

# Results from the neutrino experiment

Kendall Mahn, TRIUMF



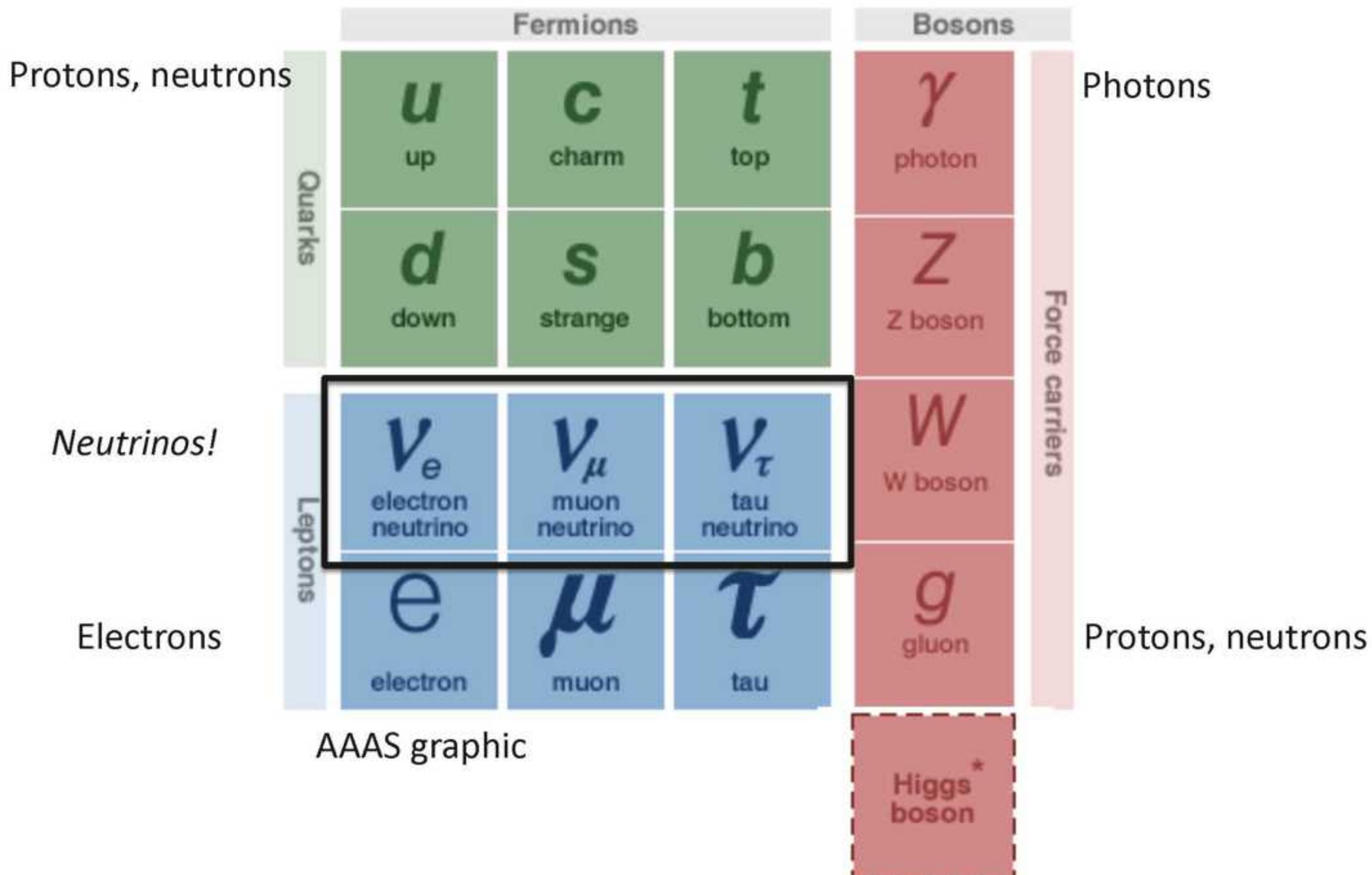
# The Standard Model

		Fermions			Bosons	
		<b>u</b> up	<b>c</b> charm	<b>t</b> top	$\gamma$ photon	Photons
Quarks		<b>d</b> down	<b>s</b> strange	<b>b</b> bottom	$Z$ Z boson	Force carriers
Electrons	Leptons	$\nu_e$ electron neutrino	$\nu_\mu$ muon neutrino	$\nu_\tau$ tau neutrino	$W$ W boson	Protons, neutrons
		e electron	$\mu$ muon	$\tau$ tau	g gluon	

AAAS graphic

Higgs\* boson

# The Standard Model



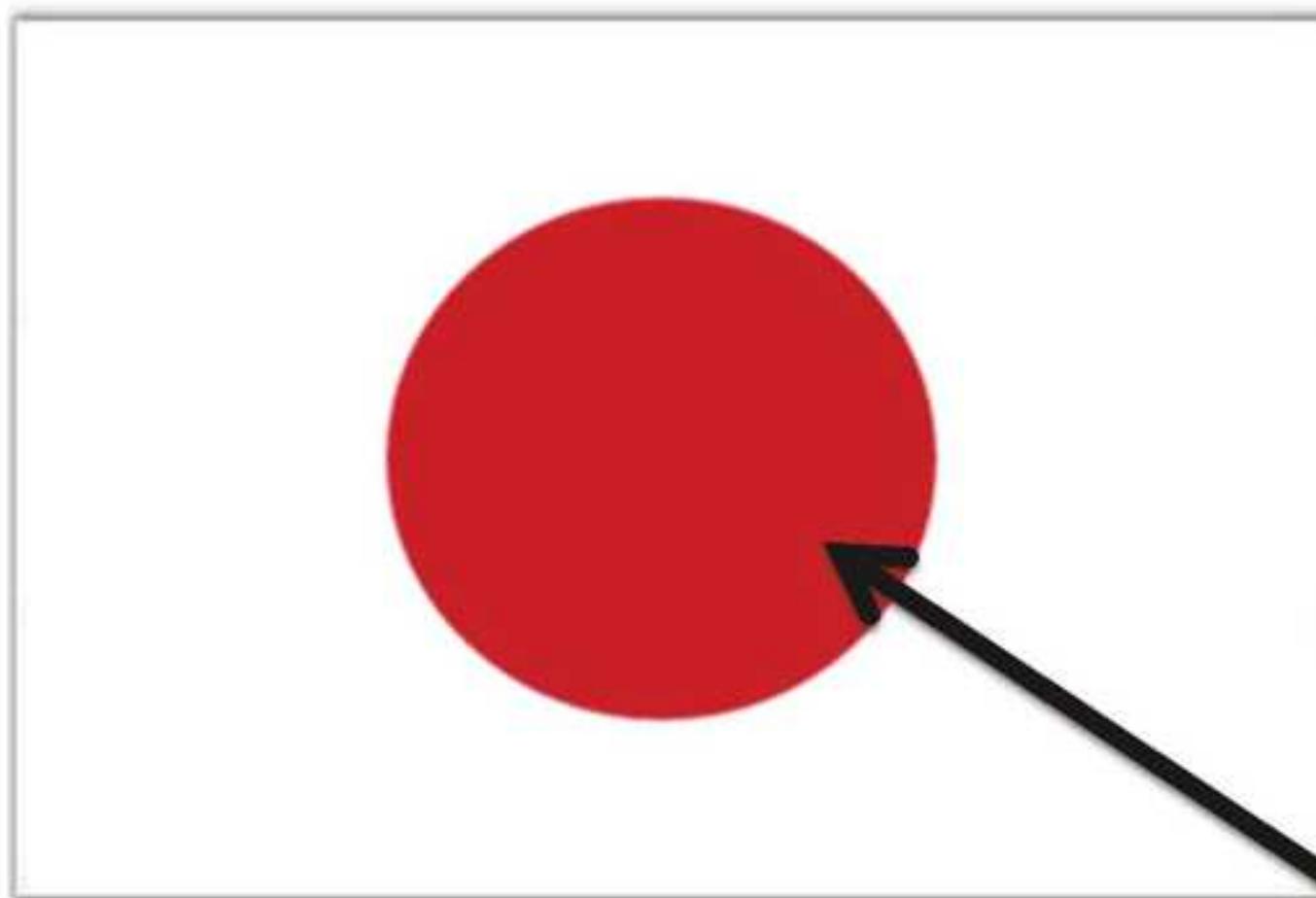
# What we now know about neutrinos

- Three flavors:  $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$

Leptons	$\nu_e$ electron neutrino  $e$ electron	$\nu_\mu$ muon neutrino  $\mu$ muon	$\nu_\tau$ tau neutrino  $\tau$ tau
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# What we now know about neutrinos

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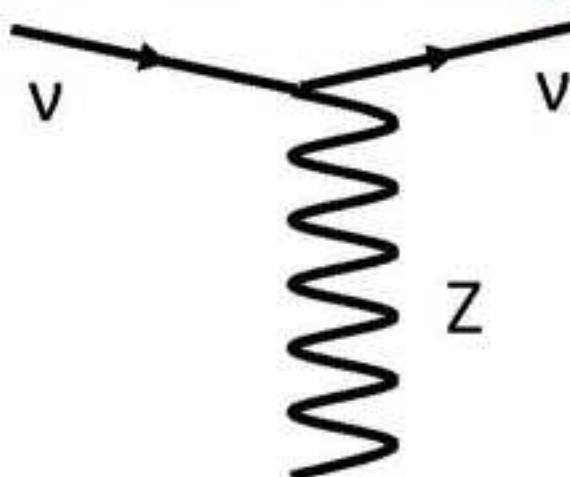
Leptons	$\nu_e$ electron neutrino	$\nu_\mu$ muon neutrino	$\nu_\tau$ tau neutrino
e	$\mu$	$\tau$	
electron	muon	tau	

This is an electron neutrino

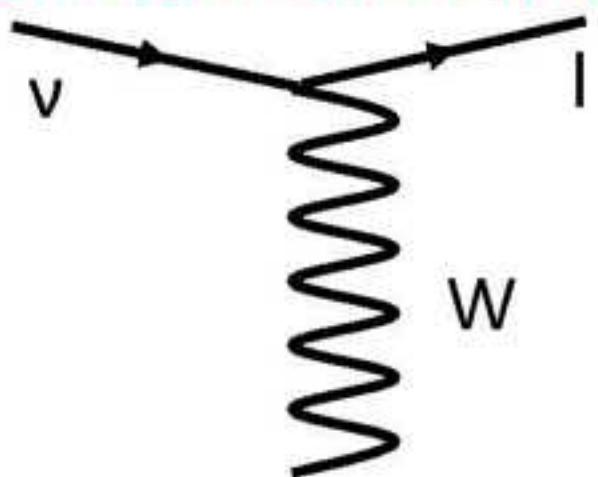
# What we now know about neutrinos

- Three flavors:  $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$
- Neutral
- Interact via the weak force

Neutral Current (NC)



Charged Current (CC)



$$\nu_e \rightarrow e$$

$$\nu_\mu \rightarrow \mu$$

$$\nu_\tau \rightarrow \tau$$

Leptons	$\nu_e$ electron neutrino	$\nu_\mu$ muon neutrino	$\nu_\tau$ tau neutrino
	e electron	$\mu$ muon	$\tau$ tau

Z Z boson	Force carriers
W W boson	

# What we now know about neutrinos

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- Neutral
- **Interact via the weak force**

Neutral Current (NC)

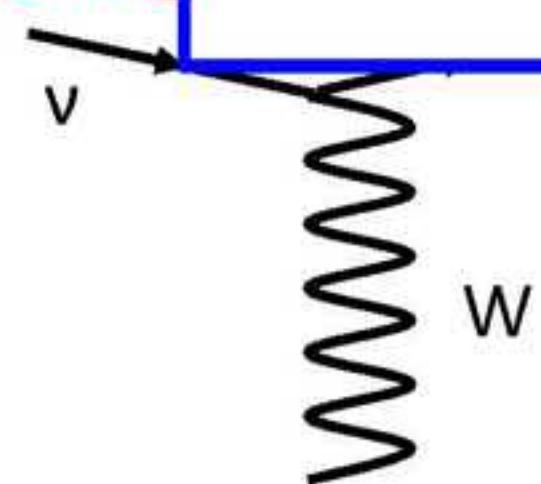


Leptons	$\nu_e$ electron neutrino	$\nu_\mu$ muon neutrino	$\nu_\tau$ tau neutrino
	$e$ electron	$\mu$ muon	$\tau$ tau

At neutrino energy ( $E_\nu$ )  $\sim 1 \text{ GeV}$ ,  $\sigma_{CC} \sim 10^{-38} \text{ cm}^2$

Mean free path through lead is 1 light year

Charge



$$\nu_\mu \rightarrow \mu$$

$$\nu_\tau \rightarrow \tau$$

W boson

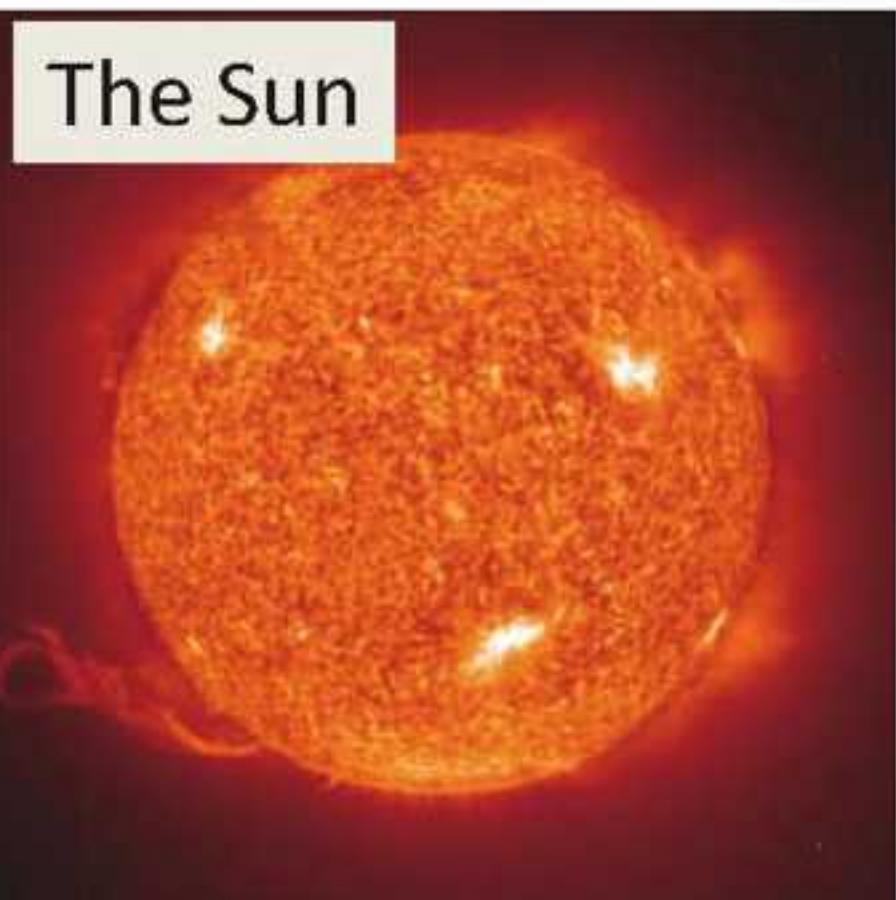
# What we now know about neutrinos

- Three flavors:  $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$
- Neutral
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- Abundant

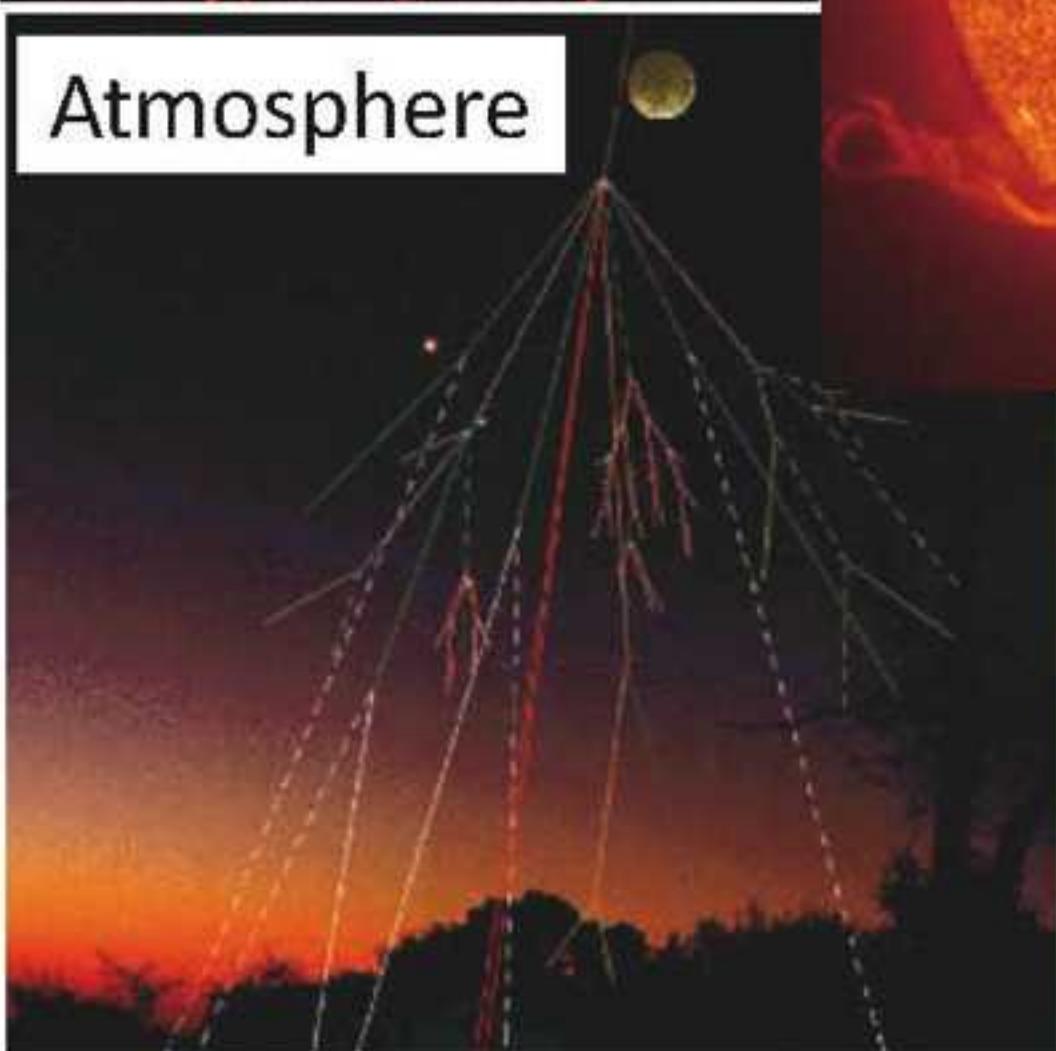
Supernova



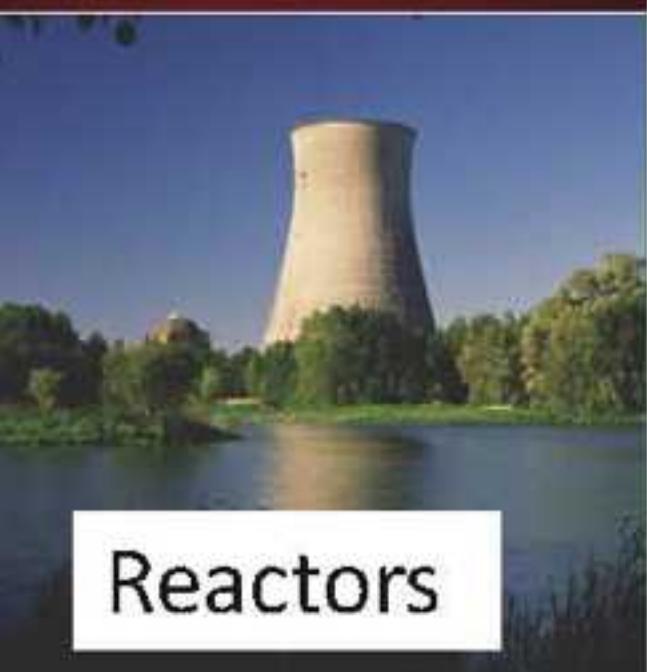
The Sun



Atmosphere

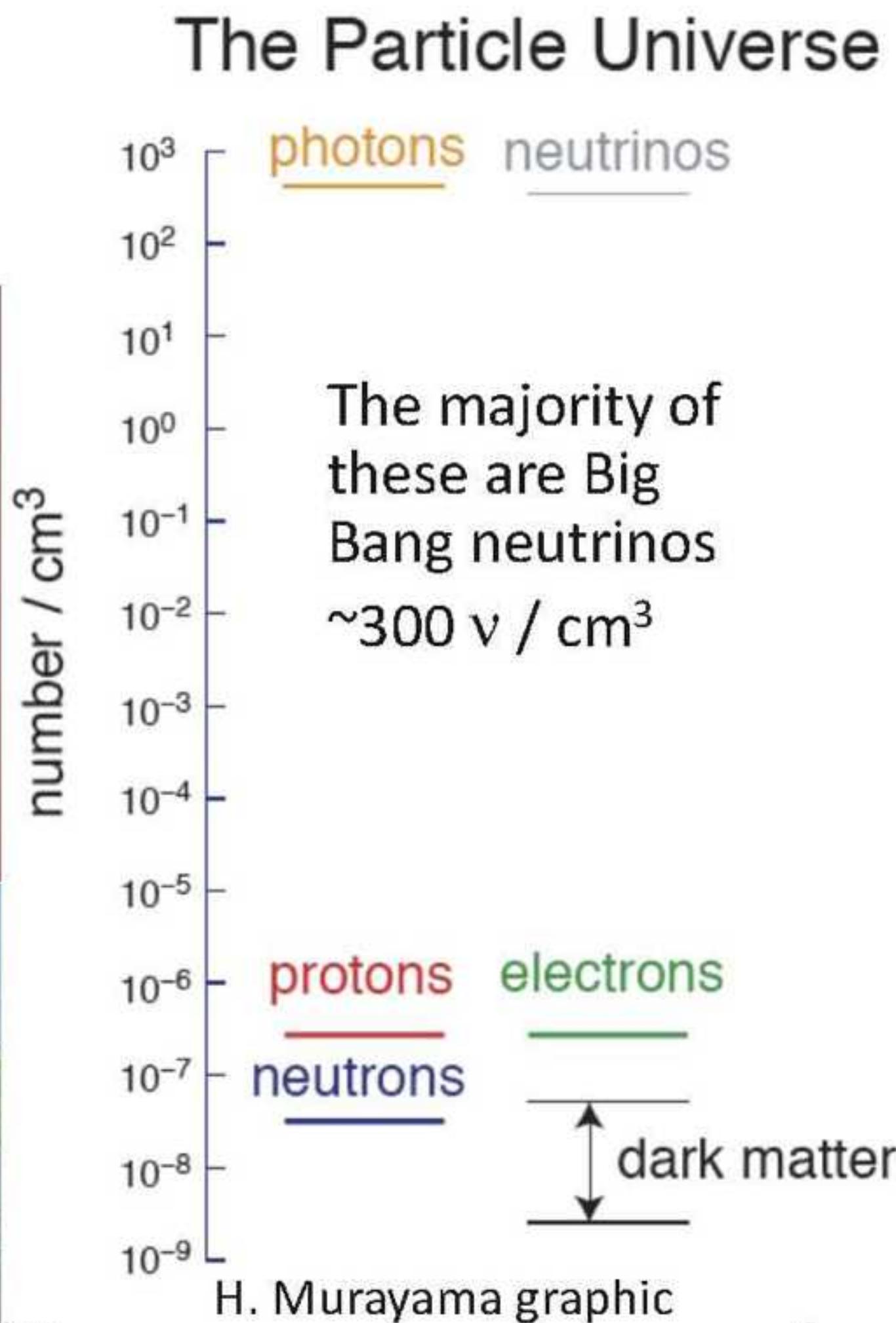
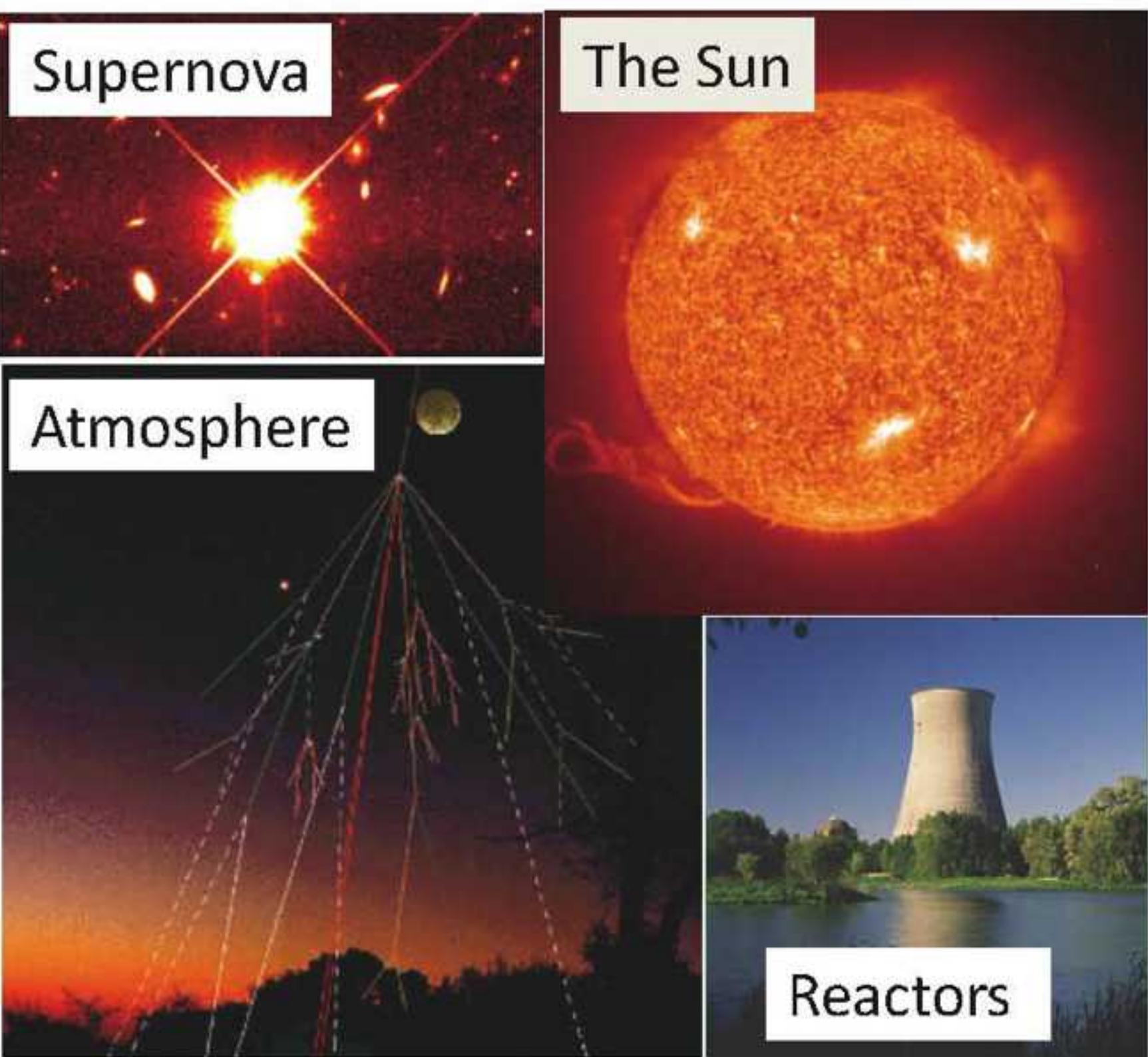


Reactors



# What we now know about neutrinos

- Three flavors:  $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$
- Neutral
- Interact via the weak force
- **Abundant**

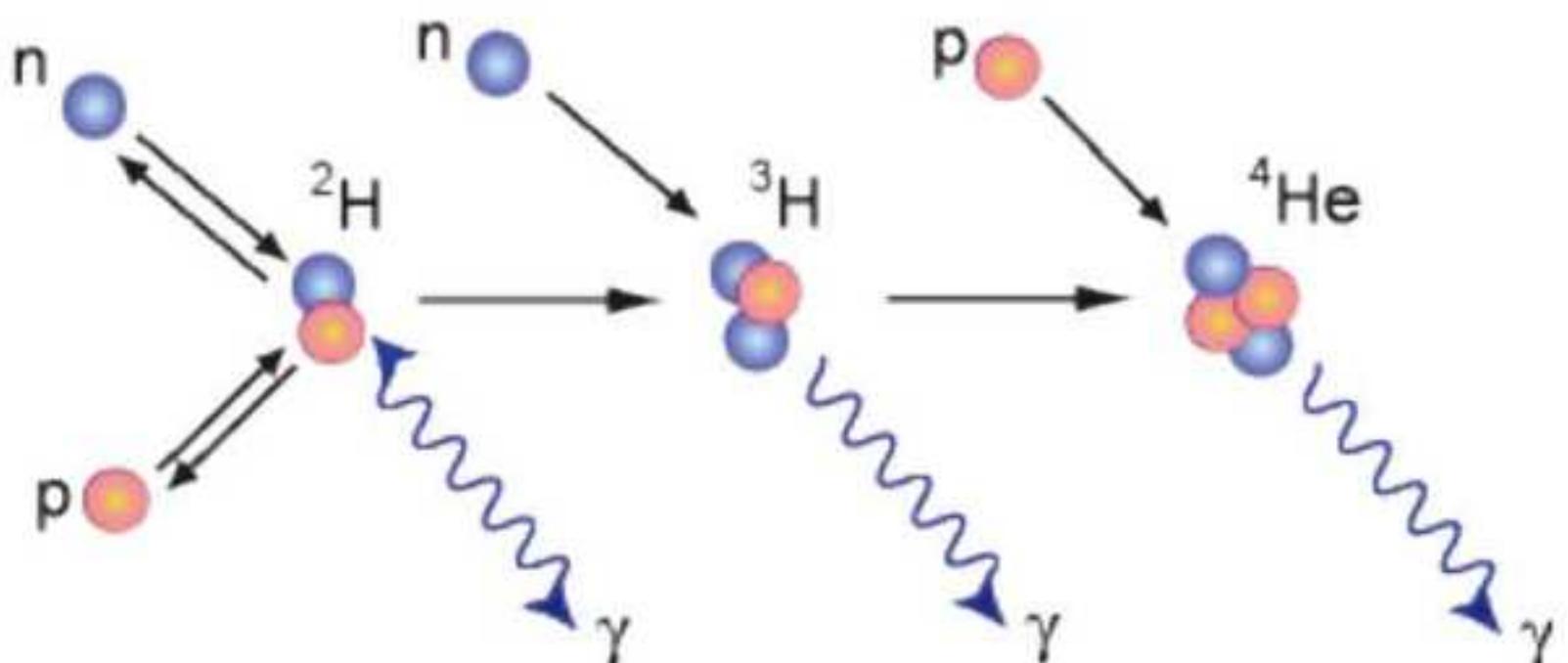


# What we now know about neutrinos

- Three flavors:  $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$
- Neutral
- Interact via the weak force
- Abundant
- **Massive**

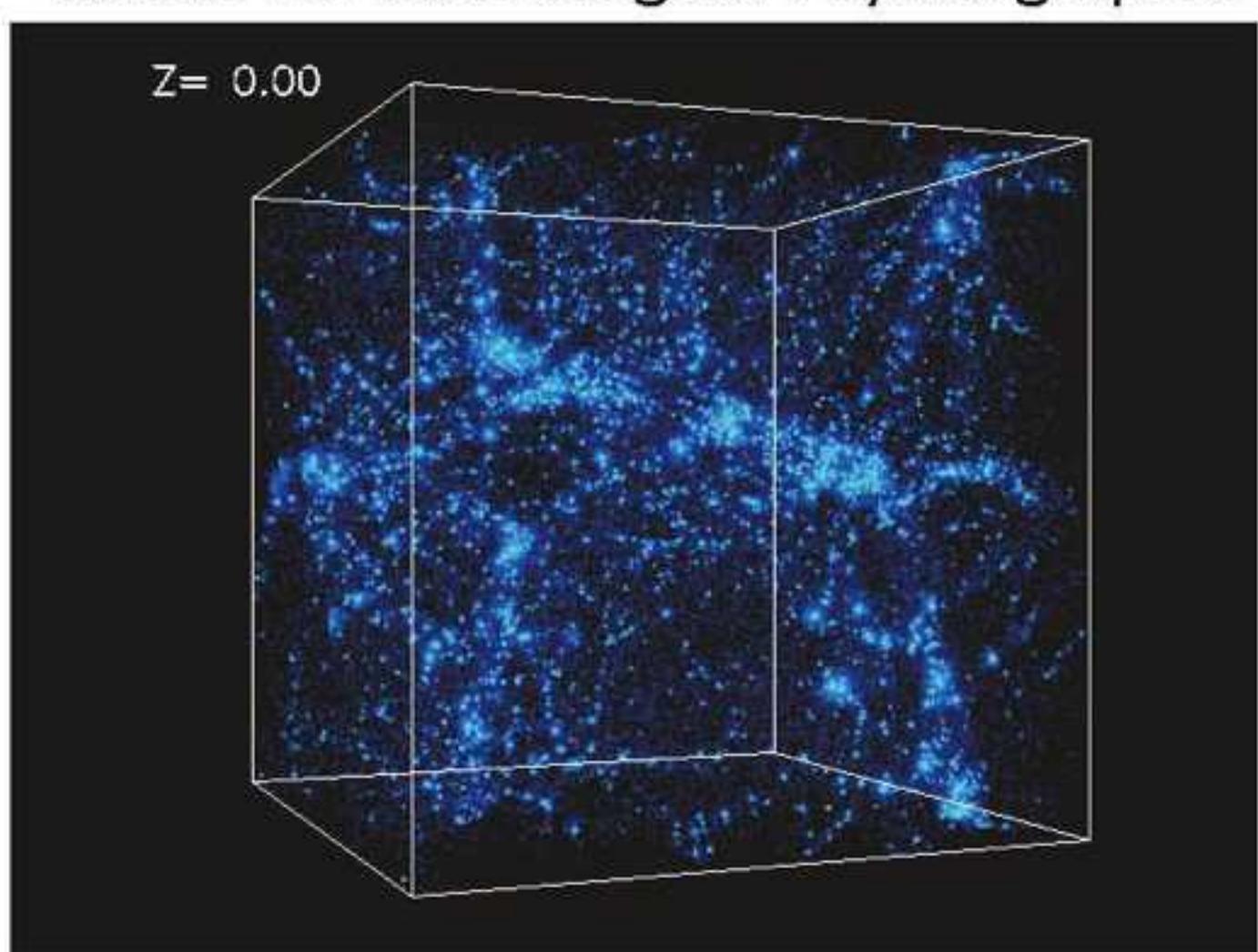
*The mass of the neutrino is small but it has a big impact in the early universe*

At early times, neutrinos behave like radiation  
*Impacts Big Bang nucleosynthesis*



CSIRO graphic

Center for Cosmological Physics graphic



At late times, neutrinos behave like matter  
*Affects large scale structure formation*

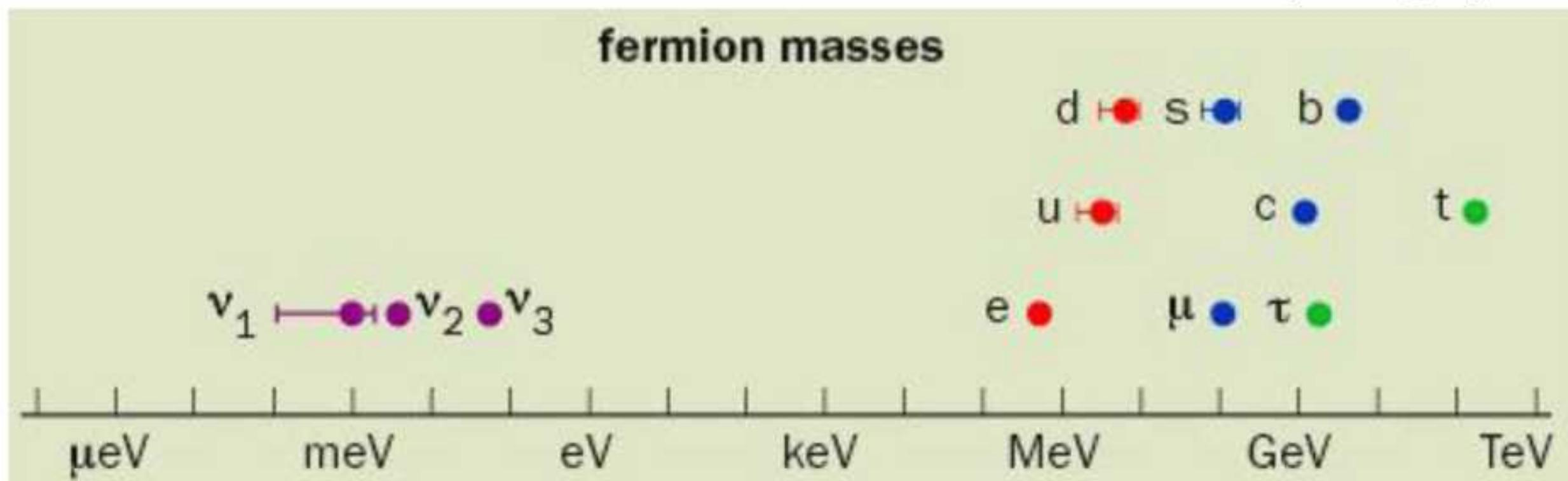
# Neutrino mass

In the SM Lagrangian, charged leptons have Dirac mass terms which couple left and right chiral components:

$$-m_D(\bar{\nu}_L \nu_R + \bar{\nu}_R \nu_L)$$

However, neutrinos are massless in the SM  
Neutrinos only interact with the “left handed” weak force

H. Murayama graphic



*Why is neutrino mass non-zero?*

*Why is it so much smaller than the other particles?*

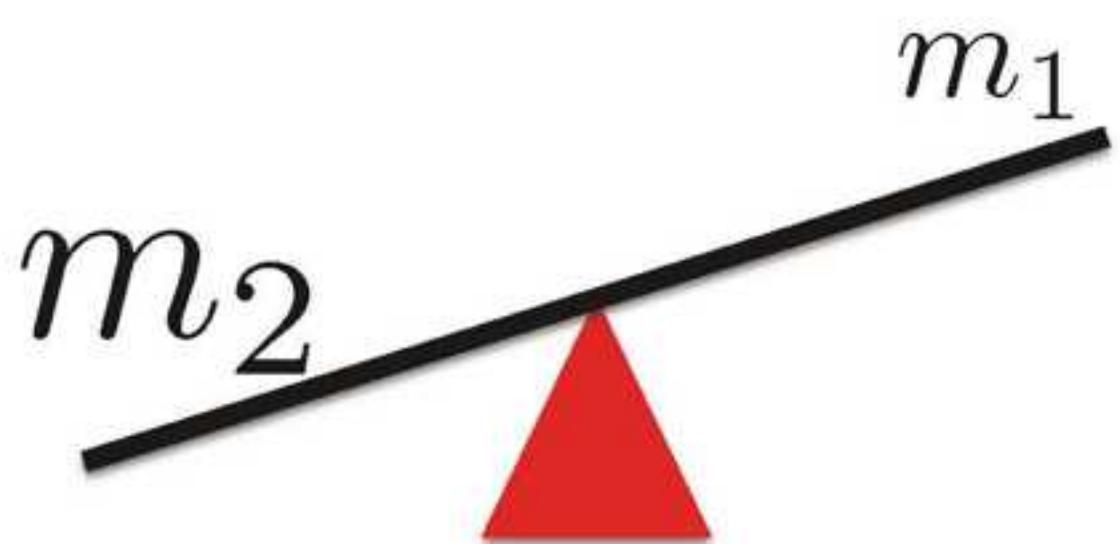
# Neutrino mass

The “see saw mechanism” explains the lightness of the neutrino mass by adding a (very heavy) right-handed neutrino

If there is one left-handed neutrino, and a right-handed partner, and assuming neutrinos are Majorana particles (with a Majorana mass term) then we get:

$$m_1 \simeq \frac{(m_D)^2}{m_R} \ll m_D ,$$

$$m_2 \simeq m_R ,$$



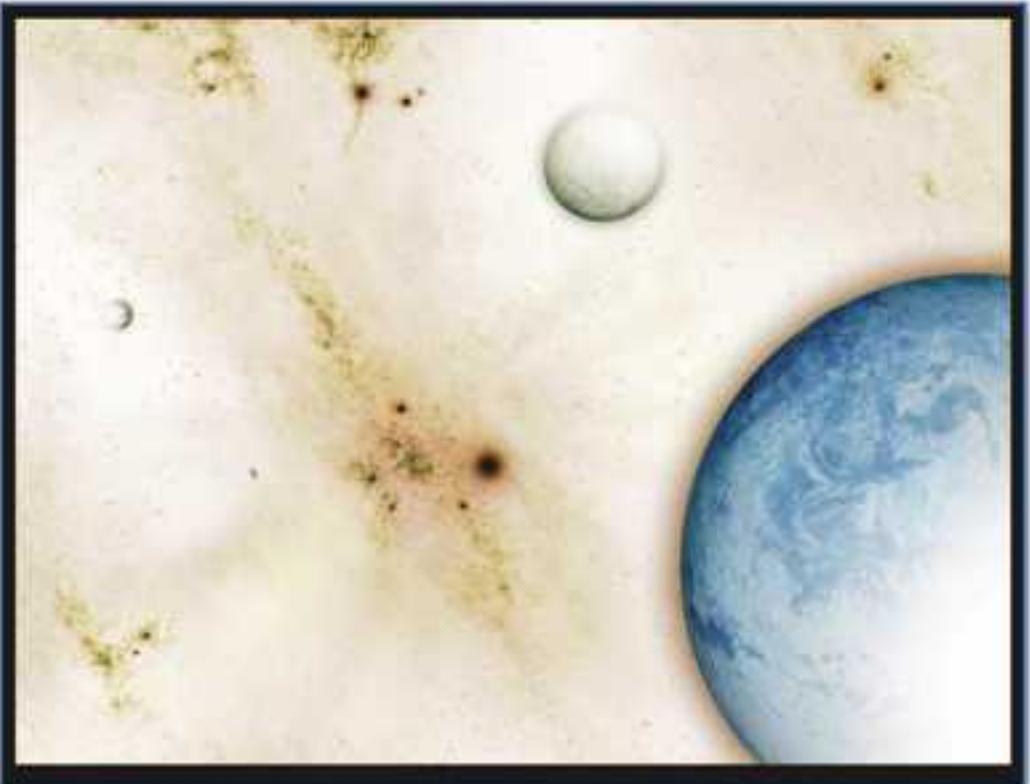
If  $m_D \sim m_{top} \sim 100$  GeV, and  $m_1 \sim m_\nu < 0.01$  eV,  
then  $m_2 \sim m_R \sim 10^{15}$  GeV

*Neutrino mass may be related to a higher energy scale than we can otherwise study*

# Neutrinos and the matter-antimatter asymmetry



?  
≠



Neutrinos have mass, which is suggestive of a heavy, right handed neutrino,  $N_R$

“Leptogenesis”: CP violation in the decay of  $N_R$  can create a lepton number asymmetry which can be converted to a baryon number asymmetry

M. Fukugita and T. Yanagida, Phys. Lett. B 174, 45 (1986)

For the matter-antimatter asymmetry to be generated, we require: non-thermal equilibrium, CP violation and baryon number violation

A.D. Sakharov, Pis'ma Zh. Eksp. Teor. Fiz. 5, 32 (1967) [JETP Lett. 5, 24 (1967)]

*Studying CP violation with light neutrinos may lead to insights about CP violation of  $N_R$*

# Why study neutrinos?

New source of CP violation?

$\nu$  mass as a window to physics at much higher energies (GUT scale)?

Impact on cosmology

# What is neutrino oscillation?

We know neutrinos have mass because we observe neutrino “oscillation”: the interference between the flavor and mass eigenstates of the neutrino

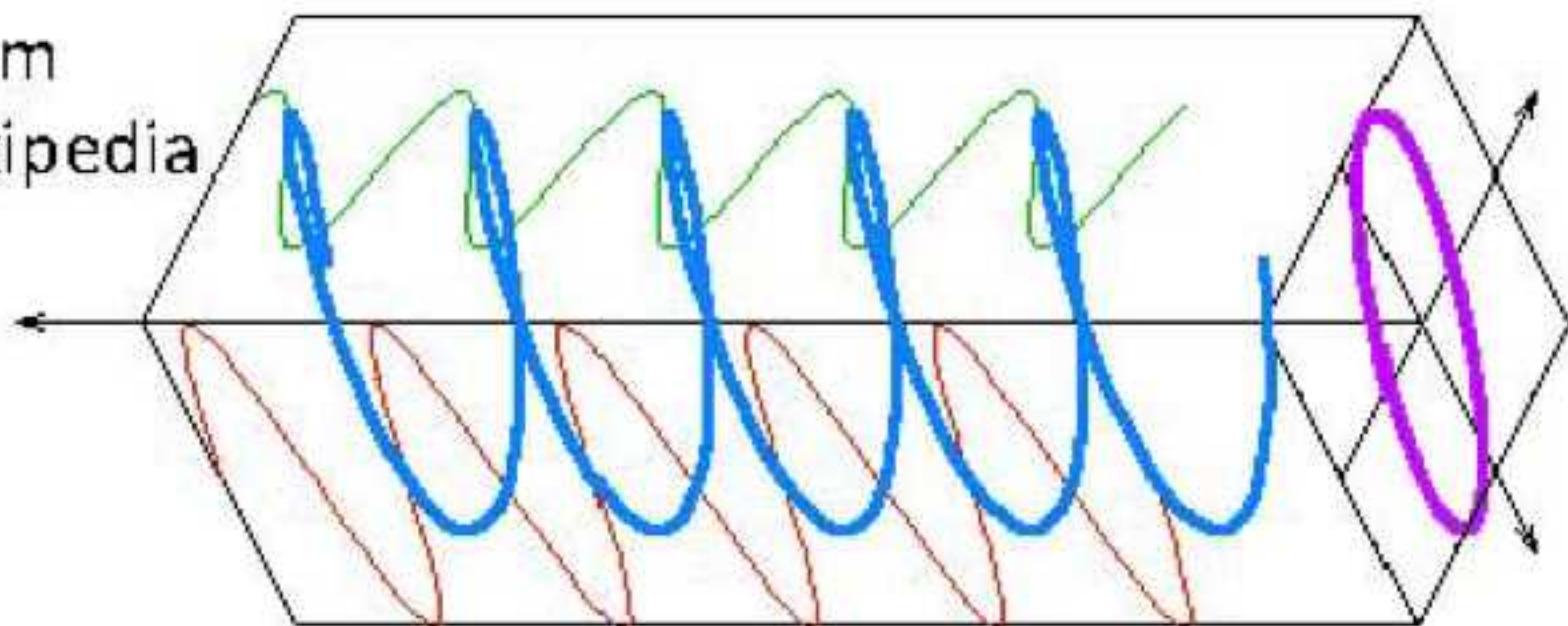
If we start with two neutrino flavor ( $\nu_e$ ,  $\nu_\mu$ ) and two mass states ( $\nu_1$ ,  $\nu_2$ ) then:

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

The flavor state evolution in time is like an elliptically polarized wave:

$$|\nu_e(t)\rangle = \cos \theta e^{-iE_1 t} |\nu_1\rangle - \sin \theta e^{-iE_2 t} |\nu_2\rangle$$

From  
wikipedia



Starting polarized along the x-axis (like starting in  $\nu_\mu$  state) then:

- Some time later polarization is along y-axis ( $\nu_e$ )
- Or back to the x-axis ( $\nu_\mu$ )

No mass, no oscillation

# Neutrino mixing matrix

Flavor eigenstates  
(coupling to the W)

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Mass eigenstates  
(definite mass)

Unitary PMNS mixing matrix

Three observed flavors of neutrinos ( $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$ ) means  $U$  is represented by three independent mixing angles ( $\theta_{12}$ ,  $\theta_{23}$ ,  $\theta_{13}$ ) and a CP violating phase  $\delta$

$$c_{ij} = \cos \theta_{ij}, s_{ij} = \sin \theta_{ij}$$

$$U_{\alpha i} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$\times \text{diag}(e^{\frac{i\alpha_1}{2}}, e^{\frac{i\alpha_2}{2}}, 1)$$

Potential Majorana phases do not contribute  
to neutrino oscillation probabilities

# Neutrino oscillation

As the neutrinos propagate, the mass states interfere:

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \sum_{i>j} \operatorname{Re} [U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j}] \sin^2 \left( \frac{\Delta m_{ij}^2 L}{4E} \right) + 2 \sum_{i>j} \operatorname{Im} [U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j}] \sin \left( \frac{\Delta m_{ij}^2 L}{2E} \right)$$

Probability to observe  $\nu_\beta$  after starting in flavor state  $\nu_\alpha$  depends on:

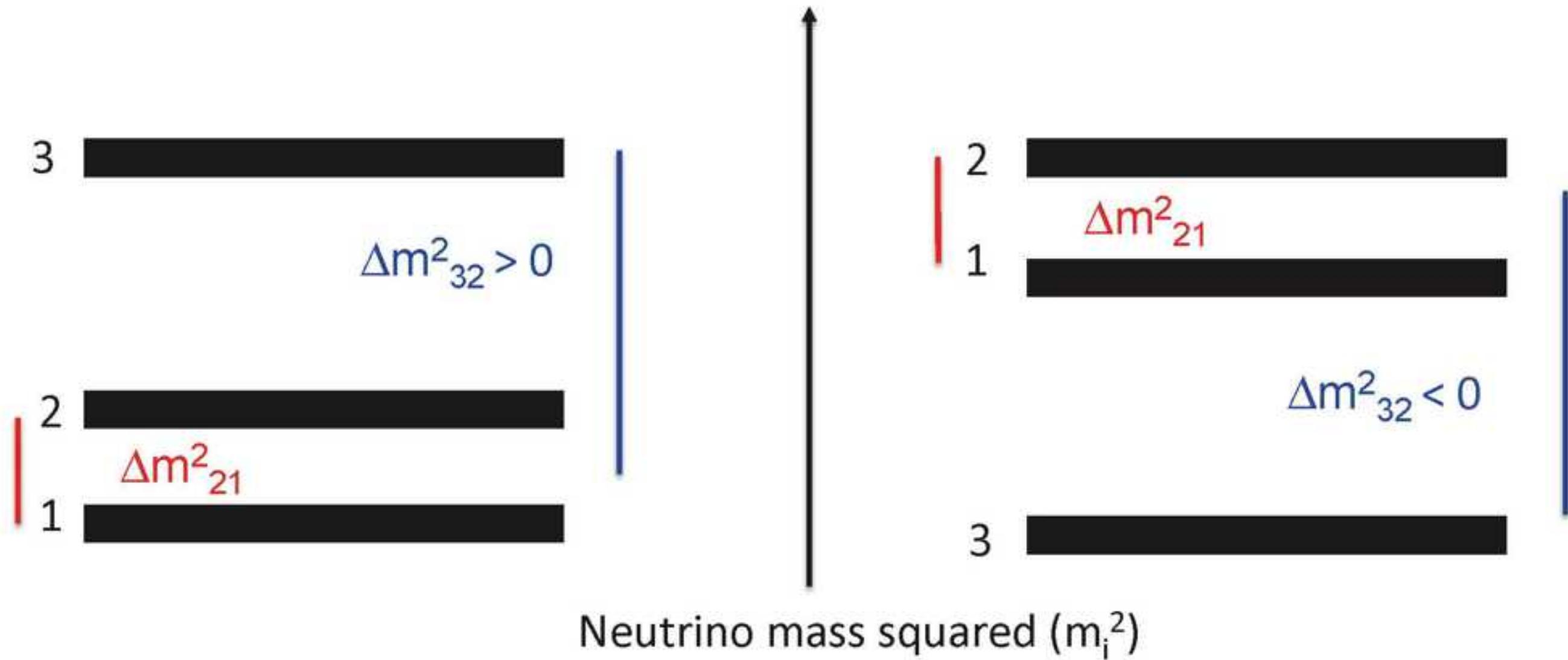
- Mixing matrix  $U_{\alpha i}$  (and mixing angles)
- $L$  (km): Distance the neutrino has travelled
- $E$  (GeV): Energy of the neutrino
- $\Delta m^2$  (eV<sup>2</sup>): mass splitting

Difference of the square of the mass eigenvalues

$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

*If neutrinos have no mass, or degenerate masses, no interference is possible*

# Current state of neutrino mixing



Two observed mass splittings, determined from atmospheric and solar neutrino experiments, respectively

- $\Delta m^2(\text{atmospheric}) = |\Delta m^2_{32}| \sim 2.4 \times 10^{-3} \text{ eV}^2$
- $\Delta m^2(\text{solar}) = \Delta m^2_{21} \sim 7.6 \times 10^{-5} \text{ eV}^2$

The sign of  $\Delta m^2_{32}$ , or the “mass hierarchy” is still unknown

- Normal “hierarchy” is like quarks ( $m_1$  is lightest,  $\Delta m^2_{32} > 0$  )
- Inverted hierarchy has  $m_3$  lightest ( $\Delta m^2_{32} < 0$ )

# Current state of neutrino mixing

Neutrino Mass Squared

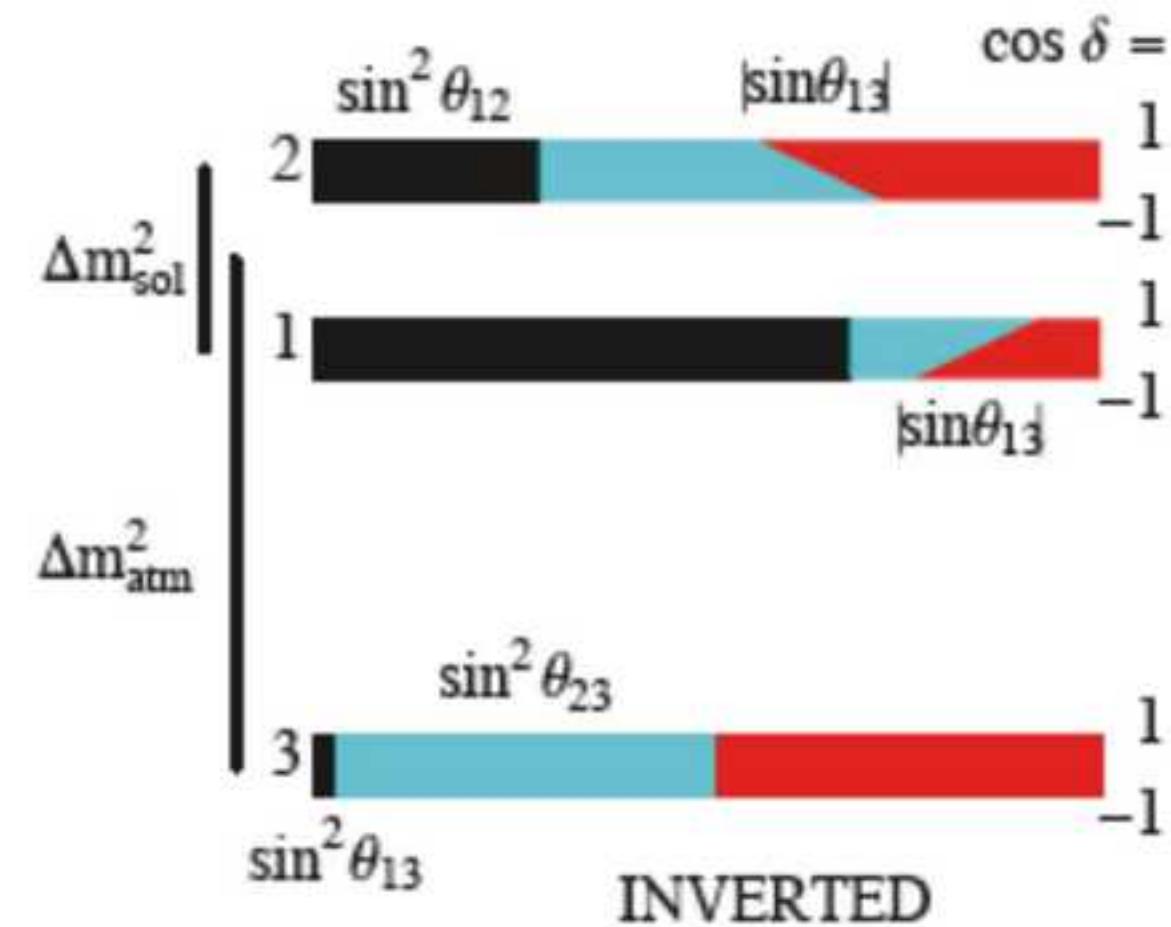
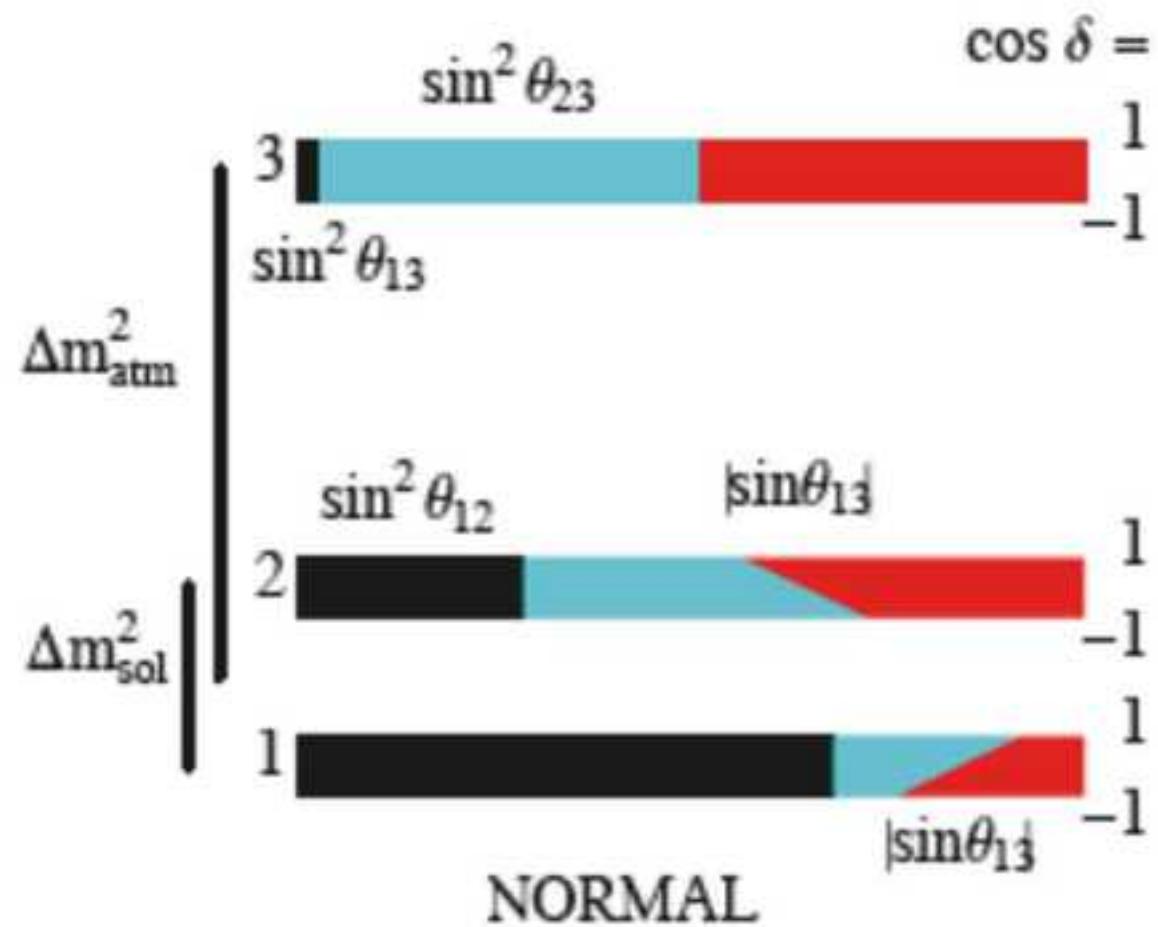
$|U_{\alpha j}|^2$

$\nu_e$  ■

$\nu_\mu$  ■

$\nu_\tau$  ■

M. Diwan  
TRIUMF seminar



$U$  has three mixing angles and one phase:

- “Atmospheric”  $\theta_{23} \sim 37^\circ - 53^\circ$
- “Solar”  $\theta_{12} \sim 34^\circ$
- $\theta_{13} \sim 9^\circ$
- $\delta(\text{CP}) = ?$

*Why are quark and lepton mixing so different?*

$$\theta_{\text{CKM}}^{12} \sim 13.0^\circ, \theta_{\text{CKM}}^{23} \sim 2.3^\circ, \theta_{\text{CKM}}^{13} \sim 0.2^\circ$$

*Is there CP violation in the neutrino sector?*

# Neutrino oscillation, revisited

$\Delta m_{32}^2 \gg \Delta m_{21}^2$ , producing high frequency and low frequency oscillation terms

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \sum_{i>j} \operatorname{Re} [U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j}] \sin^2 \left( \frac{\Delta m_{ij}^2 L}{4E} \right) + 2 \sum_{i>j} \operatorname{Im} [U_{\beta i} U_{\alpha i}^* U_{\beta j}^* U_{\alpha j}] \sin \left( \frac{\Delta m_{ij}^2 L}{2E} \right)$$

If choose  $L, E$ , such that  $\sin^2(\Delta m_{32}^2 L/E)$  is of order 1, then  $\Delta m_{21}^2$  terms will be small.  
Then...

$\nu_\mu$  “disappear” into  $\nu_e, \nu_\tau$

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \sin^2 2\theta_{23} \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right)$$

A small fraction of  $\nu_e$  will “appear”

$$\Delta m_{31}^2 \sim \Delta m_{32}^2$$

Only leading order term shown

$$P(\nu_\mu \rightarrow \nu_e) \approx \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right)$$

# Appearance and disappearance

$\nu_\mu$  "disappear" into  $\nu_e$ ,  $\nu_\tau$

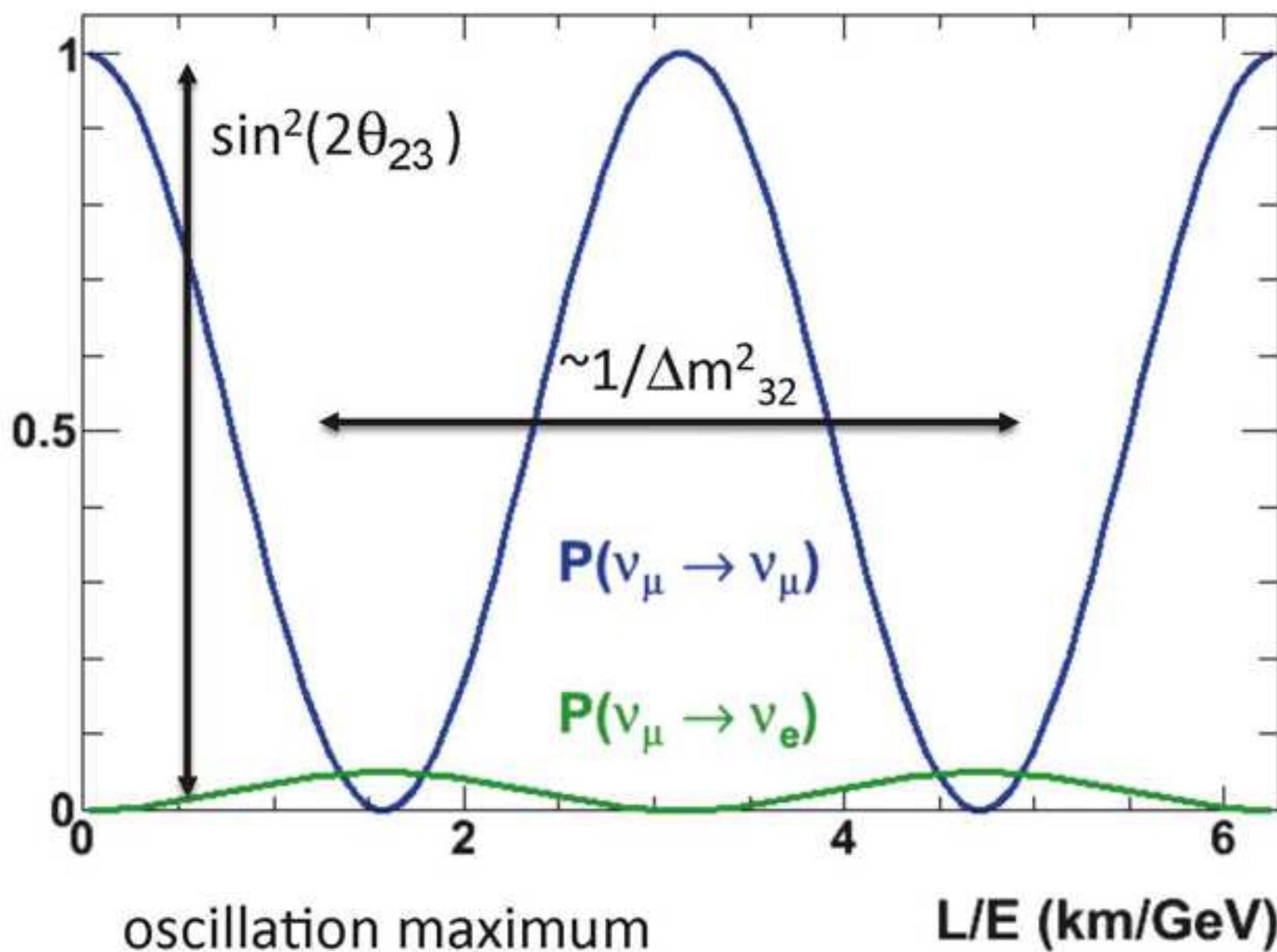
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Oscillatory behavior, hence,  
neutrino oscillations

# Electron neutrino appearance

$$\begin{aligned} P(\nu_\mu \rightarrow \nu_e) = & 4C_{13}^2 S_{13}^2 S_{23}^2 \cdot \sin^2 \Delta_{31} \text{ Leading term} \\ & + 8C_{13}^2 S_{12} S_{13} S_{23} (C_{12} C_{23} \cos \delta - S_{12} S_{13} S_{23}) \cdot \cos \Delta_{32} \cdot \sin \Delta_{31} \cdot \sin \Delta_{21} \\ \text{CP violating term} & - 8C_{13}^2 C_{12} C_{23} S_{12} S_{13} S_{23} \sin \delta \cdot \sin \Delta_{32} \cdot \sin \Delta_{31} \cdot \sin \Delta_{21} \\ & + 4S_{12}^2 C_{13}^2 (C_{12}^2 C_{23}^2 + S_{12}^2 S_{23}^2 S_{13}^2 - 2C_{12} C_{23} S_{12} S_{23} S_{13} \cos \delta) \cdot \sin^2 \Delta_{21} \\ & - 8C_{13}^2 S_{12}^2 S_{23}^2 \cdot \frac{aL}{4E_\nu} (1 - 2S_{13}^2) \cdot \cos \Delta_{32} \cdot \sin \Delta_{31} \quad \text{solar} \\ & \qquad \qquad \qquad \text{matter effects} \\ & + 8C_{13}^2 S_{13}^2 S_{23}^2 \frac{a}{\Delta m_{13}^2} (1 - 2S_{13}^2) \sin^2 \Delta_{31} \end{aligned}$$

Measurements of  $\nu_\mu$  to  $\nu_e$  appearance are sensitive to:

- CP violation
- `` $\theta_{23}$  octant'': if  $\theta_{23}$  is non maximal, is it more or less than 45 deg?
- Sign of  $\Delta m_{32}^2$  through ``matter effects''; difference in  $\nu_\mu$ ,  $\nu_\tau$  and  $\nu_e$  interactions as they travel through matter
- New or exotic physics

Requires precision measurements of  $\Delta m_{32}^2$ ,  $\theta_{23}$  ( $\nu_\mu$  disappearance)

# How to do an oscillation experiment

Search for deficit of  $\nu_\mu$  (disappearance)

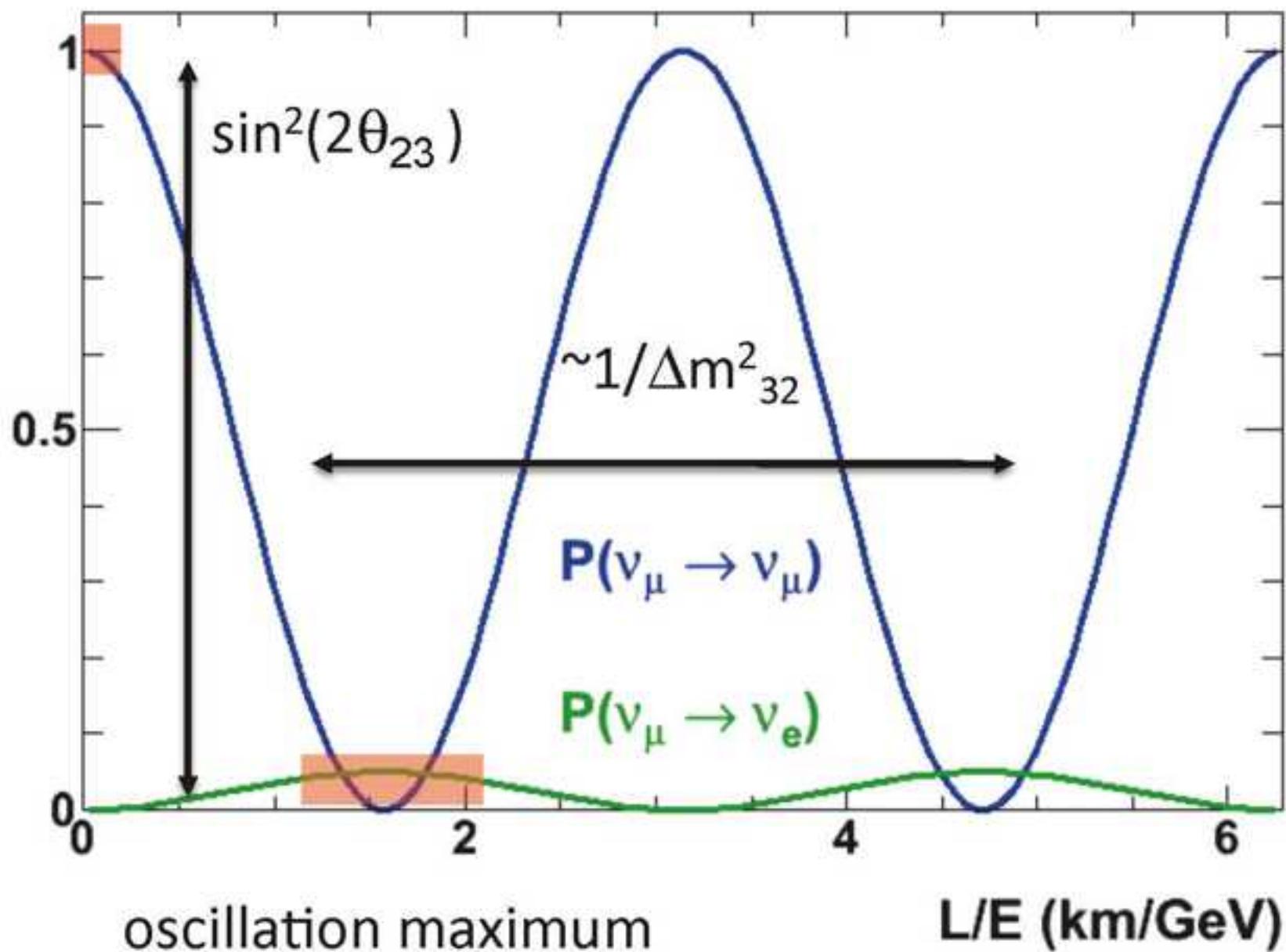
$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \sin^2 2\theta_{23} \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right)$$

Search for excess of  $\nu_e$  (appearance)

$$\Delta m_{31}^2 \sim \Delta m_{32}^2$$

Only leading order term shown

$$P(\nu_\mu \rightarrow \nu_e) \approx \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right)$$



Typical experimental setup:

- Source of neutrino energy E
- Measure  $\nu_\mu$  rate\* at  $L=0$
- Measure  $\nu_\mu, \nu_e$  rate at  $L \sim$  oscillation maximum
- Infer oscillation parameters from rate change and distortion of spectrum

\*In practice also measure any  $\nu_e$  background rates at  $L=0$

# The Tokai-to-Kamioka (T2K) experiment

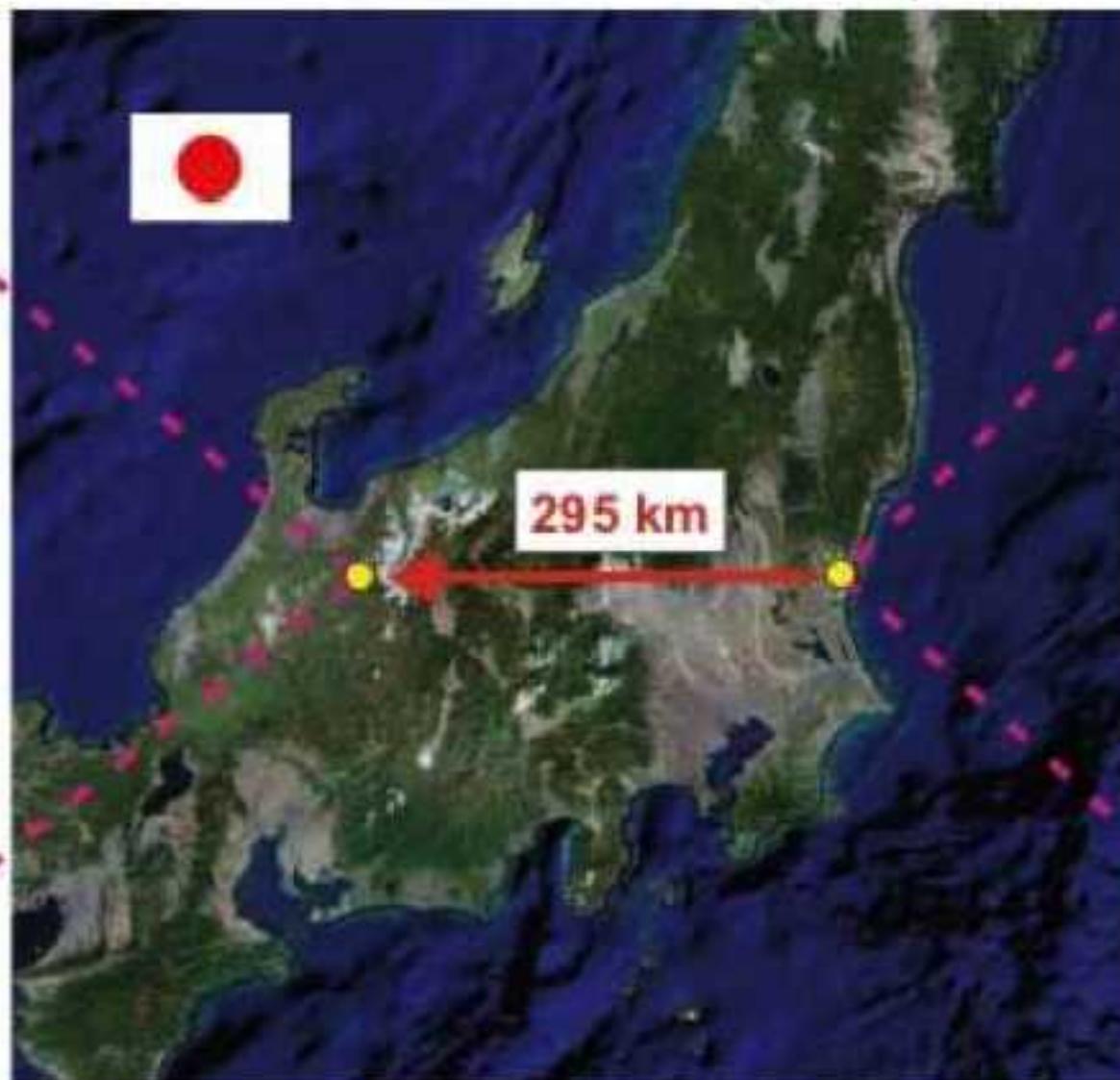
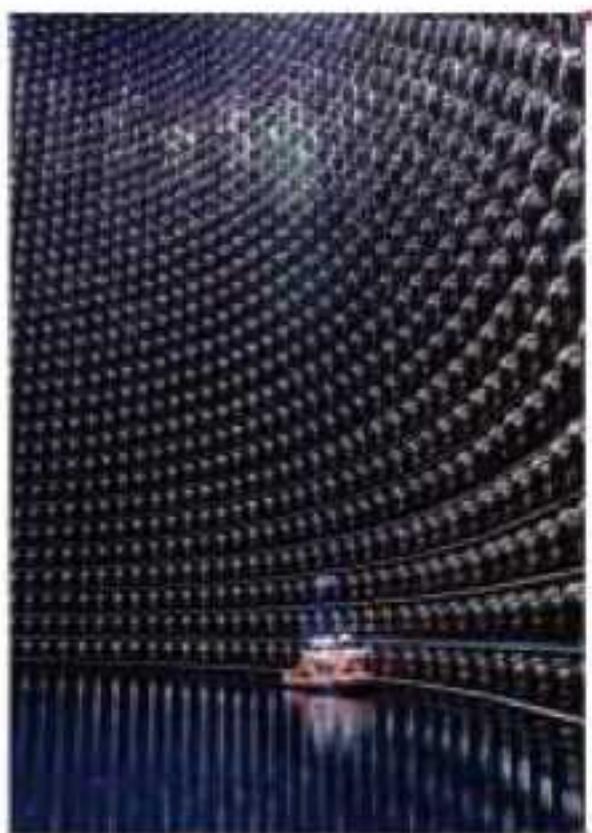
“Long baseline” ( $L \sim 295\text{ km}$ ) neutrino experiment designed to measure:  
 $\nu_e$  appearance ( $\theta_{13}$ ) and  $\nu_\mu$  disappearance ( $\Delta m^2_{32}, \theta_{23}$ )

Three necessary pieces:

- 1) Intense neutrino source
- 2) Measurement of unoscillated  $\nu_\mu$  rate at  $L \sim 0$
- 3) Immense detector of  $\nu_\mu, \nu_e$  at  $L \sim$  oscillation maximum

Far detector:

Super-Kamiokande  
located near Kamioka



K. Mahn, IPMU seminar

Beam source and near detectors:  
J-PARC accelerator complex  
located in Tokai-mura



# The T2K Collaboration



## Canada

TRIUMF  
U of Alberta  
U of B Columbia  
U of Regina  
U of Toronto  
U of Victoria  
U Winnipeg  
York U

## Switzerland

Bern  
ETH Zurich  
U of Geneva

## Poland

NCBJ  
IFJ PAN  
T U Warsaw  
U of Silesia  
Warsaw U  
Wroclaw U

## Germany

RWTH Aachen U

## Russia

INR

## Italy

INFN Bari

INFN Roma

Napoli U

Padova U

## France

CEA Saclay

IPN Lyon

LLR E Poly

LPNHE-Paris

## Spain

IFIC, Valencia

IFAE, Barcelona

## Japan

ICRR Kamioka

ICRR RCCN

KEK

Kobe U

Kyoto U

Miyagi U of Ed

Osaka City U

U of Tokyo

## USA

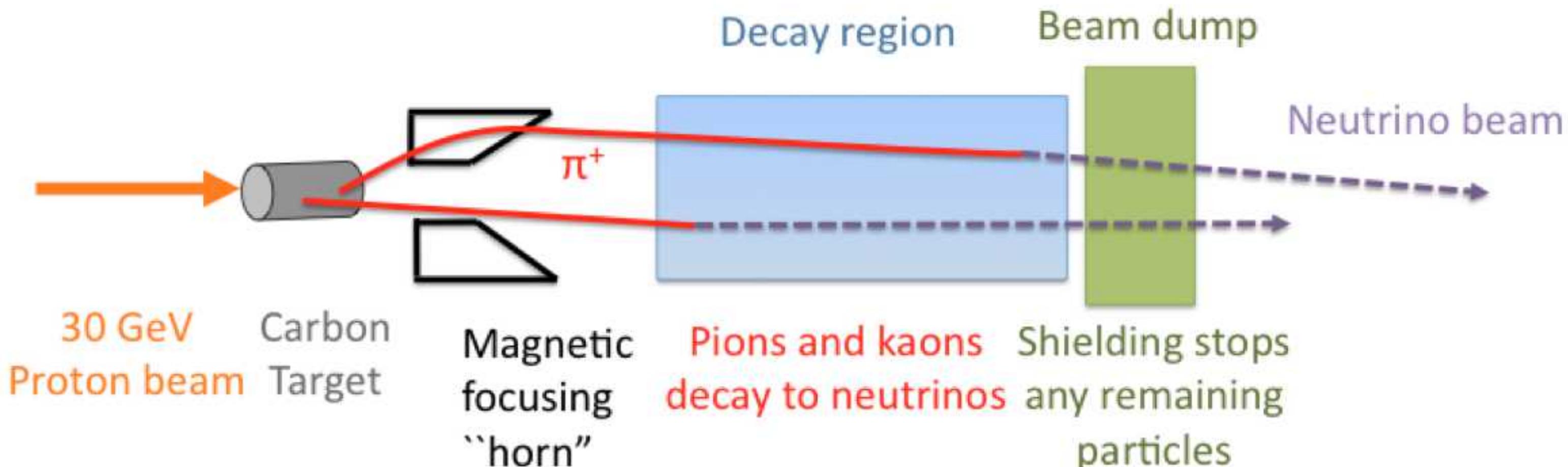
Boston U  
Colorado State U  
Duke U  
Louisiana State U  
Stony Brook U  
U of California, Irvine  
U of Colorado  
U of Pittsburgh  
U of Rochester  
U of Washington

## UK

U of Oxford  
Imperial C London  
Lancaster U  
Queen Mary U of L  
Sheffield U  
STFC/RAL  
STFC/Daresbury  
U of Liverpool  
U of Warwick



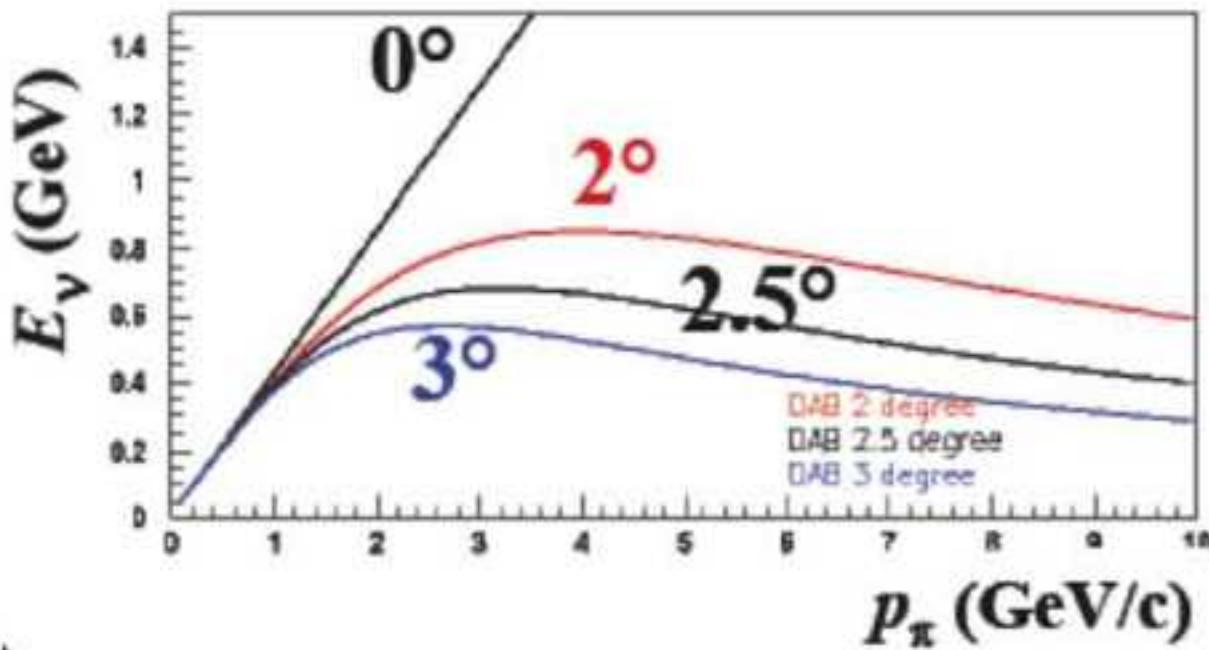
# Conventional neutrino beam



Advantages of an accelerator based neutrino source:

1. >99% muon neutrino flavor, small  $\nu_e$  component from muon, kaon decay
2. Intensity of proton beam increases neutrino rate
3. Switch magnetic horn polarization to focus  $\pi^-$  and produce an antineutrino beam
4. Tunable neutrino energy spectrum optimized for oscillation

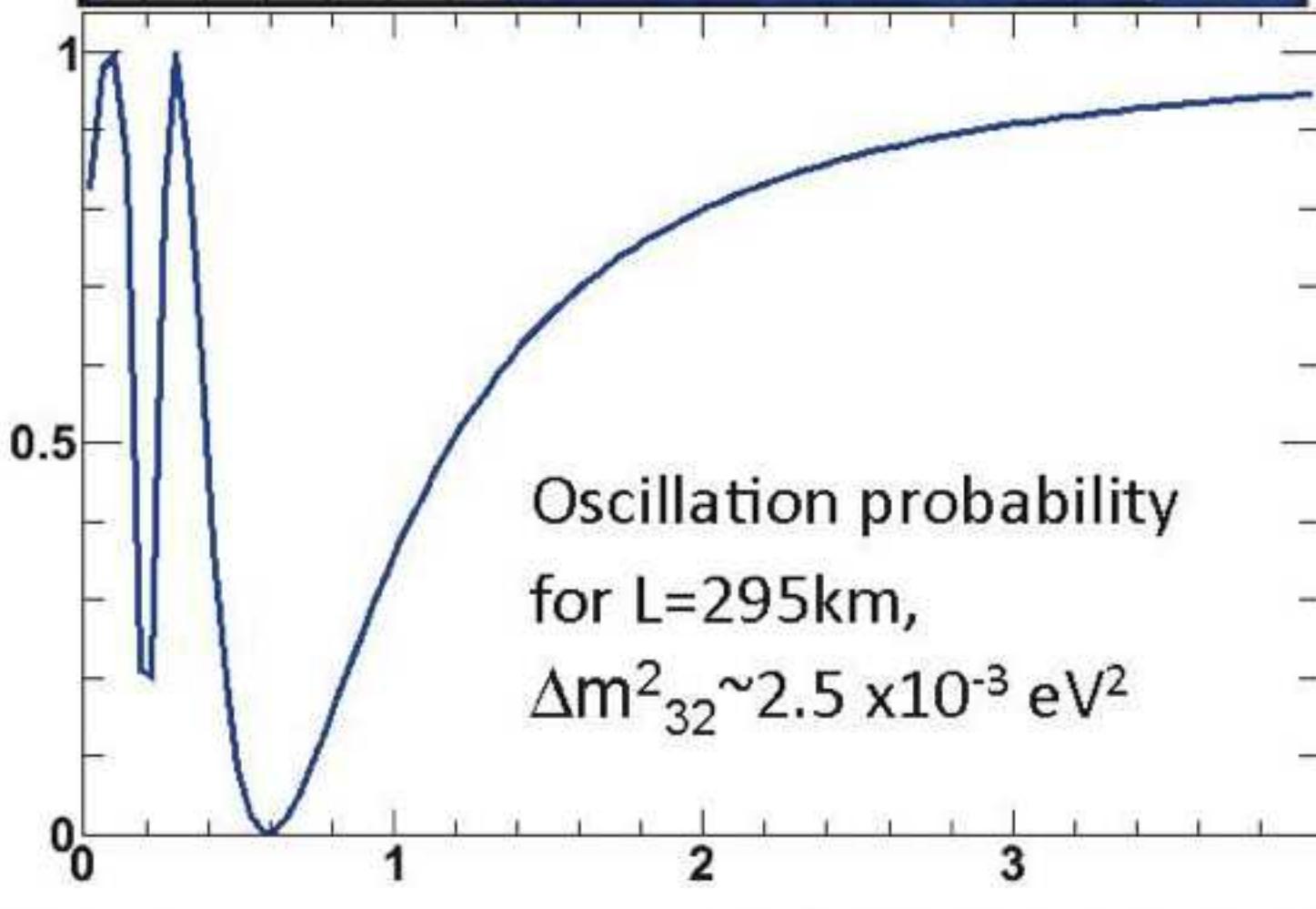
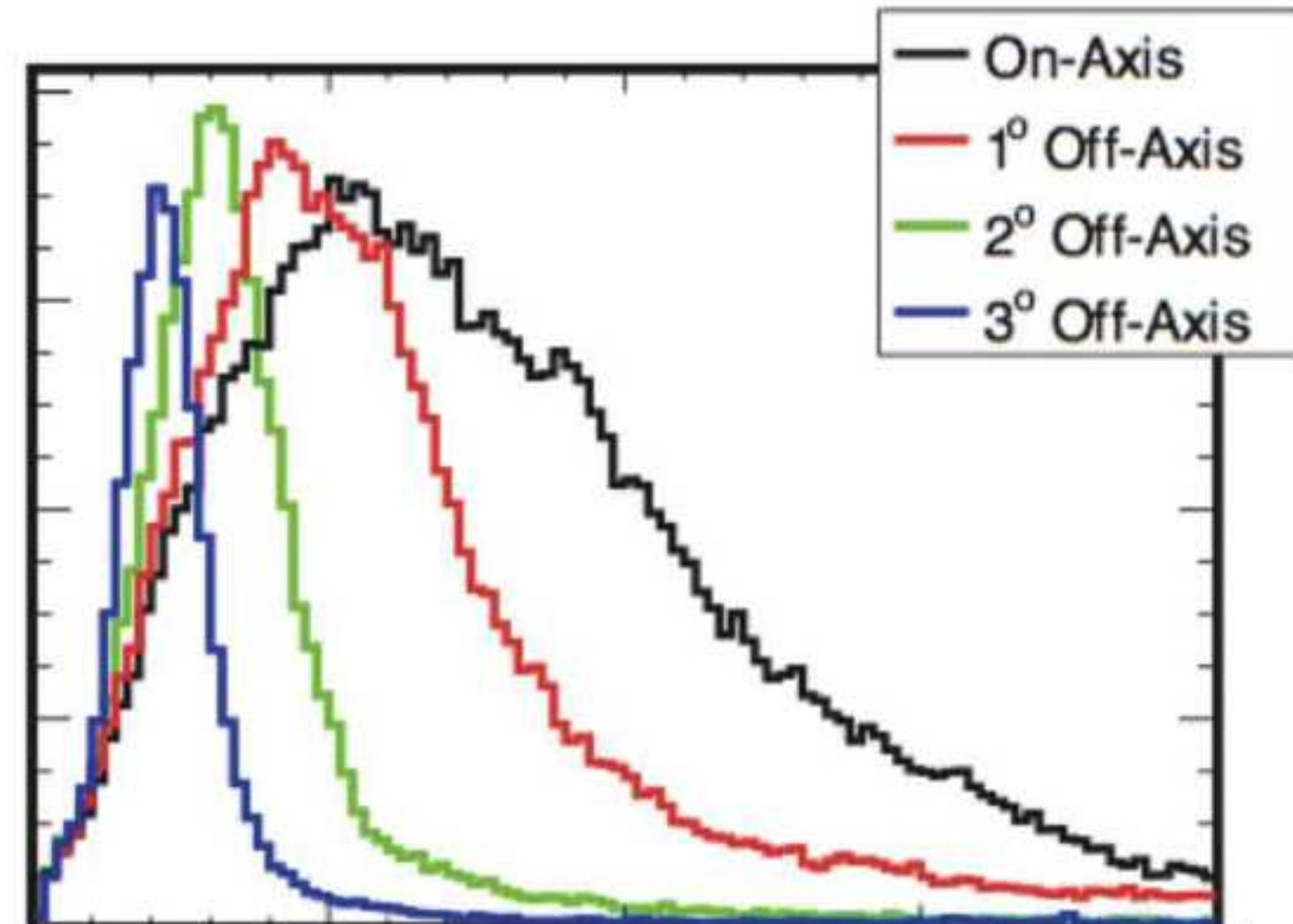
# The off-axis advantage



T2K uses a novel 'off-axis' technique  
Developed at TRIUMF for a long  
baseline neutrino experiment  
(BNL889)

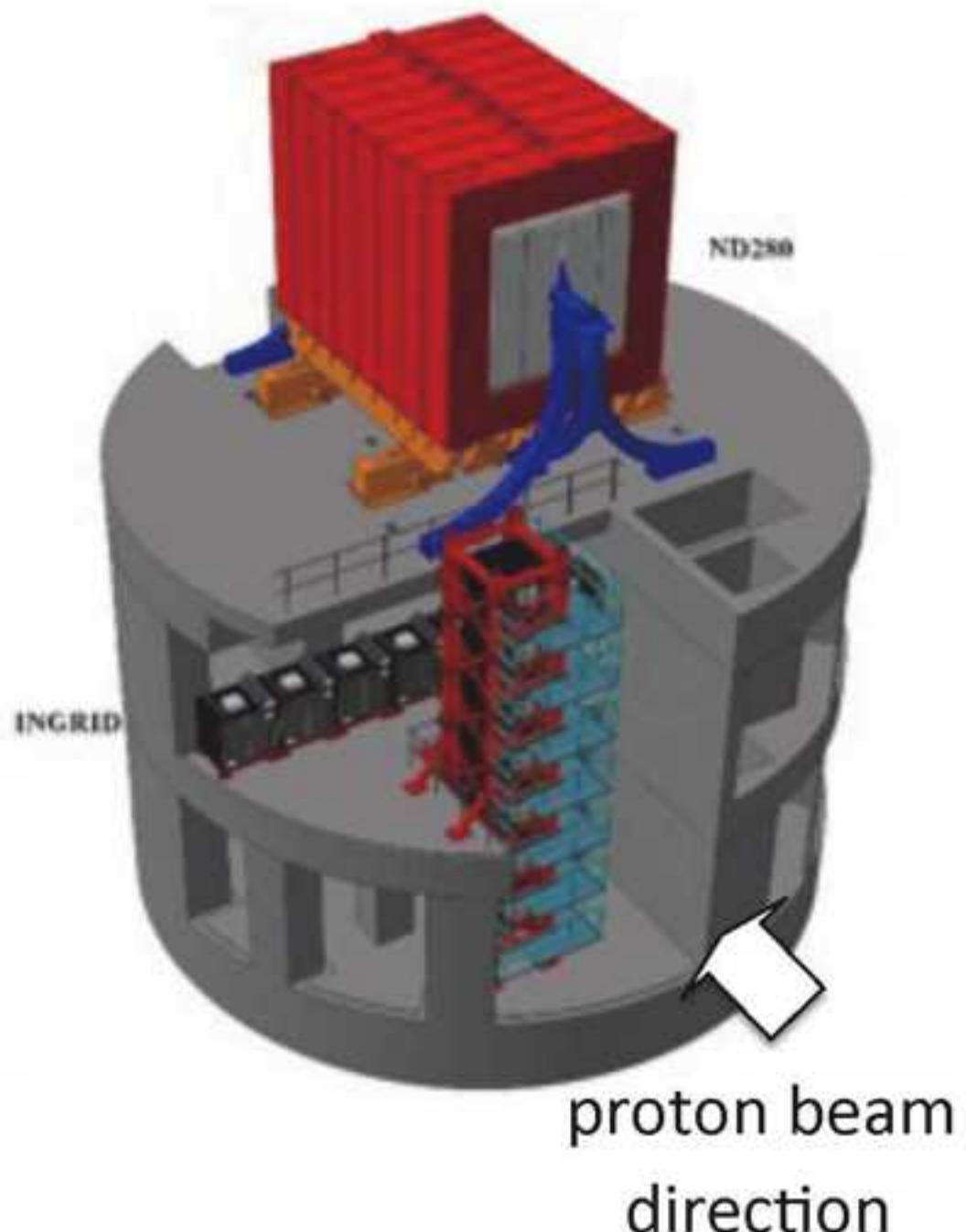
- Narrower neutrino energy spectrum from pion decay kinematics
- Peak can be set to  $\sim$ oscillation maximum
- Reduces backgrounds from higher energy neutrino interactions

$\nu$  Flux (Arbitrary Units)



# Neutrino detectors of T2K

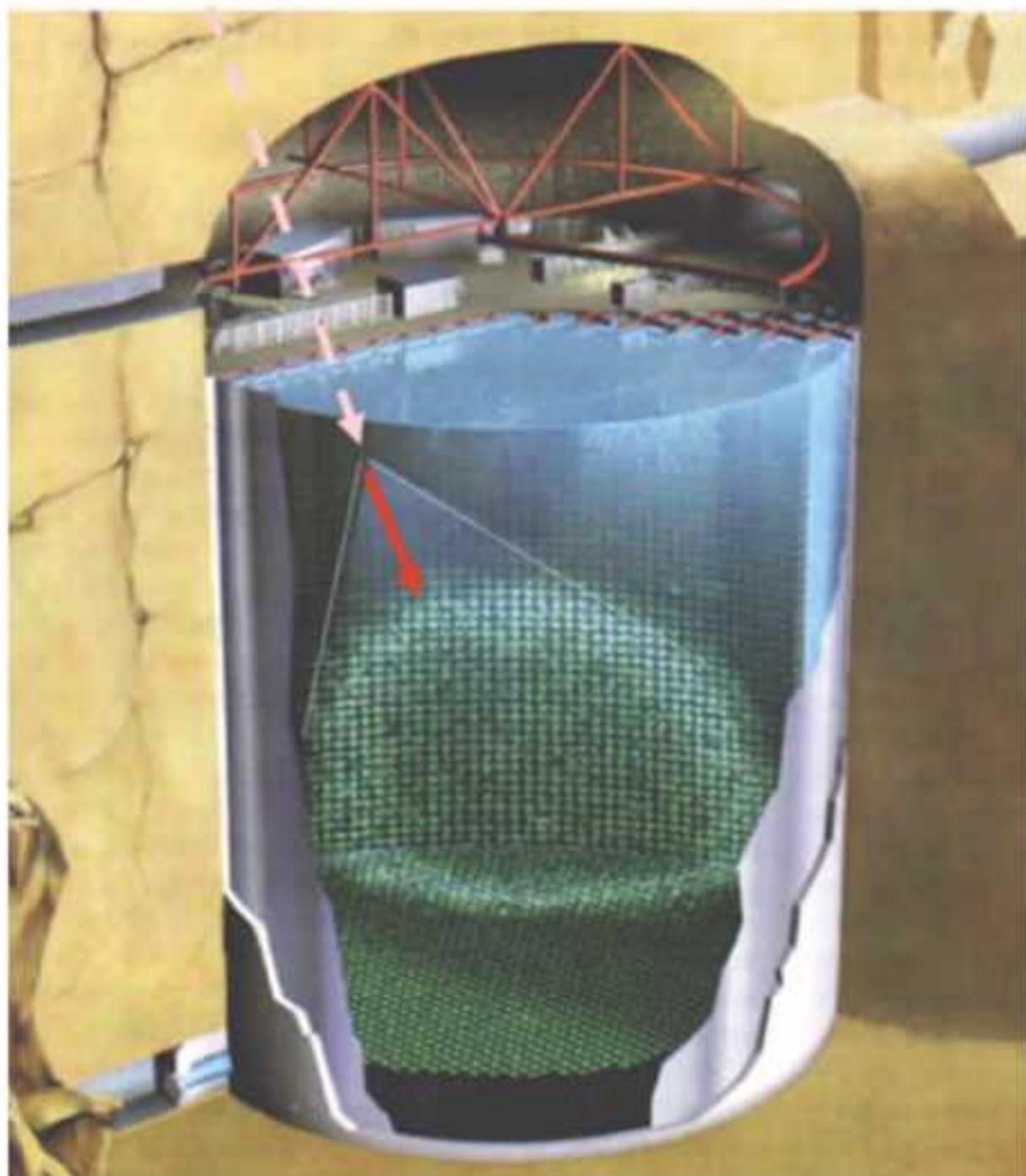
## Off-axis ND280 detectors



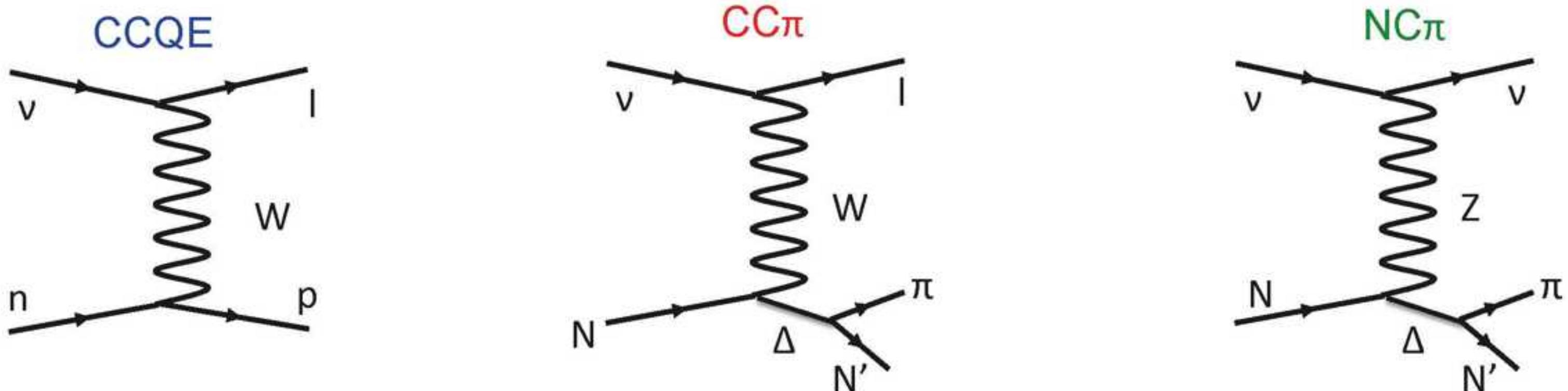
## On-axis INGRID detector:

Measure beam direction, stability  
with high statistics ν event sample

## Off-axis Super-Kamiokande detector



# Neutrino interactions at T2K



At  $E\nu \sim 0.6$  GeV most interactions are

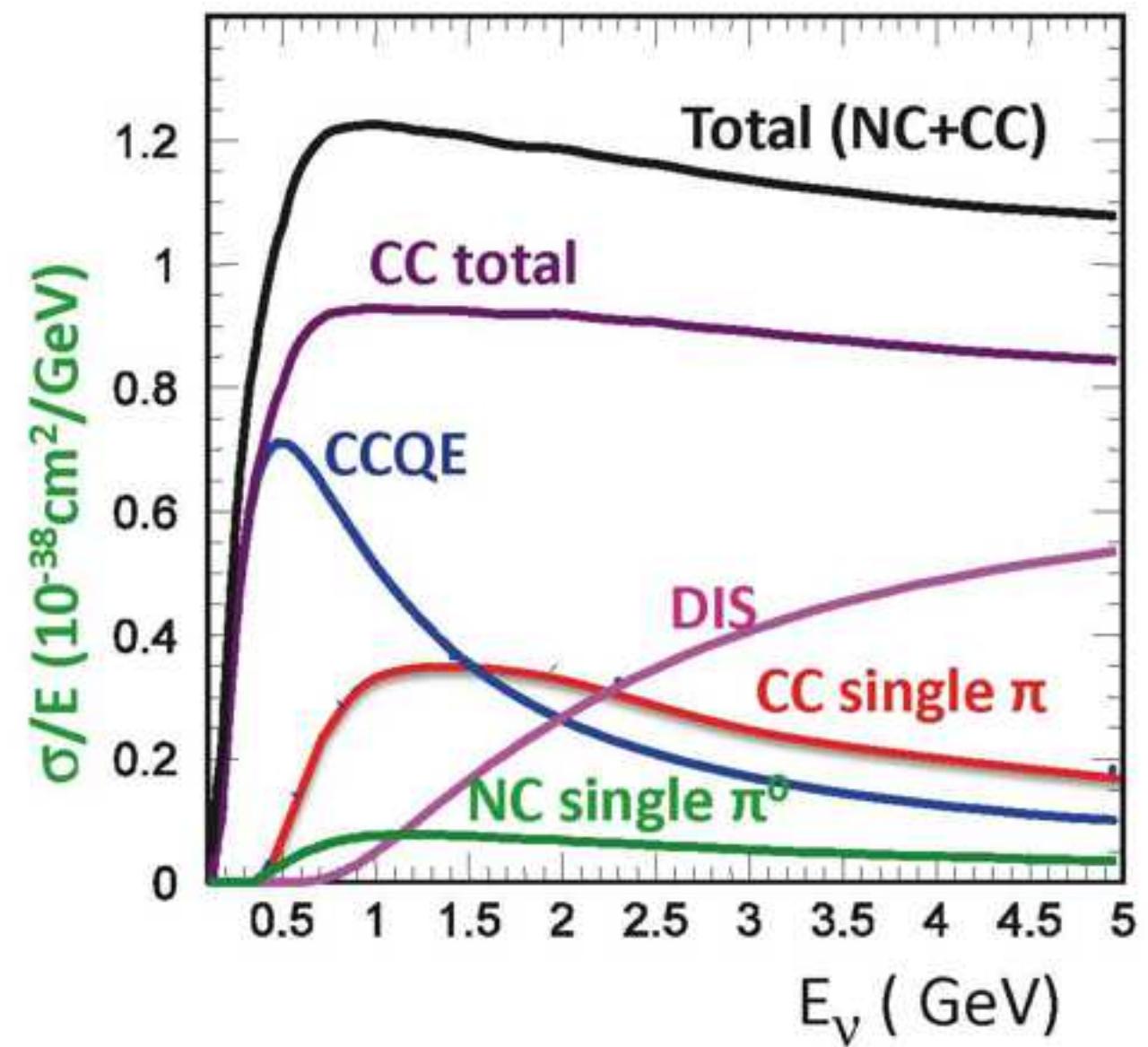
## Charged Current Quasi Elastic

- Neutrino flavor determined from flavor of outgoing lepton i.e.  $e$  for  $\nu_e$ ,  $\mu$  for  $\nu_\mu$
- Infer neutrino properties from the lepton momentum and angle:

$$E_{\nu}^{QE} = \frac{m_p^2 - m_n'^2 - m_\mu^2 + 2m'_n E_\mu}{2(m'_n - E_\mu + p_\mu \cos \theta_\mu)}$$

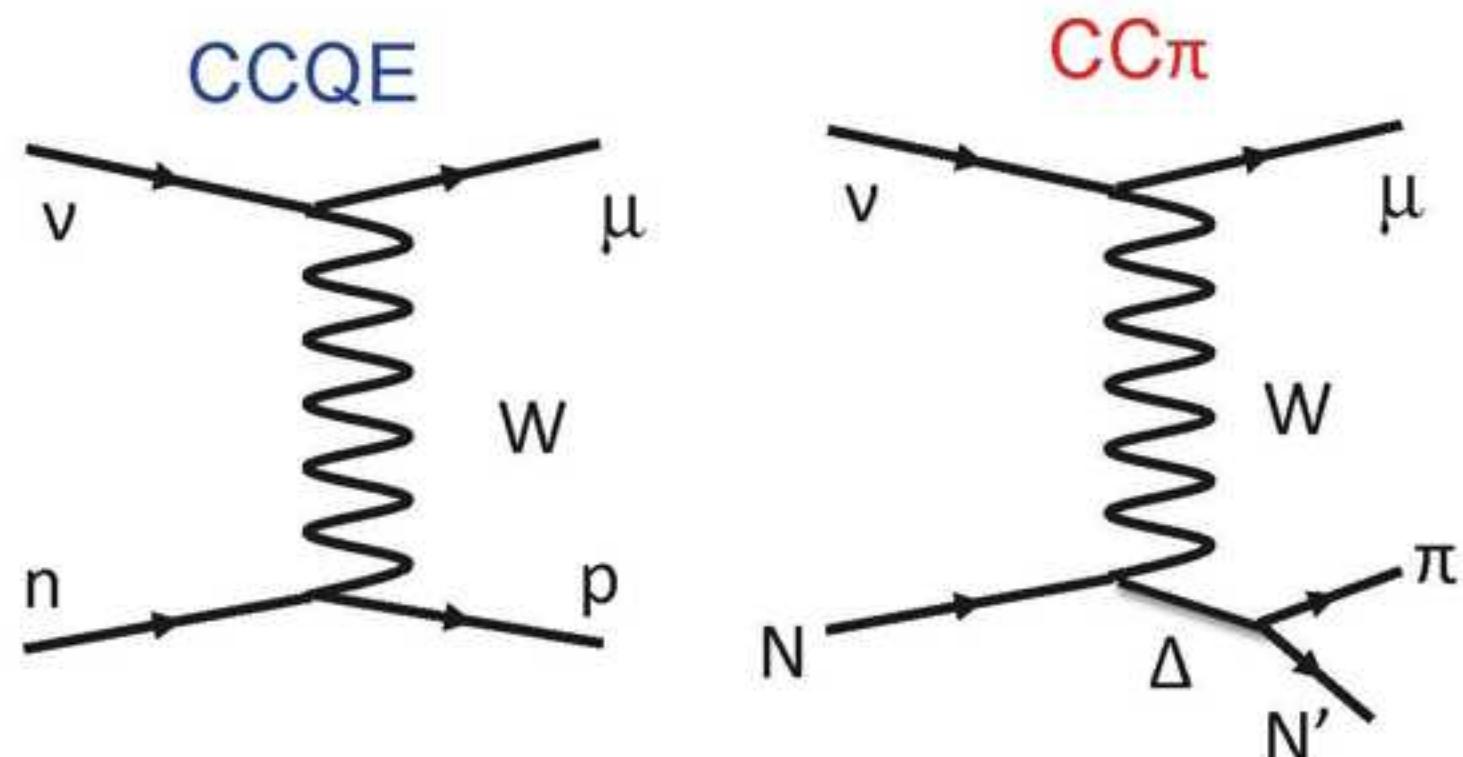
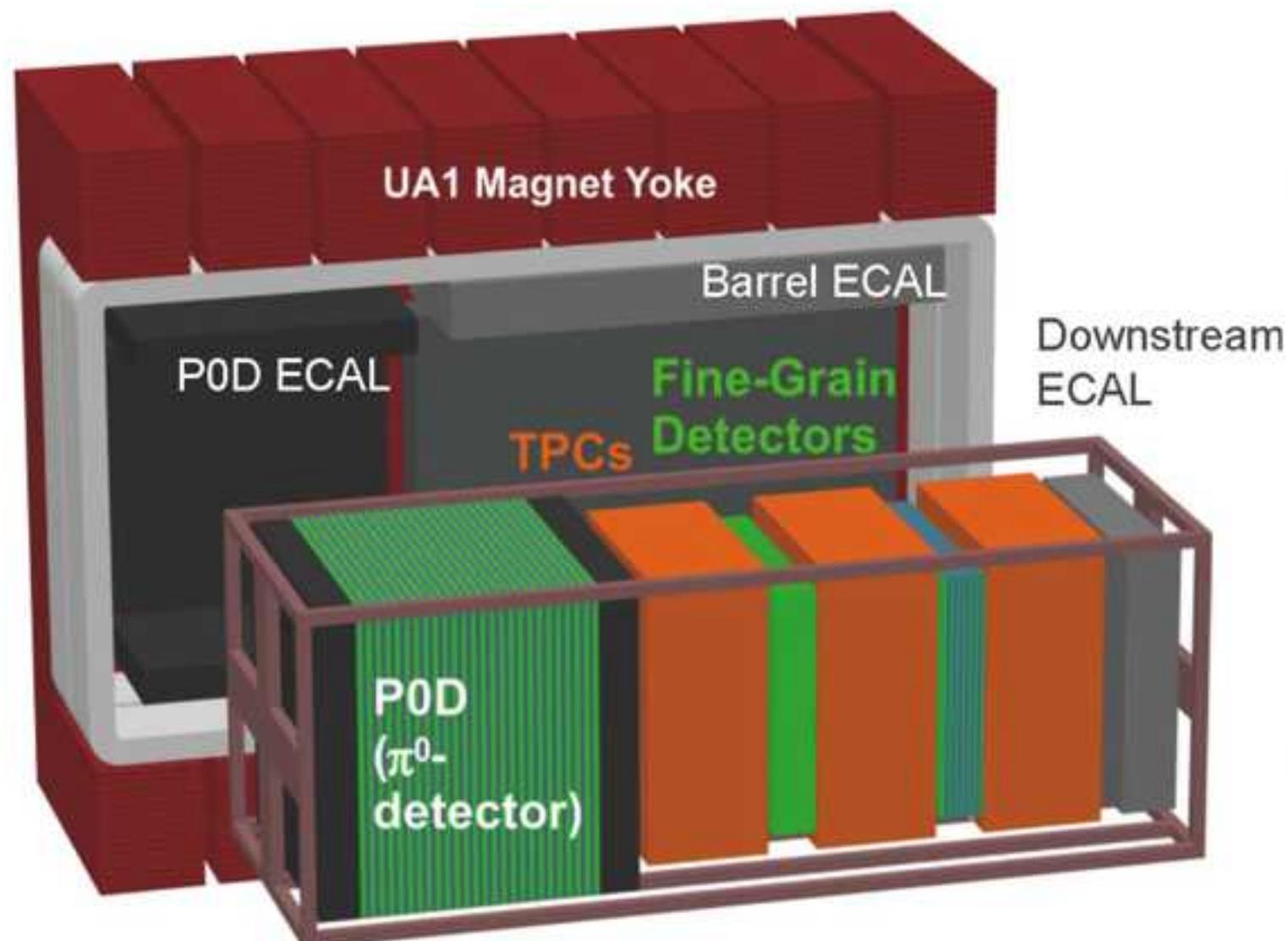
Single pion production is also important:

**CC $\pi$**  and **NC $\pi$**



# Near detectors (ND)

Measure unoscillated  $\nu_\mu$ (CC) rate:  
Select nothing coming in (neutrino)  
and muons coming out ( $\nu_\mu$ )



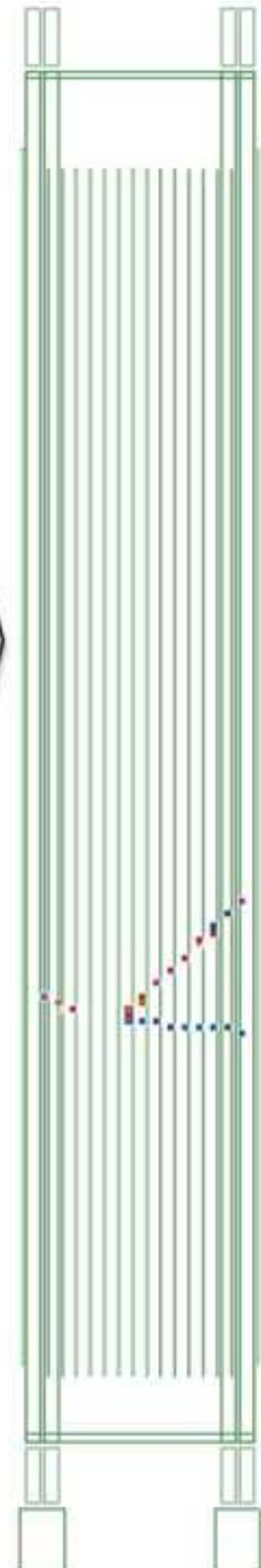
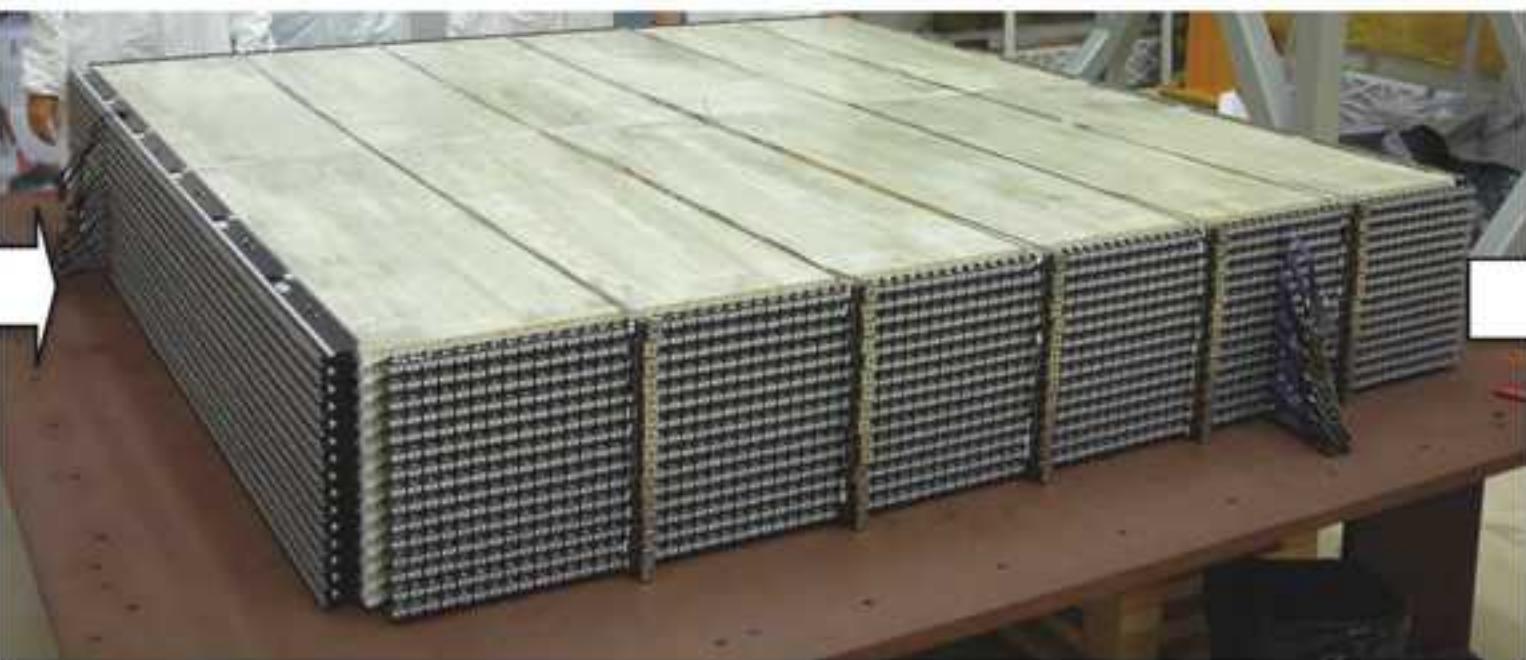
Analysis this year relies on “Tracker”,  
constructed at TRIUMF

- 2 scintillator based tracking detectors (FGDs)
  - 3 time projection chambers (TPCs)
  - Placed inside the UA1 magnet
- Additional detectors include:
- P0D ( $\pi^0$  detector)
  - Electromagnetic calorimeters
  - Muon range detectors

# Fine Grained Detectors (FGDs)

Scintillation light (from charged particles) is sent down a wavelength shifting fibre connected to a multi-pixel-photon-counter (MPPC)

- MPPCs function in a magnetic field
- First large scale use



X and Y scintillator layers can be used for 3D tracking  
1cm<sup>2</sup> bar size provides detailed vertex information

P.-A. Amaudruz et al,  
“The T2K fine-grained detectors”,  
NIM A (2012) 10.1016/j.nima.2012.08.020

# Time projection chambers (TPCs)

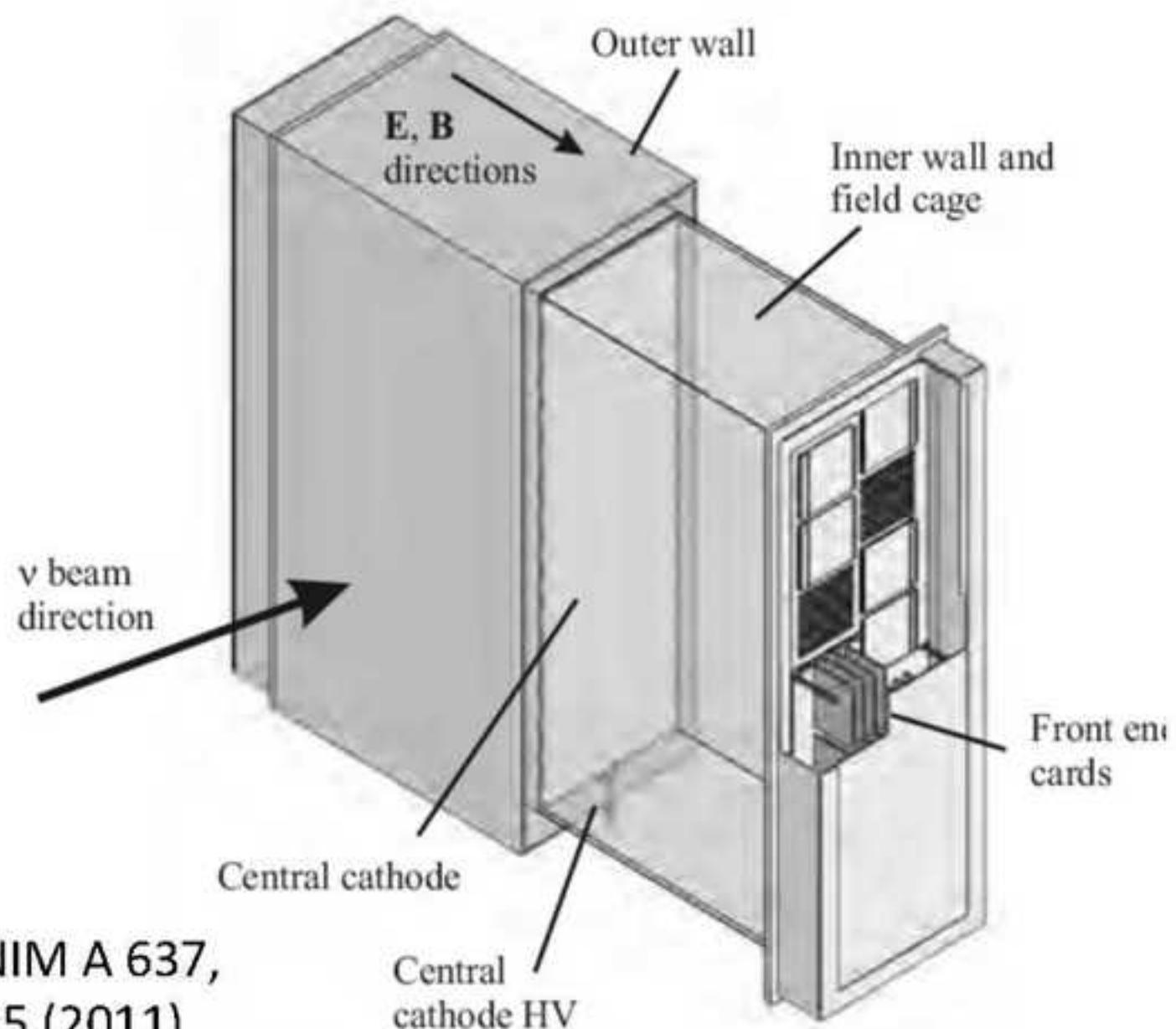
Charged particle ionizes gas; electrons drift to readout plane ( $E \sim 25\text{kV}$ )

“Wireless” TPC: Use of bulk micromegas detectors in readout

3D tracks are reconstructed provided drift velocity in the gas and timing of entry from other subdetectors

Momentum of the particle can be determined from curvature

- 0.2T B field;  $p_\mu \sim 1 \text{ GeV}/c$  has <10% momentum resolution



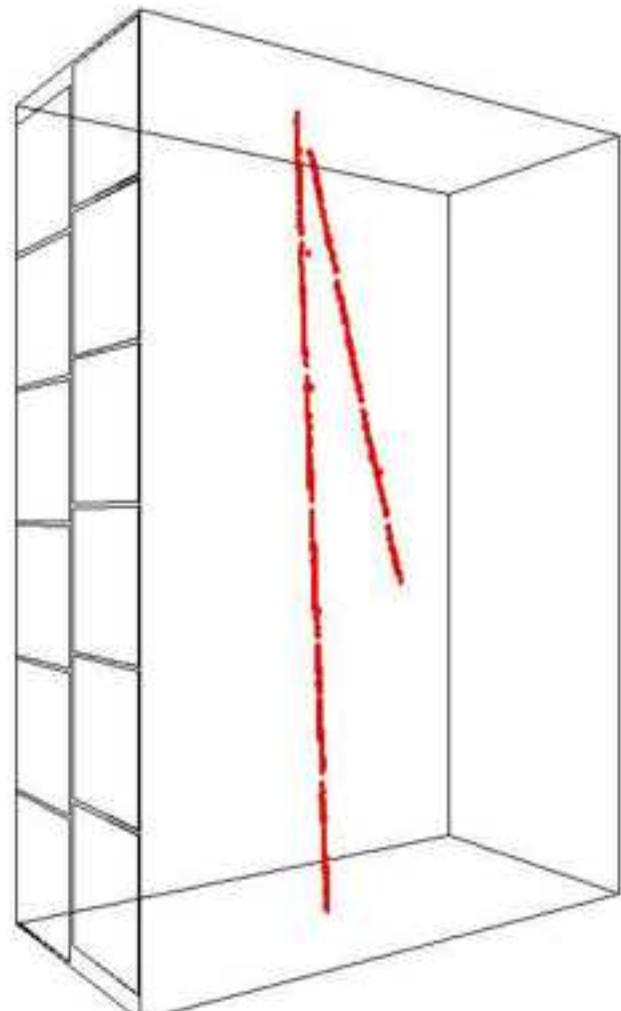
NIM A 637,  
25 (2011)

2/6/13



K Mahn, IPMU seminar

*Built DQ system,  
alignment convener*



# Selecting CC $\nu_\mu$ interactions

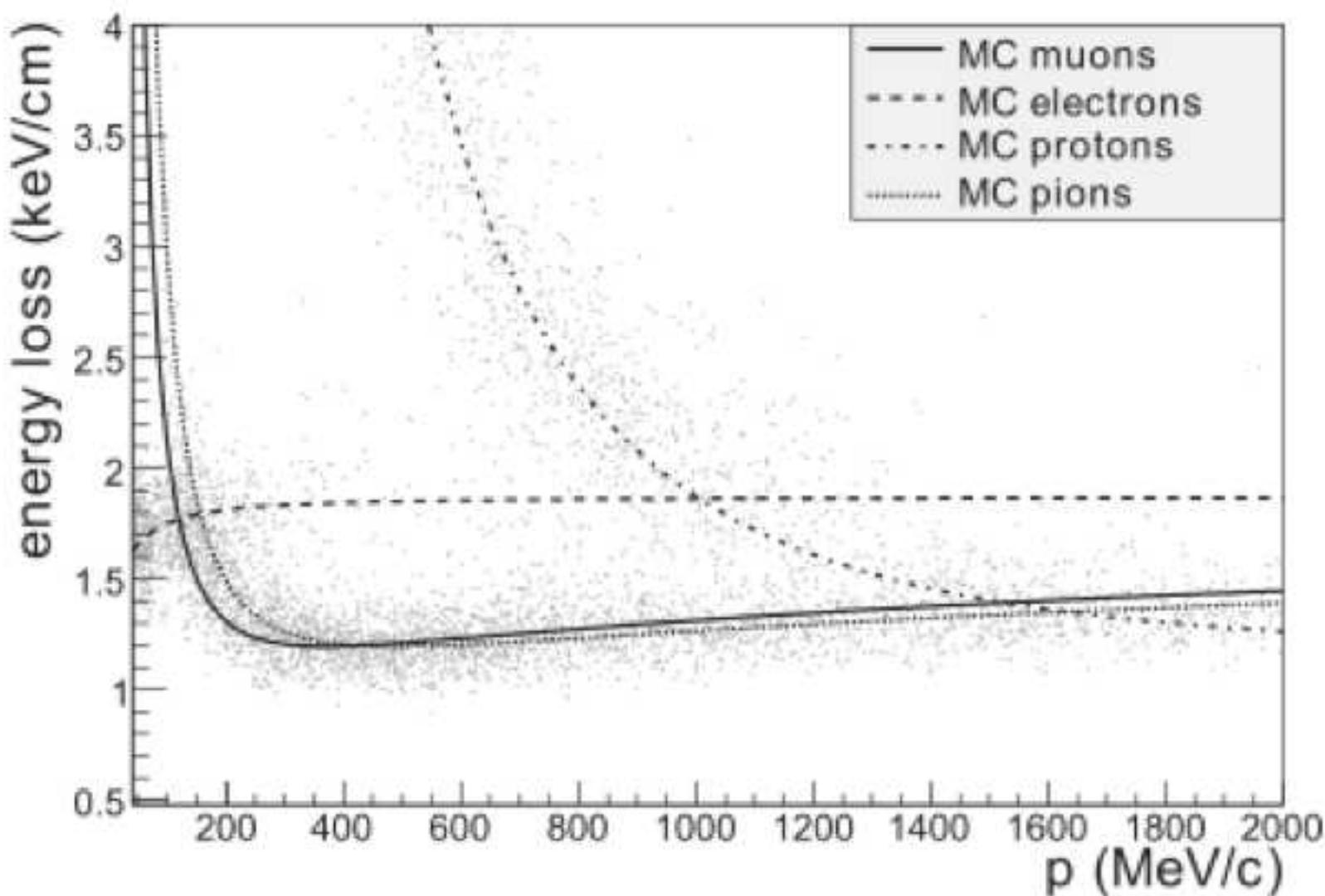
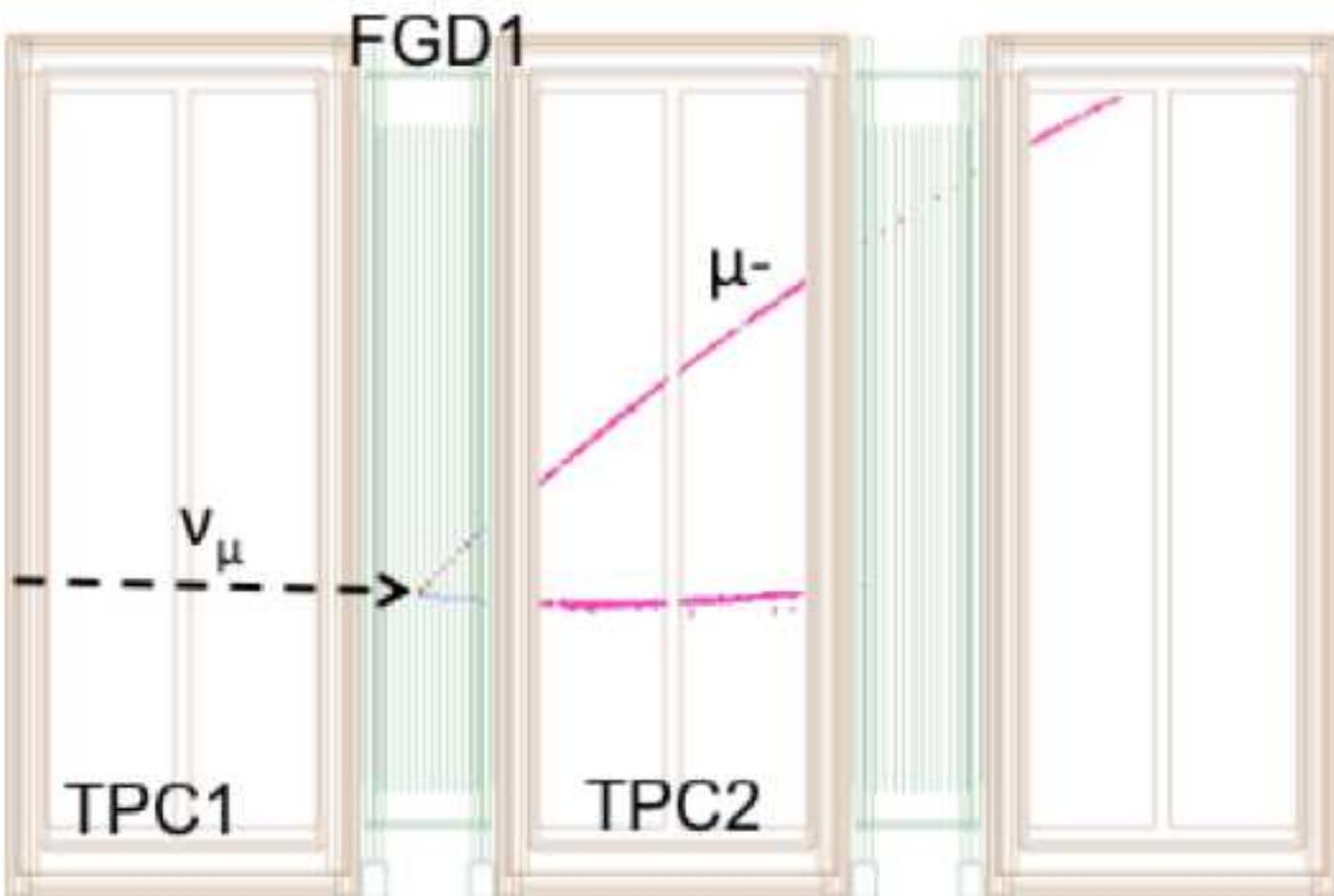
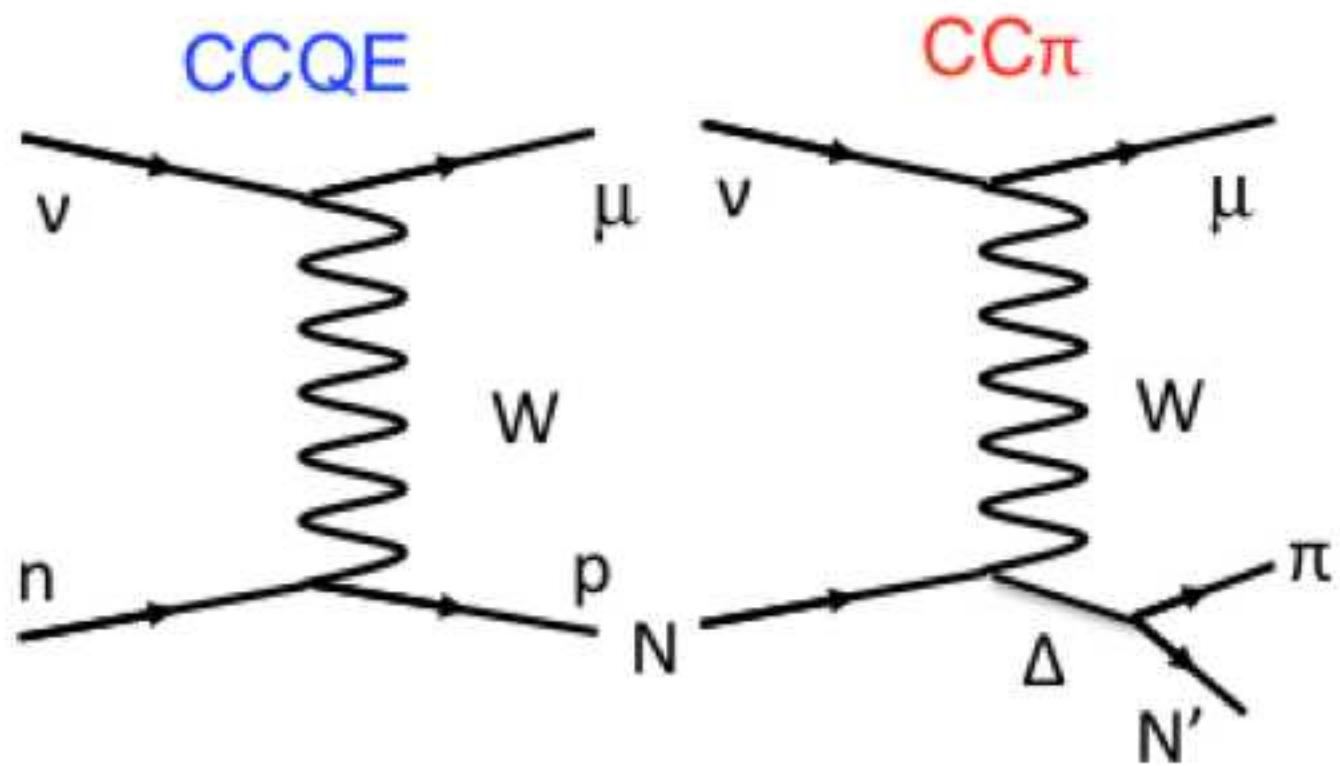
Measure unoscillated  $\nu_\mu$ (CC) rate

## 1. Neutrino interaction in FGD1

- Veto events with TPC1 tracks
- Events within FGD1 fiducial volume

## 2. Select highest momentum, negative curvature track as $\mu^-$ candidate

## 3. Check muon candidate is consistent with muon energy loss in TPC



# Selecting CC $\nu_\mu$ interactions

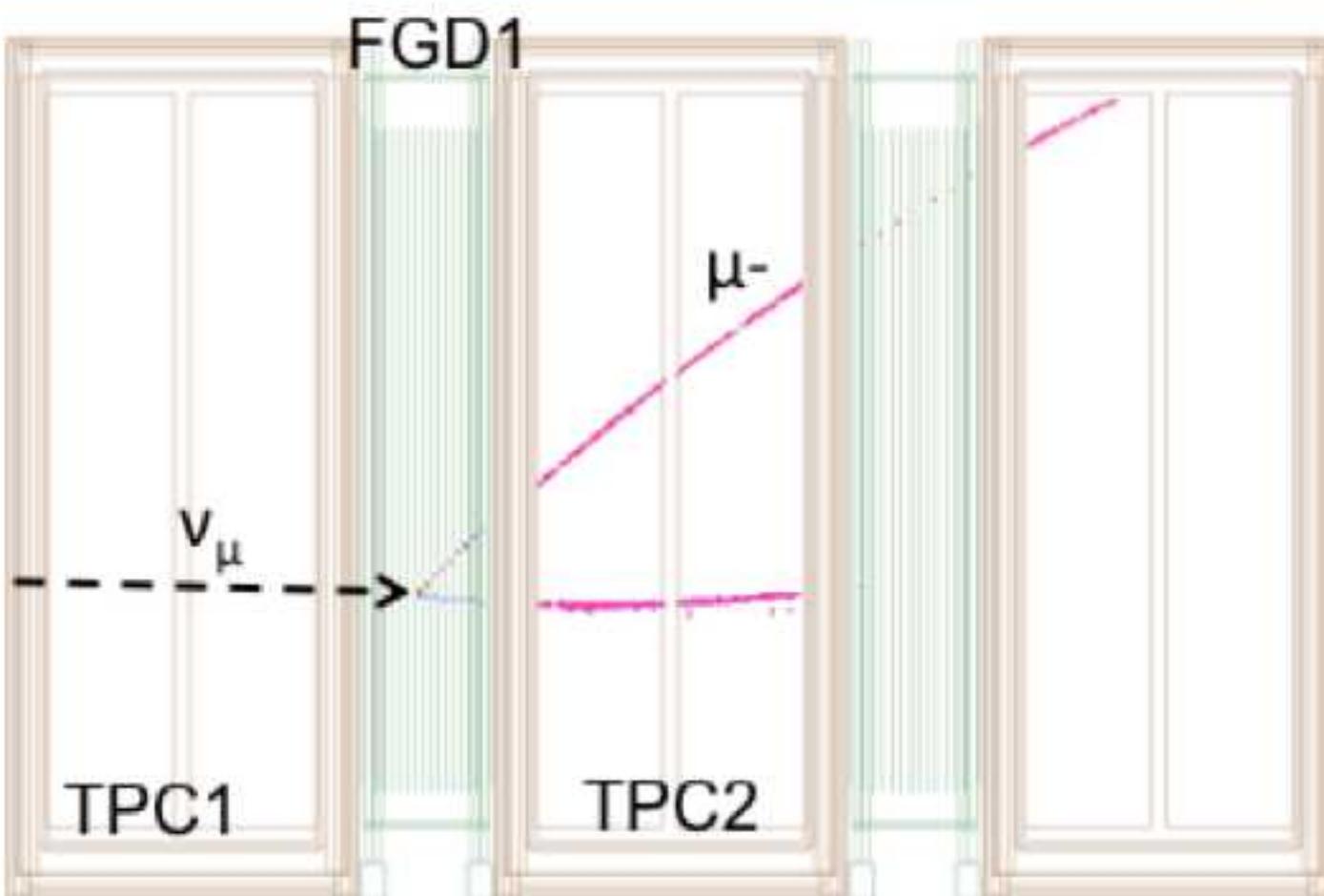
Measure unoscillated  $\nu_\mu$ (CC) rate

## 1. Neutrino interaction in FGD1

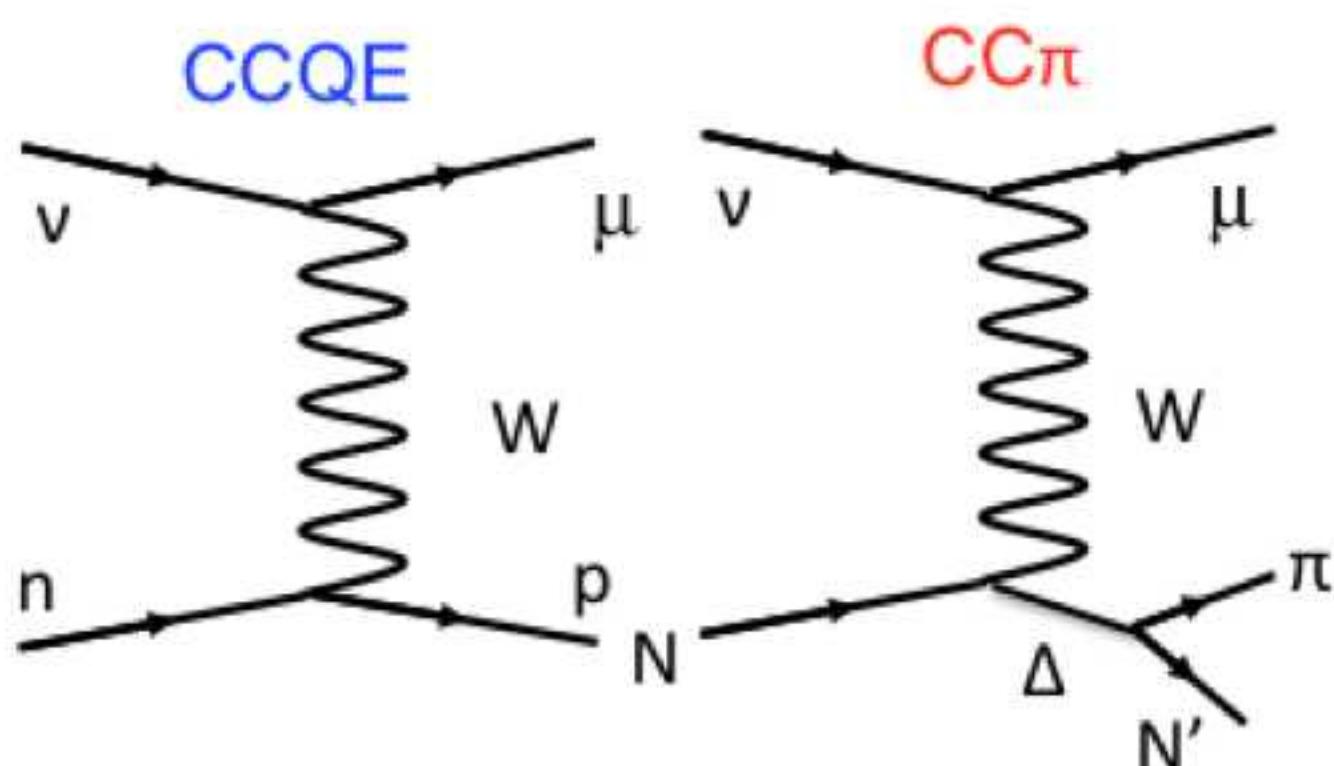
- Veto events with TPC1 tracks
- Events within FGD1 fiducial volume

## 2. Select highest momentum, negative curvature track as $\mu^-$ candidate

## 3. Check muon candidate is consistent with muon energy loss in TPC



Further separate sample into two categories:



CCQE enhanced:

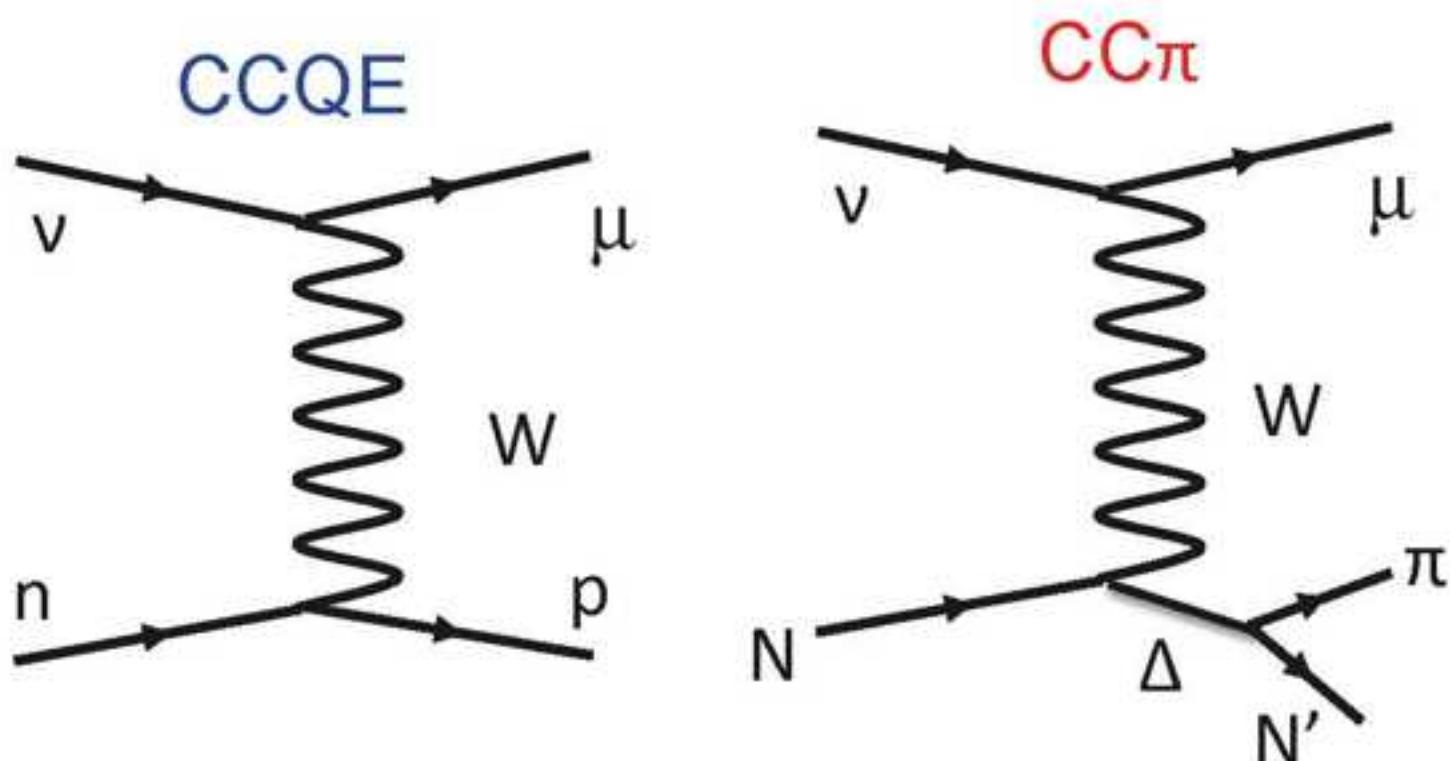
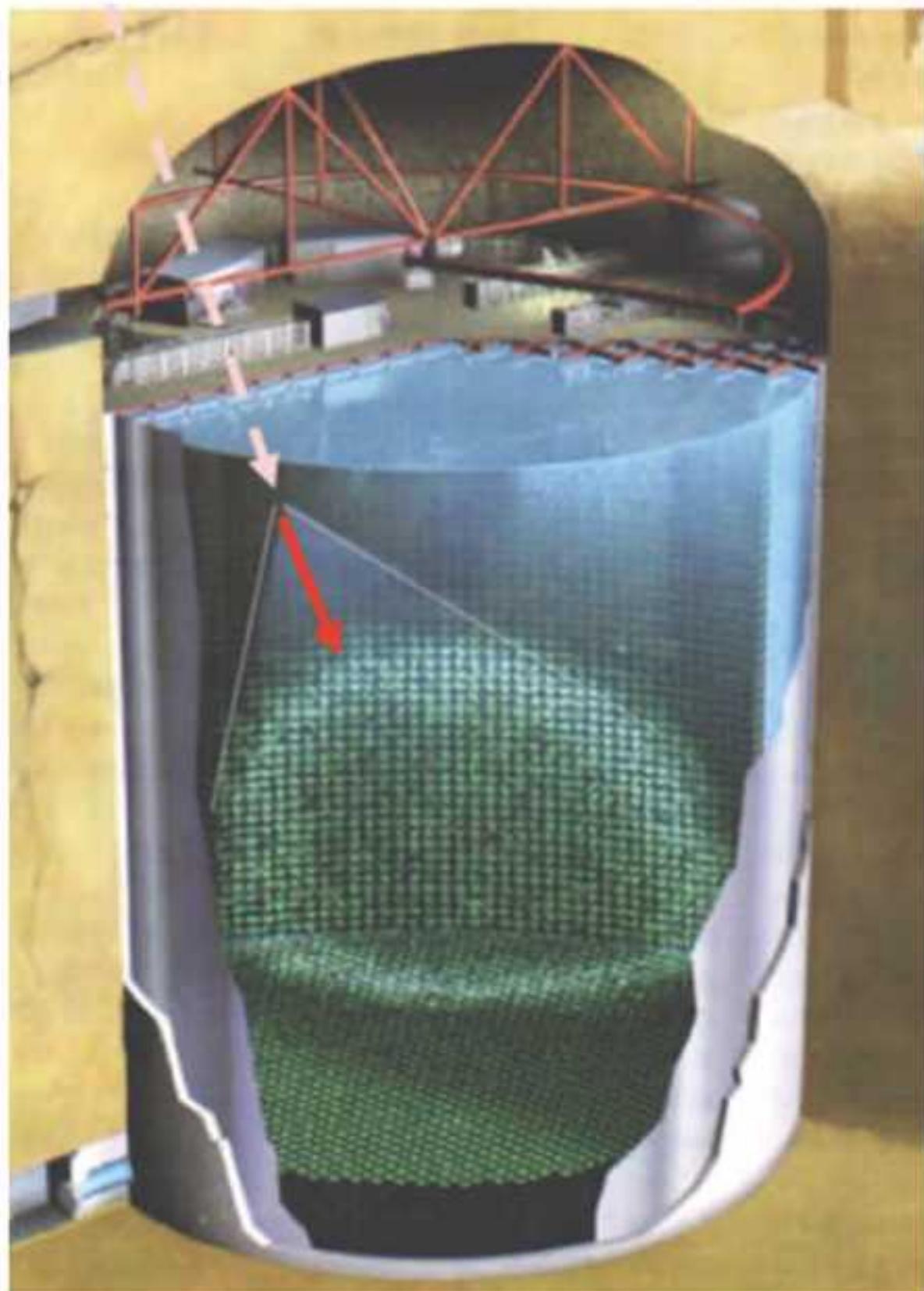
- 1 TPC-FGD matched track
- no decay electron in FGD1

CCnonQE enhanced:

- all other CC inclusive

# Far detector

Measure  $\nu_e$ (CC) rate from  $\nu_\mu$  oscillation  
Select nothing coming in (neutrino)  
and an electron coming out ( $\nu_e$ )



Super-Kamiokande: 22.5kton fiducial volume  
water Cherenkov detector

Charged leptons emit Cherenkov light

- Ring is imaged by 11,129 PMTs; ring is used to determine the lepton direction and momentum (relative to the neutrino)
- Entering (non-neutrino) events are rejected by outer veto region
- Select  $\nu_e$  events from ring shape and topology

# Selecting CC $\nu_e$ interactions

**Signal:** CC  $\nu_e$  from  $\nu_\mu$  to  $\nu_e$  oscillation

**Background:** CC  $\nu_e$   
Irreducible beam  $\nu_e$

**Background:** NC  $\pi^0 \nu_\mu$   
Mimics CC  $\nu_e$

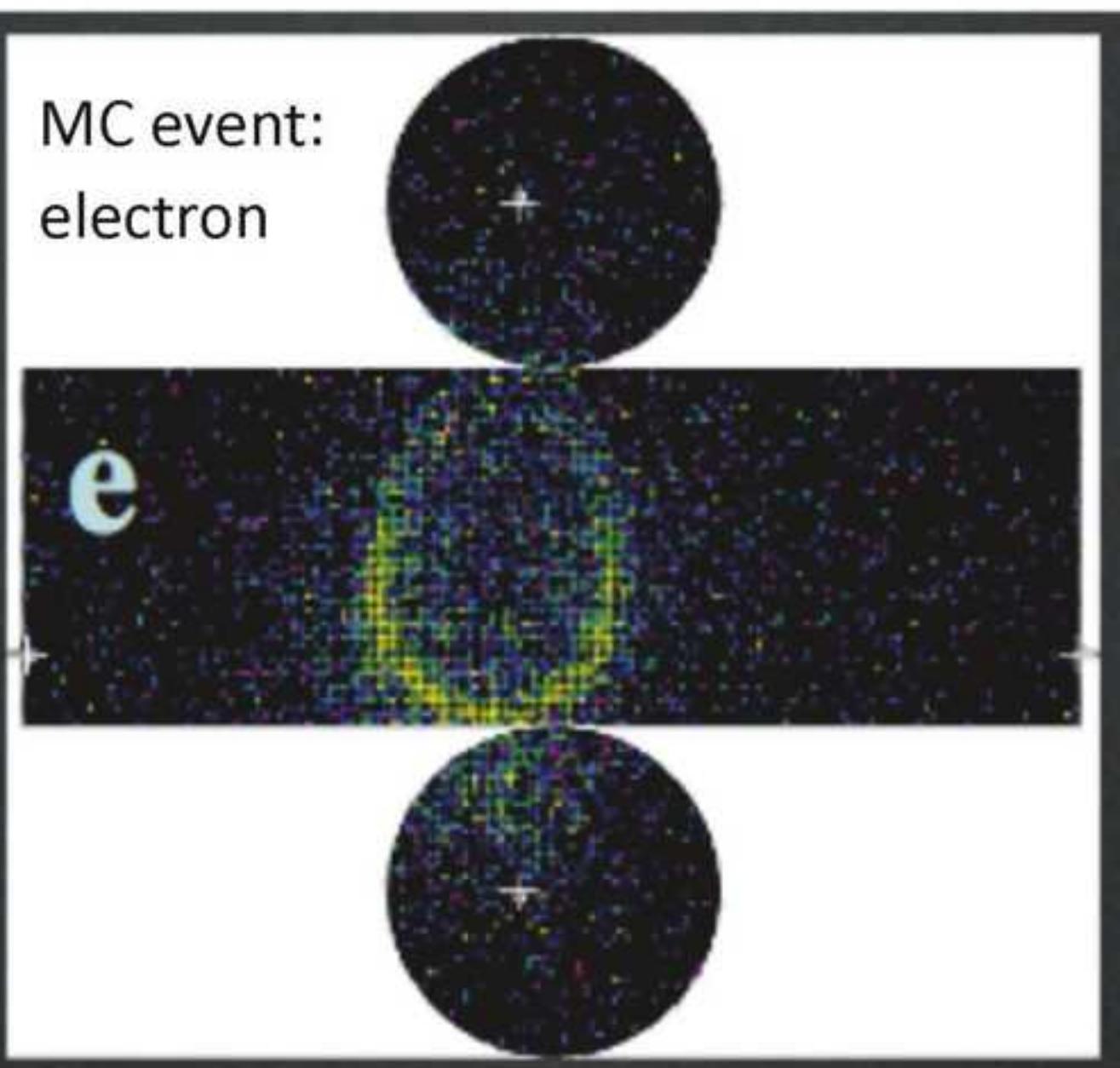
A  $\pi^0$  from a NC interaction will decay to two photons (two electron-like rings)

- Search for 2<sup>nd</sup> ring
- Calculate invariant mass
- Reject events consistent with  $\pi^0$  invariant mass

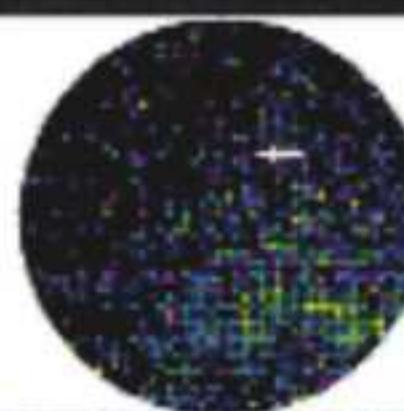
MC event:  
electron



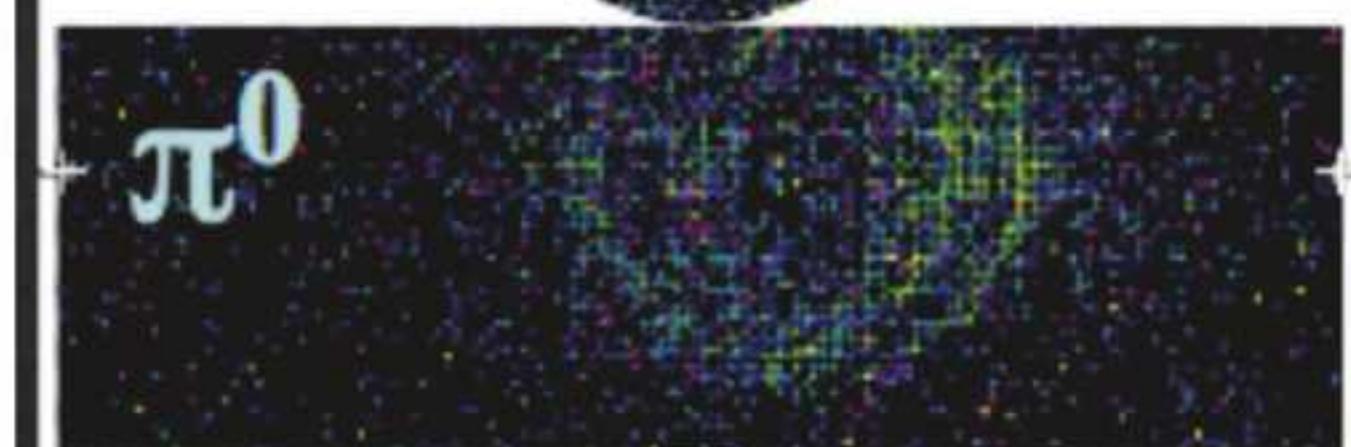
e



MC event:  
 $\pi^0$



$\pi^0$



## $\nu_e$ appearance analysis

$$N(\nu_e) = \Phi(E_\nu) \sigma(E_\nu) \epsilon P(\nu_\mu \rightarrow \nu_e)$$

Fit the observed rate to determine  $\sin^2 2\theta_{13}$ . Also depends on:

Neutrino flux  
prediction

Neutrino cross section  
model

Far detector selection,  
efficiency

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We reduce the error on the rate of  $\nu_e$  with the near detector:

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$$N(\nu_\mu) = \Phi(E_\nu) \sigma(E_\nu) \epsilon.$$

Neutrino flux  
prediction

Neutrino cross section  
model

Near detector selection,  
efficiency

*Challenge as osc analysis co-convenor:  
correlate the physics, coordinate the students, convince the physicists*

# Neutrino interactions at ND and SK

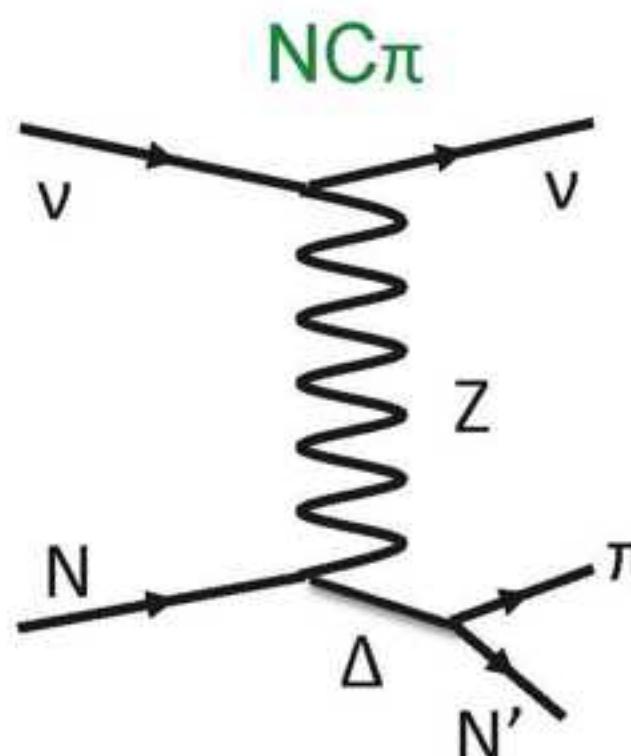
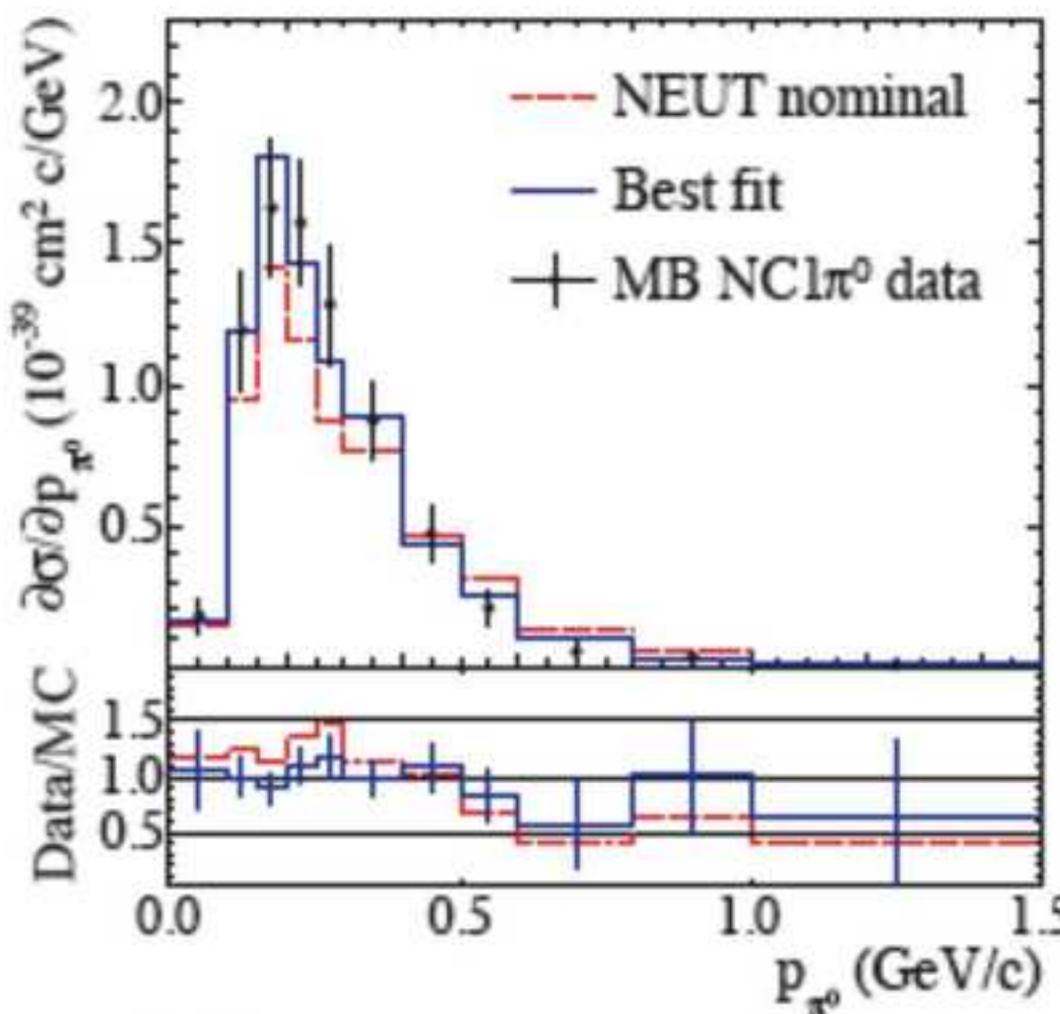
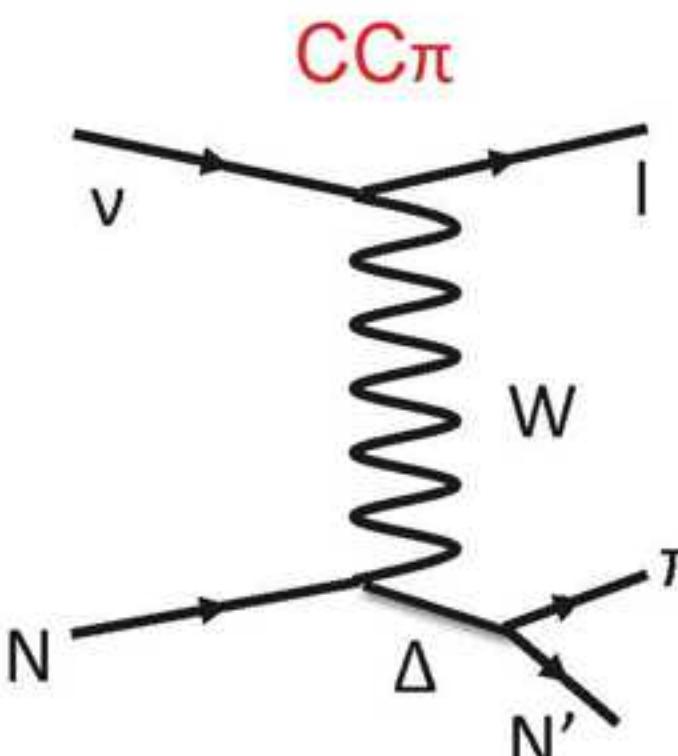
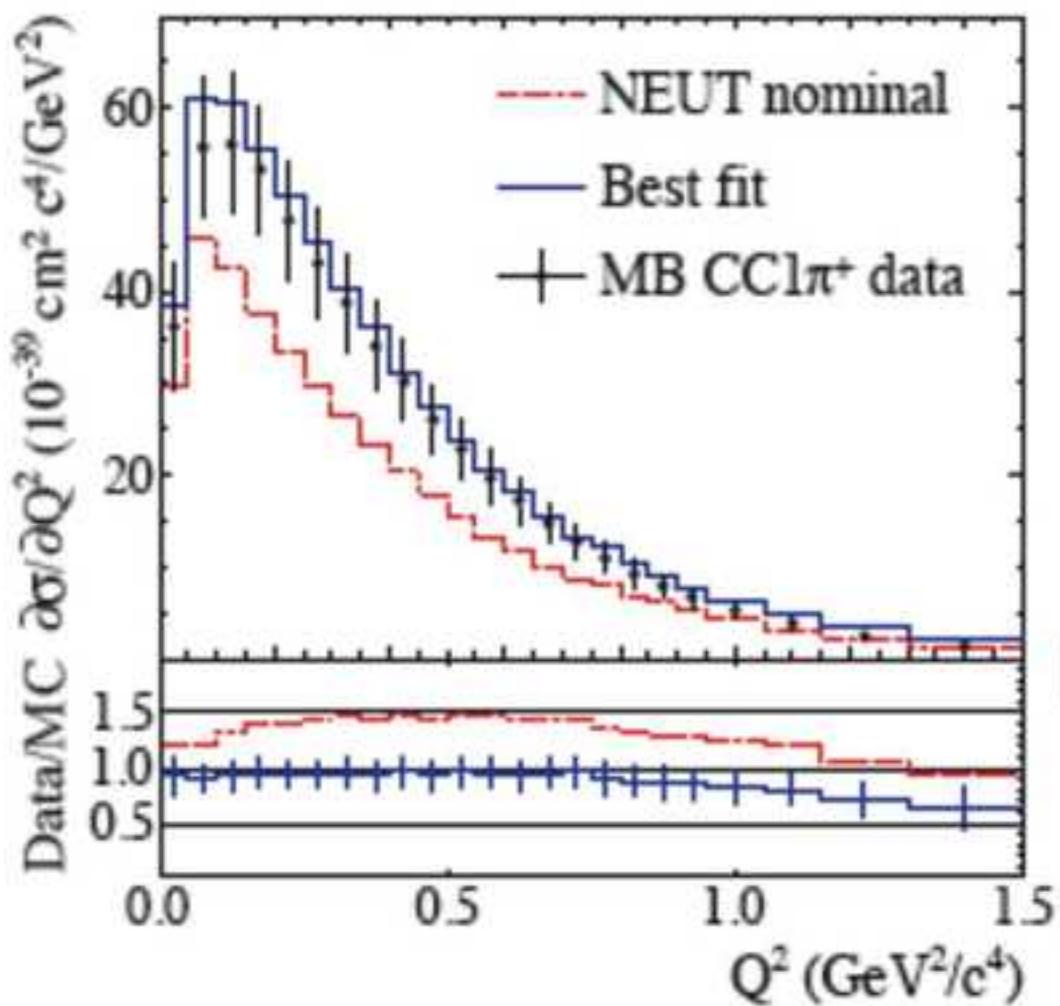
Interaction Mode	Trkr. $\nu_\mu$ CCQE	Trkr. $\nu_\mu$ CCnQE	SK $\nu_e$ Sig.	SK $\nu_e$ Bgnd.
CCQE	76.6%	14.6%	85.8%	45.0%
CC1 $\pi$	15.6%	29.3%	13.7%	13.9%
CC coh.	1.9%	4.2%	0.3%	0.7%
CC other	4.1%	37.0%	0.2%	0.7%
NC	1.5%	5.3%	-	39.7%

CCQE and CC1 $\pi$  are the largest interaction mode in ND, SK samples

- Separation of CCQE and CCnQE ND samples gives additional power for fit to constrain cross section models
- Need to account for acceptance difference between ND (forward going selection) and SK (4 $\pi$  selection) for identical changes to cross section to correlate the two samples

*From experience with SciBooNE/MiniBooNE joint analysis, developed “reweighting” code to alter the cross section for each simulated event*

# Neutrino interaction uncertainties

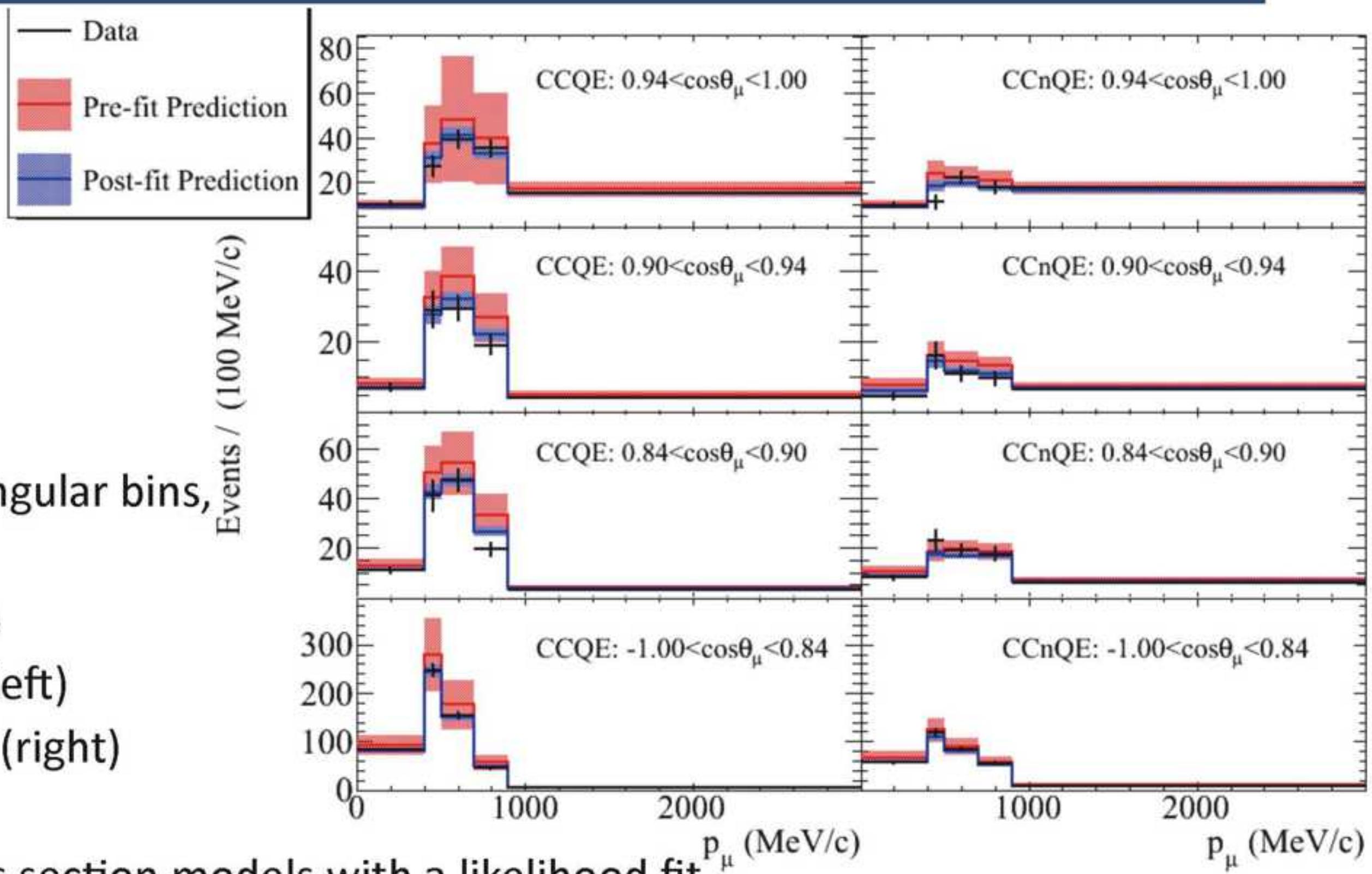


Started a program to compare cross section model (NEUT) to external neutrino-nucleon data to set uncertainties

- Possible with reweighting code
- Single pion (CC and NC) interaction MiniBooNE datasets fit simultaneously
- SciBooNE, K2K datasets used as cross check

# Fit of ND280 data to constrain rate

Event rate in angular bins,  
vs momentum  
for ND280 data  
CCQE sample (left)  
CCnQE sample (right)



Tune flux, cross section models with a likelihood fit

- $p\text{-}\theta$  distribution is sensitive to rate ( $\Phi \times \sigma$ )
- CC cross sections,  $\nu_\mu$  flux uncertainties reduced substantially;  $\Delta\chi^2 = 29.1$ ,  $p=0.925$

$$E_\nu^{QE} = \frac{m_p^2 - m'_n{}^2 - m_\mu^2 + 2m'_n E_\mu}{2(m'_n - E_\mu + p_\mu \cos\theta_\mu)}$$

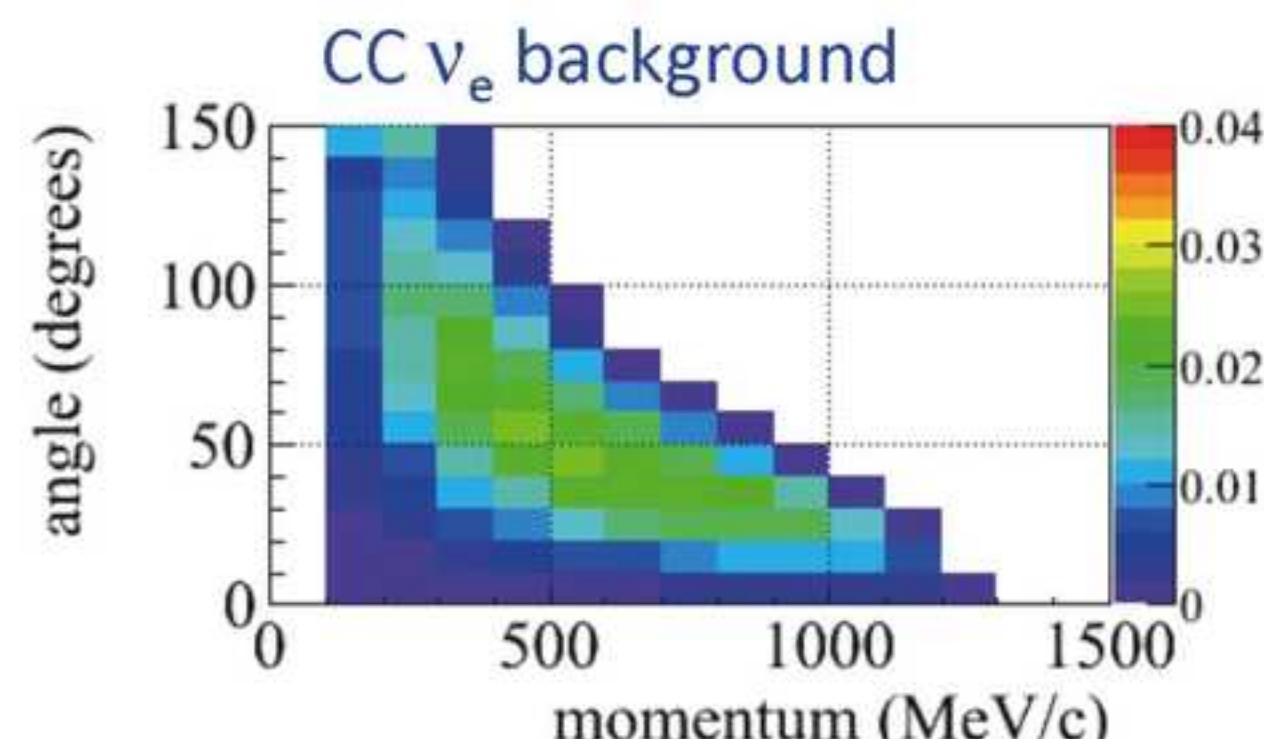
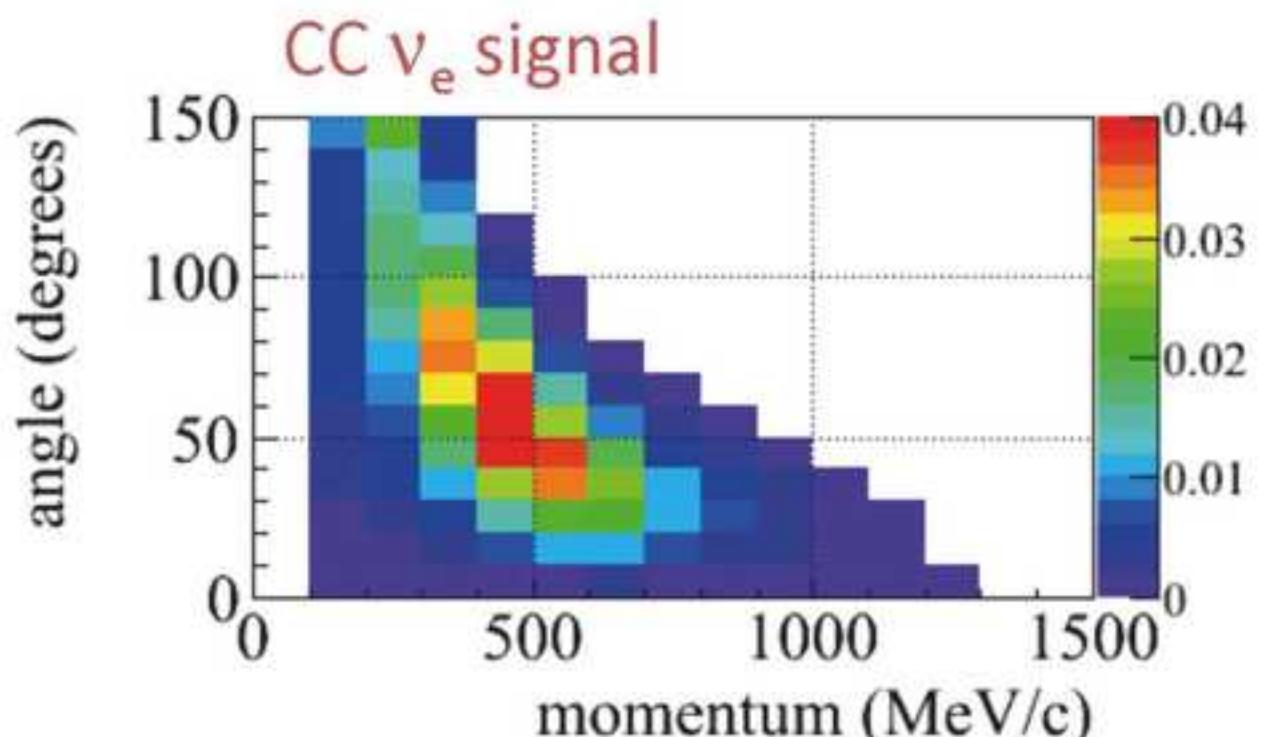
# Expected number of $\nu_e$ candidates

After ND tuning, expect  $\sim 11$  events with  $\nu_\mu$  to  $\nu_e$  oscillation, 3 without

- Rate,  $p\text{-}\theta$  kinematics of events distinguishes signal from background

Signal ( $\nu_\mu$ to $\nu_e$ osc)	# events
@ $\sin^2 2\theta_{13} = 0.1, \delta \text{cp} = 0$	7.81
Background	# events
beam $\nu_e + \bar{\nu}_e$	1.73
$\nu_\mu + \bar{\nu}_\mu$ (mainly NC) background	1.31
osc through $\theta_{12}$	0.18
total:	3.22 $\pm 0.43(\text{sys})$

$\nu_e$  signal@ $\Delta m^2_{32} = 2.4 \times 10^{-3} \text{ eV}^2, \sin^2 2\theta_{23} = 1.0$



# Overall systematic uncertainty

After ND tuning, systematic uncertainties reduced to ~10% on signal+ background

- Overall uncertainty halved with use of the near detector data

Signal ( $\nu_\mu$ to $\nu_e$ osc)	# events
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osc through $\theta_{12}$	0.18
total:	3.22 ±0.43(sys)

Uncertainties	$\nu_e$ bkrd	$\nu_e$ sig+bkrd
$\nu$ flux+xsec (constrained by ND280)	±8.7%	±5.7%
$\nu$ xsec (unconstrained by ND280)	±5.9%	±7.5%
Far detector	±7.7%	±3.9%
Total	±13.4%	±10.3%
No ND measurement	26%	22%

$$\nu_e \text{ signal}@ \Delta m_{32}^2 = 2.4 \times 10^{-3} \text{ eV}^2, \sin^2 2\theta_{23} = 1.0$$

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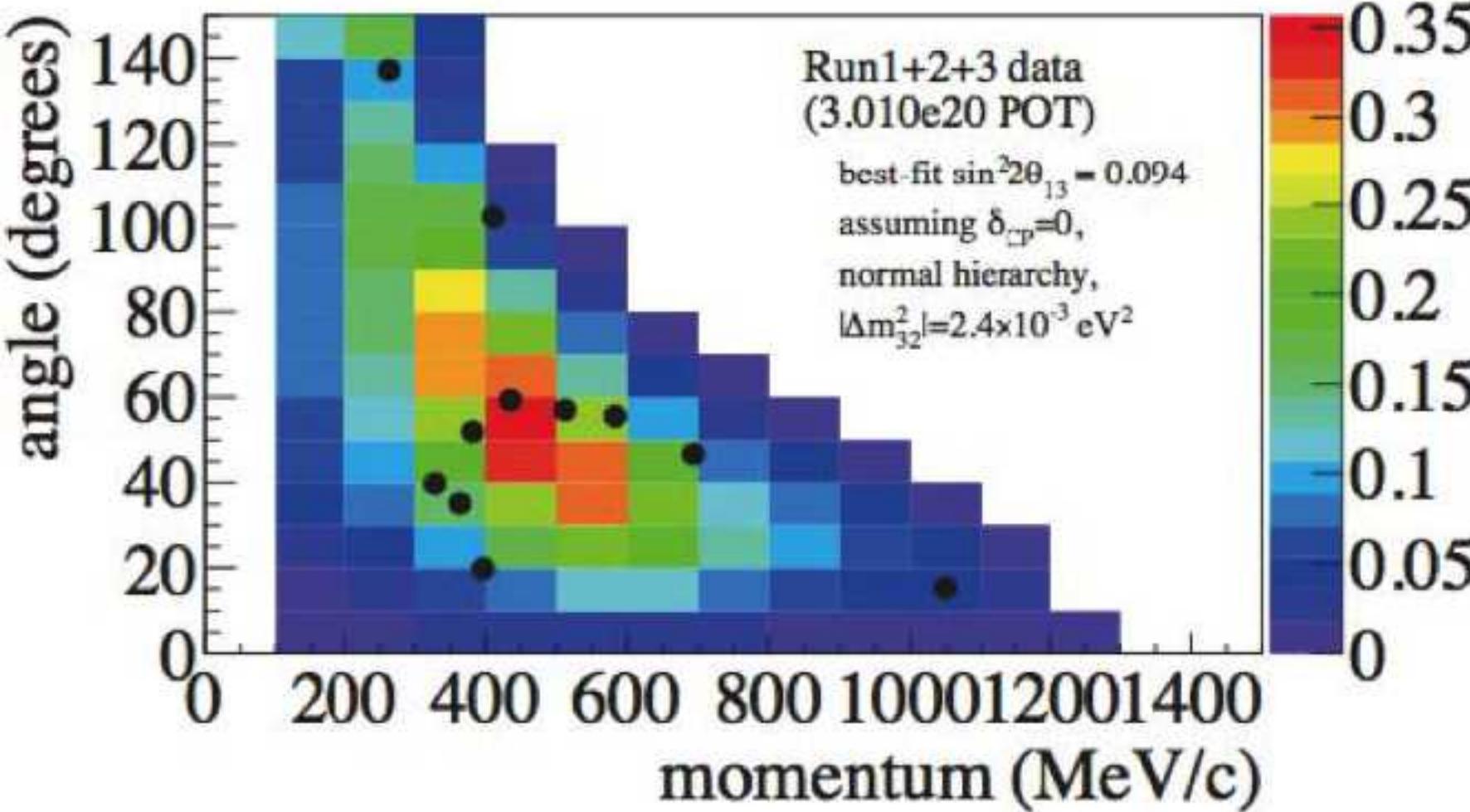
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osc through $\theta_{12}$	0.18
total:	3.22 ±0.43(sys)

$\nu_e$  signal@ $\Delta m^2_{32} = 2.4 \times 10^{-3}$  eV $^2$ ,  $\sin^2 2\theta_{23} = 1.0$

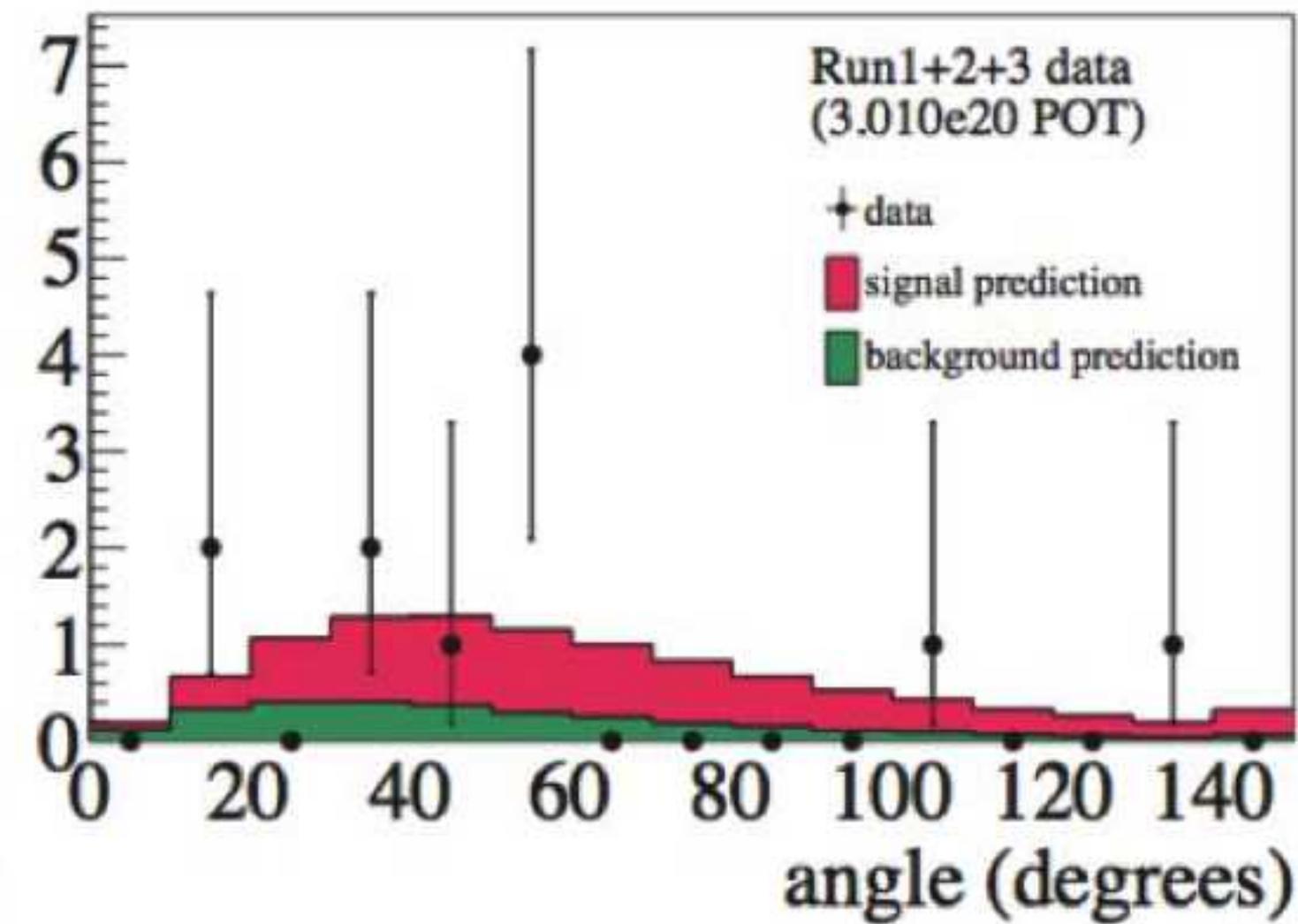
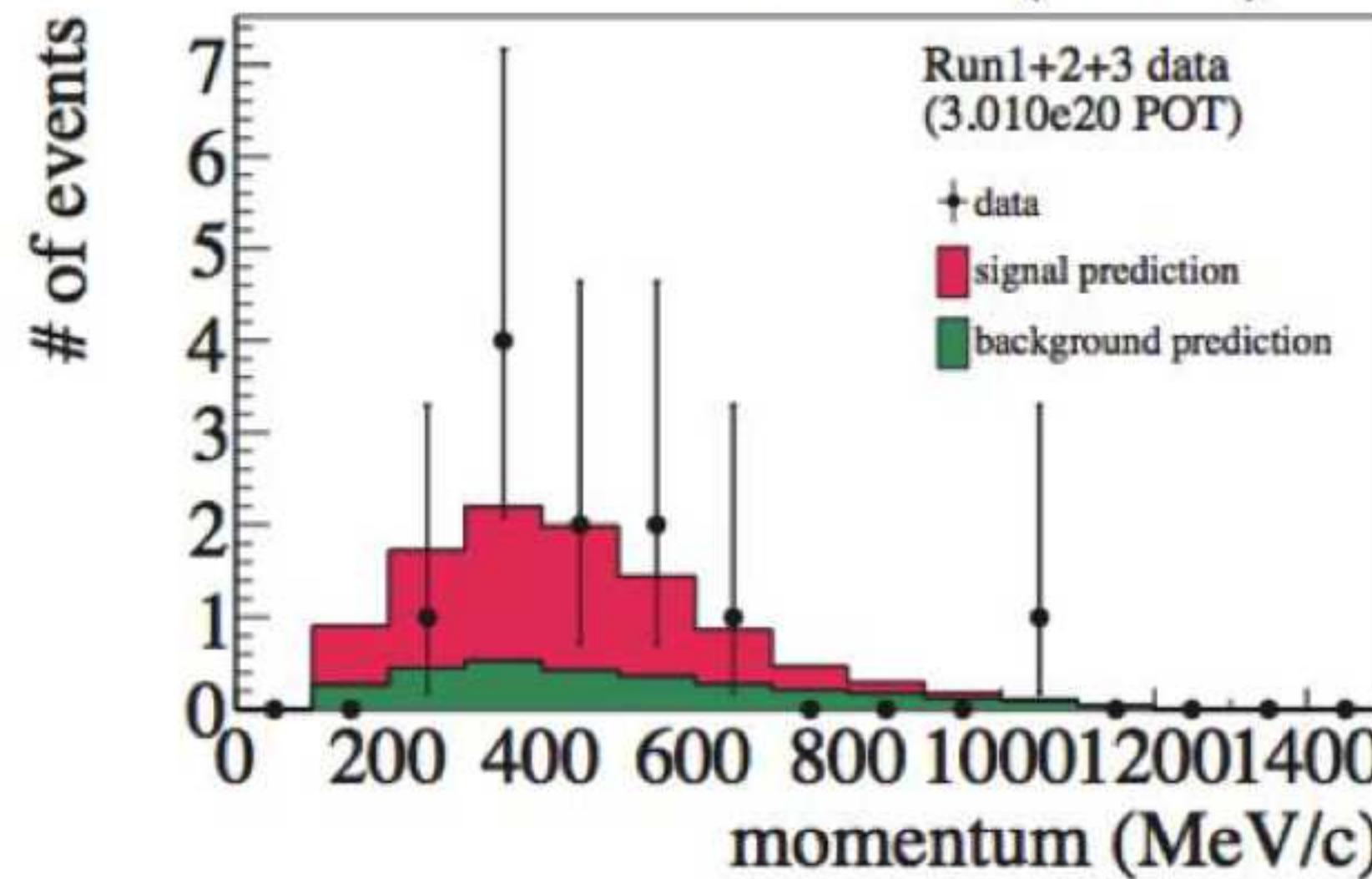
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Total	±13.4%	±10.3%
No ND measurement	26%	22%

*What do we see in data?*

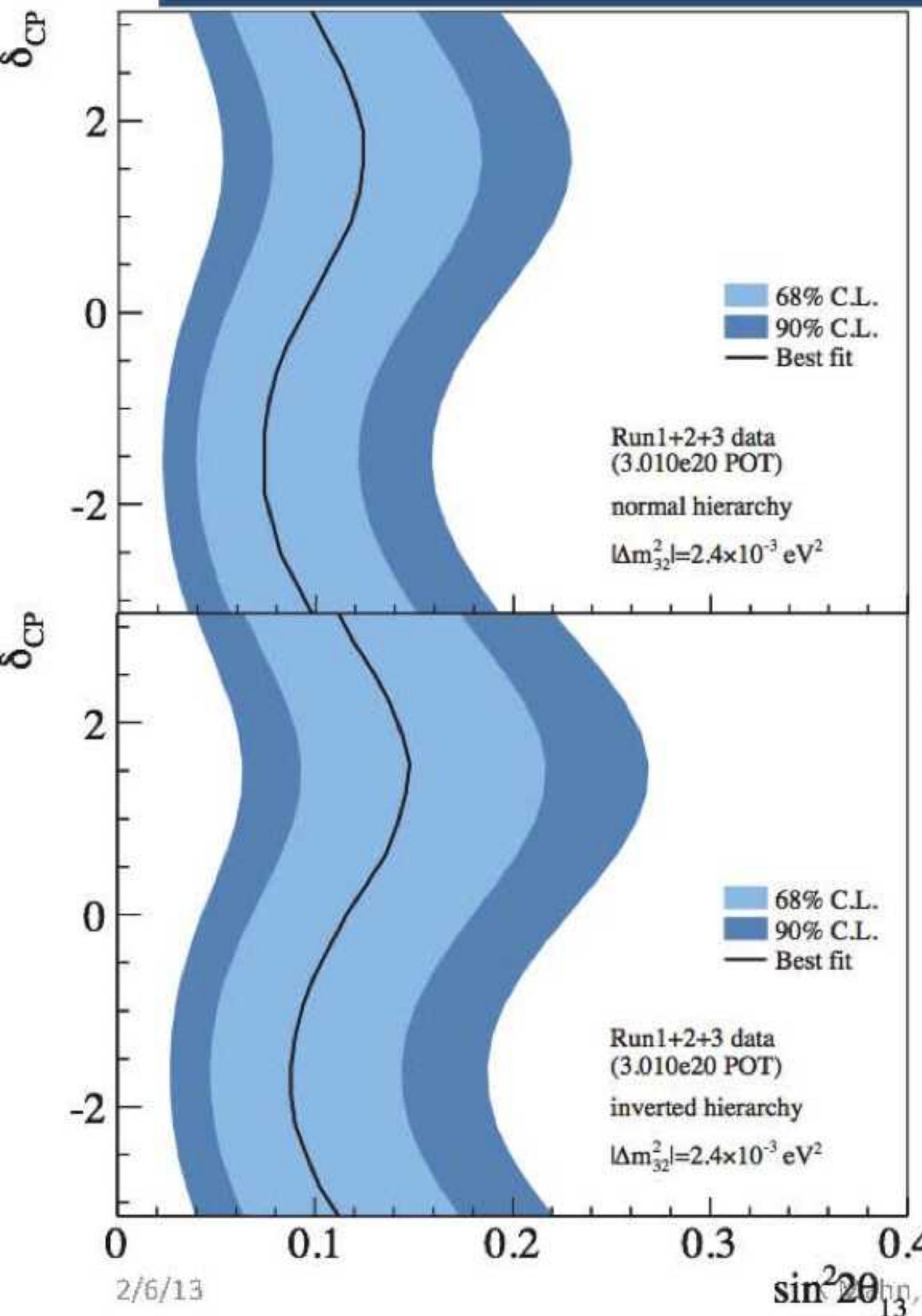
# Distributions of $\nu_e$ candidates



- 11 candidate events observed for background of  $3.22 \pm 0.43$
- Probability to see 11 events or more for  $\sin^2 2\theta_{13} = 0$  is 0.08% ( $3.2\sigma$  equivalent)
- Reasonable agreement in fit variables



# $\nu_e$ appearance results



Assuming:

$$|\Delta m^2_{32}| = 2.4 \times 10^{-3} \text{ eV}^2$$
$$\sin^2 2\theta_{23} = 1$$

Normal hierarchy ( $\Delta m^2 > 0$ ),  $\delta_{CP}=0$  (top)

best fit  $\sin^2 2\theta_{13} = 0.094 +0.053 -0.040$

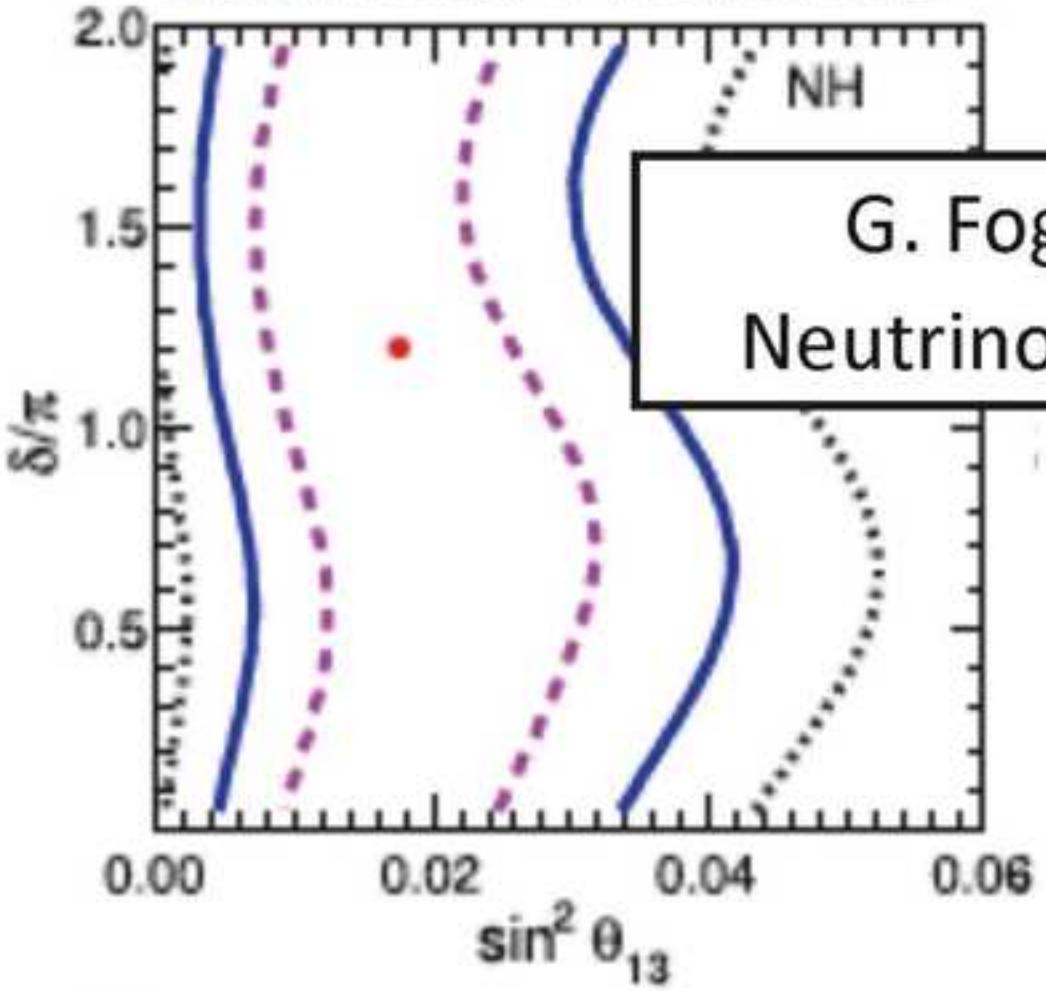
Inverted hierarchy ( $\Delta m^2 < 0$ ),  $\delta_{CP}=0$  (bottom)

best fit  $\sin^2 2\theta_{13} = 0.116 +0.063 -0.049$

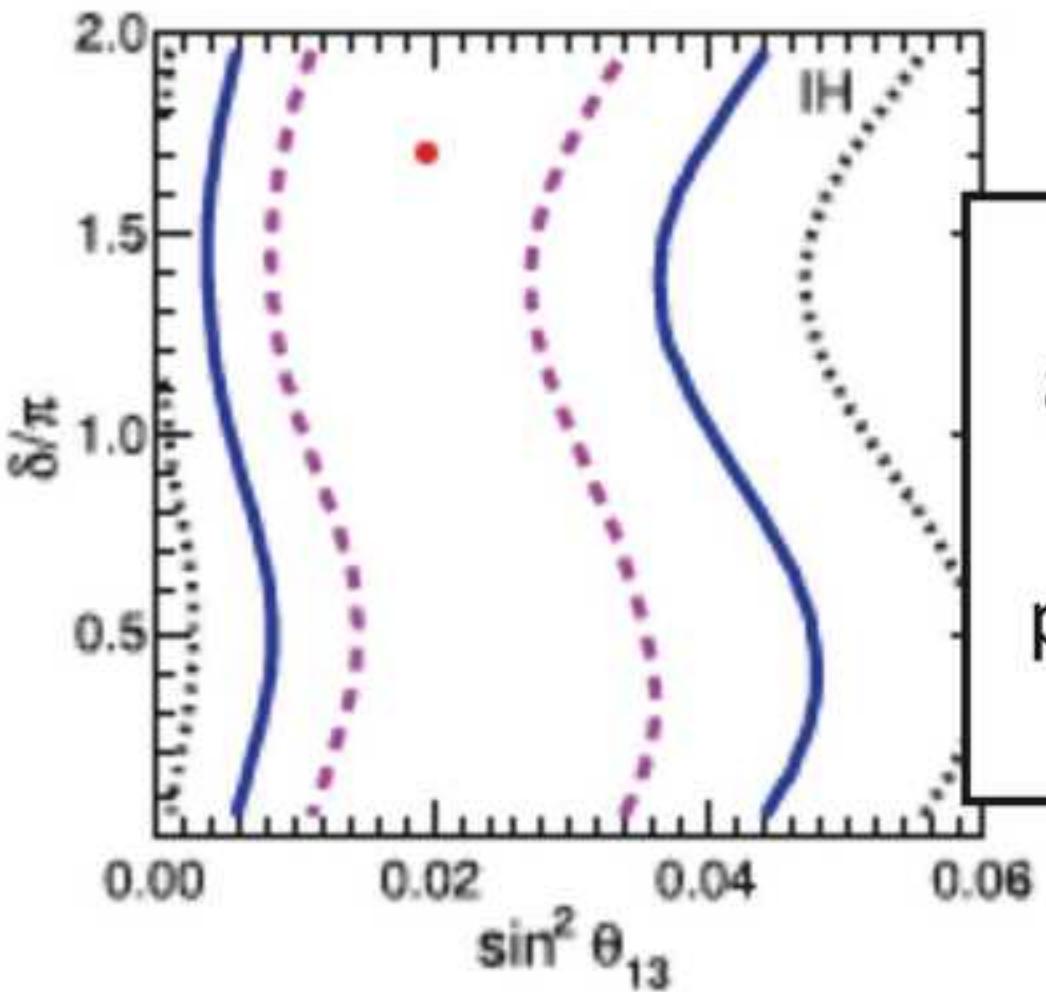
- Rate+shape fit (p- $\theta$ ) consistent with rate-only fit, and to reconstructed energy fit

# Implications of a large $\theta_{13}$

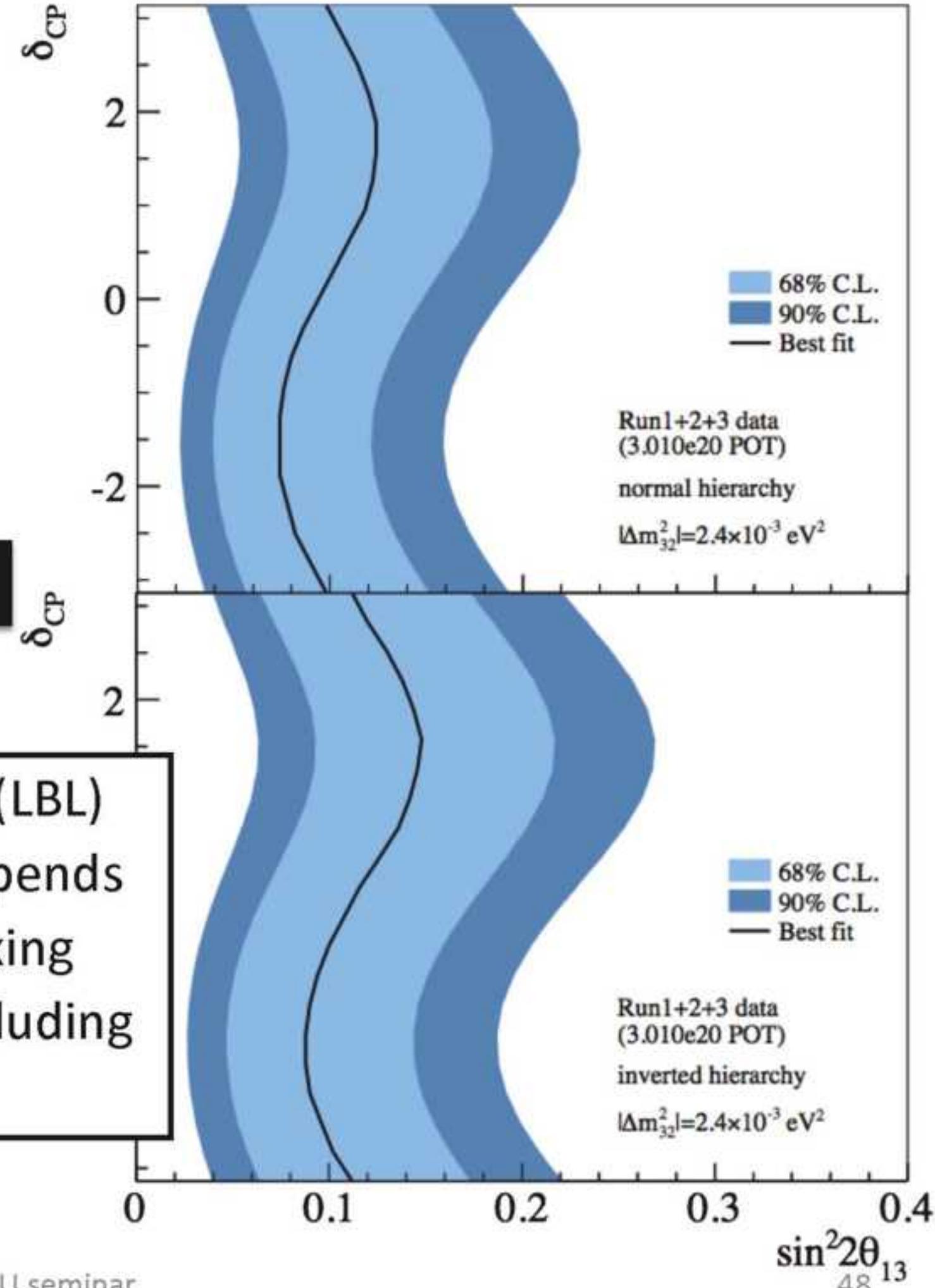
LBL + Solar + KamLAND



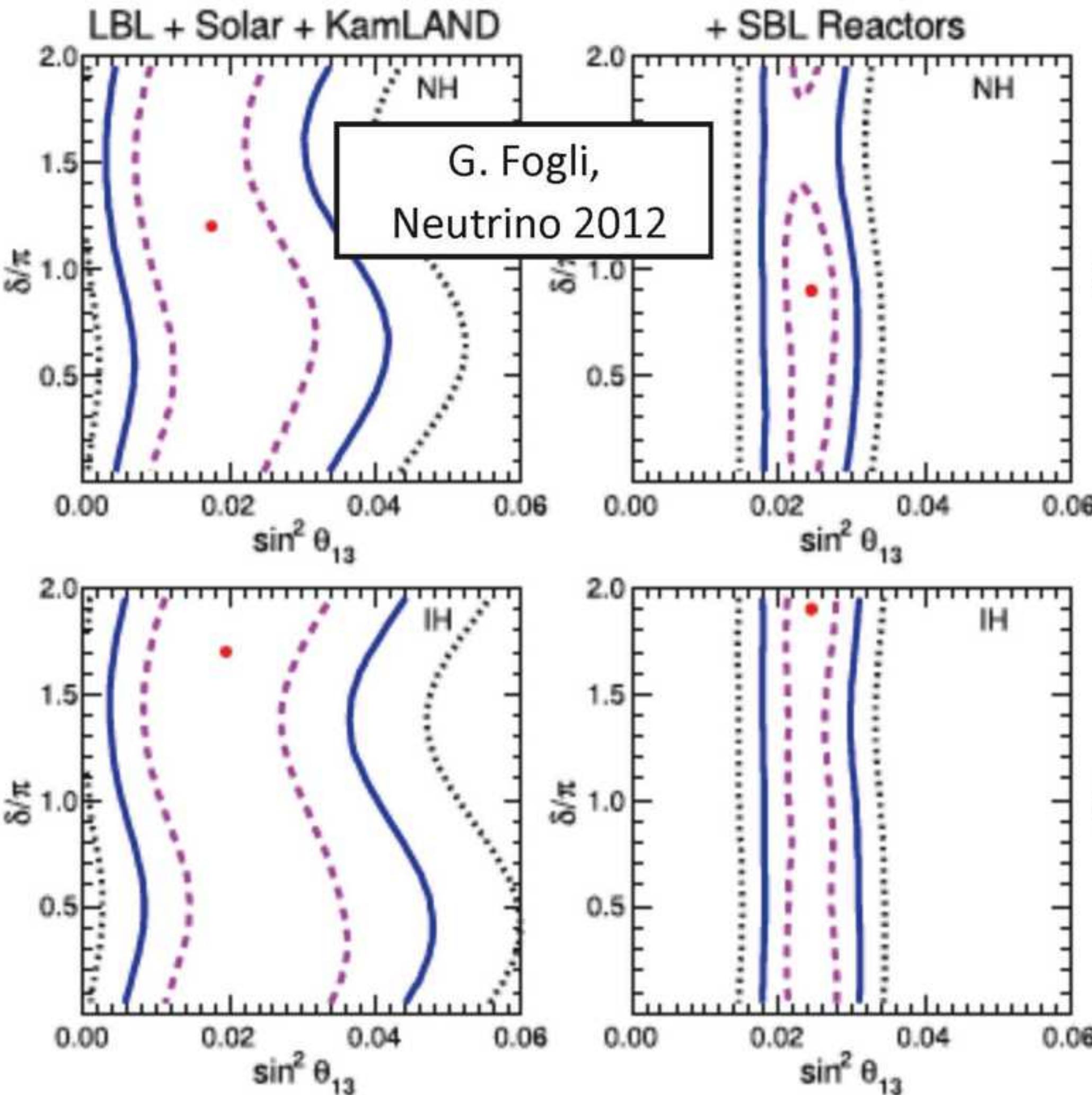
G. Fogli,  
Neutrino 2012



Long baseline (LBL)  
appearance depends  
on all the mixing  
parameters, including  
 $\delta_{CP}, \theta_{13}$

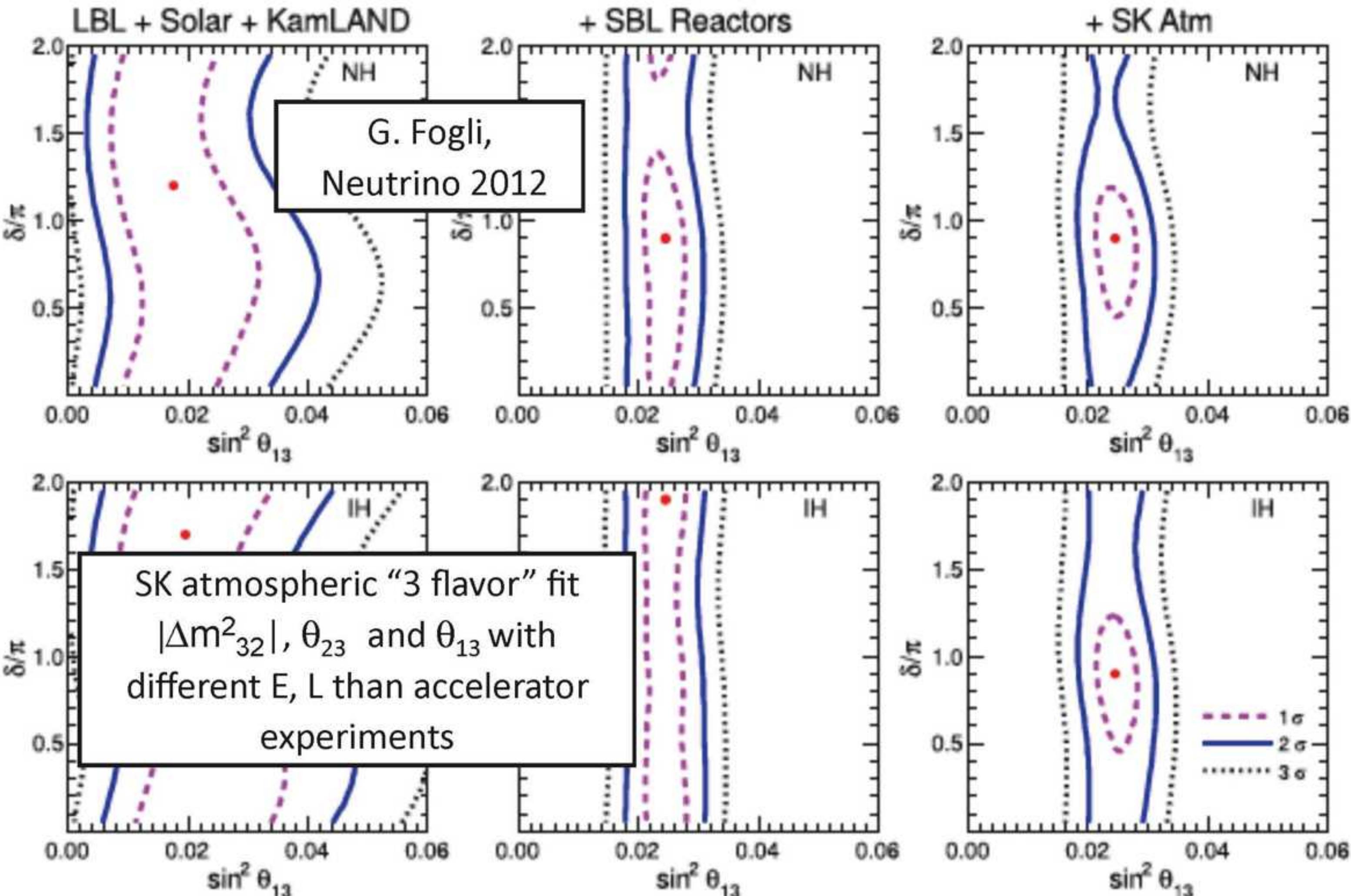


# Implications of a large $\theta_{13}$



Reactor experiments  
are a pure  
measurement of  $\theta_{13}$

# Implications of a large $\theta_{13}$



# Electron neutrino appearance

$$\begin{aligned} P(\nu_\mu \rightarrow \nu_e) = & 4C_{13}^2 S_{13}^2 S_{23}^2 \cdot \sin^2 \Delta_{31} \text{ Leading term} \\ & + 8C_{13}^2 S_{12} S_{13} S_{23} (C_{12} C_{23} \cos \delta - S_{12} S_{13} S_{23}) \cdot \cos \Delta_{32} \cdot \sin \Delta_{31} \cdot \sin \Delta_{21} \\ \text{CP violating term} & - 8C_{13}^2 C_{12} C_{23} S_{12} S_{13} S_{23} \sin \delta \cdot \sin \Delta_{32} \cdot \sin \Delta_{31} \cdot \sin \Delta_{21} \\ & + 4S_{12}^2 C_{13}^2 (C_{12}^2 C_{23}^2 + S_{12}^2 S_{23}^2 S_{13}^2 - 2C_{12} C_{23} S_{12} S_{23} S_{13} \cos \delta) \cdot \sin^2 \Delta_{21} \\ & - 8C_{13}^2 S_{12}^2 S_{23}^2 \cdot \frac{aL}{4E_\nu} (1 - 2S_{13}^2) \cdot \cos \Delta_{32} \cdot \sin \Delta_{31} \quad \text{solar} \\ & \qquad \qquad \qquad \text{matter effects} \\ & + 8C_{13}^2 S_{13}^2 S_{23}^2 \frac{a}{\Delta m_{13}^2} (1 - 2S_{13}^2) \sin^2 \Delta_{31} \end{aligned}$$

Appearance measurements are sensitive to all parameters in neutrino mixing

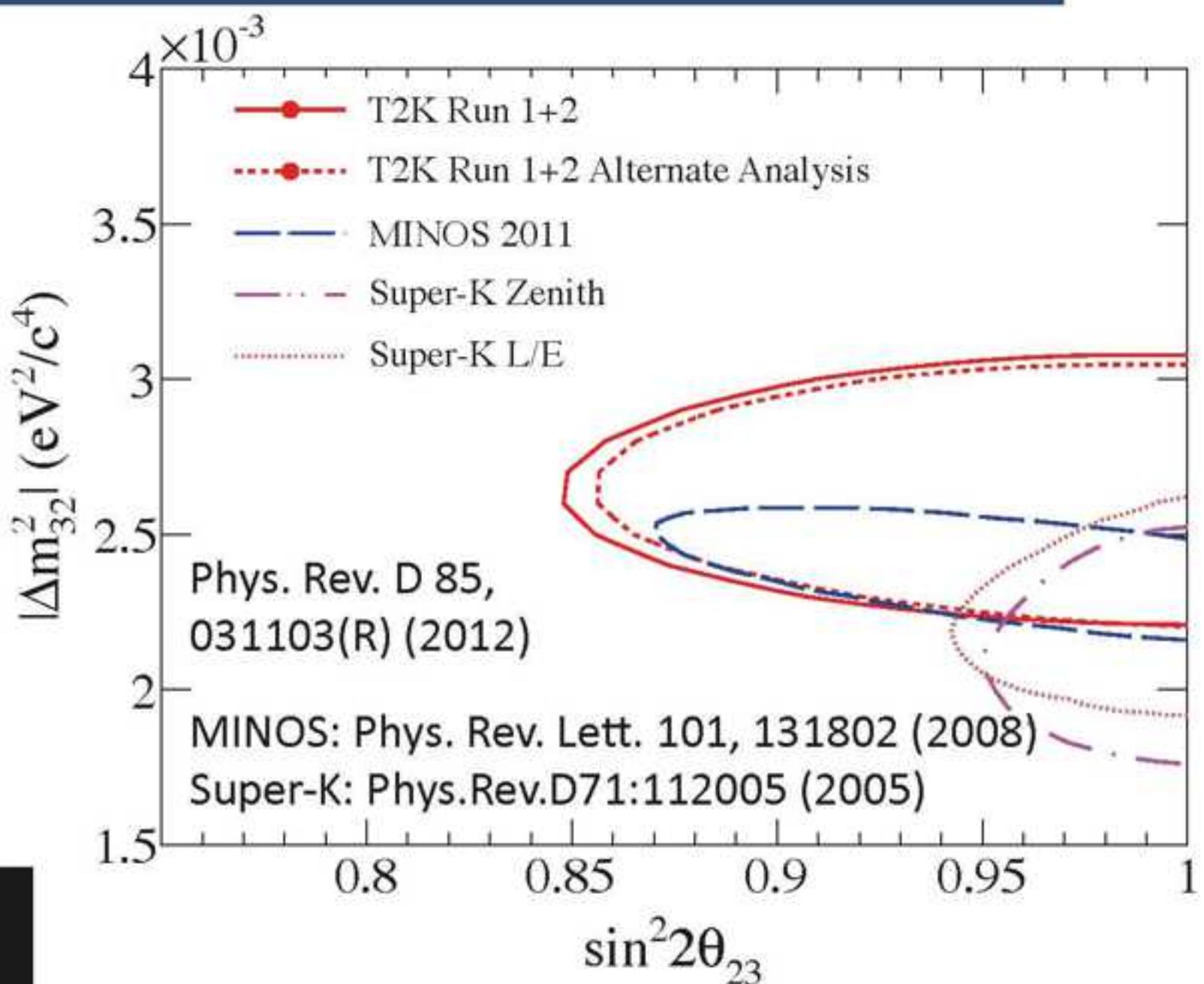
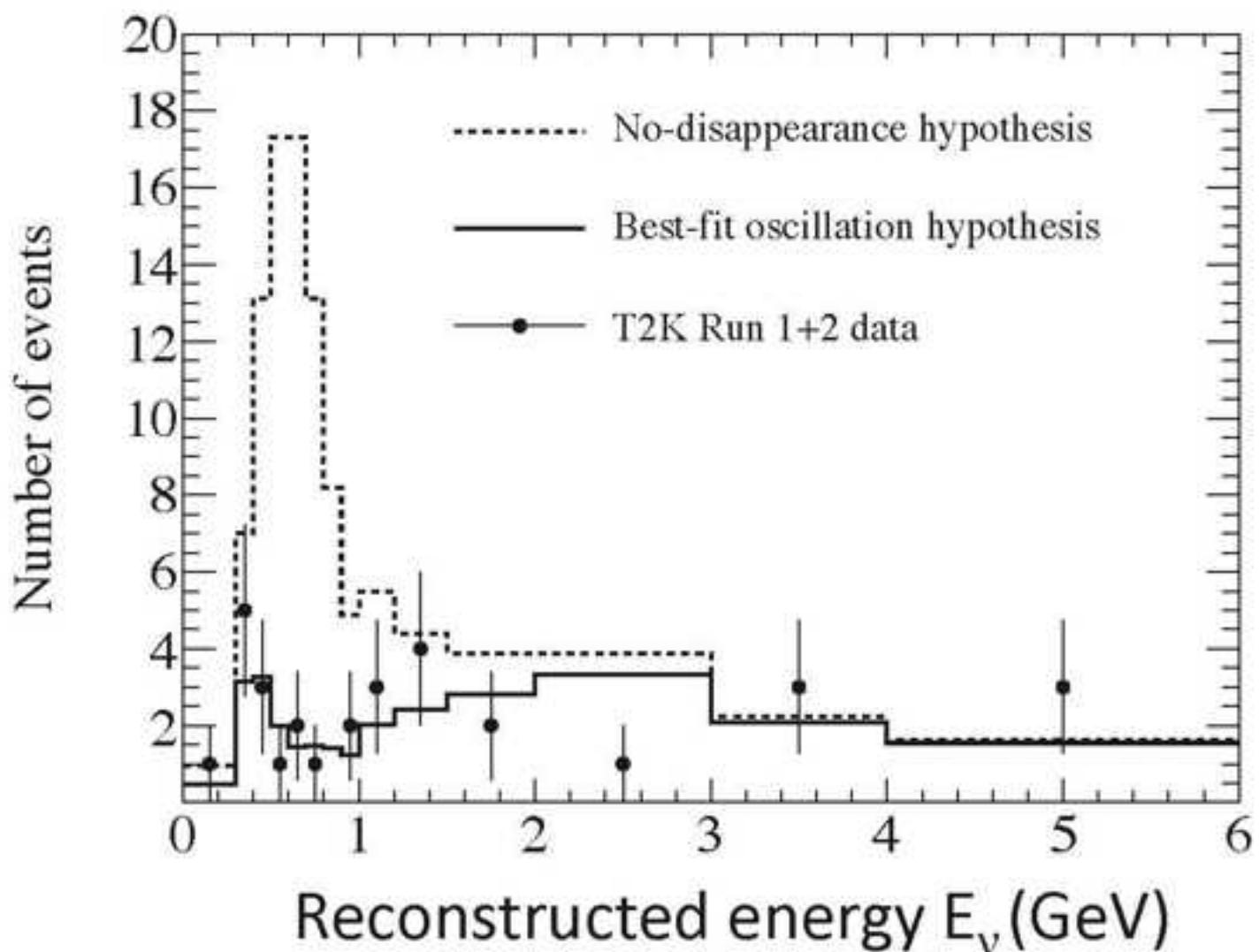
$$\theta_{12} = 33.6^\circ \pm 1.0^\circ$$

$$\theta_{23} = 45^\circ \pm 6^\circ \quad (90\% \text{CL})$$

$$\theta_{13} = 9.1^\circ \pm 0.6^\circ!$$

*Need precision measurements of  
 $\Delta m_{32}^2$  and  $\theta_{23}$*

# T2K first $\nu_\mu$ disappearance results

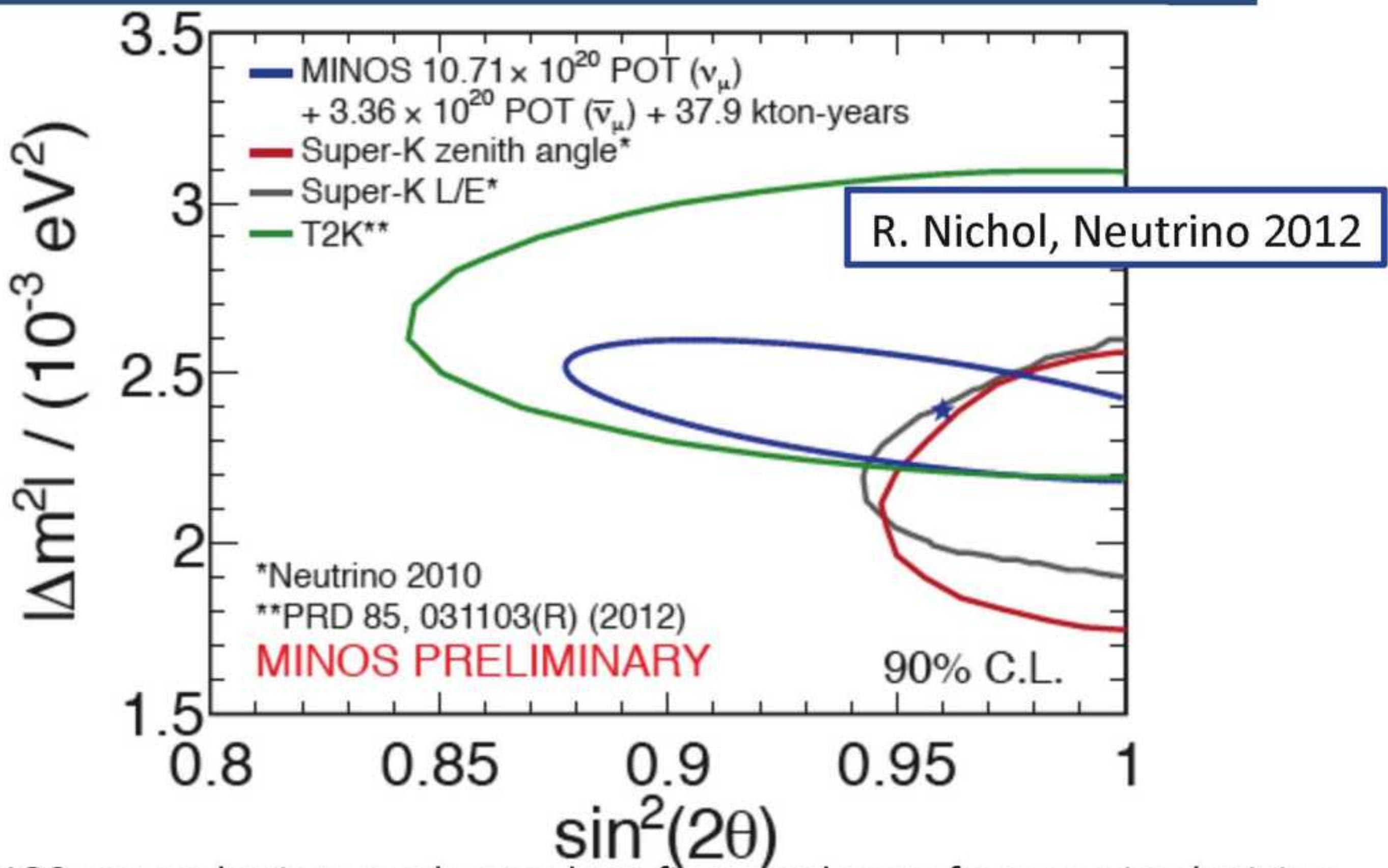


Summary of uncertainties	$\nu_\mu$ signal $\Delta m_{32}^2 = 2.4 \times 10^{-3} \text{ eV}^2$ $\sin^2 2\theta_{23} = 1.0$
$\nu$ flux	$\pm 4.8\%$
$\nu$ interactions	$+8.3 - 8.1\%$
Near detector	$+6.2 - 5.9\%$
Far detector	$\pm 10.3\%$
Total	$+15.4 - 15.1\%$

- Disappearance distorts energy spectrum and rate of  $\nu_\mu$  candidates
- 31 events pass  $\nu_\mu$  selection with  $103.6^{+13.8}_{-13.4}$  expected for no oscillation

$$P(\nu_\mu \rightarrow \nu_{x \neq \mu}) \cong \sin^2 2\theta_{23} \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right)$$

## $\nu_\mu$ disappearance status



New MINOS atmospheric + accelerator best fit moved away from maximal mixing

T2K new results for Lake Louise

- Sensitivity to maximal mixing of  $\sin^2 2\theta_{23} > 0.91$  (90% CL)
- With this coming year's data set,  $\sin^2 2\theta_{23} > 0.95$  (90% CL)

## Why T2K?

Evidence for  $\nu_e$  appearance is the first step towards searches of CP violation in the lepton sector

- Do we see hints of new physics?

Soon, world's best limits on  $\nu_\mu$  disappearance ( $\Delta m^2_{32}$ ,  $\theta_{23}$ )

- Is  $\theta_{23}$  maximal?
- If not, what is the  $\theta_{23}$  octant?

# What is needed to measure $\delta_{CP}$ ?

Compare  $\nu_e$  appearance to  $\bar{\nu}_e$  appearance to determine an asymmetry:

$$A_{CP} = \frac{P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)}{P(\nu_\mu \rightarrow \nu_e) + P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)} \simeq \frac{\Delta m_{12}^2 L}{4E_\nu} \cdot \frac{\sin 2\theta_{12}}{\sin \theta_{13}} \cdot \sin \delta$$

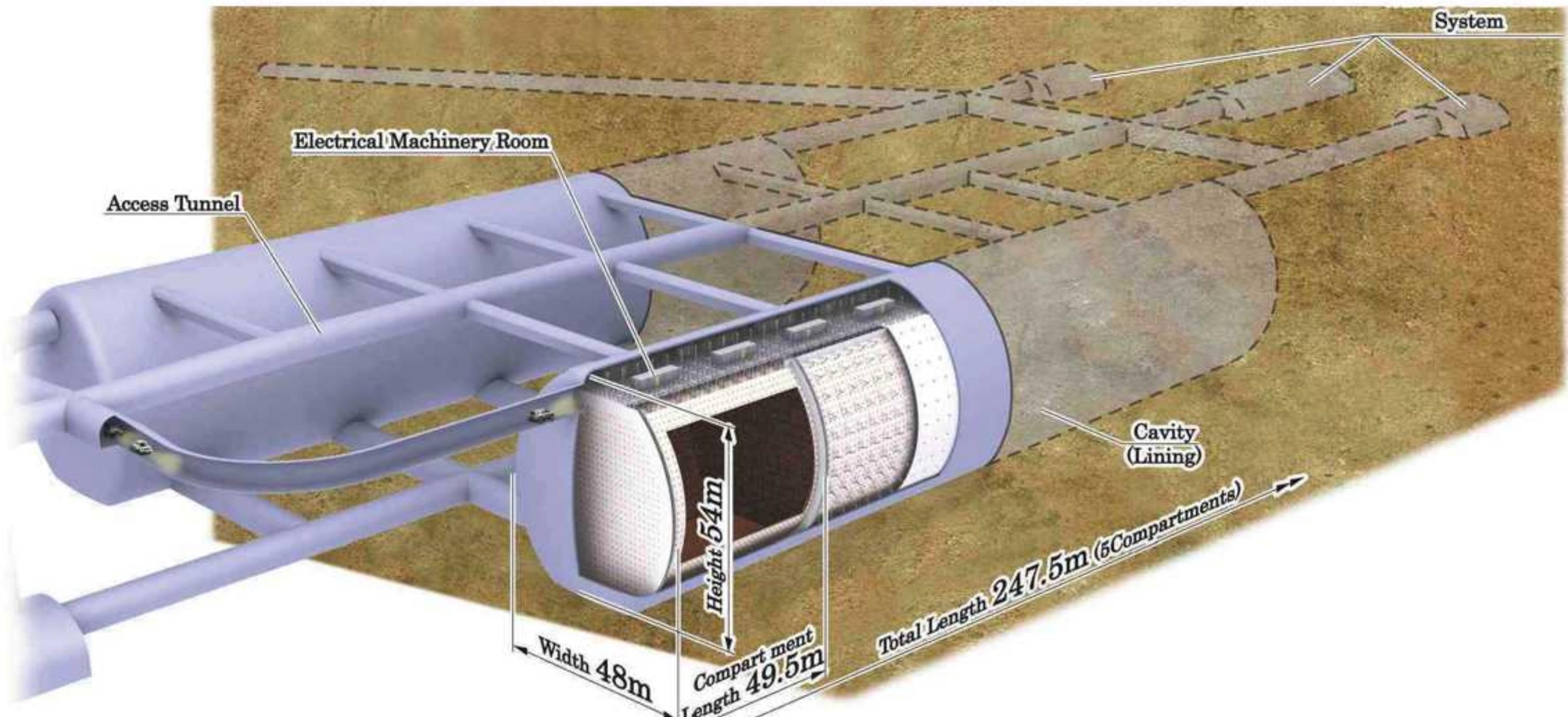
With  $\theta_{13}$  “large”, then  $A_{CP}$  is small ( $\sim 20\text{-}30\%$ ), so the measurement of  $\delta_{CP}$  need systematic uncertainties of <5% or better

- T2K’s current statistics: **11 events**
- Need more raw event rate, with a larger detector

# Hyper-Kamiokande

~1Mton detector, approximately 25x Super-Kamiokande

- 99,000 inner PMTs, 25,000 veto region PMTs (10 compartments)
- Same neutrino beamline, off-axis angle as T2K, different cavern
- Other physics reach: solar neutrinos, atmospheric neutrinos, astrophysical neutrinos (supernova), geo-neutrinos and proton decay



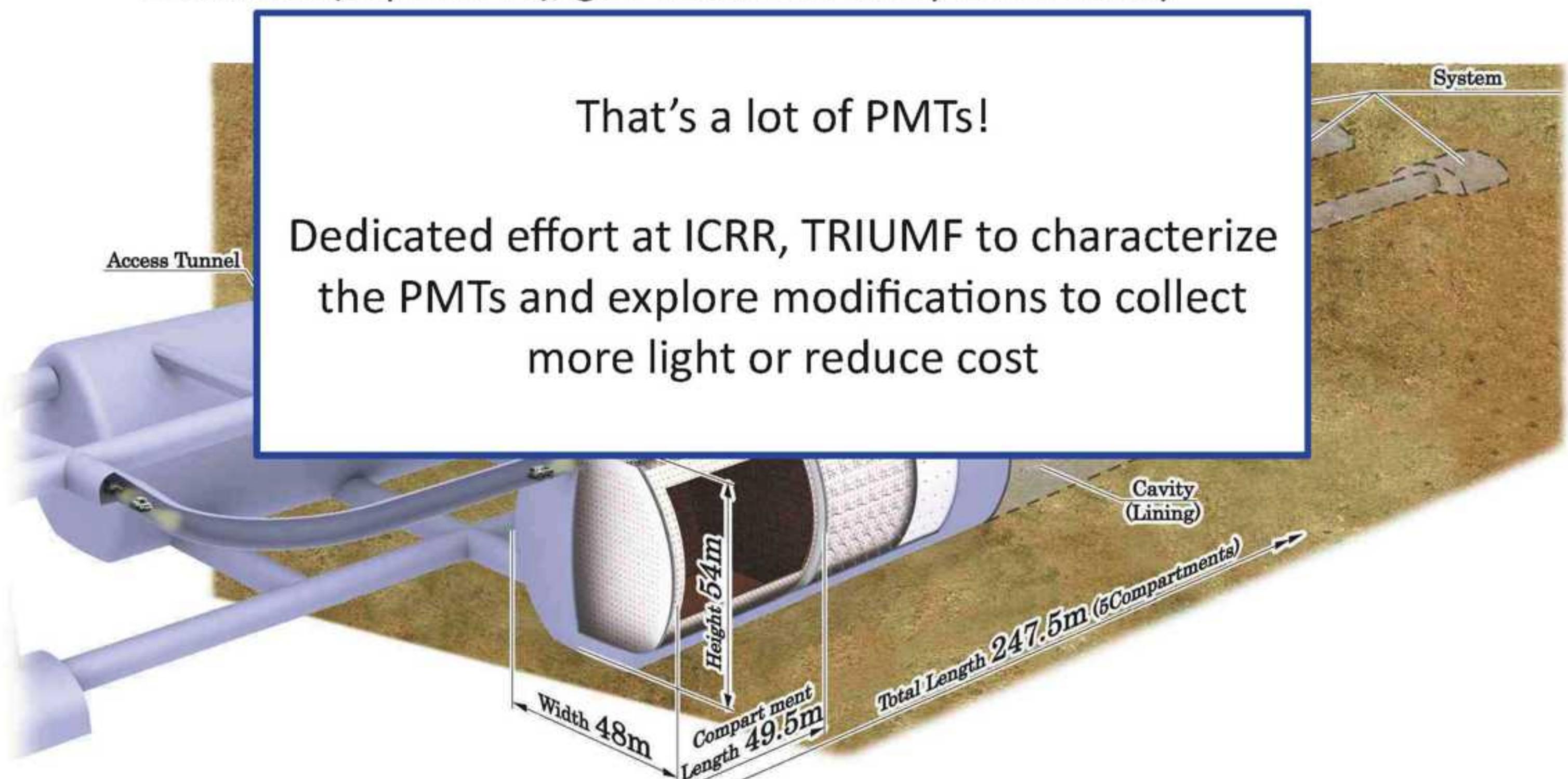
# Hyper-Kamiokande

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- Same neutrino beamline, off-axis angle as T2K, different cavern
- Other physics reach: solar neutrinos, atmospheric neutrinos, astrophysical neutrinos (supernova), geo-neutrinos and proton decay

That's a lot of PMTs!

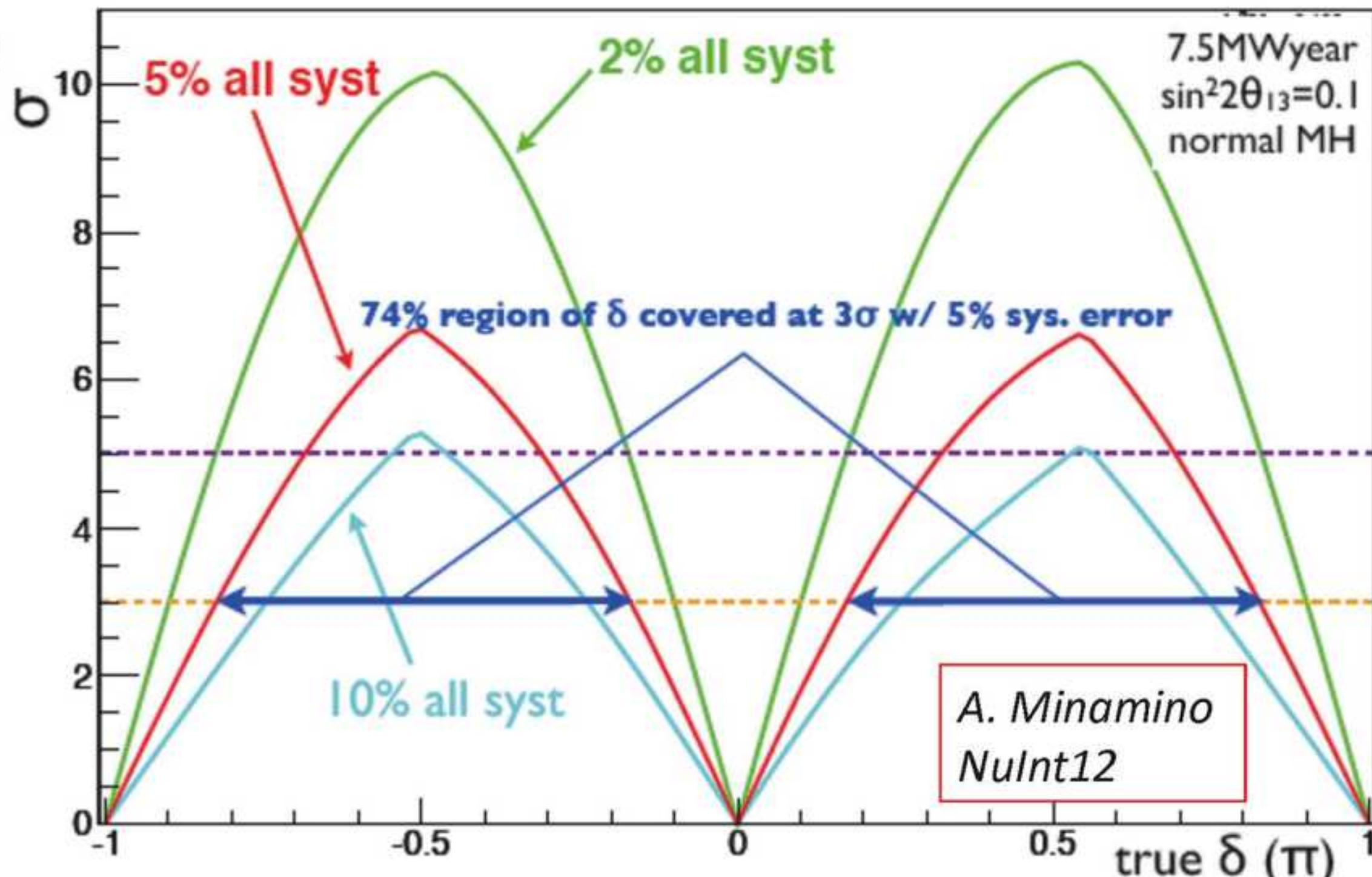
Dedicated effort at ICRR, TRIUMF to characterize the PMTs and explore modifications to collect more light or reduce cost



# $\delta_{CP}$ discovery sensitivity

HK *LOI*: *hep-ex* 1109.3262

	v run	v run
Signal	3560	1959
$\nu_e, \bar{\nu}_e$		
NC	649	678
CC $\nu_e$	880	878
$\nu_e$		
Other	81	403

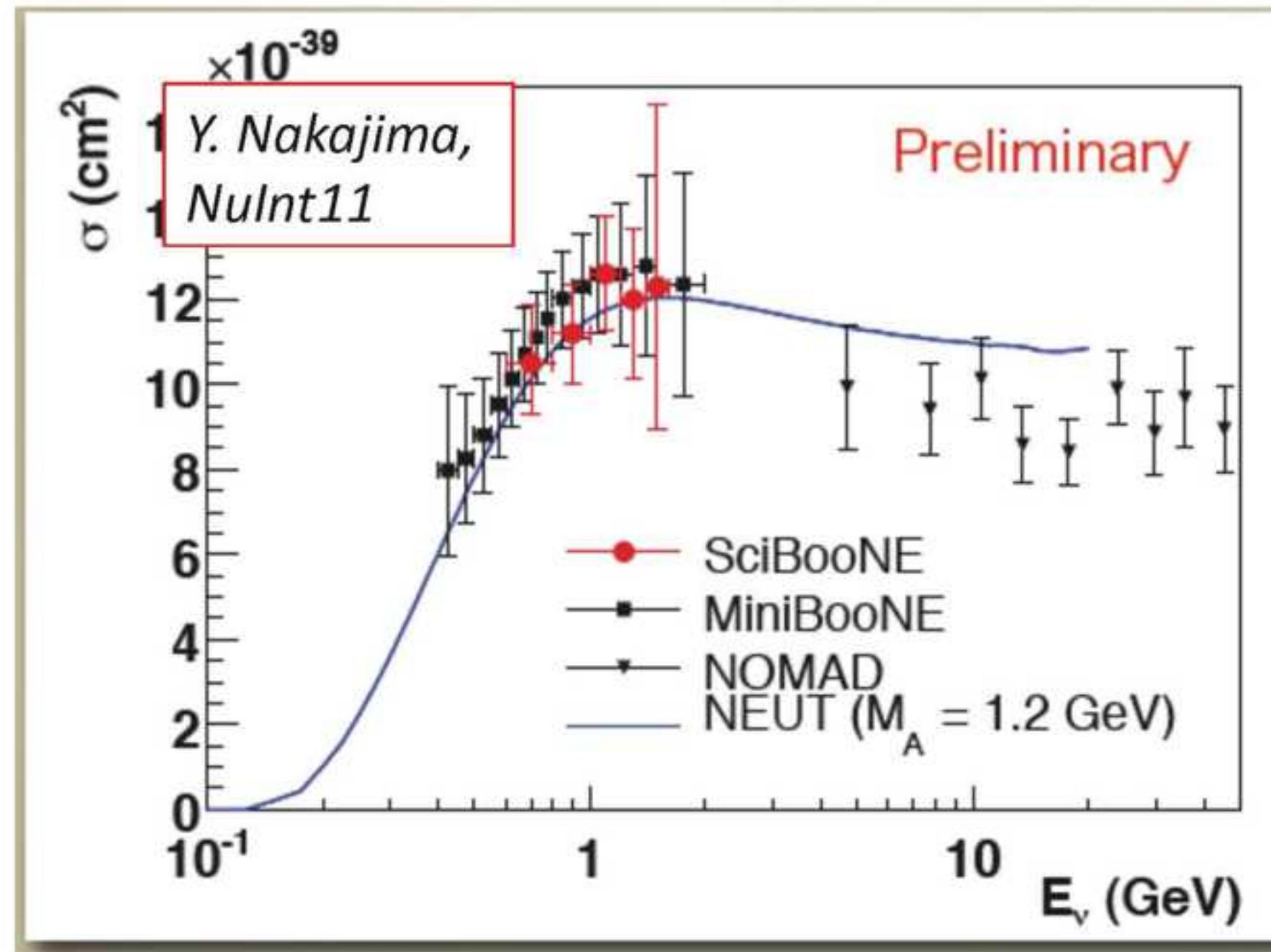


With <5% overall systematic uncertainty, HK could observe evidence of nonzero  $\delta_{CP}$

- Statistical uncertainty  $\sim 2\%$
- Improved control of systematic uncertainties corresponds to increased physics impact

# How do we achieve <5% systematics?

Uncertainties	$\nu_e$ sig+bkrd
$\nu$ flux+xsec (constrained by ND280)	$\pm 5.7\%$
$\nu$ xsec (unconstrained by ND280)	$\pm 7.5\%$
Far detector	$\pm 3.9\%$
Total	$\pm 10.3\%$

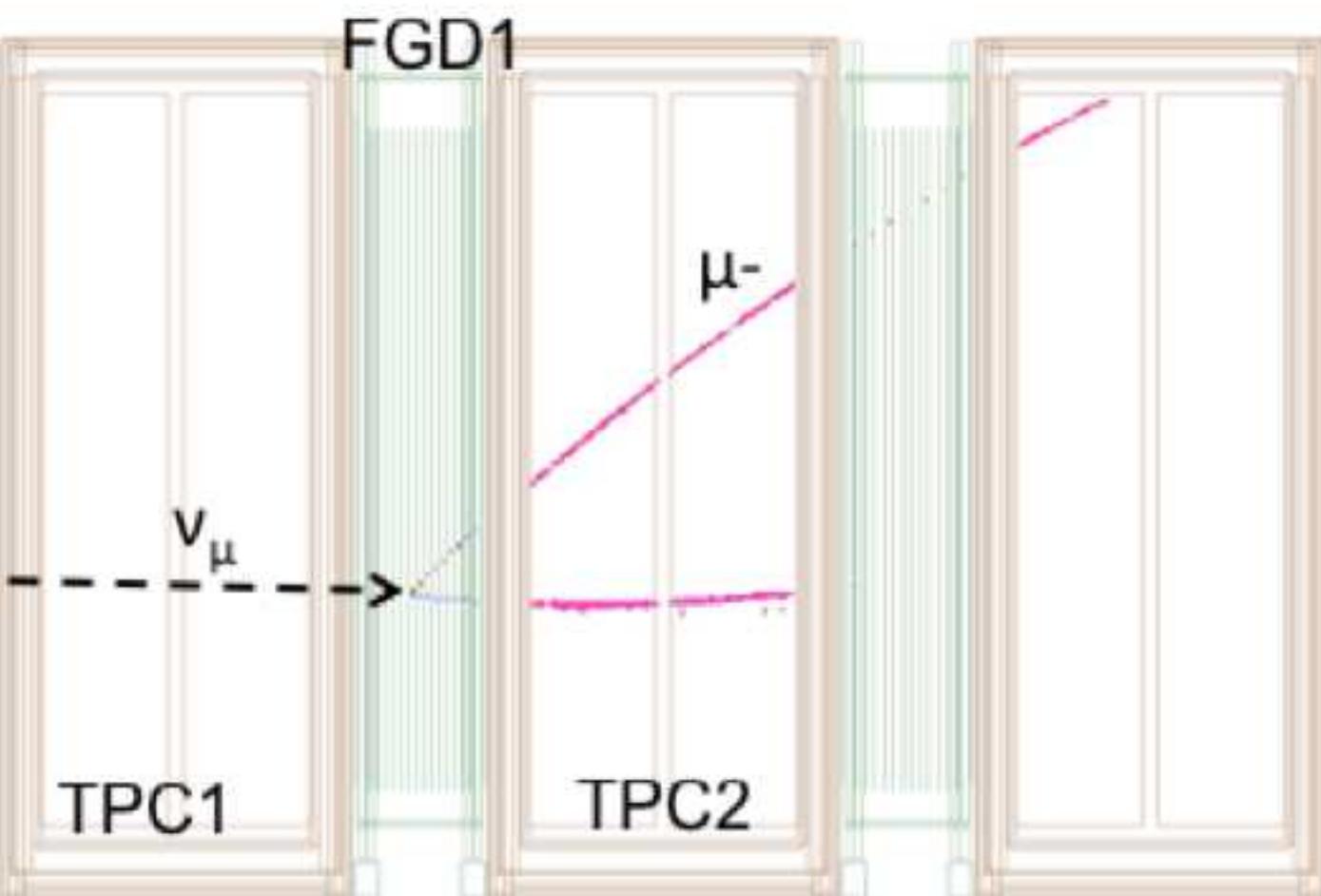


The largest systematic uncertainties currently on the T2K  $\nu_e$  appearance analysis are neutrino interaction model uncertainties

- Disagreements between data and neutrino interaction model with other neutrino experiments (e.g. MiniBooNE, SciBooNE)
- Differences between alternate interaction models than those currently used by T2K

# T2K's efforts to reduce cross section uncertainties

Uncertainties	$\nu_e$ sig+bkrd
$\nu$ flux+xsec (constrained by ND280)	$\pm 5.7\%$
$\nu$ xsec (unconstrained by ND280)	$\pm 7.5\%$
Far detector	$\pm 3.9\%$
Total	$\pm 10.3\%$



Incorporate alternate or updated neutrino interaction models into our simulations

- Combination of experimental data ( $\nu$ , e,  $\pi$ ) and theory

Test the agreement of new models with ND280, as ND280-XSEC co-convenor:

- Detailed information (particle type, kinematics) out of the interaction
- Provide cross section measurements for community to further develop models
  - Produced T2K's first cross section measurement (CC inclusive) this summer*
- ND280 performance, limitations important to determine what is needed for a HK near detector program
- Investigate what is achievable on T2K for antineutrino,  $\nu_e$  cross sections

# What will neutrinos tell us in the next 10 years?

Is there CP violation?

Other new physics?

*T2K has shown us the door and will help us walk through it*



What is the nature of neutrino

mass and mixing?

*New results on  $\Delta m^2_{32}$ ,  $\vartheta_{23}$  soon*

*Is  $\vartheta_{23}$  maximal?*

# Backup slides

# Disentangling $\nu_e$ appearance

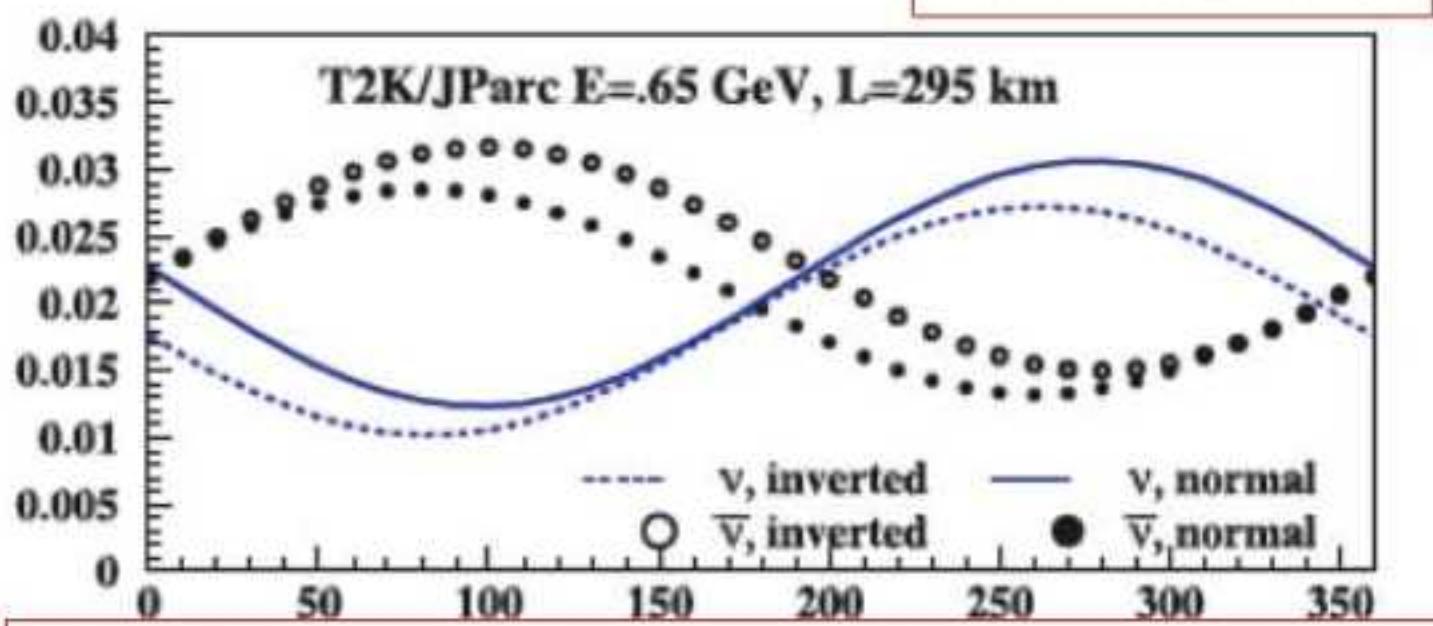
$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & 4C_{13}^2 S_{13}^2 S_{23}^2 \cdot \sin^2 \Delta_{31} \text{ Leading term} \\
 & + 8C_{13}^2 S_{12} S_{13} S_{23} (C_{12} C_{23} \cos \delta - S_{12} S_{13} S_{23}) \cdot \cos \Delta_{32} \cdot \sin \Delta_{31} \cdot \sin \Delta_{21} \\
 \text{CP violating term} & - 8C_{13}^2 C_{12} C_{23} S_{12} S_{13} S_{23} \sin \delta \cdot \sin \Delta_{32} \cdot \sin \Delta_{31} \cdot \sin \Delta_{21} \\
 & + 4S_{12}^2 C_{13}^2 (C_{12}^2 C_{23}^2 + S_{12}^2 S_{23}^2 S_{13}^2 - 2C_{12} C_{23} S_{12} S_{23} S_{13} \cos \delta) \cdot \sin^2 \Delta_{21} \\
 & - 8C_{13}^2 S_{12}^2 S_{23}^2 \cdot \frac{aL}{4E_\nu} (1 - 2S_{13}^2) \cdot \cos \Delta_{32} \cdot \sin \Delta_{31} \quad \text{solar} \\
 & + 8C_{13}^2 S_{13}^2 S_{23}^2 \frac{a}{\Delta m_{13}^2} (1 - 2S_{13}^2) \sin^2 \Delta_{31} \quad \text{matter effects}
 \end{aligned}$$

T. Nakaya,  
Neutrino2012

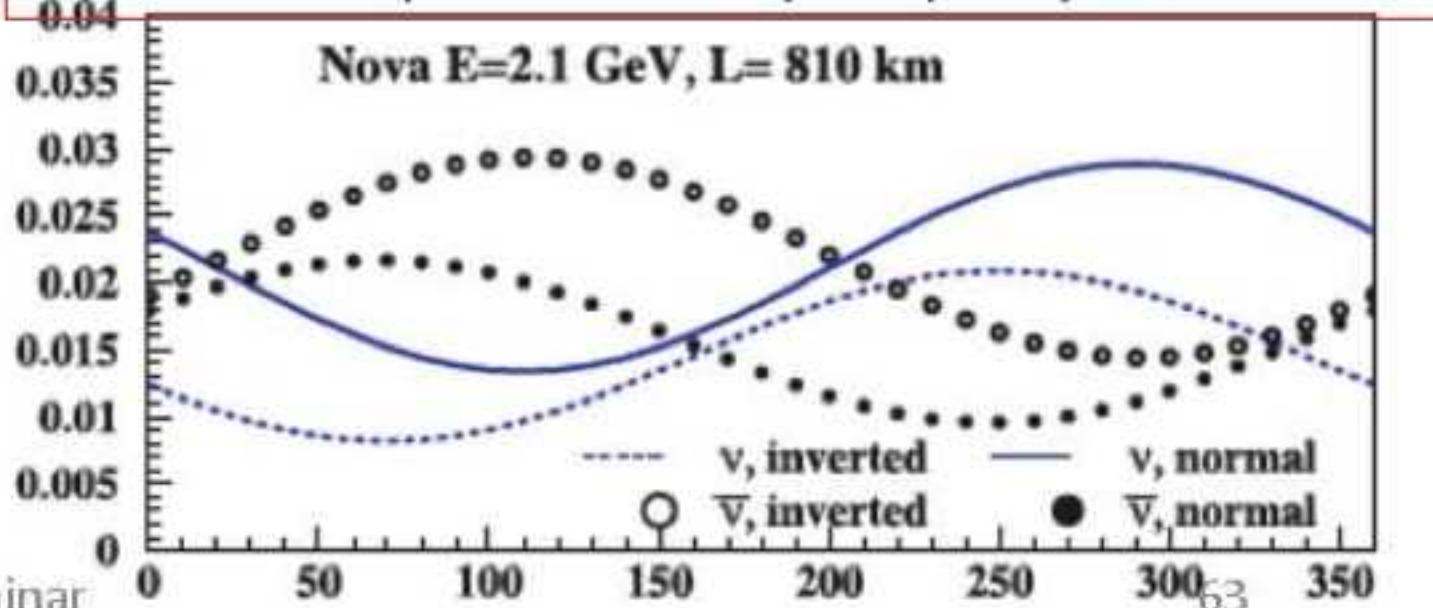
Plot of this oscillation probability for T2K and for Nova vs.  $\delta_{\text{CP}}$ :

For fixed  $\delta_{\text{CP}}$ :

- 1) The probability is different for neutrinos and antineutrinos (blue vs. black)
- 2) The probability is different depending on the hierarchy (solid vs. dashed)
- 3) Matter effects (and mass hierarchy) dependence is important for higher beam energy and longer baseline



Int. J. Mod. Phys. A 21, 3825 (2006), hep-ex/0409028



# Disentangling $\nu_e$ appearance

The following is a common way to understand the physics sensitivity of a given experiment (or combinations of experiments)

Examples taken from R. Patterson at Neutrino 2012 for Nova

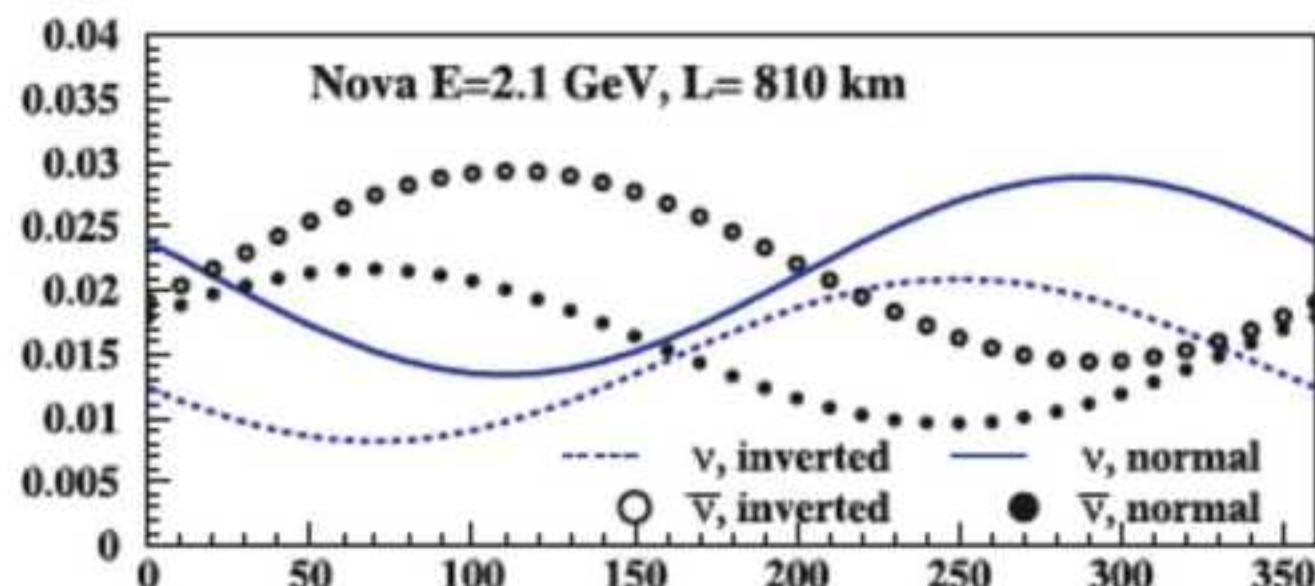
Please stop me if it stops making sense!

# Determining the mass hierarchy

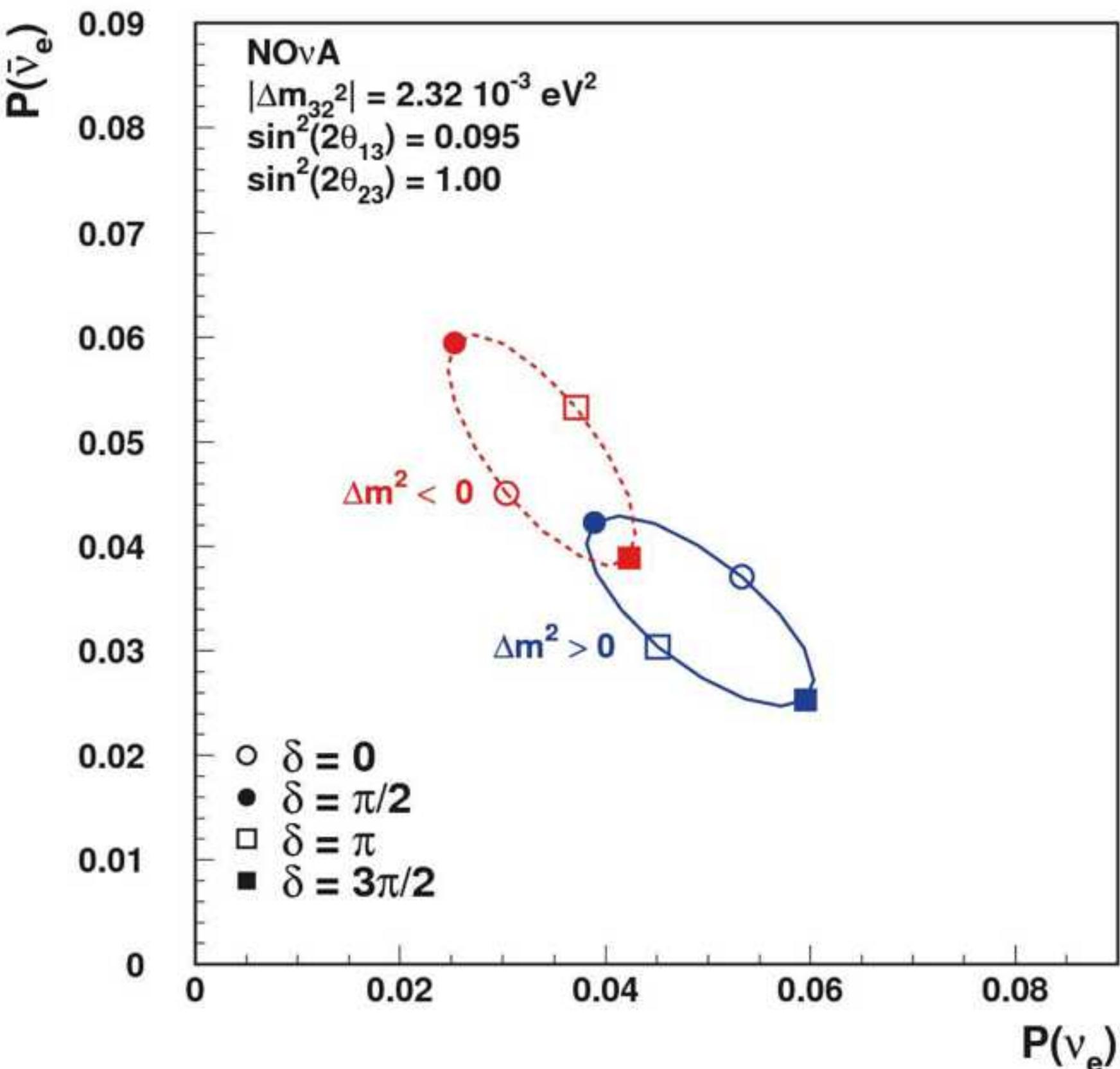
$P(\bar{\nu}_e)$  vs.  $P(\nu_e)$

Sine wave becomes an ellipse

Ellipse shifts based on hierarchy



$P(\bar{\nu}_e)$  vs.  $P(\nu_e)$  for  $\sin^2(2\theta_{23}) = 1$



# Determining the mass hierarchy

$P(\bar{\nu}_e)$  vs.  $P(\nu_e)$

Sine wave becomes an ellipse

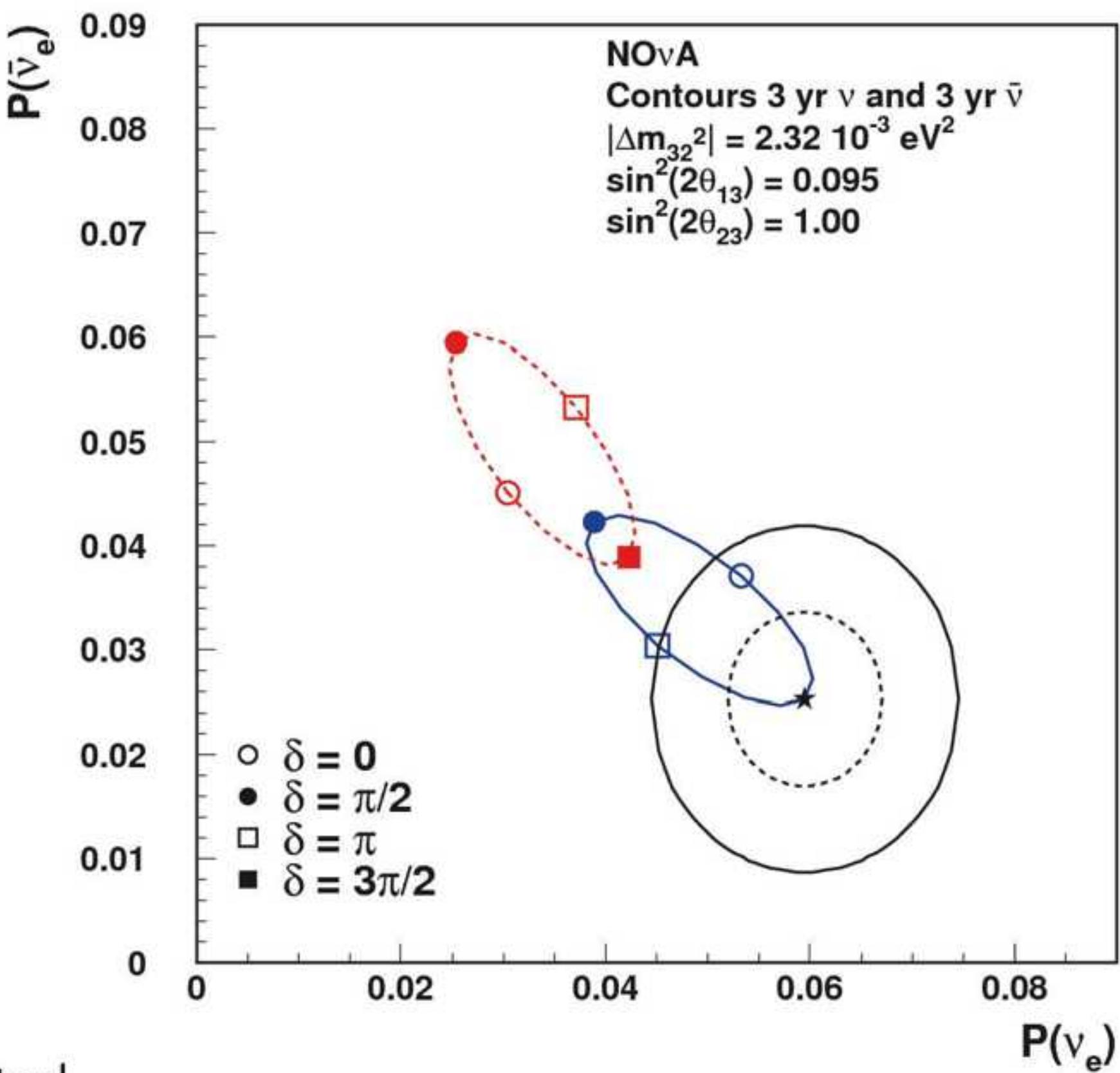
Ellipse shifts based on hierarchy

Nova runs for 3 years with a neutrino beam, 3 years with antineutrinos

Given a measured  $P(\nu_e)$  and  $P(\bar{\nu}_e)$ , will select one point on the ellipse (black)

This particular point excludes all inverted hierarchy scenarios at  $>2\sigma$

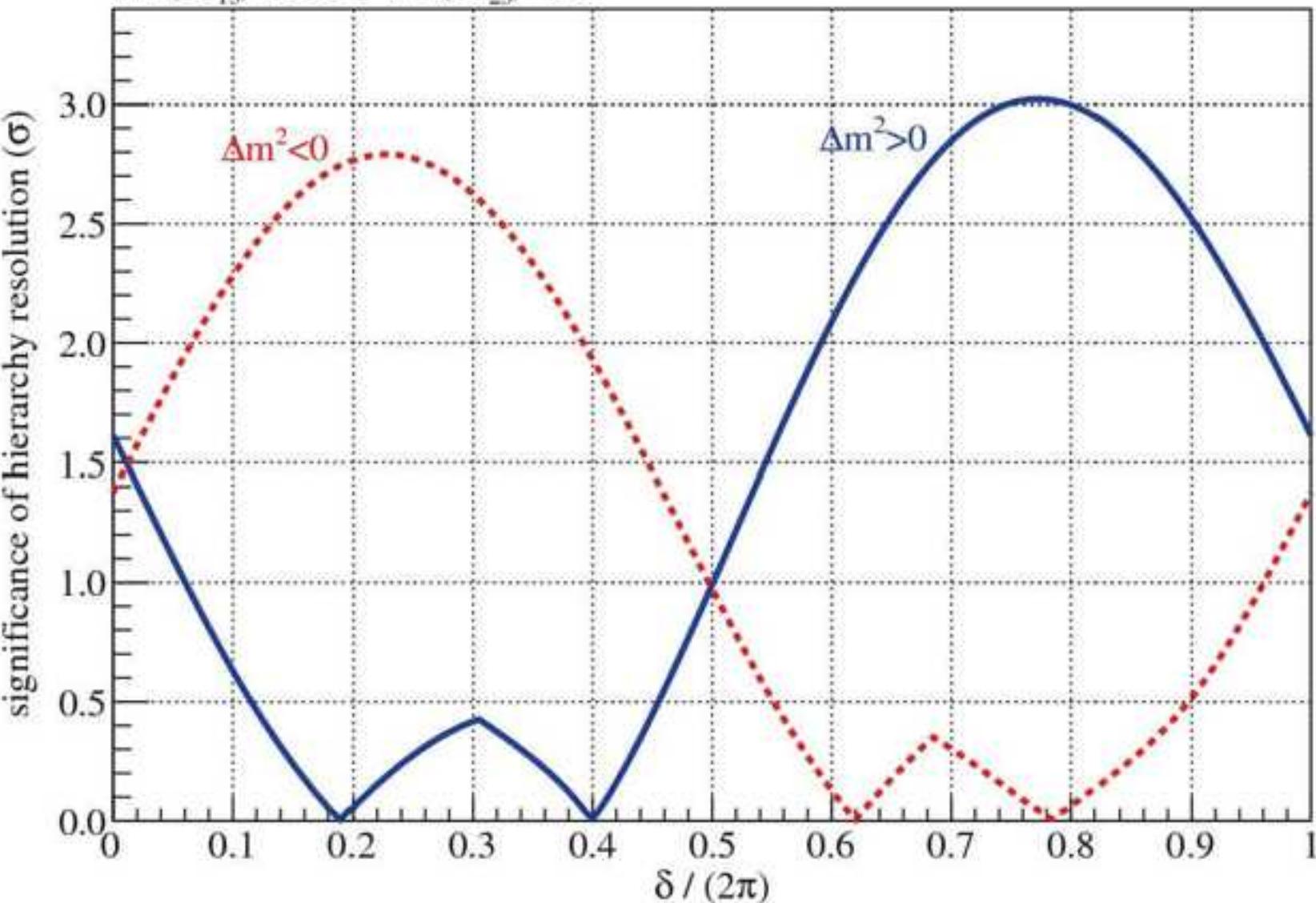
## 1 and 2 $\sigma$ Contours for Starred Point



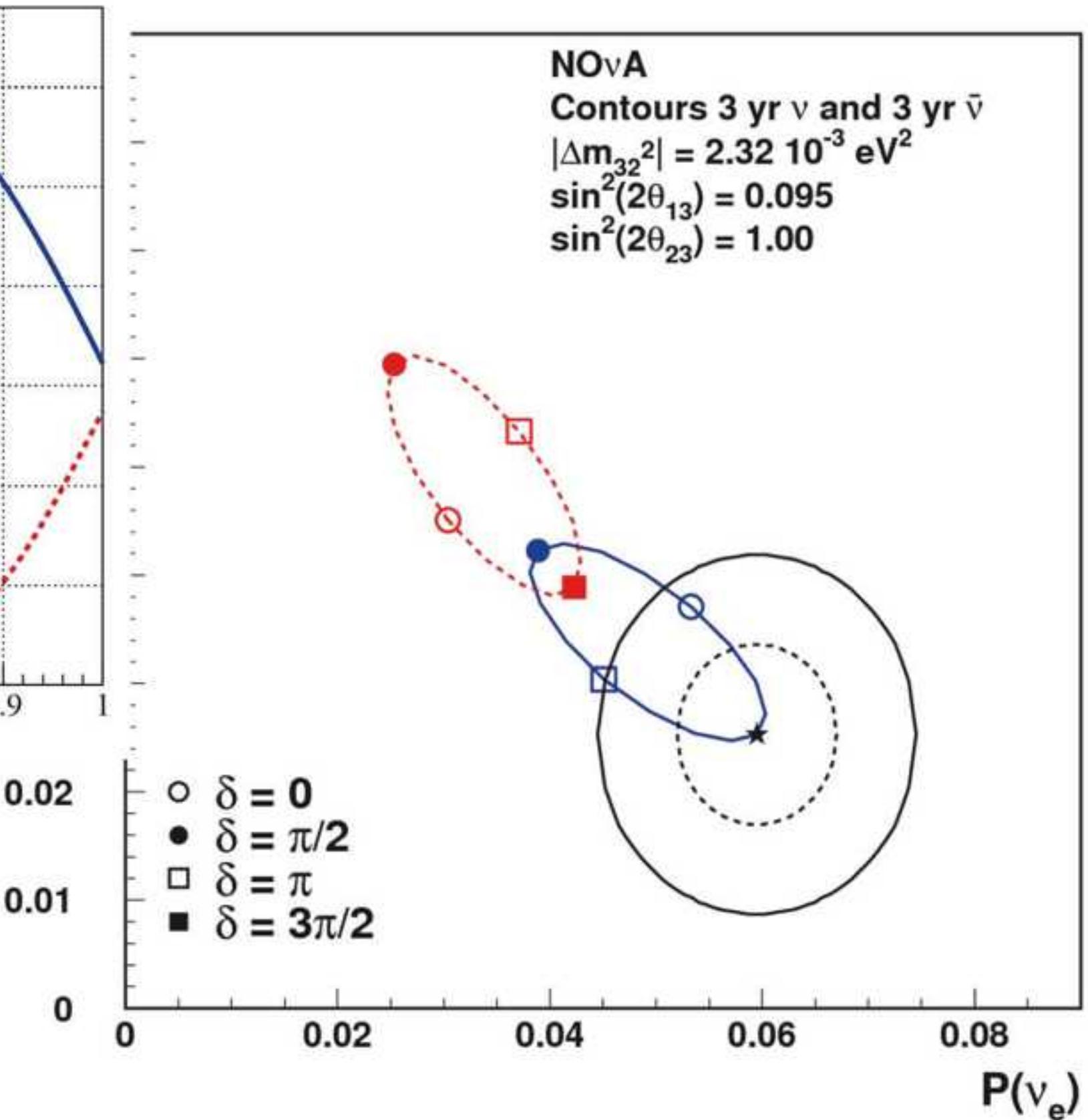
# Determining the mass hierarchy

NOvA hierarchy resolution, 3+3 yr ( $\nu + \bar{\nu}$ )  
 $\sin^2(2\theta_{13}) = 0.095$ ,  $\sin^2(2\theta_{23}) = 1.00$

and  $2\sigma$  Contours for Starred Point

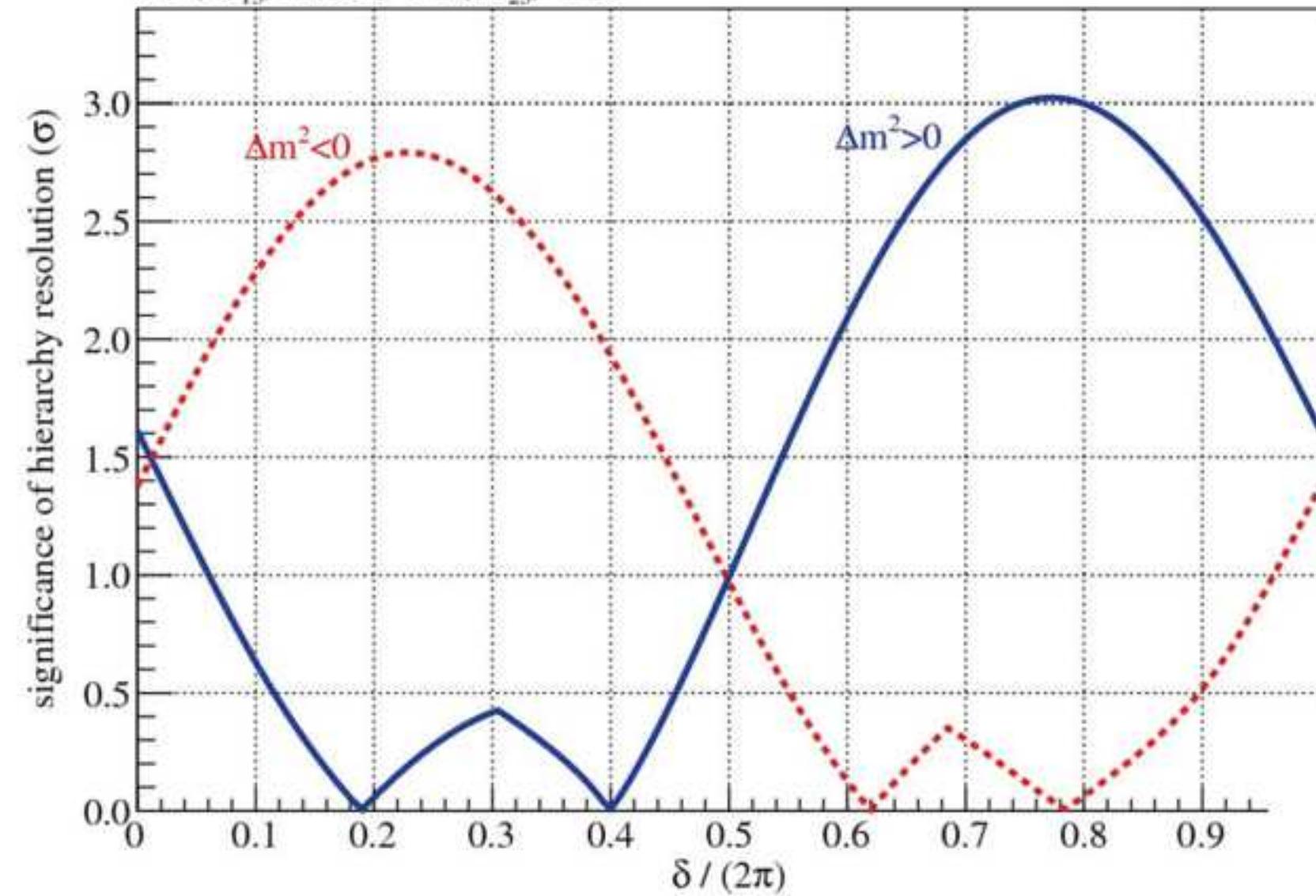


Now plot significance with which  
we determine the mass hierarchy  
(vs.  $\delta_{CP}$ )

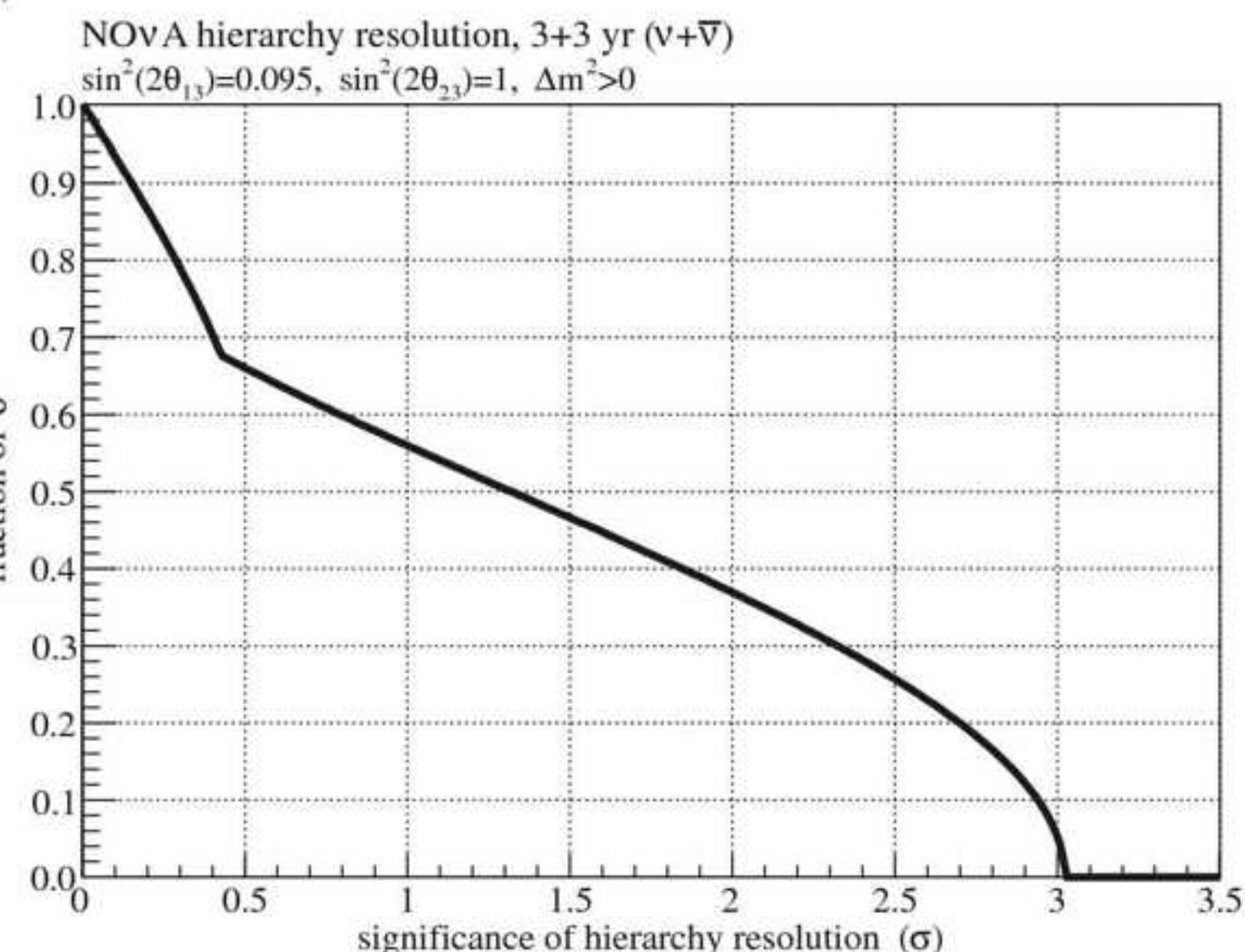


# Determining the mass hierarchy

NOvA hierarchy resolution, 3+3 yr ( $\nu + \bar{\nu}$ )  
 $\sin^2(2\theta_{13})=0.095$ ,  $\sin^2(2\theta_{23})=1.00$



For how many  $\delta$ cp points (what fraction of all  $\delta$ cp space) do we resolve the mass hierarchy at  $>1\sigma$ ?  
 $>2\sigma$ ? ~40%

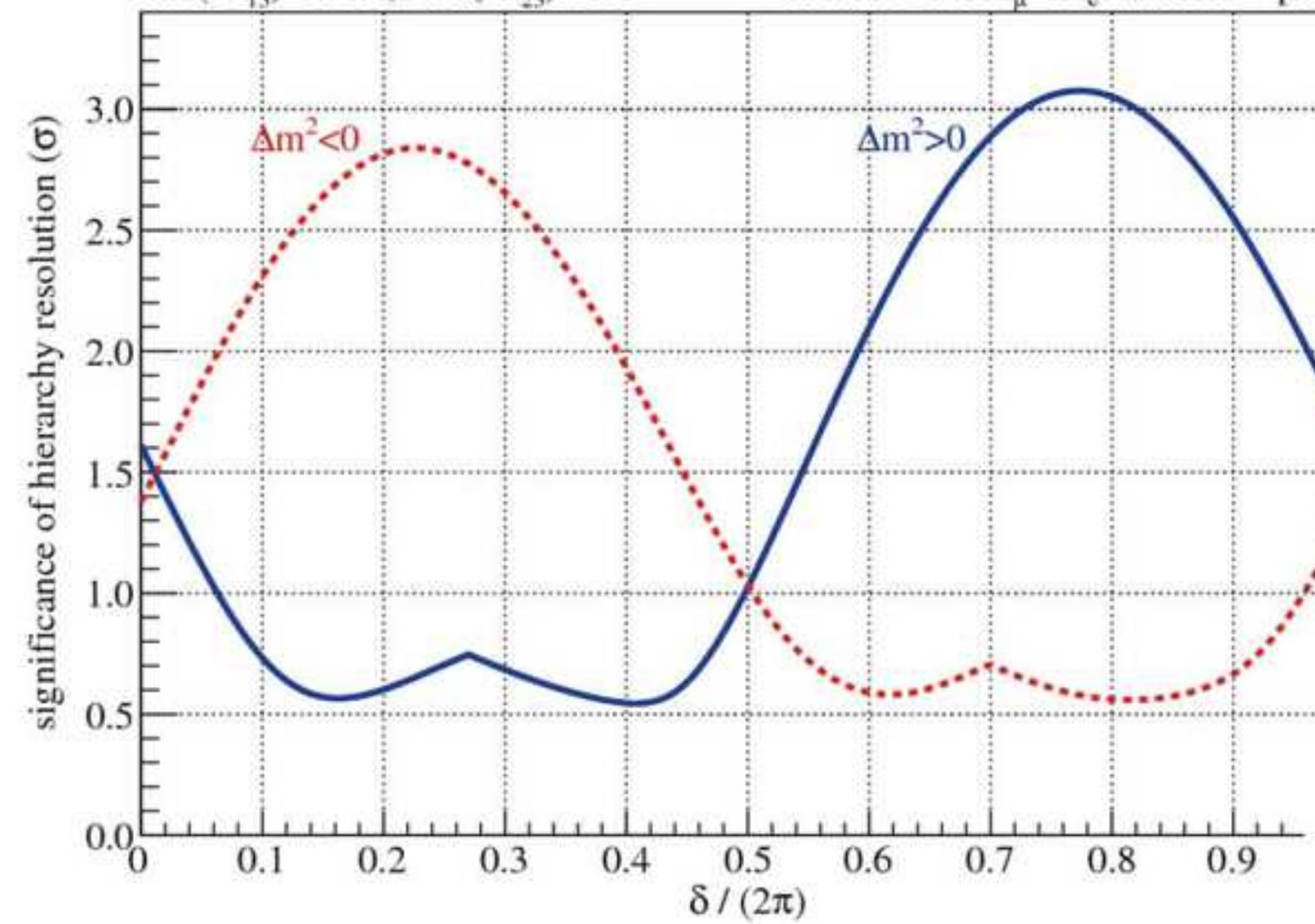


# Determining the mass hierarchy

NOvA hierarchy resolution, 3+3 yr ( $\nu + \bar{\nu}$ )

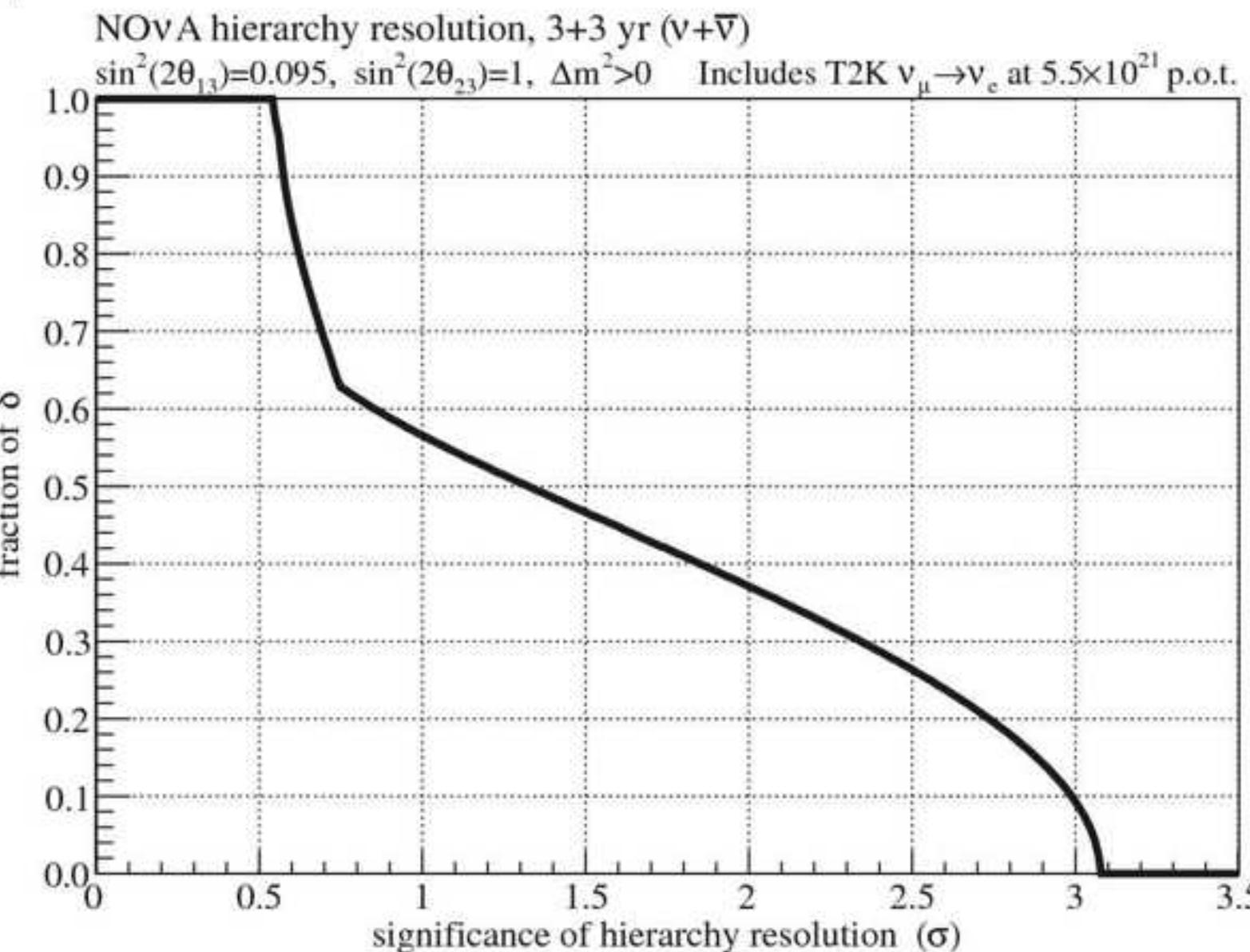
$$\sin^2(2\theta_{13})=0.095, \sin^2(2\theta_{23})=1.00$$

Includes T2K  $\nu_\mu \rightarrow \nu_e$  at  $5.5 \times 10^{21}$  p.o.t.

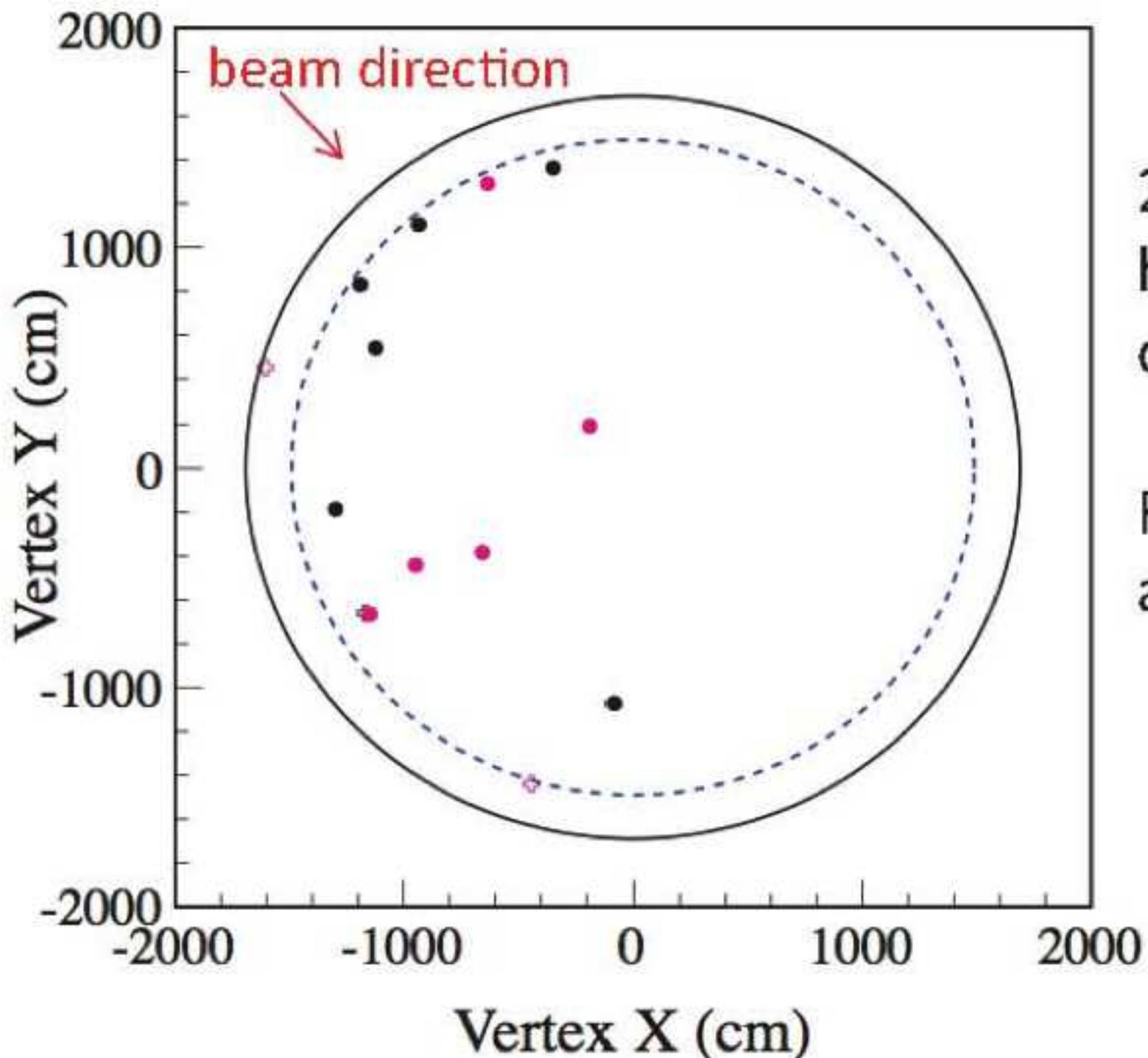


Recall: T2K measures  $P(\nu_e)$  with a different (minimal) dependence on the mass hierarchy

Combining both results improves the global extraction of the mass hierarchy (and other parameters)



# Vertex distribution of $\nu_e$ candidates



2011 analysis (Run 1+2, black points) had a discrepancy in radial distribution of event candidates

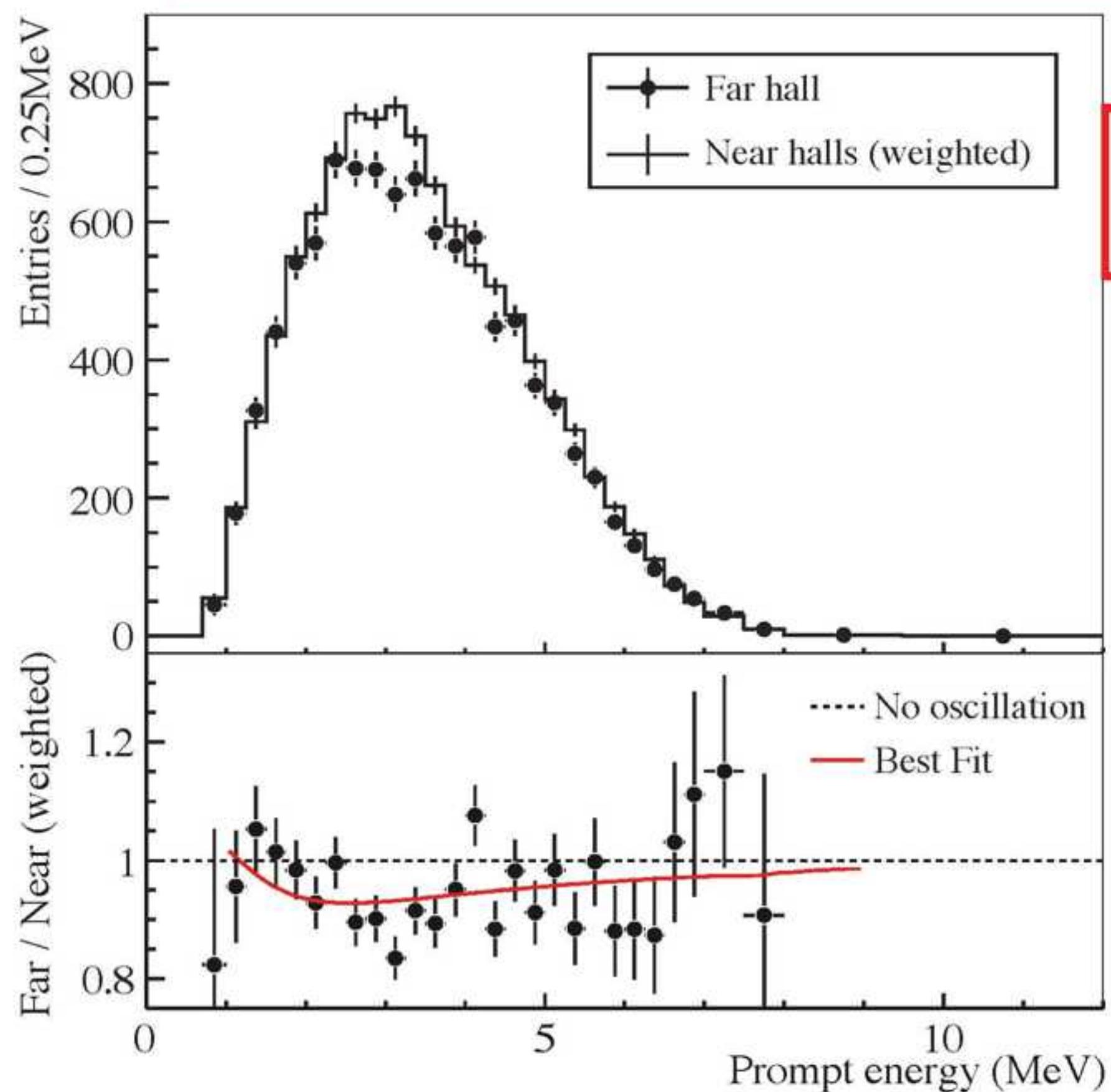
Radial distribution of new data (Run 3, pink) appears normal

KS test of radial distribution

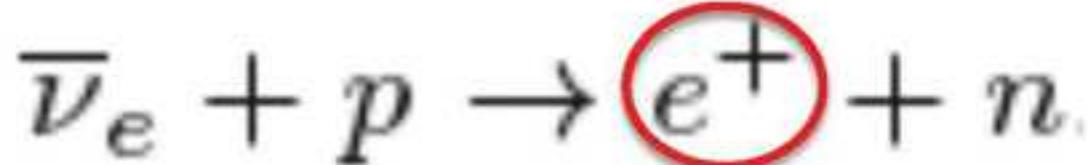
Run 1+2: 10%

Run 3: 74.6%

# Results from reactor experiments



arXiv:1203.1669v1, submitted to PRL



$\bar{\nu}_e$  disappearance:

$$P(\nu_e \rightarrow \nu_{x \neq e}) \approx \sin^2 2\theta_{13} \sin^2 \left( \frac{\Delta m_{31}^2 L}{4E} \right)$$

- Double Chooz:

Dec 2011, arXiv:1112.6353

$0.017 < \sin^2 2\theta_{13} < 0.16$  at 90% C.L.

- Daya Bay (right)

Mar 2012, arXiv:1203.1669v1

$\sin^2 2\theta_{13} = 0.092$

$\pm 0.016(\text{stat}) \pm 0.005(\text{syst})$

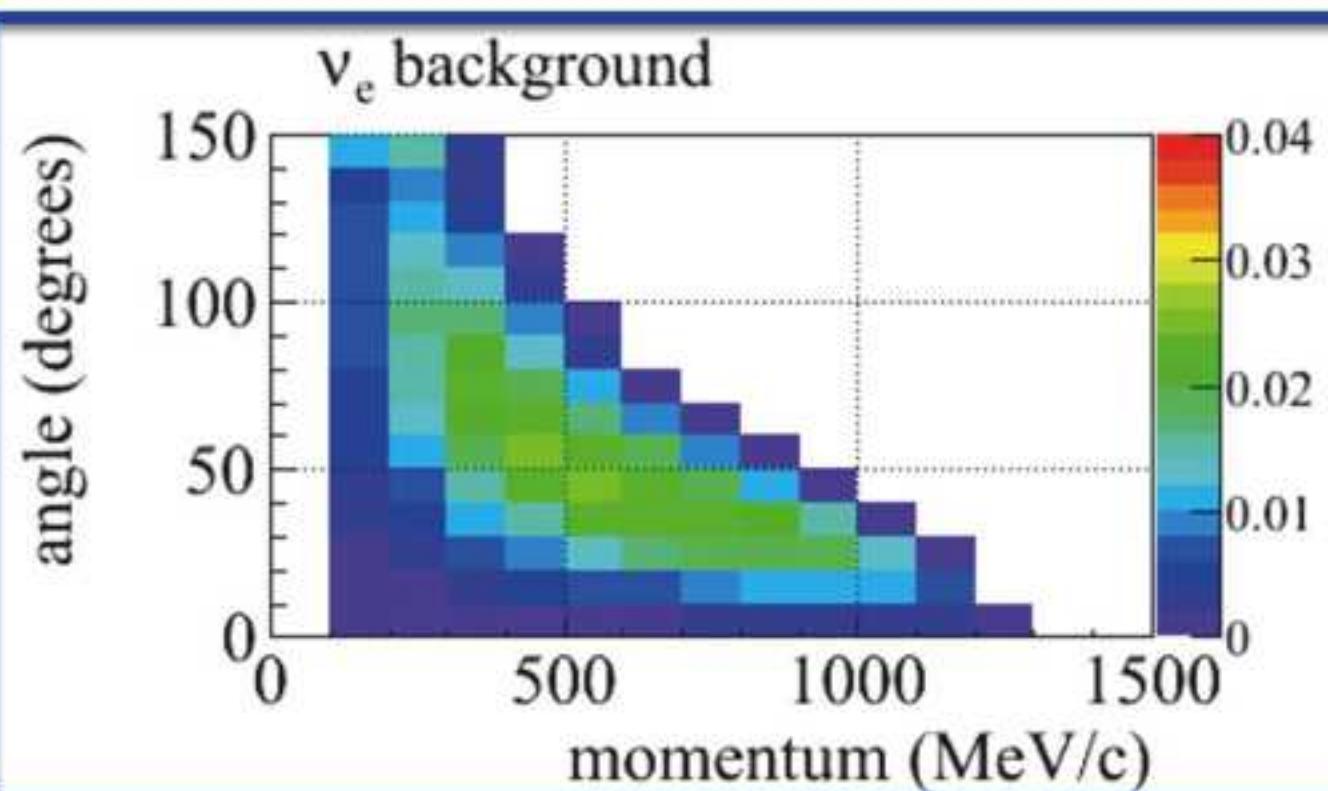
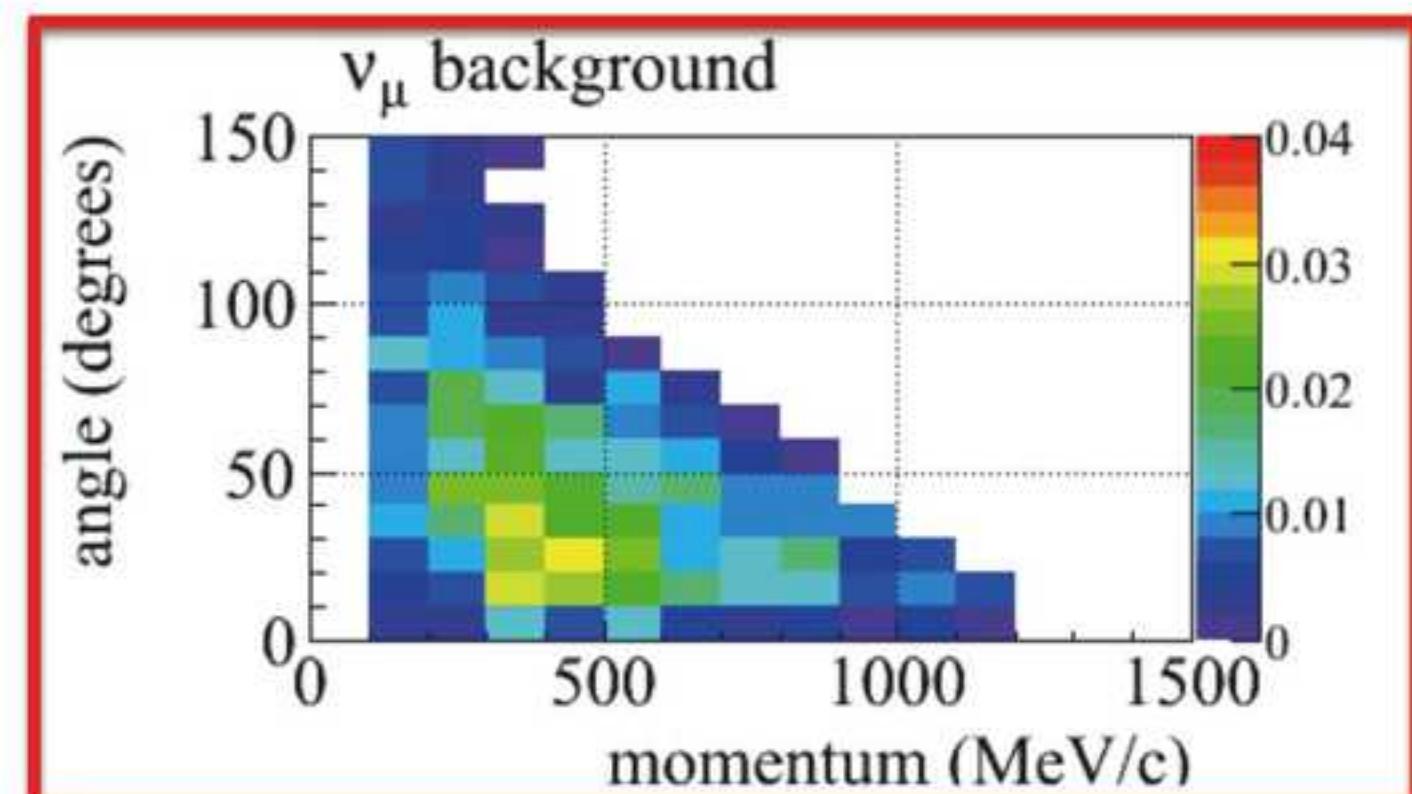
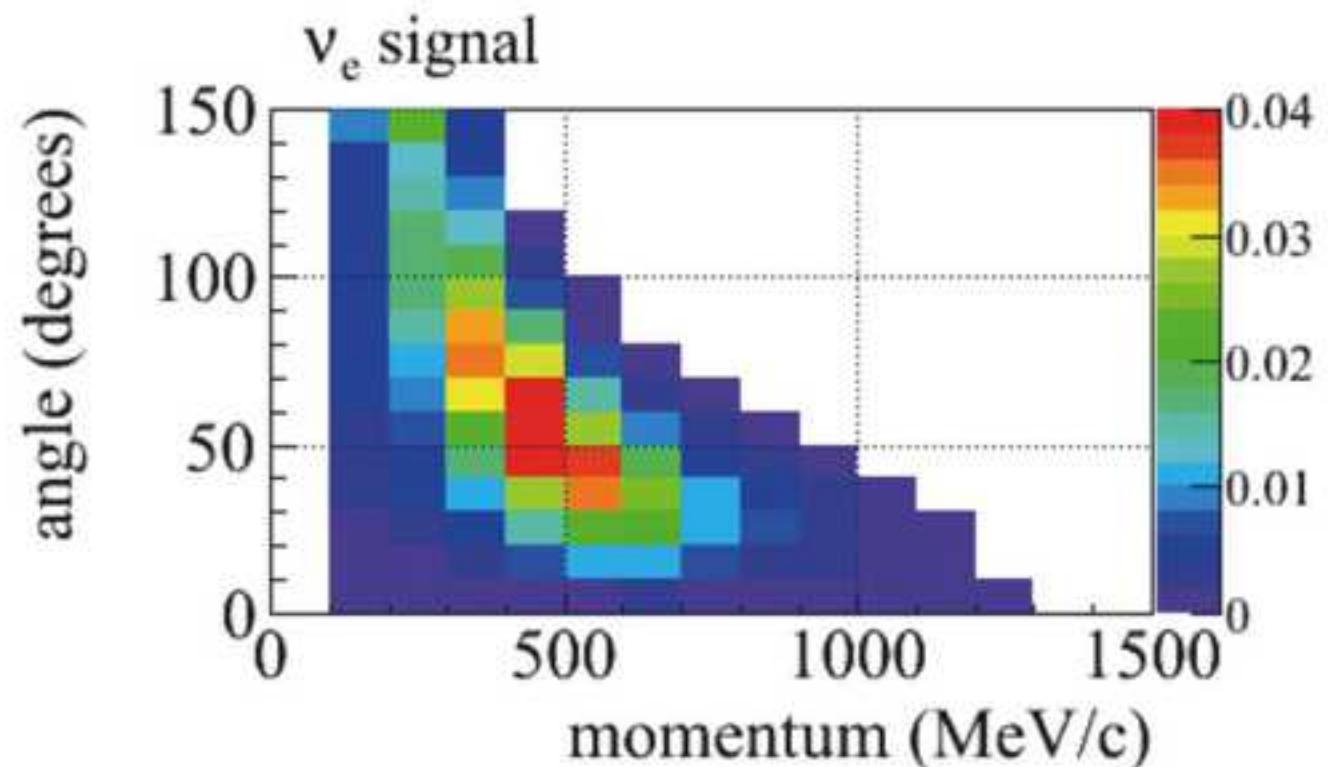
- RENO:

April 2012

$\sin^2 2\theta_{13} = 0.103$

$\pm 0.013(\text{stat}) \pm 0.011(\text{syst})$

# Separating signal and background



Additional separation of signal, background events with CC  $\nu_e$  candidate kinematics

- CC  $\nu_e$  backgrounds come from higher energy neutrinos and populate signal and higher momentum region
- NC backgrounds are due to misID'd photons that reconstruct as electrons at low momentum and low angle (as well as the signal region)

# Basic neutrino event selection (Run 1+2)

## Basic neutrino selection (precuts)

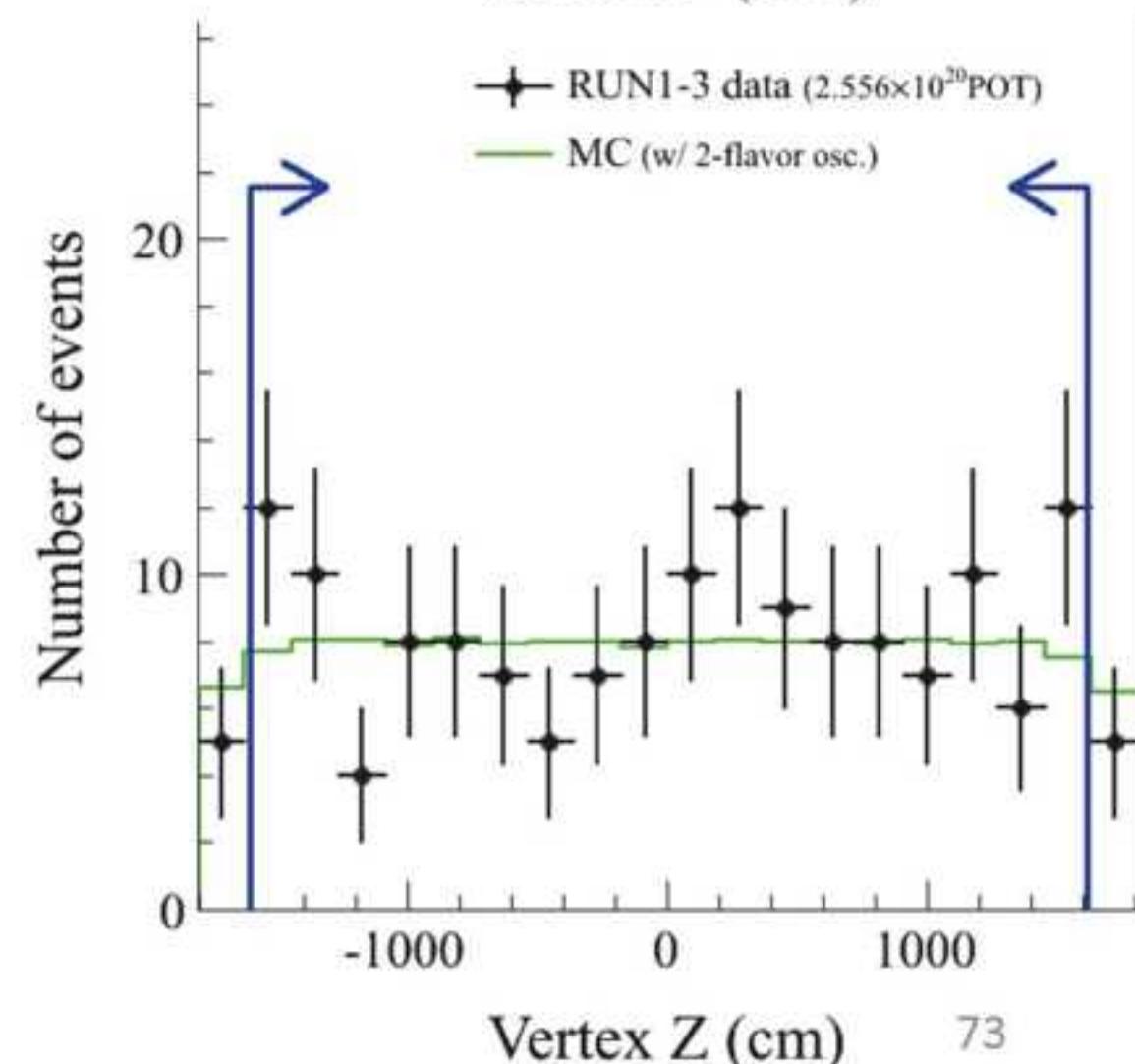
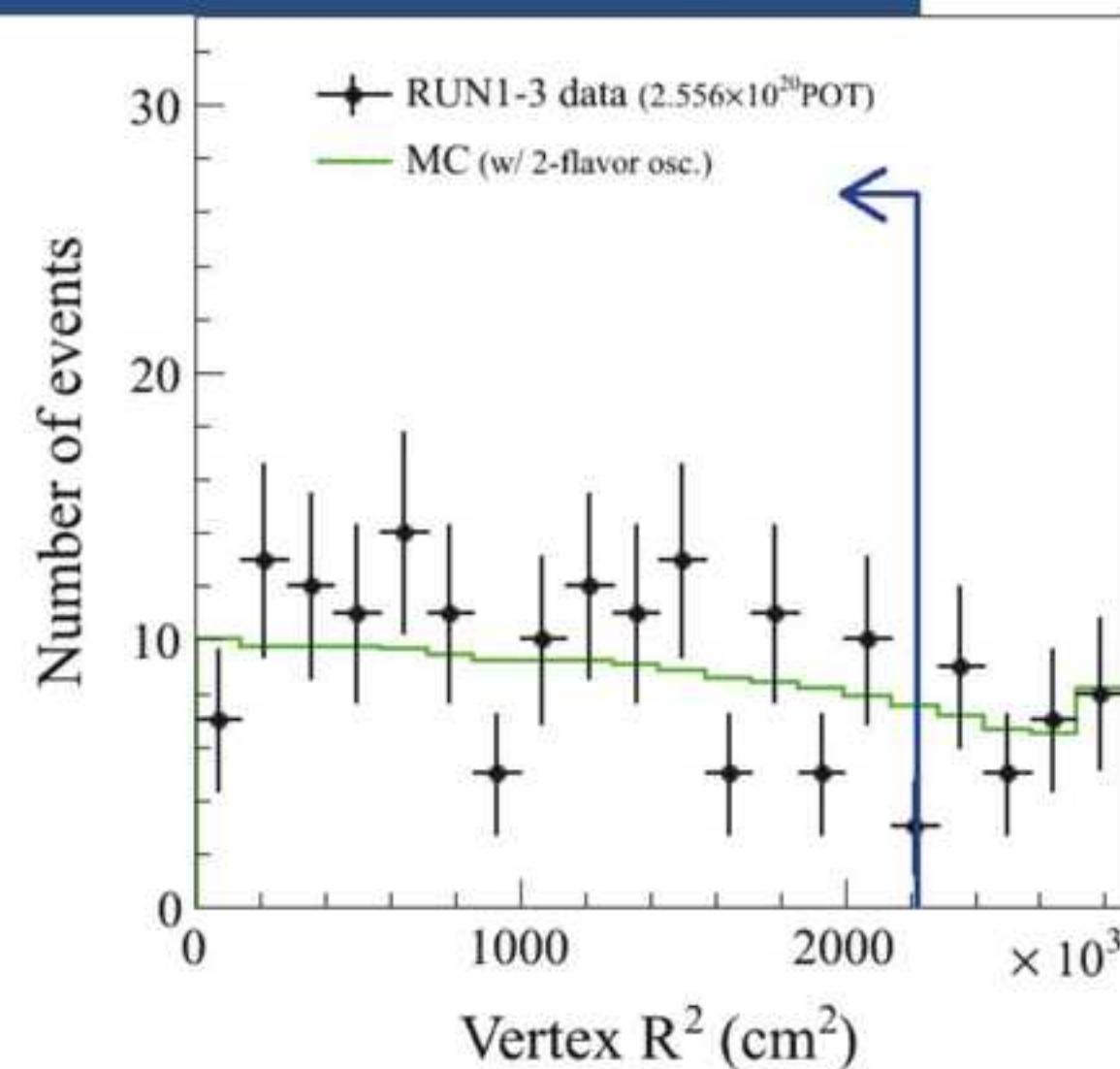
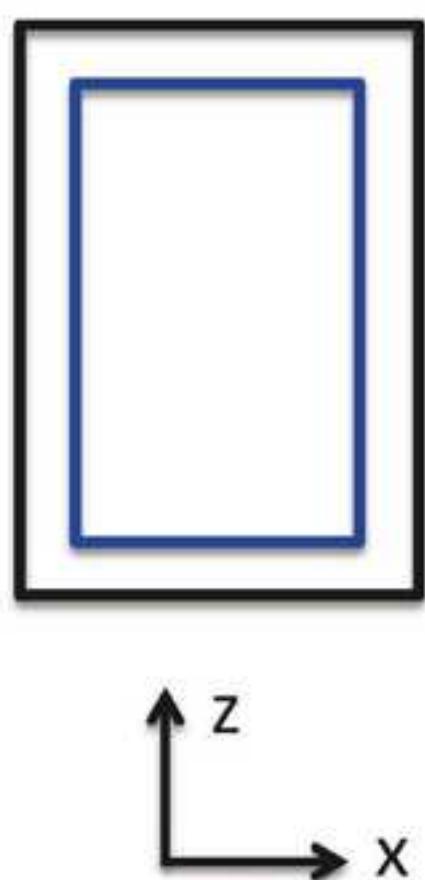
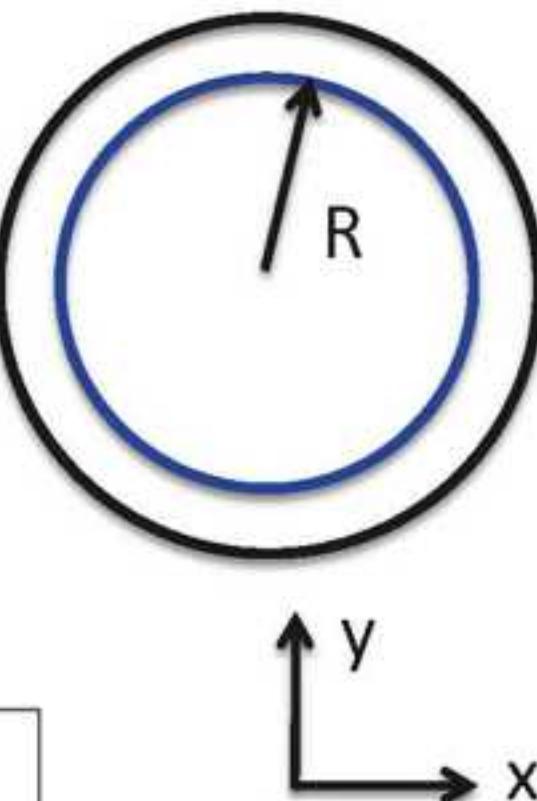
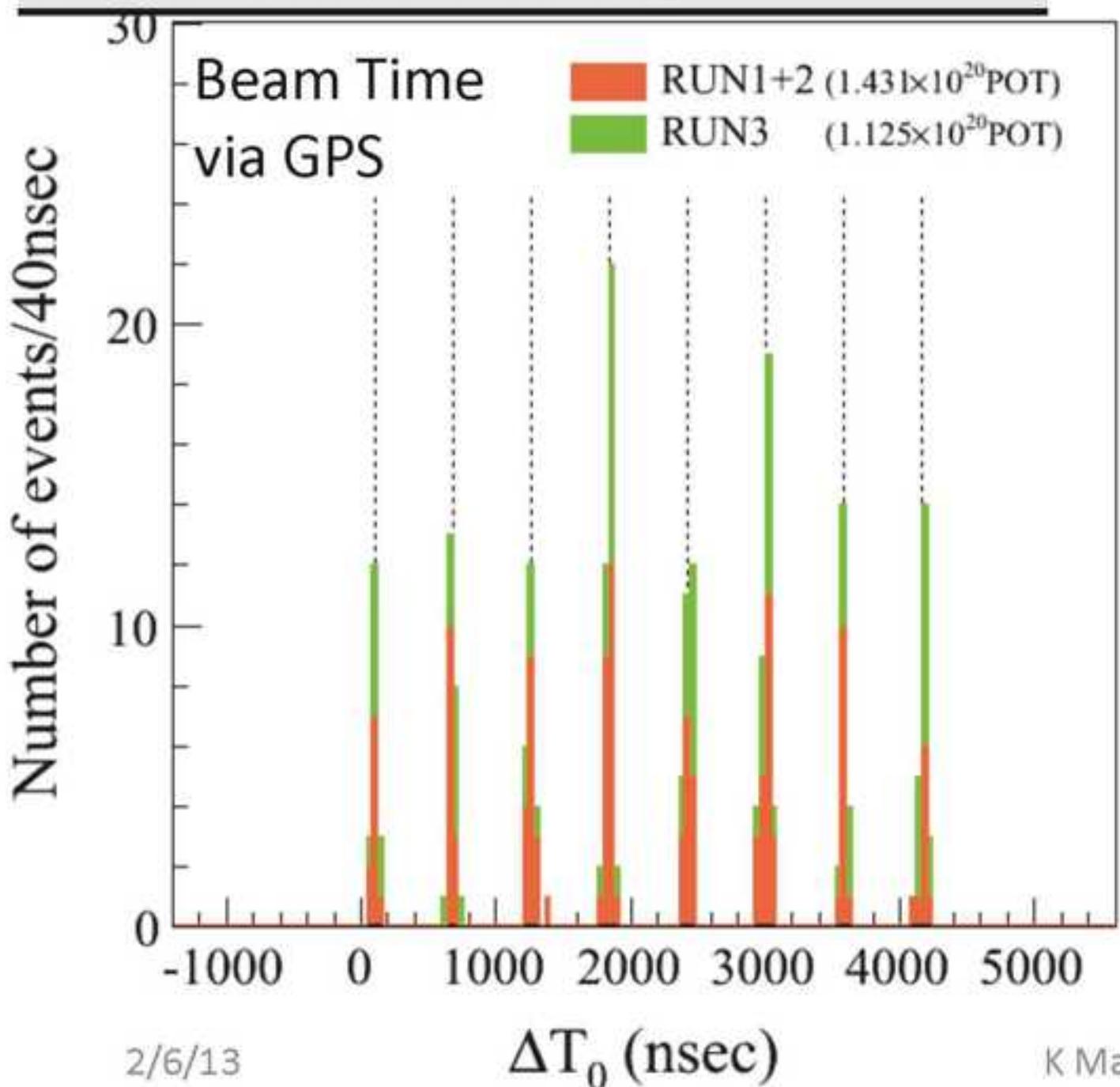
Event time within beam window

No activity in the veto

Visible  $E > 30$  MeV

Reconstructed vertex  $>2$ m from wall

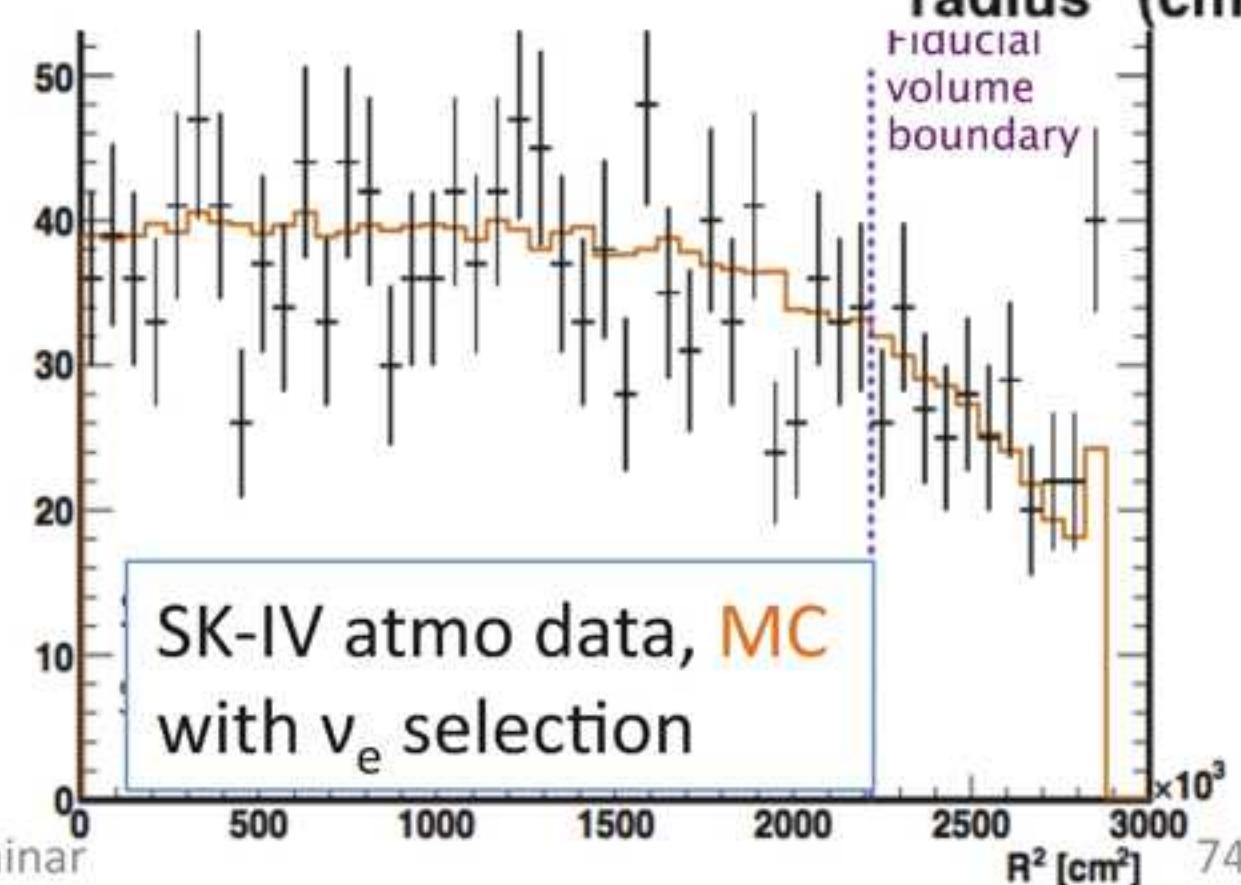
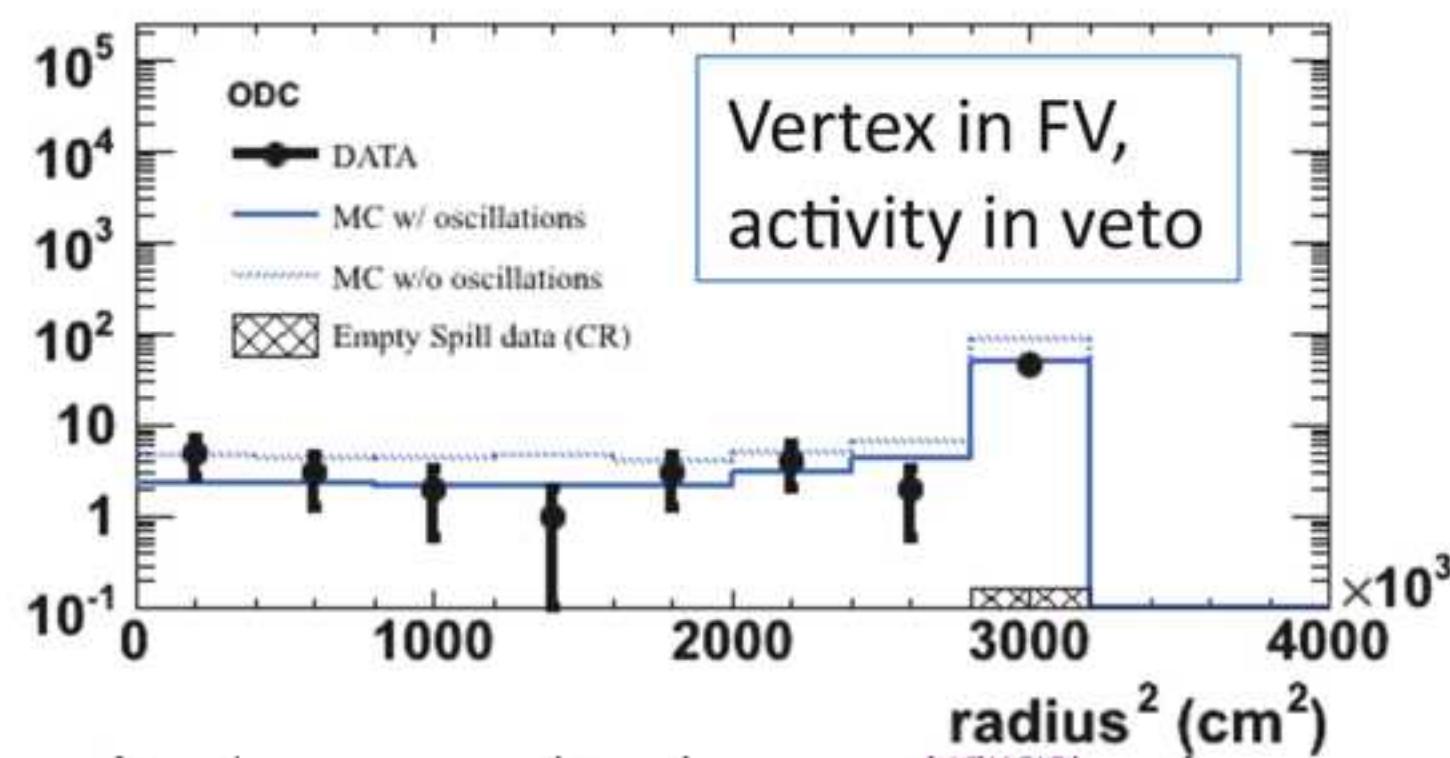
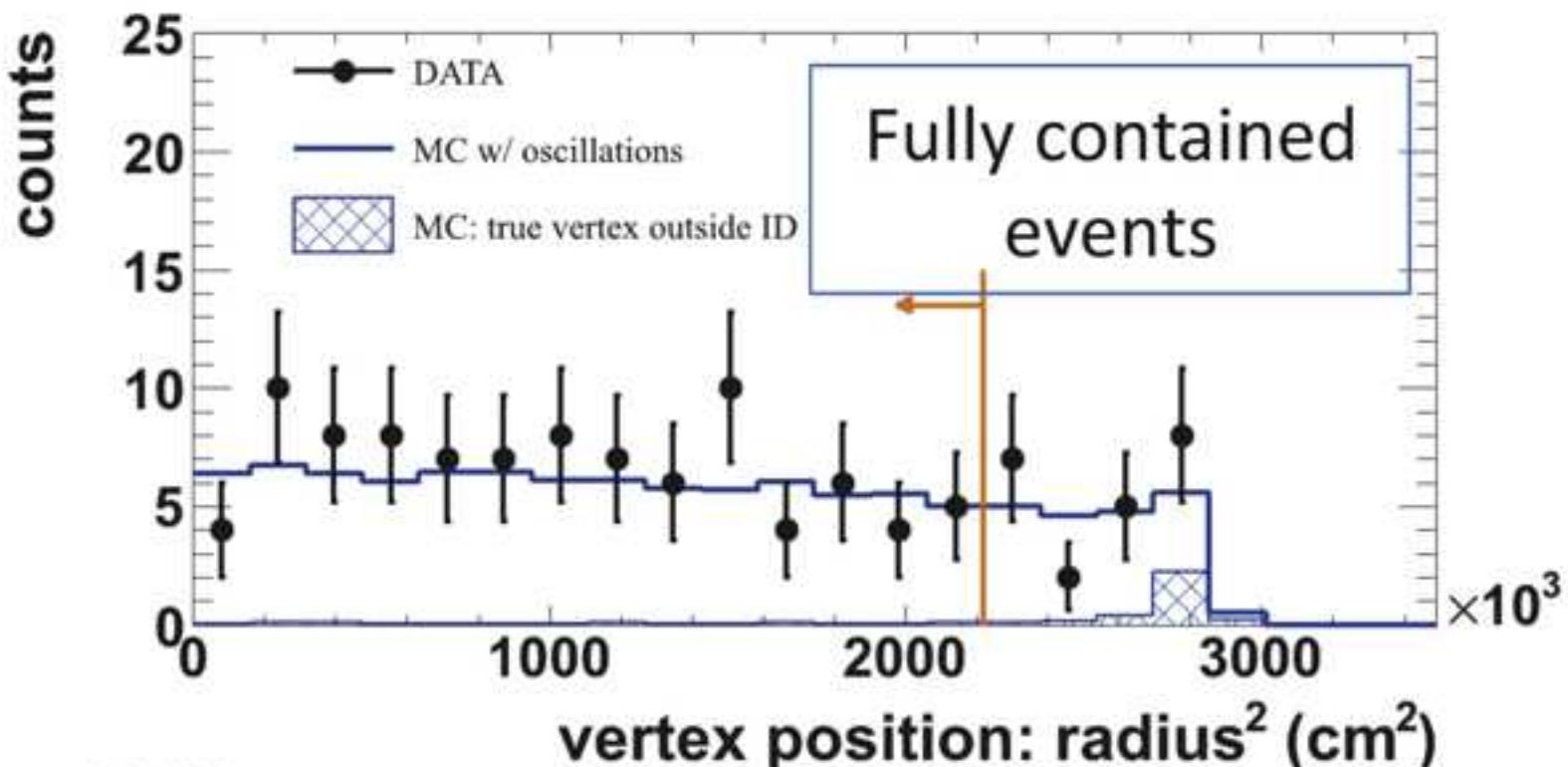
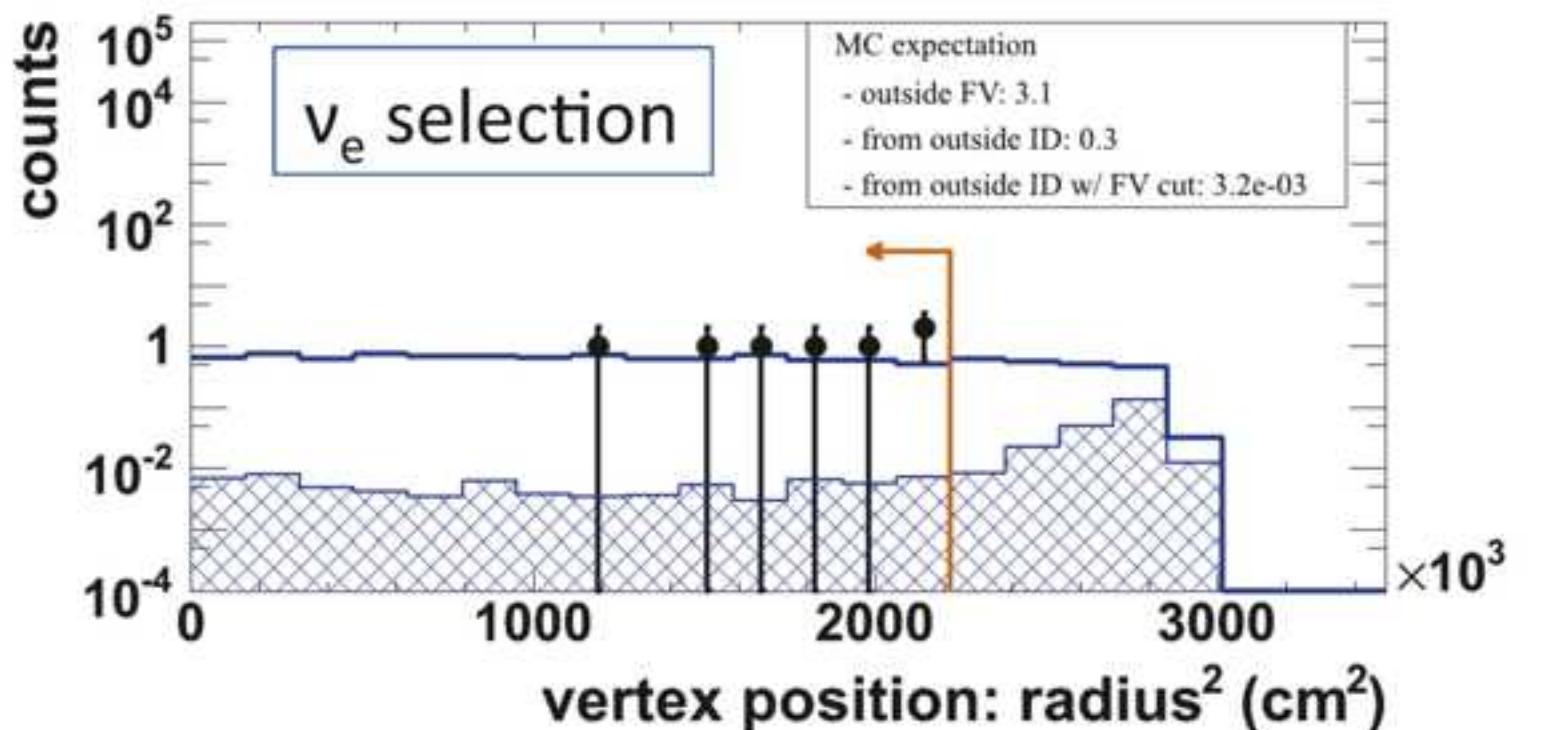
Single reconstructed ring



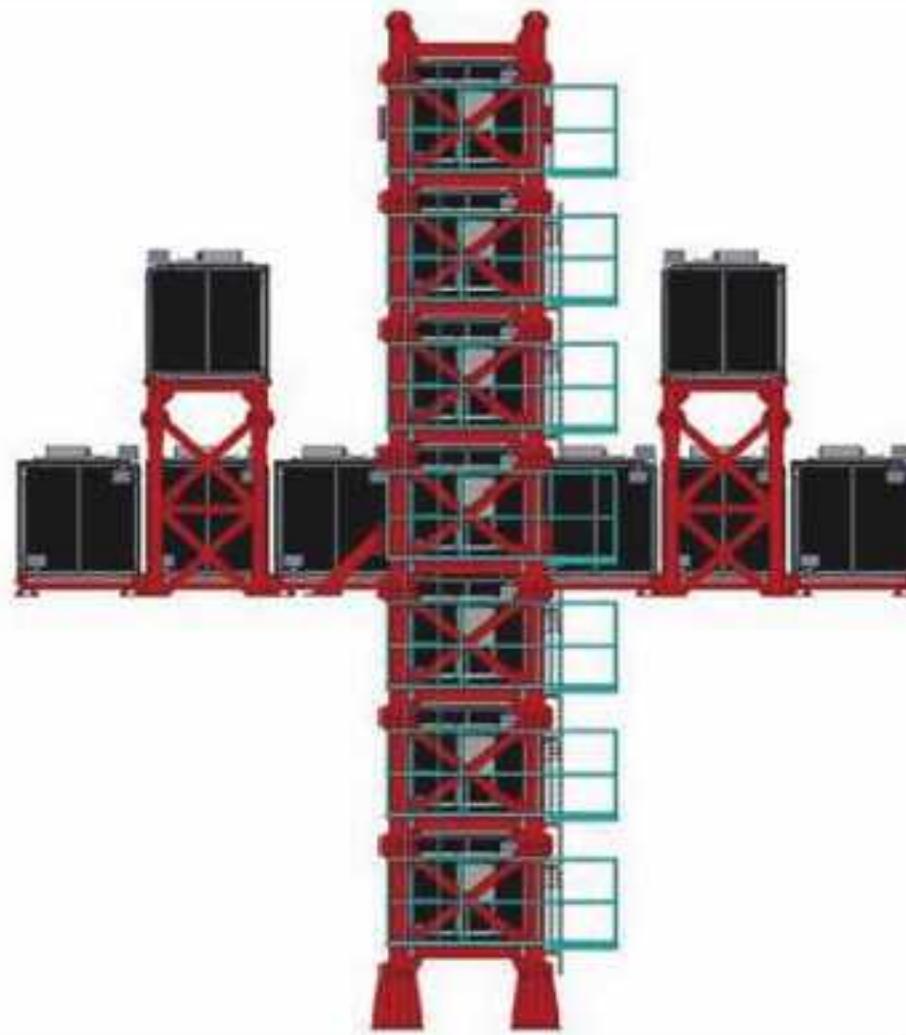
# Beam backgrounds at high radius (Run 1+2)

MC simulates neutrino interactions upstream of the detector (e.g.  $\pi^0$  production)

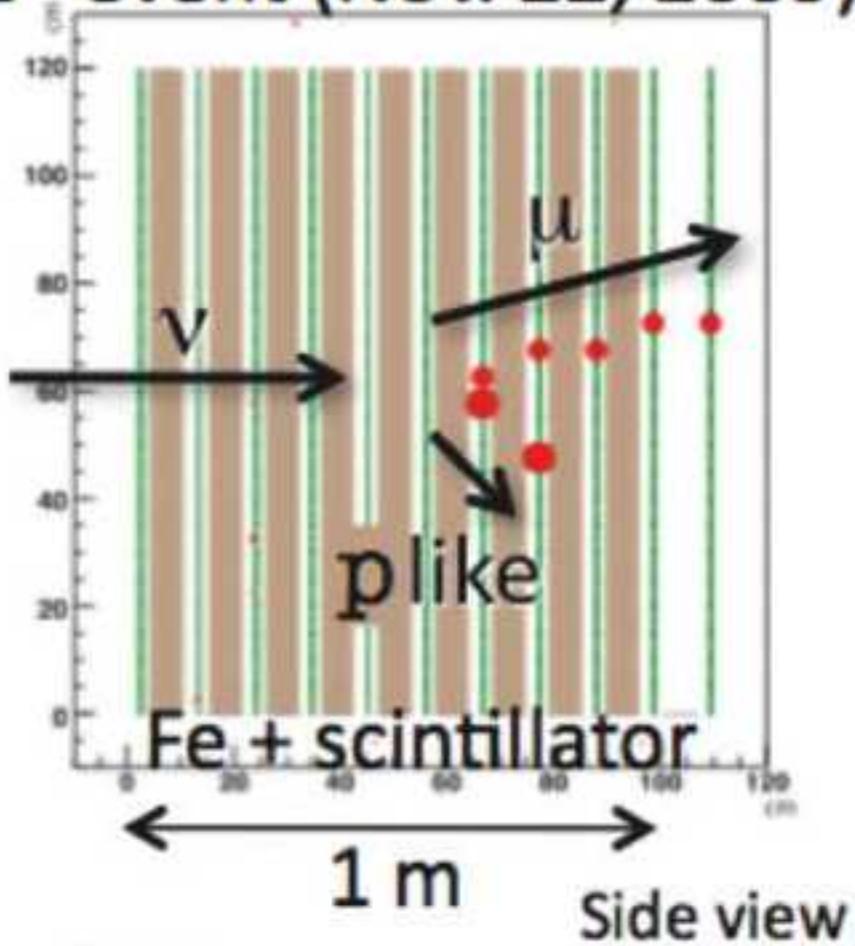
- Only 1  $\nu_e$  event cut by FV selection (no excess of  $\nu_e$  events outside FV)
- Dedicated sample of events entering tank (with activity in veto) agree
- No bias to radial distribution of atmospheric sample under T2K  $\nu_e$  selection



# On-axis Interactive Neutrino GRID (INGRID)



1st event (Nov. 22, 2009)



16 modules arranged in a cross

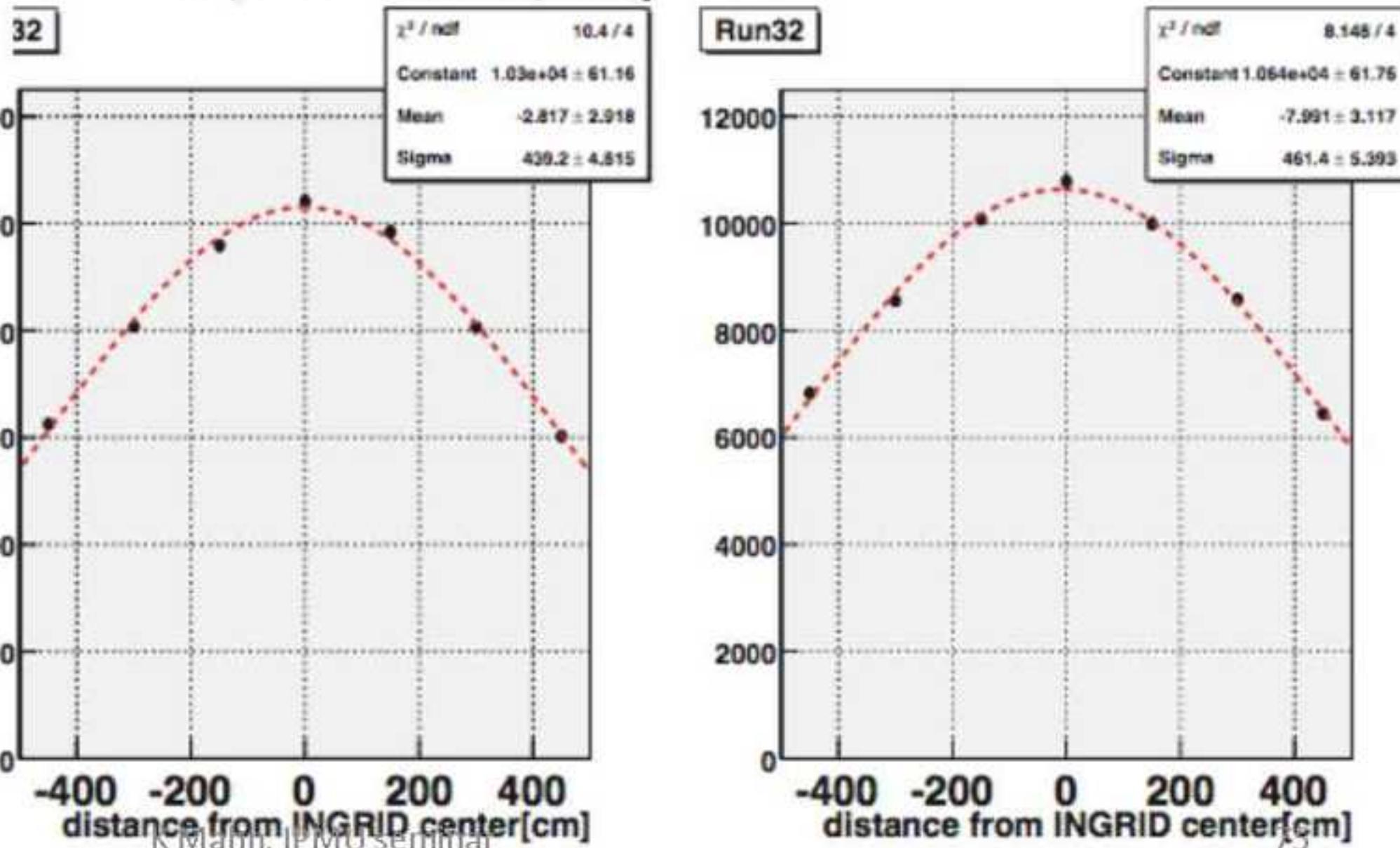
- X-Y iron-scintillator layers, 7.1 tons each

Count neutrino interactions in each module to determine neutrino rate vs. position

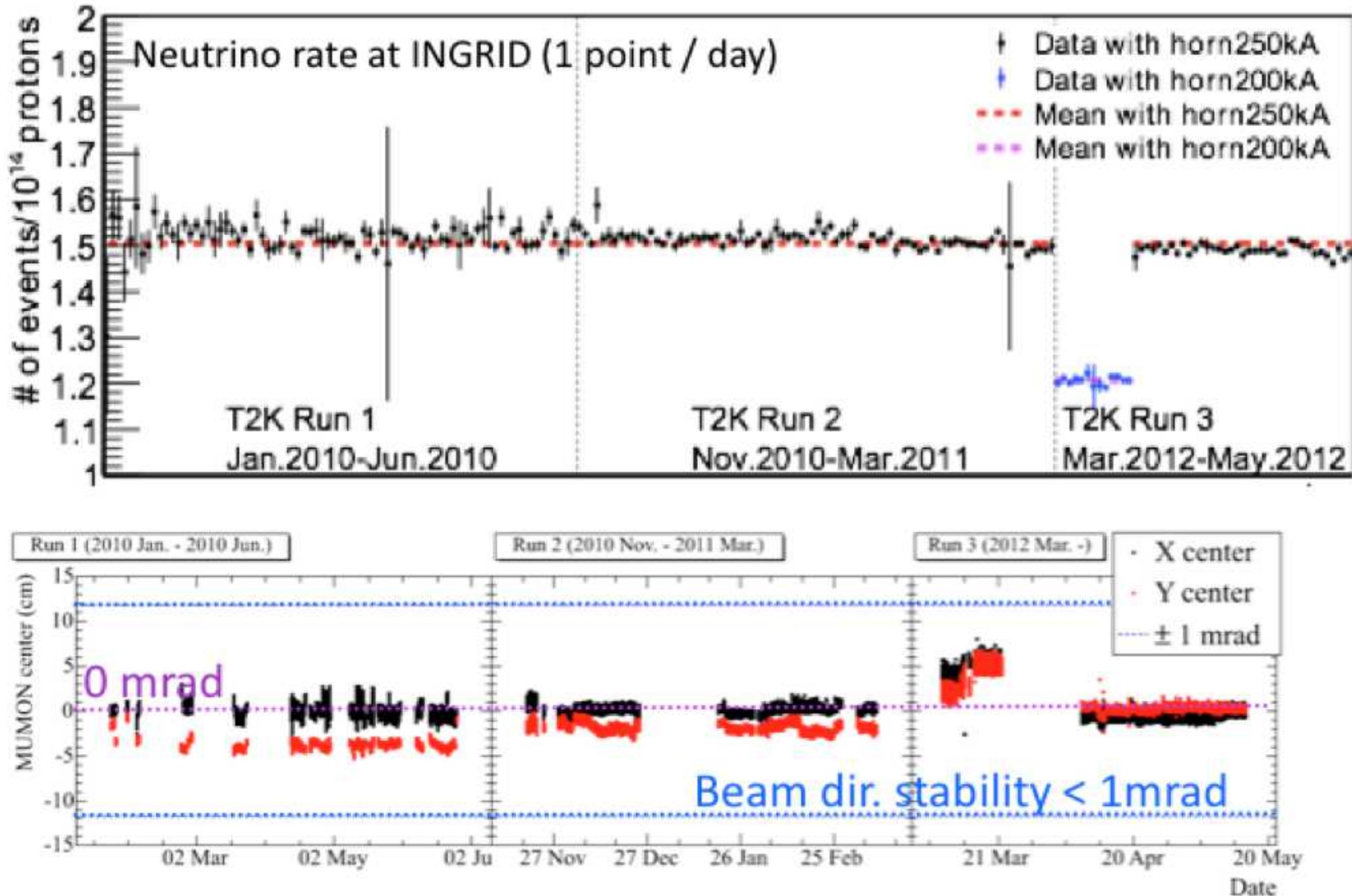
Extract beam direction better than 0.5 mrad

Monitor of neutrino beam vs. time

- $\sim 1.5 \nu / 10^{14}$  protons on target
- $\sim 10,000$  events / day

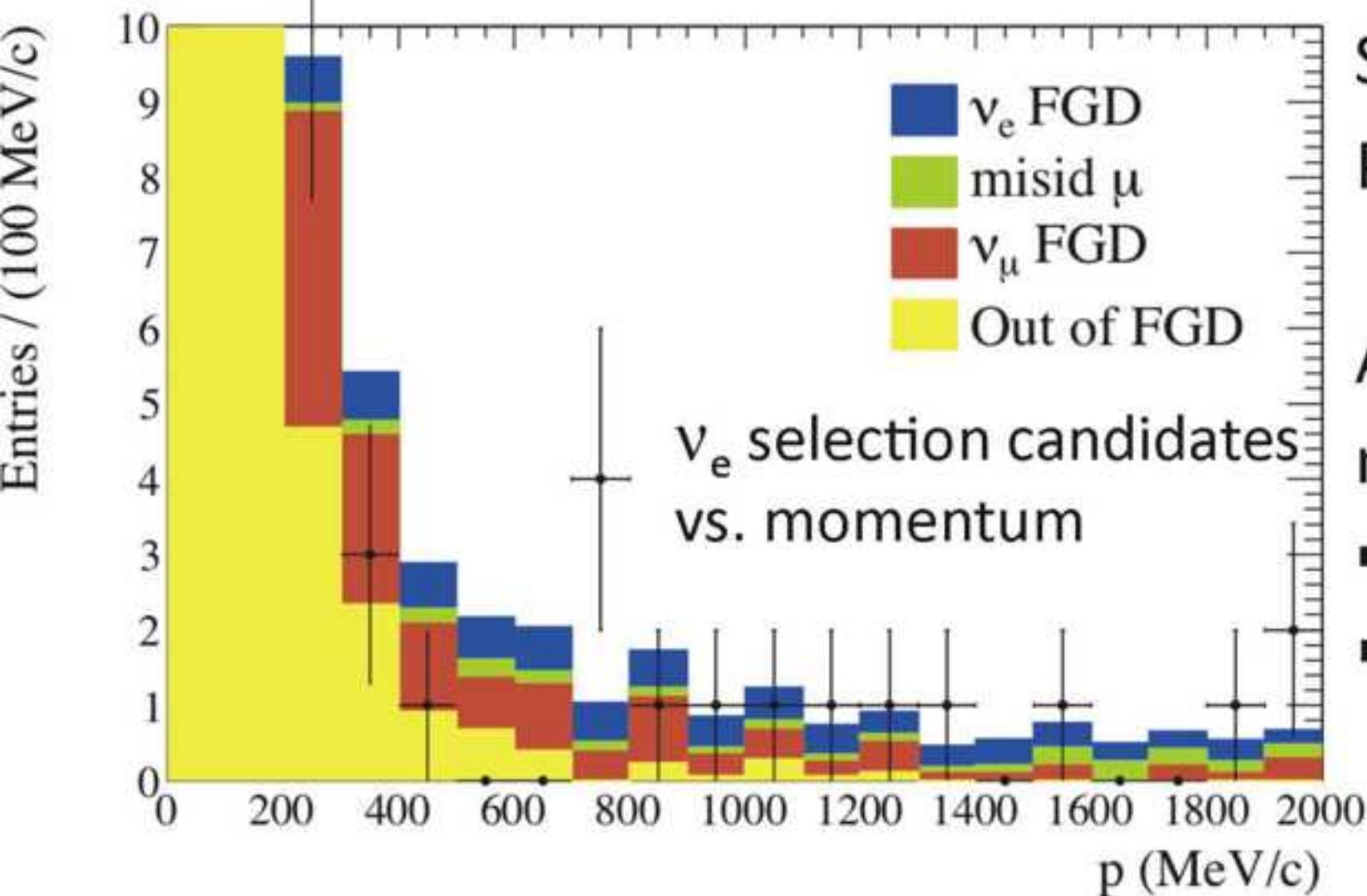


# Neutrino beam stability



Beam direction (x and y)  
with the muon monitor  
Stable to <1 mrad

# ND280 beam $\nu_e$ rate cross-check (I)



Select  $\nu_e$  candidates at ND280 with TPC PID to check rate of intrinsic beam  $\nu_e$

Additional backgrounds to  $\nu_e$  selection, measured via control samples

- $\mu$  misidentified as e
- e from photon conversion (photons emitted in  $\nu_\mu$  interactions in FGD and other subdetectors)

Ratio of observed  $\nu_e$  /  $\nu_\mu$  events is consistent with untuned prediction

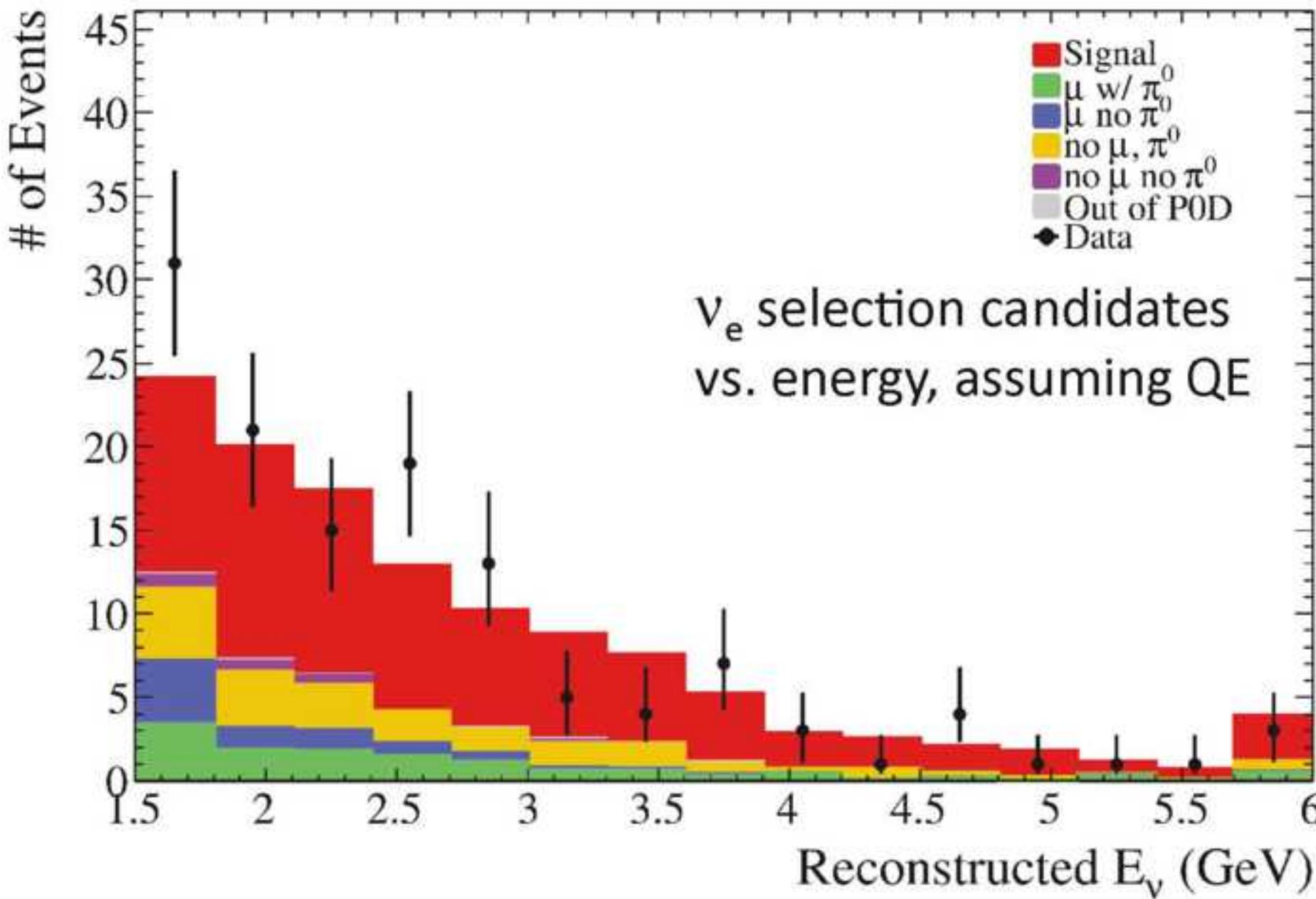
$$N(\nu_e) / N(\nu_\mu) = R(e:\mu) = 1.0\% \pm 0.7\% \text{ (statistics)} \pm 0.3\% \text{ (systematics)}$$

$$R(e:\mu, \text{data}) / R(e:\mu, \text{MC}) = 0.6 \pm 0.4 \text{ (statistics)} \pm 0.2 \text{ (systematics)}$$

Improvements to the analysis:

- Improved rejection of backgrounds with ECals
- More data:  $2.88 \times 10^{19}$  POT shown here

# ND280 beam $\nu_e$ rate cross-check (II)



Select high energy CC  $\nu_e$  candidates within the POD:

- Reconstructed track matched in x,y with vertex in FV consistent with a single EM shower (reject  $\pi^0$  multiple photon showers and muons)
- Primary backgrounds are HE  $\pi^0$  events

Consistent with current untuned MC:

$$\text{data-bkrd(MC)/sig(MC)} = R = 1.19 \pm 0.15(\text{statistics}) \pm 0.26(\text{systematics})$$

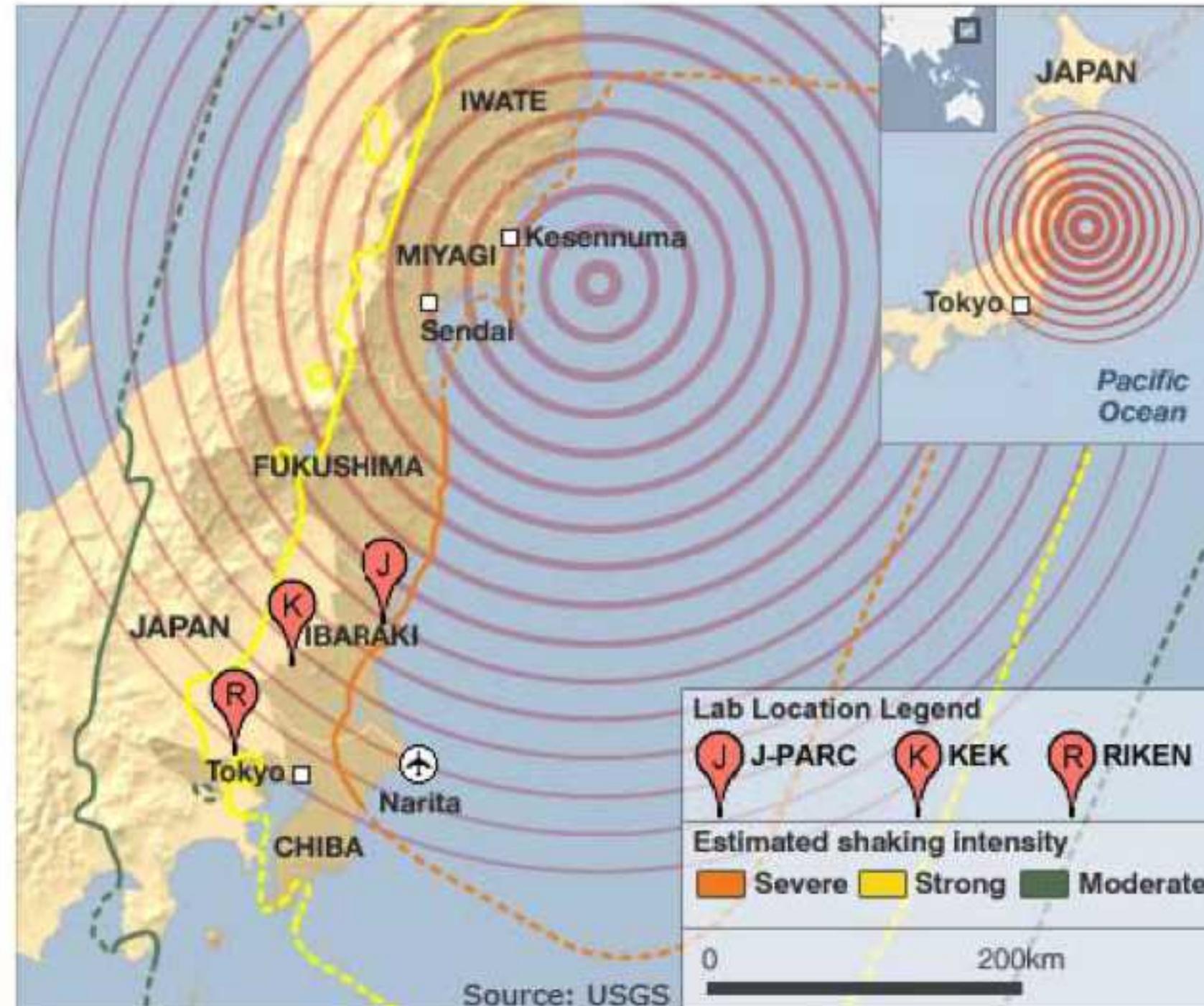
# Earthquake and T2K

On March 11<sup>th</sup>, 2011, Japan experienced a severe earthquake followed by a tsunami

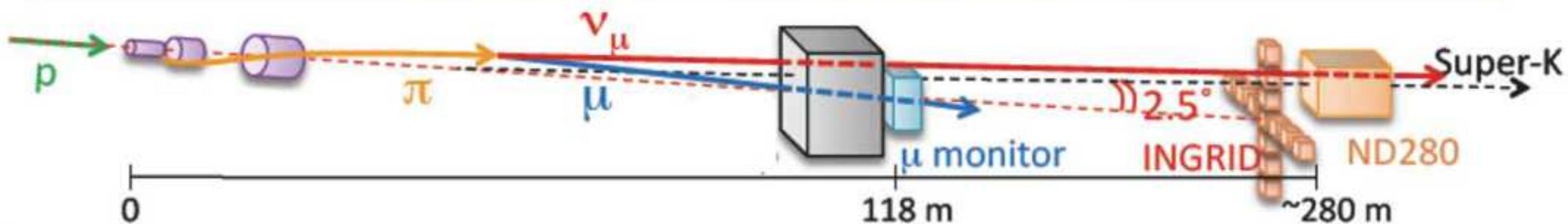
- Magnitude 9 earthquake on Richter scale, magnitude 6+ at J-PARC
- The tsunami did not reach J-PARC
- Accelerator was not operating (maintenance day)
- No reported injuries to T2K collaborators or J-PARC employees

T2K experiment status:

- Repairs made to infrastructure and accelerator
- Minor repairs and recommissioning of J-PARC near detectors successful
- First beam in late Dec 2011
- T2K run scheduled for ~4 months prior to summer 2012 shutdown



# Neutrino flux prediction



FLUKA/Geant3 beam simulation

Unoscillated flux at SK:

- $\nu_\mu$  from  $\pi^+$ , K decay
- $\sim 1\% \nu_e$  from  $\mu$ , K decay

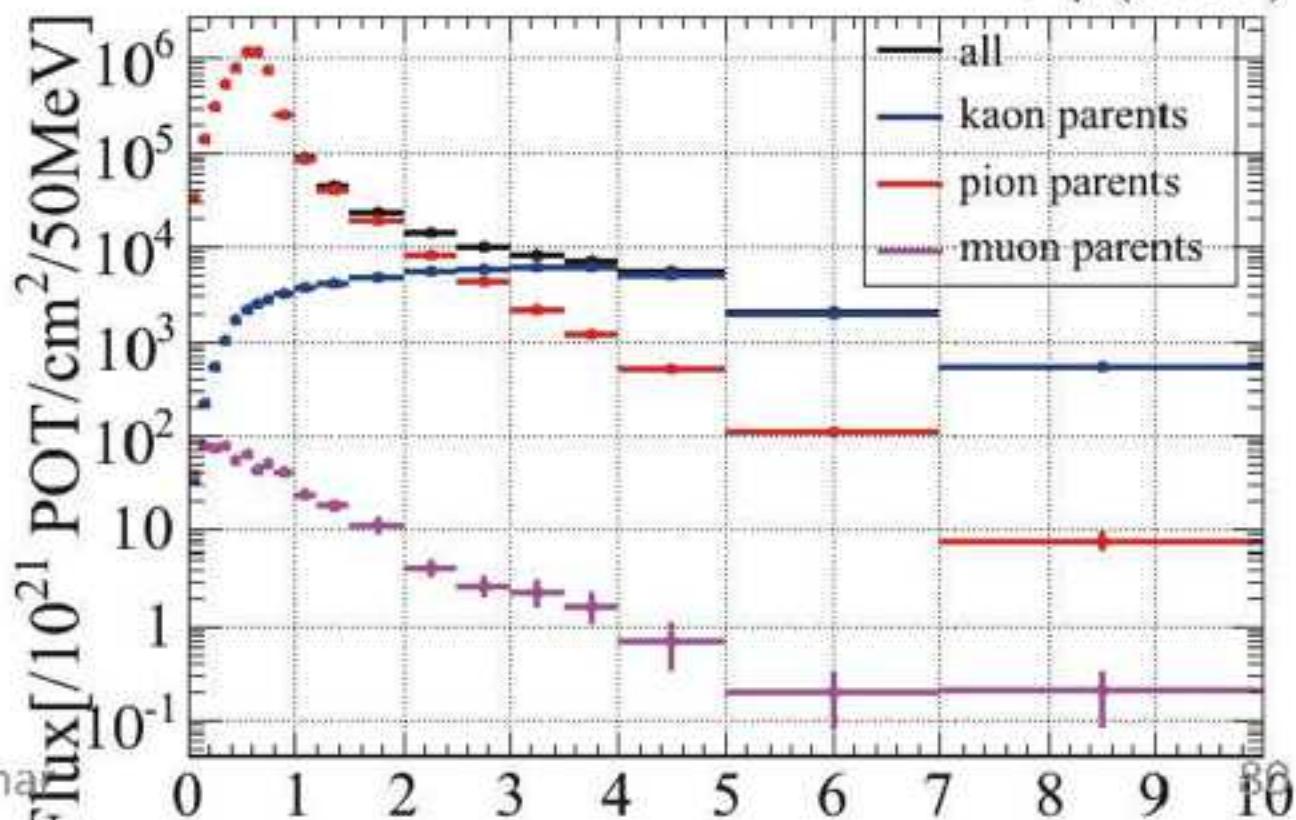
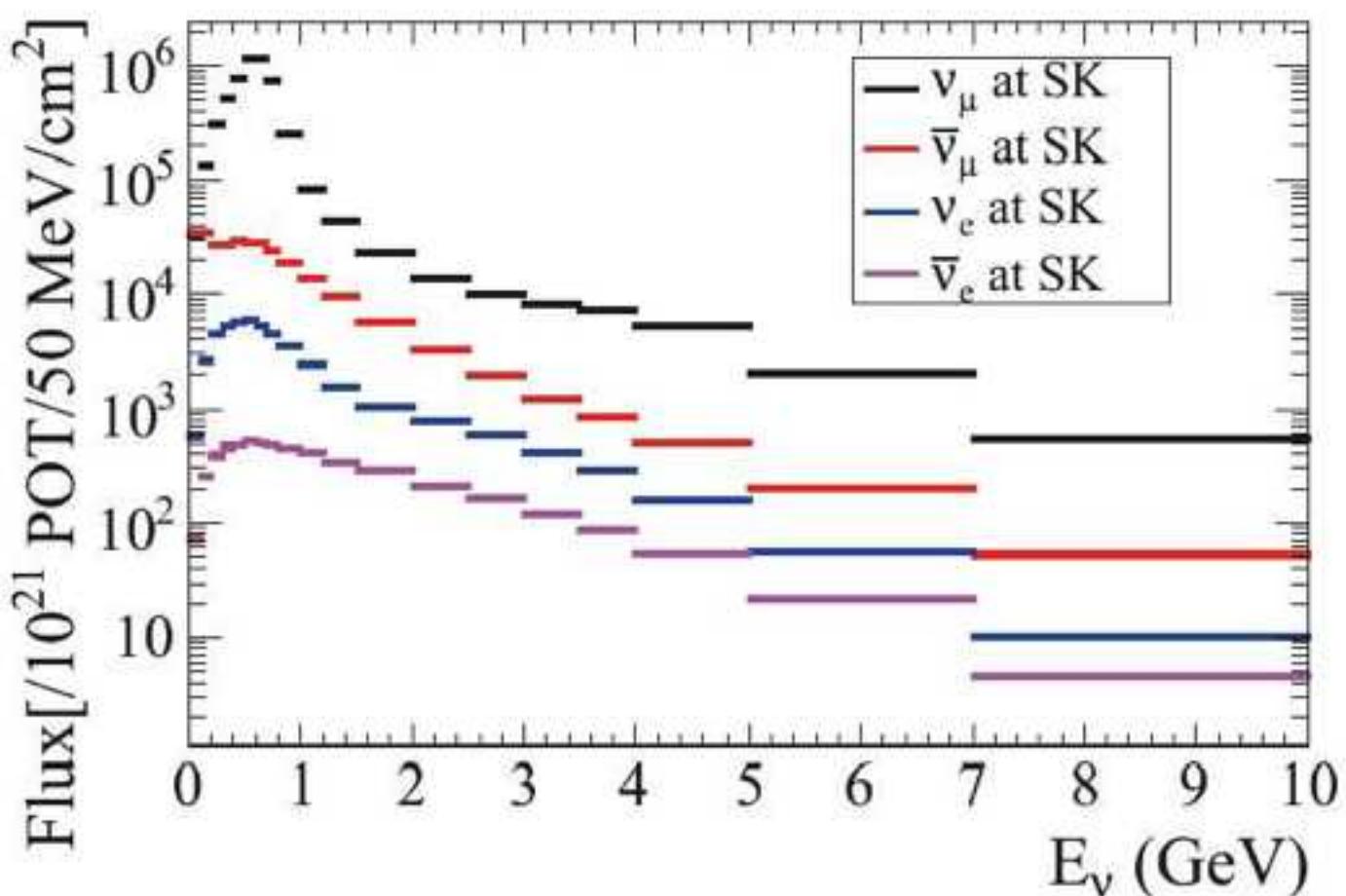
Prediction and uncertainties determined by **external** or **in-situ** measurements of:

- proton beam
- $\pi$ , K production from NA61 experiment

Phys. Rev. C 84, 034604 (2011)

Phys. Rev. C 85, 035210 (2012)

- alignment and off-axis angle

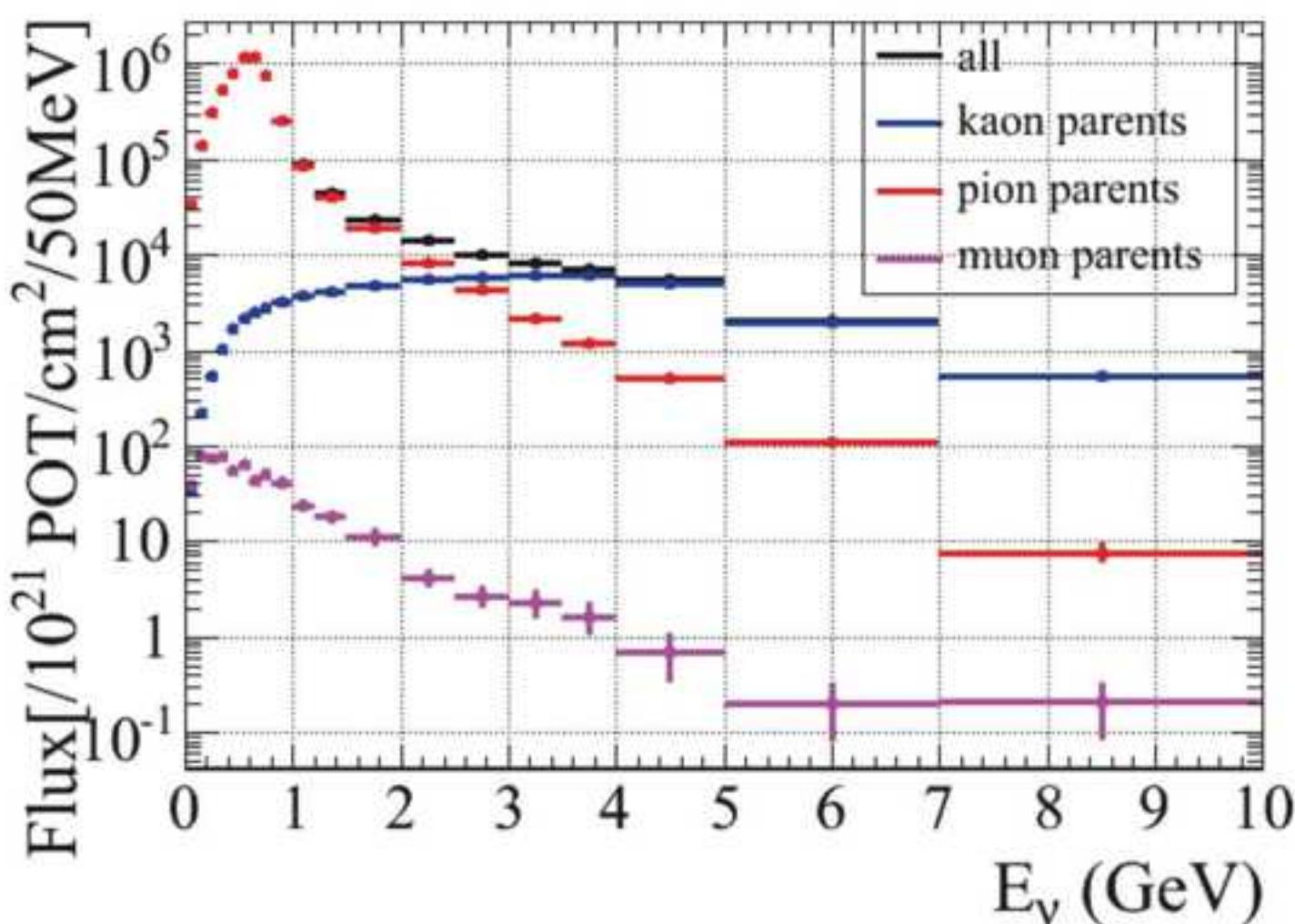
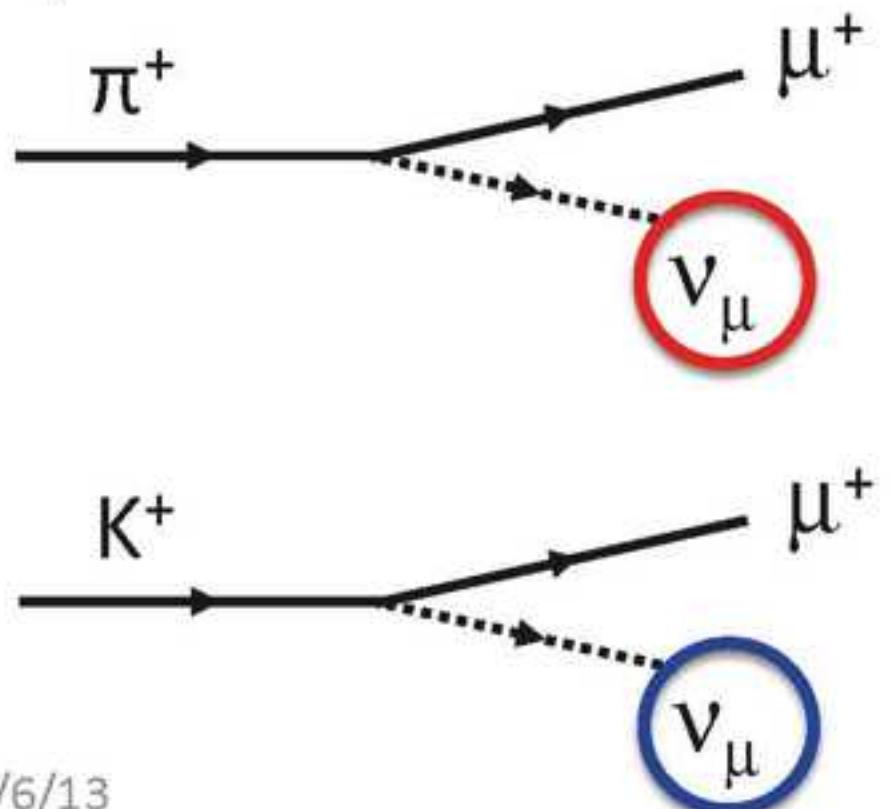


# Neutrino flux at ND and SK

Neutrino Mode	Trkr. $\nu_\mu$ CCQE	Trkr. $\nu_\mu$ CCnQE	SK $\nu_e$ Sig.	SK $\nu_e$ CC intrinsic Bgnd.	SK $\nu_e$ NC Bgnd.
$\pi^+ \rightarrow \nu_\mu + \mu^+$	82.2%	45.8%	99.3%	1.1%	70.3%
$\mu^+ \rightarrow \nu_e + e^+ + \bar{\nu}_\mu$	<1%	<1%	<0.1%	66.0%	<0.1%
$K^{+,0} \rightarrow \nu_e + X$	<1%	<1%	<0.1%	33.0%	<0.1%
$K^{+,0} \rightarrow \nu_\mu + X$	17.4%	53.4%	0.7%	-	29.7%

ND samples represent  $\nu_\mu$  flux

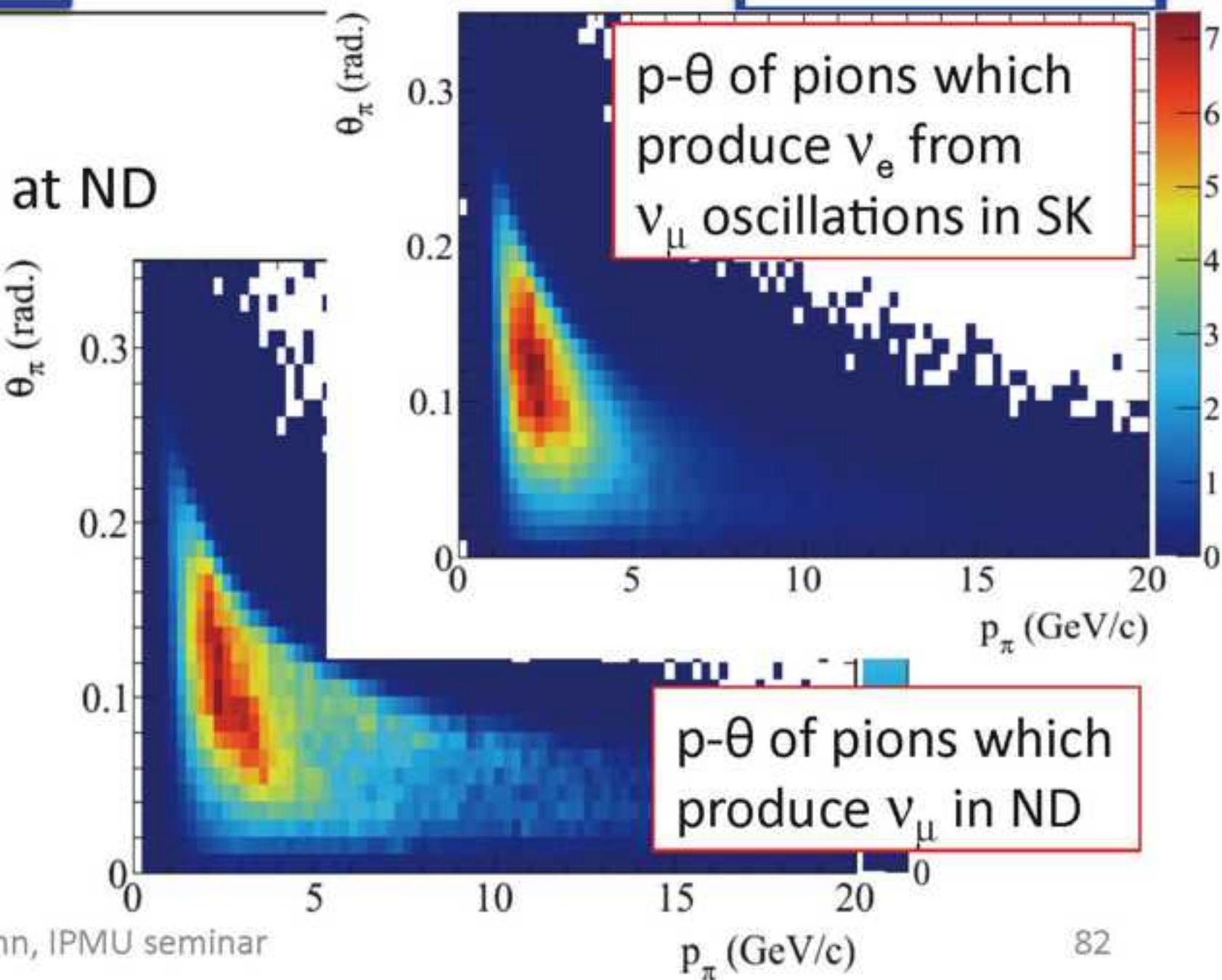
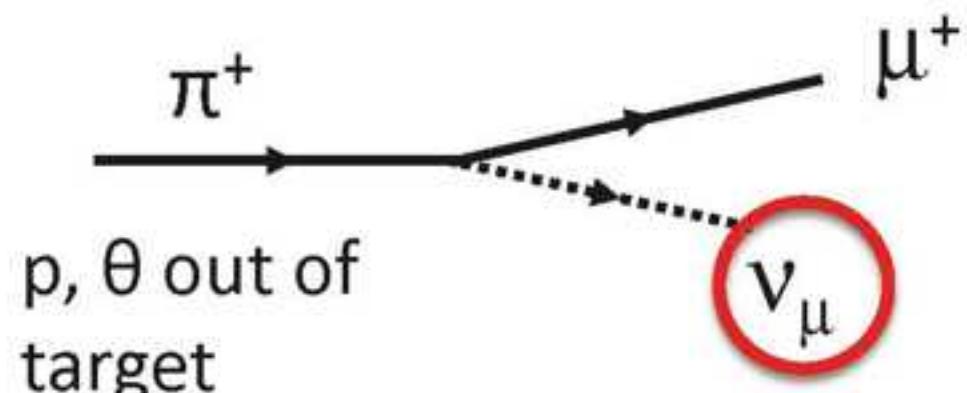
- $\nu_\mu$  from  $\pi$  decay: CCQE, CCnQE samples
- $\nu_\mu$  from K decay: CCnQE sample



# Neutrino flux at ND and SK

Neutrino Mode	Trkr. $\nu_\mu$ CCQE	Trkr. $\nu_\mu$ CCnQE	SK $\nu_e$ Sig.	SK $\nu_e$ CC intrinsic Bgnd.	SK $\nu_e$ NC Bgnd.
$\pi^+ \rightarrow \nu_\mu + \mu^+$	82.2%	45.8%	99.3%	1.1%	70.3%
$\mu^+ \rightarrow \nu_e + e^+ + \bar{\nu}_\mu$	<1%	<1%	<0.1%	66.0%	<0.1%
$K^{+,0} \rightarrow \nu_e + X$	<1%	<1%	<0.1%	33.0%	<0.1%
$K^{+,0} \rightarrow \nu_\mu + X$	17.4%	53.4%	0.7%	-	29.7%

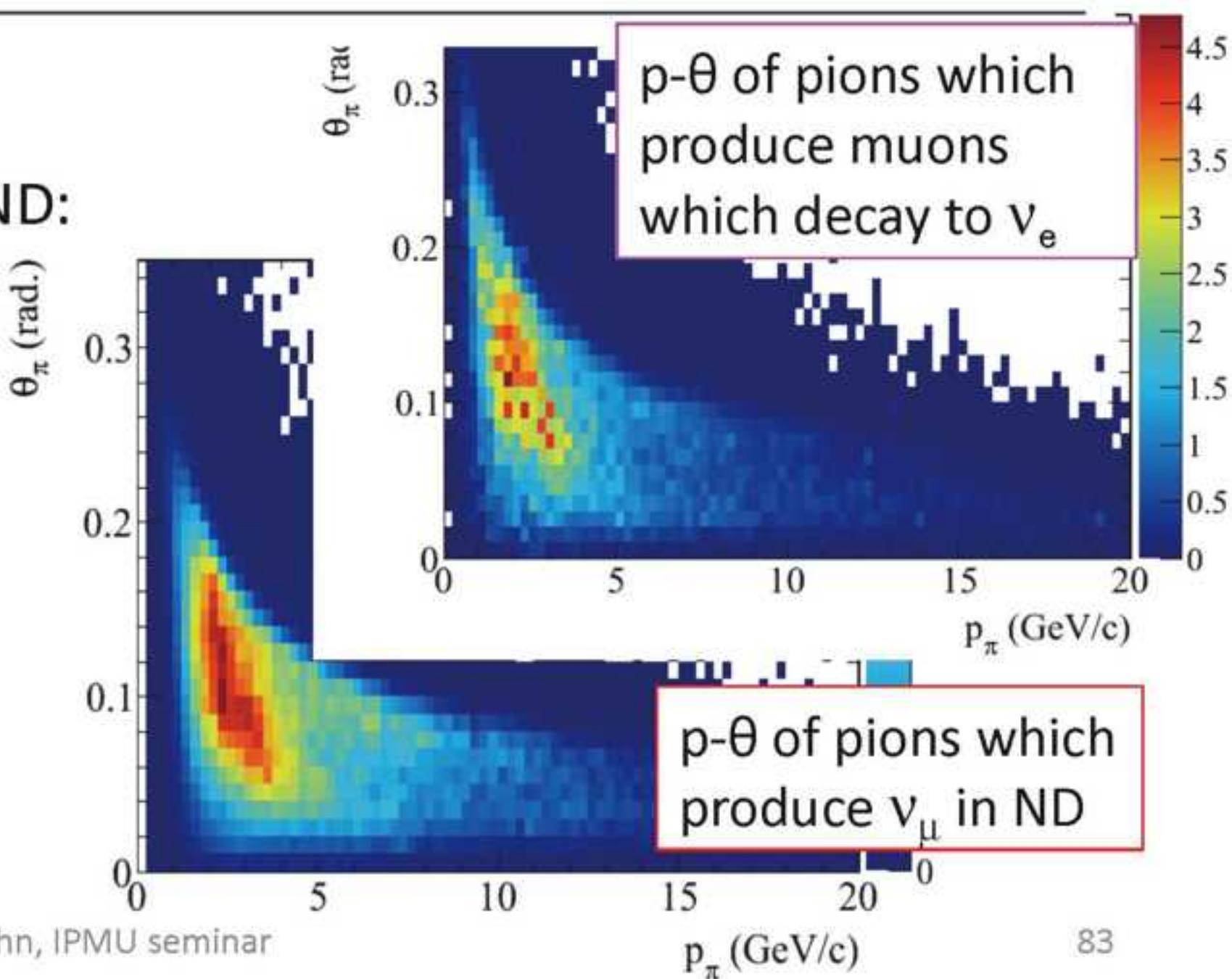
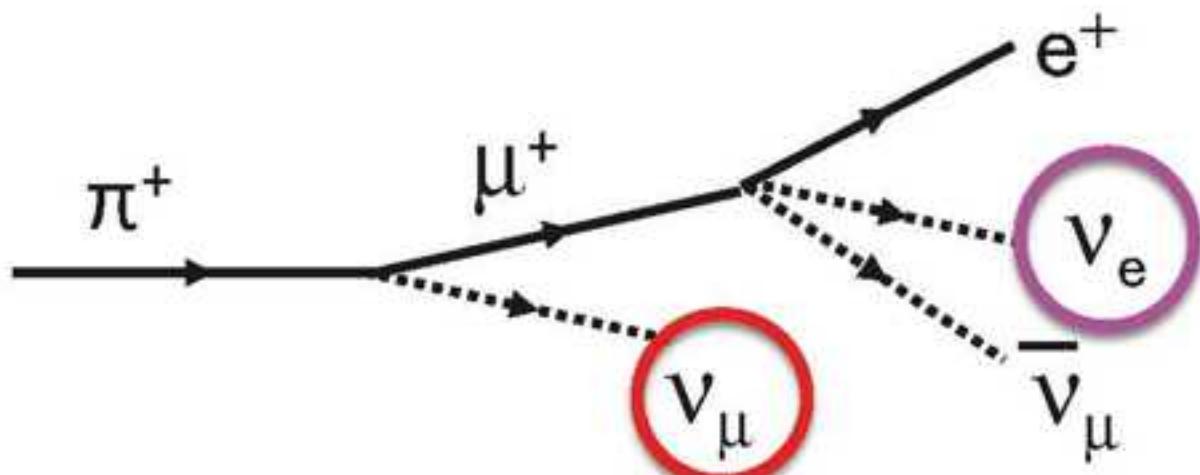
SK signal and NC background events  
come from  $\nu_\mu$  flux directly measured at ND



# Neutrino flux at ND and SK

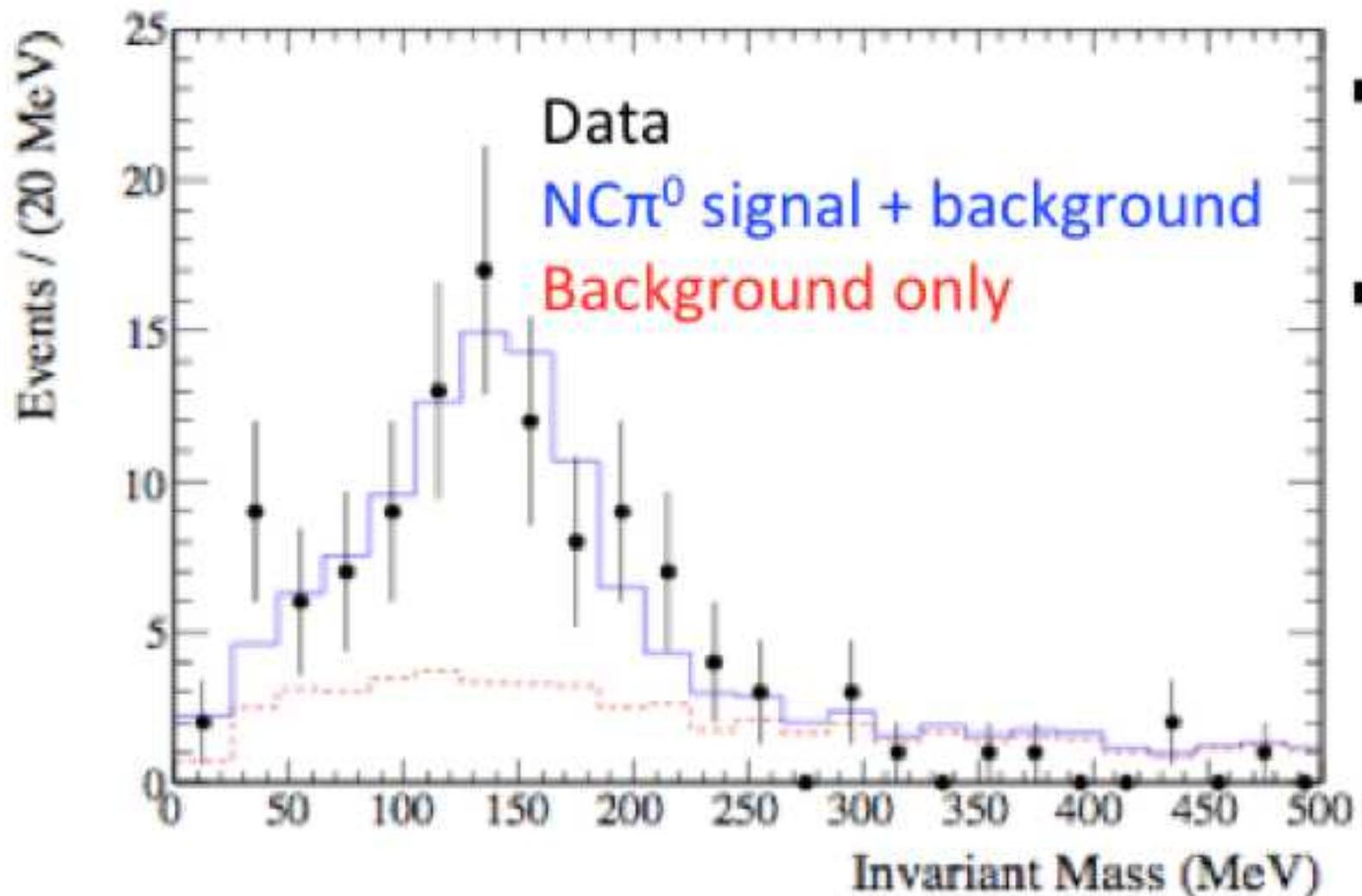
Neutrino Mode	Trkr. $\nu_\mu$	Trkr. $\nu_\mu$	SK $\nu_e$	SK $\nu_e$	SK $\nu_e$
	CCQE	CCnQE	Sig.	CC intrinsic Bgnd.	NC Bgnd.
$\pi^+ \rightarrow \nu_\mu + \mu^+$	82.2%	45.8%	99.3%	1.1%	70.3%
$\mu^+ \rightarrow \nu_e + e^+ + \bar{\nu}_\mu$	<1%	<1%	<0.1%	66.0%	<0.1%
$K^{+,0} \rightarrow \nu_e + X$	<1%	<1%	<0.1%	33.0%	<0.1%
$K^{+,0} \rightarrow \nu_\mu + X$	17.4%	53.4%	0.7%	-	29.7%

CC background from beam  $\nu_e$  is strongly correlated with  $\nu_\mu$  flux at ND:



# Neutrino interactions at ND and SK

Interaction Mode	Trkr. $\nu_\mu$ CCQE	Trkr. $\nu_\mu$ CCnQE	SK $\nu_e$ Sig.	SK $\nu_e$ Bgnd.
CCQE	76.6%	14.6%	85.8%	45.0%
CC1 $\pi$	15.6%	29.3%	13.7%	13.9%
CC coh.	1.9%	4.2%	0.3%	0.7%
CC other	4.1%	37.0%	0.2%	0.7%
NC	1.5%	5.3%	-	39.7%

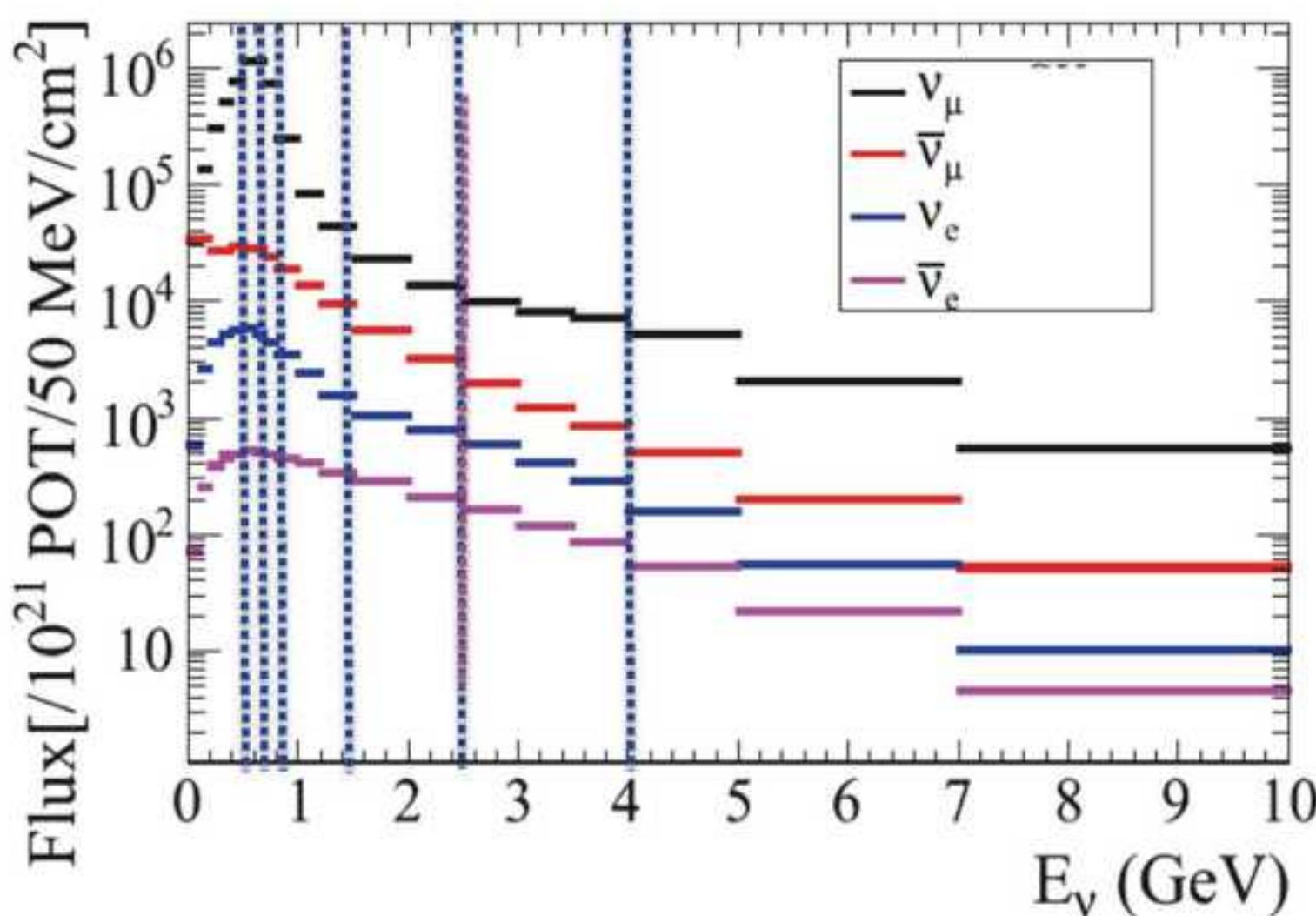
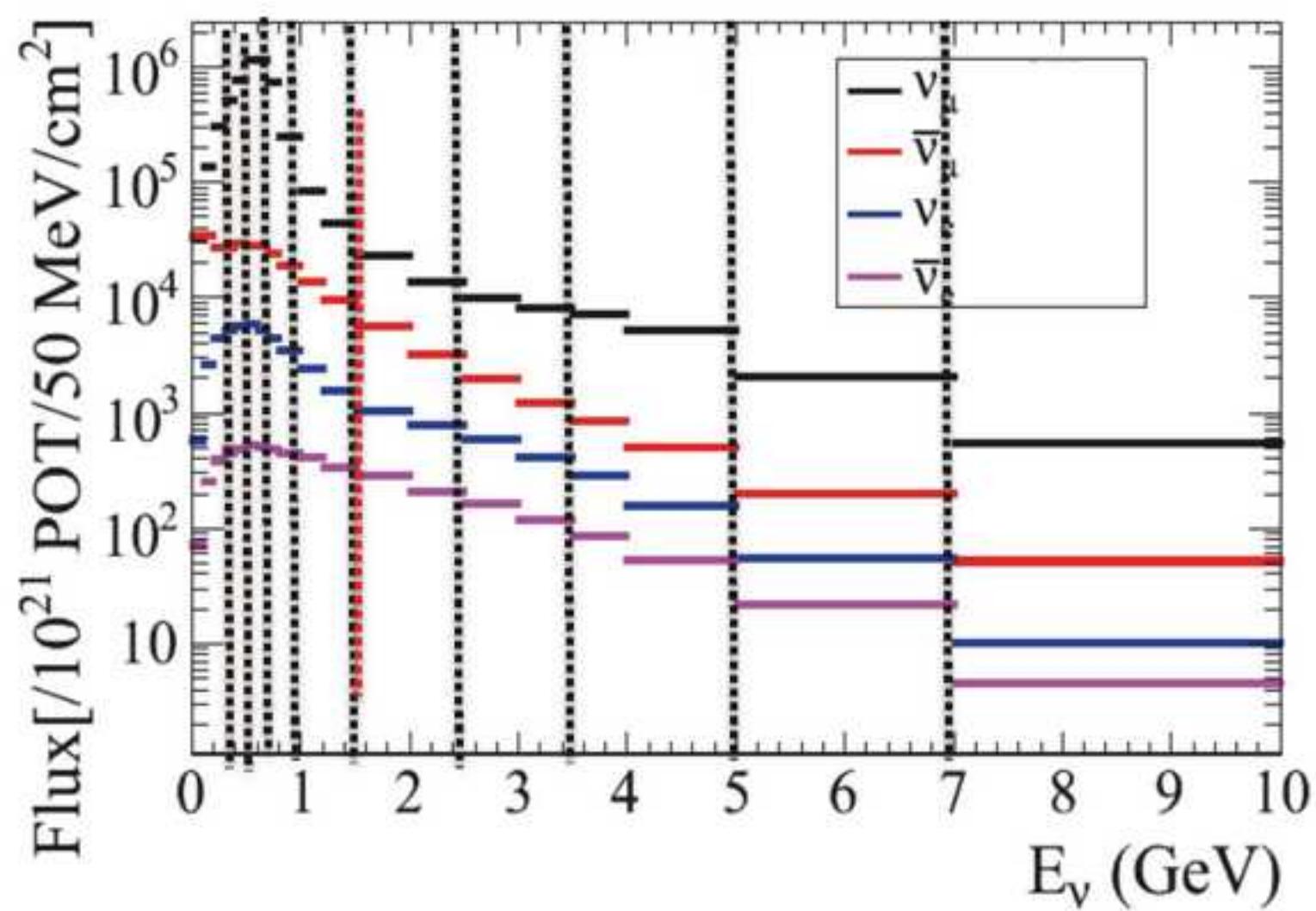


- Indirect constraint on NC ( $1\pi^0$ ) through CC1 $\pi$  in ND measurement
- Additional ND selection of NC $\pi^0$  with P0D detector to cross check rate prediction

# Core of analysis: Flux parameterization

## Neutrino flux prediction

Flux parameterization:  $f_i$   
 Normalization on  $E_\nu$  bin  $i$  for SK and ND samples



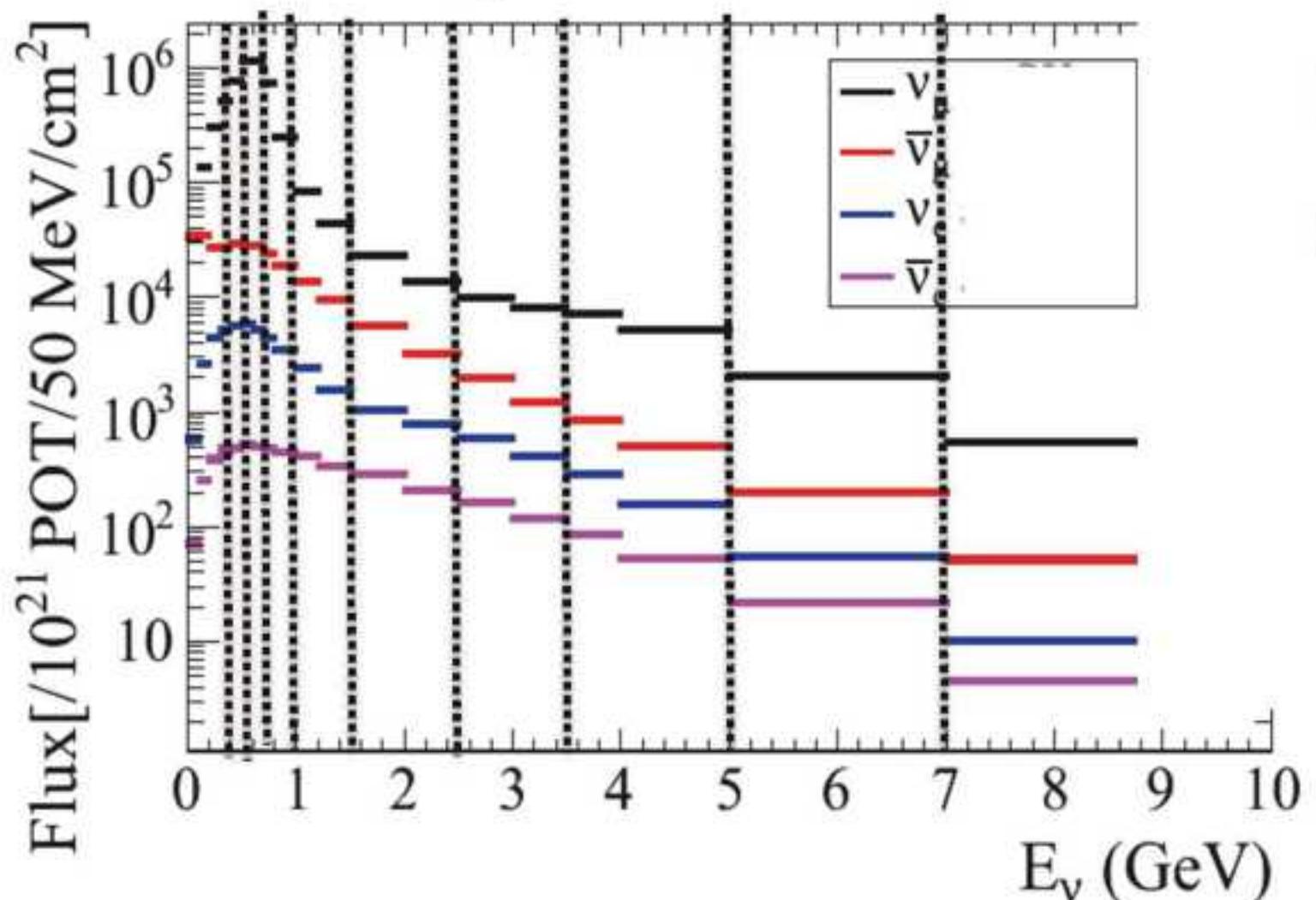
### Current binning for T2K flux:

- $\nu_\mu$ : 0.0-0.4, 0.4-0.5, 0.5-0.6, 0.6-0.7, 0.7-1.0, 1.0-1.5, 1.5-2.5, 2.5-3.5, 3.5-5.0, 5.0-7.0, 7.0-30.0 GeV (11 bins each ND and SK samples, index 0-10 and 11-21)
- $\bar{\nu}_\mu$ : 0.0-1.5, 1.5-30.0 GeV (2 bins, SK sample, index 22-23)
- $\nu_e$ : 0.0-0.5, 0.5-0.7, 0.7-0.8, 0.8-1.5, 1.5-2.5, 2.5-4.0, 4.0-30.0 GeV (7 bins, index 24-30)
- $\bar{\nu}_e$ : 0.0-2.5, 2.5-30.0 GeV (2 bins, SK sample, index 31-32)

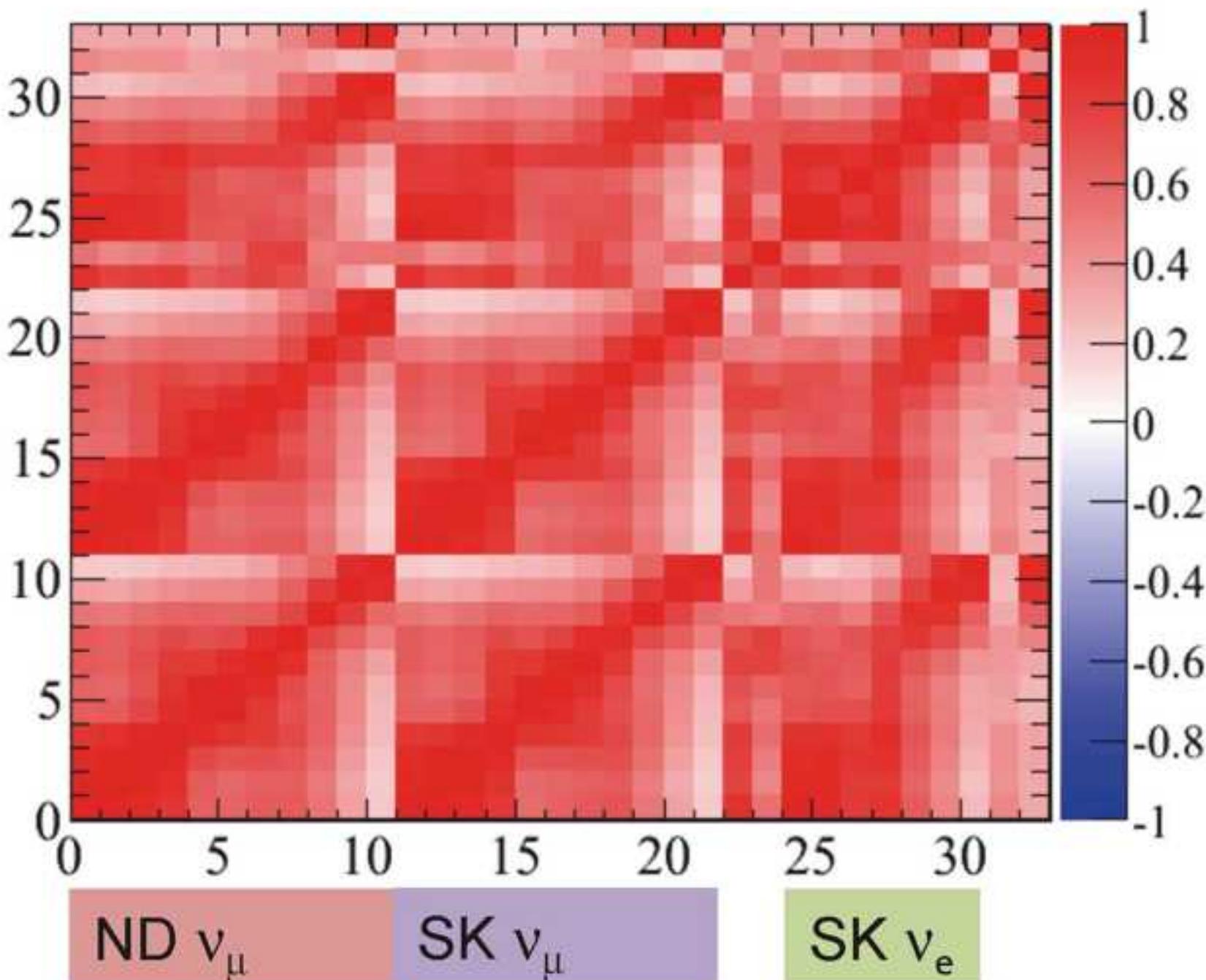
# Flux parameterization

## Neutrino flux prediction

Flux parameterization:  $f_i$   
Normalization on  $E_\nu$  bin  $i$  for SK  
and ND samples



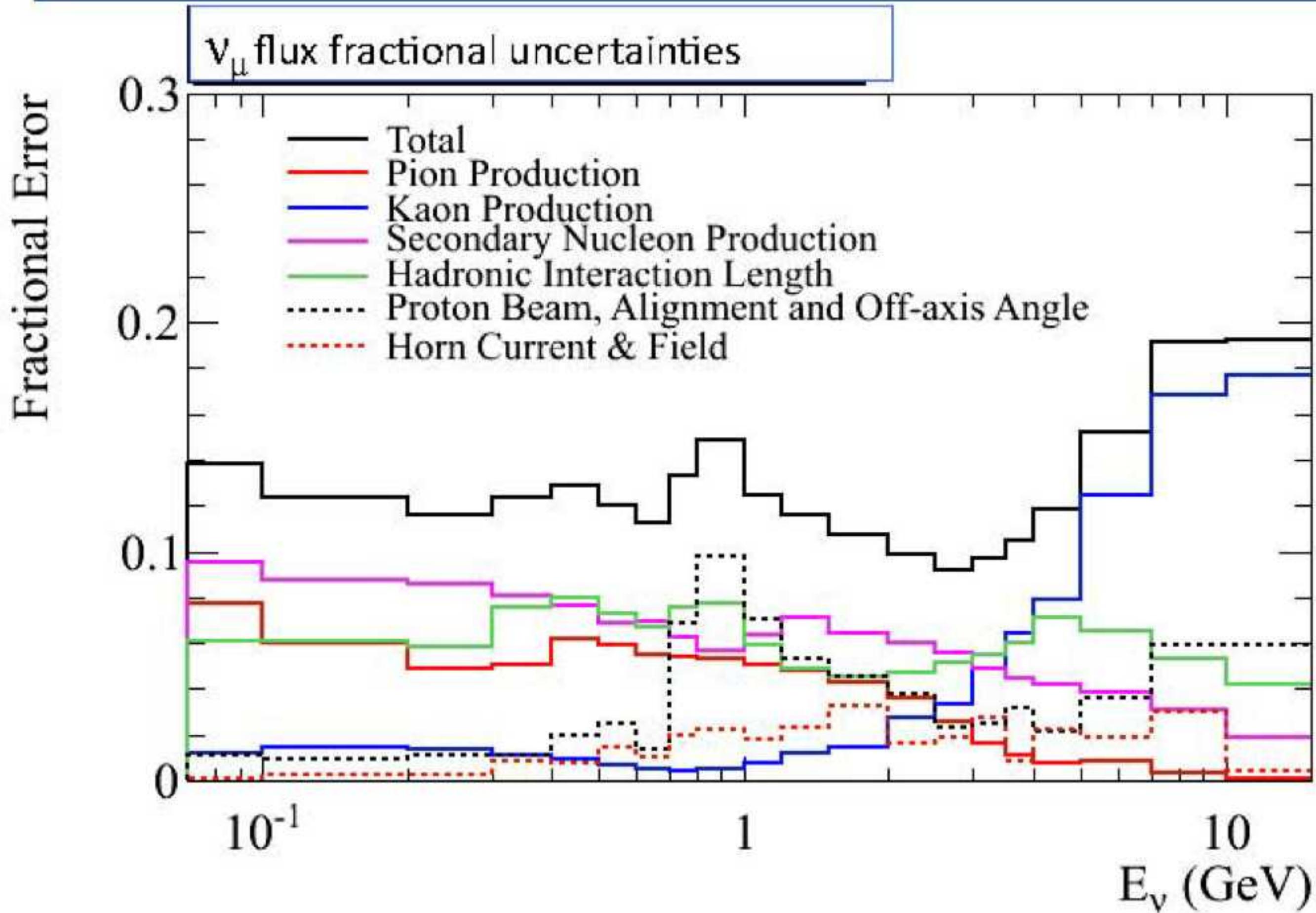
## Correlations between flux bins



Correlations in flux covariance are shared hadron production uncertainties

Flux covariance built from measurements of beam or external data (e.g. NA61)

# T2K neutrino flux uncertainties



# Cross section parameterization

Cross section parameterization:  $x_k$

Model parameters:

- MAQE and MARES (modify  $Q^2$  distribution of QE and resonant 1pi cross sections)
- Fermi momentum ( $p_F$ ) provides low  $Q^2$  handle, and is target dependant (C vs. O)
- Spectral function – RFG model-model difference is also target dependant

Normalizations provide overall scaling independent of  $Q^2$  on a particular interaction

Apply cross section to observables at ND, SK using reweighting techniques

$M_A^{QE}$ (GeV)	$1.21 \pm 0.45$	$1.19 \pm 0.19$
$M_A^{RES}$ (GeV)	$1.162 \pm 0.110$	$1.137 \pm 0.095$
CCQE Norm. 0-1.5 GeV	$1.000 \pm 0.110$	$0.941 \pm 0.087$
CCQE Norm. 1.5-3.5 GeV	$1.00 \pm 0.30$	$0.92 \pm 0.23$
CCQE Norm. >3.5 GeV	$1.00 \pm 0.30$	$1.18 \pm 0.25$
CC1 $\pi$ Norm. 0-2.5 GeV	$1.63 \pm 0.43$	$1.67 \pm 0.28$
CC1 $\pi$ Norm. >2.5 GeV	$1.00 \pm 0.40$	$1.10 \pm 0.30$
NC1 $\pi^0$ Norm.	$1.19 \pm 0.43$	$1.22 \pm 0.40$
Fermi Momentum (MeV/c)	$217 \pm 30$	$224 \pm 24$
Spectral Function	$0(\text{off}) \pm 1(\text{on})$	$0.04 \pm 0.21$
CC Other Shape (GeV)	$0.00 \pm 0.40$	$-0.05 \pm 0.35$

*Parameter value, uncertainty is determined from  
MiniBooNE single pion samples*

*Parameter value, uncertainty is extrapolated to SK sample*

# ND280 likelihood

$$\begin{aligned}
 -2\ln L = & 2 \sum_i^{p,\theta \text{ bins}} N_i^{pred}(\vec{f}, \vec{x}, \vec{d}) - N_i^{data} + N_i^{data} \ln[N_i^{data}/N_i^{pred}(\vec{f}, \vec{x}, \vec{d})] \\
 & + \sum_j^{E_\nu \text{ bins}} \sum_k^{E_\nu \text{ bins}} (1 - f_j)(V_f^{-1})_{j,k}(1 - f_k) \\
 & + \sum_l^{xsec \text{ pars}} \sum_m^{xsec \text{ pars}} (x_{nom} - x_l)(V_x^{-1})_{l,m}(x_{nom} - x_m) \\
 & + \sum_i^{p,\theta \text{ bins}} \sum_n^{p,\theta \text{ bins}} (1 - d_i)(V_d^{-1})_{i,n}(1 - d_n) \\
 & + \ln\left(\frac{|V_d(\vec{f}, \vec{x})|}{|V_d^{nom}|}\right)
 \end{aligned}$$

ND280 constraint  
 $\ln L_{ND280}(\vec{f}, \vec{x}, \vec{d})$

+

Neutrino flux term  
 $\ln L_{flux}(\vec{f})$

+

Neutrino xsec term  
 $\ln L_{xsec}(\vec{x})$

# ND280 likelihood

$$-2\ln L = 2 \sum_i^{p,\theta \text{ bins}} N_i^{pred}(\vec{f}, \vec{x}, \vec{d}) - N_i^{data} + N_i^{data} \ln[N_i^{data}/N_i^{pred}(\vec{f}, \vec{x}, \vec{d})]$$

$$+ \sum_j^{E_\nu \text{ bins}} \sum_k^{E_\nu \text{ bins}} (1 - f_j)(V_f^{-1})_{j,k}(1 - f_k)$$

$$\ln L_{flux}(\vec{f})$$

$$+ \sum_l^{xsec \text{ pars}} \sum_m^{xsec \text{ pars}} (x_{nom} - x_l)(V_x^{-1})_{l,m}(x_{nom} - x_m)$$

$$\ln L_{xsec}(\vec{x})$$

$$+ \sum_i^{p,\theta \text{ bins}} \sum_n^{p,\theta \text{ bins}} (1 - d_i)(V_d^{-1})_{i,n}(1 - d_n)$$

$$+ \ln\left(\frac{|V_d(\vec{f}, \vec{x})|}{|V_d^{nom}|}\right)$$

Prior constraint terms for **flux**, **cross section** parameters

- $V_f$  and  $V_x$  are covariance matrices
- Determined using in-situ and external datasets:  
beam monitors, NA61, MiniBooNE

# ND280 likelihood

$$-2\ln L = 2 \sum_i^{p,\theta \text{ bins}} N_i^{pred}(\vec{f}, \vec{x}, \vec{d}) - N_i^{data} + N_i^{data} \ln[N_i^{data}/N_i^{pred}(\vec{f}, \vec{x}, \vec{d})]$$

$$+ \sum_j^{E_\nu \text{ bins}} \sum_k^{E_\nu \text{ bins}} (1 - f_j)(V_f^{-1})_{j,k}(1 - f_k)$$

$$+ \sum_l^{xsec \text{ pars}} \sum_m^{xsec \text{ pars}} (x_{nom} - x_l)(V_x^{-1})_{l,m}(x_{nom} - x_m)$$

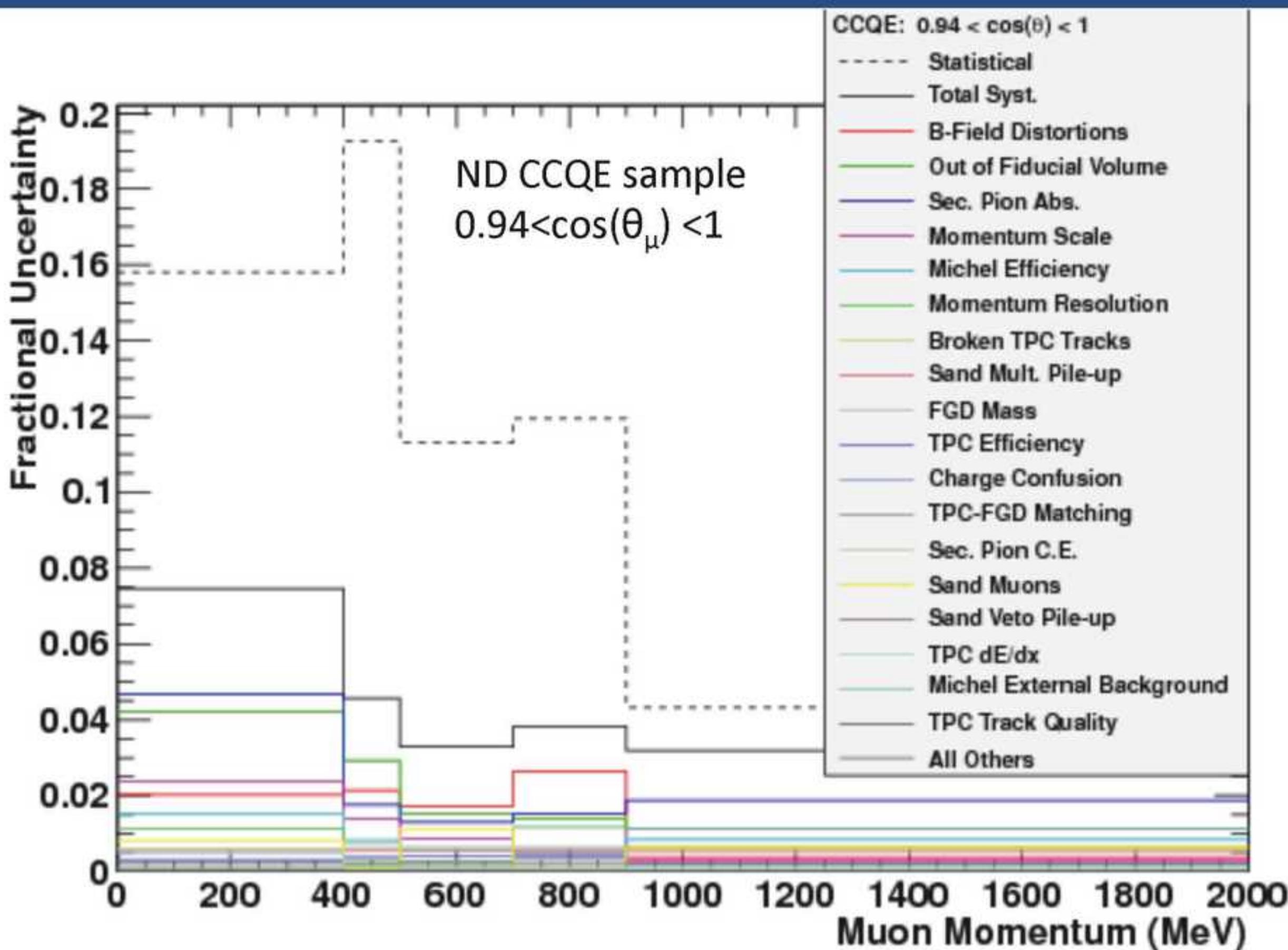
$$+ \sum_i^{p,\theta \text{ bins}} \sum_n^{p,\theta \text{ bins}} (1 - d_i)(V_d^{-1})_{i,n}(1 - d_n)$$

$$+ \ln\left(\frac{|V_d(\vec{f}, \vec{x})|}{|V_d^{nom}|}\right)$$

Prior constraint likelihood terms for  
detector systematic errors

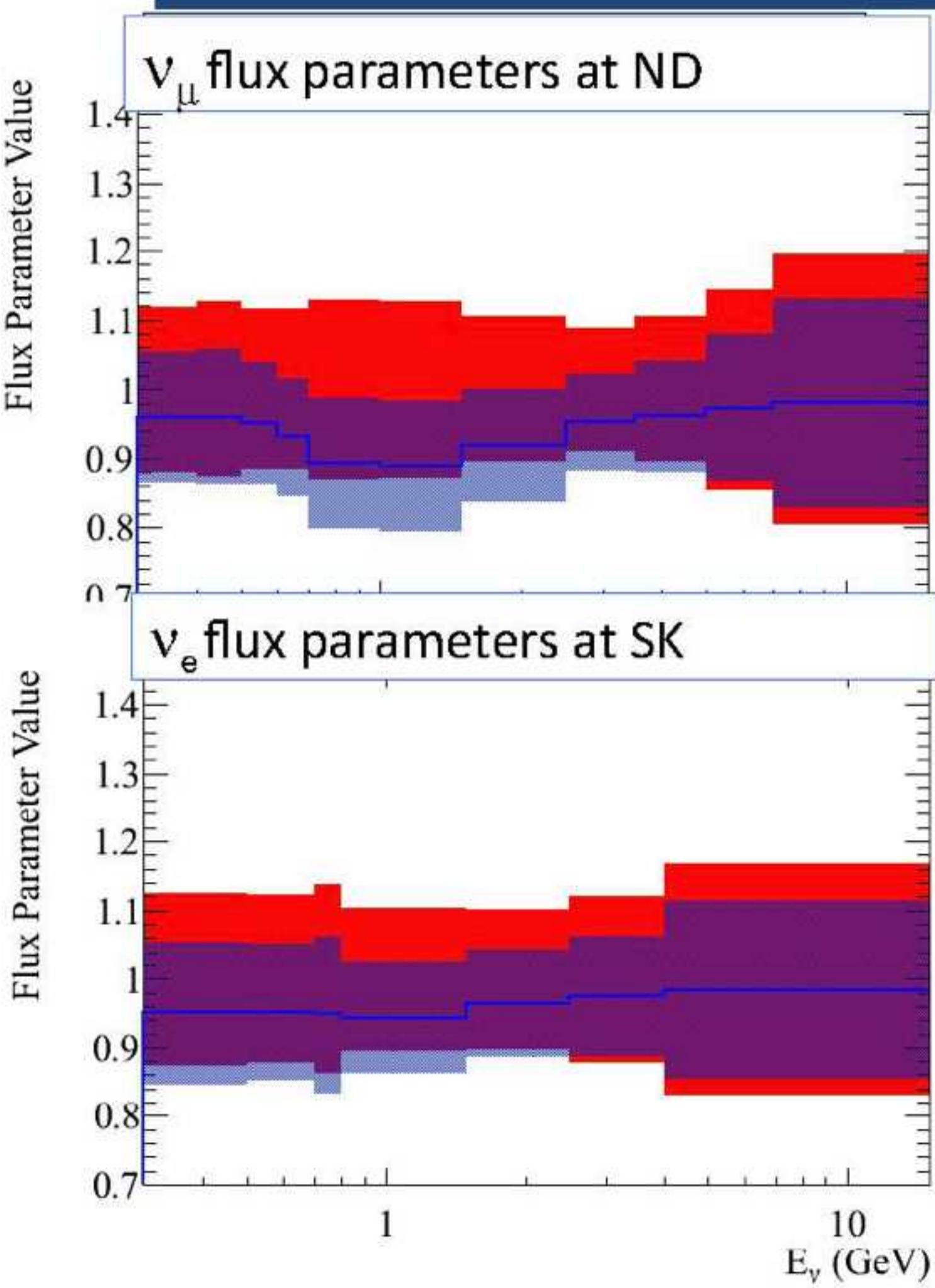
- Also includes uncertainties (e.g. FSI) which could not be otherwise easily parameterized
- Determined from control samples, calibration data, and external pion scattering data

# Detector systematic errors

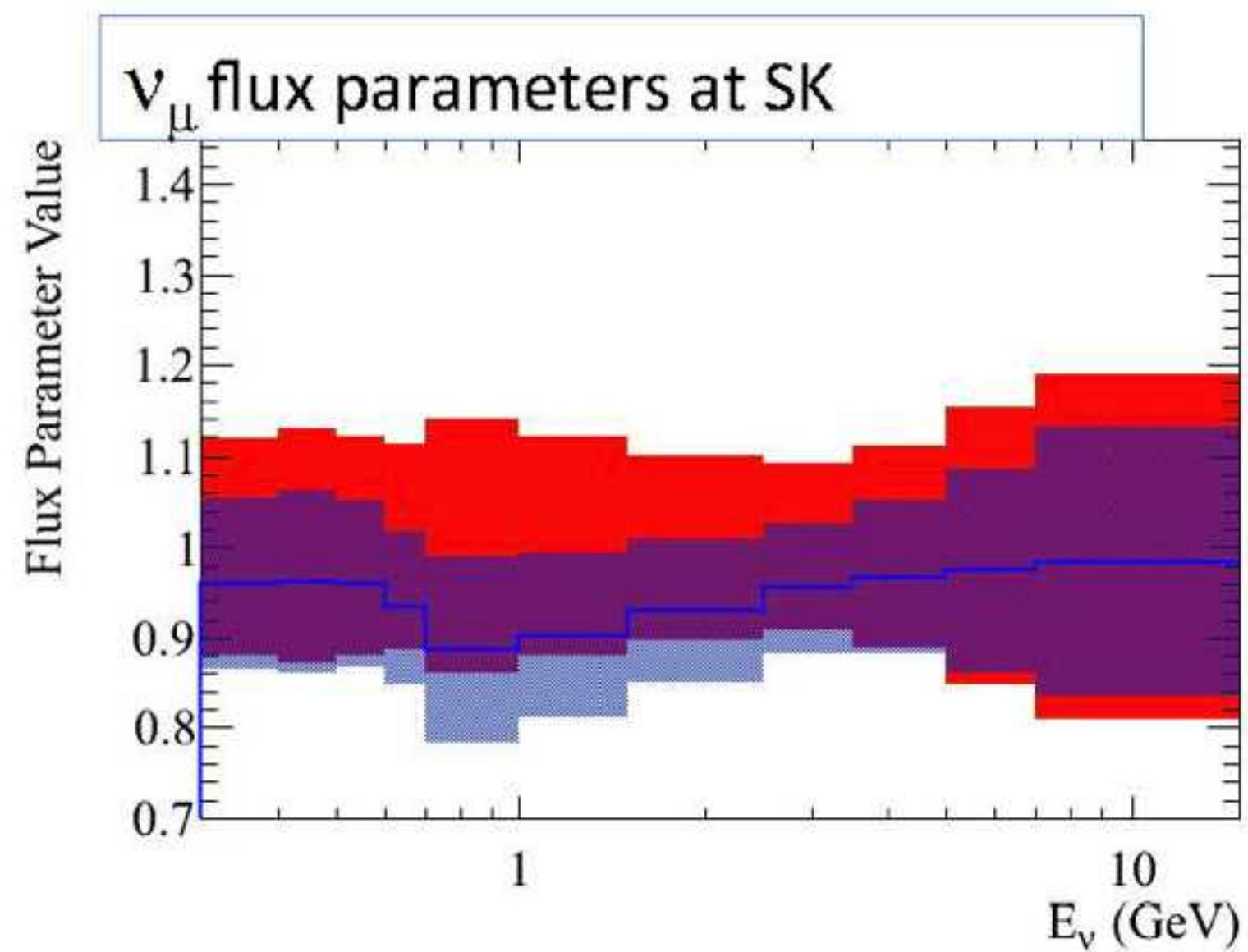


Fractional systematic uncertainty for vs. momentum

# Flux parameters change after ND measurement

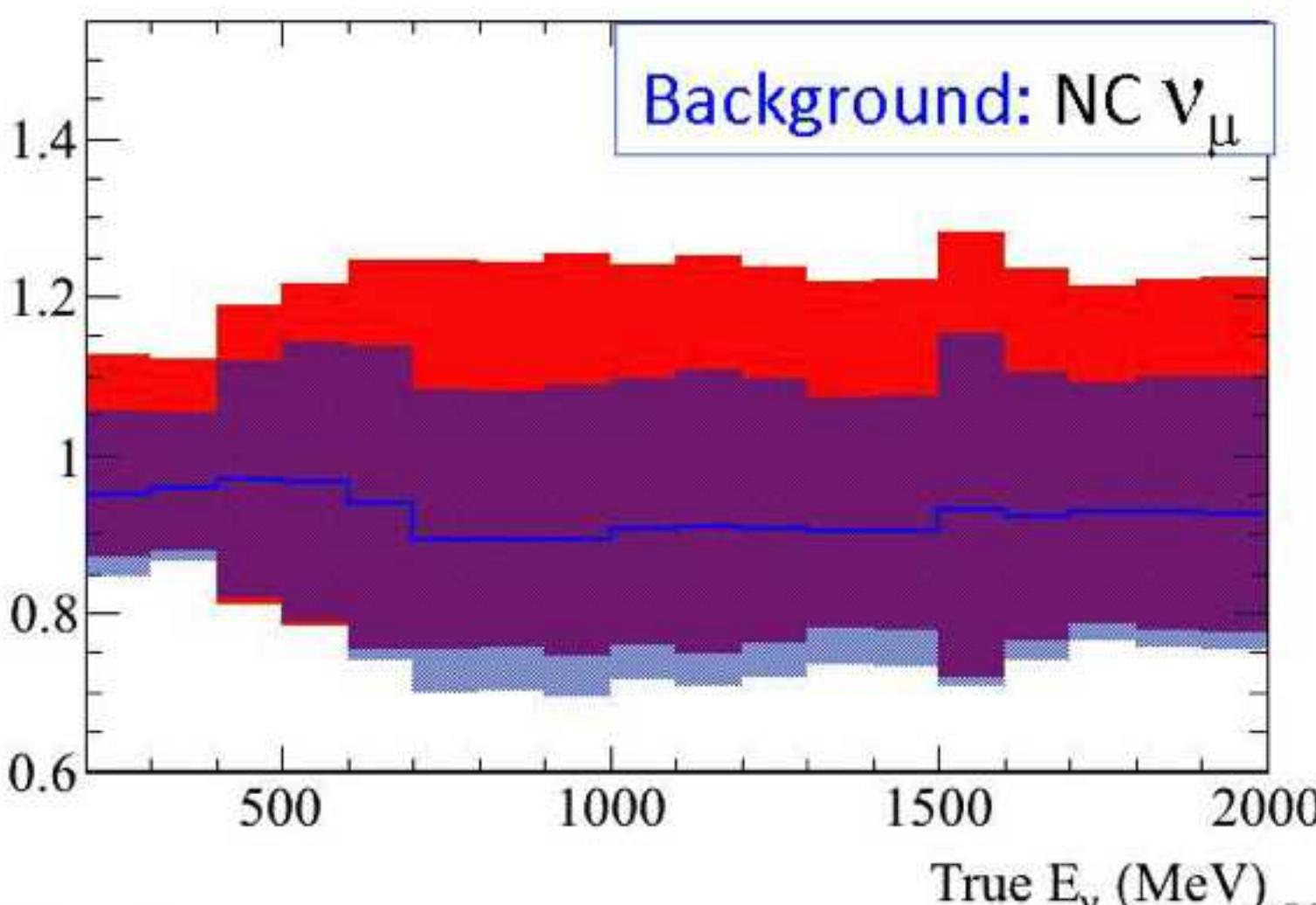
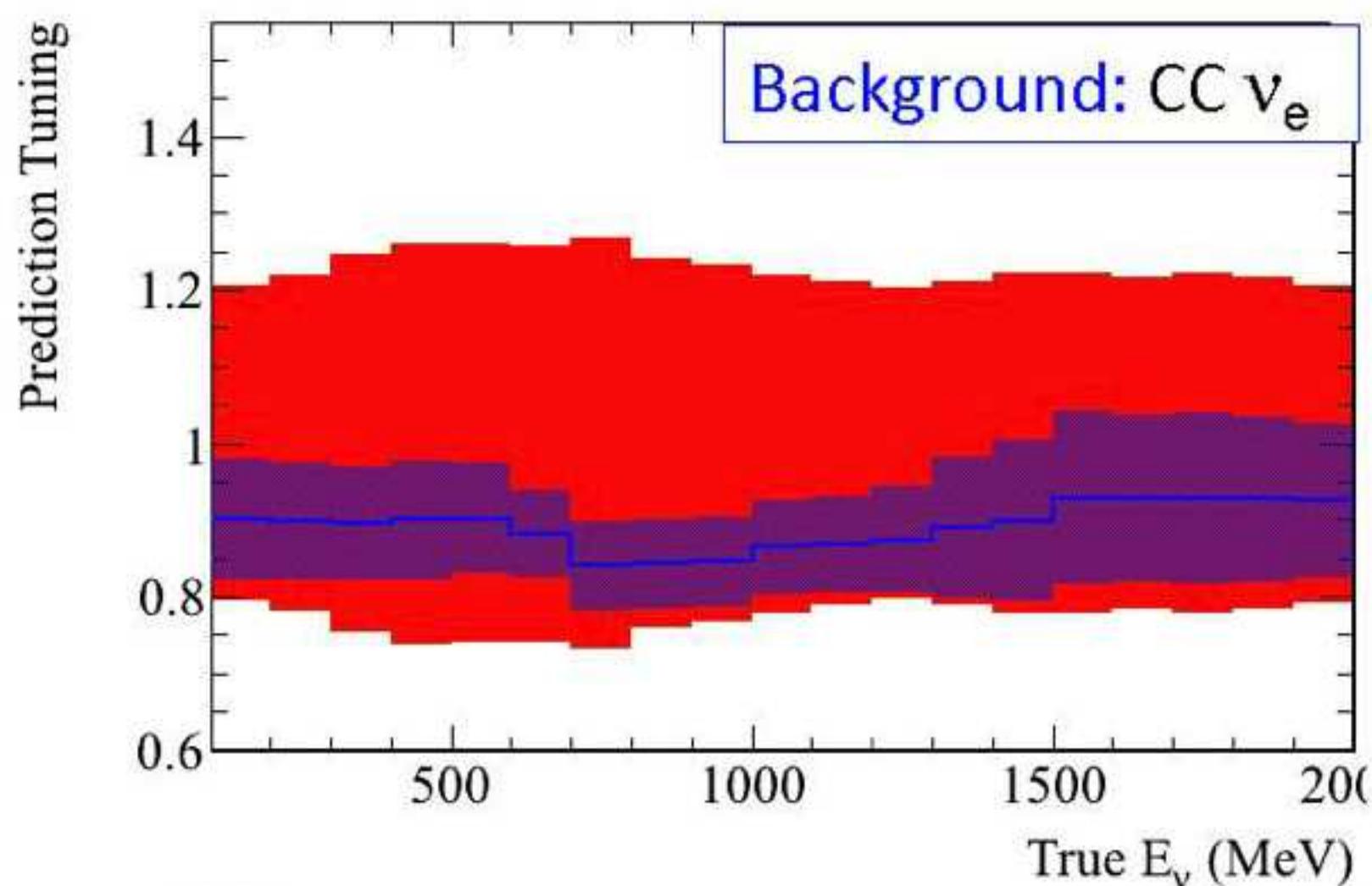
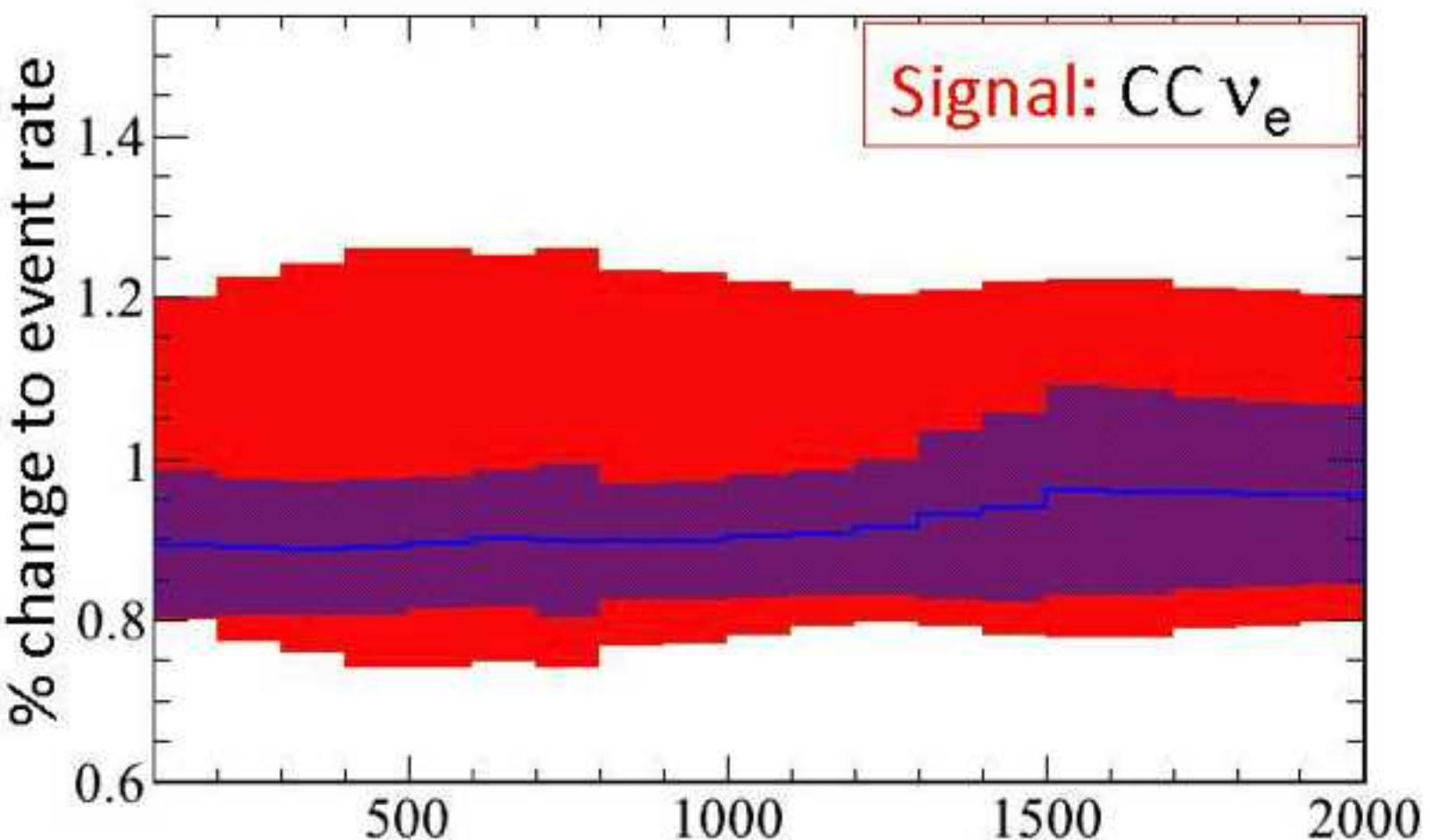


- Flux parameters  
without ND measurement and  
with ND measurement

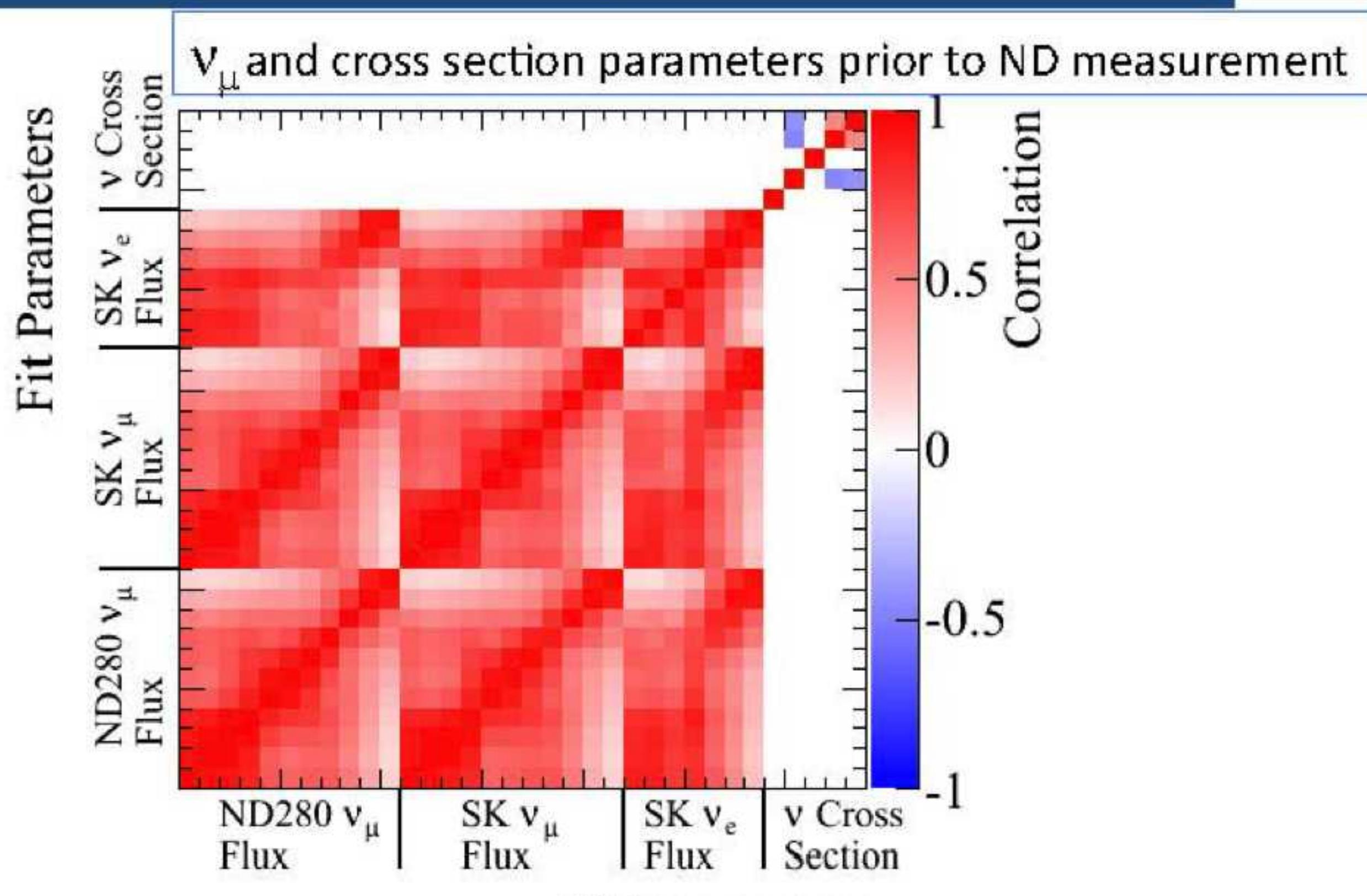


# Effect of ND measurement on $\nu_e$ signal, background

- Rate of  $\nu_e$  signal and backgrounds **without ND measurement** and **with ND measurement**
- Uncertainty envelope from constrained flux, cross section parameters
- Includes correlation between flux and cross section at ND, SK



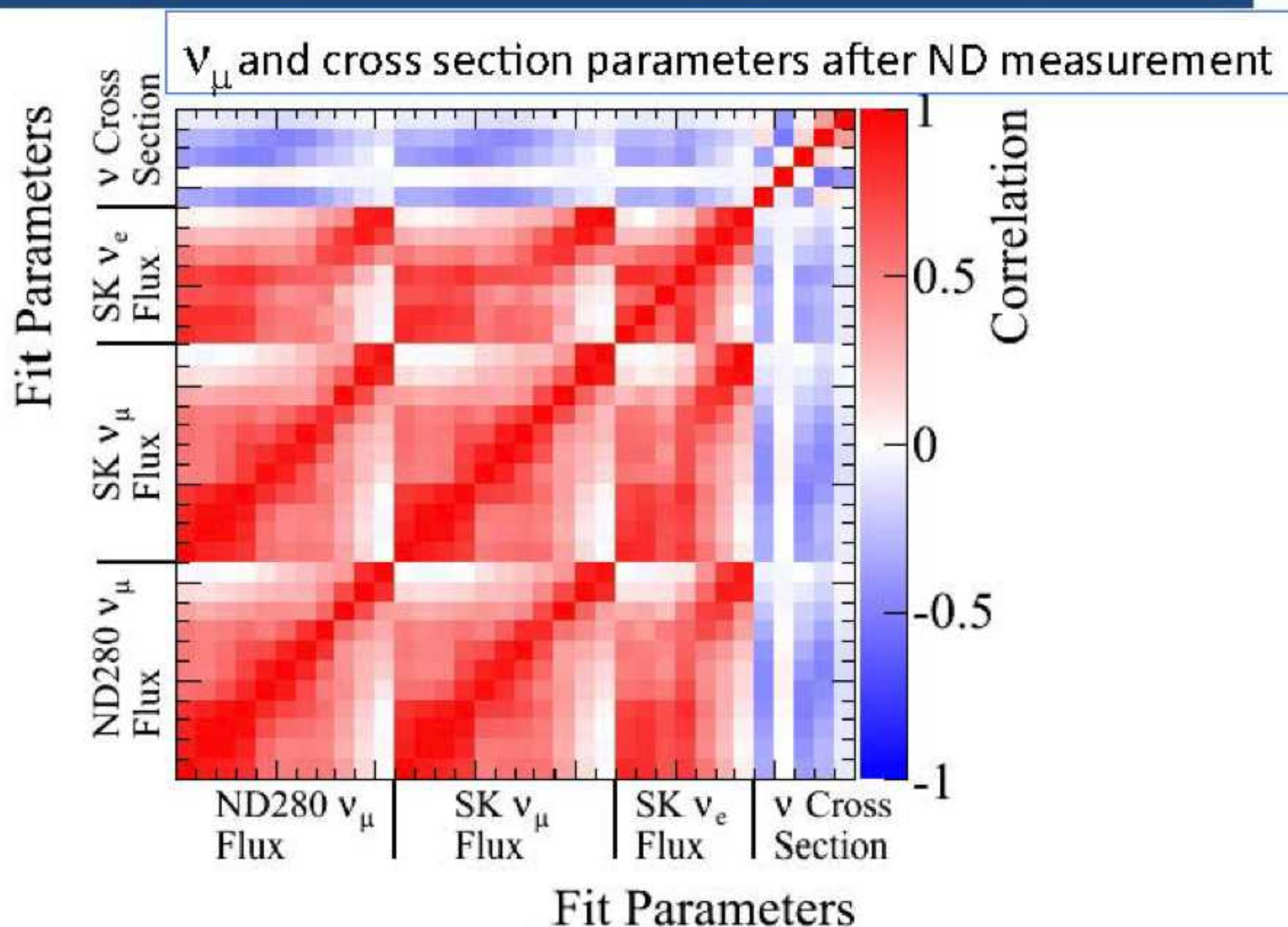
# Covariance



$\nu_\mu, \nu_e$  fluxes are correlated according to external data and how flux at ND, SK is shared

Correlations between ND, SK shared cross section parameters determined from external data fits

# Covariance post-ND measurement



Flux and shared cross section become correlated

# Hyper-K timeline

## Schedule

assuming budget being approved from JPY2016

A. Minamino  
NuInt12

