

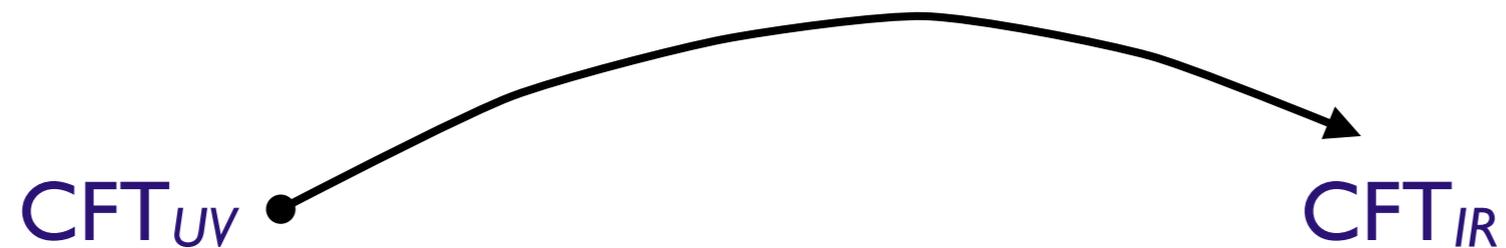
# Bootstrap Program for CFT in $D \geq 3$

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# Physical Origins of CFT

RG Flows:



**Fixed points = CFT**

[Rough argument:  $T_{\mu}^{\mu} = \beta(g)\mathcal{O} \rightarrow 0$  when  $\beta(g) \rightarrow 0$ ]

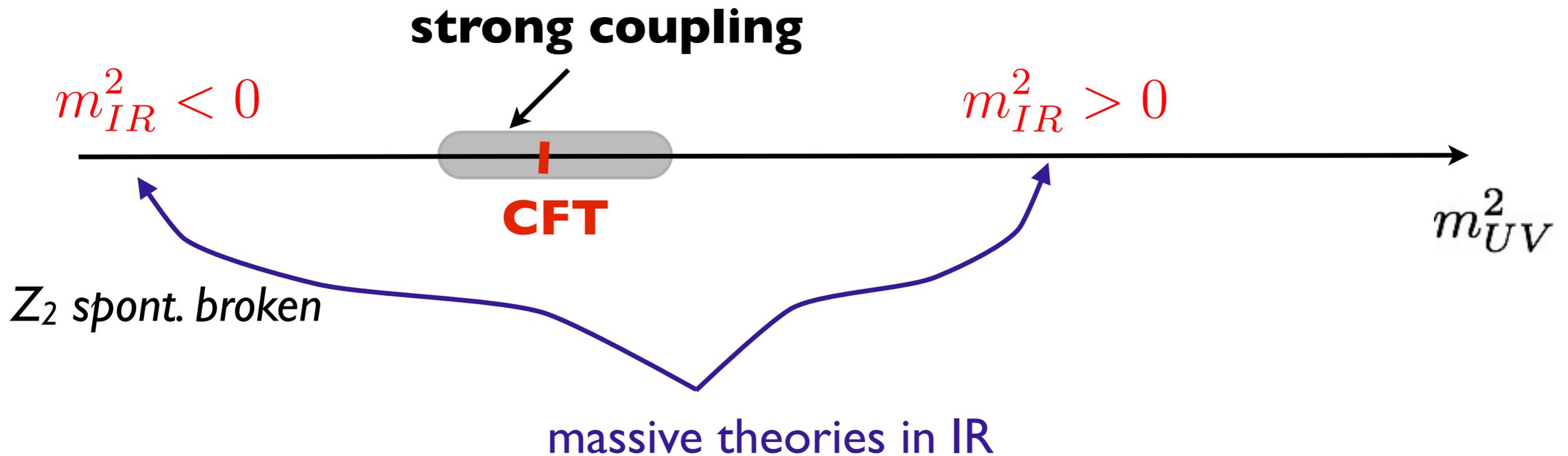
# 3D Example

CFT<sub>UV</sub> = free scalar  $(\partial\phi)^2$

Z<sub>2</sub>-preserving perturbation:  $m^2\phi^2 + \lambda\phi^4 [+ \kappa\phi^6]$   $m, \lambda \ll \Lambda_{UV}$

$$m_{IR}^2 = m_{UV}^2 + O\left(\frac{\lambda^2}{16\pi^2}\right)$$

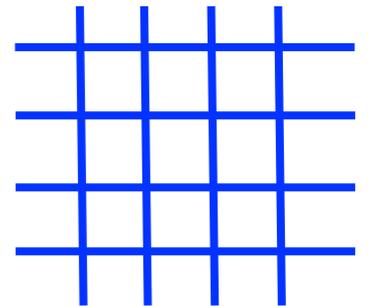
Phase diagram:



# Universality

- Any same-symmetry Lagrangian (e.g.  $k \neq 0$ ) can flow to the same  $\text{CFT}_{\text{IR}}$
- Can even start from a lattice model e.g. 3D Ising model:

$$Z = \exp \left[ -\frac{1}{T} \sum_{\langle ij \rangle} \sigma_i \cdot \sigma_j \right]$$



Near  $T_c$  the spin-spin correlation length  $\xi(T) \rightarrow \infty$   
 $\Rightarrow$  lattice artifacts go away

Continuum limit @  $T=T_c$  is the same  $\text{CFT}_{\text{IR}}$  as on the previous slide

# Beyond Lagrangians

Strongly coupled CFTs can usually be realized as endpoints of RG flows from weakly coupled, Lagrangian theories

*Exception:  $N=(2,0)$  6D theory of multiple M5 branes*

By itself, a CFT **generically** cannot be described by a Lagrangian  
**Strongly coupled Lagrangian  $\approx$  No Lagrangian**

*Exceptions:*

*a) Weakly coupled CFTs, like  $\lambda\phi^4$  in  $D=4-\epsilon$  (WF fixed point)  $\text{CFT}_{UV} \curvearrowright \text{CFT}_{IR}$*

*b) Theories a la  $N=4$  SYM  $\mathcal{L} = \frac{1}{g^2} [\dots]$   $\beta(g) \equiv 0 \forall g$*

One parameter family of CFTs:



# Beyond AdS

For many people, CFT in  $D \geq 3$  has become inseparable from AdS/CFT

Does any CFT has an AdS dual (string  $\sigma$ -model with AdS factor in the target space)?

Is duality practical away from the large  $N$  limit?

## Effective holography:

Put any field content in the AdS bulk, compute correlators on the boundary

Theory in the bulk is only effective (e.g. includes gravity)

⇒

defines only an 'effective CFT', to first order in  $1/N$  expansion

$$N \sim R_{AdS}/L_{Pl}$$

# CFT - intrinsic definition

## I. Basis of local operators $O_i$ with scaling dimensions $\Delta_i$

[including stress tensor  $T_{\mu\nu}$  of  $\Delta_T=4$ ; conserved currents  $J_\mu$  of  $\Delta_J=3$ ]

$$O_\Delta \xrightarrow{P} O_{\Delta+1} \xrightarrow{P} O_{\Delta+2} \xrightarrow{P} \dots$$

derivative operators (**descendants**)

$K_\mu$  = special conformal transformation generator,  $[K] = -1$

$$K_\mu \leftrightarrow 2x_\mu(x \cdot \partial) - x^2 \partial_\mu \quad \text{cf. } P_\mu \leftrightarrow \partial_\mu$$

$$O_\Delta \xleftarrow{K} O_{\Delta+1} \xleftarrow{K} O_{\Delta+2} \xleftarrow{K} \dots$$

In unitary theories dimensions have lower bounds:

$$\Delta \geq \ell + D - 2 \quad (\geq D/2 - 1 \text{ for } \ell = 0)$$

So each multiplet must contain the lowest-dimension operator:

$$K_\mu \cdot O_\Delta(0) = 0$$

**(primary)**

At  $x \neq 0$ :  $[K_\mu, \phi(x)] = (-i2x_\mu \Delta - 2x^\lambda \Sigma_{\lambda\mu} - i2x_\mu x^\rho \partial_\rho + ix^2 \partial_\mu) \phi(x)$

Ward identities for correlation functions:

$$X \cdot \langle \dots \rangle = 0 \quad X = (D, P_\mu, M_{\mu\nu}, K_\mu)$$

For 2- and 3-point functions suffice to solve the x-dependence:

$$\langle O_i(x) O_j(0) \rangle = \frac{\delta_{ij}}{(x^2)^{\Delta_i}} \quad \leftarrow \text{normalization}$$

$$\langle O_i(x_1) O_j(x_2) O_k(x_3) \rangle = \frac{\lambda_{ijk}}{|x_{12}|^{\Delta_i + \Delta_j - \Delta_k} |x_{13}|^{\Delta_i + \Delta_k - \Delta_j} |x_{23}|^{\Delta_j + \Delta_k - \Delta_i}}$$

## 2. “coupling constants”

= OPE coefficients

= structure constants of the operator algebra

# Operator Product Expansion

$$O_i(x)O_j(0) = \lambda_{ijk}|x|^{\Delta_k - \Delta_i - \Delta_j} \{O_k(0) + \dots\}$$



can be determined by plugging OPE into 3-point function and matching on the exact expression

$$\frac{1}{2}x^\mu\partial_\mu O_k + \alpha x^\mu x^\nu\partial_\mu\partial_\nu O_k + \beta x^2\partial^2 O_k + \dots$$

# Four point function

Ward identity constrains it to have the form:

$$\langle \phi\phi\phi\phi \rangle = \frac{g(u, v)}{|x_{12}|^{2\Delta} |x_{34}|^{2\Delta}}$$

$$u = \frac{x_{12}^2 x_{34}^2}{x_{13}^2 x_{24}^2}, \quad v = \frac{x_{14}^2 x_{23}^2}{x_{13}^2 x_{24}^2}$$

Using OPE can say more:

$$\begin{aligned} \left\langle \begin{array}{cc} \phi(x_1) & \phi(x_3) \\ \phi(x_2) & \phi(x_4) \end{array} \right\rangle &= \sum \lambda_{\phi\phi i}^2 |x_{12}|^{\Delta_i - 2\Delta_\phi} |x_{34}|^{\Delta_i - 2\Delta_\phi} \langle \{O_i(x_2) + \dots\} \{O_i(x_4) + \dots\} \rangle \\ &= \sum \lambda_{\phi\phi i}^2 \frac{G_{\Delta_i, \ell_i}(u, v)}{|x_{12}|^{2\Delta_\phi} |x_{34}|^{2\Delta_\phi}} \end{aligned}$$

**conformal blocks** ←

$$g(u, v) = \sum \lambda_{\phi\phi i}^2 G_{\Delta_i, \ell_i}(u, v)$$

# Crossing symmetry

$$\langle \phi\phi\phi\phi \rangle = \frac{g_s(u, v)}{|x_{12}|^{2\Delta} |x_{34}|^{2\Delta}} = \frac{g_t(v, u)}{|x_{14}|^{2\Delta} |x_{23}|^{2\Delta}}$$

$$g_s(u, v) = \sum \lambda_{\phi\phi i}^2 G_{\Delta_i, \ell_i}(u, v)$$

$$g_t(v, u) = \sum \lambda_{\phi\phi i}^2 G_{\Delta_i, \ell_i}(v, u)$$

But:  $g_t(v, u) = (v/u)^{\Delta_\phi} g_s(u, v)$

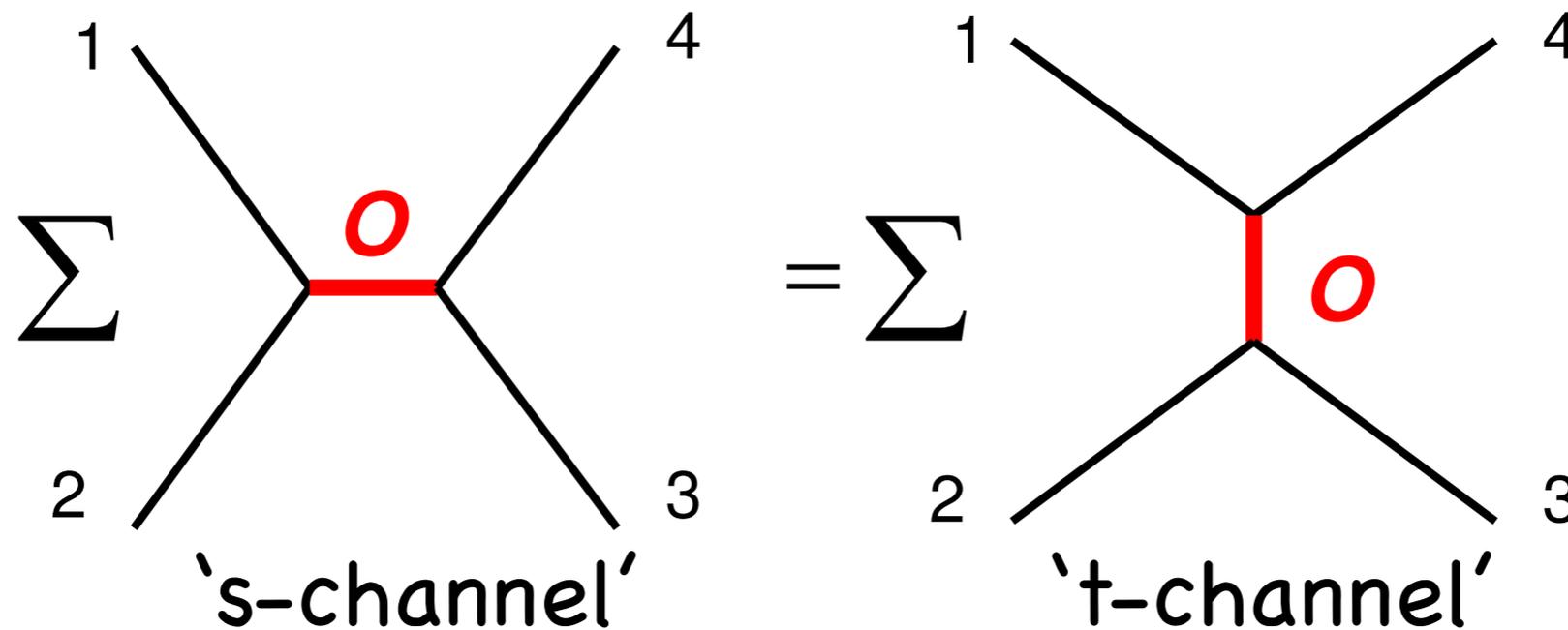
This is a consistency condition for the CFT data

[Nontrivial because not satisfied term by term]

# Conformal bootstrap

Ferrara, Gatto, Grillo 1973

Polyakov 1974



$$\sum_i \lambda_{12i} \lambda_{34i} [\dots] = \sum_i \lambda_{14i} \lambda_{23i} [\dots]$$

**Do solutions of this equation,  
imposed on all four point  
functions, provide a classification  
of CFTs?**

*A bit like classifying Lie algebras...*

# D=2 success story

- In D=2  $(P_\mu, K_\mu, M_{\mu\nu}, D) \rightarrow$  Virasoro algebra  
 $\Rightarrow$  New lowering operators  $L_{-n}$ ,  $n=2,3,\dots$

Virasoro multiplet =  $\bigoplus_{n=1}^{\infty}$  (Conformal multiplets)

- Central charge  $c < 1$  + unitarity  $\Rightarrow$

$$c = 1 - \frac{6}{m(m+1)}, \quad m = 3, 4, \dots \quad [\text{Friedan, Qiu, Shenker}]$$

- Primary dimensions in these “minimal models” are also fixed:

$$\Delta_{r,s} = \frac{(r + m(r - s))^2 - 1}{2m(m+1)} \quad 1 \leq s \leq r \leq m - 1$$

- Finally, knowing dimensions, OPE coefficients can be determined  
by **bootstrap**

[Belavin, Polyakov, Zamolodchikov], ...

# $D \geq 3$ always looked a bit hopeless...

$$\sum_i \lambda_{12i} \lambda_{34i} G(\Delta_i, \Delta_{ext} | u, v) = \sum_i \lambda_{14i} \lambda_{23i} G(\Delta_j, \Delta_{ext} | v, u)$$

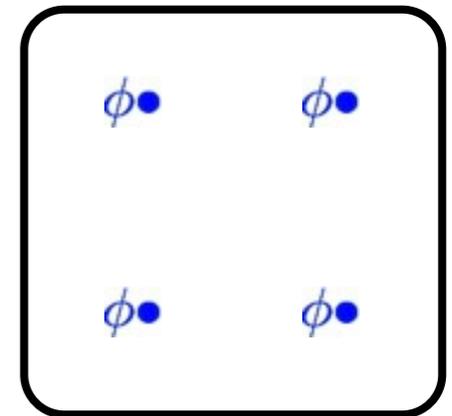
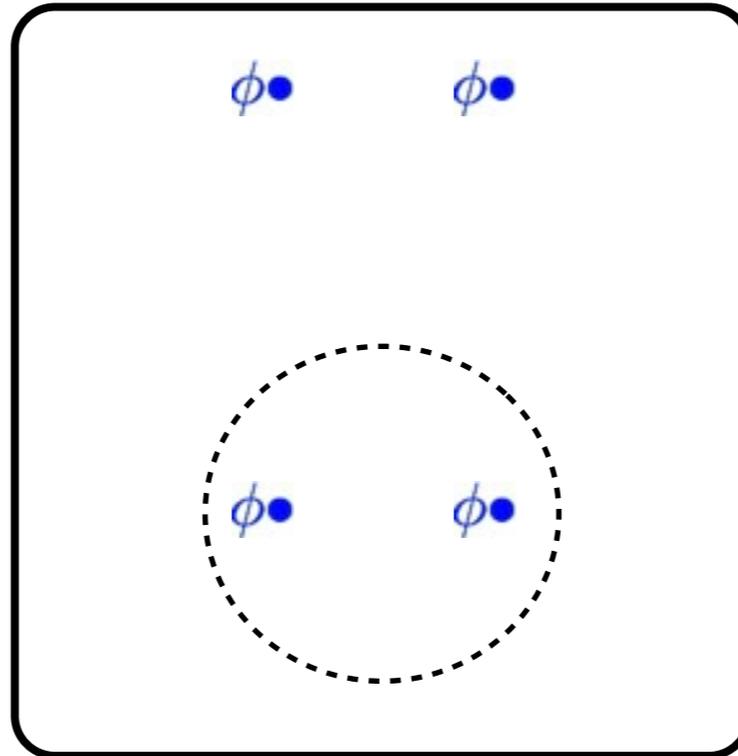
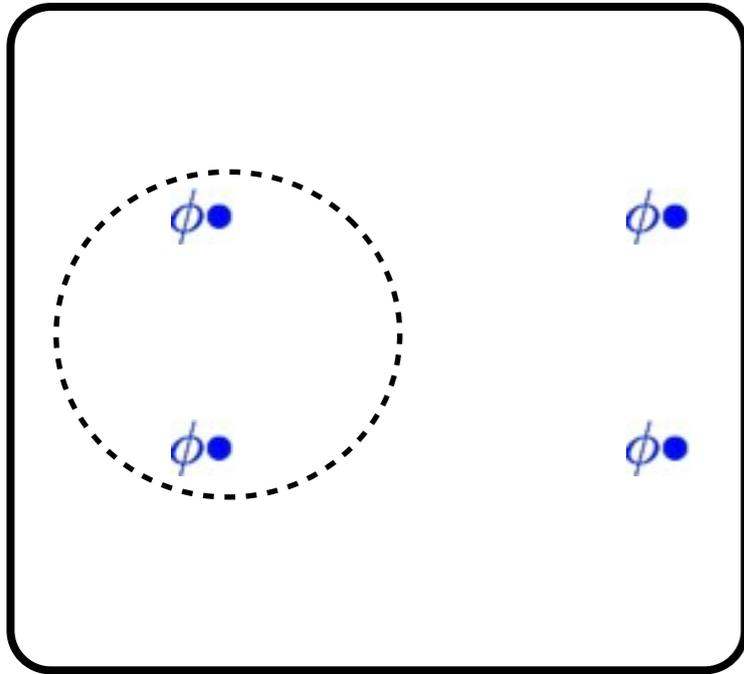
- Infinite system for infinite # of unknowns

- # of primaries grows exponentially with dimension:

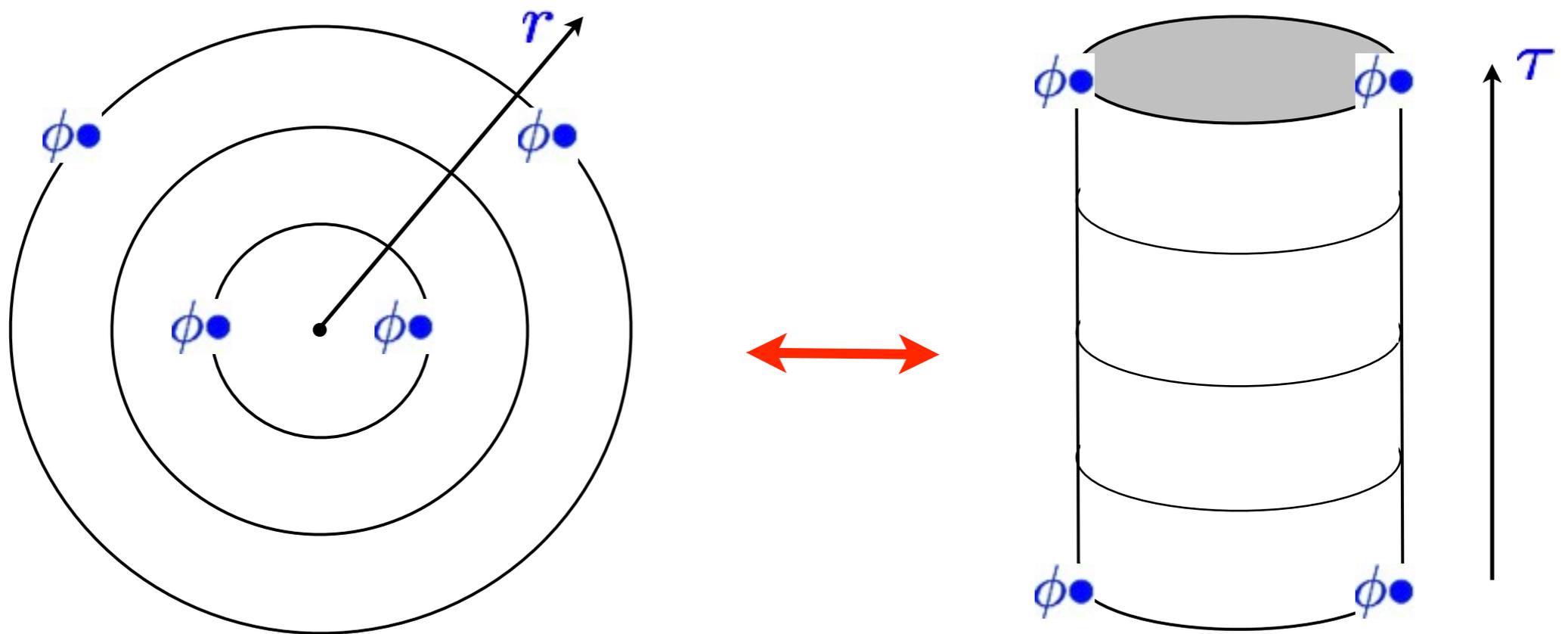
$$\#(\Delta < E) \sim \exp(\text{Const.} E^{1-1/D})$$

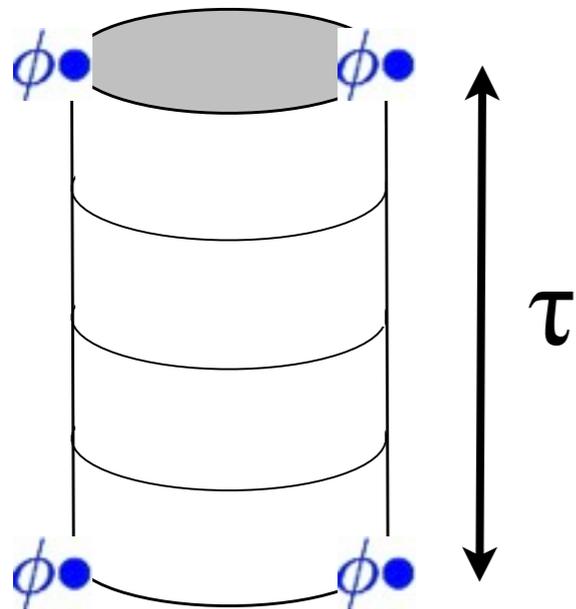
Expansion parameter? Convergence?

# Convergence of OPE decomposition



# Mapping to the cylinder (Radial quantization)





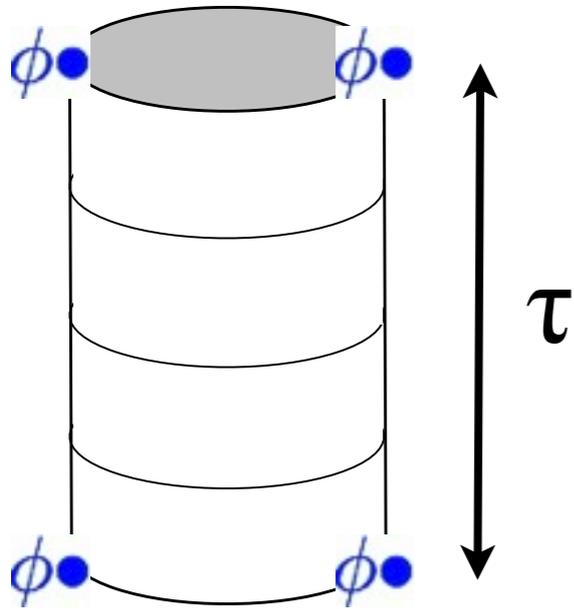
$$\langle 0 | \phi \phi \phi \phi | 0 \rangle = \sum_{E_n} \langle 0 | \phi \phi | n \rangle e^{-E_n \tau} \langle n | \phi \phi | 0 \rangle$$

States on the cylinder are in one-to-one correspondence with CFT local operators (**State-operator correspondence**)

$$|\Delta\rangle \leftrightarrow O_\Delta \quad E_n = \Delta + n, \quad n = 0, 1, 2, \dots$$

$$\langle 0 | \phi \phi \phi \phi | 0 \rangle = \sum_{\Delta} |\langle 0 | \phi \phi | \Delta \rangle|^2 e^{-\Delta \tau} \underbrace{\left( 1 + \sum_{n=1}^{\infty} c_n e^{-n\tau} \right)}_{\text{conformal block}}$$

↑  
 OPE coefficient



$$\langle 0 | \phi \phi \phi \phi | 0 \rangle = \sum_{\Delta} |\langle 0 | \phi \phi | \Delta \rangle|^2 e^{-\Delta \tau} \left( 1 + \sum_{n=1}^{\infty} c_n e^{-n\tau} \right)$$

In the limit  $\tau \rightarrow 0$ :

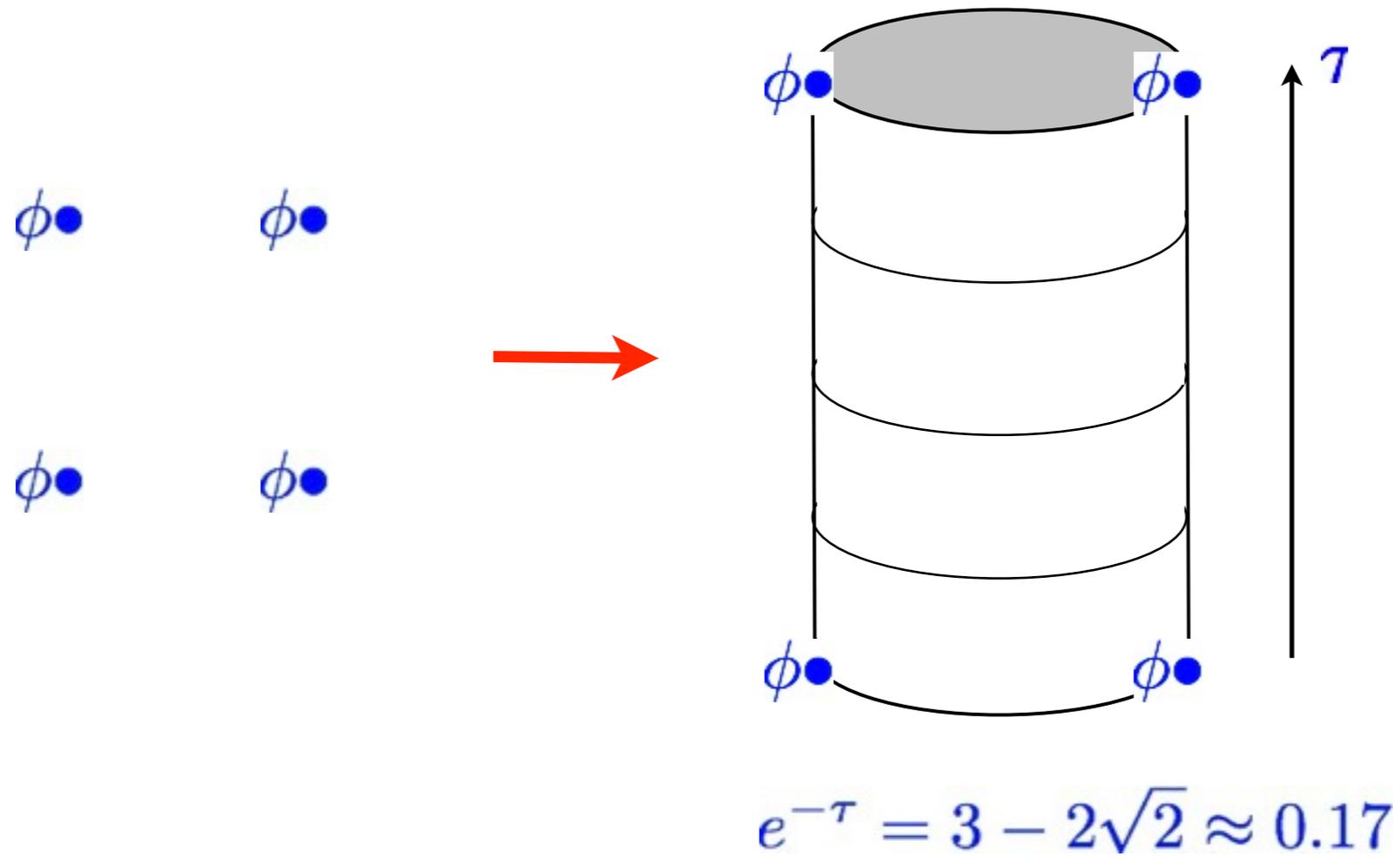
$$\langle 0 | \phi \phi \phi \phi | 0 \rangle \sim \frac{1}{\tau^{2\Delta_{\phi}}} \times \frac{1}{\tau^{2\Delta_{\phi}}}$$

$\Rightarrow$  OPE coefficient asymptotics:

$$|\langle 0 | \phi \phi | \Delta \rangle|^2 \sim \frac{\Delta^{4\Delta_{\phi}-1}}{\Gamma(4\Delta_{\phi})}$$

$\Rightarrow$  At any finite  $\tau > 0$  the series converges exponentially fast:

$$\langle 0 | \phi \phi \phi \phi | 0 \rangle |_{\Delta \geq \Delta_*} \lesssim \frac{\Delta_*^{4\Delta_{\phi}}}{\Gamma(4\Delta_{\phi} + 1)} e^{-\Delta_* \tau}$$



small parameter!

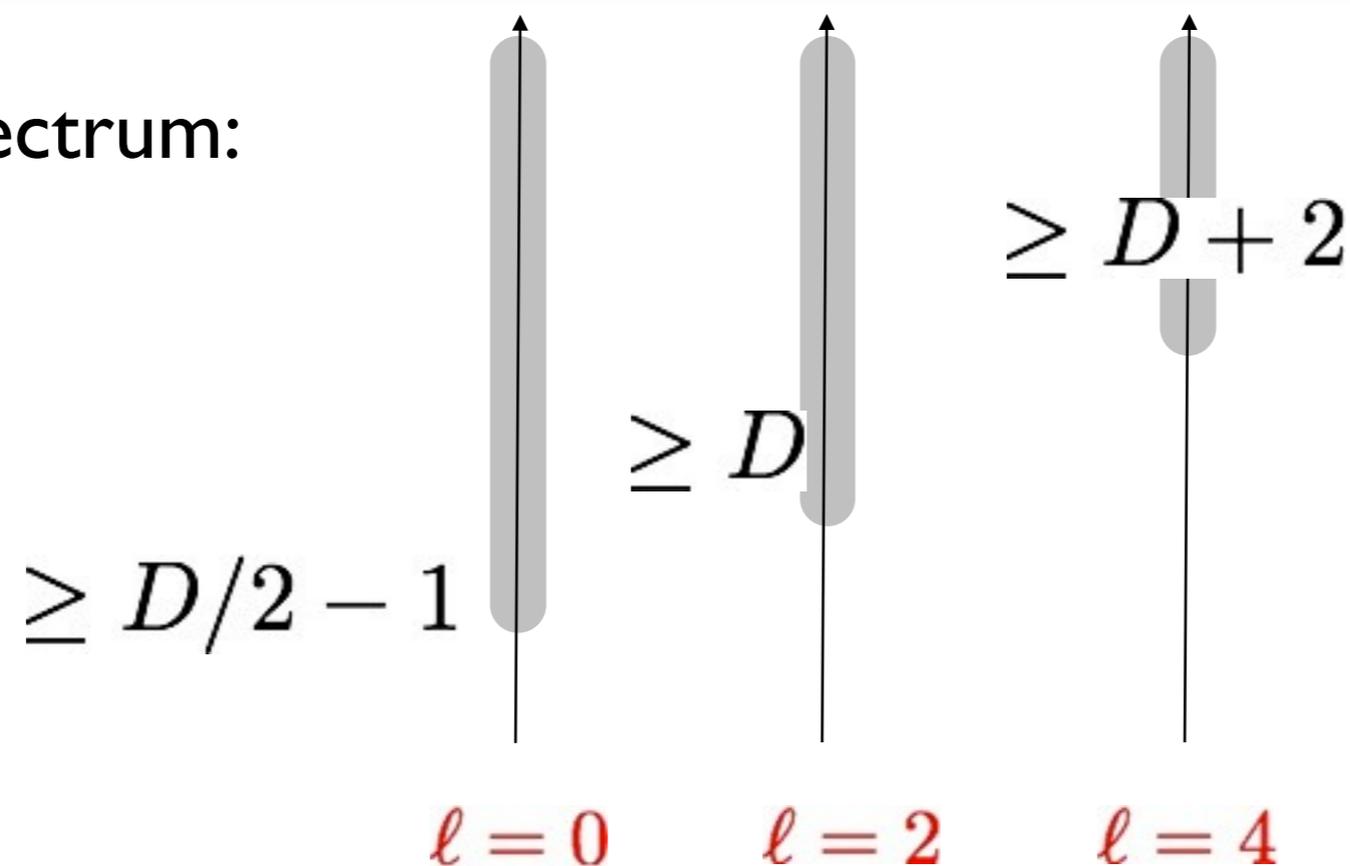
Still the full bootstrap system looks difficult...

Focus on the 4-point function of the lowest dimension scalar:

$$\phi \times \phi = 1 + \text{"}\phi^2\text{"} + \dots \quad \text{spin 0}$$
$$+ T_{\mu\nu} + \dots \quad \text{spin 2}$$
$$+ \text{spins } 4, 6, \dots$$

lowest dimension scalar in this OPE

Allowed spectrum:



## Bootstrap equation:

$$v^{\Delta_\phi} + \sum_{l=0,2,\dots} \sum_{i=1}^{\infty} X_{\ell,i} v^{\Delta_\phi} G_{\ell,\Delta_i}(u,v) = (u \leftrightarrow v)$$

unknowns

$X_{\ell,i} \geq 0$  (square of a real OPE coefficient)

E.g. free scalar field is a solution:

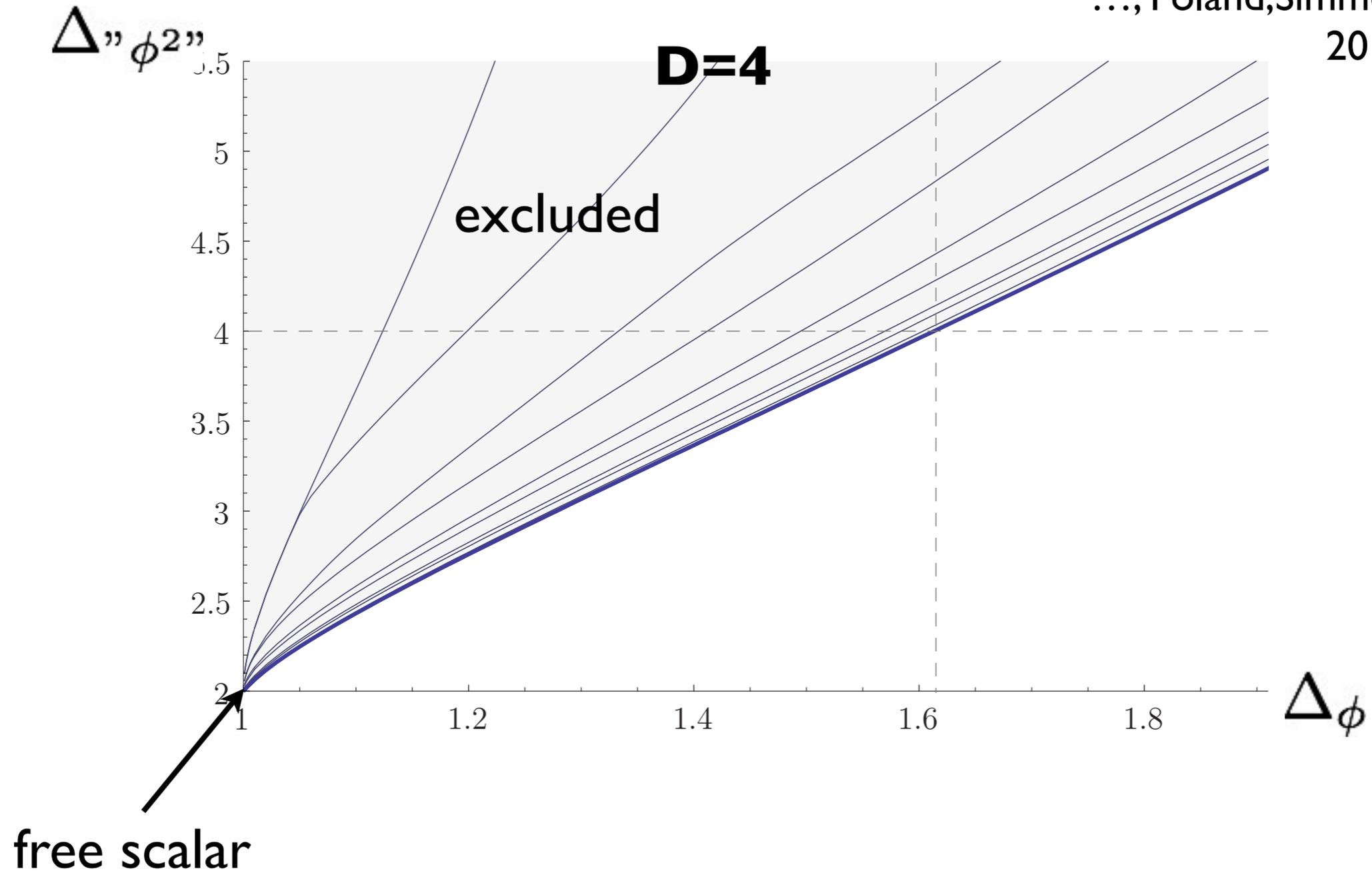
$$\Delta_\phi = 1 \quad (D = 4)$$

$$\Delta_l = l + D - 2 \quad (\text{one field per spin in the OPE})$$

$$X_l = \frac{(l!)^2}{(2l)!}$$

# Upper bound on the dimension of “ $\phi^2$ ”

Rattazzi, S.R., Tonni, Vichi 2008  
S.R., Vichi 2009  
..., Poland, Simmons-Duffin, Vichi  
2011



$$v^{\Delta\phi} + \sum_{l=0,2,\dots} \sum_{i=1}^{\infty} X_{\ell,i} v^{\Delta\phi} G_{\ell,\Delta_i}(u,v) = (u \leftrightarrow v)$$

Expand the bootstrap equation around the square configuration up to a fixed order:

$$\sum_{l=0,2,\dots} \sum_{i=1}^{\infty} X_{\ell,i} \vec{V}_{\ell,\Delta_i} = \vec{V}_0 \quad X_{\ell,i} \geq 0$$

O(100) components

$\Delta_i$ : put an upper cutoff and discretize - get a finite system

**No solutions without low-dimension scalars  
in the spectrum**

Rattazzi, S.R., Tonni, Vichi 2008

Some methods avoid discretization and upper cutoff on  $\Delta$   
(only on spin)

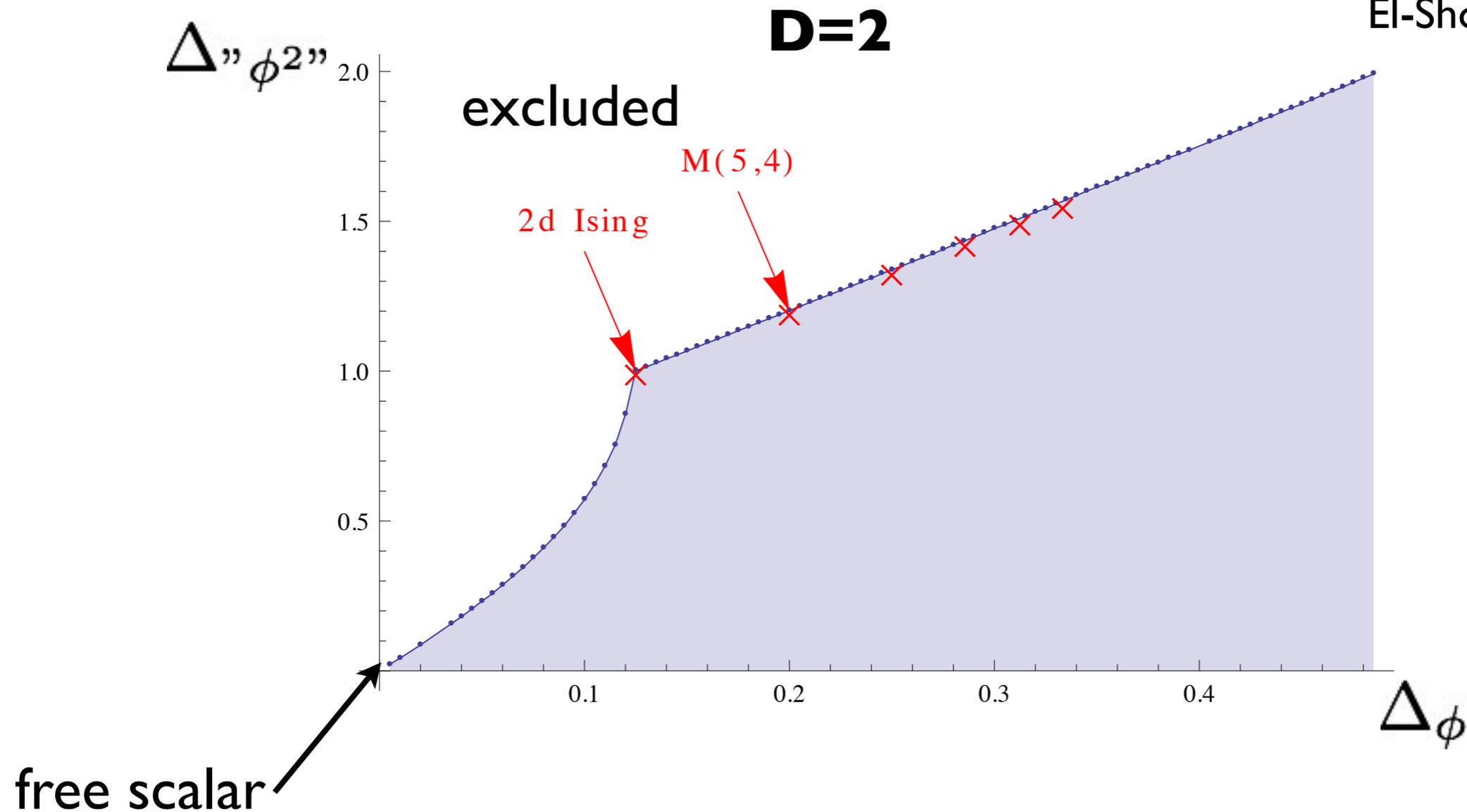
Poland, Simmons-Duffin, Vichi 2011

## Direction I. “Carving out the space of CFTs”

- Bounds on the OPE scalar spectrum in presence of global symmetry of supersymmetry  
Poland, Simmons-Duffin 2010,  
Rattazzi, S.R., Vichi 2010  
Vichi 2011  
Poland, Simmons-Duffin, Vichi 2011
- Bounds on the OPE coefficients and central charges (as functions of operator dimensions)  
Caracciolo, S.R 2009,  
Poland, Simmons-Duffin 2010,  
Rattazzi, S.R., Vichi 2010
- Bounds on the CFT data in presence of a boundary  
Liendo, Rastelli, van Rees 2012

# Direction 2. “Looking for kinks”

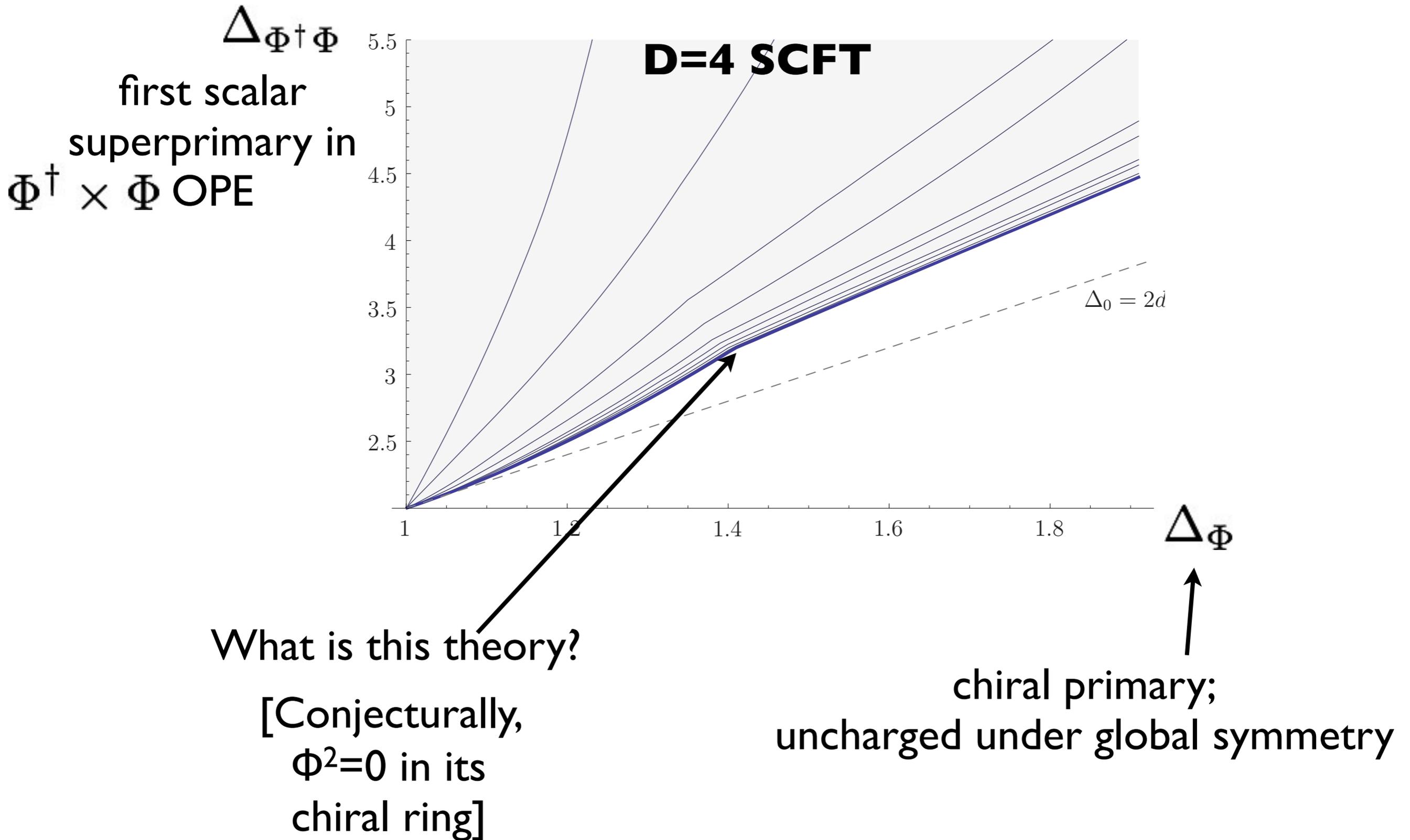
S.R., Vichi 2009  
El-Showk, Paulos 2012



It could be that some special theories saturate bounds  
and/or live at corner points

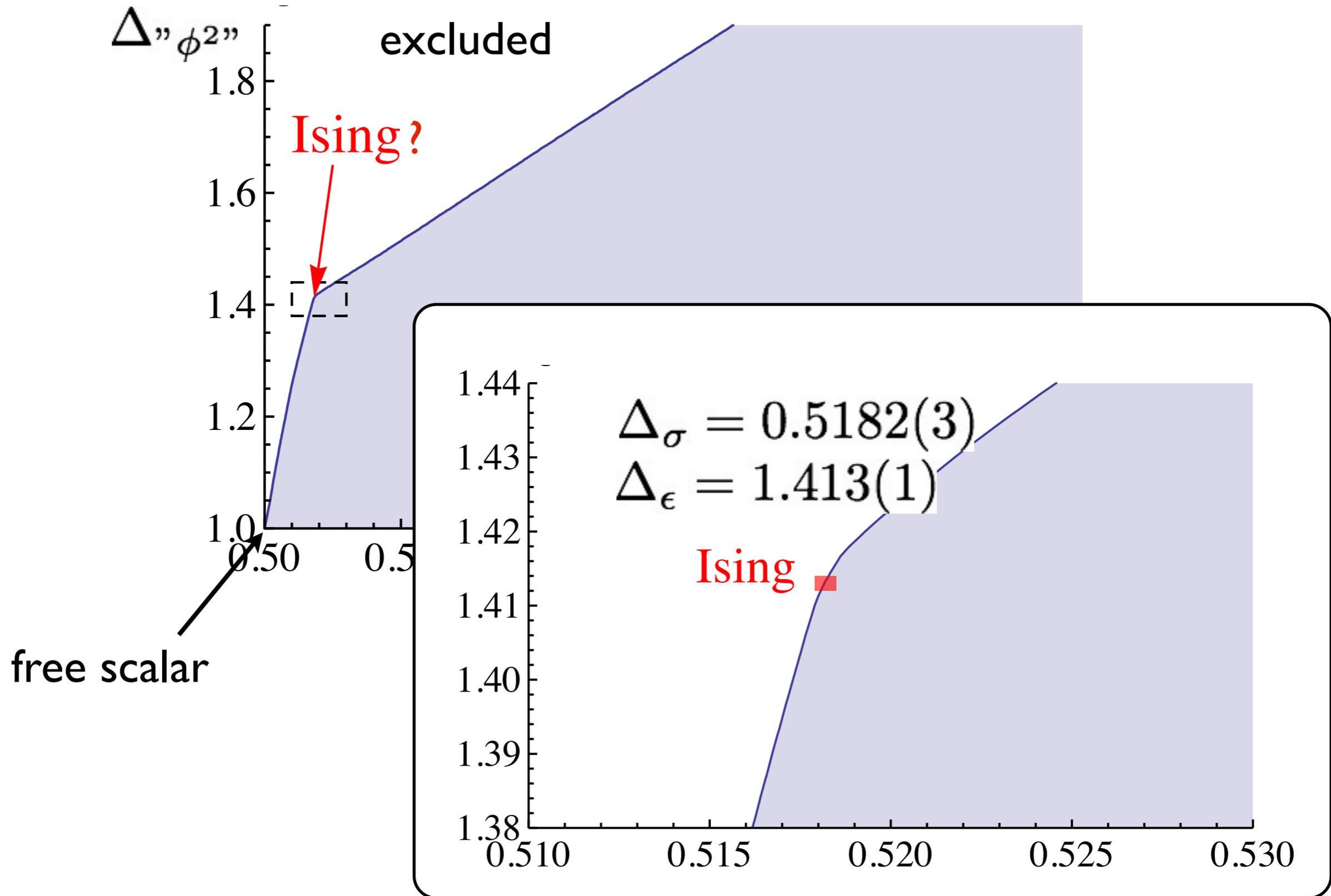
# SUSY kink

Poland, Simmons-Duffin, Vichi 2011



# In D=3 the kink is still there:

[El-Showk, Paulos, Poland, Simmons-Duffin, S.R., Vichi'2012]



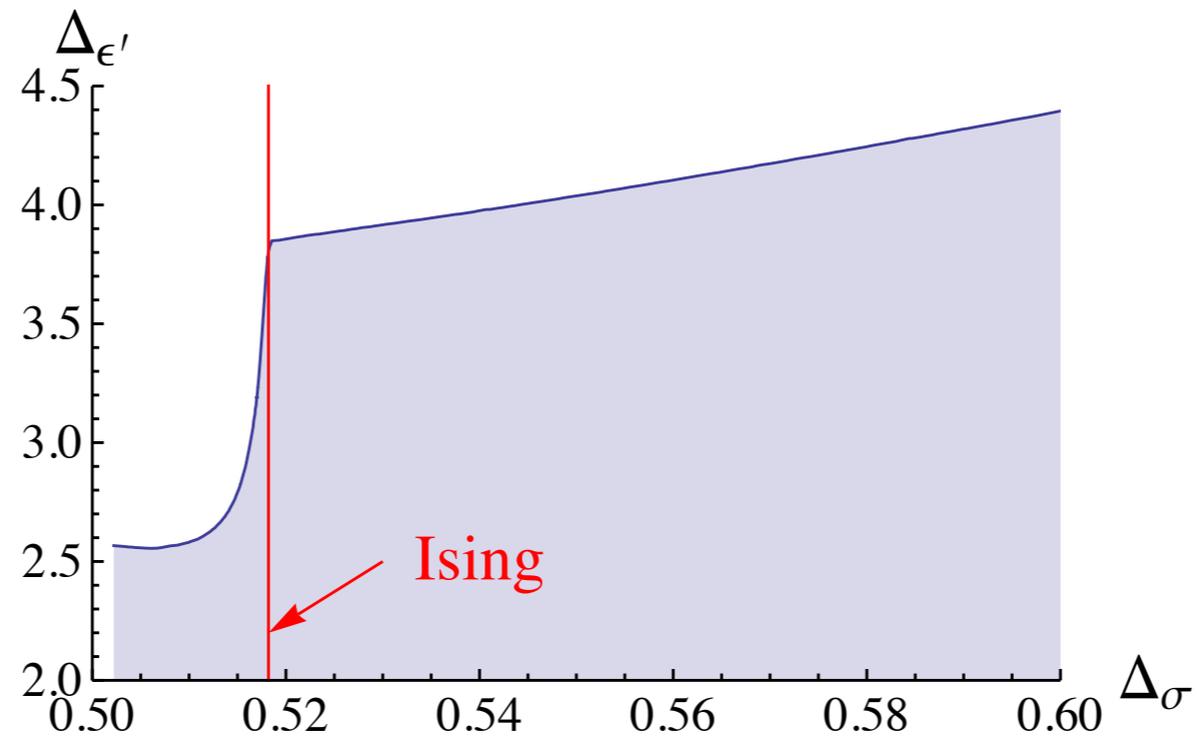
# Interesting things happen near 3D Ising kink:

[El-Showk, Paulos, Poland, Simmons-Duffin, S.R., Vichi'2012]

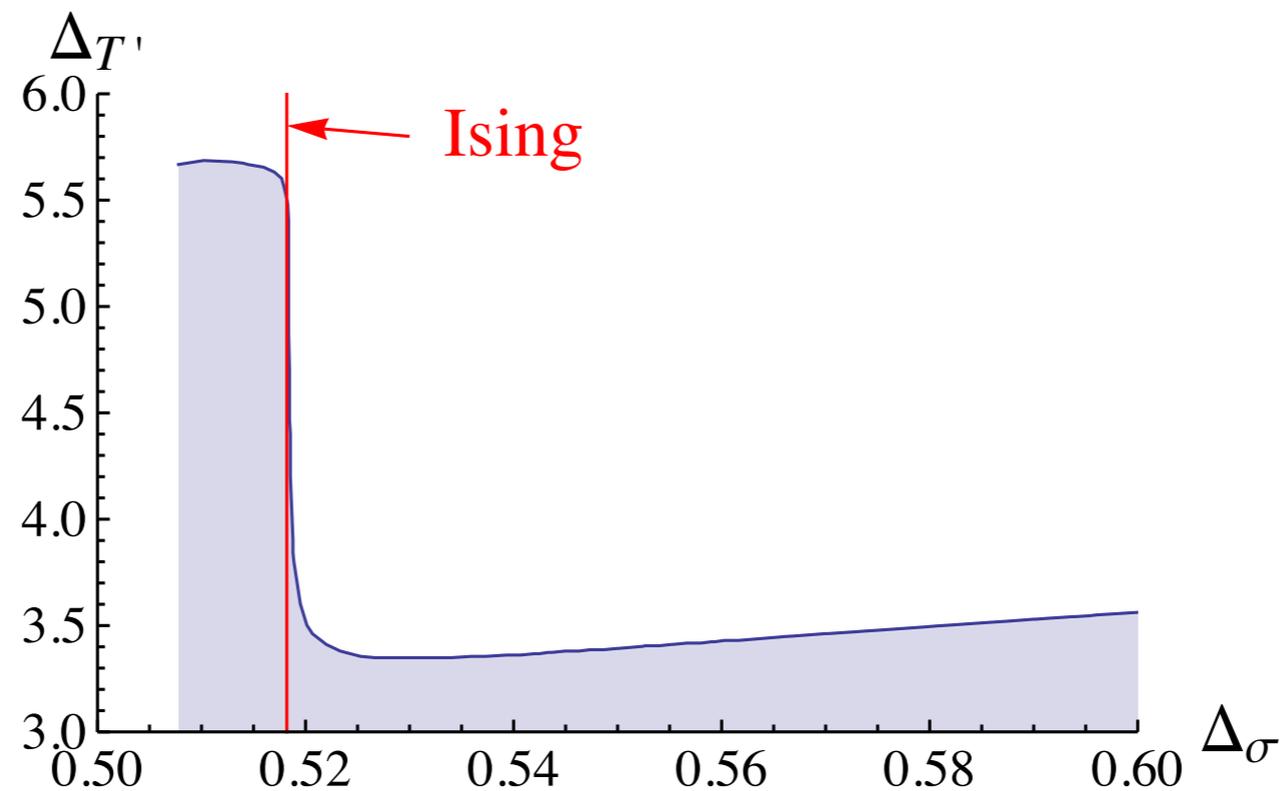
$$\sigma \times \sigma = 1 + \epsilon + \epsilon' + \dots$$

$$\Delta_{\epsilon'} = 3.84(4)$$

fix to maximally allowed



$$+T_{\mu\nu} + T'_{\mu\nu} + \dots$$



# Future Directions & Open problems

1. Extend the crossing symmetry analysis to different external states
  - stress tensor and currents
  - fermions

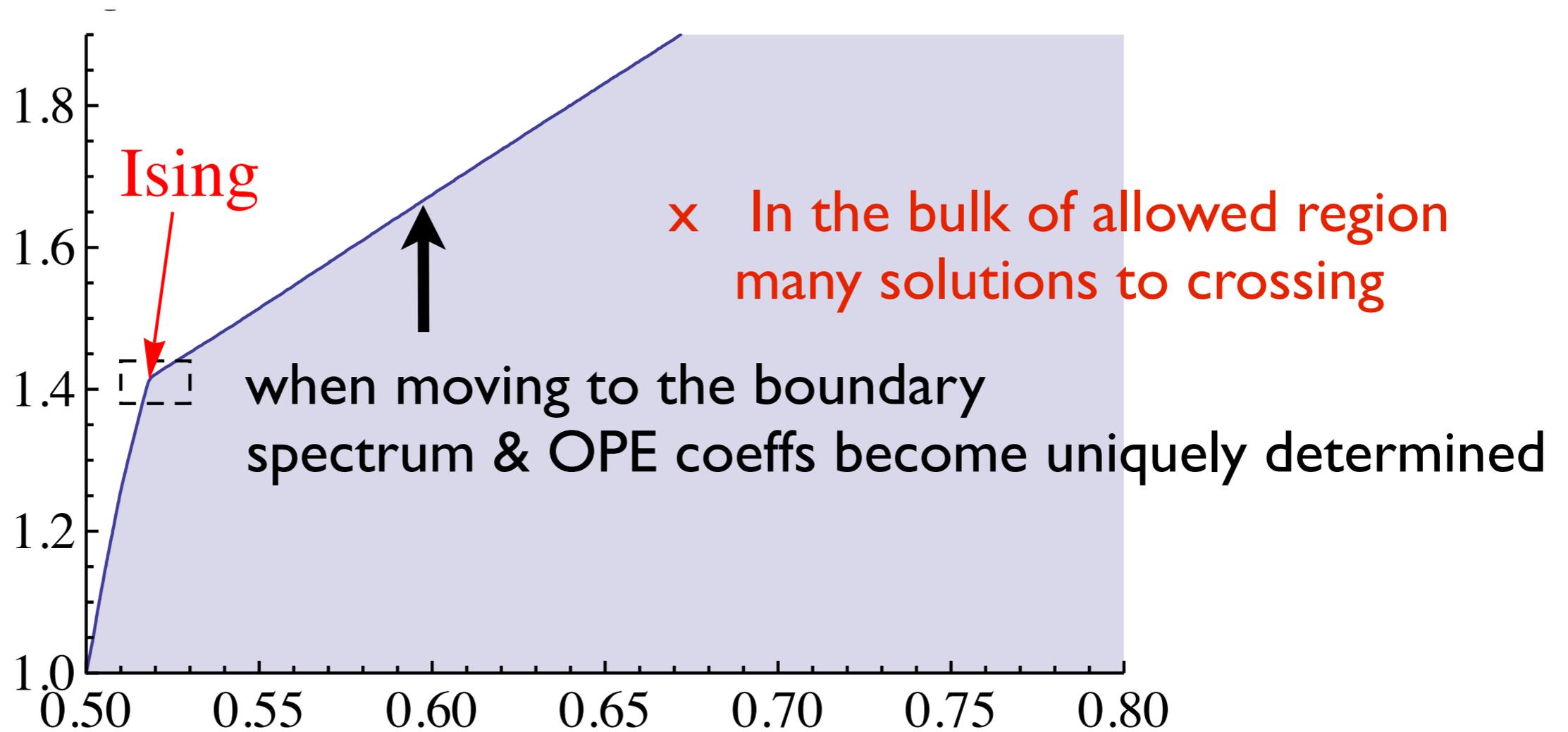
2. Look at several correlation functions simultaneously, e.g.

$$\langle \sigma \sigma \sigma \sigma \rangle$$

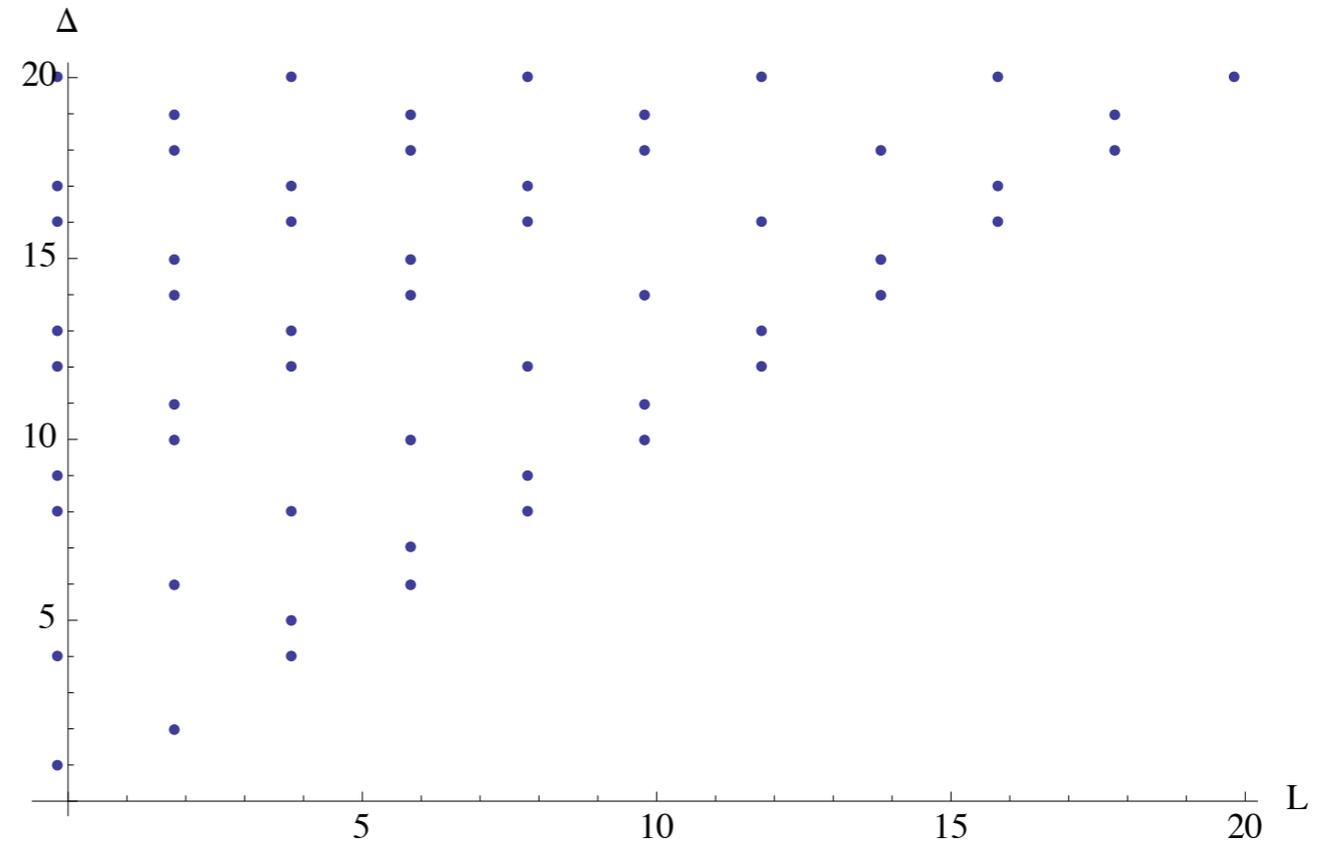
$$\langle \epsilon \epsilon \sigma \sigma \rangle$$

$$\langle \epsilon \epsilon \epsilon \epsilon \rangle$$

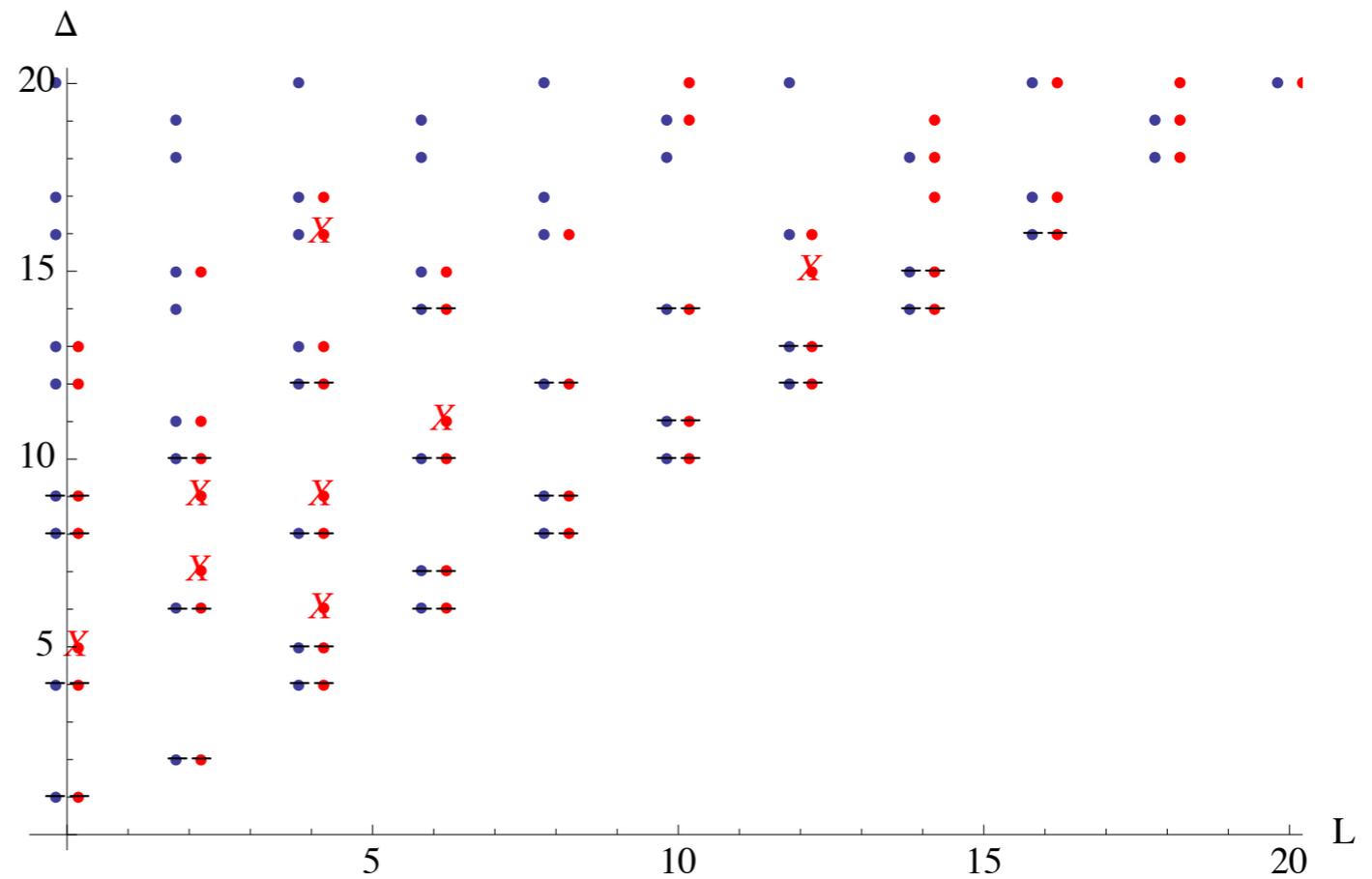
### 3. Full spectrum extraction at the boundary and the kinks



Exact 2D Ising spectrum:



Input exact  $\Delta_\sigma$  and  $\Delta_\varepsilon$  and allow all integer dimensions for others:



# For 2D Ising done systematically by El-Showk & Paulos'2012

$L$	$\Delta_{\text{EFM}}$	$\Delta$	Err $_{\Delta}$ (%)	OPE $_{\text{EFM}}$	OPE	Err $_{\text{OPE}}$ (%)	Err. Est. (%)
0	1.000003	1	0.00025	0.4999997	0.5	6.98E-05	1.1087E-05
	4.0003	4	0.0076	1.56241E-02	0.015625	0.0059	0.003
	8.0817	8	1.0	2.17003E-04	0.00021973	1.2	2.8
	30.2000	29	4.1	2.46649E-07	0.0017688	100.0	N/A
2	2.0000	2	0	1.76777E-01	0.176777	0.0001	0.00070
	5.9979	6	0.035	2.61754E-03	0.00262039	0.1	0.02
	7.8600	6	31	8.66110E-05	0.00262039	96.7	N/A
	10.6200	11	3.5	4.11441E-05	9.6505E-06	326.3	N/A
	14.3267	14	2.3	8.60258E-07	1.9167E-06	55.1	N/A
4	4.0000	4	0	2.09627E-02	0.0209631	0.0021	0.005
	5.0003	5	0.0063	5.52411E-03	0.00552427	0.0030	0.04
	7.9920	8	0.1	4.63914E-04	0.00046138	0.5	0.8
	11.4067	12	4.9	1.26831E-05	1.0886E-05	16.5	21.9
	15.2600	16	4.6	2.07807E-06	4.0479E-07	413.4	N/A
6	6.0000	6	0	3.69140E-03	0.00369106	0.0092	0.0006
	6.9978	7	0.031	1.23528E-03	0.00123526	0.0013	0.2
	10.0009	10	0.0089	9.15865E-05	9.1798E-05	0.2	2.3

Trying to do the same for 3D Ising ( $+\Delta_{\sigma}$  determination using kinks)

[El-Showk, Paulos, Poland, Simmons-Duffin, S.R., Vichi 'work in progress]

So far numerical approach was most successful in getting concrete results...

Can one get an analytic understanding of the resurrected bootstrap?

See e.g. [Fitzpatrick, Kaplan, Poland, Simmons-Duffin '12]  
[Komargodski, Zhiboedov'12] for analytic bootstrap  
results on large spin spectrum

*If you want to learn more about CTFs in  $D \geq 3$  and bootstrap:  
See recent lecture notes at my homepage.*