Black holes in Einstein-aether and Hořava-Lifshitz gravity

Thomas P. Sotiriou SISSA - International School for Advanced Studies, Trieste



in collaboration with Enrico Barausse and Ted Jacobson based on Phys. Rev. D 83, 124043 (2011) [arXiv:1104.2889 [gr-qc]]



Why Lorentz-violating gravity?

Lorentz-violating effects are severely constrained in the matter sector. However,

- Observational constraints are far weaker in the more weakly coupled gravitational sector
- ✤ A low energy effective theory of Lorentz-violating gravity is needed for such tests (e.g. Einstein-aether theory)

The might be an additional pay-off:

 Recently it has been claimed that some models of Lorentzviolating gravity are power-counting renormalizable (Hořava-Lifshitz gravity)



Why black holes?

- Simpler to find than other solutions (e.g. stars)
- \cdot Potentially a probe for observable deviations from GR
- \cdot Intrinsically interesting in theories with Lorentz violations

What characterizes a black hole in this case?

- ✤ There can be different modes with different speeds, so there can be multiple horizons
- There can be modified dispersion relations, in which case short wavelength perturbations can travel with arbitrarily high speed

Tentative answer: The existence of a causal boundary for all modes





The action of the theory is

$$S_{\mathfrak{X}} = \frac{1}{16\pi G_{\mathfrak{X}}} \int d^4x \sqrt{-g} (-R - M^{\alpha\beta\mu\nu} \nabla_{\alpha} u_{\mu} \nabla_{\beta} u_{\nu})$$

where

$$M^{\alpha\beta\mu\nu} = c_1 g^{\alpha\beta} g^{\mu\nu} + c_2 g^{\alpha\mu} g^{\beta\nu} + c_3 g^{\alpha\nu} g^{\beta\mu} + c_4 u^{\alpha} u^{\beta} g_{\mu\nu}$$

and the aether is implicitly assumed to satisfy the constraint

$$u^{\mu}u_{\mu} = 1$$

- ✤ Most general theory with a unit timelike vector field which is second order in derivatives
- Extensively tested and still viable

Imposing hypersurface orthogonality...

Now assume

$$u_{\alpha} = \frac{\partial_{\alpha}T}{\sqrt{g^{\mu\nu}\partial_{\mu}T\partial_{\nu}T}}$$

and choose T as the time coordinate

$$u_{\alpha} = \delta_{\alpha T} (g^{TT})^{-1/2} = N \delta_{\alpha T}$$

Replacing in the action and defining one gets

$$S_{h.o.\,\infty} = \frac{1}{16\pi G_H} \int dT d^3x \, N\sqrt{h} \left(K_{ij}K^{ij} - \lambda K^2 + \xi^{(3)}R + \eta a_i a^i\right)$$

with $a_i = \partial_i \ln N$ and the parameter correspondence

$$\frac{G_H}{G_{\infty}} = \xi = \frac{1}{1 - c_{13}} \qquad \lambda = \frac{1 + c_2}{1 - c_{13}} \qquad \eta = \frac{c_{14}}{1 - c_{13}}$$



The action of the theory is

$$S_{HL} = \frac{1}{16\pi G_H} \int dT d^3 x \, N \sqrt{h} (L_2 + \frac{1}{M_\star^2} L_4 + \frac{1}{M_\star^4} L_6)$$

where

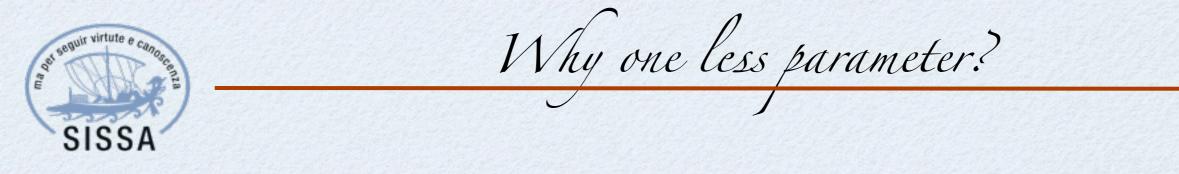
$$L_2 = K_{ij}K^{ij} - \lambda K^2 + \xi^{(3)}R + \eta a_i a^i$$

- L_4 : contains all 4th order terms constructed with the induced metric h_{ij} and a_i
- L_6 : contains all 6th order terms constructed in the same way

 $M_{\star}: 10^{10} {\rm GeV} - 10^{16} {\rm GeV}$



- Higher order terms contain higher order spatial derivatives
- They modify the propagator and render the theory powercounting renormalizable
- All terms consistent with the symmetries will be generated by radiative corrections
- \cdot This version of the theory is viable so far
- * "Low energy limit" is h.o. Einstein-aether theory!



Hypersurface orthogonality implies

$$\omega_{\alpha} = \epsilon_{\alpha\beta\mu\nu} u^{\beta} \nabla^{\mu} u^{\nu} = 0$$

Since the square of the twist is

$$\omega_{\alpha}\omega^{\alpha} = -(\nabla_{\alpha}u_{\beta})(\nabla^{\alpha}u^{\beta}) + (\nabla_{\alpha}u_{\beta})(\nabla^{\beta}u^{\alpha}) + (u^{\beta}\nabla_{\beta}u_{\alpha})(u^{\mu}\nabla_{\mu}u^{\alpha})$$

one can eliminate one of $c_{1,3,4}$ (but not c_2)

✤ The hypersurface orthogonal theory will have one less parameter



Our goal

We are interested in vacuum black hole solutions which are

- spherically symmetric
- static
- \cdot asymptotically flat
- \cdot and can form from gravitational collapse

Finding such solutions analytically seems unfeasible, so we find them numerically

- Spherically symmetric vectors are hypersurface orthogonal!
- Consequence: Spherically symmetric solutions of ae-theory will be also solutions of low-energy HL-gravity
- The converse appears to be also true!



We use Eddington-Finkelstein(-like) coordinates

$$ds^{2} = F(r)dv^{2} - 2B(r)dvdr - r^{2}d\Omega^{2}$$
$$u^{\alpha}\partial_{\alpha} = A(r)\partial_{v} - \frac{1 - F(r)A^{2}(r)}{2B(r)A(r)}\partial_{r}$$

In general there is a 3-parameter family of solutions. However

- regularity of the spin-0 horizon

will lead to a 1-parameter family.

• Fixing the horizon radius one has a unique solution



The field equations are

$$E^{\mu\nu} \equiv G^{\mu\nu} - T^{\mu\nu}_{a} = 0 \qquad \qquad \pounds^{\mu} = 0$$

but the non-redundant or non-trivial components are

$$E^{vv} = E^{vr} = E^{rr} = E^{\theta\theta} = \mathbb{A}^v = 0$$

However, the combinations

$$C^{\mu} \equiv E^{r\mu} - u^r \mathcal{A}^{\mu} = 0$$

are actually constraint equations, so we will use instead the system

$$E^{vv} = E^{\theta\theta} = E^v = 0, \quad C^v = C^r = 0$$



The first three equations can be recast in the form

$$F'' = F''(A, A', B, F, F')$$

$$A'' = A''(A, A', F, F')$$

$$B' = B'(A, A', B, F, F')$$

so we need 5 pieces of initial data. Additional, the constraints

 \cdot are automatically preserved if they are imposed initially

• relate initial date

As mentioned, one then generically has 3-parameter solutions.



In general there will be spin-2, spin-1 and spin-0 modes. For the spin-0

$$s_0^2 = \frac{c_{123}(2 - c_{14})}{c_{14}(1 - c_{13})(2 + c_{13} + 3c_2)}$$

The horizon for a mode is a null surface of the effective metric

$$g_{\alpha\beta}^{(i)} = g_{\alpha\beta} + (s_i^2 - 1)u_\alpha u_\beta$$

Together with the aether redefinition

$$u^{\alpha}_{(i)} = \frac{1}{s_i} u^{\alpha}$$

the metric redefinition leave the action formally invariant



- Perform the redefinition just described
- Define the common spin-0/metric horizon by $F(r_H) = 0$
- \cdot Require regularity of the horizon

$$B' = \frac{b_0}{F} + b_1 + b_2 F \quad \xrightarrow{\text{regularity}} \quad b_0(A, A', B, F')|_H = 0$$

• Impose the constraints: one is trivial on the horizon, the other is $C^v(A, A', B, F')|_H = 0$

- Choose the time coordinate such that $B_H = 1$
- Parametrize the space of initial data by A_H
- Determine the value that leads to asymptotic flatness by a shooting method



Parameter space

We impose the following viability constraints

- Classical and quantum-mechanical stability
- ↔ Avoidance of vacuum Cherenkov radiation by matter.
- Exact agreement with Solar system experiments (vanishing preferred frame parameters)

The last constraint is more restrictive than actually required. However,

- \cdot It reduces the dimensions of the parameters space to 2
- \cdot It provided an important simplification with little given away



Exact agreement with Solar system test requires

$$c_2 = \frac{-2c_1^2 - c_1c_3 + c_3^2}{3c_1} \qquad c_4 = -\frac{c_3^2}{c_1}$$

Then, defining

$$c_{\pm} = c_1 \pm c_3$$

the remaining constraint require

$$0 \le c_+ \le 1 \qquad \qquad 0 \le c_- \le \frac{c_+}{3(1-c_+)}$$

We have explored the region that additionally satisfies

$$c_{-} \leq 1$$



It is more convenient to work in the parametrization

$$\xi = \frac{1}{1-\beta}$$
 $\lambda = \frac{1+\mu}{1-\beta}$ $\eta = \frac{\alpha}{1-\beta}$

Then, exact agreement with Solar system test requires

$$\alpha = 2\beta$$

and the remaining constraint require

$$\begin{split} 0 &< \beta < 1/3 \qquad \mu > \frac{\beta(\beta+1)}{1-3\beta} \\ 0 &< \beta < 1/3 \qquad \mu < -\frac{2+\beta}{3} \\ 1/3 &< \beta < 1 \qquad \frac{\beta(\beta+1)}{1-3\beta} < \mu < -\frac{2+\beta}{3} \end{split}$$



Characteristic quantities

- $\omega_{ISCO} r_g$: orbital frequency at the ISCO times gravitational radius. Can be measured using X-ray spectra from accretion or gravitational waves from EMRIs
 - z_{max} : (= $\nu_{\text{emitted}}/\nu_{\text{measured}} 1$) the maximum redshift for a photon emitted at the ISCO. Can be measured using iron-K α line
 - $b_{\rm ph}/r_g$: impact parameter for circular photon orbit/grav. radius Can be measured by gravitational lensing or in the future via black hole quasinormal modes
 - r_g/r_H : grav. radius/horizon radius Not measurable but gives info about near horizon region



Eling and Jacobson had looked for black holes in ae-theory with

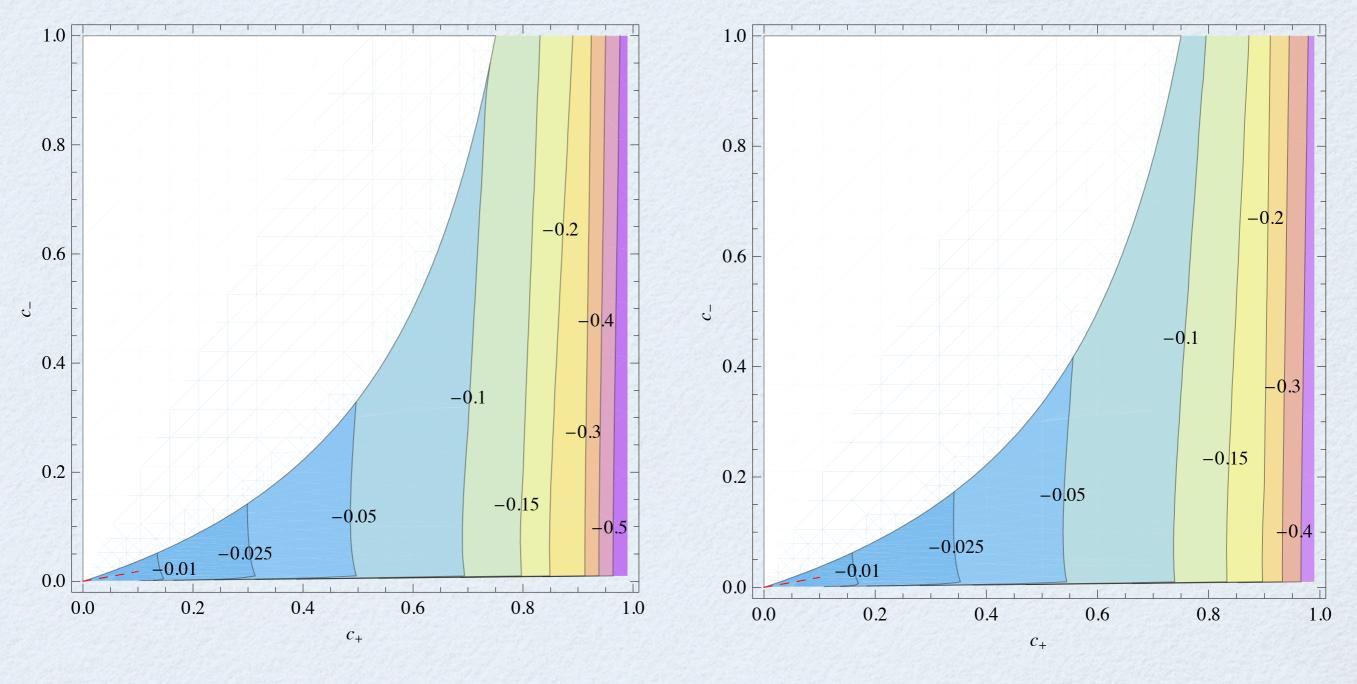
 $c_3 = c_4 = 0$ $s_0 = 1 \Rightarrow c_2 = -c_1^3 / (3c_1^2 - 4c_1 + 2)$

for the interval $0 < c_1 < 1$ and we have attempted to reproduced their results

- We are successful in the range 0 to 0.7
- ✤ They did not find regular solutions in the range 0.7 to 1, whereas we did
- ★ There is evidence that our code is simply more accurate than theirs. Example: our estimate of r_g/r_H agrees with their only up to the 3 decimal place for $c_1 > 0.3$ and the difference exceeds our estimated error (by orders of magnitude)



Results: ae-theory



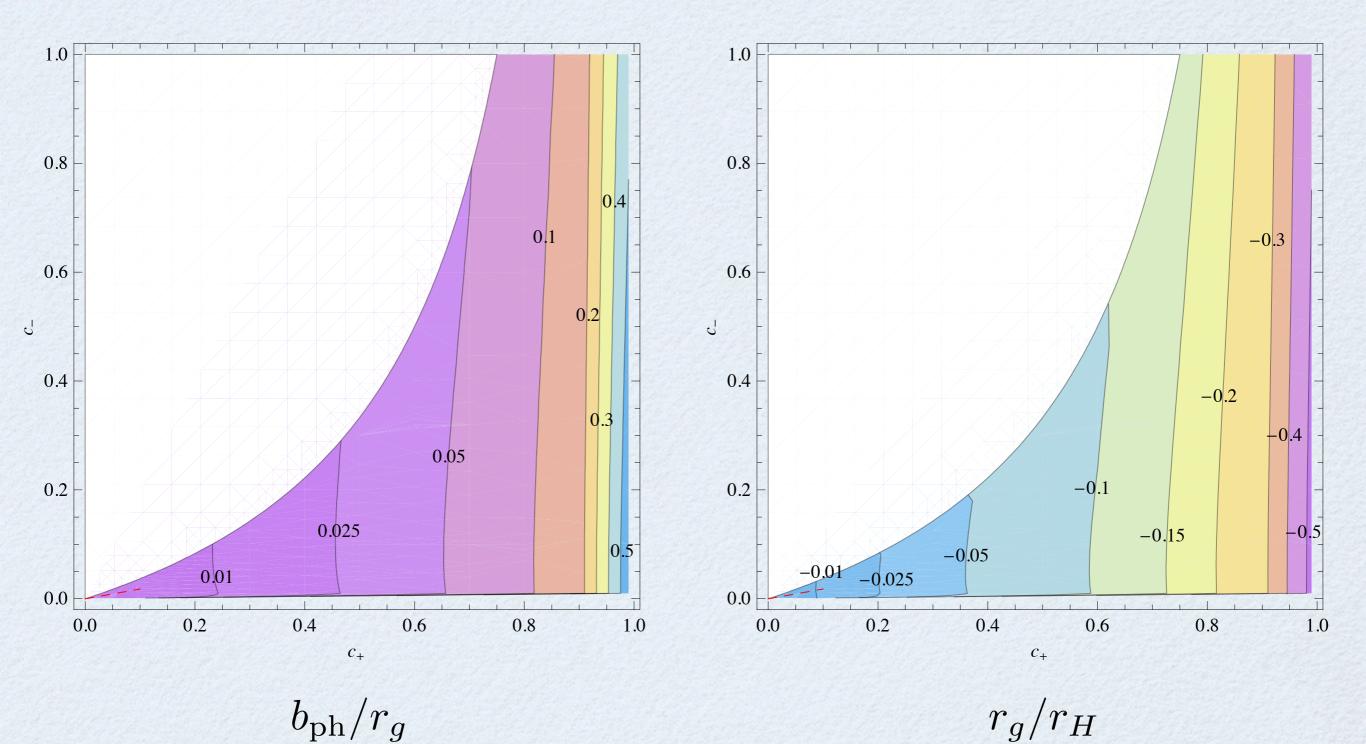
 $\omega_{
m ISCO} r_g$

 $z_{\rm max}$

Thomas P. Sotiriou - IPMU, Japan, Feb 1st 2012

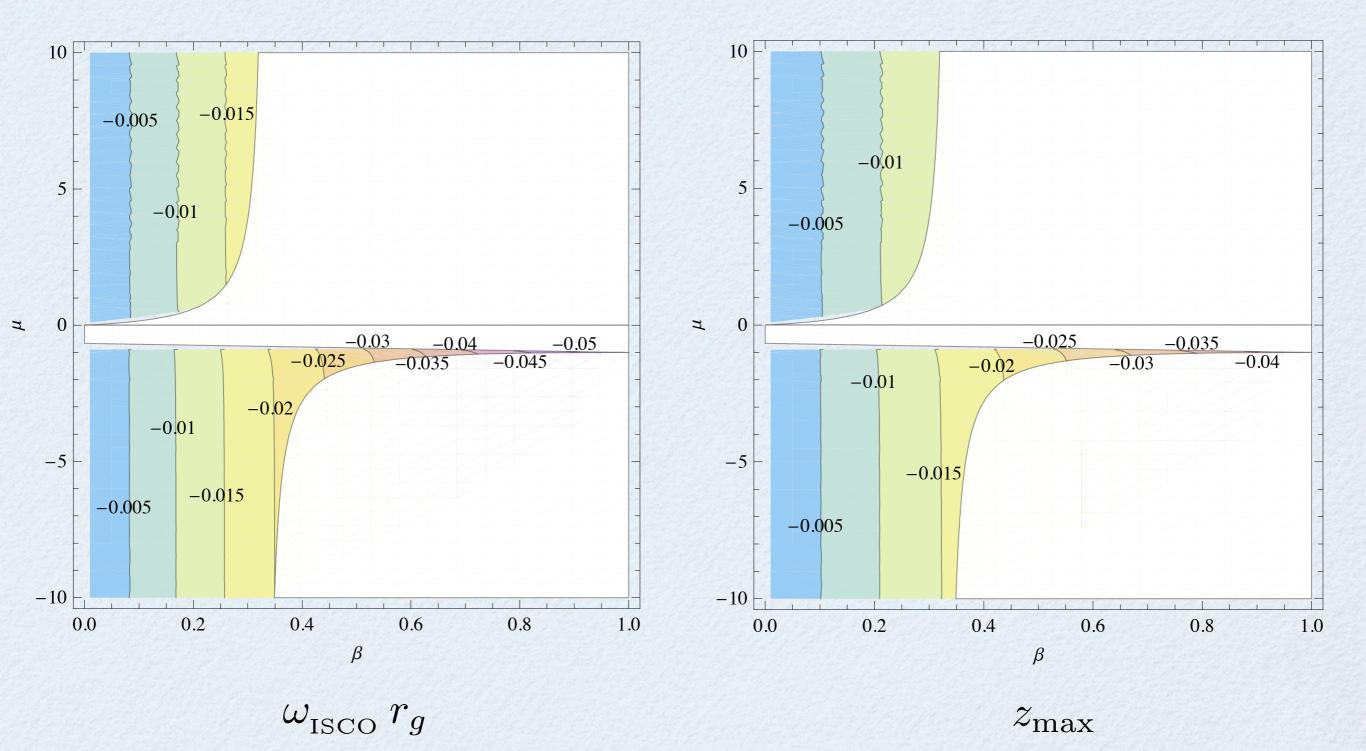


Results: ae-theory



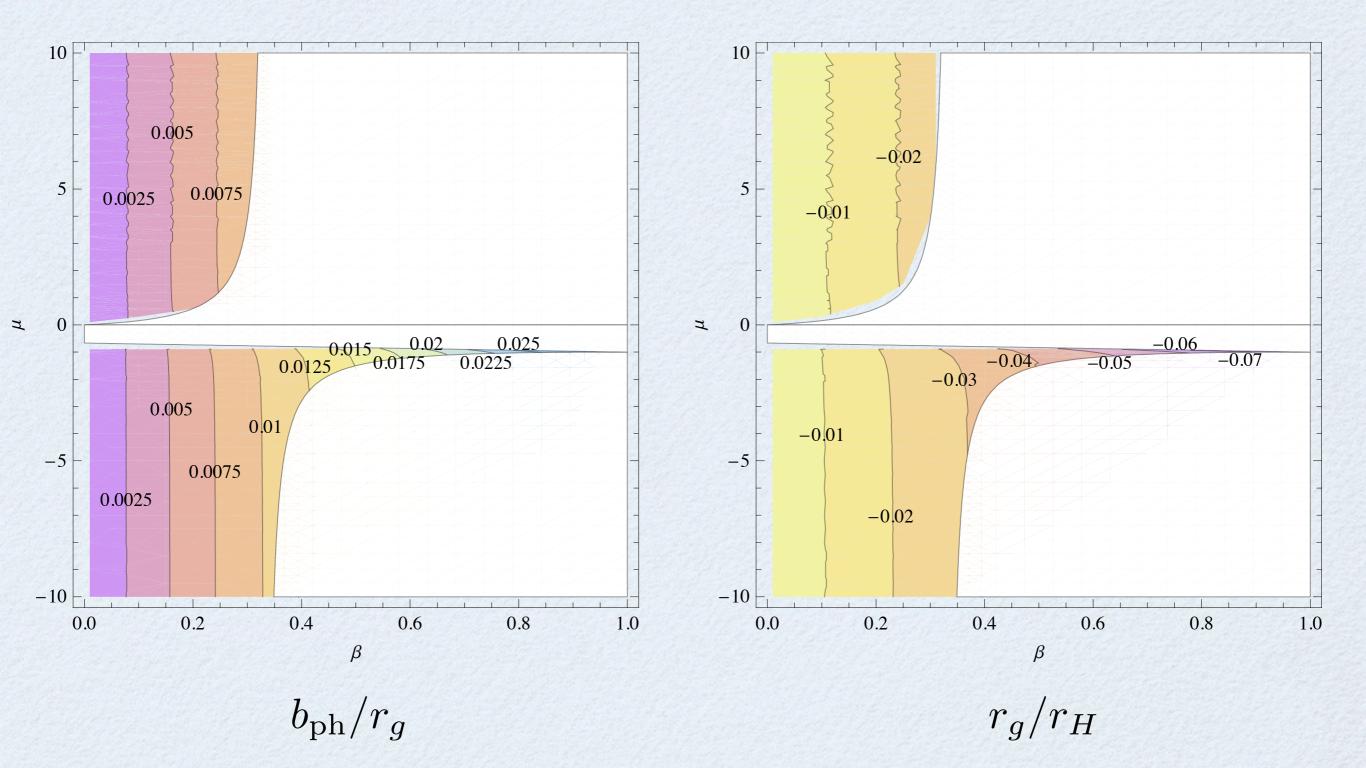


Results: HL-gravity



Thomas P. Sotiriou - IPMU, Japan, Feb 1st 2012





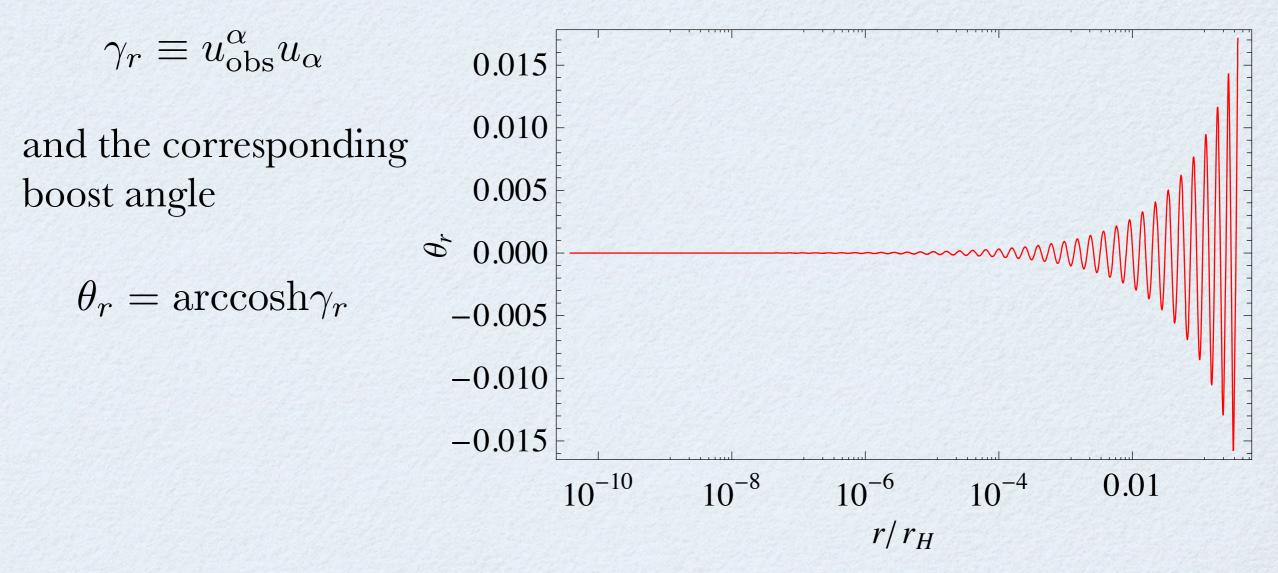
Thomas P. Sotiriou - IPMU, Japan, Feb 1st 2012



Interior solution

Curvature singularity at the centre

We have also calculated the Lorentz factor of the aether as measured by the future directed observer orthogonal to r = const. hypersurfaces





Ultimate horizon

- Different modes have different horizons
- When higher spatial derivatives are added short wavelength perturbations can travel at arbitrarily high speed

However,

- Signals cannot travel backwards in time
- \cdot Future and past direction are locally defined by the aether
- ✤ The aether is orthogonal to constant time hypersurfaces in the preferred foliation
- * When the boost angle vanishes the aether is orthogonal to constant r hypersurfaces
- Universal horizon!



Conclusions

- ✤ We have found a one parameter family of static, spherically symmetric, asymptotically flat black hole solutions with regular spin-0 horizons
- ✤ We have covered a significant portion of the allowed parameter space for both theories
- ✤ Deviations from general relativity are rather small. However, potentially observable with future observations
- ✤ A generic feature of these black holes seems to be the existence of an ultimate horizon (or a succession of them)
- What about stability and thermodynamics?