

# Refined topological string for Omega background

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# Motivation

- To understand **gauge theory** better  
(Low energy effective action, instanton, wall crossing)
- To understand **string/quantum gravity** better  
(Counting black hole microstates, holomorphic anomaly)
- To understand **math/geometry** better  
(GW, DT theory, Calabi-Yau, wall crossing)

All are related via (**refined**) topological string

# Refinement in the simplest

We know **Euler characteristic** very well

$$\mathrm{Tr}(-1)^F = b_0 - b_1 + b_2 + \cdots = \chi_X$$

Refined version:

$$\mathrm{Tr}_{BPS}(-1)^F y^J = b_0 - b_1 y + b_2 y^2 + \cdots = P_X(y)$$

Typically, much harder problem

# Physicists approach to math

$$\mathrm{Tr}(-1)^F = b_0 - b_1 + b_2 + \cdots = \chi_X$$

Vacuum counting = SUSY path integral

$$\mathrm{Tr}(-1)^F e^{-\beta H} = \int \mathcal{D}X \mathcal{D}\psi e^{-S_{\mathrm{SUSY}}} = \int R^n$$

The above example is closed within field theory.

$$\mathrm{Tr}_1(-1)^F = \int \mathcal{D}X_1 e^{-S_1} \overset{\text{duality}}{=} \int \mathcal{D}X_2 e^{-S_2} = \mathrm{Tr}_2(-1)^F$$

# Refined mathematics

- “Motivic” DT invariant
- “quantum” Langlands correspondence
- “beta-deformed” matrix model
- “Double periodic (elliptic)” multiple Gamma/Zeta function
- “quantum” Liouville theory / integrable system (AGT conjecture)
- “Refined” Chern-Simons theory (Khovanov homology)
- “Refined” counting of BPS states

# Everything String theory (M-theory)

Without refinement, all the connections are explained (even proved?)

by using **duality** in **string theory**

→ How about the **refinement**?

Each piece is understood, and **experimentally** they agree with each other...

But it lacks the **blueprint**!

String definition is lacking!!!

# Mystery of BPS counting

Consider M-theory on Calabi-Yau  $X$

“Count BPS particles”:  $N_{\beta_i}^{J_1, J_2} \in \mathbf{Z}$

$\beta: H_2(X, \mathbf{Z})$ ,

$J_1, J_2$ : “spin” of BPS particles (Lefschetz action)

$$F_{\text{ref}} = \sum_i N_i^{j_L, j_R} \int \frac{dt}{t} \text{Tr}_{R_i} (-1)^{j_L + j_R} \frac{e^{-\mu_i t + i\epsilon_- j_L t + i\epsilon_+ j_R t}}{\sinh(\epsilon_1 t) \sinh(\epsilon_2 t)}$$

This formula must be the holy grail, or is it??

# Unrefined holy grail

$$F_{unref} = \int \frac{ds}{s} \text{Tr}_R \frac{(-1)^{J_L^3 + J_R^3} e^{-sm^2} e^{-2s\epsilon J_L^3}}{(2 \sinh(s\epsilon/2))(-2 \sinh(s\epsilon/2))}$$

- This is the graviphoton corrected **prepotential** (2 graviton + 2g-2 graviphoton)
- SUSY version of Schwinger integral
- The prepotential is computed from topological string (= **Gromov-Witten theory**)
- This is also the **Nekrasov partition function**

Worksheet instanton counting in 2D  
= gauge instanton counting in 4D



# Aim of the talk

I would like to propose  
a **worldsheet formulation** of  
the **refined** topological string theory

Stay tuned!

# Refined topological string for Omega background

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# Nekrasov partition function

- Consider 5-dimensional N=2 gauge theory

$$Z(\epsilon_+, \epsilon_-) = \text{Tr} (-1)^F e^{-\epsilon_- J_-^3} e^{-\epsilon_+ (J_+^3 + J_R^3)}$$

$$Z(\epsilon_+, \epsilon_-) = \exp \left( -\frac{1}{\epsilon_+^2 - \epsilon_-^2} \sum_{g,n=0}^{\infty} \epsilon_-^{2g} \epsilon_+^{2n} F_{g,n} \right).$$

- $F_{0,0}$  is identified with **Seiberg-Witten prepotential**
- Computed by localization (on instanton moduli space)
- What is the interpretation of  $F_{g,n}$  ?

# Six dimensional Omega background

- Compactify **heterotic string** on  $K_3 \times T_2$   
(= six-dimensional (1,0) theory on  $T_2$ ).

- Dual to type IIA (or M) on Calabi-Yau  $X$

$$ds^2 = (dx^\mu + \Omega^\mu dz + \bar{\Omega}^\mu d\bar{z})^2 + dzd\bar{z}$$

- **Locally trivial**

- We need further **R-symmetry twist** to preserve SUSY

- Compared with the **KK ansatz**

$$ds^2 = dx^2 + (dz + 2\bar{A}_\mu dx^\mu)(d\bar{z} + 2A_\mu dx^\mu).$$

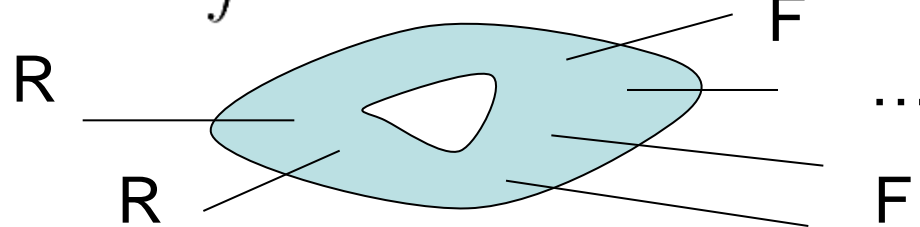
- Gauge field for STU, and graviphoton.

$$F_{\alpha\beta}^G = F_{\alpha\beta}^T = \frac{1}{2}F_{\alpha\beta}, \quad \epsilon_-^2 = \det F_{\alpha\beta}, \quad \epsilon_+^2 = \det F_{\dot{\alpha}\dot{\beta}}.$$
$$F_{\dot{\alpha}\dot{\beta}}^{\bar{U}} = F_{\dot{\alpha}\dot{\beta}}^{\bar{S}} = \frac{1}{2}F_{\dot{\alpha}\dot{\beta}}.$$

# Relation with (unrefined) topological string

- Compute 2 self-dual graviton,  $(2g-2)$  self-dual graviphoton at heterotic 1-loop

$$\sum_g \epsilon^{2g-2} F_g = \int d\tau^2 \langle e^{\epsilon - \int X^1 \bar{\partial} X^2 + \bar{X}^1 \bar{\partial} \bar{X}^2} \rangle_{R^4 \times Z_{T^2 \times K3}}$$



- LHS is the gravitiphoton corrected prepotential (topological string amplitude)

$$\sum_g \epsilon_-^{2g-2} F_g = (-1)^{2j} \int_0^\infty \frac{dt \operatorname{tr} e^{-4t\epsilon_- J_-^3}}{t (\sinh \epsilon_- t)^2} e^{-t\mu},$$

- RHS is sum of  $\operatorname{tr}(-1)^{2j} e^{-2\epsilon J_-^3} e^{-\beta H}$
- Topological string = Nekrasov partition function

# Refined case?

- **Refined** Nekrasov partition function

$$F_{\text{ref}} = \sum_i N_i^{j_L, j_R} \int \frac{dt}{t} \text{Tr}_{R_i} (-1)^{j_L + j_R} \frac{e^{-\mu_i t + i\epsilon_- j_L t + i\epsilon_+ j_R t}}{\sinh(\epsilon_1 t) \sinh(\epsilon_2 t)}$$

- Counting BPS particles with **right** SU(2) spin?
- What is the corresponding string background?
  - ASD graviphoton? (No SUSY?)
  - ASD vectormultiplet? (Antoniadis et al 2010)
    - Computes higher derivative F-terms
    - Interesting proposal but does not agree with Nekrasov's result...
- These approaches lack **R-symmetry twist**...

# Flux + FI-term background in SUGRA

# SUSY transformation

$$\delta\psi_{\alpha\dot{\alpha}\beta}^i = \nabla_{\alpha\dot{\alpha}}\zeta_{\beta}^i + (F_{\alpha\beta}^G\delta_j^i + \epsilon_{\alpha\beta}P_j^{Gi})\zeta_{\dot{\alpha}}^j,$$

$$\delta\psi_{\alpha\dot{\alpha}\dot{\beta}}^i = \nabla_{\alpha\dot{\alpha}}\zeta_{\dot{\beta}}^i + (F_{\dot{\alpha}\dot{\beta}}^G\delta_j^i + \epsilon_{\dot{\alpha}\dot{\beta}}P_j^{Gi})\zeta_{\alpha}^j,$$

$$\delta\lambda_{\alpha}^{Ai} = \partial_{\alpha\dot{\alpha}}t^A\zeta^{\dot{\alpha}i} + (F_{\alpha\beta}^A\delta_j^i + \epsilon_{\alpha\beta}P_j^{Ai})\zeta^{\beta j},$$

$$\delta\lambda_{\dot{\alpha}}^{\bar{A}i} = \partial_{\alpha\dot{\alpha}}t^{\bar{A}}\zeta^{\alpha i} + (F_{\dot{\alpha}\dot{\beta}}^{\bar{A}}\delta_j^i + \epsilon_{\dot{\alpha}\dot{\beta}}P_j^{\bar{A}i})\zeta^{\dot{\beta}j},$$

$$SU(2)_L : \alpha, \quad SU(2)_R : \dot{\alpha}, \quad SU(2)_I : i \quad A: 1 \dots n_v$$

- Hypermultiplet decouples
- Looking for SUSY configuration



# 1. Purely graviphoton

This is the original topological string configuration

$$\delta\psi_{\alpha\dot{\alpha}\beta}^i = \partial_{\alpha\dot{\alpha}}\zeta_{\beta}^i + F_{\alpha\beta}^G\zeta_{\dot{\alpha}}^i,$$

$$\delta\psi_{\alpha\dot{\alpha}\dot{\beta}}^i = \partial_{\alpha\dot{\alpha}}\zeta_{\dot{\beta}}^i.$$

$$\zeta_{\alpha}^i = \zeta_{\alpha}^{(0)i} - F_{\alpha\beta}^G x^{\beta\dot{\beta}}\zeta_{\dot{\beta}}^{(0)i},$$

$$\zeta_{\dot{\alpha}}^i = \zeta_{\dot{\alpha}}^{(0)i},$$

- Preserves **4 constant, 4 non-const SUSY**

$$\{Q_{\alpha}^i, Q_{\dot{\beta}}^j\} = 2\epsilon^{ij}P_{\alpha\dot{\beta}},$$

$$\{P_{\alpha\dot{\beta}}, Q_{\dot{\beta}}^i\} = 2\epsilon_{\dot{\alpha}\dot{\beta}}F_{\alpha\beta}^G Q^{\beta i},$$

$$\{Q_{\dot{\alpha}}^i, Q_{\dot{\beta}}^j\} = 4\epsilon_{\dot{\alpha}\dot{\beta}}\epsilon^{ij}F_{\alpha\beta}^G M^{\alpha\beta},$$

- Computes graviphoton corrected **prepotential**

## 2. SD graviphoton + ASD vector

Deformed topological string proposed by Antoniadis et al (2010).

$$\delta\psi_{\alpha\dot{\alpha}\beta}^i = \partial_{\alpha\dot{\alpha}}\zeta_{\beta}^i + F_{\alpha\beta}^G\zeta_{\dot{\alpha}}^i,$$

$$\delta\psi_{\alpha\dot{\alpha}\dot{\beta}}^i = \partial_{\alpha\dot{\alpha}}\zeta_{\dot{\beta}}^i,$$

$$\delta\lambda_{\dot{\alpha}}^{\bar{A}i} = F_{\dot{\alpha}\dot{\beta}}^{\bar{A}}\zeta^{\dot{\beta}i}.$$

$$\zeta_{\alpha}^i = \zeta_{\alpha}^{(0)i}, \zeta_{\dot{\alpha}}^i = 0$$

- Preserves 4 constant supercharges
- Computes higher derivative F-terms in ASD background

# 3. SD graviphoton + SD vector

Self-dual Omega background is an example

$$\delta\psi_{\alpha\dot{\alpha}\beta}^i = \partial_{\alpha\dot{\alpha}}\zeta_{\beta}^i + F_{\alpha\beta}^G\zeta_{\dot{\alpha}}^i,$$

$$\delta\psi_{\alpha\dot{\alpha}\dot{\beta}}^i = \partial_{\alpha\dot{\alpha}}\zeta_{\dot{\beta}}^i,$$

$$\delta\lambda_{\alpha}^{Ai} = \partial_{\alpha\dot{\alpha}}t^A\zeta^{\dot{\alpha}i} + F_{\alpha\beta}^A\zeta^{\beta i}.$$

$$\zeta_{\alpha}^i = -F_{\alpha\beta}^G x^{\beta\dot{\beta}}\zeta_{\dot{\beta}}^{(0)i},$$

$$\zeta_{\dot{\alpha}}^i = \zeta_{\dot{\alpha}}^{(0)i},$$

$$t^A = t_0^A + A^{G\mu}A_{\mu}^A.$$

- Preserves **4 non-const supercharges**
- Nekrasov's Omega background:

$$\begin{aligned} ds^2 &= (dx^{\mu} + \Omega^{\mu}dz)^2 + dzd\bar{z} \\ &= dx^2 + 2\Omega_{\mu}dx^{\mu}dz + 4\Omega_{\mu}\Omega^{\mu}dzdz + dzd\bar{z}. \end{aligned}$$

# R-twist and FI-term

The key object in refined topological string  
(refined Nekrasov's theory) is FI-term (R-twist)

- Only defined for non-compact limit ( $M_{pl} \rightarrow \infty$ )
- R-twist needs (of course!) R-symmetry
- R-symmetry presents only when theory is **non-compact**
- So is **FI-term**
- Twisting by R-symmetry  $\Leftrightarrow$  introduction of FI-term

# 4. Refined Omega background

R-twist  $\Leftrightarrow$  FI-term is needed to realize refined Omega background

$$\delta\psi_{\alpha\dot{\alpha}\beta}^i = \nabla_{\alpha\dot{\alpha}}\zeta_{\beta}^i + F_{\alpha\beta}^G\zeta_{\dot{\alpha}}^i,$$

$$\delta\psi_{\alpha\dot{\alpha}\dot{\beta}}^i = \nabla_{\alpha\dot{\alpha}}\zeta_{\dot{\beta}}^i,$$

$$\delta\lambda_{\alpha}^{Ai} = \partial_{\alpha\dot{\alpha}}t^A\zeta^{\dot{\alpha}i} + (F_{\alpha\beta}^A + P_j^{Ai})\zeta_{\alpha}^j,$$

$$\delta\lambda_{\dot{\alpha}}^{\bar{A}i} = (F_{\dot{\alpha}\dot{\beta}}^{\bar{A}}\delta_j^i + \epsilon_{\dot{\alpha}\dot{\beta}}P_j^{\bar{A}i})\zeta^{\dot{\beta}j},$$

$$\zeta_{\alpha}^i = A_{\alpha\dot{\alpha}}^G\zeta^{(0)\dot{\alpha}i}, \quad \zeta_{\dot{\alpha}}^i = \zeta_{\dot{\alpha}}^{(0)i}. \quad (F^{\bar{A}} + P^A)\zeta^{(0)} = 0$$

- Preserves 2 non-const supercharges
- Nekrasov computed partition function with this geometry

# 5. Refined topological string

We can preserve the additional **2 const SUSY**

$$\delta\psi_{\alpha\dot{\alpha}\beta}^i = \nabla_{\alpha\dot{\alpha}}\zeta_{\beta}^i + F_{\alpha\beta}^G\zeta_{\dot{\alpha}}^i,$$

$$\delta\psi_{\alpha\dot{\alpha}\dot{\beta}}^i = \nabla_{\alpha\dot{\alpha}}\zeta_{\dot{\beta}}^i,$$

$$\delta\lambda_{\alpha}^{Ai} = \partial_{\alpha\dot{\alpha}}t^A\zeta^{\dot{\alpha}i} + (F_{\alpha\beta}^A + P_j^{Ai})\zeta_{\alpha}^j,$$

$$\delta\lambda_{\dot{\alpha}}^{\bar{A}i} = (F_{\dot{\alpha}\dot{\beta}}^{\bar{A}}\delta_j^i + \epsilon_{\dot{\alpha}\dot{\beta}}P_j^{\bar{A}i})\zeta^{\dot{\beta}j},$$

$$\zeta_{\alpha}^i = \zeta_{\alpha}^{(0)i} - A_{\alpha\dot{\alpha}}^G\zeta^{(0)\dot{\alpha}i}, \quad \zeta_{\dot{\alpha}}^i = \zeta_{\dot{\alpha}}^{(0)i}. \quad (F^{\bar{A}} + P^A)\zeta^{(0)} = 0$$

$$(F^A + P^A)\zeta^{(0)} = 0$$

- Preserves **2 const and 2 non-const SUSY**
- Analogue of pure graviphoton (topological string) for unrefined Omega background.

# Summary of SUGRA background

fields turned on	$\zeta_\alpha^{(0)i}$	$\zeta_{\dot{\alpha}}^{(0)i}$	
$F_{\alpha\beta}^G$	1	1	topological string ( $\epsilon_+ = 0$ )
$F_{\alpha\beta}^G, F_{\dot{\alpha}\dot{\beta}}^{\bar{A}}$	1	0	discussed in [AHTN]
$F_{\alpha\beta}^G, F_{\alpha\beta}^A, \partial t^A$	0	1	Omega ( $\epsilon_+ = 0$ )
$F_{\alpha\beta}^G, F_{\alpha\beta}^A, F_{\dot{\alpha}\dot{\beta}}^{\bar{A}}, P^A, \partial t^A$	0	1/2	Omega ( $\epsilon_+ \neq 0$ )
$F_{\alpha\beta}^G, F_{\alpha\beta}^A, F_{\dot{\alpha}\dot{\beta}}^{\bar{A}}, P^A, \partial t^A$	1/2	1/2	topological string ( $\epsilon_+ \neq 0$ )

- Topological string background is **different** from Nekrasov's Omega background
- Nevertheless we may extract the **same physical quantity** (prepotential vs partition function)

# Heterotic worldsheet construction



# Heterotic string on $T_2 \times K_3$

- Consider generic (4,0) compactification

We have universal 3 (+1) vector multiplets

- Complex structure (T), Kahler moduli (U), and dilaton (S)

$$\begin{aligned} F_-^G &= (\partial_{[\mu, g_{\nu]z} + \partial_{[\mu, B_{\nu]z})_-, & F_+^G &= (\partial_{[\mu, g_{\nu]\bar{z}} + \partial_{[\mu, B_{\nu]\bar{z}})_+, \\ F_-^T &= (\partial_{[\mu, g_{\nu]z} - \partial_{[\mu, B_{\nu]z})_-, & F_+^T &= (\partial_{[\mu, g_{\nu]\bar{z}} - \partial_{[\mu, B_{\nu]\bar{z}})_+, \\ F_-^U &= (\partial_{[\mu, g_{\nu]\bar{z}} - \partial_{[\mu, B_{\nu]\bar{z}})_-, & F_+^U &= (\partial_{[\mu, g_{\nu]z} - \partial_{[\mu, B_{\nu]z})_+, \\ F_-^S &= (\partial_{[\mu, g_{\nu]\bar{z}} + \partial_{[\mu, B_{\nu]\bar{z}})_-, & F_+^S &= (\partial_{[\mu, g_{\nu]z} + \partial_{[\mu, B_{\nu]z})_+, \end{aligned}$$

- Note that SD part and ASD part has a different combination

# R-symmetry and FI terms

- Assume K3 has an **R-symmetry** (must be non-compact)

$J_I^3$  : Bosonic R-current in left mover (SUSY side)

$J_{N=4}^3$  : SU(2) current in N=4 SCA

$J_I^3 + J_{N=4}^3$  : physical (**BRST invariant**)

We can construct the **vertex operator for the FI terms** from any right-moving current  $\mathcal{J}_A$

$$V_{D_A} = (J_I^3 + J_{SU(2)}^3) \mathcal{J}_A \quad \text{FI-term}$$

vector multiplet:  $A_\mu \partial X^\mu \mathcal{J}_A$  gauge field

$\partial Z \mathcal{J}_A$  scalar field

# FI terms in universal multiplets

$$\begin{aligned} (A_{\mu}^T)_- \partial X^{\mu} \bar{\partial} Z, & \quad (A_{\mu}^T)_+ \partial X^{\mu} \bar{\partial} \bar{Z}, \\ (A_{\mu}^U)_- \partial X^{\mu} \bar{\partial} \bar{Z}, & \quad (A_{\mu}^U)_+ \partial X^{\mu} \bar{\partial} Z. \end{aligned}$$

→  $(A_{\mu}^T)_-$  and  $(A_{\mu}^U)_+$  have the **same FI-term**  
coupled to  $(J_I^3 + J_{N=4}^3) \bar{\partial} Z$

FI-terms are not **independent**

Similarly  $(A_{\mu}^T)_+$  and  $(A_{\mu}^U)_-$  have the  
same FI-term coupled to  $(J_I^3 + J_{N=4}^3) \bar{\partial} \bar{Z}$

# Refined topological string bcg

## 1. Metric deformation

$$\epsilon_- = (\partial_{[\mu, g_{\nu]z})_- = F_-^G + F_-^T,$$

$$\epsilon_+ = (\partial_{[\mu, g_{\nu]z})_+ = F_+^U + F_+^S.$$

## 2. To preserve 2 const, 2 non-const SUSY

$$\text{Det} P^A = \text{Det} F^A$$

## 3. FI terms are not independent $P^T = P^{\bar{U}}$

## 4. We don't introduce $P^S$

→ **Unique choice**

$$F_-^G = \epsilon_- - \epsilon_+, \quad F_-^T = F_+^U = \epsilon_+, \quad P^T = P^{\bar{U}} = \epsilon_+$$

# Test of the proposal

# Refined topological string bcg

We have computed the refined topological string amplitude in the **zero slope limit** (from heterotic string theory)

For a hypermultiplet

$$\int_0^\infty \frac{dt}{t} \frac{e^{-\mu t}}{\sinh(\epsilon_- + \epsilon_+)t \sinh(\epsilon_- - \epsilon_+)t},$$

For a vectormultiplet

$$\int_0^\infty \frac{dt}{t} \frac{-2\cosh(2\epsilon_+ t)e^{-\mu t}}{\sinh(\epsilon_- + \epsilon_+)t \sinh(\epsilon_- - \epsilon_+)t}.$$

# Features of amplitudes

- FI-term gives the **correct R-twist** in the partition function  $\rightarrow -2 \cos(\epsilon_+ t)$  factor in vector multiplet (missing in Antoniadis et al)
- It has the **symmetry**  $\epsilon_{\pm} \rightarrow -\epsilon_{\pm}$
- This must be a symmetry of Nekrasov's partition function (not at all manifest in other approaches: beta deformed matrix model etc)

# Summary and Outlook



# Summary

- Proposed SUSY background for **refined topological string theory**
- Computed in the heterotic string in zero-slope limit.
- Agreed with Nekrasov's refined partition function
- Full string computation?
- **Type II** setup?
- Holomorphic anomaly?