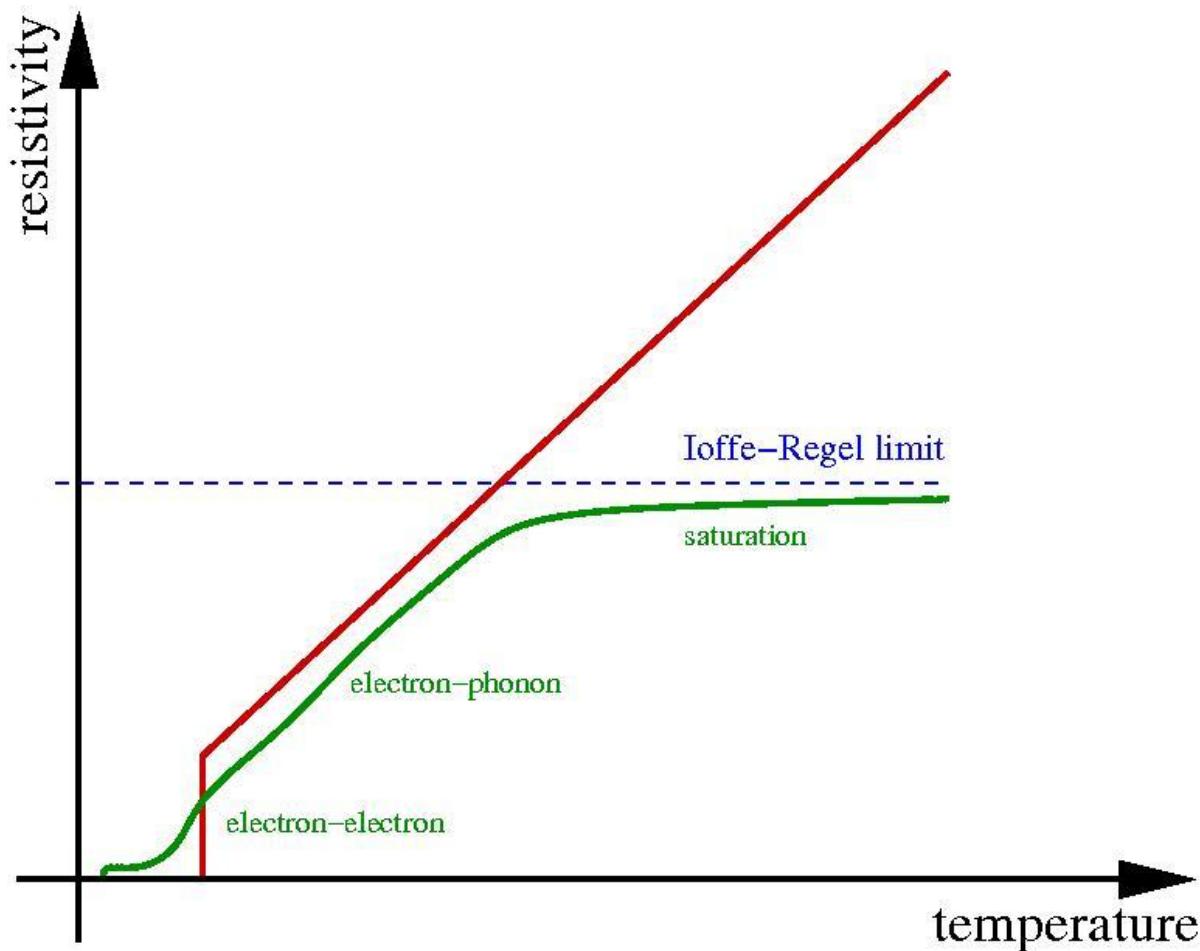
$$\sigma_1(\omega, T) = \frac{\omega_{pr}^2}{4\pi} \frac{\tau_r}{1 + \omega^2 \tau_r^2}, \quad \tau_r = A \frac{\hbar}{k_B T}$$
$$\Rightarrow \left[ \frac{\hbar}{k_B T \sigma_1} \right] = \text{const.} (1 + A^2 \left[ \frac{\hbar \omega}{k_B T} \right]^2)$$



A= 0.7: the normal state of optimally doped cuprates is a Planckian dissipator!

# Divine resistivity

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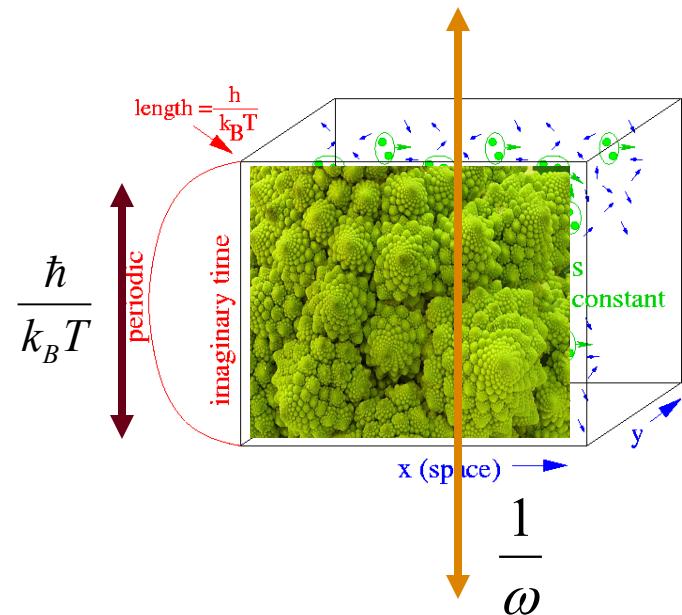
# 'Real' hydrodynamics: Planckian viscosity

Viscosity, entropy density:

$$\eta \approx (\varepsilon + p)\tau$$

$$s = \frac{\varepsilon + p}{T}$$

$$\frac{\eta}{s} \approx T\tau$$



Planckian  
dissipation:

$$\tau = \tau_{\hbar} \approx \frac{\hbar}{k_B T}$$

$$\text{Planckian viscosity: } \frac{\eta}{s} \approx \frac{\hbar}{k_B}$$

# Plan

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# AdS/CFT correspondence: String theory Magic!

d-dim. gauge theory  
/ conformal field theory



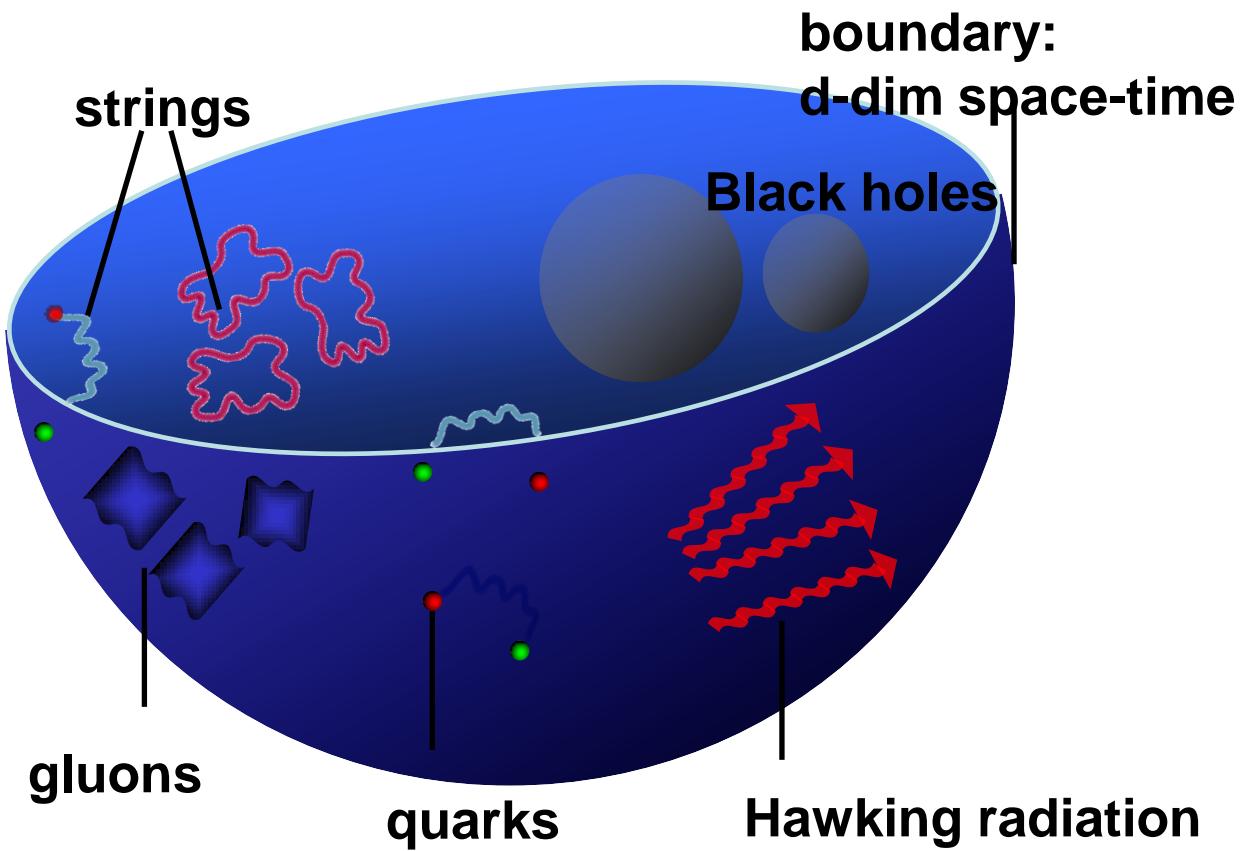
(d+1)-dim string theory  
/ gravity theory



Maldacena



Witten,  
Gubser, Klebanov, Polyakov



# The bulk: Anti-de Sitter space

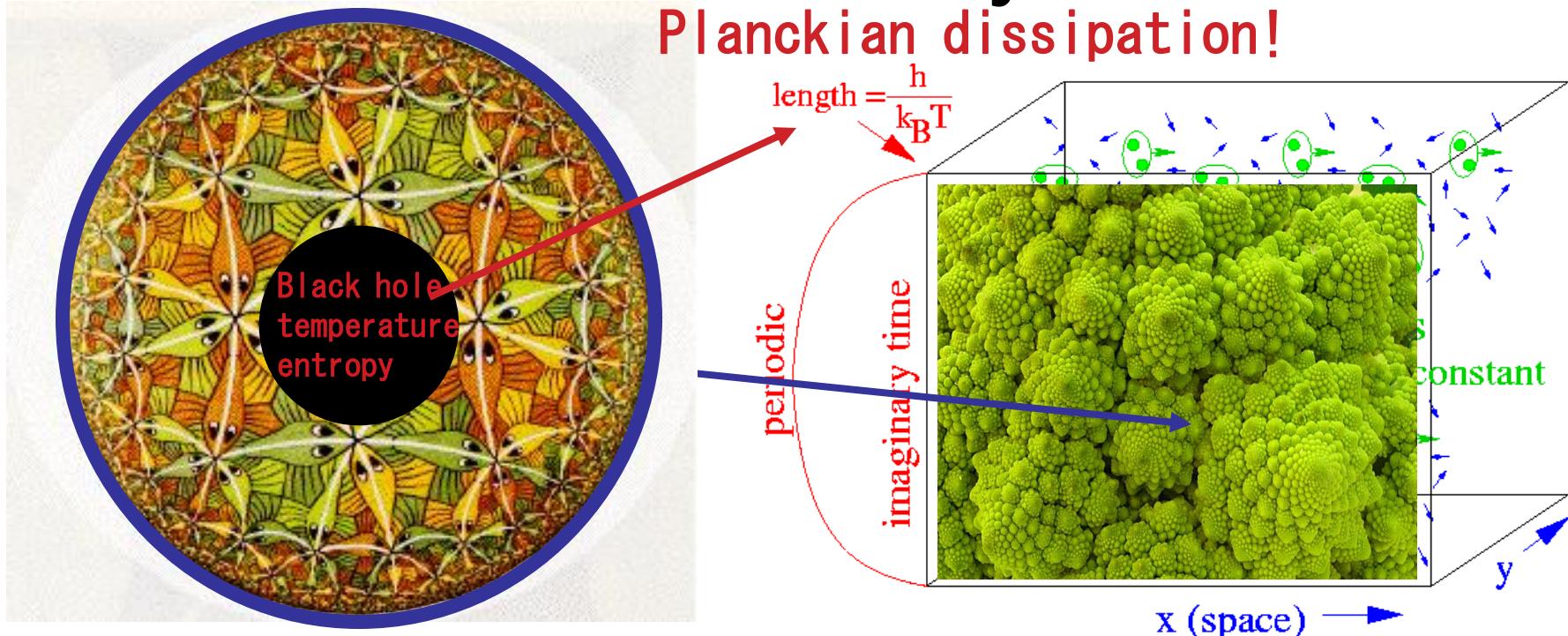
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$$dr^2 = -F(r)dt^2 + \frac{dr^2}{F(r)} + r^2(d\theta^2 + \sin^2\theta d\phi^2)$$

$$F(r) = -\Lambda r^2 + 1, \quad \Lambda < 0$$

# The boundary: conformal fields or quantum criticality!



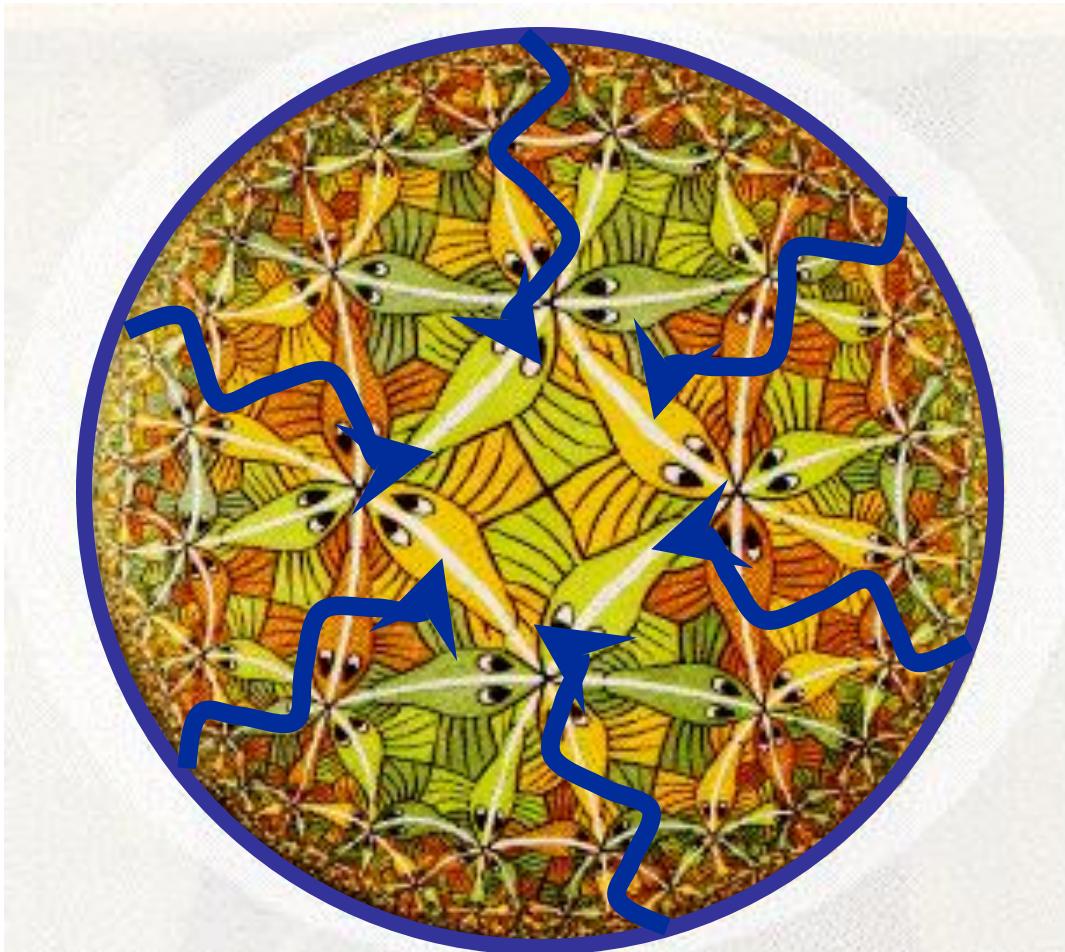
GR in Anti de Sitter

$$dr^2 = -F(r)dt^2 + \frac{dr^2}{F(r)} + r^2(d\theta^2 + \sin^2\theta d\phi^2)$$
$$F(r) = -\Lambda r^2 + 1 - \frac{GM}{r}$$

Quantum-critical fields on the boundary

# Quantum critical dynamics: classical waves in AdS

---



$$W_{CFT}(J) = S_{AdS}(\phi)_{\phi_{x_0} \rightarrow 0=J}$$

$$g_{YM}^2 N = \frac{R^4}{\alpha}$$

$$g_{YM}^2 = g_s$$

# The AdS/CFT dictionary

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SUSY Einstein–Maxwell in AdS  $\Leftrightarrow$  SUSY Yang–Mills CFT

## E-field

transverse E-field  $\Leftrightarrow$  3d electric field

radial E-field  $\Leftrightarrow$  3d charge density

## B-field

radial B-field  $\Leftrightarrow$  3d magnetic field

transverse B-field  $\Leftrightarrow$  3d current density

## spatial metric perturb.

transverse gradient  $\Leftrightarrow$  3d distortion

radial gradient  $\Leftrightarrow$  3d stress tensor

## temporal metric perturb.

transverse gradient  $\Leftrightarrow$  temperature gradient

radial gradient  $\Leftrightarrow$  heat flow

# Dissipation = absorption of classical waves by Black hole!

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Hartnoll–Son–Starinets  
(2002):

Viscosity: absorption cross section of gravitons by black hole

$$\eta = \frac{\sigma_{abs}(0)}{16\pi G}$$

= area of horizon (GR theorems)

Entropy density  $s$ : Bekenstein–Hawking BH entropy = area of horizon

Universal viscosity–entropy ratio for CFT's with gravitational dual limited in large N by:

$$\frac{\eta}{s} = \frac{4\pi k^B}{J \beta^4}$$

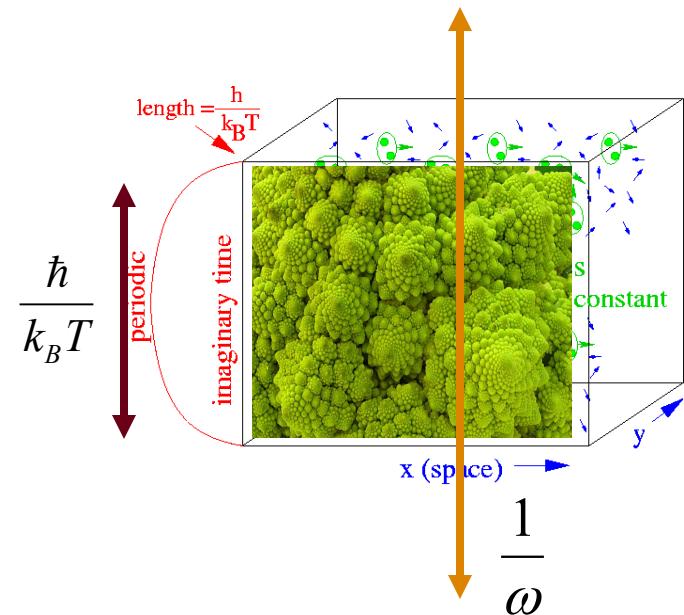
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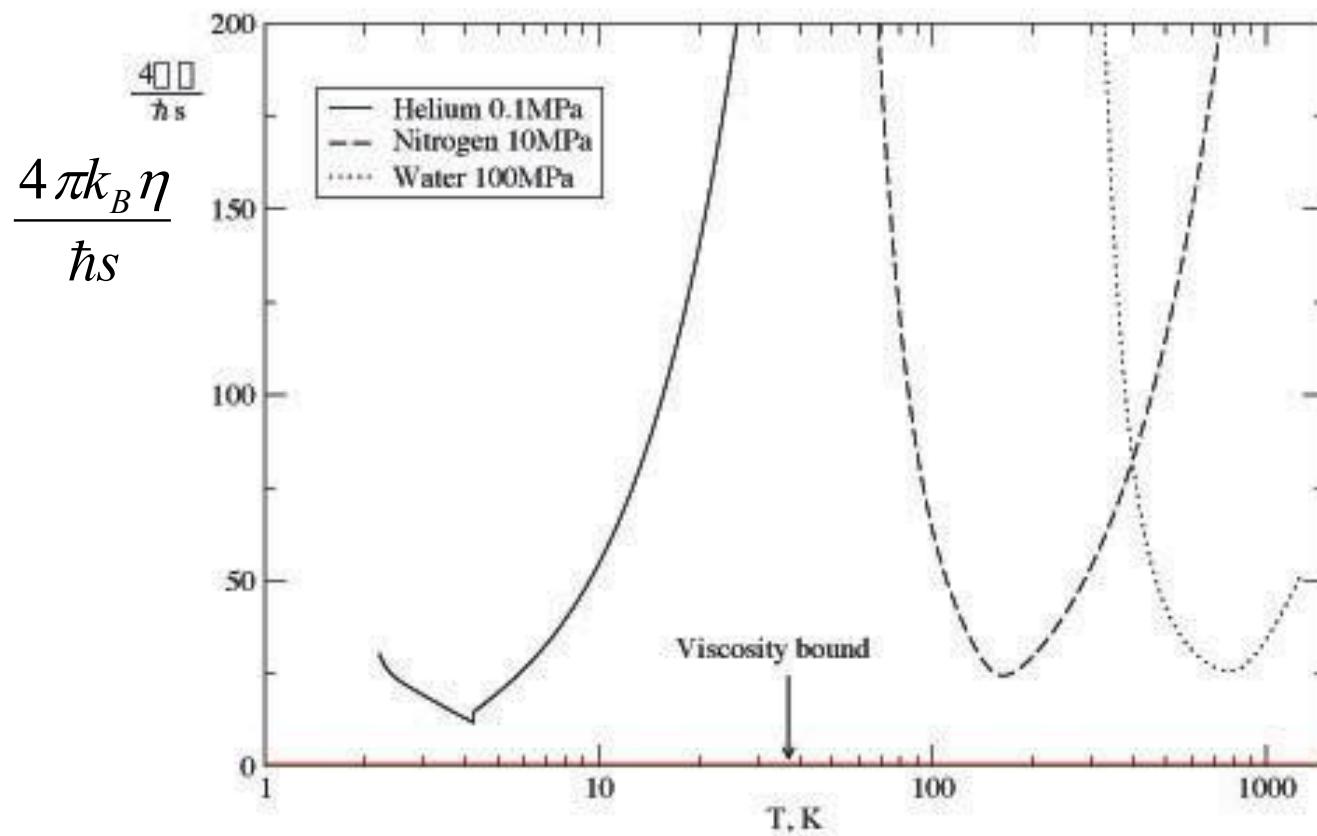
Planckian  
dissipation:

$$\tau = \tau_{\hbar} \approx \frac{\hbar}{k_B T}$$

$$\text{Planckian viscosity: } \frac{\eta}{s} \approx \frac{\hbar}{k_B}$$

# AdS/CFT viscosity

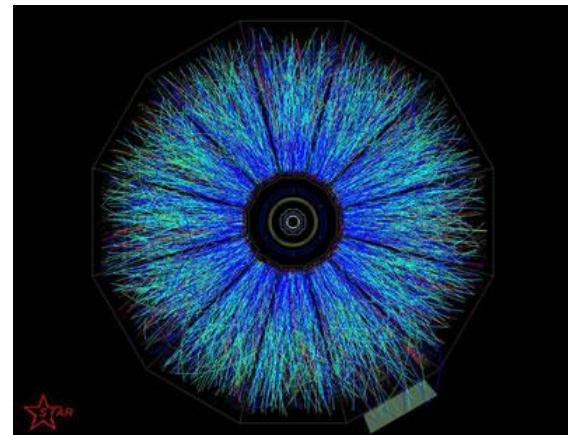
## Kovtun–Son–Starinets (2005)



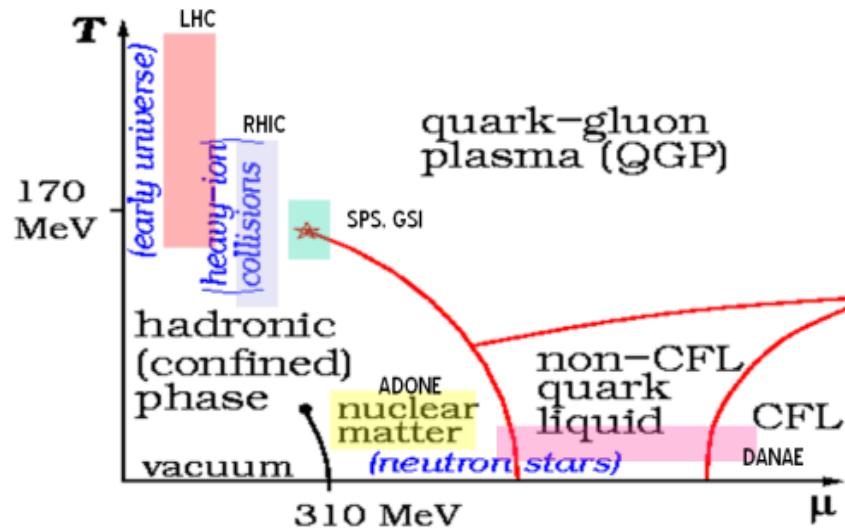
# The quark-gluon plasma



Relativistic Heavy Ion  
Collider



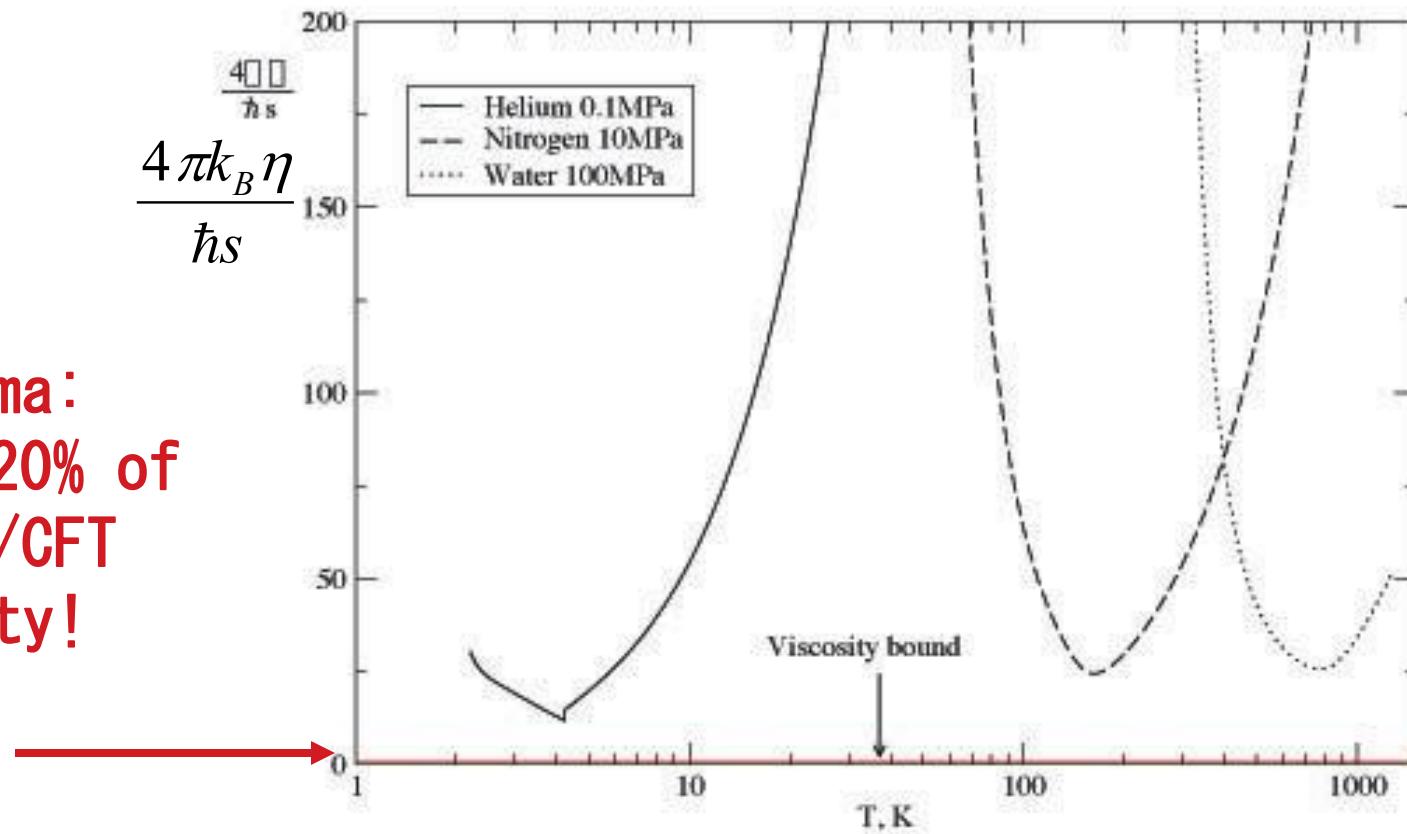
Quark-gluon  
'ball'



# The tiny viscosity of the Quark–Gluon plasma

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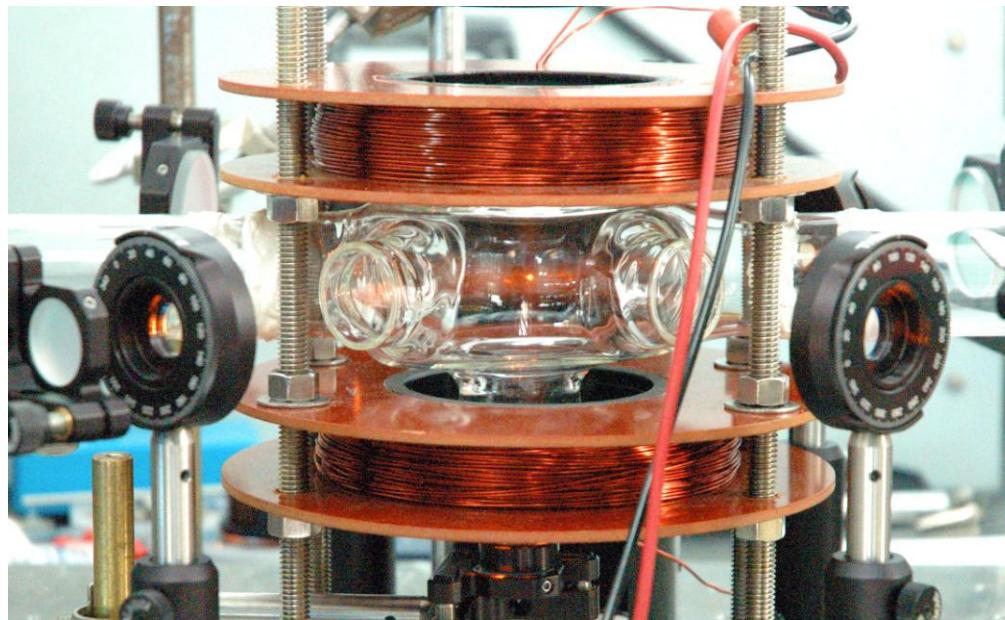
QG plasma:  
within 20% of  
the AdS/CFT  
viscosity!



# Very cold fermionic atoms

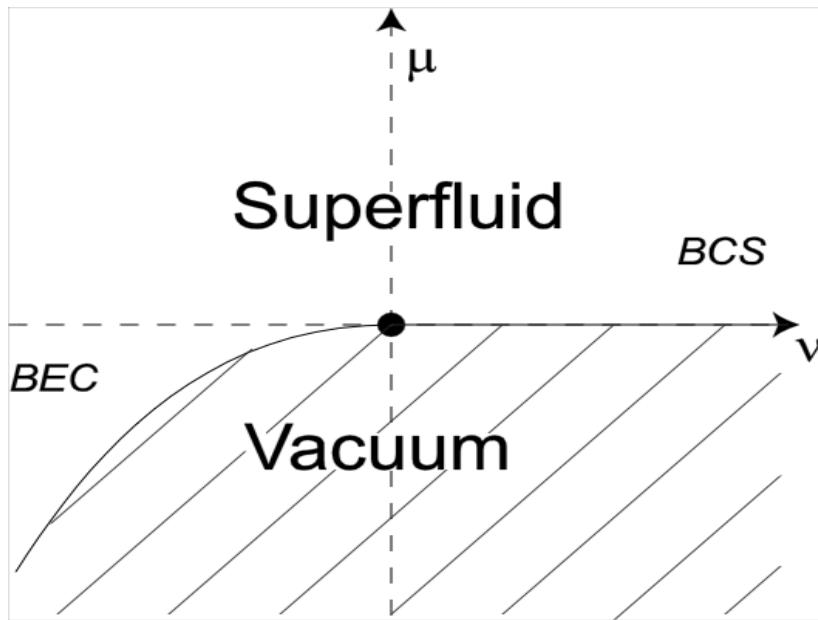
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S=1/2 Fermi gas at a Feshbach resonance



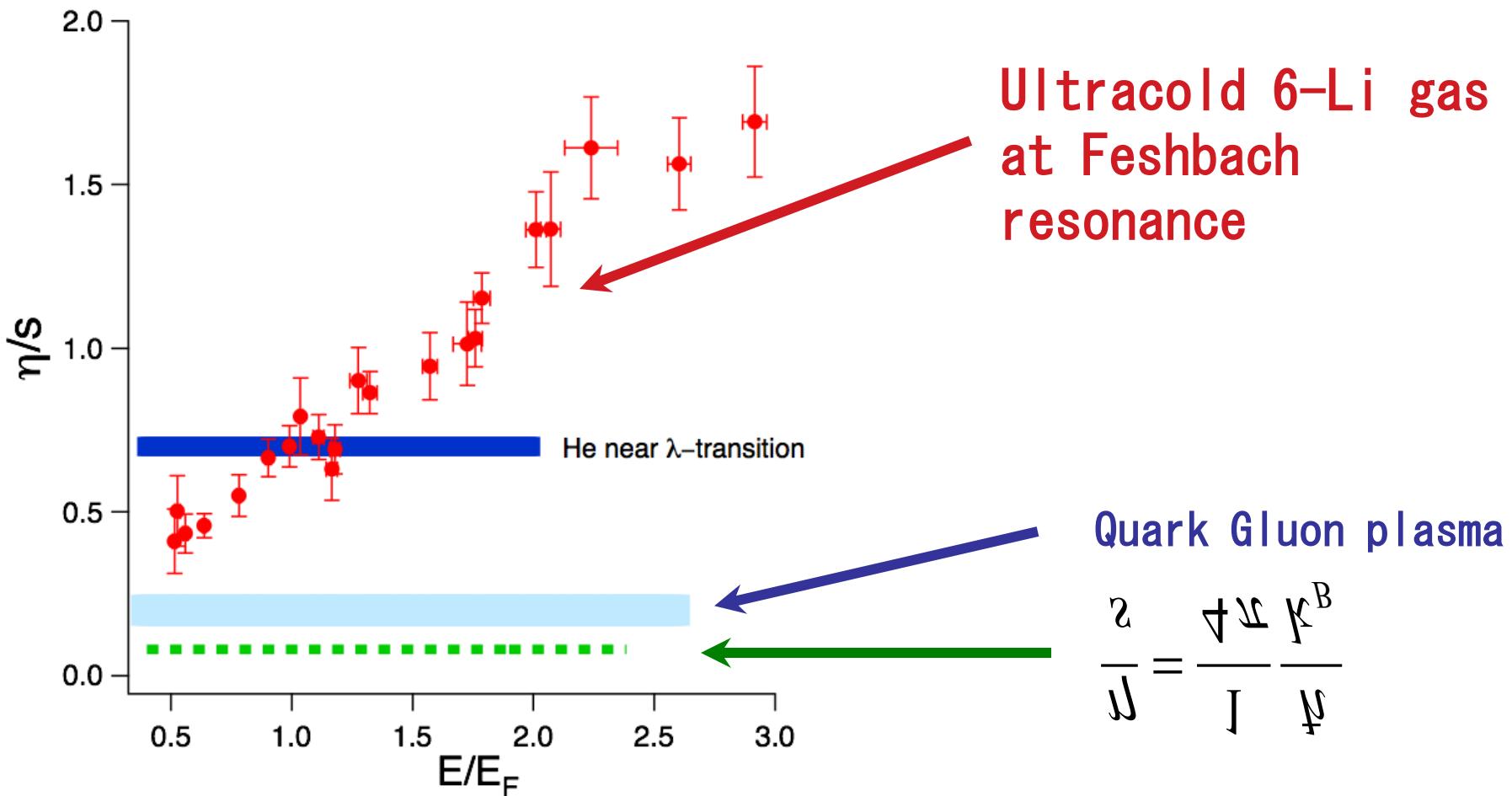
# Quantum criticality at the Feshbach resonance

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RG fixed point described  
by a “non-relativistic”  
CFT: special  
gravitational AdS dual

# Planckian dissipation in the atom trap



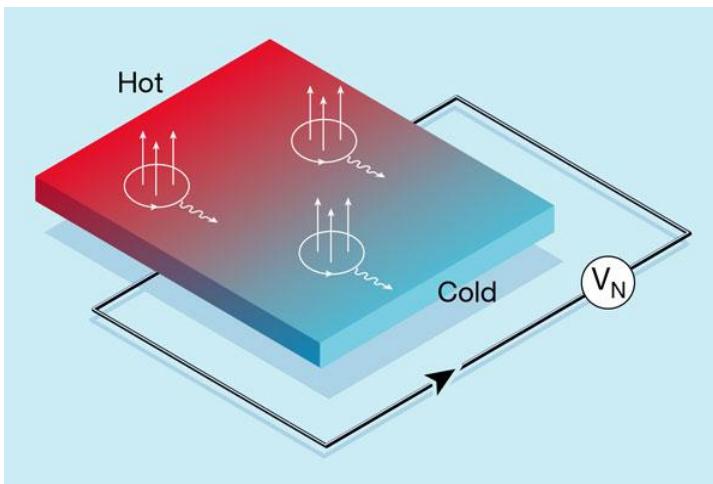
# Plan

---

1. The dissipative world of apes ....
2. The high T<sub>c</sub> superconductivity saga: the past.
3. Viscosity, dissipation and black holes: the AdS/CFT magic
4. The high T<sub>c</sub> superconductivity saga: the future is critical.
5. Conclusions

# Thermo-electric transport at the insulator-superfluid QPT.

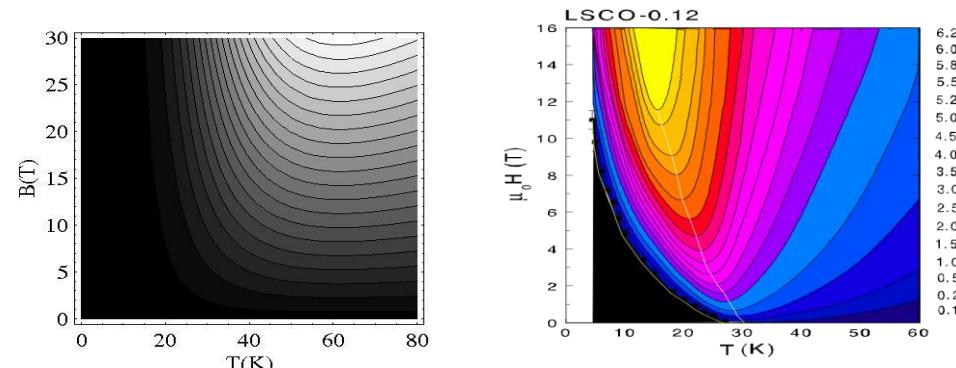
E. g. Nernst effect:



$$J_y = -\alpha_{xy} \frac{dT}{dx}$$

AdS: graviton-photon cross correlators in the presence of a dyonic black hole!

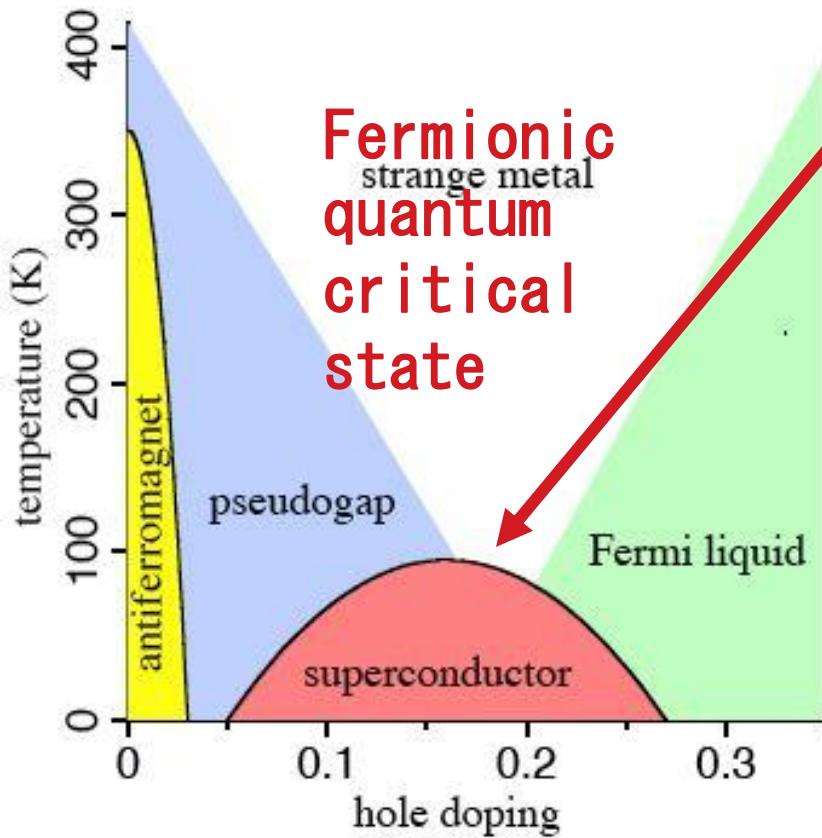
Hartnoll, Kovtun, Müller, Sachdev,  
*Phys. Rev. B* **76** 144502 (2007)



Stripy cuprates: Ong group

# Why $T_c$ is high ...

JZ, Nature 430, 512 (2004)



BCS type transition: pairs form at  $T_c$

But BCS wisdoms like:

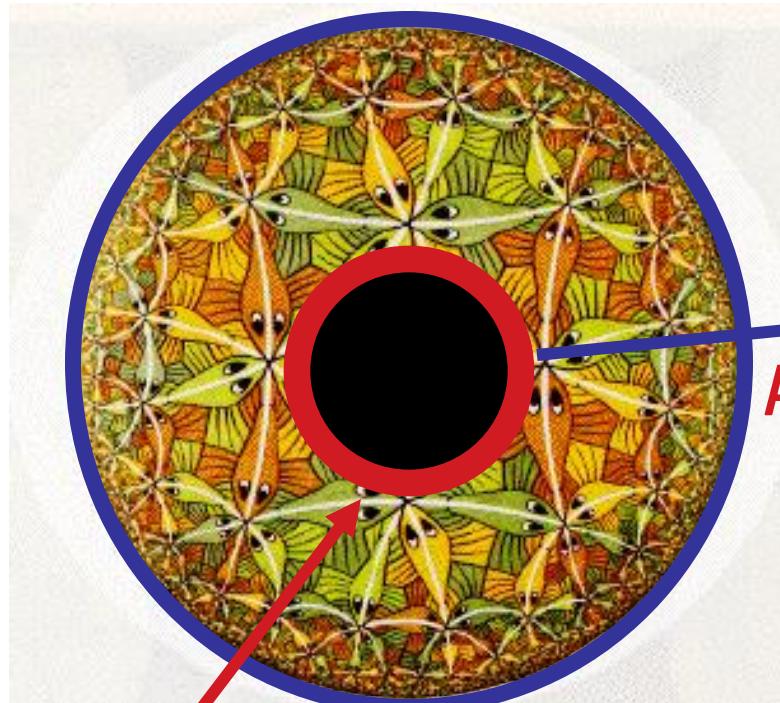
$$2\Delta = \hbar\omega_{boson} e^{-1/\lambda}$$

$$2\Delta \approx 3.5k_B T_c$$

Need the Fermi energy!

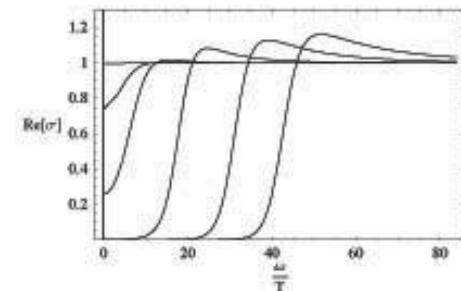
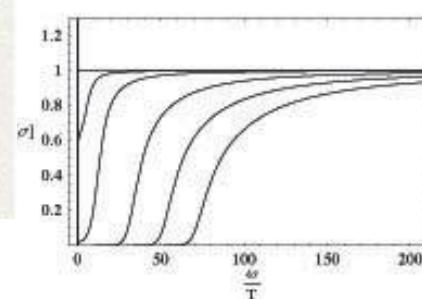
# The hairy black hole

Hartnoll, Herzog, Horowitz, arXiv:0803.3295



BCS-like Superconductor  
on the boundary!

QuickTime™ and a  
YUV420 codec decompressor  
are needed to see this picture.



Condensate ‘atmosphere’

$$\frac{2\Delta_0}{k_B T_c} \approx 8$$

# Scale invariance versus BCS



J.-H. She



Fermi-

$$\chi_{pp}(k_B T, \dots) = \ln \left( \frac{E_F \rightarrow \hbar\omega_B}{k_B T}, \dots \right)$$

Gap equation:

$$1 - \lambda \chi_{pp}(k_B T, \Delta, \hbar\omega_B) = 0$$

Glue strength

SC gap

Glue frequency

$$\Rightarrow k_B T_c = \hbar\omega_B e^{-1/\lambda}, 2\Delta \approx 3.5 k_B T_c$$

Fermionic qu.  
critical:

$$\chi_{pp}(k_B T, \dots) \propto \left( \frac{1}{k_B T} \right)^{\frac{d-2+\eta_{pp}}{z}}, \quad \left( \frac{1}{i\Delta} \right)^{\frac{d-2+\eta_{pp}}{z}}$$

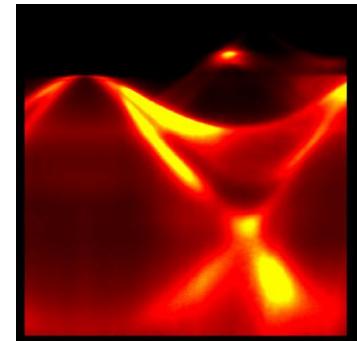
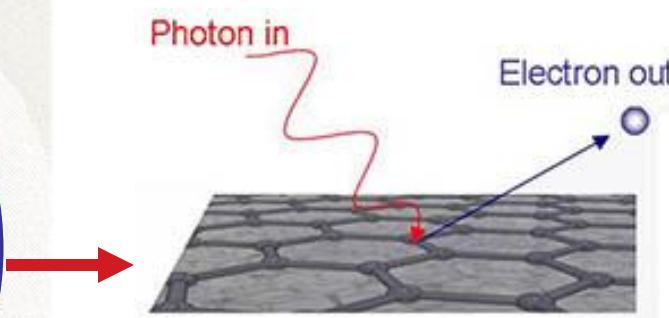
$$\Rightarrow k_B T_c = \hbar\omega_B \left( \lambda \left( \lambda + \left( \frac{2\omega_B}{\omega_c} \right)^{\frac{2-z-\eta}{z}} \right) \right)^{\frac{z}{2-z-\eta}}, 2\Delta \approx A k_B T_c$$

Tc is large for moderate glue, gap to Tc ratio  
“universal” number!

# Cracking the Fermion signs: AdS-to-ARPES



Classical 'Dirac waves'



In progress ....



Schalm Sadr i

# In conclusion ...

---

Planckian dissipation: the beautiful hydrodynamic behaviors of quantum critical states of matter.

With help of string theory: this is in literal, but dual correspondence with the physics of black holes.

This sheds unexpected light on real life physics: the quark-gluon plasma and cold atoms.

AdS/CFT might well be the mathematics behind the enigma called High T<sub>c</sub> superconductivity !

Further reading: Nature 430, 512 (2004), Science 315, 1372 (2007), Nature 448, 1000 (2007), Science 319, 1205 (2008); Hartnoll, Science 322, 1639 (2008)

# The dissipative world of apes ...

---



QuickTime™ and a decompressor are needed to see this picture.

QuickTime™ and a decompressor are needed to see this picture.

# The fundamental constants

---

$\hbar, c, G, e, k_B \dots ?$

Boltzmann's constant is the conversion factor between time and probability !?

# In conclusion ...

---

Planckian dissipation: the beautiful hydrodynamic behaviors of quantum critical states of matter.

With help of string theory: this is in literal, but dual correspondence with the physics of black holes.

This sheds unexpected light on real life physics: the quark-gluon plasma and cold atoms.

AdS/CFT might well be the mathematics behind the enigma called High T<sub>c</sub> superconductivity !

Further reading: Nature 430, 512 (2004), Science 315, 1372 (2007), Nature 448, 1000 (2007), Science 319, 1205 (2008); Hartnoll, Science 322, 1639 (2008).

# empty

---

# Empty

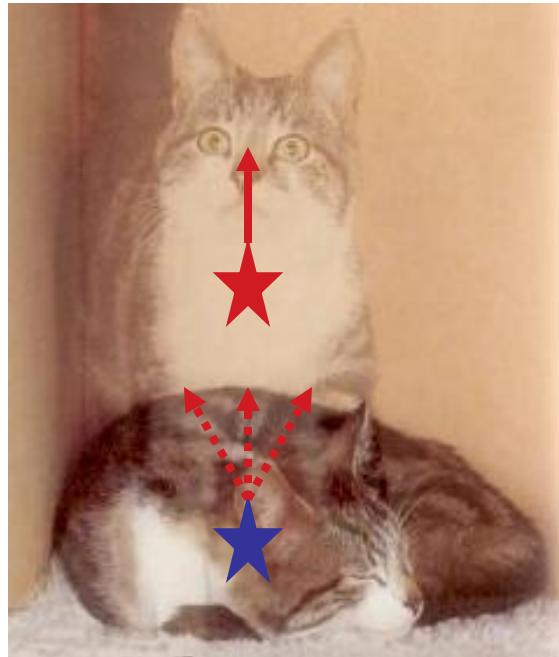
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# Empty

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# Penrosian gravitational wave function collapse

---



Unitary/entangled/microscopic:

Dissipative/collapsed/macrosopic:

Condition for unitarity: global time like  
**Killing vector**

$$i\hbar \frac{d}{dt_{Live}} |Live\rangle = H|Live\rangle$$

$$|Live\rangle + |Dead\rangle \text{ or } |Live\rangle$$

$$|Dead\rangle$$

$$i\hbar \frac{d}{dt_{Dead}} |Dead\rangle = H|Dead\rangle$$

$$\frac{d}{dt_{Live}} = \frac{d}{dt_{dead}}$$

Einstein gravity = ‘gauge theory of diffeomorphism’: global time like killing vector does not exist in universes with different mass distributions!

Conflict becomes manifest at ‘collapse time’:

$$\tau_{coll.} \approx \frac{\hbar}{\Sigma_G} \approx \frac{\hbar L}{GM^2}$$

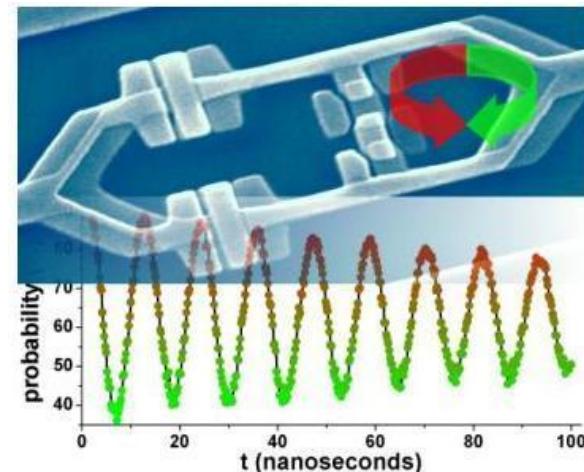
# Measuring quantum gravity

---

Penrosian collapse: 'it takes a Schroedinger state made from e-coli's one micrometer apart one second to collapse.'

**Flux qubits closest approach with available technology!**

Van Wezel, Oosterkamp, JZ (cond-mat/0706.3976, Phil. Mag. B)



MicroAmp currents, cross section 1 micron, height 0.1 mm:

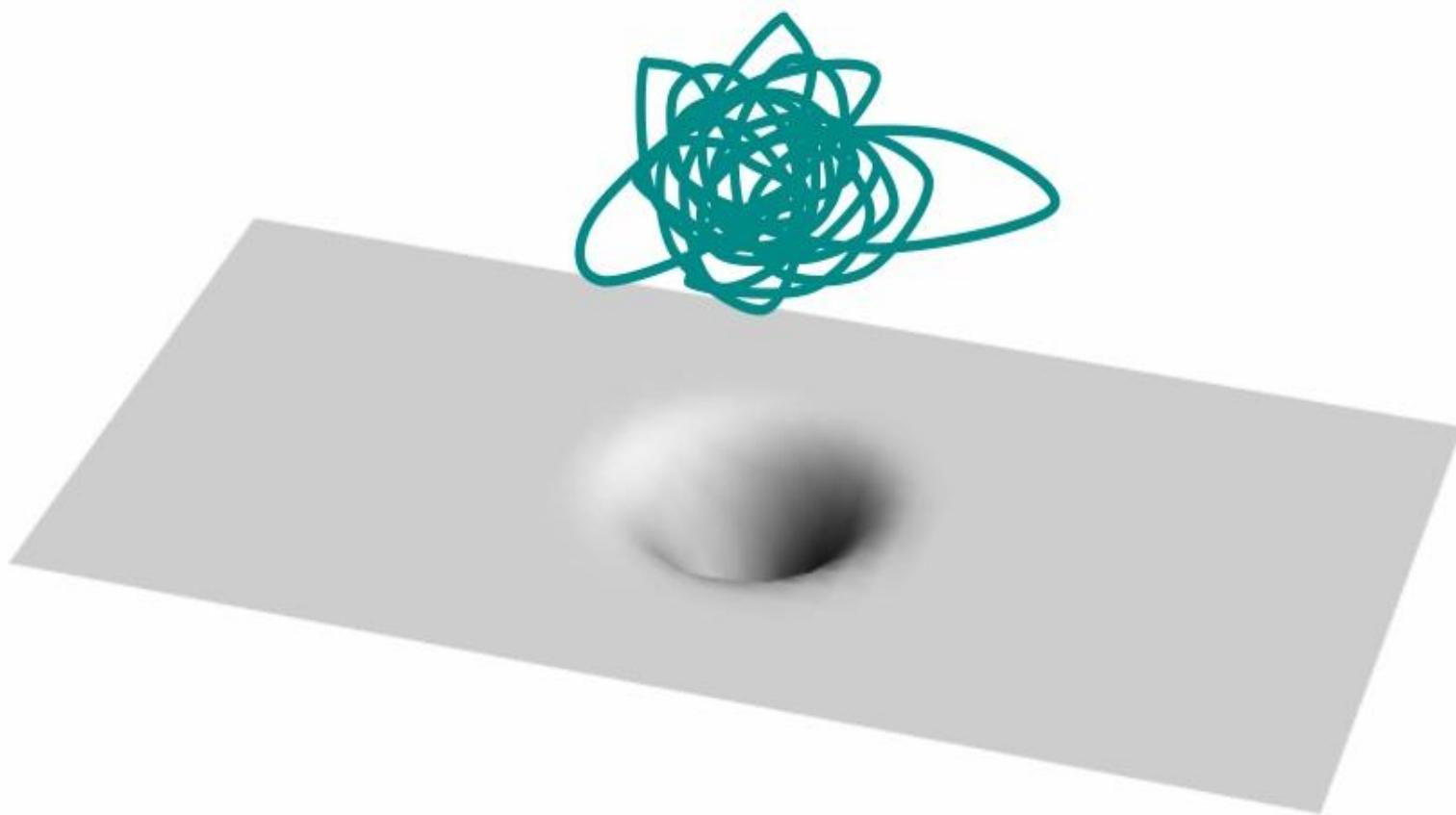
**Collapse time of order of seconds!**

# Closed string

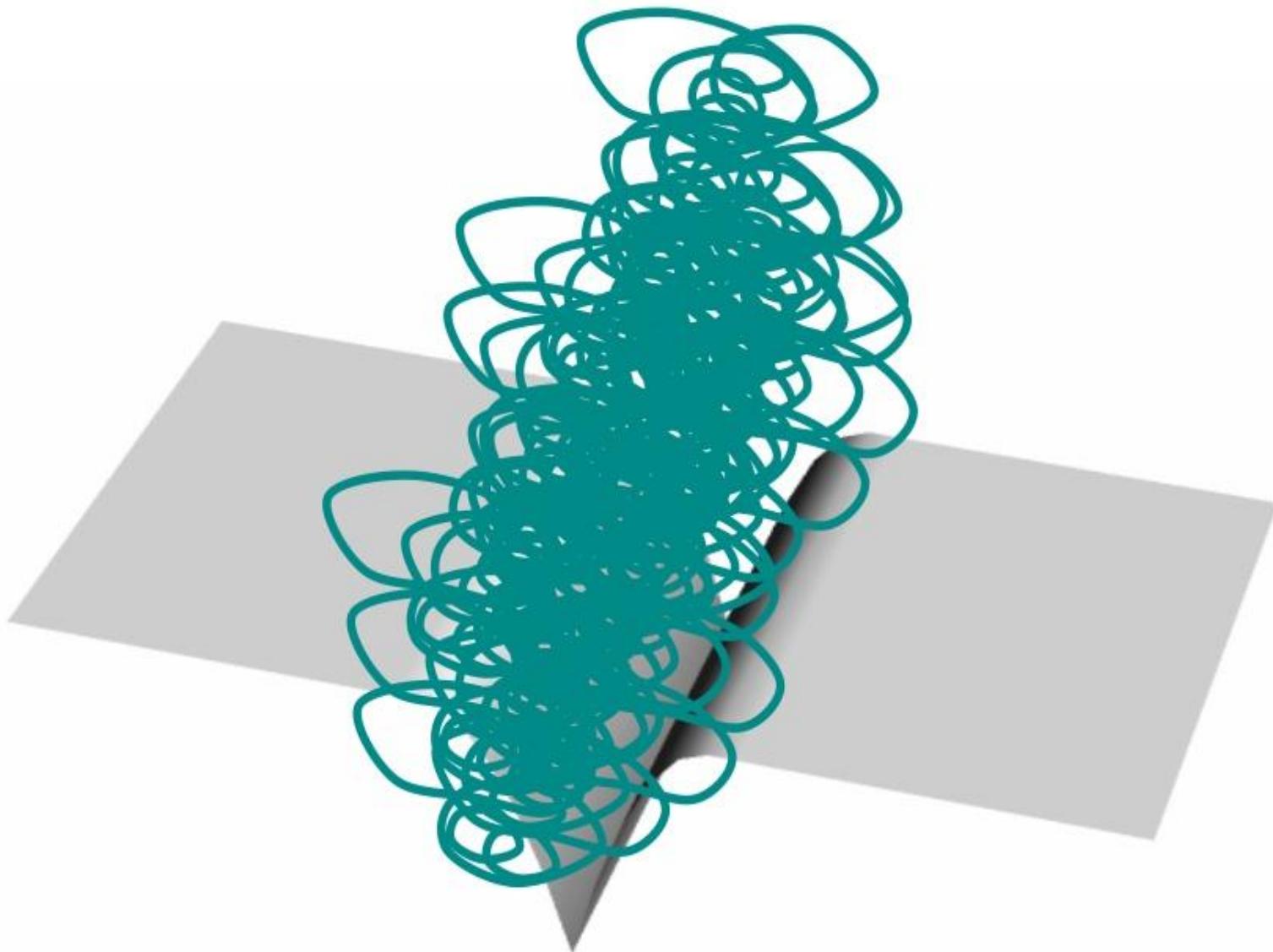
Closed string    ~ graviton, dilaton, flux  
                          ~ supergravity



# Making Black Hole

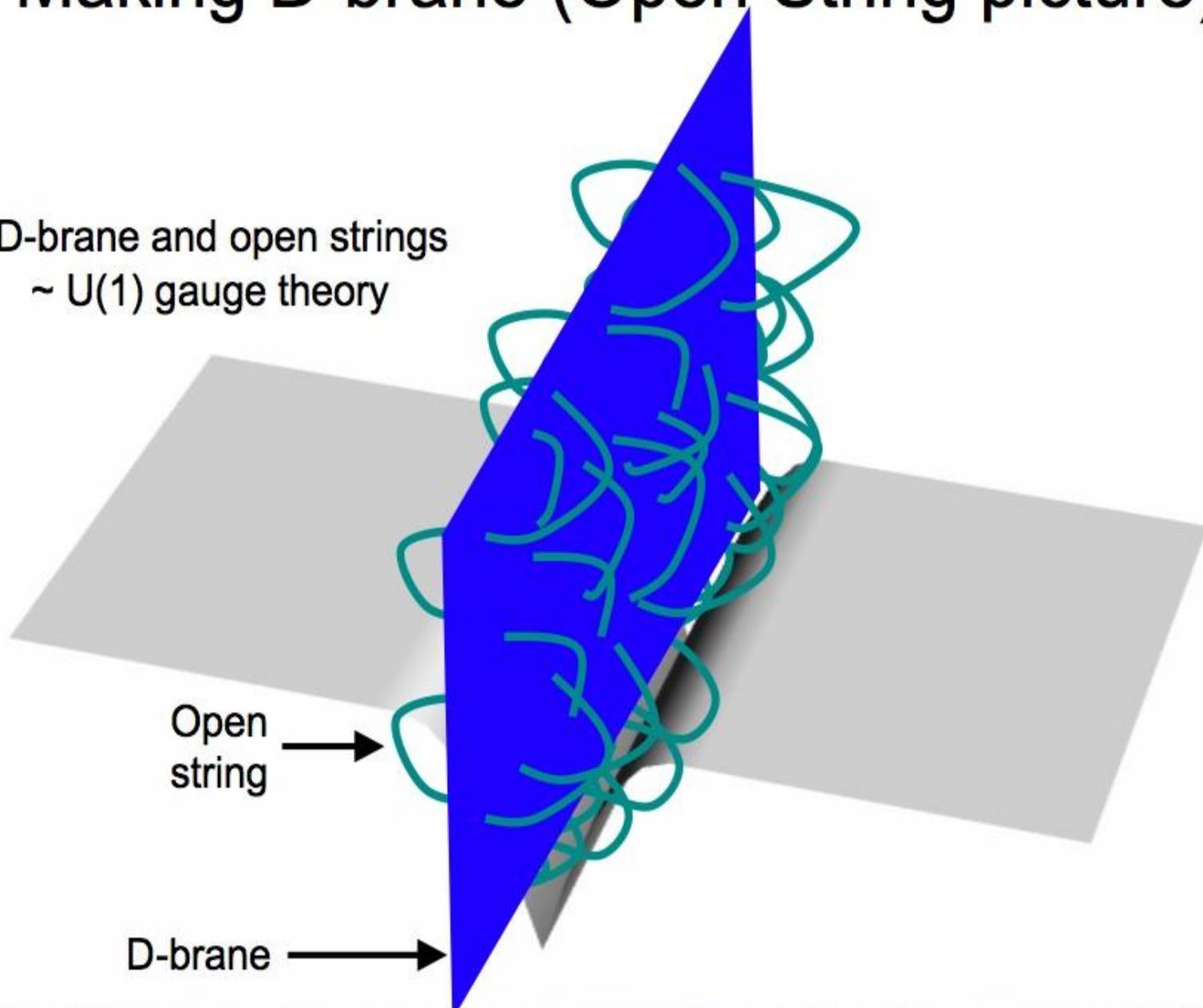


# Making D-brane (Closed string picture)



# Making D-brane (Open String picture)

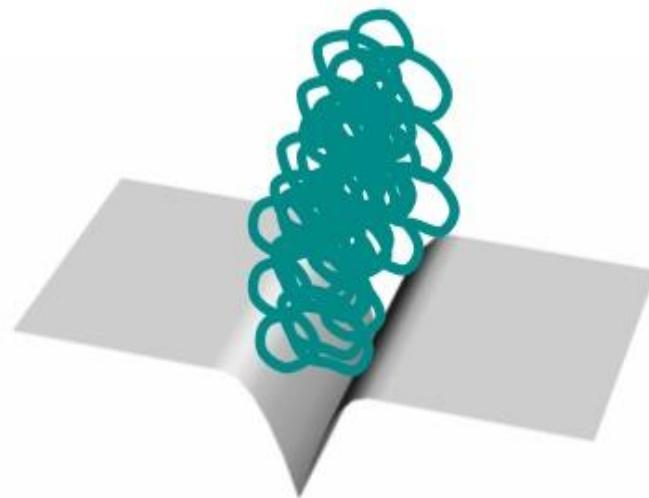
D-brane and open strings  
~ U(1) gauge theory



# AdS/CFT correspondence

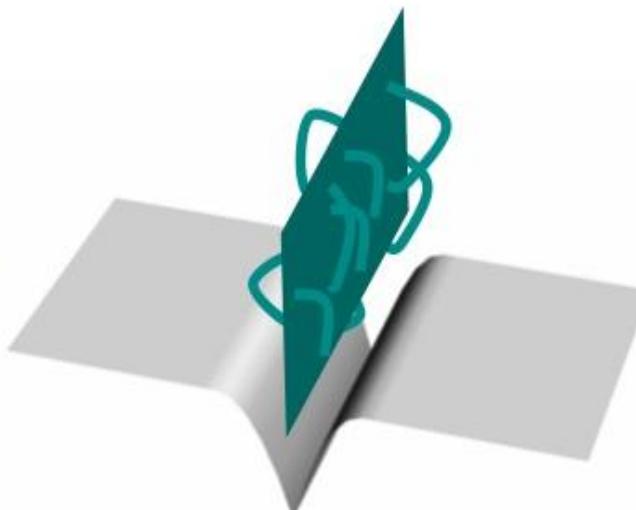
We have two different descriptions for same object!

Closed string  
description



=

Open string  
description

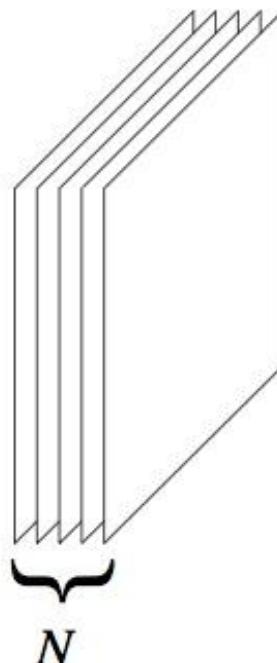


Especially, in the case of **D3-brane**, at low energy  
these two description will be approximated by ....

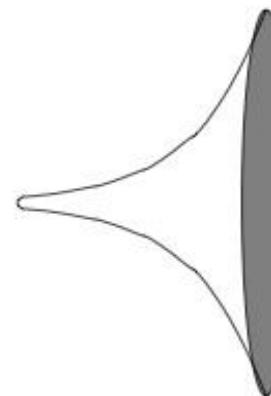
# The Gauge/Gravity Duality

Stack of  $N$  D3-branes in type IIB string theory: described in two different pictures:

As a quantum field theory of degrees of freedom on the branes:  
 $\mathcal{N} = 4$  supersymmetric Yang-Mills theory



=



As string theory on a the curved spacetime (induced by the matter density on the branes)

The limit of infinitely strong coupling in gauge theory is the limit when string theory becomes Einstein's general relativity

# The high T<sub>c</sub> enigma

temperature

Strange metal



-200° C

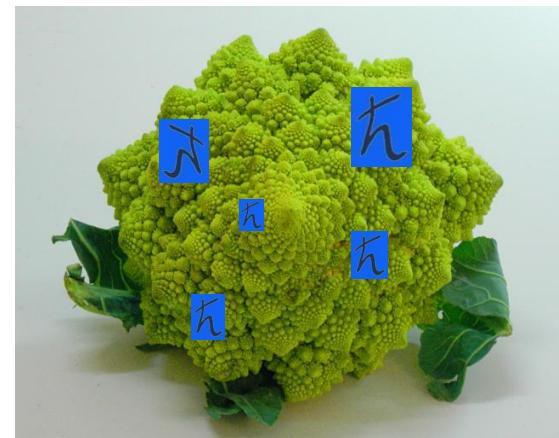
Super-conducto



Electron correlations

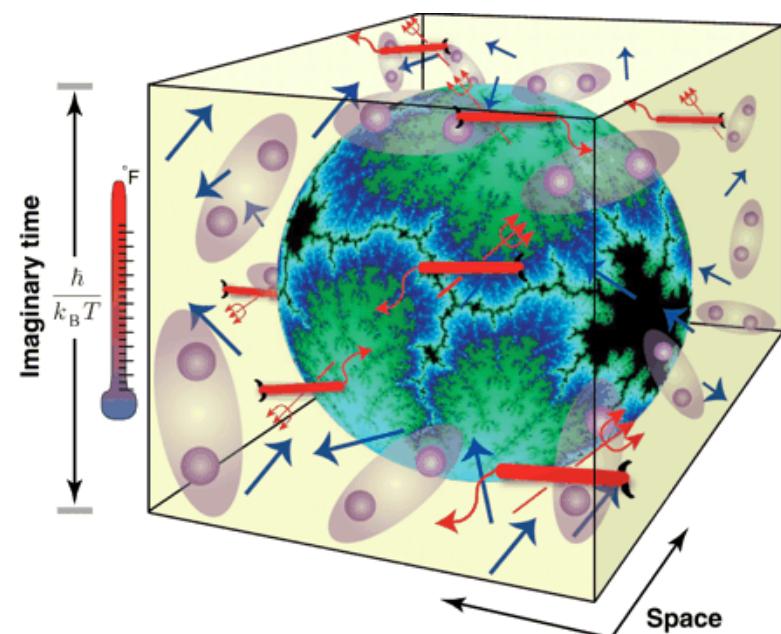
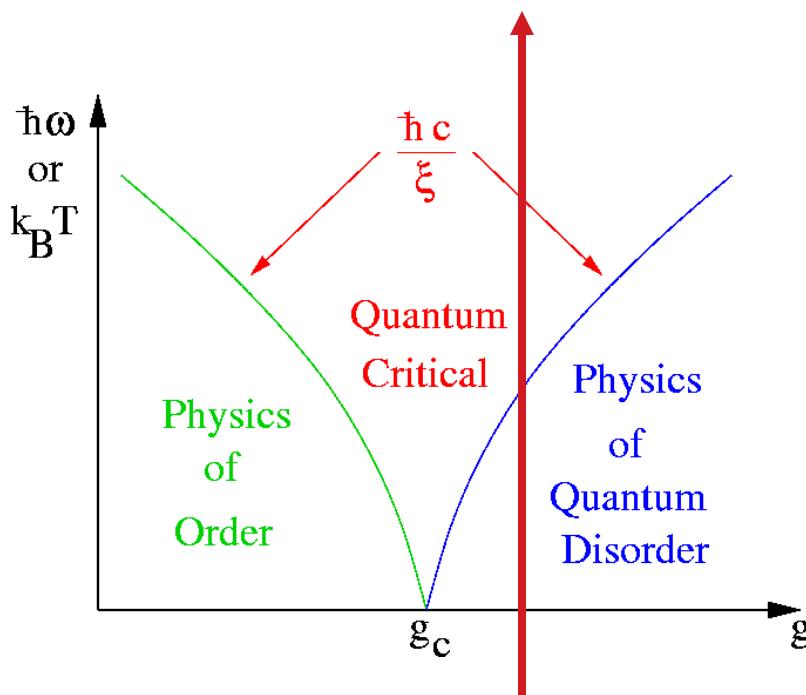


Quantum critical fermion matter



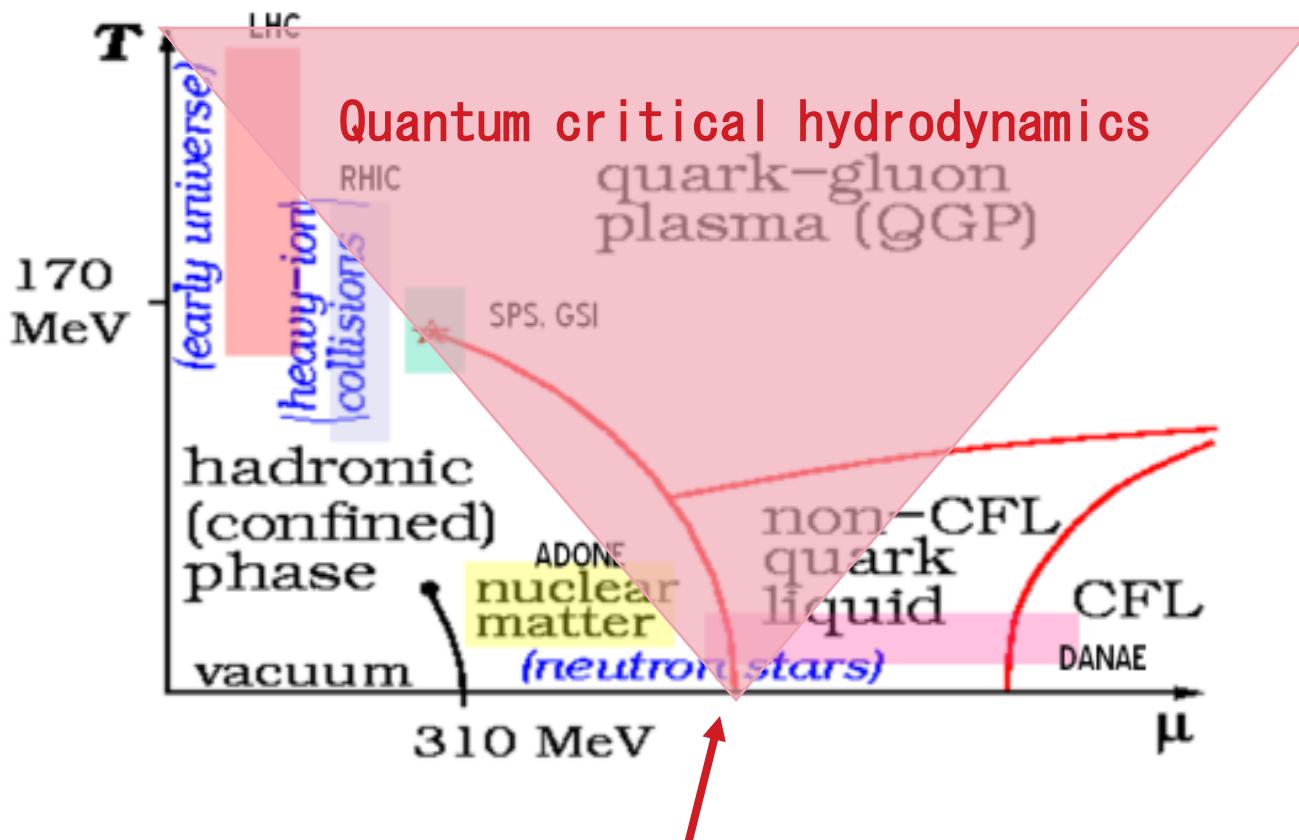
# Quantum Phase transitions

Quantum scale invariance emerges naturally at a zero temperature continuous phase transition driven by quantum fluctuations:



JZ, Science 319, 1205 (2008)

# Quantum critical QCD ??

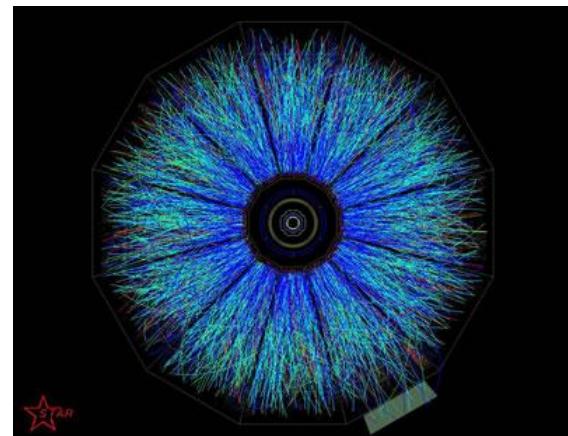


Quantum phase transition  
????

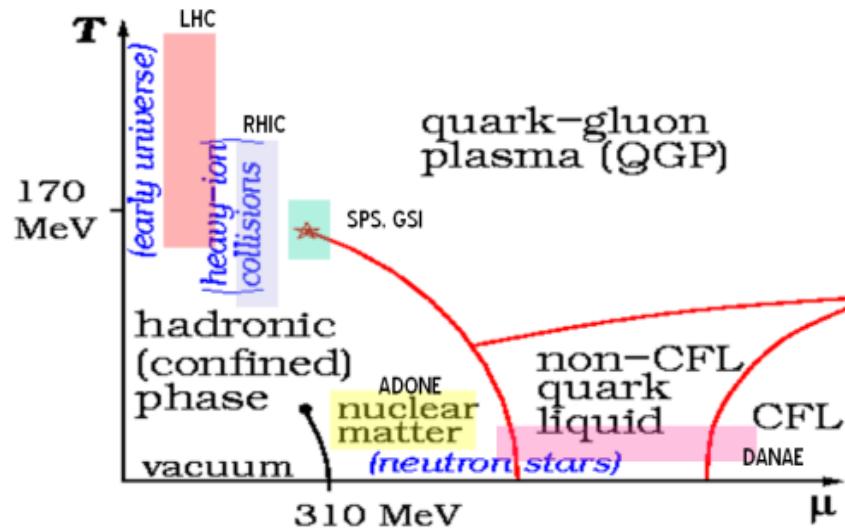
# The quark-gluon plasma

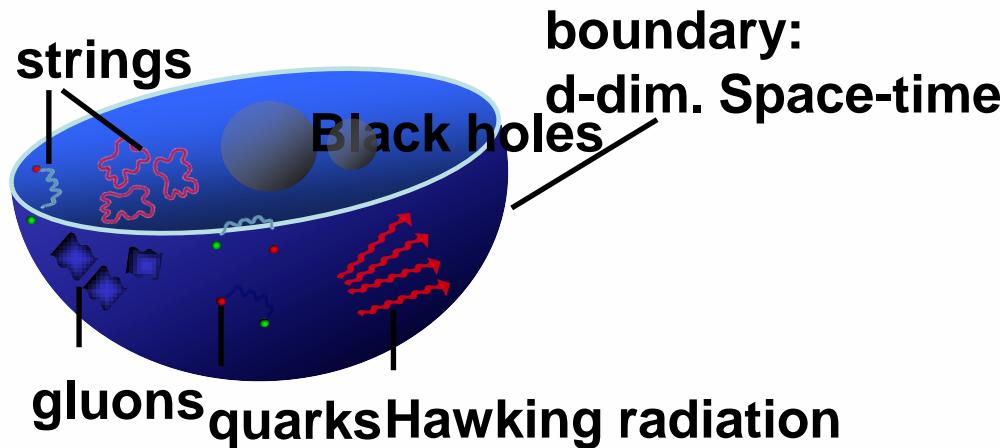


Relativistic Heavy Ion  
Collider



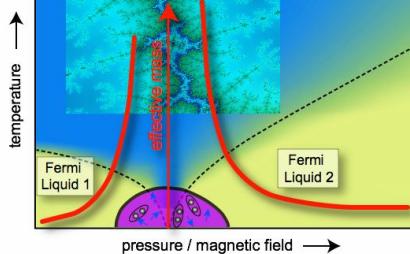
Quark-gluon  
'ball'





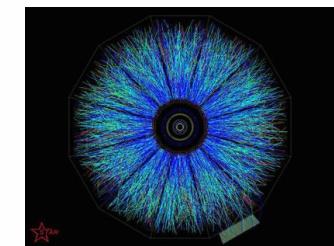
## String theory: ‘AdS/CFT correspondence’

High T<sub>c</sub>  
 superconductivity



QuickTime™ and a  
 YUV420 codec decompressor  
 are needed to see this picture.

Quark-gluon plasma



# SUSY Einstein–Maxwell in AdS $\iff$ SUSY Yang–Mills CFT

## AdS/CFT dictionary:

### E-field

D transverse E-field  $\iff$  D-1 electric field  
D radial E-field  $\iff$  D-1 charge density

### B-field

D radial B-field  $\iff$  D-1 magnetic field  
D transverse B-field  $\iff$  D-1 current density

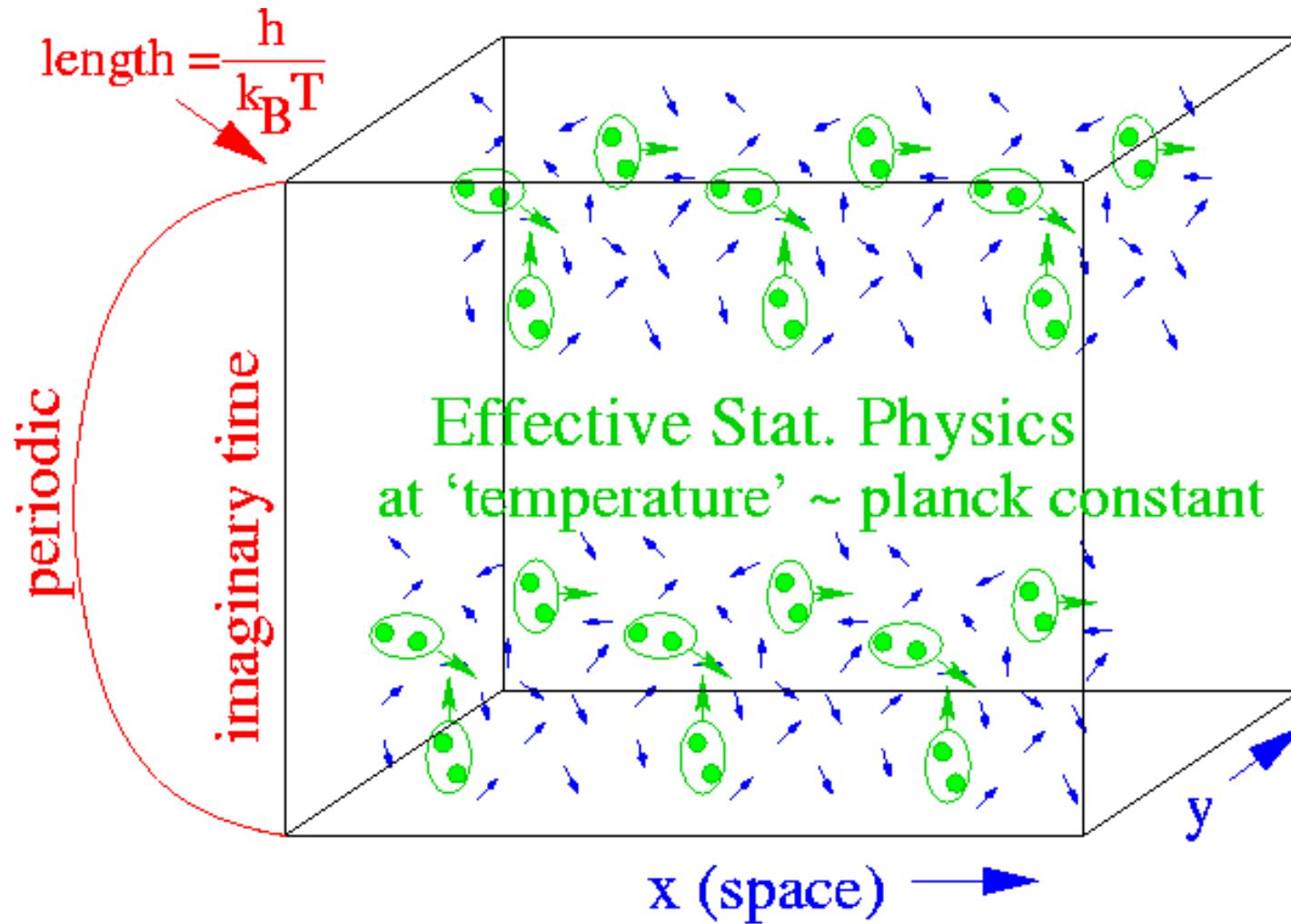
### spatial metric perturb.

D transverse gradient  $\iff$  D-1 distortion  
D radial gradient  $\iff$  D-1 stress tensor

### temporal metric perturb.

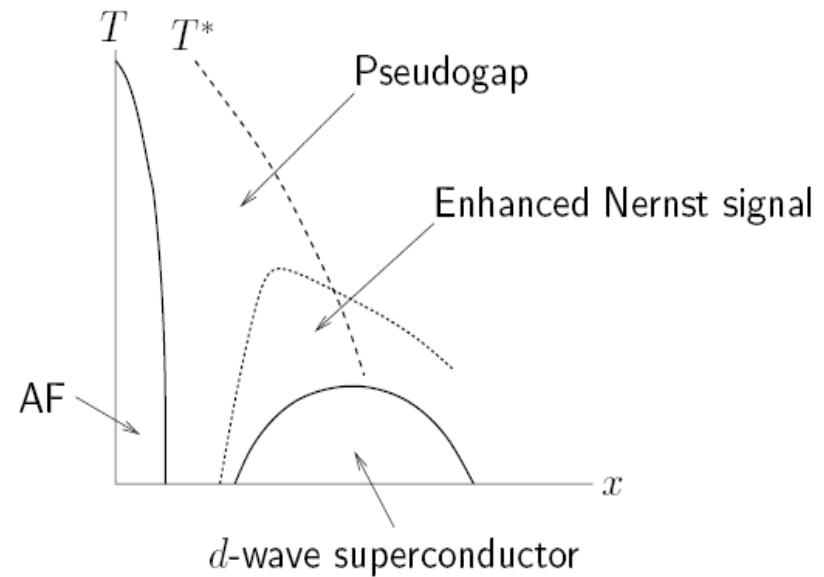
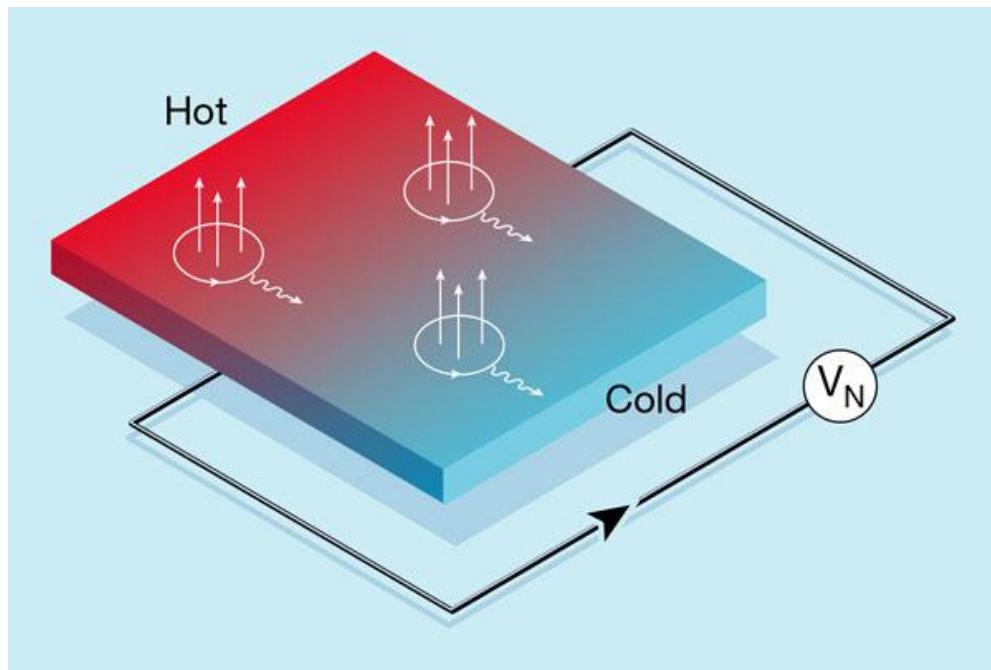
D transverse gradient  $\iff$  D-1 temperature gradient  
D radial gradient  $\iff$  D-1 heat flow

# Finite temperature fields: Euclidean Path Integrals



# Nernst effect: experiment

---



$$J_y = -\alpha_{xy} \frac{dT}{dx}$$

# SUSY Einstein–Maxwell in AdS $\iff$ SUSY Yang–Mills CFT

## AdS/CFT dictionary:

### E-field

transverse E-field  $\iff$  3d electric field

radial E-field  $\iff$  3d charge density

### B-field

radial B-field  $\iff$  3d magnetic field

transverse B-field  $\iff$  3d current density

### spatial metric perturb.

transverse gradient  $\iff$  3d distortion

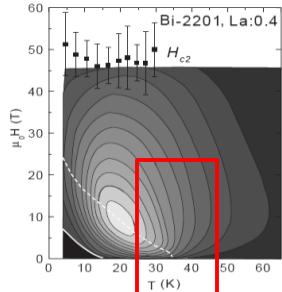
radial gradient  $\iff$  3d stress tensor

### temporal metric perturb.

transverse gradient  $\iff$  temperature gradient

radial gradient  $\iff$  heat flow

# Nernst effect: outcomes



QuickTime™ and a  
decompressor  
are needed to see this picture.

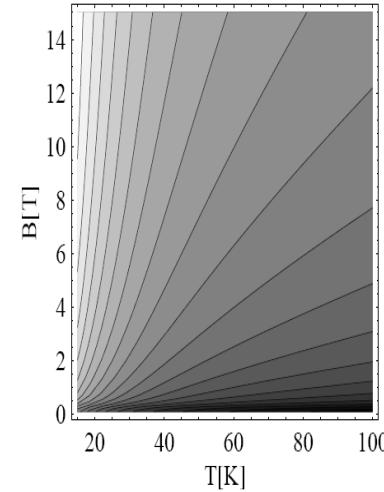


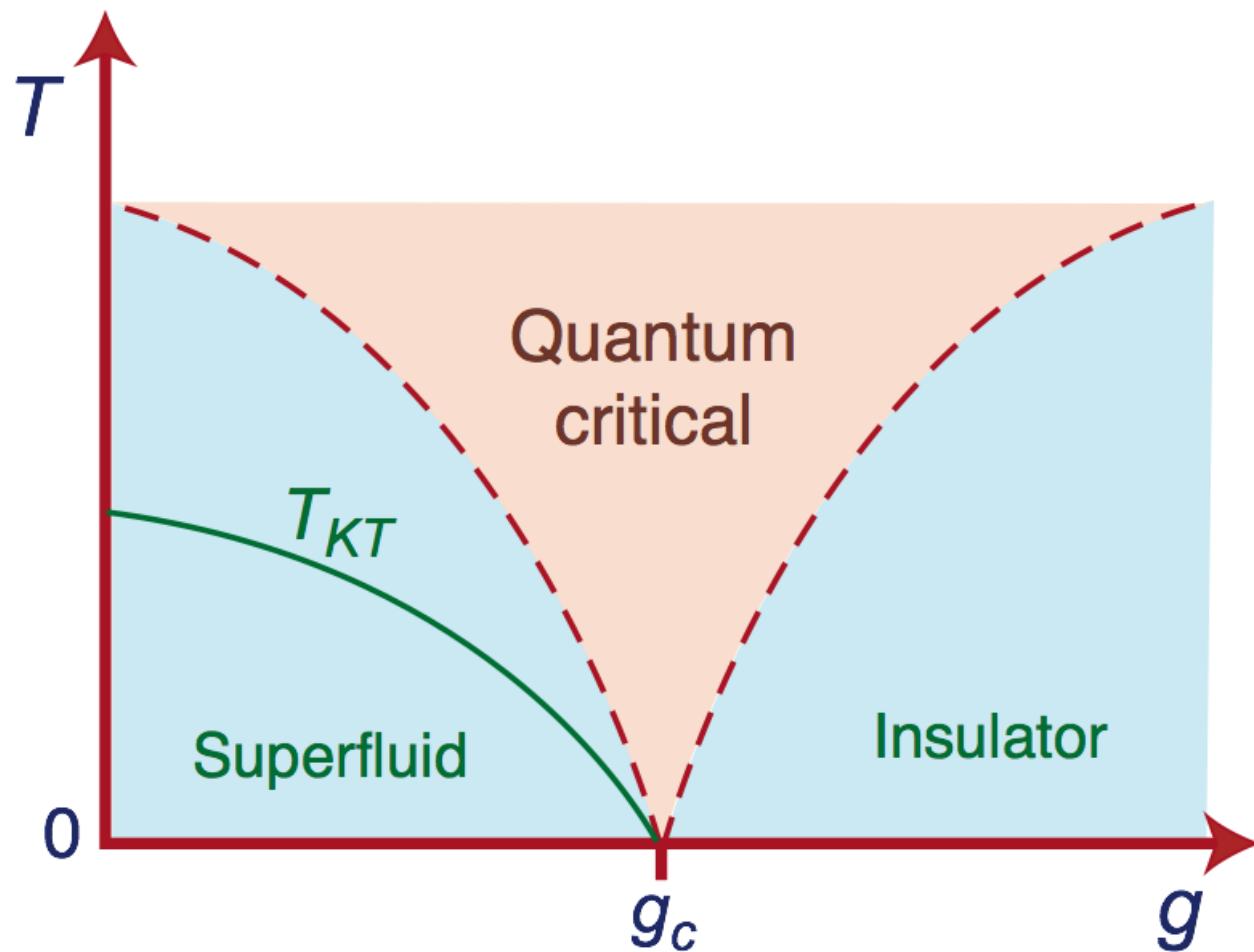
FIG. 3: Contour plot (with logarithmic spacing) of the thermoelectric conductivity  $\alpha_{xy}$  (Eq. 2.3) as a function of temperature  $T$  and magnetic field  $B$ , for parameters  $\hbar v = 47 \text{ meV Å}$ ,  $\delta - \delta_I = 0.025$  and  $\tau_{\text{imp}} = 10^{-12} \text{ s}$  estimated for LSCO. In the ordered low temperature regime  $T < T_c \approx 30 \text{ K}$ , Eq. (2.3) will receive modifications.

Experiment  
Phuan Ong et  
al.

**AdS–CFT computation:  
Hartnoll, Kovtun, Mueller,  
Sachdev**

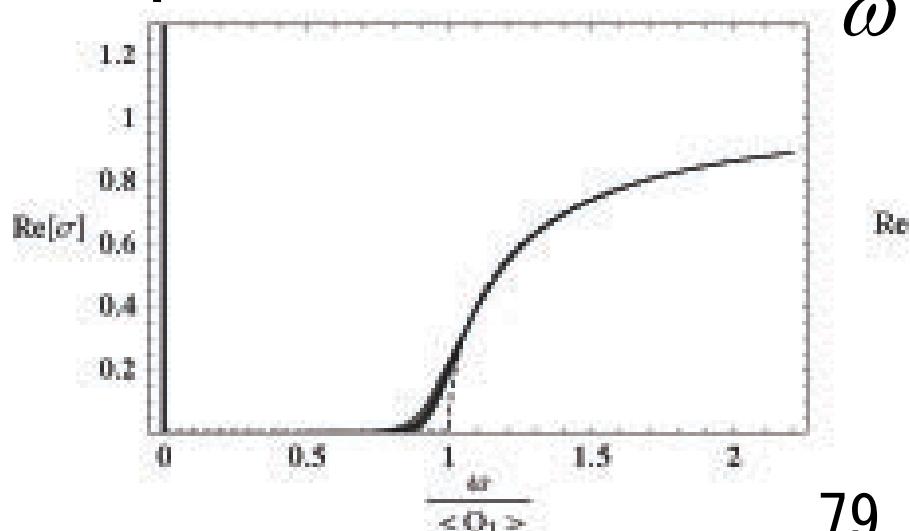
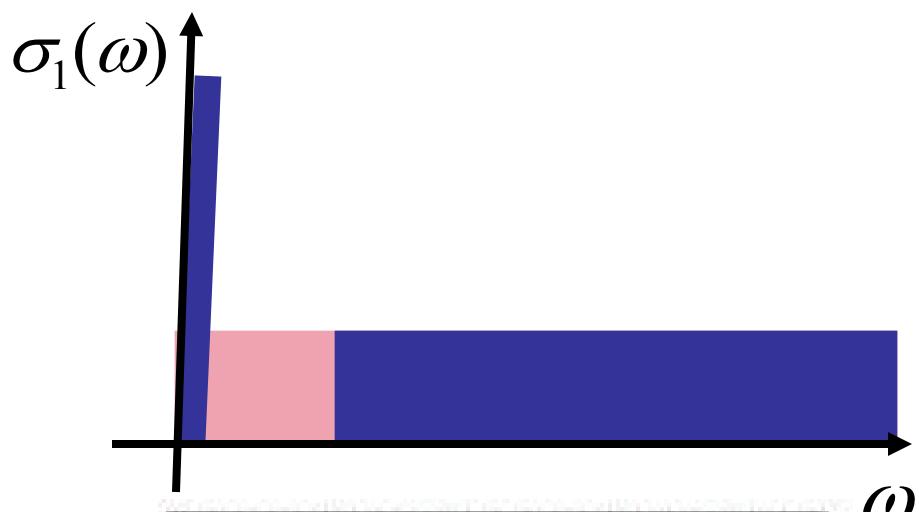
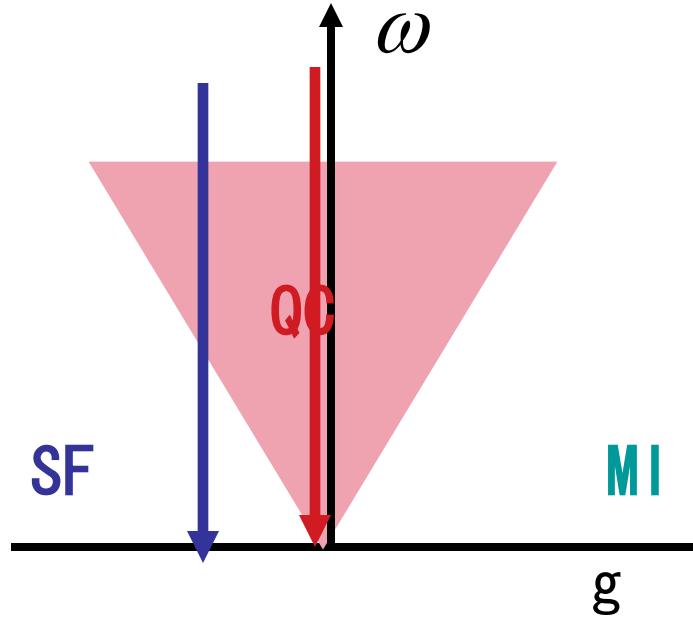
# Superfluid–Mott insulator Quantum phase transition

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# Optical conductivity near 2+1D superfluid–Mott

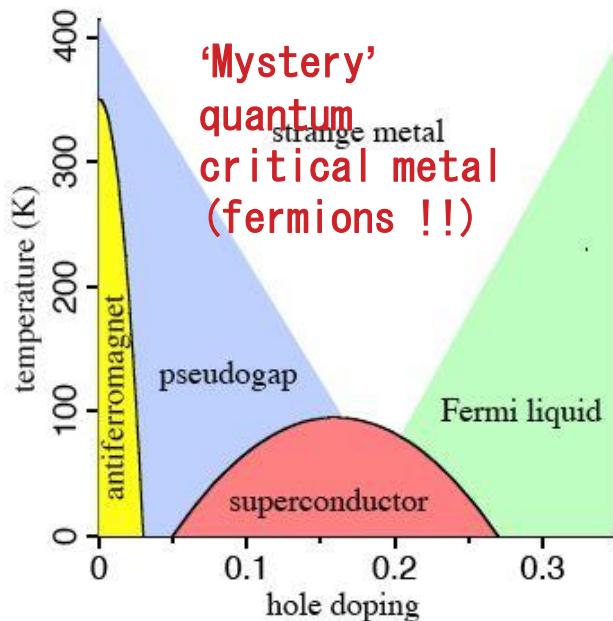
insulator QPT



Hartnoll,  
Herzog, Horowitz,  
arXiv:0803.3295

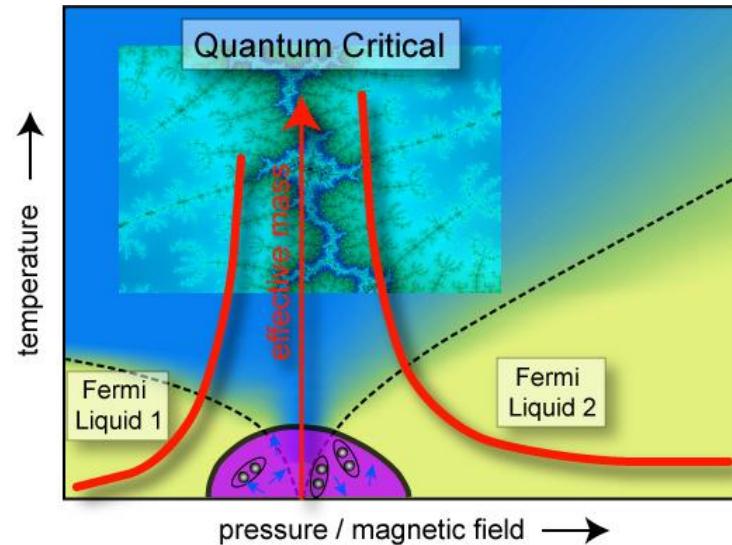
# Fermionic quantum phase transitions

High T<sub>c</sub>  
superconductors



JZ, Nature 430, 512  
(2004)

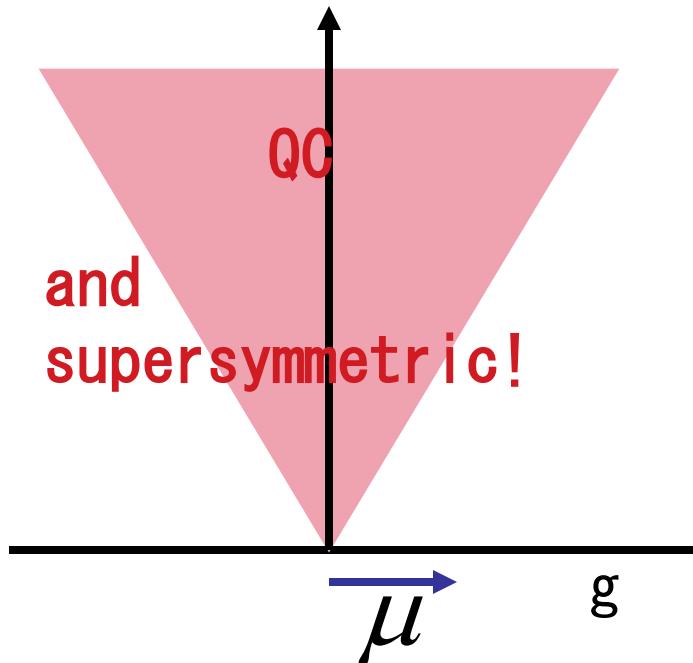
Heavy fermion metals



JZ, Science 319, 1205  
(2008)

# Breaking SUSY CFT by chemical potentials ...

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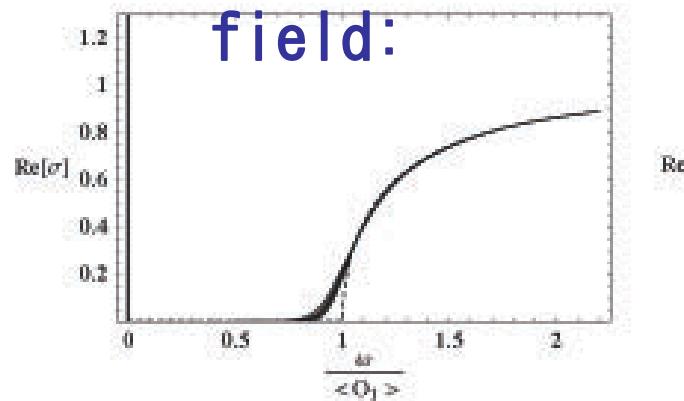
Driving away from criticality by imposing finite fermion and boson charge density.  
Breaks scale invariance ...  
and supersymmetry!

Like fermion–boson model  
(?) :

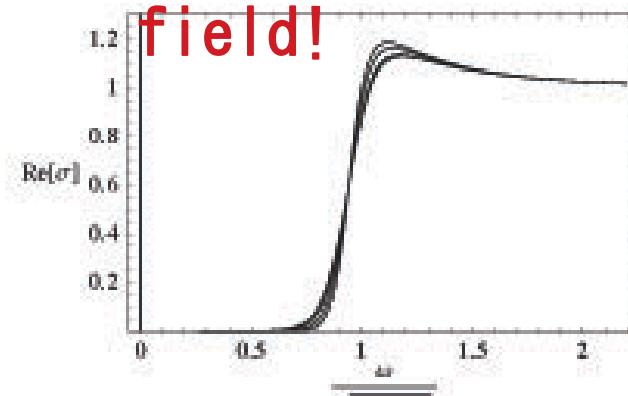
$$H = b^+ b + f^+ f + (b^- f^+ f^+ + f^- b^- b^+)$$

# Boson and Fermion pair optical conductivity

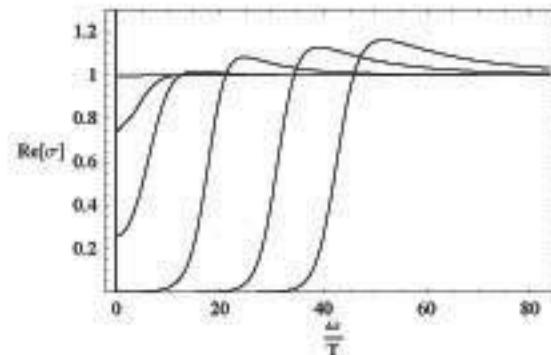
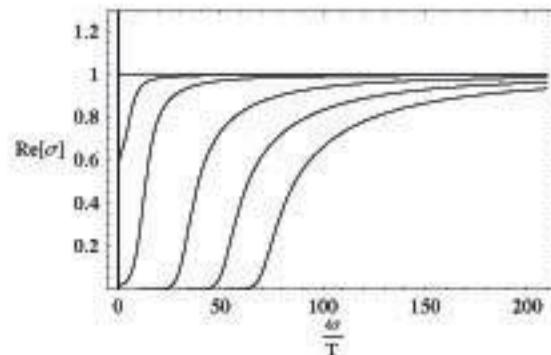
Bose  
field:



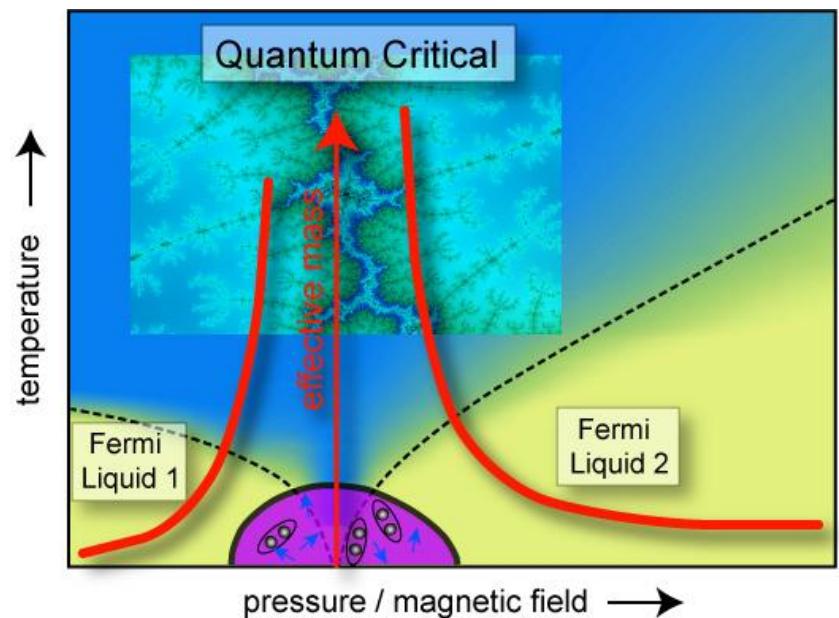
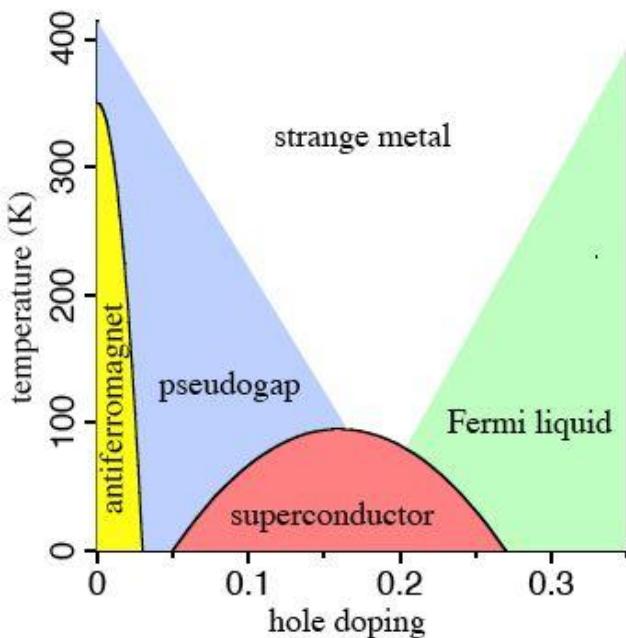
Fermion pair  
field!



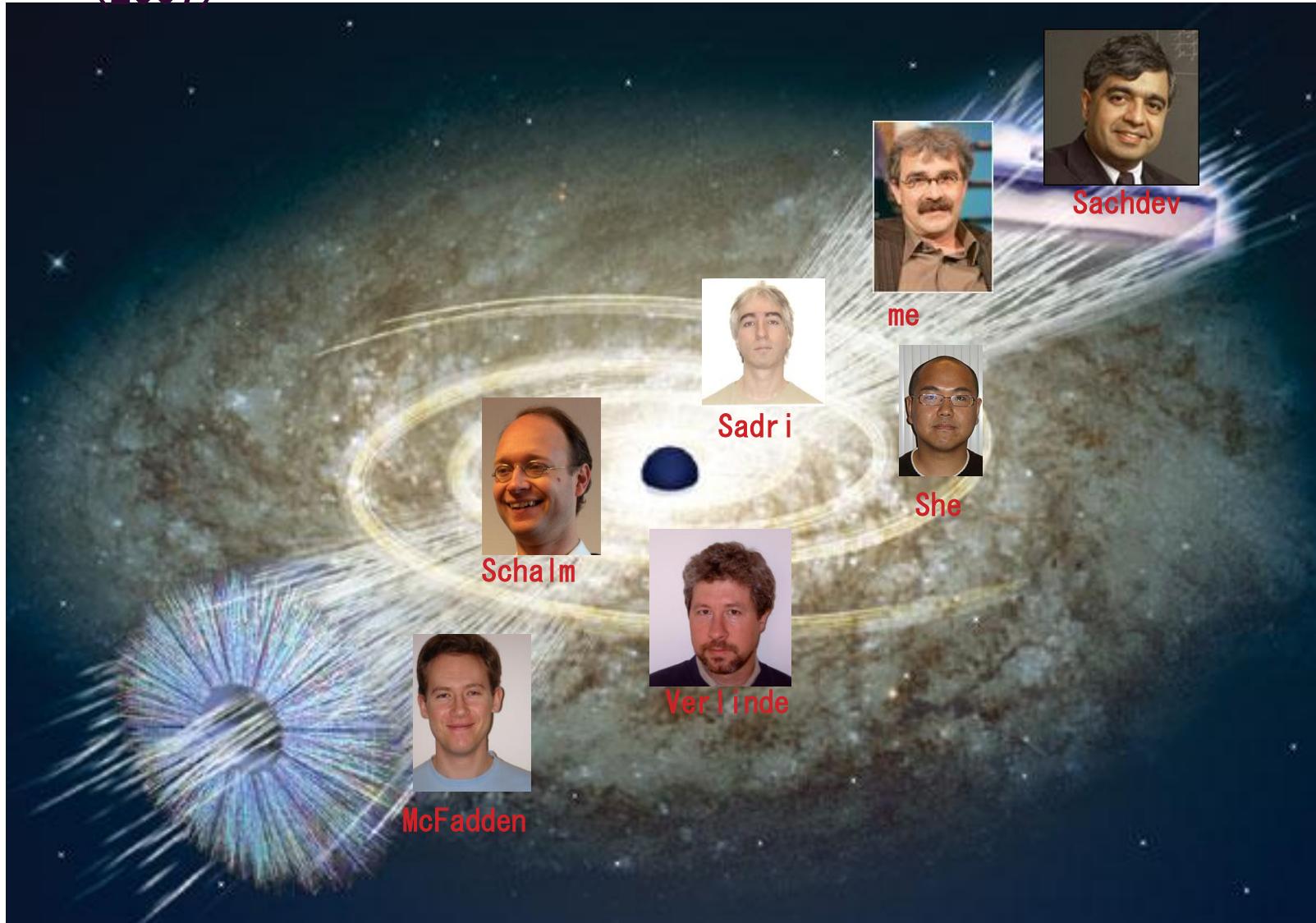
BCS like  
temperature  
dependence with  
 $\frac{2\Delta_0}{k_B T_c} \approx 8$



# Fermionic quantum criticality??



JZ: '*A black hole full of answers*', Nature 448, 1001  
(2007)



# The Viscosity–Entropy ratio

Hartnoll–Son–Starinets (2002)

---

CFT viscosity, Kubo formula:

$$\eta = \lim_{\omega \rightarrow 0} \frac{1}{2\omega} \int dt d\vec{x} e^{i\omega t} \langle [T_{xy}(t, \vec{x}), T_{xy}(0, 0)] \rangle = \lim_{\omega \rightarrow 0} \lim_{q \rightarrow 0} \text{Im} G_{xy,xy}^R(\omega, \vec{q})$$

AdS correspondent: graviton absorption cross section by black hole:

$$\eta = \frac{\sigma_{abs}(0)}{16\pi G} \quad \sigma_{abs} = -\frac{16\pi G}{\omega} \text{Im} G^R(\omega)$$

= area of horizon (GR theorems)

CFT entropy density: AdS correspondent = BH entropy = area of horizon

Universal viscosity–entropy ratio for CFT's with gravitational dual:

$$\frac{\eta}{s} = \frac{4\pi k_B}{J} \frac{1}{\beta}$$

# Conformal symmetry = quantum criticality

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Supersymmetry  $\Leftrightarrow$  non-renormalization theorems ‘Planes of strongly interacting unstable fixed points’

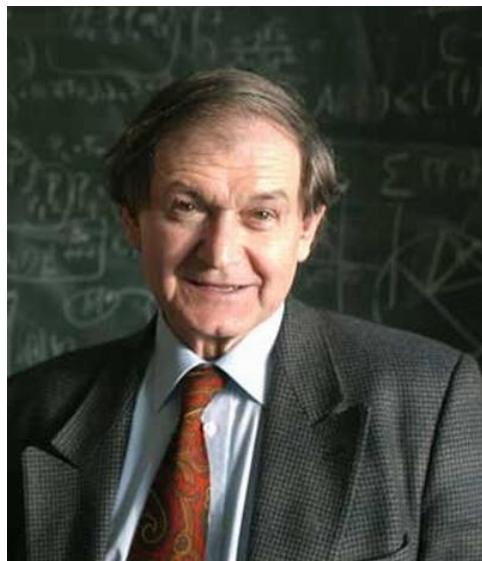
AdS is the ‘geometrical dual’ of conformal invariance

Black holes, gauge background sources, etcetera, break conformal invariance

AdS/CFT is merely a ‘generating functional’ of fanciful scaling analysis ????

# Why do I care?

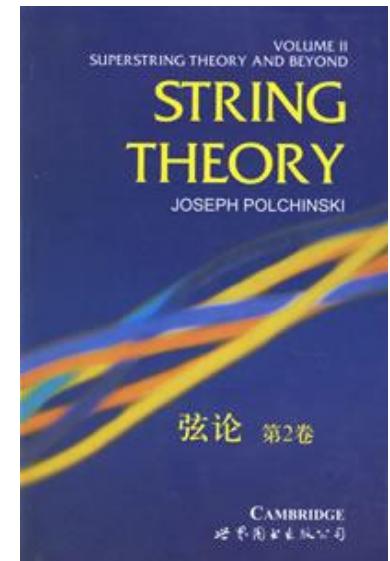
---



Roger Penrose



Darius Sadr i



# AdS/CFT for finite temperature hydrodynamics

---

Finite temperature fields: imaginary time  
compactification radius

$$R_\tau = \frac{\hbar}{k_B T}$$

Are there AdS spaces that are periodic in Euclidean time?

Yes, two (Hawking–Page, Witten) :

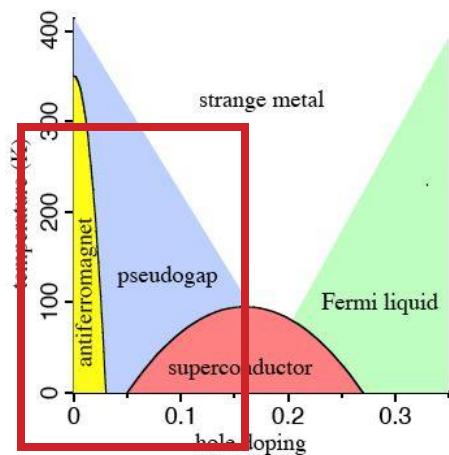
(1) EAdS (low T  
confined phase, no entropy)

(2) Black hole AdS

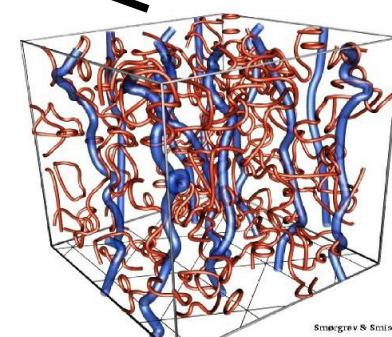
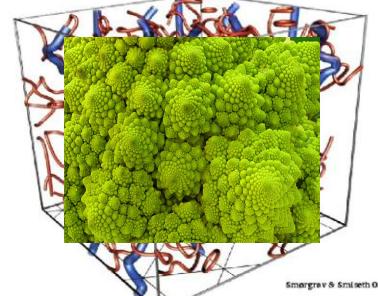
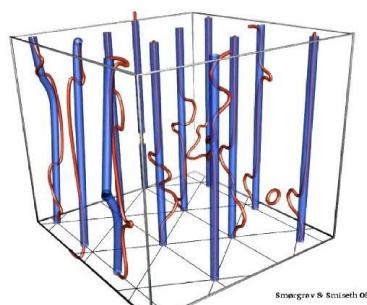
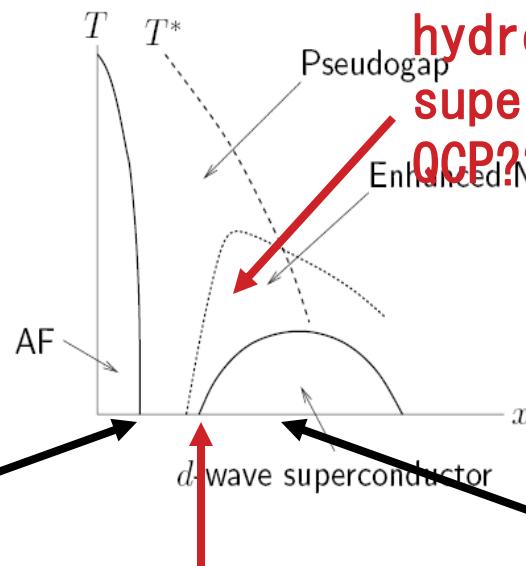
high T deconfined

$$ds_{AdS-BH}^2 = \frac{R^2}{x_0^2} \left( (1 - x_0^4 T^4) dx_0^2 - \frac{dt^2}{(1 - x_0^4 T^4)} + dr^2 + r^2 d\Omega^2 \right)$$

# 'Nernst regime': fluctuating superconductivity



Finite temperature hydrodynamics of superconductor-insulator QCP??  
Enhanced Nernst signal

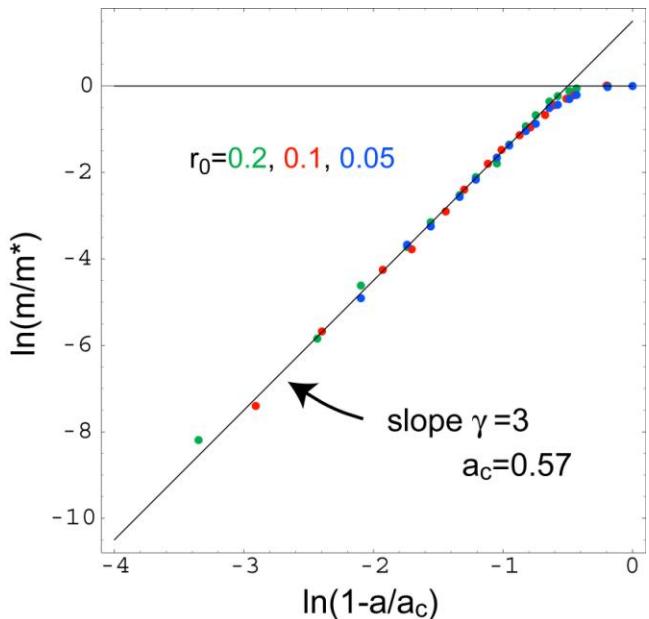
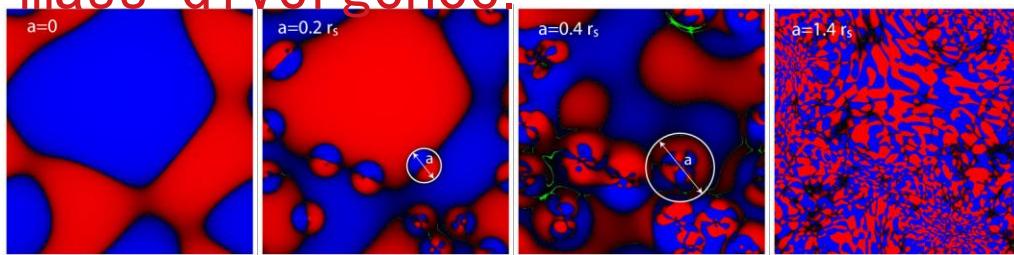


# Super Feynman path integrals and fermionic quantum criticality

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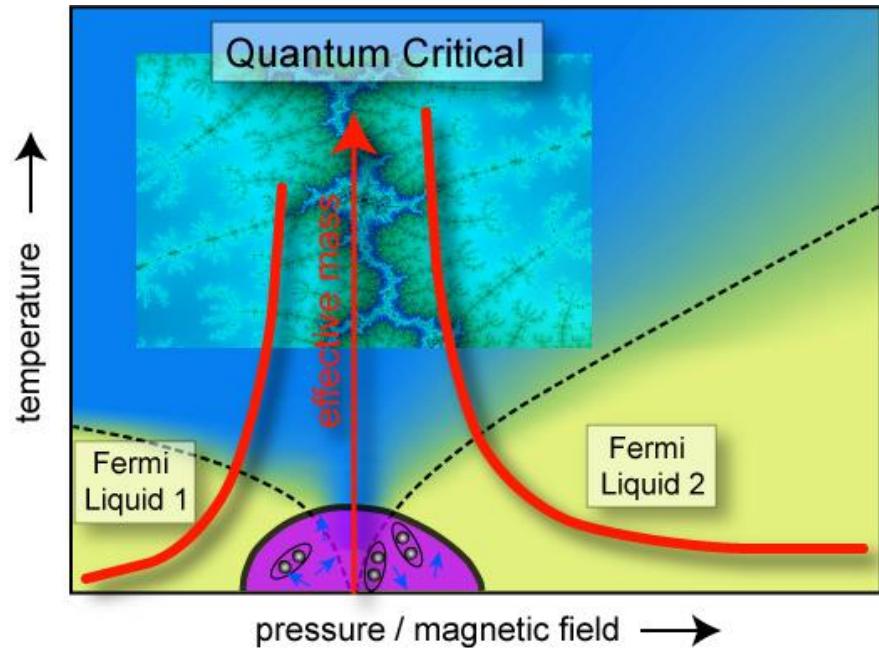
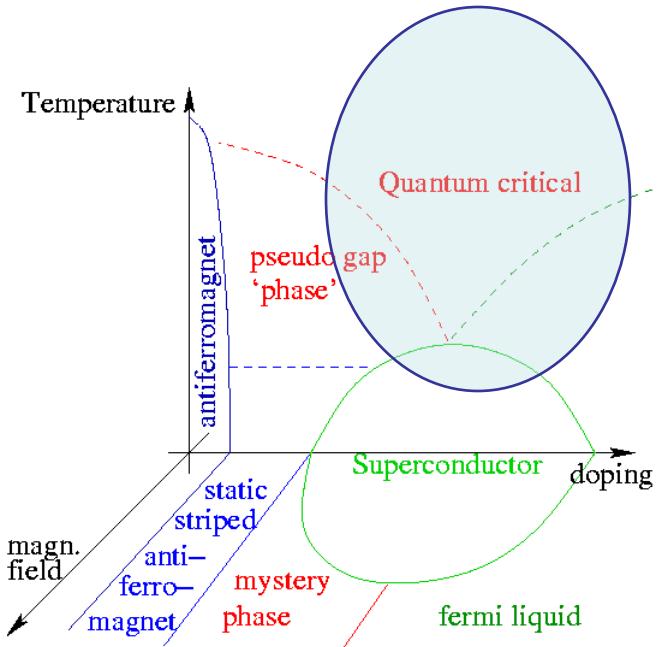
Fermi–Dirac statistics encoded in ‘nodal surface constraints’ : probabilistic theory.

Explicit example: Feynmannian Fermionic back flow, fractal nodal surface and quasiparticle effective mass divergence.



Krueger, JZ,  
arXiv:0804.2161

# The AdS/CFT challenge: fermionic quantum criticality



High T<sub>c</sub> Superconductors

JZ, Nature 430, 512 (2004)

Heavy Fermion metals

JZ, Science 319, 1205 (2008)

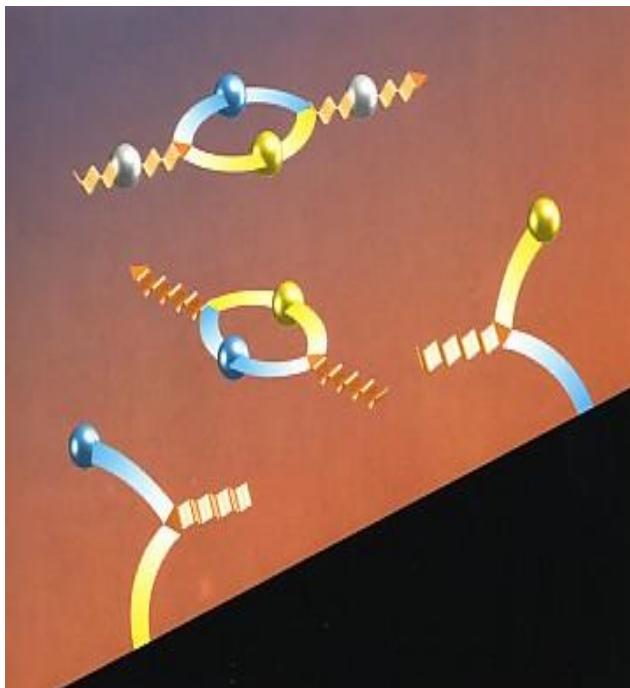
# Pseudogap and time reversal breaking in a holographic superconductor

Matthew M. Roberts<sup>†</sup> and Sean A. Hartnoll<sup>‡</sup>

It has been appreciated for some time that materials of significant theoretical and practical interest, such as the heavy fermion compounds [3, 4] or the high  $T_c$  cuprates [5], require new theoretical input. For these materials, neither the pairing mechanism, leading to the charged condensate, nor the properties of the superconducting state itself are those of BCS theory. Furthermore, there are indications that the relevant new physics is strongly coupled, requiring a departure from the quasiparticle paradigm of Fermi liquid theory [3, 5].

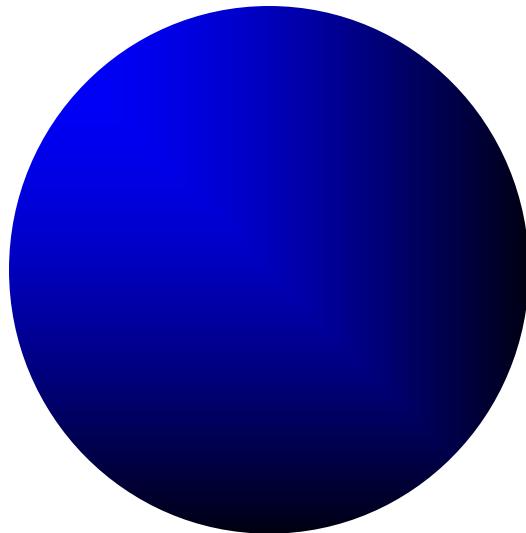
Our hope is that a solvable model of a strongly coupled system undergoing a superconducting phase transition might help the development of new theories of superconductivity. It has recently been shown that the AdS/CFT correspondence [6] can indeed provide models of strongly interacting superconductors in which calculations can be performed from first principles [7, 8, 9, 10]. These recent works are part of a wider program of applying the AdS/CFT correspondence to condensed matter systems [11, 12, 13, 14, 15, 16]. The philosophy is that even if the underlying microscopic descriptions of theories with AdS duals are likely quite different to those arising in materials of experimental interest, aspects of the strongly coupled dynamics and kinematics may be universal. Kinematically speaking, theories with AdS duals are quantum critical [17]. The superconductors described to date within the AdS/CFT framework are quantum critical systems that undergo a superconducting phase transition as a function of temperature over chemical potential.

## Hawking Temperature & Entropy



$$T = \frac{\hbar g}{2\pi k c}$$

$g$  = acceleration at horizon



$$S = \frac{k c^3 A}{4 \hbar G}$$

$A$  = area of horizon

# Strings and Black Holes

Universal physics of 0+1D Black hole  $\Leftrightarrow$  1+1D CFT

A classical = cosmic string is a Black string

String theory has non-perturbative extended objects  
(like strings/vortices) called (mem)branes with p spatial dimensions

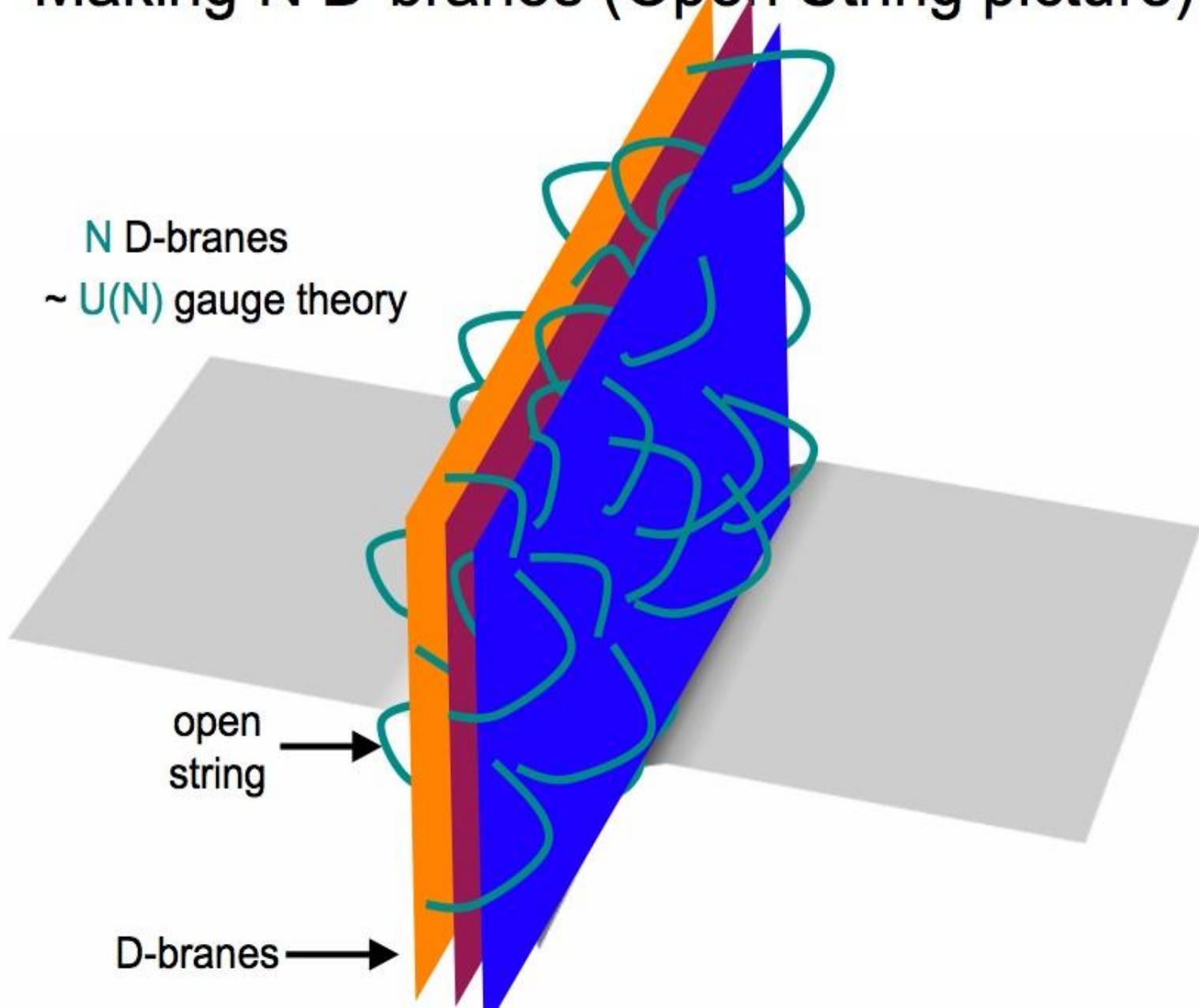
CLAIM:

For certain (extremal) Black p-branes  
the universal (near horizon) brane physics  
is described by a p+1 dimensional CFT

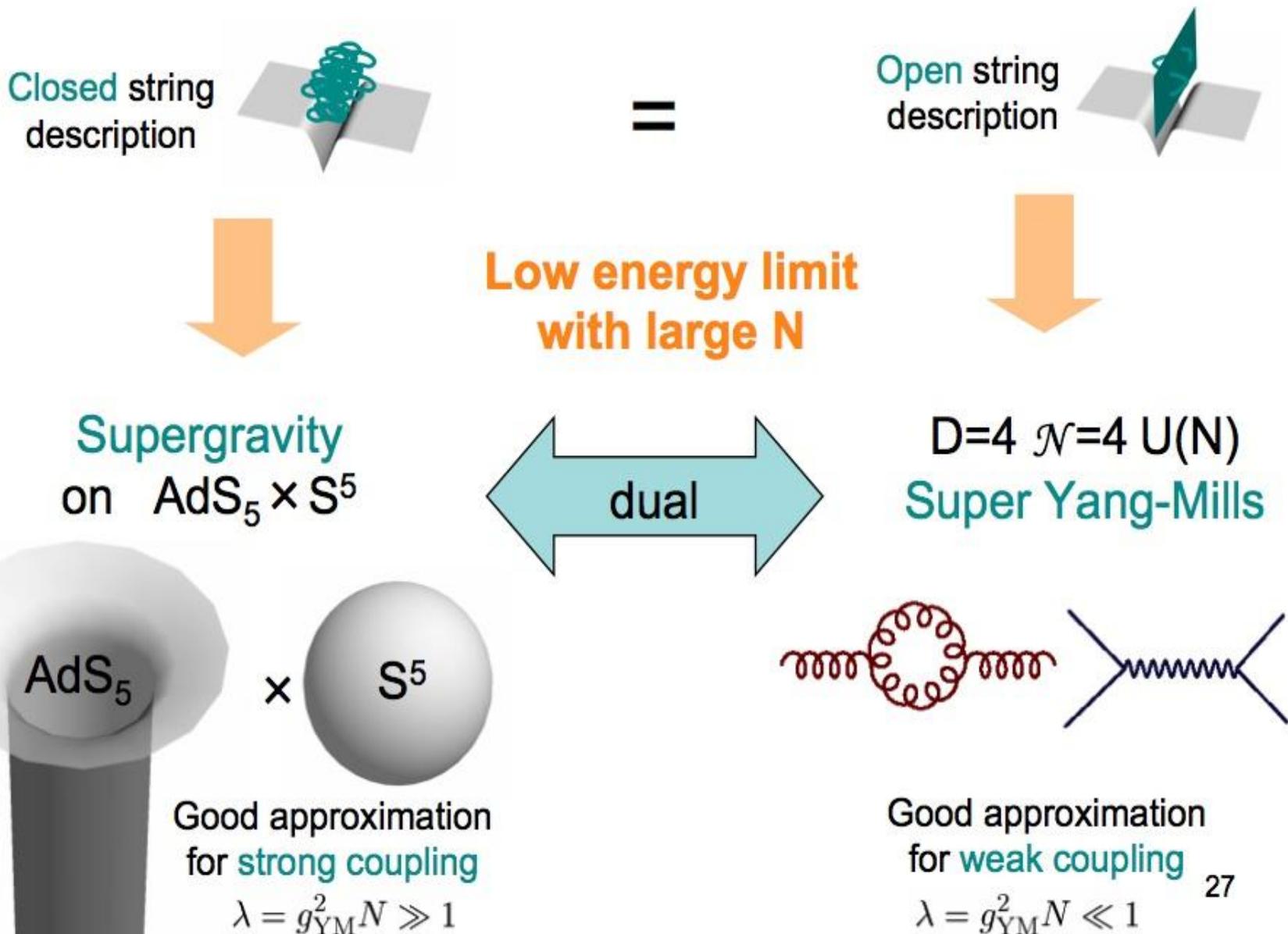
[Maldacena]

The near-horizon of an extremal black brane is an  
Anti-de-Sitter space (homogeneous negative curvature)

# Making N D-branes (Open String picture)



# AdS/CFT correspondence



# Viscosity/Entropy ratio

- Kubo formula = Zero frequency absorption of graviton by BH
- Zero frequency absorption of a graviton by BH = Area
- BH entropy = Area [Hawking]



Viscosity/Entropy ratio is order (1)!

[ In pQCD long calculation Visc/entropy  $\sim 1/g^2 \ln(g)$   
[Arnold, Moore, Yaffe]]

[Policastro, Son, Starinets]

## More formally

- Viscosity is given by Kubo's formula

$$\begin{aligned}\eta &= \lim_{\omega \rightarrow 0} \frac{1}{2\omega} \int dt d\vec{x} e^{i\omega t} \langle [T_{xy}(t, \vec{x}), T_{xy}(0, 0)] \rangle \\ &= \lim_{\omega \rightarrow 0} \lim_{\vec{q} \rightarrow 0} \text{Im } G_{xy, xy}^R(\omega, \vec{q})\end{aligned}$$

- Via AdS/CFT correspondence, the imaginary part of the retarded Green's function is mapped to the graviton absorption cross section.

$$\sigma_{\text{abs}} = -\frac{16\pi G}{\omega} \text{Im } G^R(\omega)$$

- viscosity  $\sim$  absorption cross section for low-energy gravitons

$$\eta = \frac{\sigma_{\text{abs}}(0)}{16\pi G}$$

# Universality of viscosity/entropy density ratio

- Absorption cross section = area of horizon (follows from a couple of theorems in general relativity)
- Entropy is also proportional to area of horizon:  $S = A/(4G)$

⇒ in *all* theories with gravity duals:

$$\frac{\eta}{s} = \frac{\hbar}{4\pi k_B}$$

where  $\eta$  is the shear viscosity,  $s$  is the entropy per unit volume.

This is valid in a large, but restricted, class of strongly coupled quantum field theories, which are in a sense infinitely strongly coupled

Boltzmann equation is never used

# Conformal symmetry = quantum criticality

Supersymmetry  $\iff$  non-renormalization theorems

'Planes of unstable (strongly interacting) quantum critical points'

AdS is the 'geometrical dual' of conformal invariance  
Black holes, gauge sources break conformal invariance

**AdS-CFT is 'generating functional' of  
fanciful scaling analysis ???**

# Thermo-electric response

$$\begin{pmatrix} \vec{J} \\ \vec{Q} \end{pmatrix} = \begin{pmatrix} \sigma & \alpha \\ T\alpha & \kappa \end{pmatrix} \begin{pmatrix} \vec{E} \\ -\vec{\nabla}T \end{pmatrix}$$

$\vec{Q}$  = heat flow

Kubo formula. e. g.

$$\alpha = \lim_{\omega \rightarrow 0} \int dt \frac{e^{i\omega t}}{\omega} \langle \vec{Q}(t) \vec{J}(0) \rangle$$

$$Q_i = T_{0i}$$

Hartnol, Kovtun  
Muller, Sachdev

**(d+1)-dim Dyonic AdS Black Hole**  $Q^2 = q_e^2 + q_m^2$

**metric + B-field fluctuations**

$$F(r) = -\Lambda r^2 - \frac{GM}{r} + \frac{Q^2}{r^2}$$

$$ds^2 = -F(r)(dt + h^{0i}dx_i)^2 + \frac{dr^2}{F(r)} + r^2 dx_i^2$$

$$B_r = \frac{q_m}{r^2}, \quad B_i = \epsilon_{ijk}\partial_j A_k$$

**linearized Einstein–Maxwell equation =>  
two point functions of heat flow and electric currents.**

$$\langle T_{0i} J_j \rangle = \frac{\partial^2}{\partial h^{0i} \partial A^j} S_{Einst-Maxw}(h, A)$$

**⇒ thermo-electric coefficients follow from Kubo formula**

**⇒ dyonic AdS black holes exhibit Nernst effect!**

Hartnol, Kovtun  
Muller, Sachdev

(d+1)-dim AdS Black Hole = d-dim Thermal CFT

Black hole + metric fluctuations

$$F(r) = -\Lambda r^2 - \frac{GM}{r} + \frac{Q}{r}$$

$$ds^2 = -F(r)dt^2 + \frac{dr^2}{F(r)} + r^2(dx_i^2 + h_{ij}dx^i dx^j)$$

linearized Einstein equation => quadratic Einstein action  
= sufficient to compute two point functions of stress tensor.

$$\langle T_{ij} T_{kl} \rangle = \frac{\partial^2}{\partial h^{ij} \partial h^{kl}} S_{Einst}(h)$$

Two point functions follow from free wave propagation

# AdS/CFT

Map of theories:

**map of 5d to 4d correlation functions**

[Follows from open-closed string duality]

$$W_{CFT}(J) = S_{AdS}(\phi)_{\phi_{x_0 \rightarrow 0} = J}$$

$$g_{YM}^2 N_c = R^4/\alpha'$$

$$g_{YM}^2 = g_s$$

## A Duality

[Note: CFT sources are AdS fields] [Maldacena, Witten  
Gubser, Klebanov,  
Polyakov]

# Entropy at strong coupling

Black 3-brane background:

$$ds^2 = \frac{r^2}{R^2}[-f(r)dt^2 + d\vec{x}^2] + \frac{R^2}{r^2 f(r)}dr^2 + R^2 d\Omega_5^2, \quad f(r) = 1 - \frac{r_0^4}{r^4}$$

Hawking temperature:

$$T_H = \frac{r_0}{\pi R^2}$$

Entropy is computed from area of the horizon, and the result is  $S = \pi^6 R^8 T^3 V_{3D}$ .  
Using AdS/CFT mapping:

$$s = \frac{S}{V_{3D}} = \frac{\pi^2}{2} N_c^2 T^3$$

At zero 't Hooft coupling:  $s = \frac{2\pi^2}{3} N_c^2 T^3$

$$s(g^2 N_c = \infty) = \frac{3}{4} s(g^2 N_c = 0)$$

# String Theory for RHIC physics

meson melting at high J

Mach cones due to heavy quarks

Photon Production Rates

J/Psi screening

...

Conceptually very simple calculations  
compared to (strong coupling) QCD  
with answers very close to experiment (5-30%)

# **universal** Benefits from a ~~natural~~ point of view

AdS/CFT:

CFTs are scale invariant

CFTs: **universal** dynamics of continuous phase transitions

...String theory for the real world?

# Viscosity of the thermal conformal field theory is computed from scattering of gravity waves off an AdS black hole background

Viscosity:

$$\langle T_{ij} \rangle = \eta \dot{h}_{ij} , \quad i \neq j$$

Kubo formula

$$\eta = \lim_{\omega \rightarrow 0} \int dt \frac{e^{i\omega t}}{\omega} \langle T_{ij}(t) T_{ij}(0) \rangle$$

# Gauge/String Duality

