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# A story of De- and Re-coupling: SUSY breaking in string models

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in collaboration with Marcus Berg, Joe Conlon and David Marsh

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arxiv:1109.4153 and arxiv:1207.1103



- String theory allows for geometric interpretation of phenomenological features
- In type IIB can locate visible and hidden sectors in extra dimensions
- Many properties are determined locally (gauge group, massless spectrum), but global properties do not fully decouple.



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- In type IIB can locate visible and hidden sectors in extra dimensions
- Many properties are determined locally (gauge group, massless spectrum), but global properties do not fully decouple.
- For realistic models moduli stabilization is imperative
- Here: examine how moduli stabilization via non-perturbative effects alters the visible sector theory:

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- **1** new Yukawa couplings?
- 2 structure of soft SUSY terms?



- Can stabilise moduli non-perturbatively (KKLT, LVS):
   E3-branes or gaugino condensation on D7-branes wrapping internal cycles.
- This creates a potential for (Kähler) moduli fields T
- Equally, non-perturbative effects induce new superpotential terms:

$$W^{np} \supset \mathcal{O}e^{-T}$$

• SUSY: Kähler modulus T acquires F-terms  $\Rightarrow W^{np}$  gives rise to important contribution to soft terms: de-sequestering.

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Analyze a setup with typical ingredients:

- Visible sector on D3s at a singularity
- Focus on non-perturbative effects from gaugino condensation on distant D7 branes

Two cases for the nonperturbative effect:

- KKLT-type: D7 wraps bulk 4-cycle
- LVS-type: D7 wraps small (blow-up) cycle



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Tasks for this presentation: Answer ...

- which models lead to non-perturbative corrections to Yukawas?
- 2 what is their flavor structure?
- 3 what is their parametric suppression w.r.t. the tree level Yukawas?

#### Outline



**1** SUSY breaking and sequestering

- 2 Orbifold CFT calculation
- 3 Superpotential de-sequestering due to moduli stabilization
  - KKLT
  - LVS
- 4 Phenomenological consequences

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5 Summary and outlook



- Visible sector:
   D3 branes at orbifold singularity
- Complex structure moduli and dilaton flux-stabilized in a supersymmetric way:

$$D_U W = D_S W = 0$$

- Kähler moduli are stabilized by non-perturbative effects (gaugino condensation) at a SUSY minimum.
- This breaking is mediated to visible sector by bulk fields

# Sequestering: the model



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Example: D3s at  $\mathbb{Z}_6$ :  $\theta = \frac{1}{6}(1, -3, 2)$ 

gauge group

$$\mathrm{SU}(M) imes \ldots imes \mathrm{SU}(N)$$

- Chiral superfields C<sup>1</sup>, C<sup>2</sup>, C<sup>3</sup>
   in bifundamental representations
- Yukawa operators = triangles in quiver
- Tree-level superpotential:

$$W^{\text{tree}} = \epsilon_{rst} C^r C^s C^t$$

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- Tree-level Yukawa couplings have flavor structure

 $Y_{123}^{\rm tree}C^1C^2C^3$ 

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Example: D3s at  $\mathbb{Z}_{6}^{\prime}$ :  $\theta = \frac{1}{6}(1, -3, 2)$ 

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- Chiral superfields C<sup>1</sup>, C<sup>2</sup>, C<sup>3</sup>
   in bifundamental representations
- Yukawa operators = triangles in quiver
- Other Yukawas allowed by gauge invariance:

 $Y_{333}C^3C^3C^3$ 

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but are not realized.



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# Motivation: SUSY breaking and FCNCs

- Presence of bulk fields in string theory allows for gravity- (moduli-) mediated SUSY breaking.
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#### Sequestering: SUGRA and soft terms

- Flavor problem ameliorated if gravity-mediated soft terms are suppressed.
- This occurs when SUSY sector decouples from visible sector: → source of SUSY is sequestered from the visible sector.

Need to study effective SUGRA Lagrangian

$$f = -3M_{Pl}^2 e^{-K/3M_{Pl}^2}$$
$$W = W^{\text{tree}} + W^{\text{np}}$$
$$\tau$$

where K is the Kähler potential.

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$$egin{array}{rcl} f &=& f_{
m hid}(\Phi,ar{\Phi})+f_{
m vis}(C,ar{C}) \ W &=& W_{
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where  $\Phi_i$  are (SUSY breaking) moduli and  $C_{\alpha}$  are visible fields.

### Sequestering: SUGRA and soft terms

- Extradimensional locality in 5d leads to the above structure [Randall, Sundrum]
- String theory allows for extradimensional locality: → Can sequestering occur in string theory?

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Tree-level superpotential  $W^{\text{tree}}$ :

- The SUSY moduli are Kähler moduli  $T_i$ .
- Kähler moduli exhibit axionic shift symmetries.
- This is in conflict with holomorphicity of  $W^{\text{tree}}(\Phi)$ .

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- $\Rightarrow W^{\text{tree}}$  is independent of  $T_i$

$$W^{\text{tree}} = W^{\text{tree}}(\Phi) + W^{\text{tree}}(C) = 0 + W^{\text{tree}}(C)$$

The tree-level superpotential does not source soft terms.

Examine *f* function:

- Sequestering typically fails due to f. [Anisimov et.al.] [Kachru, McGreevy, Svrcek]
- Bulk modes generate cross-couplings

$$f \supset \Phi \bar{\Phi} rac{\lambda_{lphaeta}}{M_{Pl}^2} C^lpha ar{C}^eta$$

 $\Rightarrow$  f does not take sequestered form in general

# Sequestering in string theory

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 $\Rightarrow$  f does not take sequestered form in general

Solutions:

Warped sequestering/ conformal sequestering

[Kachru, McAllister, Sundrum] [Luty, Sundrum]

Sort-of sequestering in LVS [Conlon, Cremades, Quevedo] [Conlon, LW]

 $\Rightarrow$  In many models *f* does sequester.

#### Sequestering: moduli stabilization

How is this modified by moduli stabilization (KKLT, LVS)? Consider gaugino condensation on D7-branes wrapping internal cycles:  $\rightarrow$  also induce superpotential terms for visible fields.

$$W = W^{\text{tree}} + W^{\text{np}}$$

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• Non-perturbative superpotential re-introduces cross-couplings into W:  $\rightarrow$  de-sequestering [Berg, Marsh, McAllister, Pajer]

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$$W = W^{\text{tree}} + Y^{np}_{\alpha\beta\gamma} C^{\alpha} C^{\beta} C^{\gamma} e^{-aT} + \dots$$

- Non-perturbative superpotential re-introduces cross-couplings into W:  $\rightarrow$  de-sequestering [Berg, Marsh, McAllister, Pajer]
- The dependence on the SUSY breaking modulus T leads to contributions to soft A-terms:

$$\delta A_{\alpha\beta\gamma} = -\frac{\mathcal{A}_0}{M_{pl}^3} Y_{\alpha\beta\gamma}^{np} a F^T e^{-aT}$$

• If  $Y_{\alpha\beta\gamma}^{np} \neq cY_{\alpha\beta\gamma}^{tree}$  the resulting contribution to soft scalar masses can lead to flavor violation.

# Worldsheet calculation

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Non-perturbative superpotential due to gaugino condensation:

$$W_{np} = e^{-a \ au_{D7}}$$

The gauge kinetic function depends on moduli  $\Phi^i$  and D3-matter fields  $C^j$ . Expand in gauge-invariant combinations of matter fields:

$$\tau_{D7}(\Phi^i, C^j) = T + Y_{ijk}(\Phi^l)C^iC^jC^k + \dots$$

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To determine  $Y_{ijk}$  need to calculate a threshold correction to  $\tau_{D7}$ :

```
\langle \operatorname{Tr}(A_{\mu}A^{\mu}) \operatorname{Tr}(\phi^{i}\phi^{j}\phi^{k}) \rangle
```

# Why trust the orbifold?

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#### **Toroidal orbifold**

flat

D3

- bulk cycle
- singularities

#### Swiss-Cheese Calabi-Yau

- almost flat
- large cycle
- small blow-up cycles (and singularities)



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 $\Rightarrow$  Swiss-Cheese Calabi-Yau is well-approximated by toroidal orbifold.

# Orbifold calculation

Need to calculate  $\langle \operatorname{Tr}(A_{\mu}A^{\mu}) \operatorname{Tr}(\phi^{i}\phi^{j}\phi^{k}) \rangle$ 



 $\blacksquare$  Double-trace operator: need worldsheet with two boundaries  $\rightarrow$  cylinder worldsheet

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No other worldsheets contribute at this order.

# Orbifold calculation

Need to calculate  $\langle \mathcal{V}^0_{A_1}(z_1)\mathcal{V}^0_{A_2}(z_2) \mathcal{V}^0_{\phi_i}(z_3)\mathcal{V}^0_{\phi_j}(z_4)\mathcal{V}^0_{\phi_k}(z_5) \rangle$ 



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$$\mathcal{V}_{A_1}^0(z_1) = \left[\partial X^1 + i\alpha'(k_1 \cdot \psi)\psi^1\right] e^{ik_1 \cdot X}(z_1)$$
  

$$\mathcal{V}_{A_2}^0(z_2) = \left[\partial \bar{X}^1 + i\alpha'(k_2 \cdot \psi)\bar{\psi}^1\right] e^{ik_2 \cdot X}(z_2)$$
  

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•  $\langle \Psi \bar{\Psi} \rangle$  non-zero while  $\langle \Psi \rangle = \langle \Psi \Psi \rangle = \langle \Psi \Psi \Psi \rangle = 0$ 

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•  $\langle \Psi \bar{\Psi} \rangle$  non-zero while  $\langle \Psi \rangle = \langle \Psi \Psi \rangle = \langle \Psi \Psi \Psi \rangle = 0$ 

• Similarly,  $\langle \partial Z \partial \overline{Z} \rangle$  non-zero and thus  $\langle \partial Z \partial Z \partial Z \rangle_{QM} = 0$ , but one can have a non-zero classical correlator.



Have classical solutions in terms of winding states:

$$Z(\tau,\sigma) = Z_0 + \sqrt{\frac{\alpha'}{2}} \left[ R_1 m + R_2 n + X \right] \sigma + \sum (\text{oscillators})$$



Have classical solutions in terms of winding states:

$$Z(z,\bar{z}) = Z_0 + \sqrt{\frac{\alpha' T_2}{2U_2}} \left[m + Un + X\right](z + \bar{z}) + \sum (\text{oscillators})$$

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Have classical solutions in terms of winding states:

$$\Rightarrow \partial Z_{\rm cl} = \sqrt{\frac{\alpha' T_2}{2U_2}} \left[ m + Un + X \right] := r_{mn}$$

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The correlator becomes:

$$\langle \partial Z^i \partial Z^j \partial Z^k \rangle = \sum_{\text{classical}} \partial Z^i_{\text{cl}} \partial Z^j_{\text{cl}} \partial Z^k_{\text{cl}} \langle \mathbb{1} \rangle_{QM} \ e^{-S_{\text{cl}}}$$

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solutions

# Orbifold calculation: summary



**Necessary condition:** The above correlator and thus the whole cylinder amplitude can only be non-zero if the classical solutions  $\partial Z_{cl}^i \partial Z_{cl}^j \partial Z_{cl}^k$  exist:

$$Y^{\rm np}_{ijk} \Leftrightarrow \partial Z^i_{\rm cl} \partial Z^j_{\rm cl} \partial Z^k_{\rm cl} \; .$$

# Orbifold calculation: summary



 Non-zero winding solutions can only exist with Dirichlet-Dirichlet boundary conditions and when there are are untwisted directions.





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- Visible sector localized at singularity
- D7s wrap a bulk 4-cycle



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- Take this picture to the orbifold limit
- To be specific work on  $\mathbb{T}^6/\mathbb{Z}_6'$ :  $\theta = \frac{1}{6}(1, -3, 2)$

Setup in  $\mathbb{T}^6/\mathbb{Z}_6'$  orbifold:  $\theta = \frac{1}{6}(1, -3, 2)$ 



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In the orbifold limit

- bulk D7s wrap two internal 2-tori
- bulk D7s are separated from visible D3 stack on one torus

Setup in  $\mathbb{T}^6/\mathbb{Z}_6'$  orbifold:  $\theta = \frac{1}{6}(1, -3, 2)$ 



Check for classical solutions:

- First two tori: no winding due to Neumann-Dirichlet boundary condition
- Only allowed classical solution is trivial:  $\partial Z_{cl}^1 = \partial Z_{cl}^2 = 0$

Setup in  $\mathbb{T}^6/\mathbb{Z}_6'$  orbifold:  $\theta = \frac{1}{6}(1, -3, 2)$ 



Check for classical solutions:

 Third torus: Dirichlet-Dirichlet boundary condition allows for non-trivial classical winding strings

• Set of classical solutions: 
$$\partial Z_{cl}^3 = \sqrt{\frac{lpha' T_2}{2U_2}} \left[ m + Un + X \right]$$

$$\Rightarrow \langle \partial Z^3 \partial Z^3 \partial Z^3 \rangle \neq 0$$

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Setup in  $\mathbb{T}^6/\mathbb{Z}_6'$  orbifold:  $\theta = \frac{1}{6}(1, -3, 2)$ 



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$$\partial Z_{cl}^3 = \sqrt{\frac{\alpha' T_2}{2U_2}} [m + Un + X]$$

 $\Rightarrow$  Generate Yukawa coupling  $Y_{333}^{np}C^3C^3C^3e^{-T}$ 

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Setup in  $\mathbb{T}^6/\mathbb{Z}_6'$  orbifold:  $\theta = \frac{1}{6}(1, -3, 2)$ 



Does this configuration lead to new Yukawas?:

• However,  $C^2 C^2 C^2$  is not gauge-invariant: no triangle in quiver.

 $\Rightarrow$  No Yukawa coupling  $Y_{222}^{np}$  induced.



Result of CFT calculation:

$$Y_{333}^{np} = C \sum_{m,n} \int_0^\infty \frac{dt}{t} r_{mn}^3 e^{-\frac{\pi t}{\alpha'} |r_{mn}|^2}$$

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Result of CFT calculation:

$$Y^{np}_{333} = \mathcal{C} \; rac{\partial^3}{\partial X^3} \; \ln |artheta_1(X,U)|^2$$

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where U is the complex structure of the torus wrapped and X is the separation between the brane stacks.



Result of CFT calculation:

$$Y^{np}_{333} = \mathcal{C} \; rac{\partial^3}{\partial X^3} \; \ln |artheta_1(X,U)|^2$$

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Each KK modes contributes negligibly to the overall result. The sum over all of them gives a non-zero result, a = a = a = a

Result of orbifold calculation: generate  $Y_{rrr}^{np} C^r C^r C^r e^{-T}$  with

$$Y_{rrr}^{np} = \mathcal{C} \frac{\partial^3}{\partial X^3} \ln |\vartheta_1(X,U)|^2$$

• Recall: tree-level Yukawa:  $Y_{123}^{tree}C^1C^2C^3 \neq cY_{rrr}^{np}C^rC^rC^r$ 

- $\Rightarrow$  Phenomenological consequences:
  - Non-zero Y<sup>np</sup><sub>rrr</sub> can lead to flavor violation.
     In KKLT this can be made subdominant. [Berg, Marsh, McAllister, Pajer]
  - Incorporate Y<sup>np</sup><sub>rrr</sub> C<sup>r</sup> C<sup>r</sup> C<sup>r</sup> into model to give desired squark masses (light 3rd, heavy 1st and 2nd generations)

We found non-negligible interactions between branes at large separations. Why should this be expected?



Estimate change of volume occupied by D7

- D3 pointlike in six int. dim.: sources metric perturbation
- $\frac{1}{\sqrt{r^2+z^2}^4}$

D7 volume affected as

$$\sim \int_0^L r^3 \mathrm{d}r rac{1}{(r^2+z^2)^2} \stackrel{L o\infty}{
ightarrow} \log L$$

The D7 is essentially infinite from point of view of D



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- Here D7s wrap a small (blow-up) cycle
- D7s now do not wrap a large volume. Are they still affected by the presence of distant D3s?

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- Again, take this picture to the orbifold limit
- To be specific work on  $\mathbb{T}^6/\mathbb{Z}_6'$ :  $\theta = \frac{1}{6}(1, -3, 2)$

 $\mathbb{T}^6/\mathbb{Z}_6'$  orbifold:  $\theta = \frac{1}{6}(1, -3, 2)$ . D7-branes become fractional D3-branes.



Again, study classical winding solutions.

- Examine sectors of the orbifold individually
- 6 sectors  $\mathbb{T}^6/\theta^n$  with  $n = 0, 1, \dots 5$

$$\Rightarrow$$
 Examine homology of  $\mathbb{T}^6/\mathbb{Z}_6'$ 

Untwisted sector:  $\mathbb{T}^6/\theta^0$ 



Winding solutions in principle allowed.

- This sector preserves  $\mathcal{N} = 4$  SUSY
- The amplitude vanishes due to supersymmetry:  $\langle \partial X^i \partial X^j \partial X^k \rangle = 0$

 $\Rightarrow$  No corrections to Yukawa couplings from this sector.

Fully twisted sector:  $\mathbb{T}^6/\theta^1$  where  $\theta = \frac{1}{6}(1, -3, 2)$ 



No contribution from winding states:

- Winding solutions not allowed in twisted direction: all  $\langle \partial Z^i \partial Z^j \partial Z^k \rangle = 0$
- Twisted cycles are stuck to orbifold fixed points

 $\Rightarrow$  No corrections to Yukawa couplings from this sector.

Visualization of fully twisted cycle:



#### Photo: Michele Aquila

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Partially twisted sector:  $\mathbb{T}^6/\theta^3$  where  $\theta^3 = \frac{1}{6}(3, -3, 0)$ 



The third torus is left untwisted:

- Winding states only exist on third torus: only  $\langle \partial Z^3 \partial Z^3 \partial Z^3 \rangle \neq 0$
- homology: 2-cycle shared by fixed points on third torus

$$\Rightarrow$$
 Generate Yukawa coupling  $Y_{333}^{np}C^3C^3C^3e^{-T}$ 



Find same numerical result as before:

$$Y^{np}_{333} = \mathcal{C} \; rac{\partial^3}{\partial X^3} \; \ln |\vartheta_1(X,U)|^2$$

Image: Ima

DQC

Can this be avoided? Change the brane setup:



Branes also separated along second torus

Branes are not connected by a 2-cycle: no winding solution possible

 $\Rightarrow$  No corrections to Yukawa couplings for this setup.

# D7s wrapping a small cycle (LVS): Summary

**Necessary condition for non-perturbative Yukawa couplings:** visible and hidden sector connected by a homologous 2-cycle.



# D7s wrapping a small cycle (LVS): Summary

**Necessary condition for non-perturbative Yukawa couplings:** visible and hidden sector connected by a 2-cycle.

#### Consequence for flavour structure:

Strings wrapping 2-cycle along strings wrapping the *r*-th complex direction  $\rightarrow$  flavor structure:  $Y_{rrr}^{np}C^rC^rC^re^{-aT}$ 

#### **Resulting soft terms:**

As  $Y_{rrr} \neq cY_{123}^{tree}$  the resulting soft terms can introduce flavor violation. In LVS the corrections to soft A-terms severly constrain parameter space.

[Berg, Marsh, McAllister, Pajer]

# Summary



#### Summary: Connection to previous results

D7 threshold correction due to D3 at X with matter fluctuation  $\phi\phi\phi$ 



Our result:

$$\delta_{X,\phi\phi\phi}\left(\frac{8\pi^2}{g^2}\right) \sim \partial_X^3 \left(-\ln|\vartheta_1(X,U)|^2 + 2\left[\operatorname{Im}(X)\right]^2\right)$$

Ja CA
D7 threshold correction due to backreaction of D3 at X



Worldsheet calculation [Berg, Haack, Körs]:

$$\delta_X\left(\frac{8\pi^2}{g^2}\right) \sim -\ln|\vartheta_1(X,U)|^2 + 2\left[\operatorname{Im}(X)\right]^2$$

Ma C

D7 threshold correction due to backreaction of D3 at X



Geometrical closed string approach [Baumann et.al. ]:

$$\delta h \Rightarrow \delta V_{\Sigma_4} = \int_{\Sigma_4} d^4 Y \sqrt{g^{ind}} \delta h \Rightarrow \delta_{\phi} \left( \frac{8\pi^2}{g^2} \right) = T_3 \delta V_{\Sigma_4}$$

Ma C

D7 threshold correction due to backreaction of D3 at X



Geometrical closed string approach [Baumann et.al. ]:

$$\delta_X\left(\frac{8\pi^2}{g^2}\right) \sim -\ln|\vartheta_1(X,U)|^2 + 2\left[\operatorname{Im}(X)\right]^2$$

Ma C

D7 threshold correction due to D3 at X

Calculate via open or closed string approach:

$$\delta_X\left(\frac{8\pi^2}{g^2}\right) \sim -\ln|\vartheta_1(X,U)|^2 + 2\left[\operatorname{Im}(X)\right]^2$$

D7 threshold correction due to D3 at X with matter fluctuation  $\phi\phi\phi$ 

Calculated using worldsheet methods:

$$\delta_{X,\phi\phi\phi}\left(\frac{8\pi^2}{g^2}\right) \sim \partial_X^3 \left(-\ln|\vartheta_1(X,U)|^2 + 2\left[\operatorname{Im}(X)\right]^2\right)$$

Our result appears as a coefficient in a Taylor expansion.

### Outlook:

- **1** Can our result be rederived using a geometrical closed string approach?
- We worked in toy-models of KKLT and LVS scenarios, ignoring consistency conditions (tadpole cancellation etc. ). Our results still need to be embedded in more realistic constructions to properly assess the phenomenological consequences.
- 3 Need to consider more realistic string compactifications: analyze del Pezzo instead of orbifold singularities.
- **4** The study of sequestering is not complete by far: how does the matter metric  $Z_{\alpha\overline{\beta}}$  depend on SUSY breaking moduli at 1-loop in string perturbation theory?

# Summary

- Moduli stabilisation via gaugino condensation on D7-branes can lead to superpotential de-sequestering: new Yukawa couplings Y<sup>np</sup>C<sup>r</sup>C<sup>r</sup>C<sup>r</sup>e<sup>-T</sup> are generated
- **2** The flavor structure of  $Y^{np}$  does not coincide with the tree-level flavour structure:  $C^r C^r C^r \neq C^1 C^2 C^3$  leading to possible flavor violation.
- 3 While generally present in KKLT constructions de-sequestering in the LVS occurs if visible and hidden sector share a homologous 2-cycle.

## Many thanks!