

Focus Week: Neutrino Mass, 17-21 March 2008, Tokyo, Japan  
Institute for Physics and Mathematics of the Universe (IPMU)



**Collective Effects in  
Supernova Neutrino Oscillations**

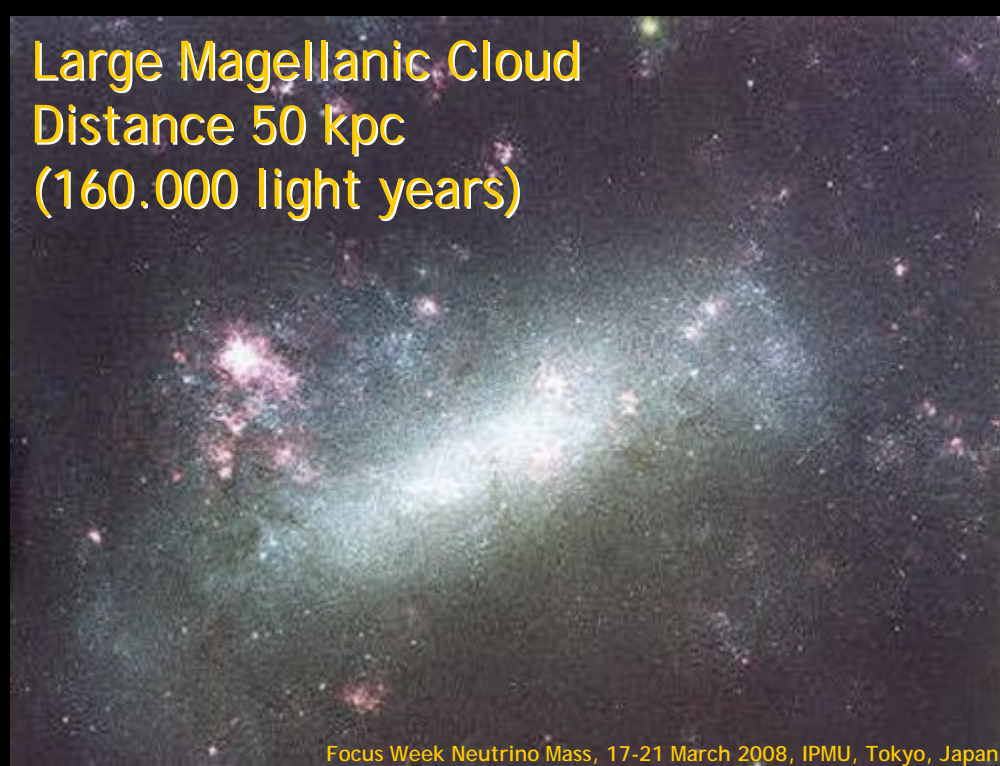
Georg Raffelt, Max-Planck-Institut für Physik, München



# Sanduleak -69 202



Tarantula Nebula



Large Magellanic Cloud  
Distance 50 kpc  
(160.000 light years)



Sanduleak -69 202



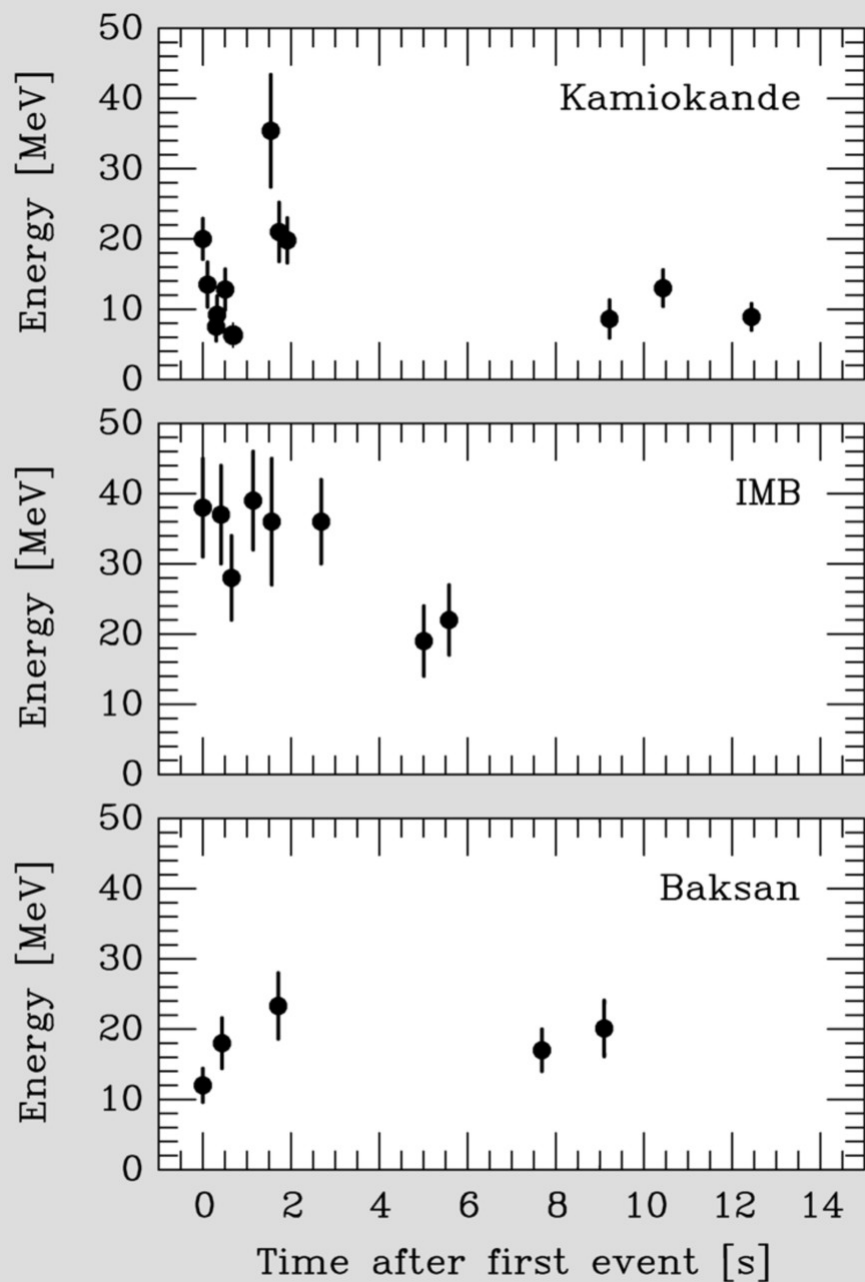
Supernova 1987A

23 February 1987





# Neutrino Signal of Supernova 1987A



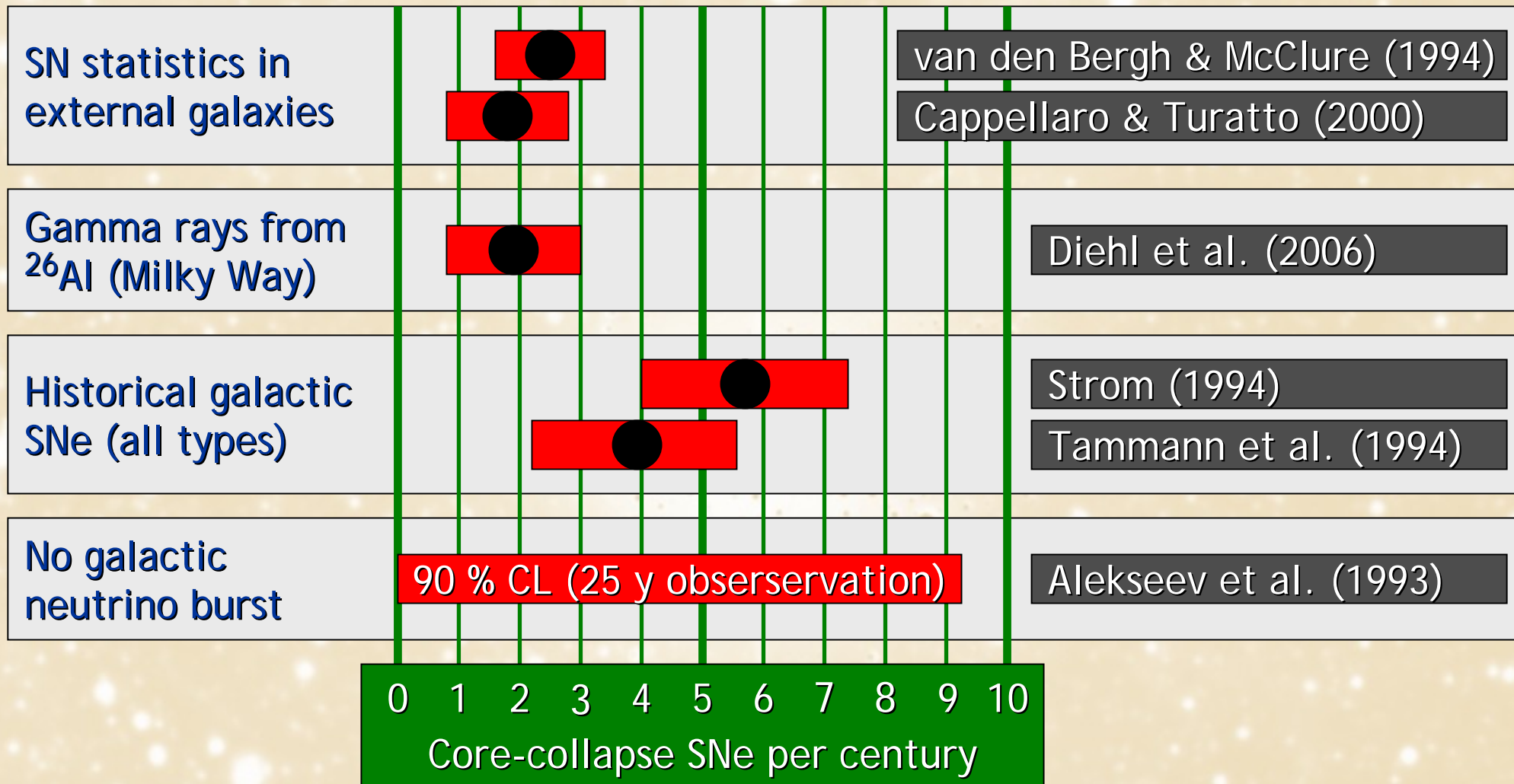
Kamiokande-II (Japan)  
Water Cherenkov detector  
2140 tons  
Clock uncertainty  $\pm 1$  min

Irvine-Michigan-Brookhaven (US)  
Water Cherenkov detector  
6800 tons  
Clock uncertainty  $\pm 50$  ms

Baksan Scintillator Telescope  
(Soviet Union), 200 tons  
Random event cluster  $\sim 0.7/\text{day}$   
Clock uncertainty  $+2/-54$  s

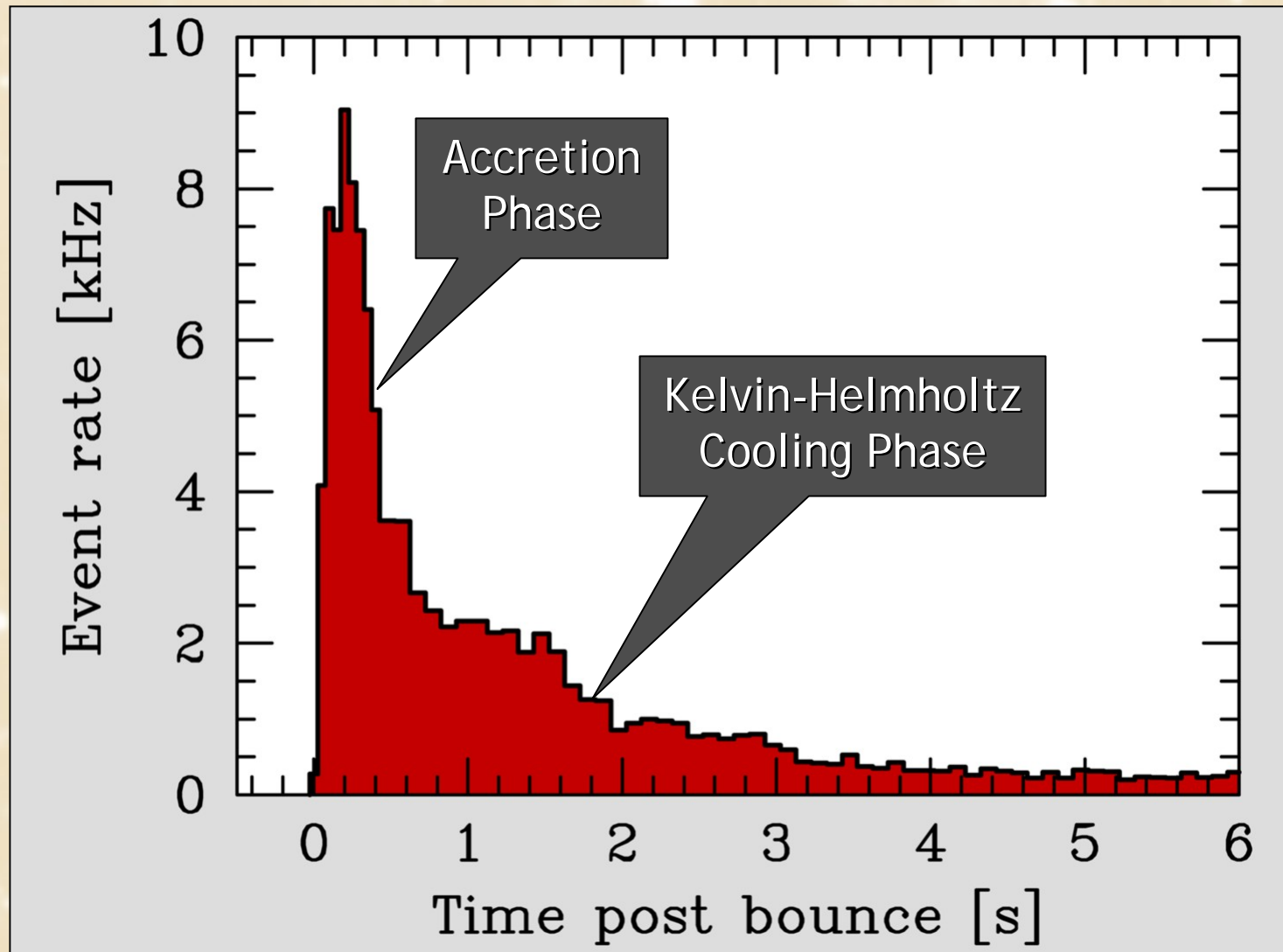
Within clock uncertainties,  
signals are contemporaneous

# Core-Collapse SN Rate in the Milky Way



References: van den Bergh & McClure, ApJ 425 (1994) 205. Cappellaro & Turatto, astro-ph/0012455. Diehl et al., Nature 439 (2006) 45. Strom, Astron. Astrophys. 288 (1994) L1. Tammann et al., ApJ 92 (1994) 487. Alekseev et al., JETP 77 (1993) 339 and my update.

# Simulated Supernova Signal at Super-Kamiokande



Simulation for Super-Kamiokande SN signal at 10 kpc,  
based on a numerical Livermore model  
[Totani, Sato, Dalhed & Wilson, ApJ 496 (1998) 216]

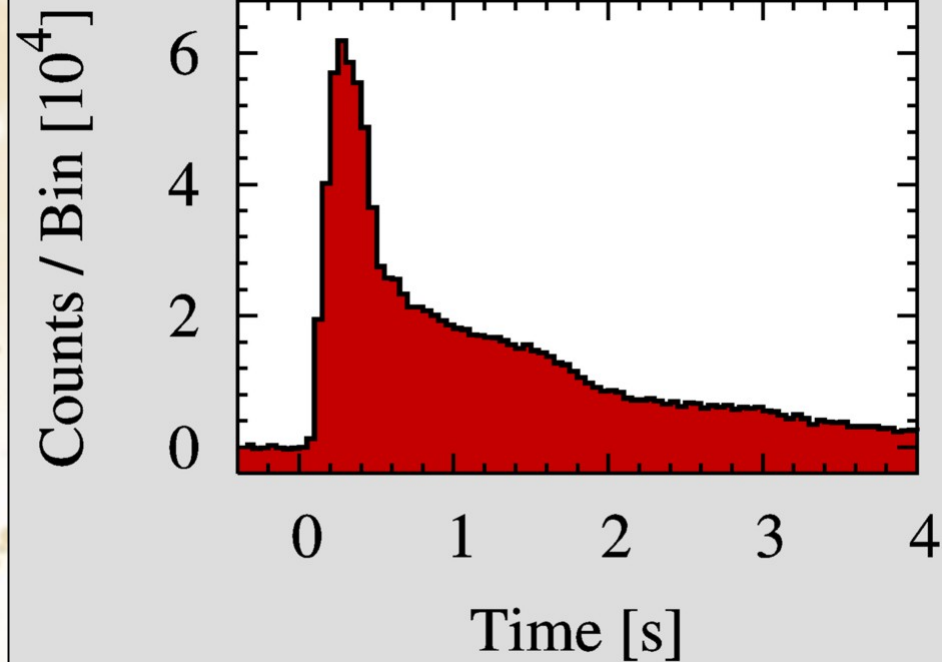
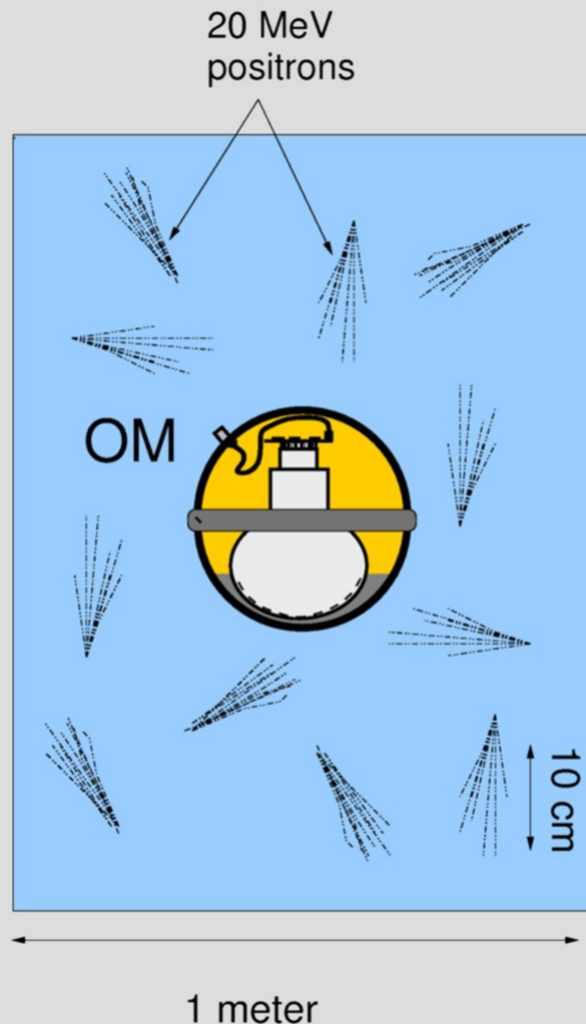
# IceCube as a Supernova Neutrino Detector

Each optical module (OM) picks up Cherenkov light from its neighborhood. SN appears as “correlated noise”.

- About 300 Cherenkov photons per OM from a SN at 10 kpc

- Noise per OM < 260 Hz

- Total of 4800 OMs in IceCube



IceCube SN signal at 10 kpc, based on a numerical Livermore model [Dighe, Keil & Raffelt, hep-ph/0303210]

Method first discussed by

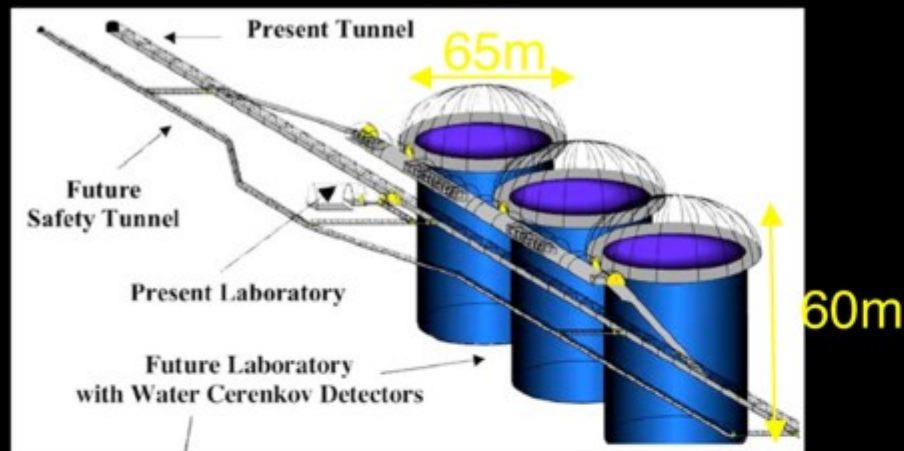
- Pryor, Roos & Webster, ApJ 329:355 (1988)
- Halzen, Jacobsen & Zas astro-ph/9512080



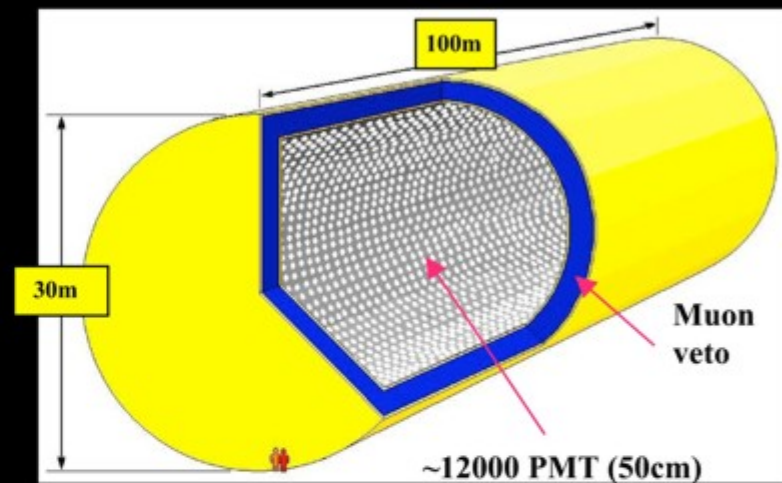
# LAGUNA - Approved FP7 Design Study

Large Apparati for Grand Unification and Neutrino Astrophysics  
(see also arXiv:0705.0116)

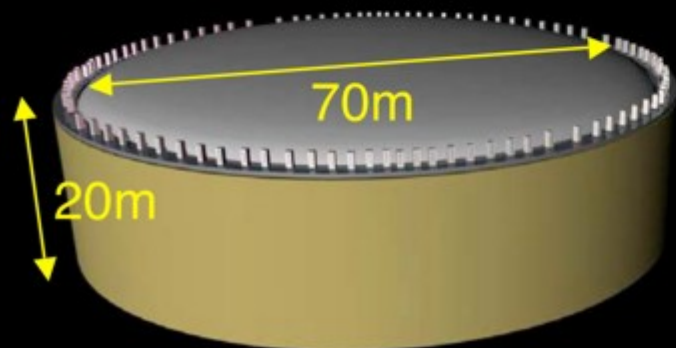
- Three types of large multi-purpose underground detectors with astrophysical program



Water Cherenkov ( $\approx 0.5 \rightarrow 1$  Mton)  
MEMPHYS



Liquid Scintillator ( $\rightarrow 50$  kton)  
LENA

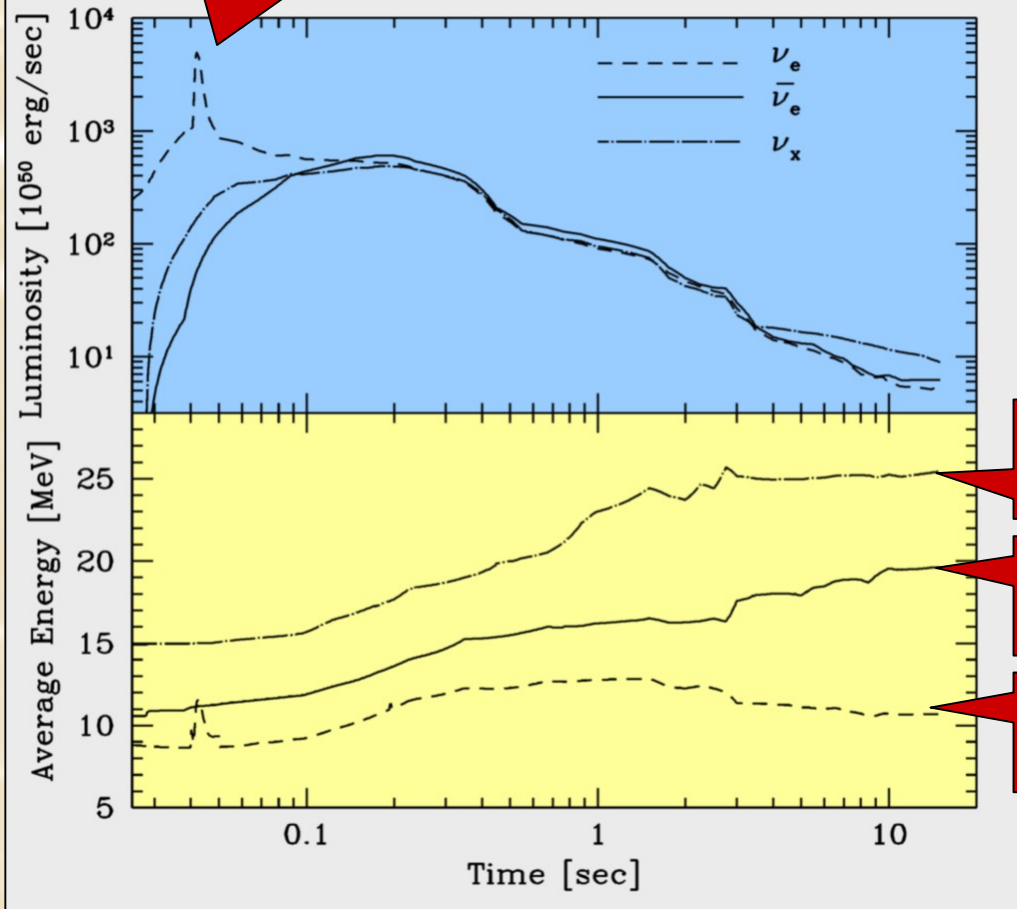


Liquid Argon ( $\approx 10 \rightarrow 100$  kton)  
GLACIER



# Flavor-Dependent Fluxes and Spectra

Prompt  $\nu_e$   
deleptonization  
burst



Livermore numerical model  
ApJ 496 (1998) 216

Broad characteristics

- Duration a few seconds
- $\langle E_\nu \rangle \sim 10\text{--}20$  MeV
- $\langle E_\nu \rangle$  increases with time
- Hierarchy of energies
 
$$\langle E_{\nu_e} \rangle < \langle E_{\bar{\nu}_e} \rangle < \langle E_{\nu_x} \rangle$$
- Approximate equipartition of energy between flavors
- Hierarchy of number fluxes

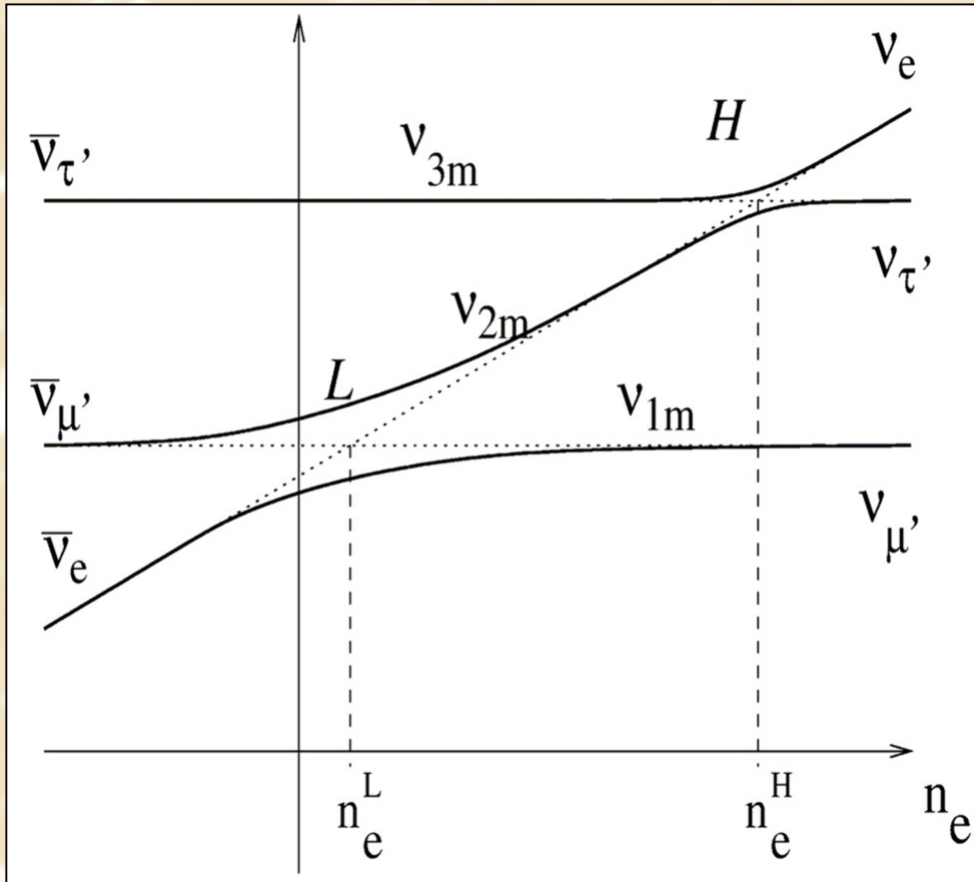
$$\langle F_{\nu_e} \rangle > \langle F_{\bar{\nu}_e} \rangle > \langle F_{\nu_x} \rangle$$

Livermore simulation almost certainly exaggerates the flavor-dependent differences, but no other long-term simulation available

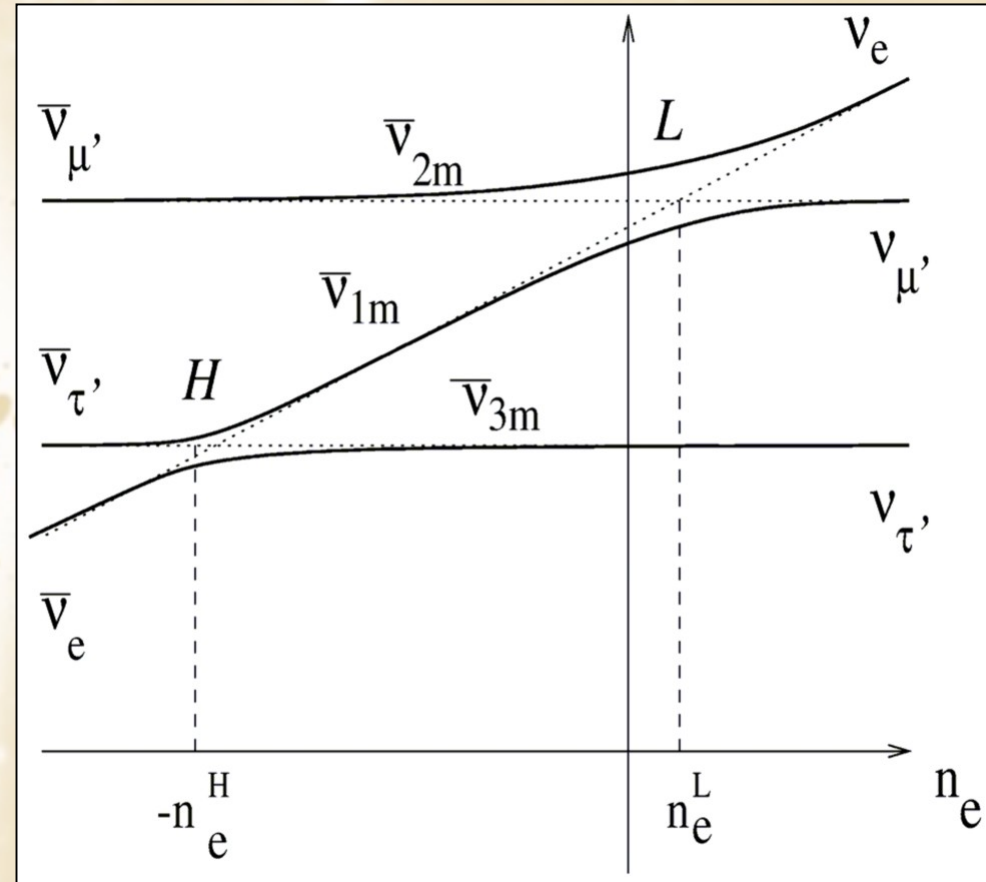


# Level-Crossing Diagram in a SN Envelope

Normal mass hierarchy



Inverted mass hierarchy



Dighe & Smirnov, Identifying the neutrino mass spectrum from a supernova neutrino burst, astro-ph/9907423



# Spectra Emerging from Supernovae

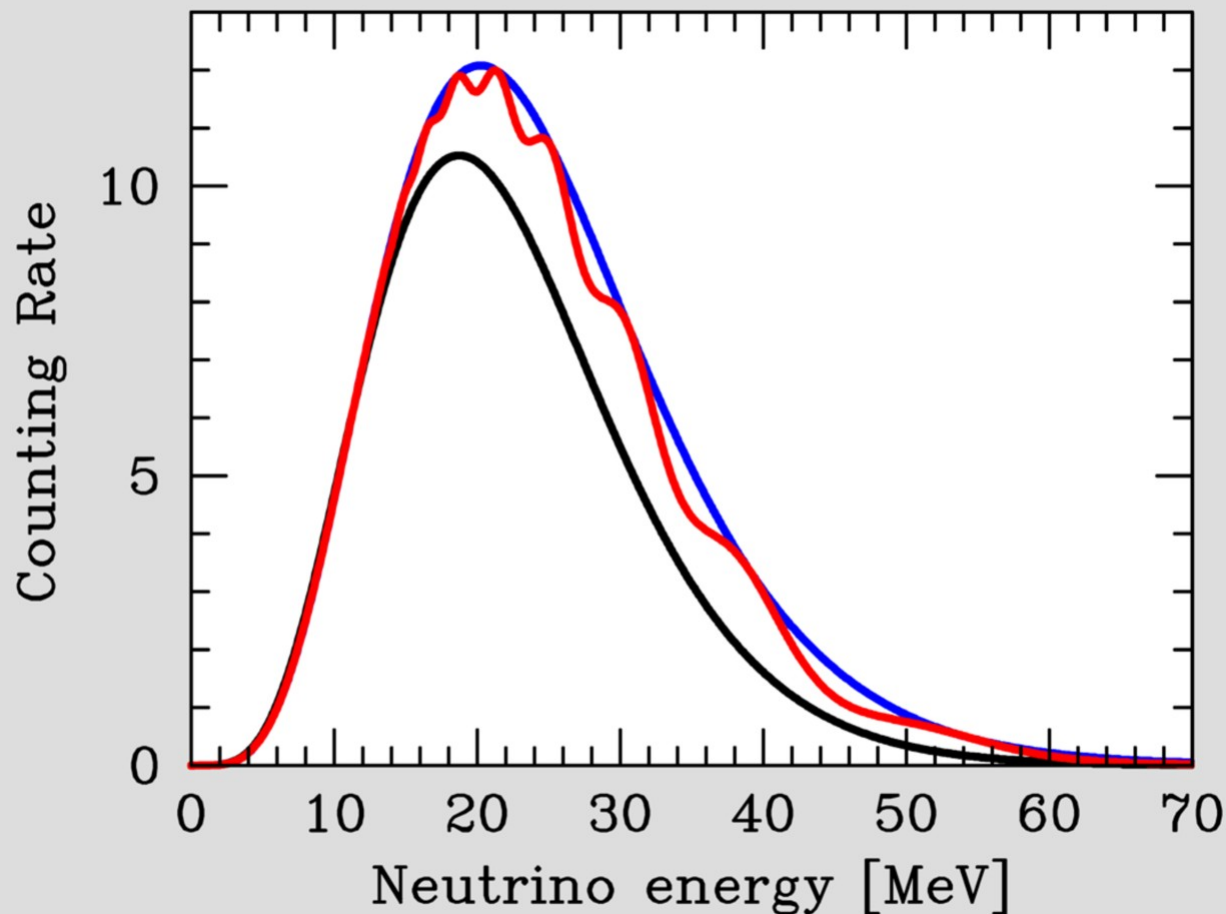
Primary fluxes	$F_e^0$ for $\nu_e$ $F_{\bar{e}}^0$ for $\bar{\nu}_e$ $F_x^0$ for $\nu_\mu, \bar{\nu}_\mu, \nu_\tau, \bar{\nu}_\tau$
After leaving the supernova envelope, the fluxes are partially swapped	$F_e^0 = p F_e^0 + (1-p) F_x^0$ $F_{\bar{e}}^0 = \bar{p} F_{\bar{e}}^0 + (1-\bar{p}) F_x^0$ $\frac{1}{4} \sum F_x = \frac{2+p+\bar{p}}{4} F_x^0 + \frac{1-p}{4} F_e^0 + \frac{1-\bar{p}}{4} F_{\bar{e}}^0$

Case	Mass ordering	$\sin^2(2\Theta_{13})$	Survival probability	
			$p$ (for $\nu_e$ )	$\bar{p}$ (for $\bar{\nu}_e$ )
A	Normal	$\gtrsim 10^{-3}$	0	$\cos^2(\Theta_{12}) \approx 0.7$
B	Inverted		$\sin^2(\Theta_{12}) \approx 0.3$	0
C	Any	$\lesssim 10^{-5}$	$\sin^2(\Theta_{12}) \approx 0.3$	$\cos^2(\Theta_{12}) \approx 0.7$



# Oscillation of Supernova Anti-Neutrinos

Measured  $\bar{\nu}_e$  spectrum at a detector like Super-Kamiokande



Assumed flux parameters

Flux ratio  $\bar{\nu}_e : \bar{\nu}_\mu = 0.8 : 1$

$\langle E(\bar{\nu}_e) \rangle = 15 \text{ MeV}$

$\langle E(\bar{\nu}_x) \rangle = 18 \text{ MeV}$

Mixing parameters

$\Delta m_{\text{sun}}^2 = 60 \text{ meV}^2$

$\sin^2(2\theta) = 0.9$

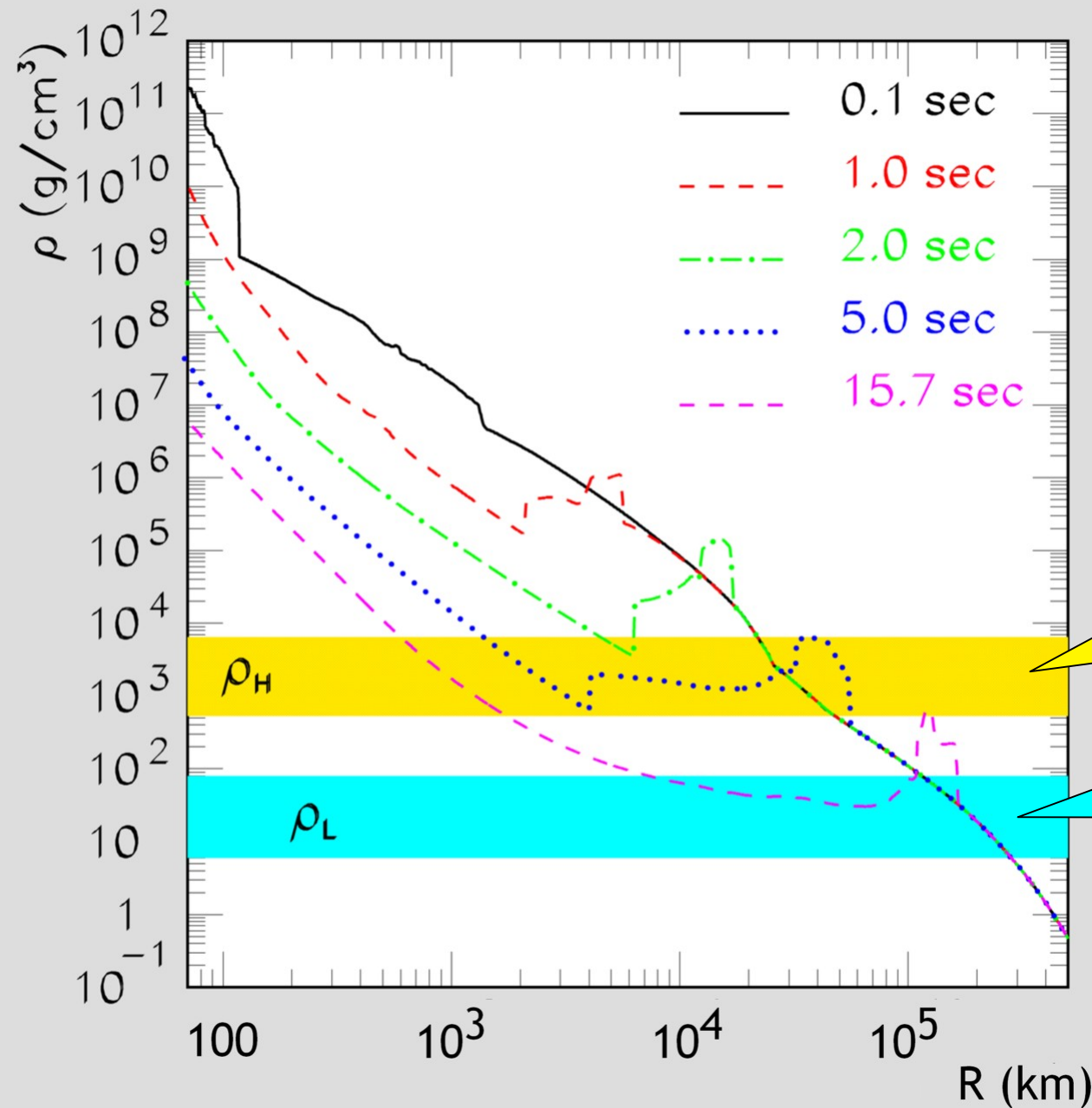
No oscillations

Oscillations in SN envelope

Earth effects included

$\Pi$ (Dighe, Kachelriess, Keil, Raffelt, Semikoz, Tomàs),  
hep-ph/0303210, hep-ph/0304150, hep-ph/0307050, hep-ph/0311172

# H- and L-Resonance for MSW Oscillations



R. Tomàs, M. Kachelriess,  
G. Raffelt, A. Dighe,  
H.-T. Janka & L. Scheck:  
Neutrino signatures of  
supernova forward and  
reverse shock propagation  
[astro-ph/0407132]

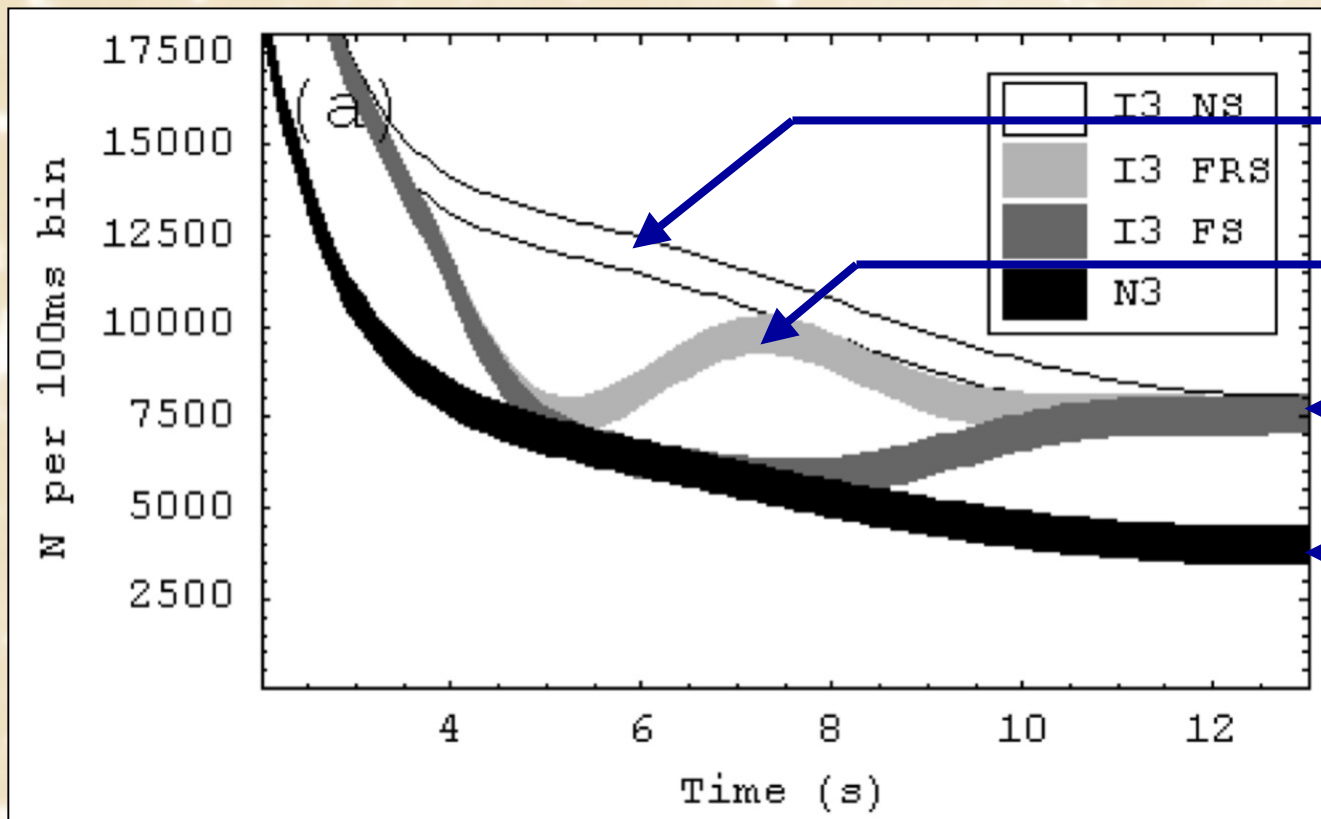
Resonance  
density for  
 $\Delta m_{\text{atm}}^2$

Resonance  
density for  
 $\Delta m_{\text{sol}}^2$



# Shock-Wave Propagation in IceCube

$$\frac{\text{Flux}(\bar{\nu}_e)}{\text{Flux}(\bar{\nu}_\mu)} = 0.8, \quad \langle E_{\bar{\nu}_e} \rangle = 15 \text{ MeV}, \quad \langle E_{\bar{\nu}_\mu} \rangle = 18 \text{ MeV}$$



Inverted Hierarchy  
No shockwave

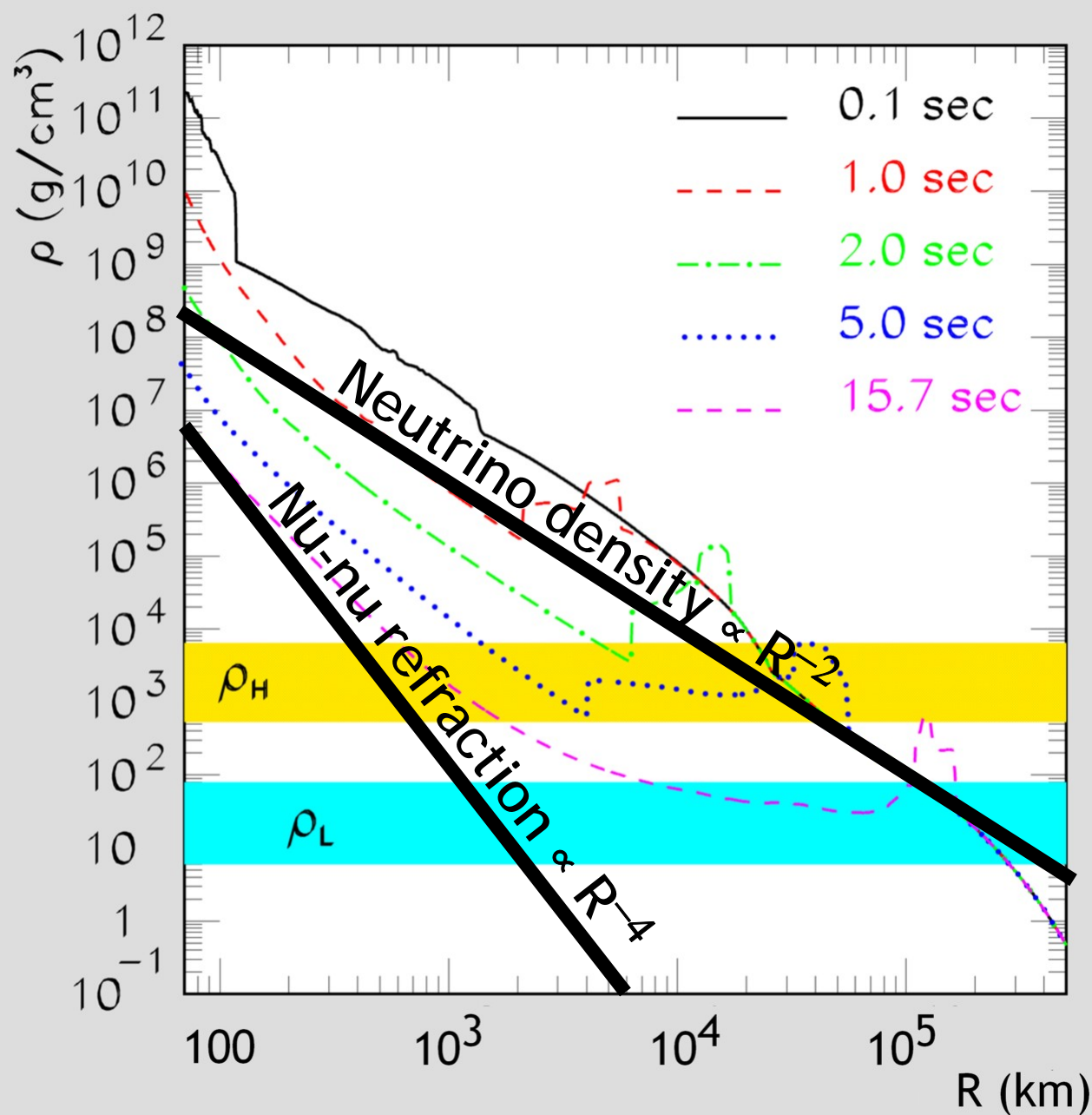
Inverted Hierarchy  
Forward & reverse shock

Inverted Hierarchy  
Forward shock

Normal Hierarchy

Choubey, Harries & Ross, "Probing neutrino oscillations from supernovae shock waves via the IceCube detector", astro-ph/0604300

# Neutrino Density Streaming off a Supernova Core



Typical luminosity in one neutrino species

$$L_\nu = 3 \times 10^{52} \frac{\text{erg}}{\text{s}}$$

Corresponds to a neutrino number density of

$$n_\nu = 3 \times 10^{35} \text{cm}^{-3} \left( \frac{\text{km}}{R} \right)^2$$

Current-current structure of weak interaction causes suppression of effective potential for collinear-moving particles

$$V_{\text{weak}} \propto G_F (1 - \cos \theta)$$

Nu-nu refractive effect decreases as

$$V_{\nu\nu} \propto R^{-4}$$

**Appears to be negligible**



# Self-Induced Flavor Oscillations of SN Neutrinos

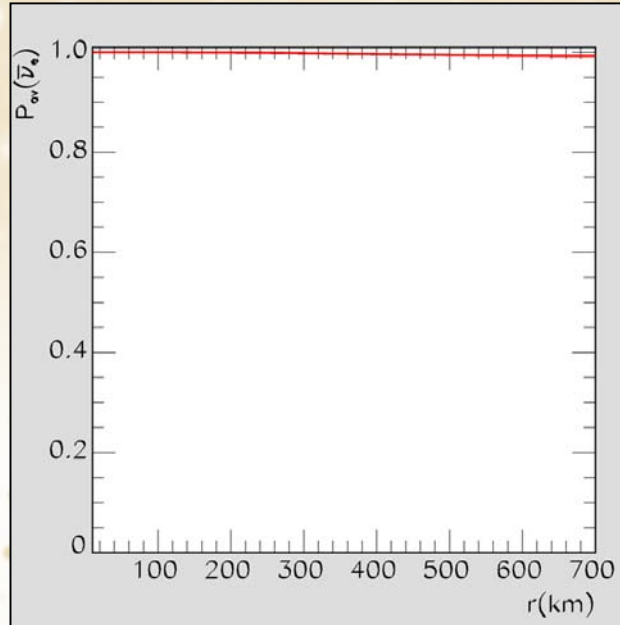
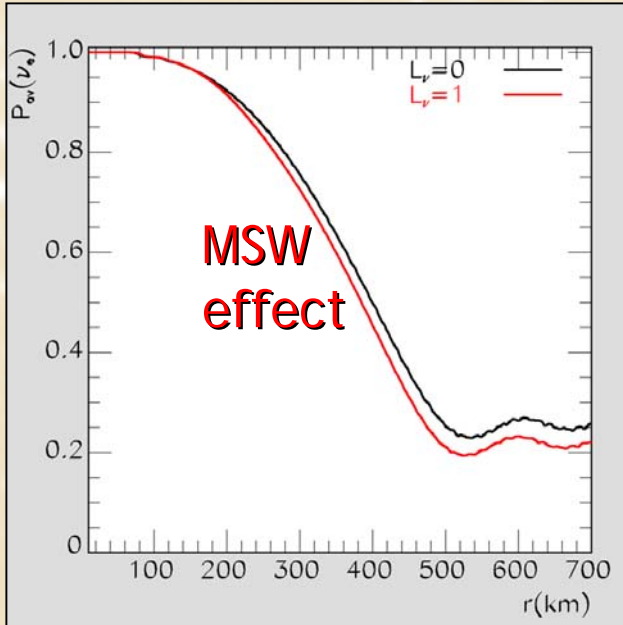
Survival probability  $\nu_e$

Survival probability  $\bar{\nu}_e$

Normal Hierarchy

atm  $\Delta m^2$

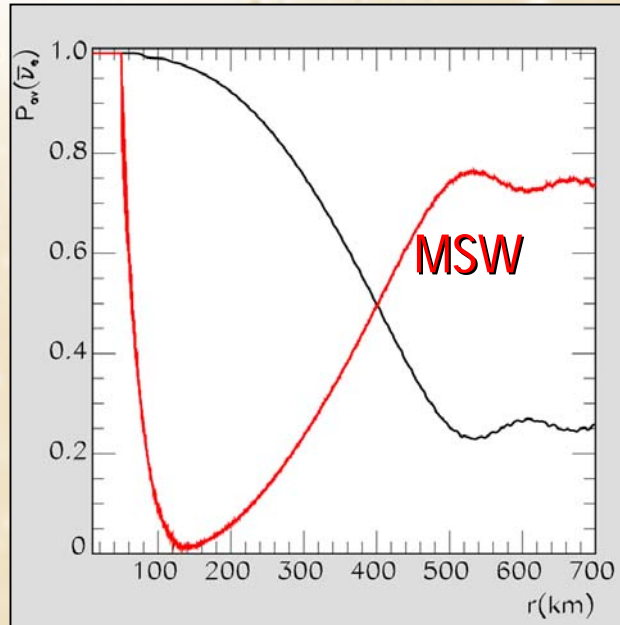
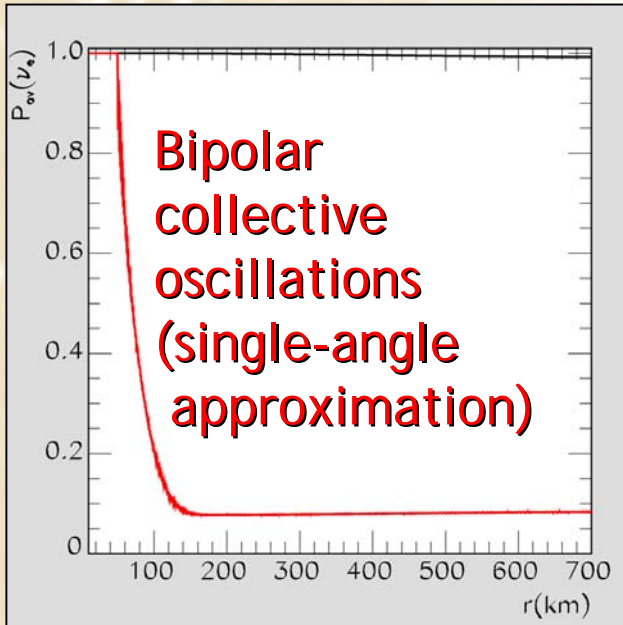
$\Theta_{13}$  close to Chooz limit



Realistic nu-nu effect

No nu-nu effect

Inverted Hierarchy



Realistic nu-nu effect

No nu-nu effect

# Collective SN neutrino oscillations 2006-2008 (I)

“Bipolar” collective transformations important, even for dense matter

- Duan, Fuller & Qian  
astro-ph/0511275

Numerical simulations

- Including multi-angle effects
- Discovery of “spectral splits”

- Duan, Fuller, Carlson & Qian  
astro-ph/0606616, 0608050

- Pendulum in flavor space
- Collective pair annihilation
- Pure precession mode

- Hannestad, Raffelt, Sigl & Wong  
astro-ph/0608695
- Duan, Fuller, Carlson & Qian  
astro-ph/0703776

Self-maintained coherence vs. self-induced decoherence caused by multi-angle effects

- Sawyer, hep-ph/0408265, 0503013
- Raffelt & Sigl, hep-ph/0701182
- Esteban-Pretel, Pastor, Tomàs, Raffelt & Sigl, arXiv:0706.2498

Theory of “spectral splits” in terms of adiabatic evolution in rotating frame

- Raffelt & Smirnov,  
arXiv:0705.1830, 0709.4641
- Duan, Fuller, Carlson & Qian  
arXiv:0706.4293, 0707.0290

Independent numerical simulations

- Fogli, Lisi, Marrone & Mirizzi  
arXiv:0707.1998



# Collective SN neutrino oscillations 2006-2008 (II)

Three-flavor effects in O-Ne-Mg SNe on neutronization burst (MSW-prepared spectral double split)

- Duan, Fuller, Carlson & Qian, arXiv:0710.1271
- Dasgupta, Dighe, Mirizzi & Raffelt, arXiv:0801.1660

Theory of three-flavor collective oscillations

- Dasgupta & Dighe, arXiv:0712.3798

Second-order mu-tau refractive effect important in three-flavor context

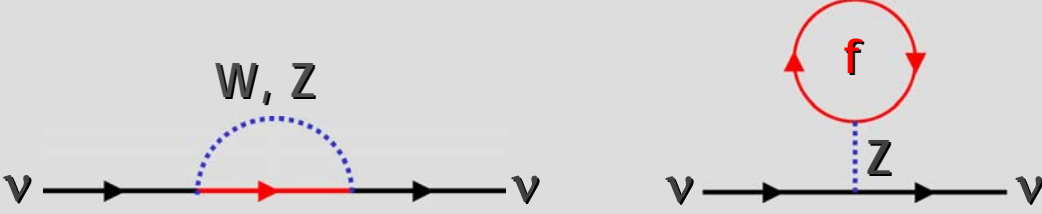
- Esteban-Pretel, Pastor, Tomàs, Raffelt & Sigl, arXiv:0712.1137

Identifying the neutrino mass hierarchy at extremely small  $\Theta_{13}$

- Dasgupta, Dighe & Mirizzi, arXiv:0802.1481

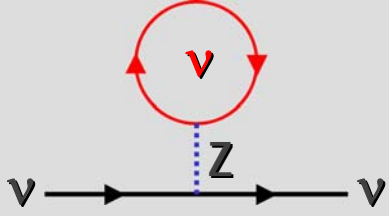
# Neutrino Oscillations in a Neutrino Background

Neutrinos in a medium suffer flavor-dependent refraction  
(Wolfenstein, PRD 17:2369, 1978)



$$i \frac{\partial}{\partial t} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \left[ \frac{M^2}{2E} + \sqrt{2}G_F \begin{pmatrix} n_e - \frac{1}{2}n_n & 0 \\ 0 & -\frac{1}{2}n_n \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

If neutrinos form the background, the refractive index has "offdiagonal elements"  
(Pantaleone, PLB 287:128, 1992)



$$i \frac{\partial}{\partial t} \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \left[ \frac{M^2}{2E} + \sqrt{2}G_F \begin{pmatrix} 2n_{\nu_e} + n_{\nu_\mu} & n_{\langle \nu_e | \nu_\mu \rangle} \\ n_{\langle \nu_\mu | \nu_e \rangle} & n_{\nu_e} + 2n_{\nu_\mu} \end{pmatrix} \right] \begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix}$$

- One can not operationally distinguish between "beam" and "background"
- Problem is fundamentally nonlinear



# Matrices of Density in Flavor Space

Neutrino quantum field

$$\Psi(t, \mathbf{x}) = \int \frac{d^3\vec{p}}{(2\pi)^3} \left[ a(t, \vec{p}) u_{\vec{p}} + b^\dagger(t, -\vec{p}) v_{-\vec{p}} \right] e^{i\vec{p}\cdot\vec{x}}$$

Spinors in flavor space

$$\Psi = \begin{pmatrix} \Psi_1 \\ \Psi_2 \\ \Psi_3 \end{pmatrix} \quad a = \begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix} \quad b = \begin{pmatrix} b_1 \\ b_2 \\ b_3 \end{pmatrix} \quad \text{Destruction operators for (anti)neutrinos}$$

Variables for discussing neutrino flavor oscillations

Quantum states (amplitudes)

$$\begin{pmatrix} |v_1(t, \vec{p})\rangle \\ |v_2(t, \vec{p})\rangle \\ |v_3(t, \vec{p})\rangle \end{pmatrix} = \begin{pmatrix} a_1(t, \vec{p}) \\ a_2(t, \vec{p}) \\ a_3(t, \vec{p}) \end{pmatrix}^\dagger |0\rangle$$

“Matrices of densities”  
(analogous to occupation numbers)

$$\rho_{ij}(t, \vec{p}) = \langle a_j^\dagger(t, \vec{p}) a_i(t, \vec{p}) \rangle \quad \text{Neutrinos}$$

$$\bar{\rho}_{ij}(t, \vec{p}) = \langle b_i^\dagger(t, \vec{p}) b_j(t, \vec{p}) \rangle \quad \text{Anti-neutrinos}$$

Sufficient for “beam experiments”

“Quadratic” quantities, required for dealing with decoherence, collisions, Pauli-blocking, nu-nu-refraction, etc.

# General Equations of Motion

$\nu$

$$i\partial_t \rho_{\bar{p}} = + \left[ \frac{M^2}{2p}, \rho_{\bar{p}} \right] + \sqrt{2}G_F [L, \rho_{\bar{p}}] + \sqrt{2}G_F \int \frac{d^3\bar{q}}{(2\pi)^3} (1 - \cos \theta_{\bar{p}\bar{q}}) [(\rho_{\bar{q}} - \bar{\rho}_{\bar{q}}), \rho_{\bar{p}}]$$

$\bar{\nu}$

$$i\partial_t \bar{\rho}_{\bar{p}} = - \left[ \frac{M^2}{2p}, \bar{\rho}_{\bar{p}} \right] + \sqrt{2}G_F [L, \bar{\rho}_{\bar{p}}] + \sqrt{2}G_F \int \frac{d^3\bar{q}}{(2\pi)^3} (1 - \cos \theta_{\bar{p}\bar{q}}) [(\rho_{\bar{q}} - \bar{\rho}_{\bar{q}}), \bar{\rho}_{\bar{p}}]$$

- Vacuum oscillations  
M is neutrino mass matrix
- Note opposite sign between neutrinos and antineutrinos

Usual matter effect with

$$L = \begin{pmatrix} n_e - n_{\bar{e}} & 0 & 0 \\ 0 & n_{\mu} - n_{\bar{\mu}} & 0 \\ 0 & 0 & n_{\tau} - n_{\bar{\tau}} \end{pmatrix}$$

Nonlinear nu-nu effects are important when nu-nu interaction energy exceeds typical vacuum oscillation frequency  
(Do not compare with matter effect!)

$$\omega_{\text{osc}} = \frac{\Delta m^2}{2E} < \mu = \sqrt{2} G_F n_{\nu} \langle 1 - \cos \theta \rangle$$



# Two-Flavor Neutrino Oscillations in Vacuum

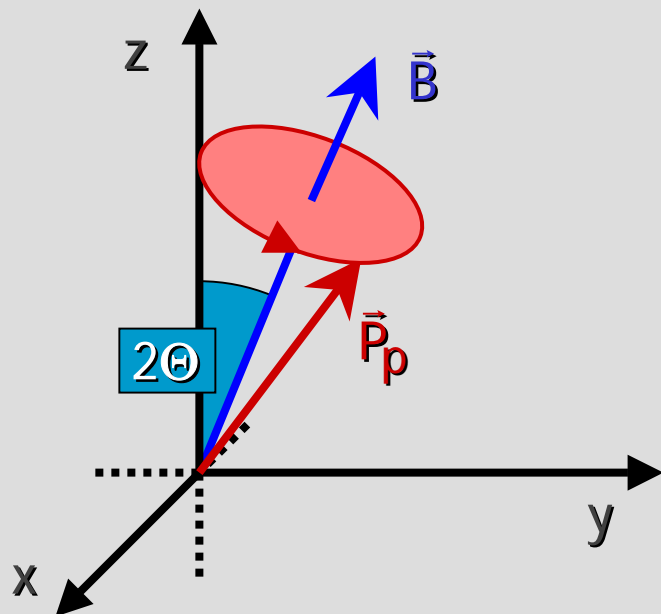
Polarization vector

$$\rho_p = \frac{f_p + \vec{\sigma} \cdot \vec{P}_p}{2} \quad \text{or different normalization} \quad \rho_p = f_p \frac{1 + \vec{\sigma} \cdot \vec{P}_p}{2} \quad \sigma_i \text{ Pauli matrices}$$

"Magnetic field" in flavor space

$$\Omega_p^0 \approx p + \frac{m_2^2 + m_1^2}{4p} + \frac{m_2^2 - m_1^2}{2p} \frac{\vec{\sigma} \cdot \vec{B}}{2} \quad \vec{B} = \begin{pmatrix} \sin 2\theta \\ 0 \\ \cos 2\theta \end{pmatrix}$$

Neutrino flavor oscillation as a spin precession



Neutrinos

$$\partial_t \vec{P}_p = + \frac{\Delta m^2}{2p} \vec{B} \times \vec{P}_p$$

Spin  
1/2



Magnetic moment  
 $+\Delta m^2/2p$

Anti-neutrinos

$$\partial_t \vec{P}_p = - \frac{\Delta m^2}{2p} \vec{B} \times \vec{P}_p$$

Spin  
1/2



Magnetic moment  
 $-\Delta m^2/2p$

# Synchronized Oscillations by Self-Interactions

Neutrino ensemble with a broad distribution of momentum modes

$$\partial_t \vec{P}_p = \frac{\Delta m^2}{2p} \vec{B} \times \vec{P}_p + \sqrt{2} G_F \vec{P} \times \vec{P}_p \quad \vec{P} = \int \frac{d^3 p}{(2\pi)^3} \vec{P}_p \quad \text{Integrated polarization vector}$$

Neutrinos precess with different frequencies in external magnetic field  $B$  (in flavor space)

The ensemble of neutrino magnetic moments creates an "internal magnetic field" that is felt by each neutrino

Internal field  $\gg$  external  $B$

→ All modes lock to each other and spin-precess together, in analogy to spin-orbit coupling in atoms

Synchronized oscillation frequency

$$\omega_{\text{synch}} = \left\langle \frac{\Delta m^2}{2p} \right\rangle$$

Pastor, Raffelt & Semikoz, hep-ph/0109035



# Synchronizing Oscillations by Neutrino Interactions

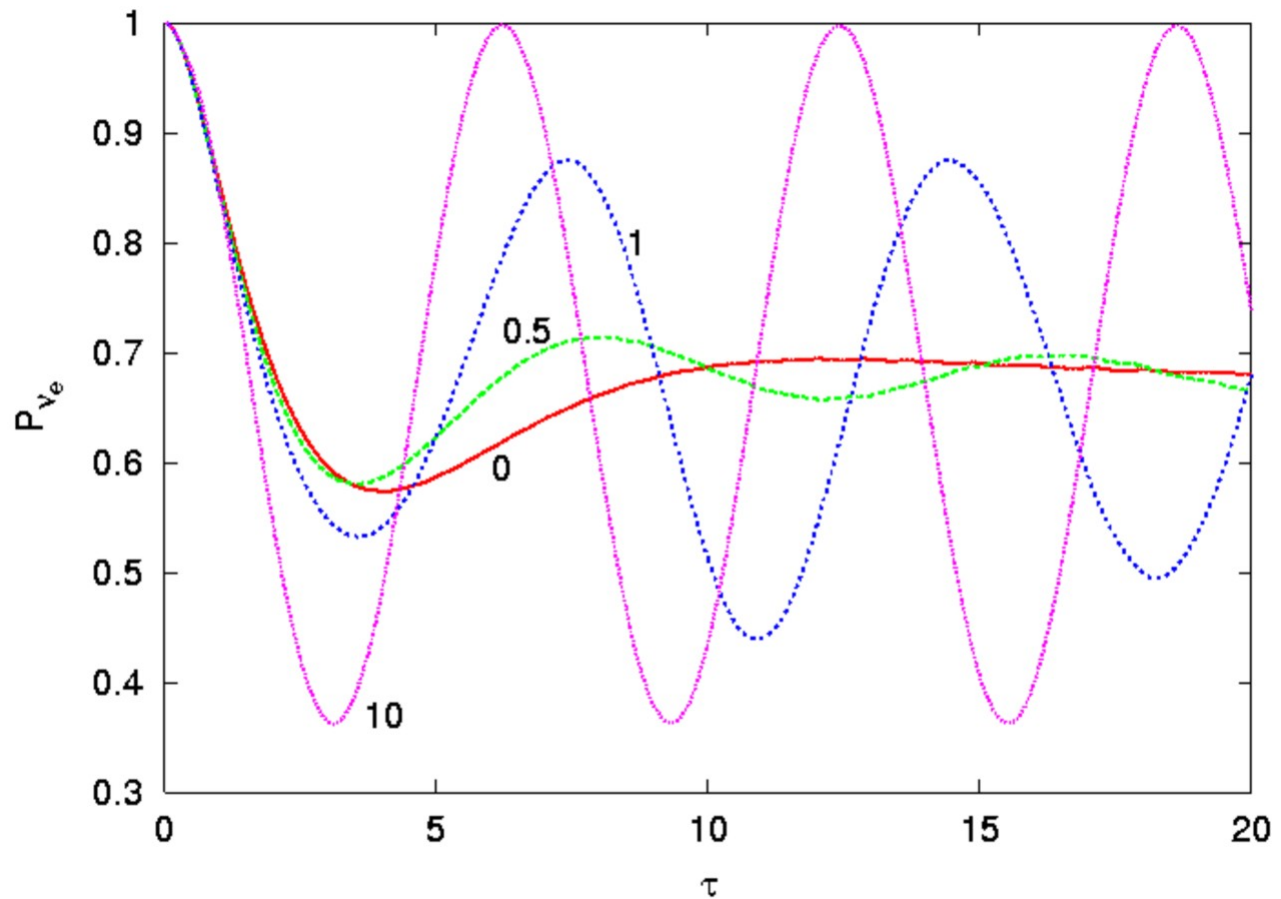
Vacuum oscillation frequency  
of mode with momentum  $p \sim E$

$$\omega_{\text{osc}} = \frac{\Delta m^2}{2p}$$

Modified in a medium by the  
usual weak-interaction potential

In an ensemble with  
a broad momentum  
distribution, the  
 $p$ -dependent oscillation  
frequency quickly leads  
to kinematical  
flavor decoherence

In a dense neutrino gas,  
all modes go with the  
same frequency:  
"Synchronized  
flavor oscillations" or  
"self-maintained  
coherence"



Pastor, Raffelt & Semikoz, hep-ph/0109035

# Oscillations of Neutrinos plus Antineutrinos in a Box

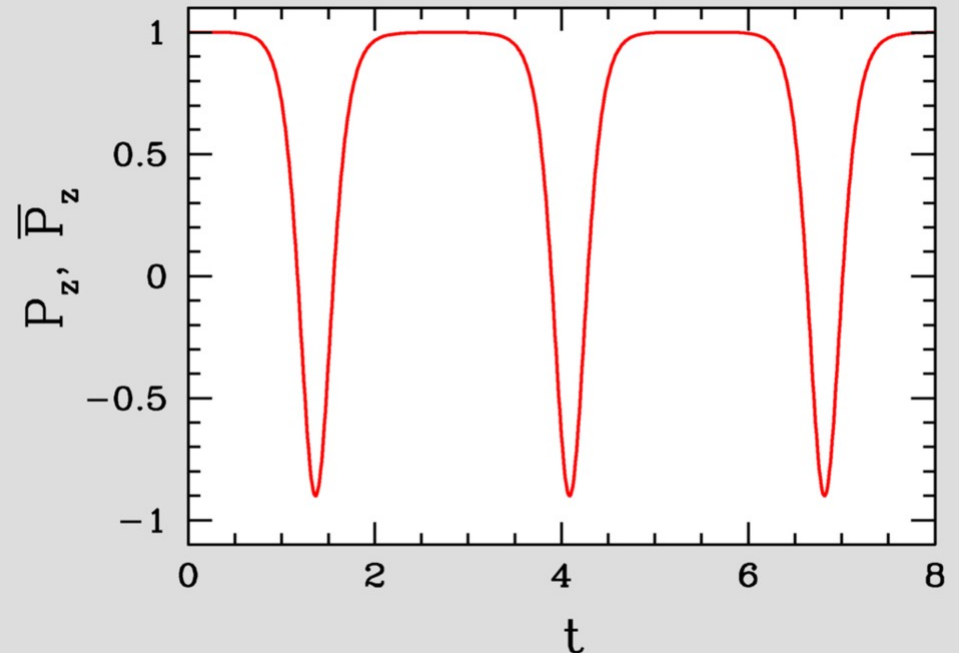
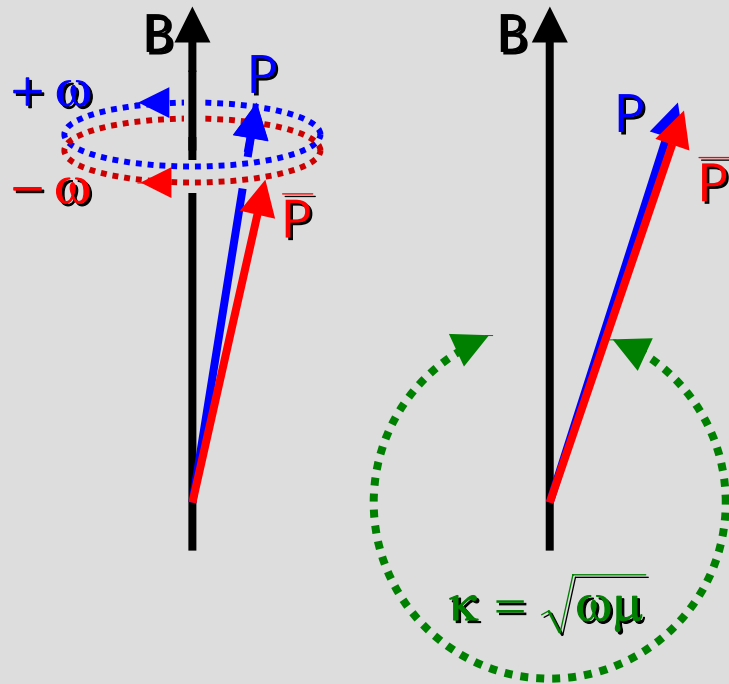
Equal  $\nu_e$  and  $\bar{\nu}_e$  densities, single energy  $E$ , with  $\mu = \sqrt{2} G_F n_{\nu_e} \gg \omega = \frac{\Delta m^2}{2E}$

$$(v) \quad \partial_t \mathbf{P} = +\omega \mathbf{B} \times \mathbf{P} + \mu (\mathbf{P} - \bar{\mathbf{P}}) \times \mathbf{P}$$

$$(\bar{\nu}) \quad \partial_t \bar{\mathbf{P}} = -\omega \mathbf{B} \times \bar{\mathbf{P}} + \mu (\mathbf{P} - \bar{\mathbf{P}}) \times \bar{\mathbf{P}}$$

Opposite vacuum  
oscillations

Equal  
self terms



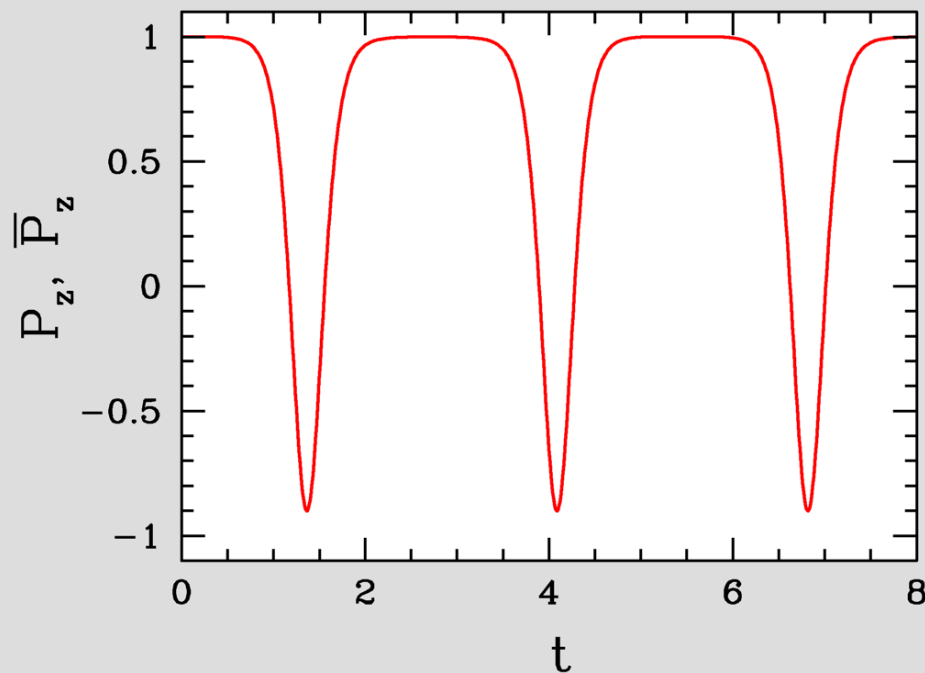
“Pendulum in flavor space”

- Inverted mass hierarchy
  - Inverted pendulum
  - Unstable even for small mixing angle
- Normal mass hierarchy
  - Small-amplitude oscillations

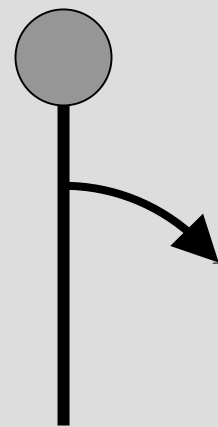


# Flavor Conversion Without Flavor Mixing?

Equal  $\nu_e$  and  $\bar{\nu}_e$  densities in a box  
(inverted hierarchy)



- This is no real “flavor conversion”, rather a “coherent pair conversion”  
 $\nu_e \bar{\nu}_e \leftrightarrow \nu_\mu \bar{\nu}_\mu$
- Occurs anyway at second order  $G_F$
- Coherent “speed-up effect” (Sawyer)



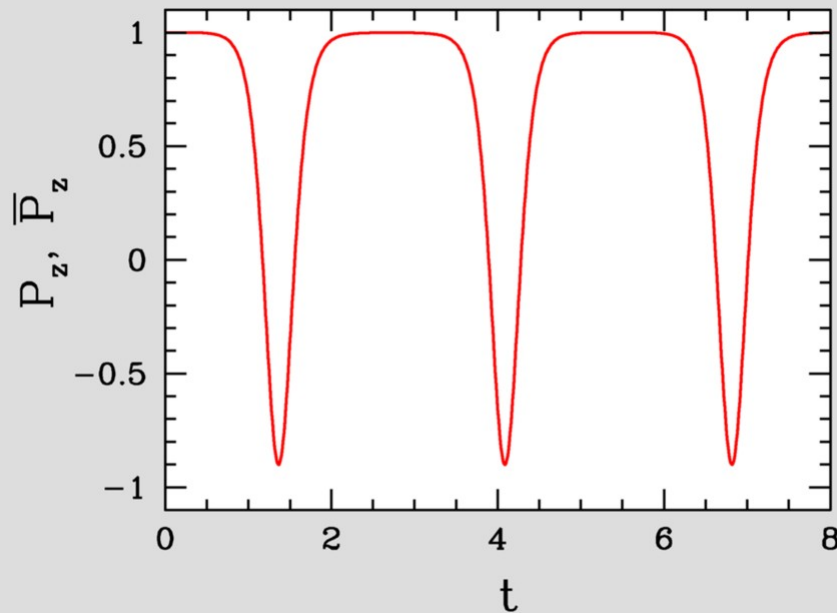
Inverted pendulum:

- Time to fall depends logarithmically on small initial angle  $\Theta$
- Stays up forever only for  $\Theta = 0$
- Unstable by quantum uncertainty relation (“How long can a pencil stand on its tip?”)

Not clear (to me) if coherent transformations can be triggered by quantum fluctuations alone (mixing angle  $\Theta = 0$ )

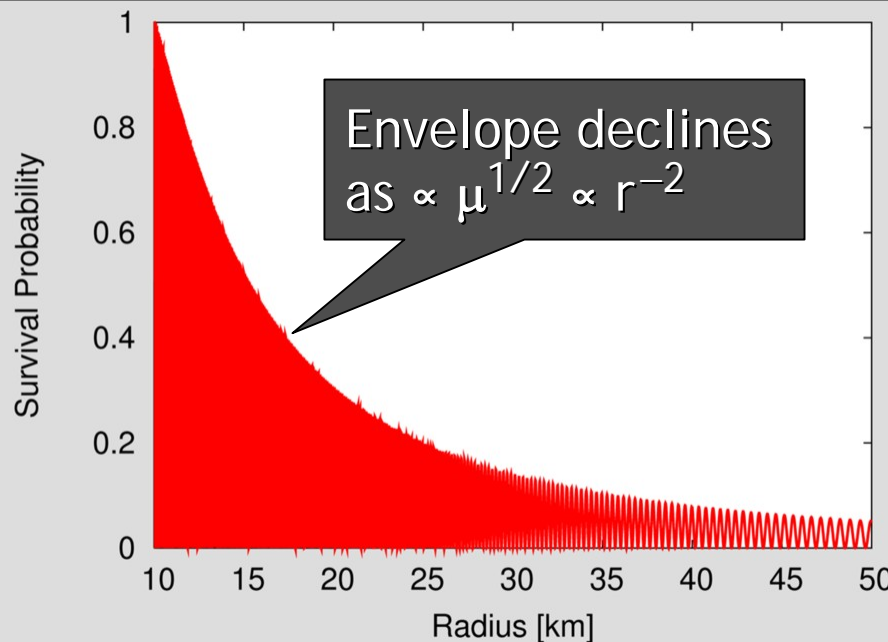
# Supernova Neutrino Conversion

Neutrinos  
in a box



Permanent pendular  
oscillations

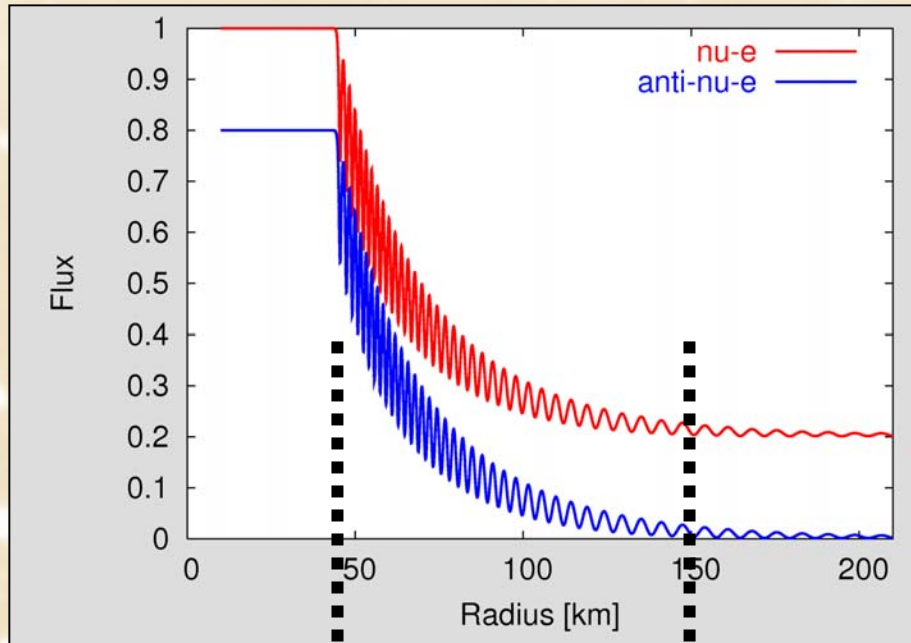
Neutrinos  
streaming  
off a  
supernova  
core



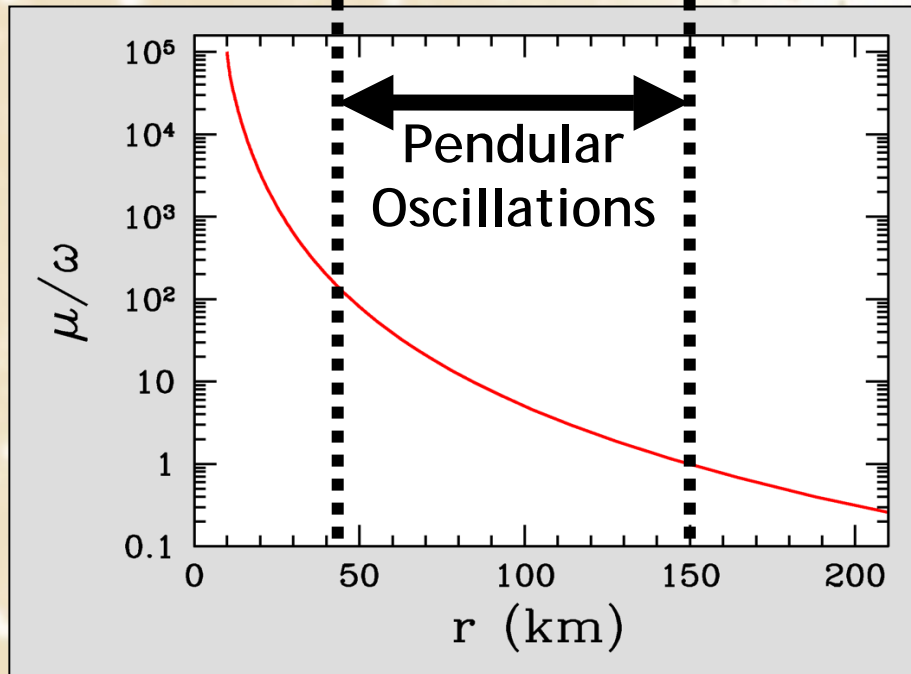
Complete conversion

- Nu-nu interaction energy  $\mu = \sqrt{2}G_F n_\nu$  decreases
- Pendulum's moment of inertia  $\mu^{-1}$  increases
- Conservation of angular momentum
  - kinetic energy decreases
  - amplitude decreases  $\propto \mu^{1/2}$

# Flavor Conversion in Toy Supernova



- Assume 80% anti-neutrinos
- Vacuum oscillation frequency  $\omega = 0.3 \text{ km}^{-1}$
- Neutrino-neutrino interaction energy at  $\nu$  sphere ( $r = 10 \text{ km}$ )  $\mu = 0.3 \times 10^5 \text{ km}^{-1}$
- Falls off approximately as  $r^{-4}$  (geometric flux dilution and  $\nu$ s become more co-linear)



Decline of oscillation amplitude explained in pendulum analogy by increasing moment of inertia (Hannestad, Raffelt, Sigl & Wong astro-ph/0608695)



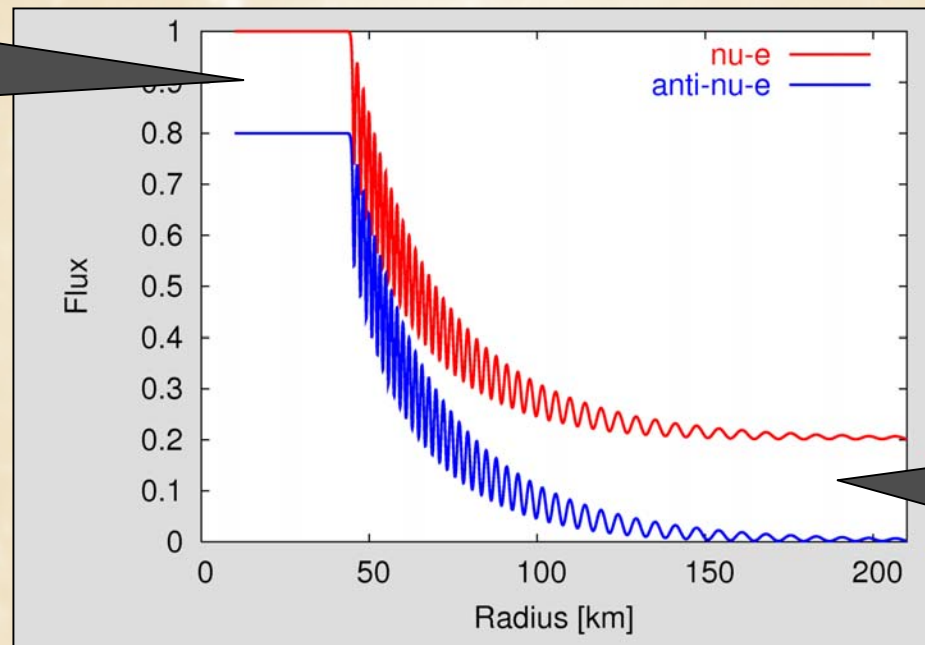
# Flavor Pair Conversion vs. Flavor Lepton Conservation

- Flavor-dependent flux hierarchy of neutrinos emerging from a SN core ( $\nu_e \bar{\nu}_e$  pair excess)
- Interior of a SN core:  
Chemical  $\nu_e$  potential (no pair excess)

$$\langle F_{\nu_e} \rangle > \langle F_{\bar{\nu}_e} \rangle > \langle F_{\nu_x} \rangle$$

$$\langle n_{\nu_e} \rangle > \langle n_{\nu_x} \rangle > \langle n_{\bar{\nu}_e} \rangle$$

20% excess flux of  $\nu_e$  over  $\bar{\nu}_e$  at the source



Excess flux of  $\nu_e$  over  $\bar{\nu}_e$  conserved

- (Collective) oscillations preserve flavor-lepton number in the mass basis
- Essentially identical to weak-interaction basis for small mixing and/or large matter effects
- Of course not true when MSW resonance play a role

# Large flavor conversion with small mixing angle

## MSW effect

$$\nu_e \rightarrow \nu_x$$

Driven by matter density gradient

Flavor lepton number strongly violated

Effect disappears for small mixing angle (loss of adiabaticity)

- Solar neutrinos (but mixing angle anyway large)
- Neutrinos propagating through mantle and envelope of SN driven by  $\Delta m_{\text{atm}}^2$  and  $\Theta_{13}$

## Collective pair conversions

$$\nu_e \bar{\nu}_e \rightarrow \nu_x \bar{\nu}_x$$

Driven by neutrino flux dilution with distance from source

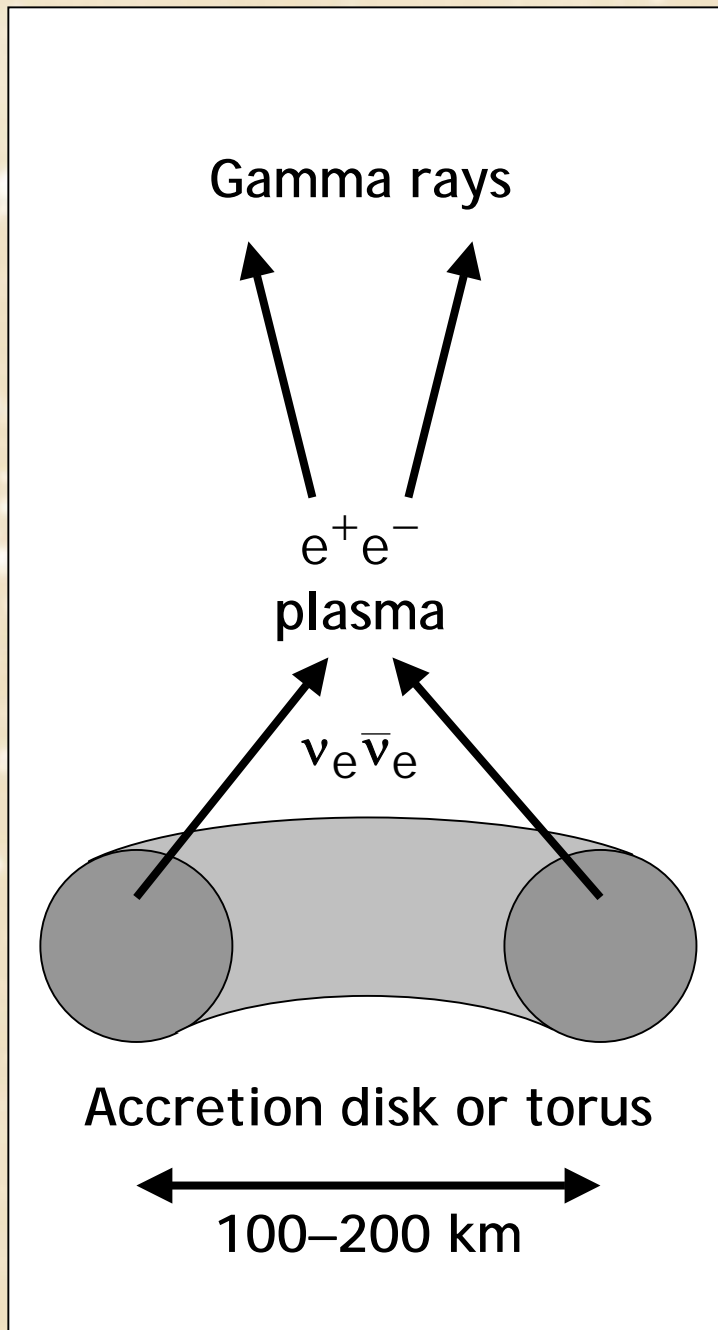
Flavor lepton number conserved

- Effect logarithmically delayed with small mixing angle  $\Theta$
- Effective even for very small  $\Theta$

Dense flux of  $\nu_e \bar{\nu}_e$  in excess over other flavors

- Core collapse supernova
- Coalescing neutron stars (short gamma-ray bursts)

# Coalescing Neutron Stars and Short Gamma-Ray Bursts



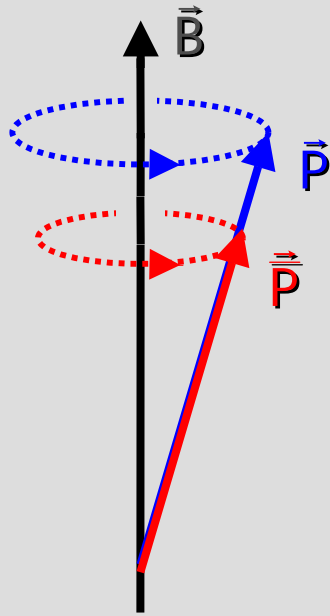
- Annihilation rate strongly suppressed if  $\nu_e \bar{\nu}_e$  pairs transform to  $\nu_x \bar{\nu}_x$  pairs
- Collective effects important?

- Density of torus relatively small:
- $\nu_\mu$  and  $\nu_\tau$  not efficiently produced
  - Large  $\nu_e \bar{\nu}_e$  pair abundance



# Synchronized vs. Pendular Oscillations

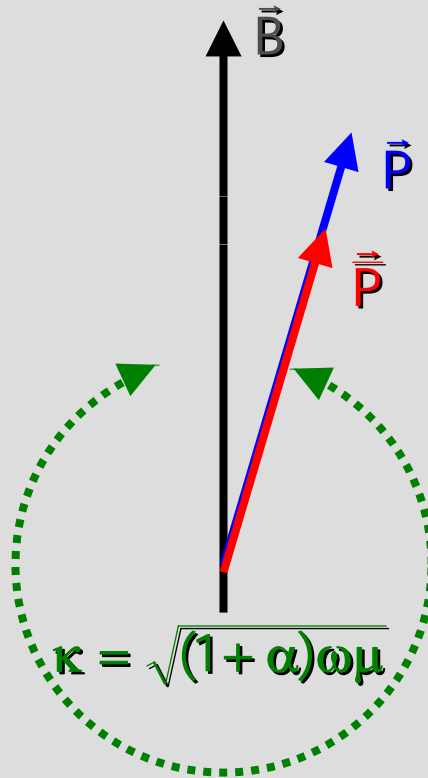
- Ensemble of unequal densities  $n_{\bar{\nu}_e} = \alpha n_{\nu_e}$  (antineutrino fraction  $\alpha < 1$ )
- Equal energies (equal oscillation frequency  $\omega = \Delta m^2/2E$ )
- Interaction energy  $\mu = \sqrt{2}G_F n_{\nu_e}$



$$\omega_{\text{synch}} = \frac{1+\alpha}{1-\alpha} \omega$$

Synchronized oscillations

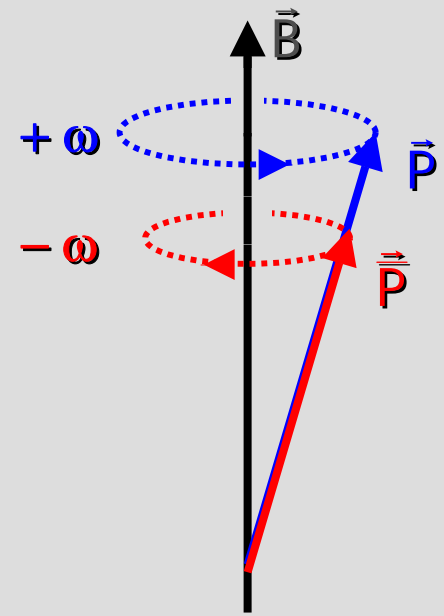
$$\frac{1+\alpha}{(1-\alpha)^2} \omega \ll \mu$$



$$\kappa = \sqrt{(1+\alpha)\omega\mu}$$

Pendular oscillations

$$\omega \ll \mu \ll \frac{1+\alpha}{(1-\alpha)^2} \omega$$



Free oscillations

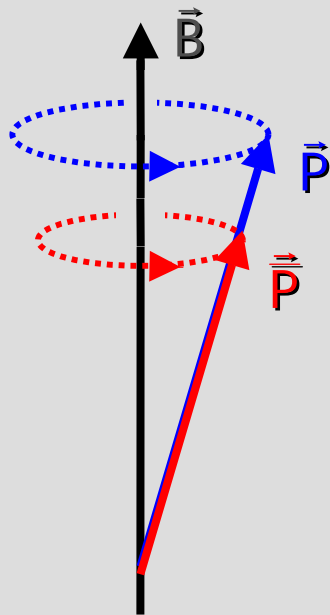
$$\mu \ll \omega$$

# Synchronized vs. Pendular Oscillations

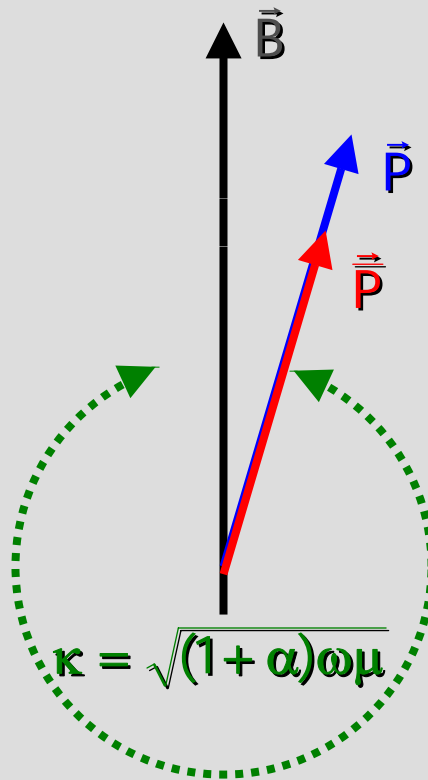
Supernova  
Core

$R = 40\text{--}60 \text{ km}$

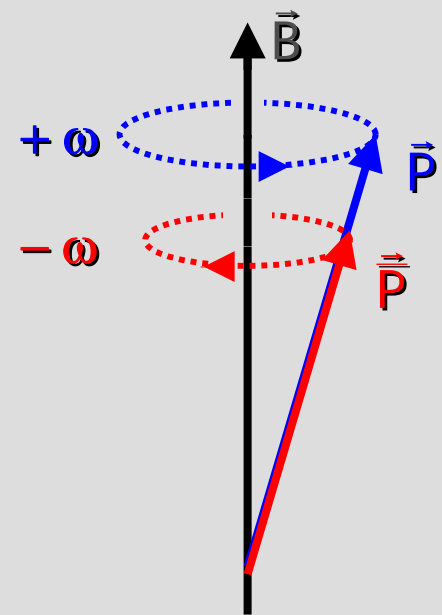
$R \approx 200 \text{ km}$



$$\omega_{\text{synch}} = \frac{1 + \alpha}{1 - \alpha} \omega$$



$$\kappa = \sqrt{(1 + \alpha)\omega\mu}$$



Synchronized oscillations

$$\frac{1 + \alpha}{(1 - \alpha)^2} \omega \ll \mu$$

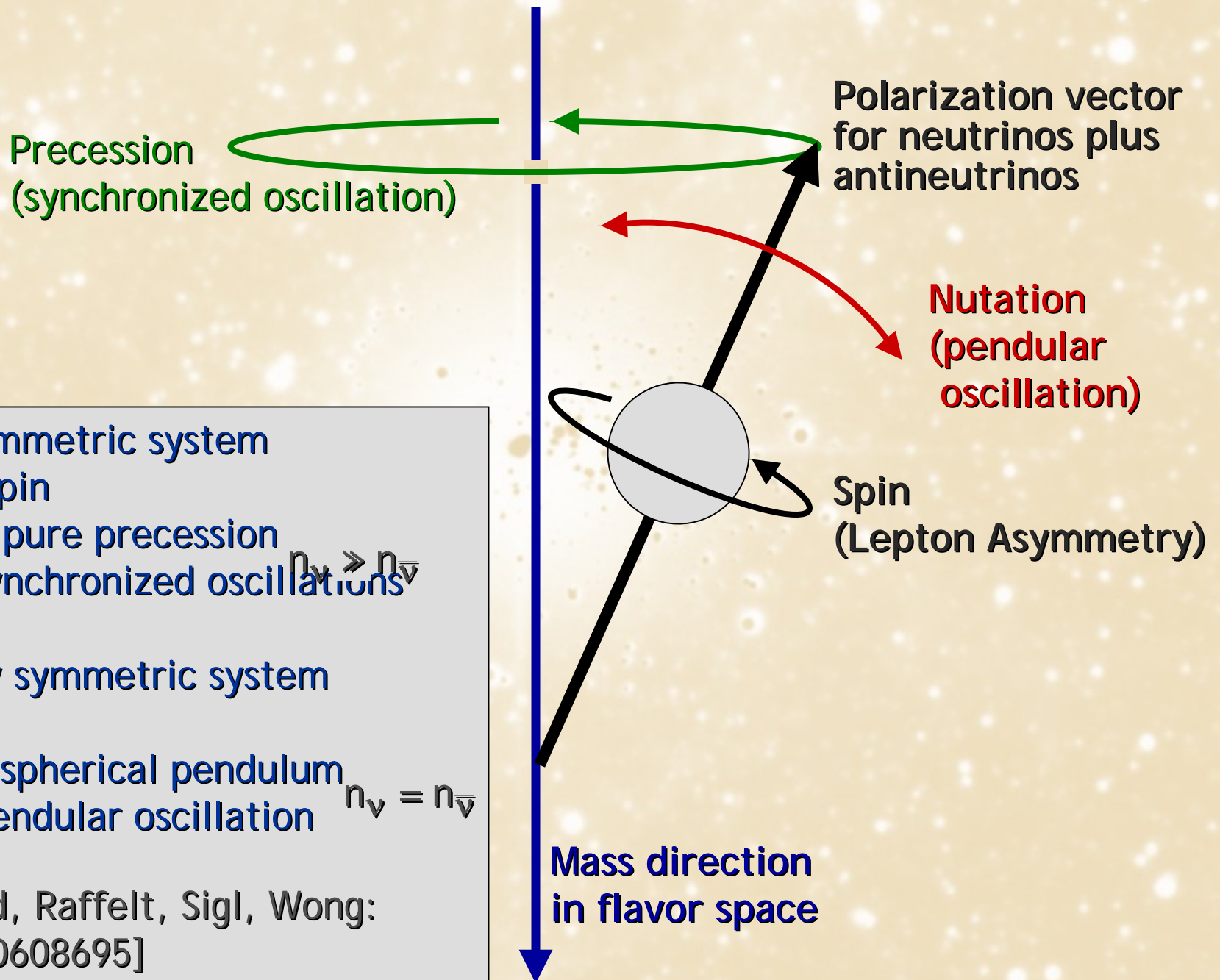
Pendular oscillations

$$\omega \ll \mu \ll \frac{1 + \alpha}{(1 - \alpha)^2} \omega$$

Free oscillations

$$\mu \ll \omega$$

# Pendulum in Flavor Space

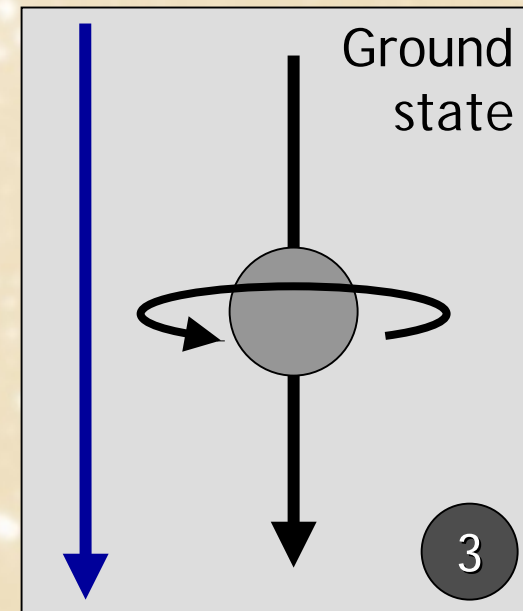
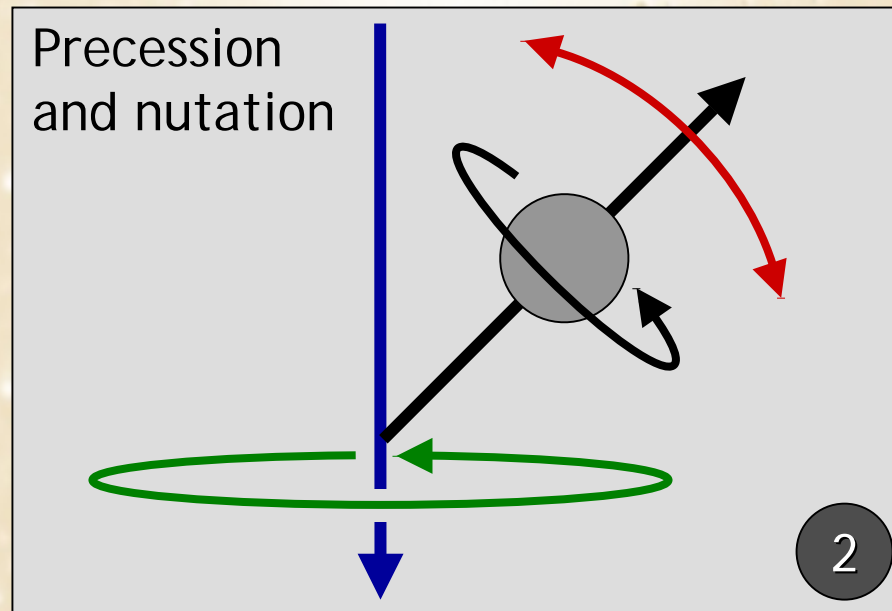
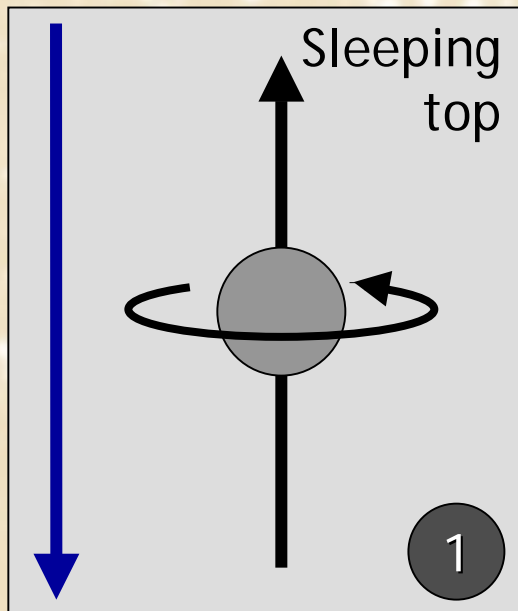
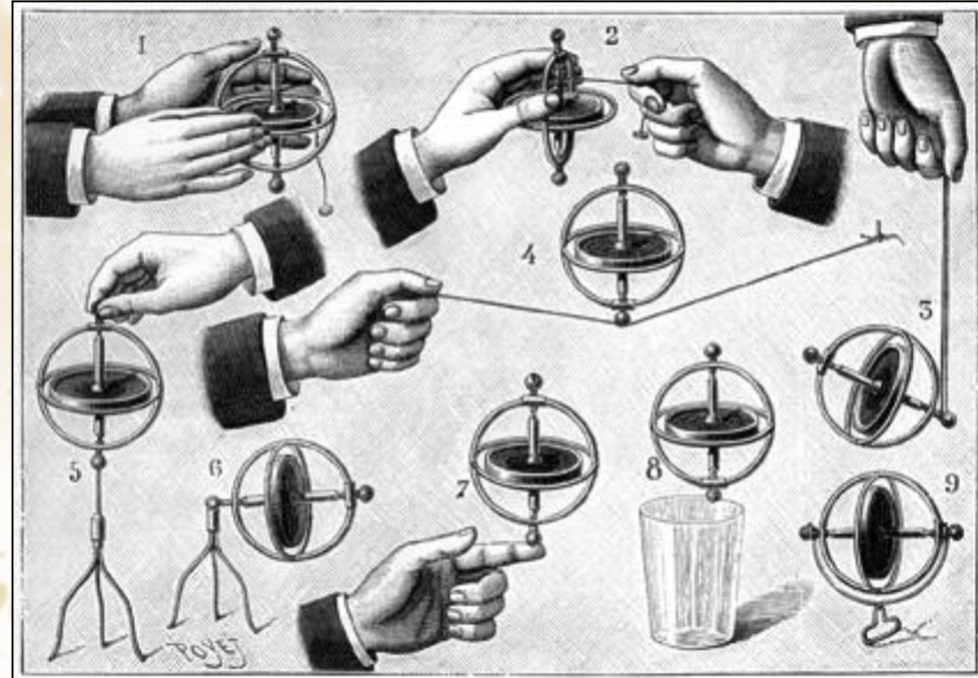
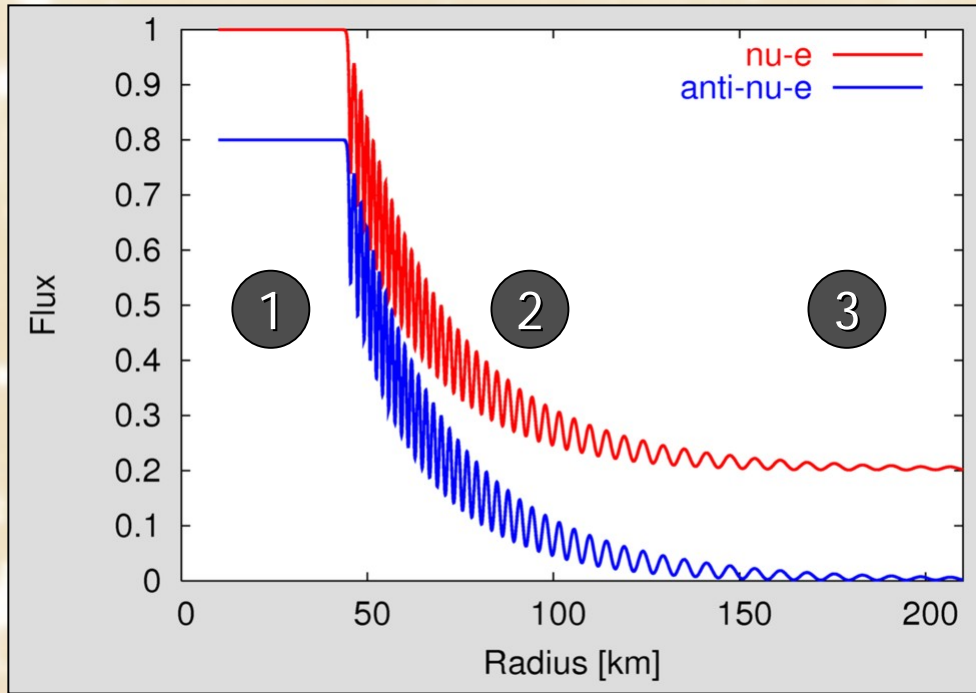


- Very asymmetric system
  - Large spin
  - Almost pure precession  $n_\nu \gg n_{\bar{\nu}}$
  - Fully synchronized oscillations
- Perfectly symmetric system
  - No spin
  - Simple spherical pendulum  $n_\nu = n_{\bar{\nu}}$
  - Fully pendular oscillation

[Hannestad, Raffelt, Sigl, Wong:  
astro-ph/0608695]



# Neutrino Conversion and Gyroscopic Flavor Pendulum



# Role of Ordinary Matter

$$(v) \quad \partial_t P = +\omega B \times P + \lambda L \times P + \mu(P - \bar{P}) \times P$$

$$(\bar{v}) \quad \partial_t \bar{P} = -\omega B \times \bar{P} + \lambda L \times \bar{P} + \mu(P - \bar{P}) \times \bar{P}$$

- Matter has identical effect on nus and anti-nus
- In rotating frame (frequency  $\lambda$ ) no matter effect (Duan et al. astro-ph/0511275)
- Rotating B-field drives unstable inverted pendulum

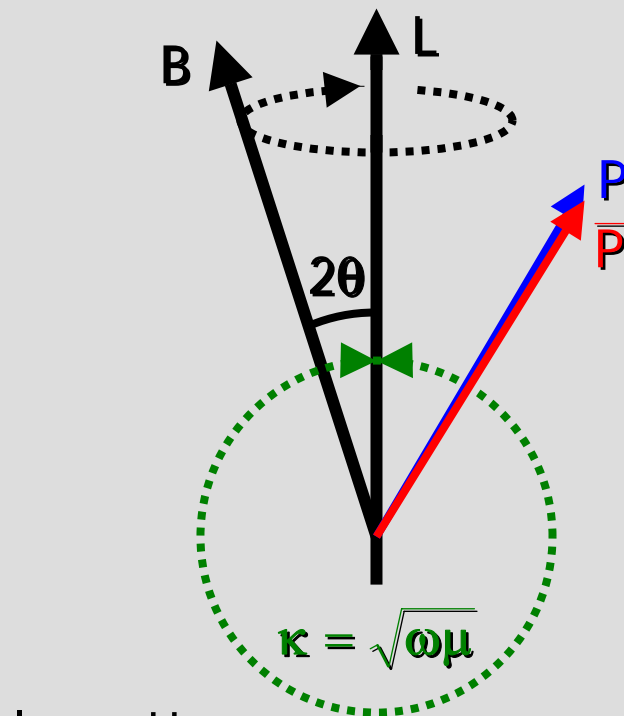
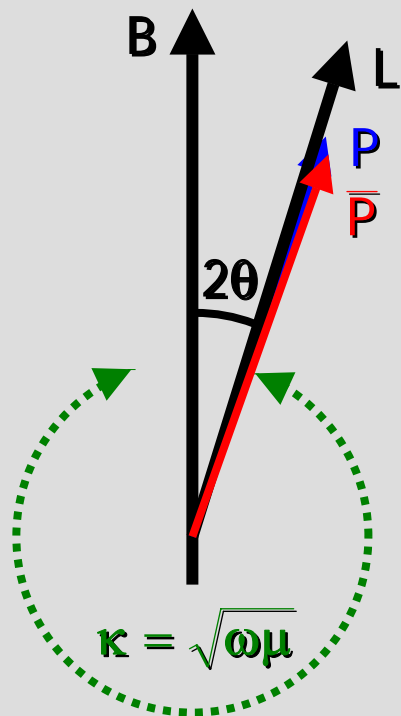
$$\omega = \Delta m^2 / 2E$$

$$\lambda = \sqrt{2} G_F n_e$$

$$\mu = \sqrt{2} G_F n_\nu$$

B = mass direction

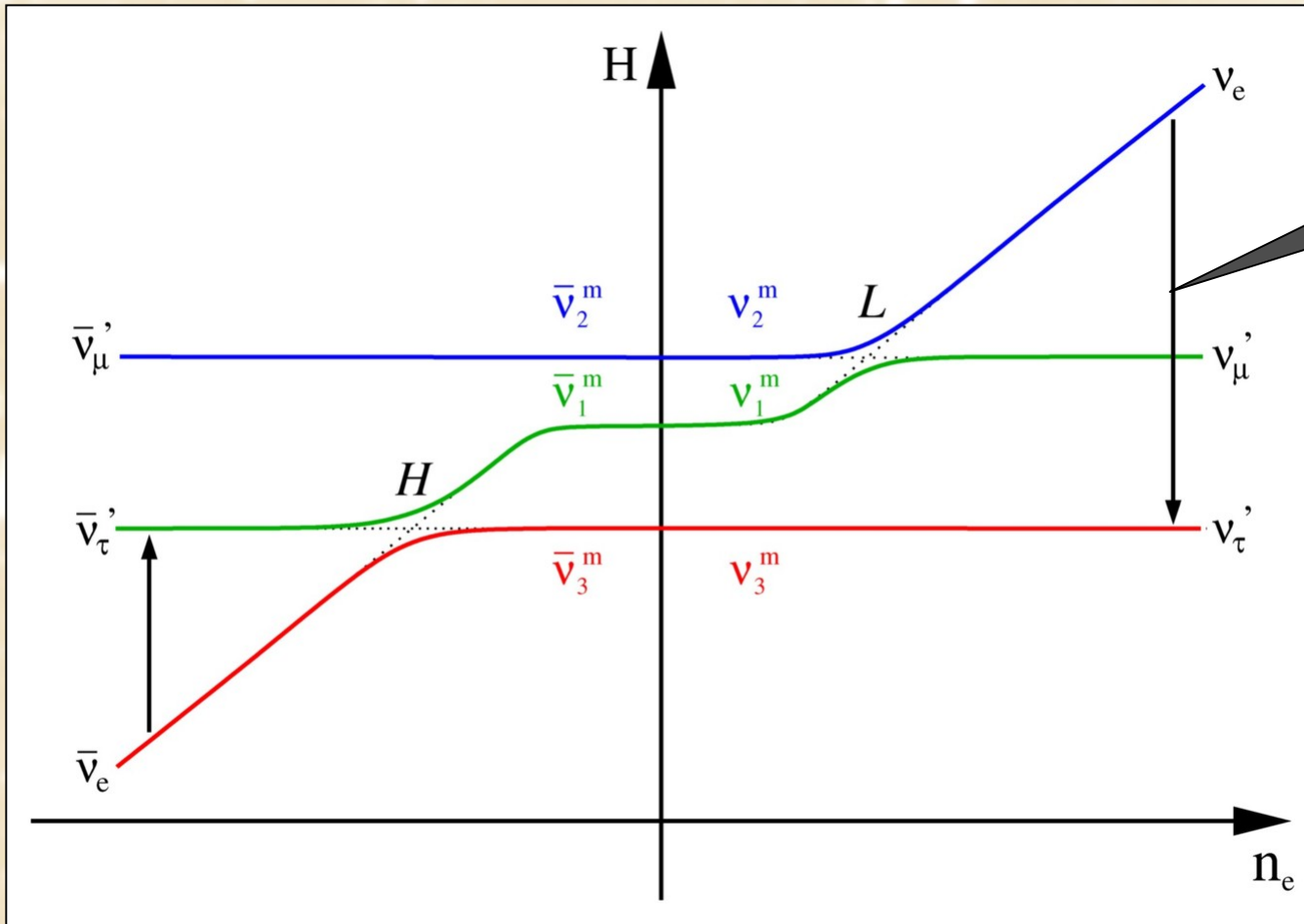
L = weak-interaction direction



- B projection on L plays role of  $B_{\text{eff}}$
- $\omega_{\text{eff}} = \omega \cos(2\theta)$
- No transformation for maximal mixing!
- Oscillation period  $\approx \kappa^{-1} \ln\left(\theta \kappa / \sqrt{\kappa^2 + \lambda^2}\right)$

Hannestad, Raffelt, Sigl,  
Wong: astro-ph/0608695

# Level-Crossing Diagram for Inverted Hierarchy



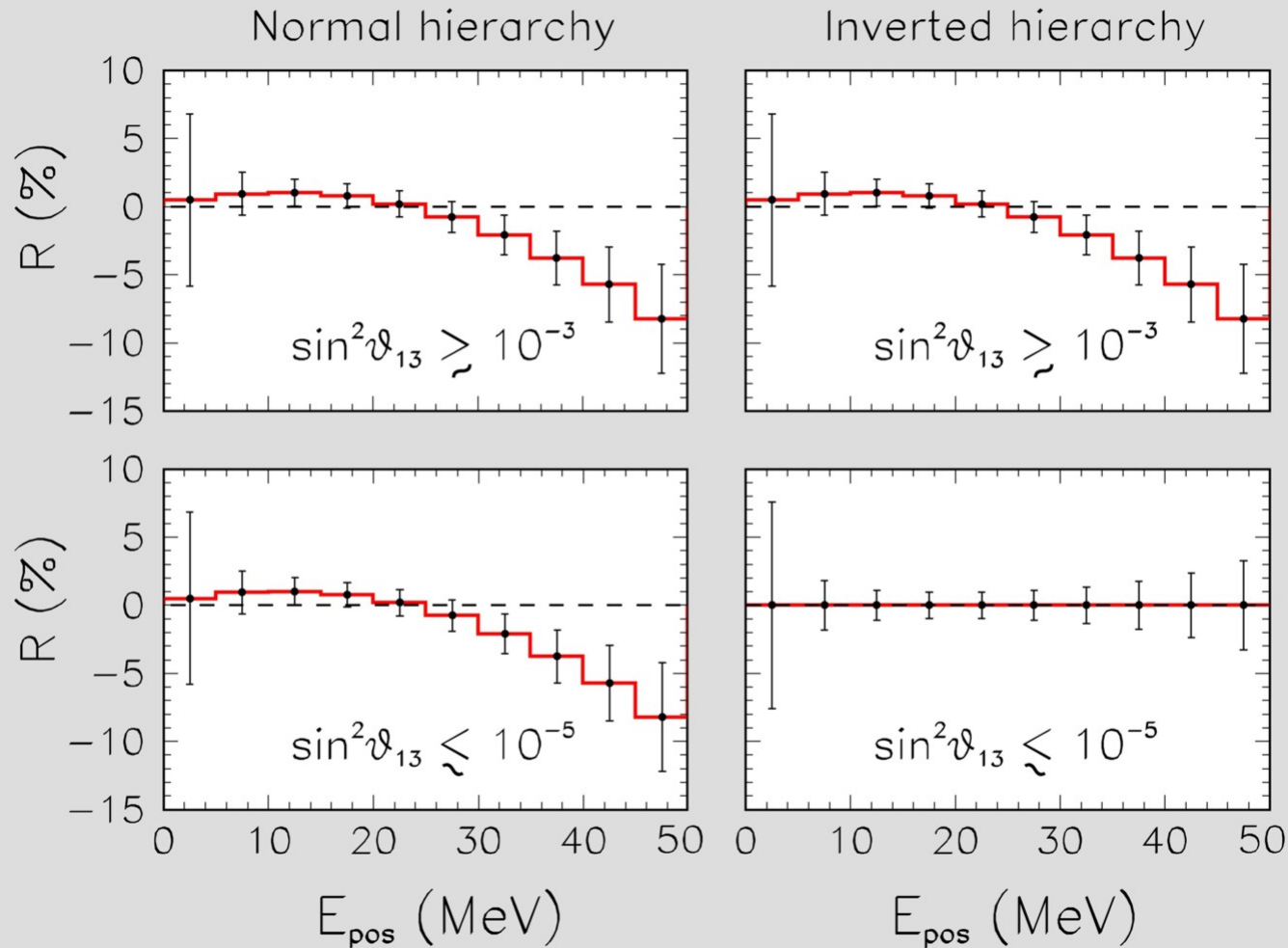
Collective oscillations driven by  $\Theta_{13}$  and  $\Delta m_{atm}$

Esteban-Pretel, Pastor, Tomàs, Raffelt & Sigl  
arXiv:0712.1137



# Mass Hierarchy at Extremely Small Theta-13

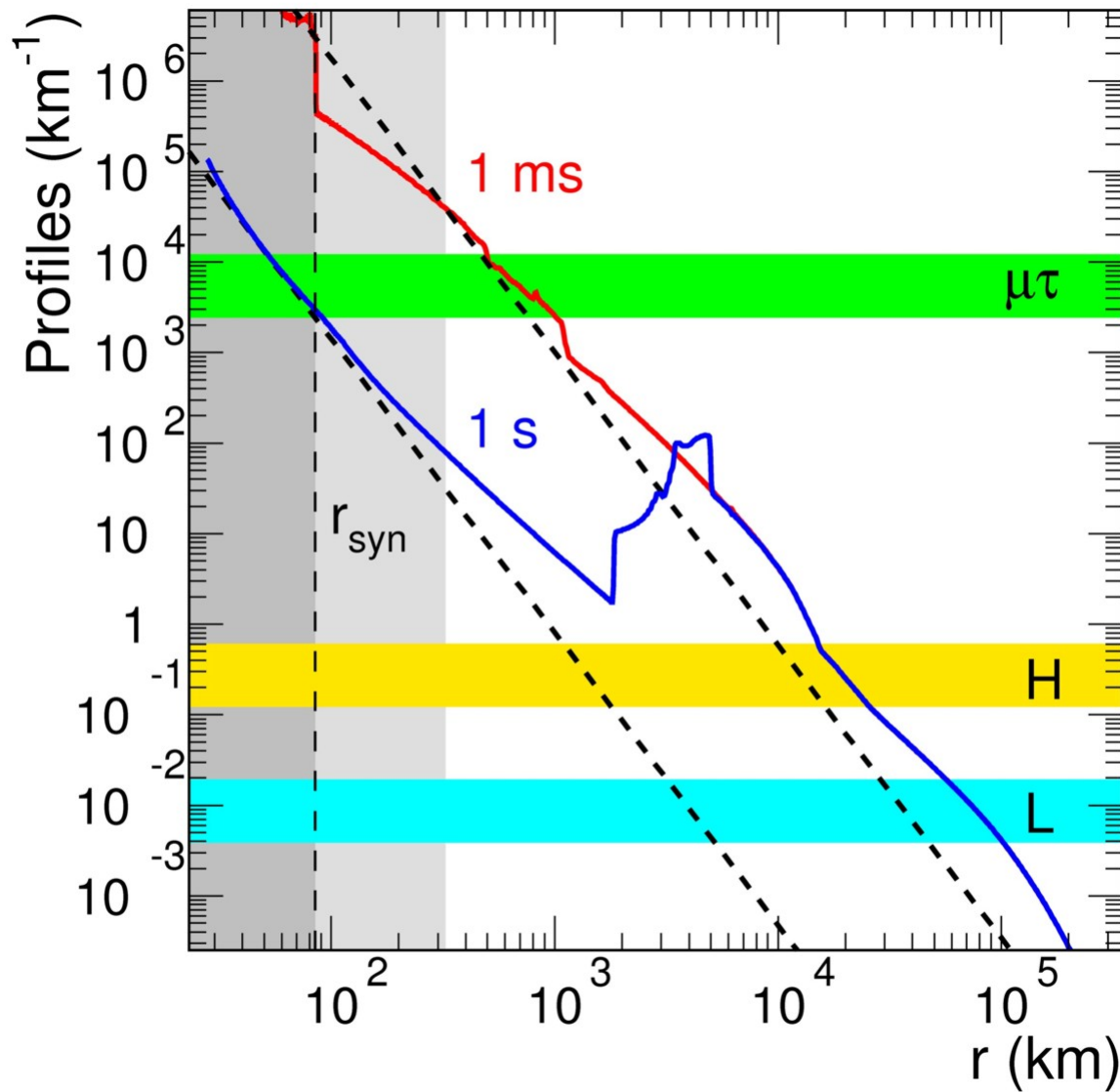
Using Earth matter effects to diagnose transformations



Ratio of spectra in two water Cherenkov detectors (0.4 Mton), one shadowed by the Earth, the other not

Dasgupta, Dighe & Mirizzi, arXiv:0802.1481

# Second-Order Mu-Tau Refractive Difference

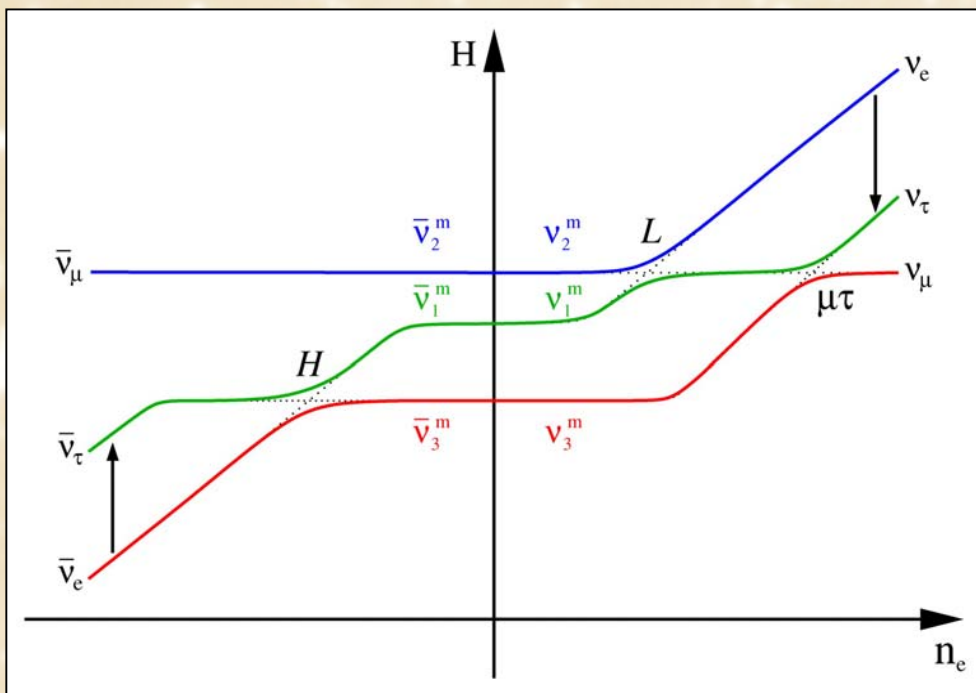


- Second-order difference between  $\nu_\mu$  and  $\nu_\tau$  matter effect causes a level crossing in the 23-flavor subsystem
- Not normally important if  $\nu_\mu$  and  $\nu_\tau$  fluxes are equal
- Even in this case, collective effects cause a large dependence of  $\nu_e$  and  $\bar{\nu}_e$  survival probabilities on matter density and on deviation of 23-mixing from maximal

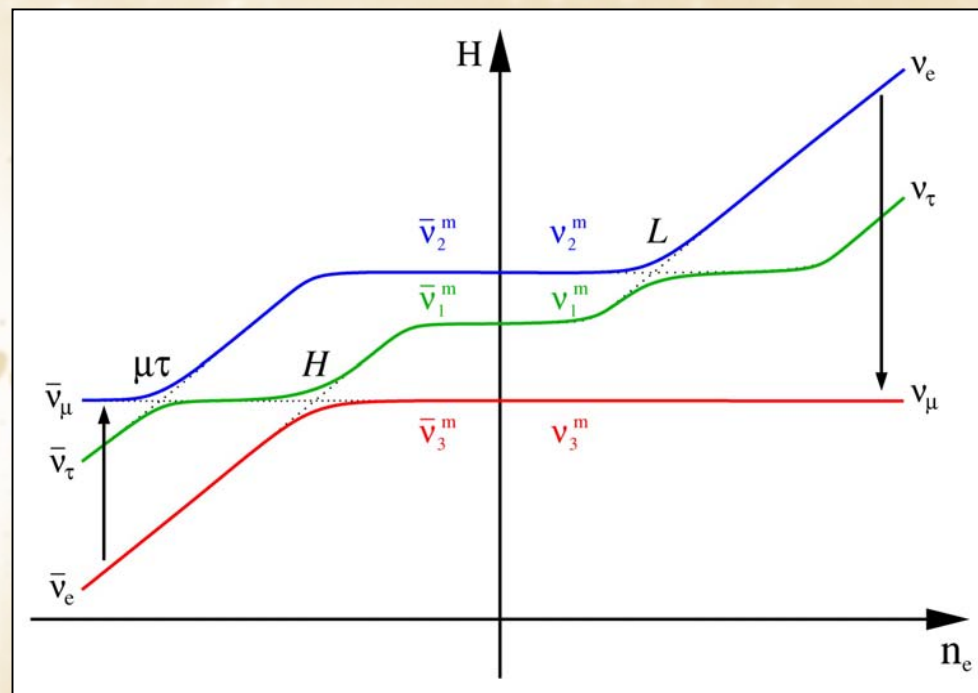
Esteban-Pretel, Pastor, Tomàs, Raffelt & Sigl, arXiv:0712.1137 (Dec. 2007)

# Level-Crossing Diagram with Large Mu-Tau Effect

Theta-23 in first octant



Theta-23 in second octant



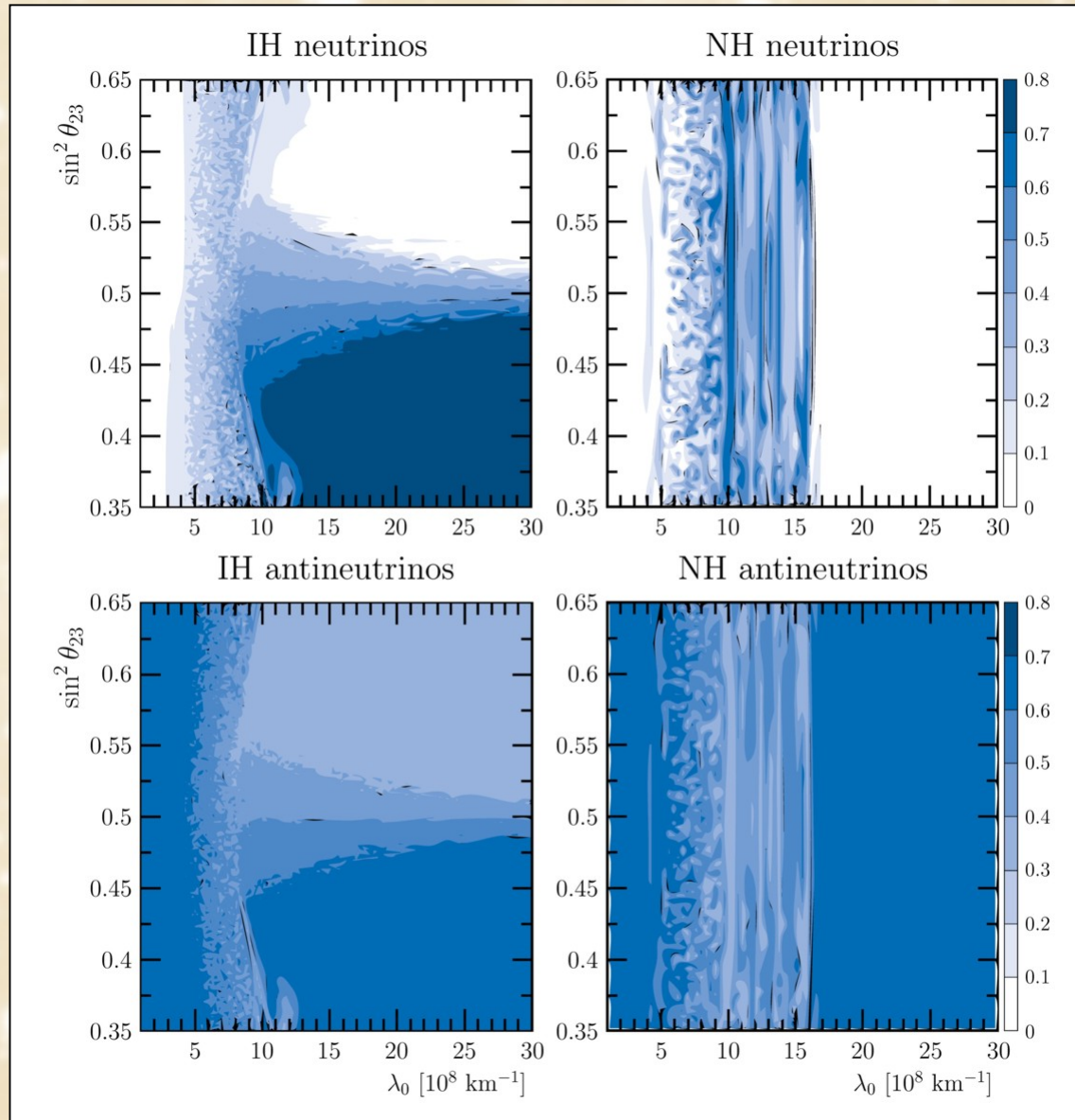
Esteban-Pretel, Pastor, Tomàs, Raffelt & Sigl, arXiv:0712.1137



# Flavor conversion depending on $\Delta V_{\mu\tau}$ and $\Theta_{23}$

$\nu_e$  flux emerging from SN surface (at nu sphere 25% larger than  $\bar{\nu}_e$  flux)

$\bar{\nu}_e$  flux emerging from SN surface (normalized to 1 at nu sphere)



Esteban-Pretel, Pastor, Tomàs, Raffelt & Sigl, arXiv:0712.1137

# Supernova Sensitivity to Neutrino Mixing Parameters

For inverted mass hierarchy, collective flavor conversions cause the flavor neutrino fluxes emerging from a supernova to be sensitive to mixing parameters in counter-intuitive ways

- $\theta_{13}$ , even if arbitrarily (?) small
- $\theta_{23}$ , small deviations from maximal mixing (if density is so large that  $\mu$ - $\tau$  matter effect important)
- Dirac phase: has not been investigated

# Multi-Energy and Multi-Angle Effects

$$(v) \quad \partial_t P_p = + \frac{\Delta m^2}{2p} \mathbf{B} \times P_p + \lambda \mathbf{L} \times P_p + \sqrt{2} G_F \int \frac{d^3 q}{(2\pi)^3} (1 - \cos \theta_{pq}) (P_q - \bar{P}_q) \times P_p$$

$$(\bar{\nu}) \quad \partial_t \bar{P}_p = - \frac{\Delta m^2}{2p} \mathbf{B} \times \bar{P}_p + \lambda \mathbf{L} \times \bar{P}_p + \sqrt{2} G_F \int \frac{d^3 q}{(2\pi)^3} (1 - \cos \theta_{pq}) (P_q - \bar{P}_q) \times \bar{P}_p$$

- Different modes oscillate with different frequencies → kinematical decoherence
- Self-maintained coherence by  $\nu$ - $\nu$  interactions
- Can lead to “spectral split”

Isotropic matter background affects all modes the same

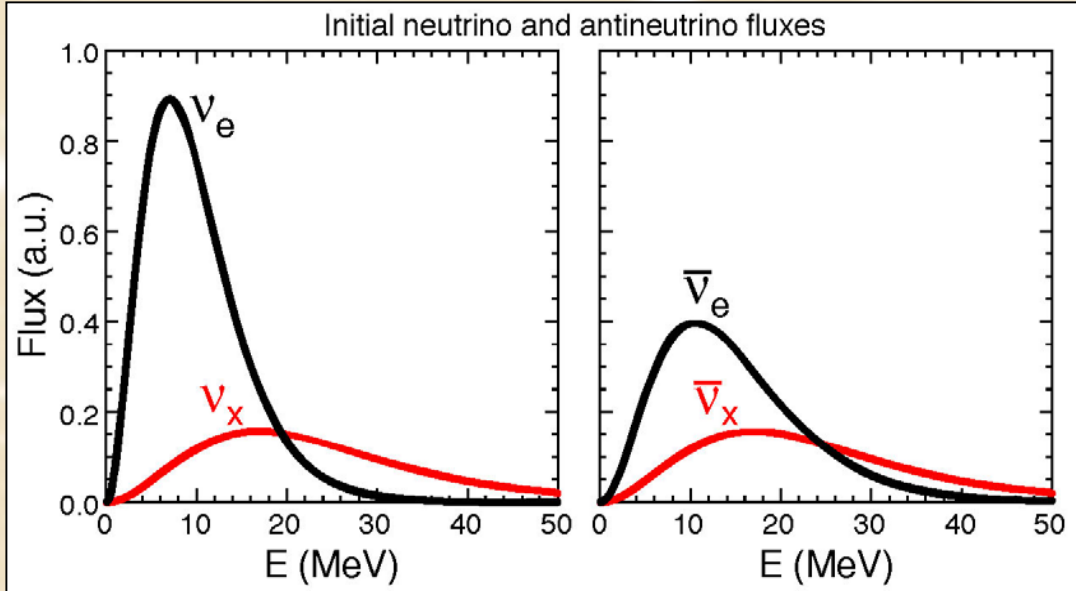
Multi-angle effects for non-isotropic  $\nu$  distribution (streaming from SN): Different modes should oscillate differently → kinematical decoherence However,  $\nu$ - $\nu$  interaction can lead to

- “Angular synchronization” (quasi-single angle behavior)
- Self-accelerated multi-angle decoherence

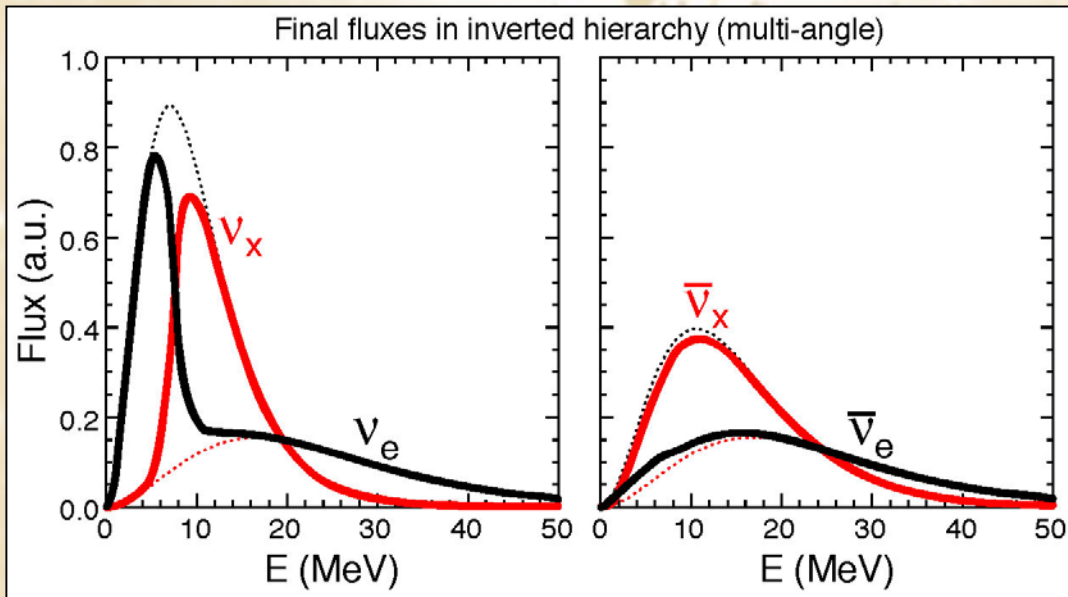


# Spectral Split (Stepwise Spectral Swapping)

Initial fluxes  
at nu sphere



After  
collective  
trans-  
formation



For explanation see

Raffelt & Smirnov  
arXiv:0705.1830

0709.4641

Duan, Fuller,  
Carlson & Qian  
arXiv:0706.4293

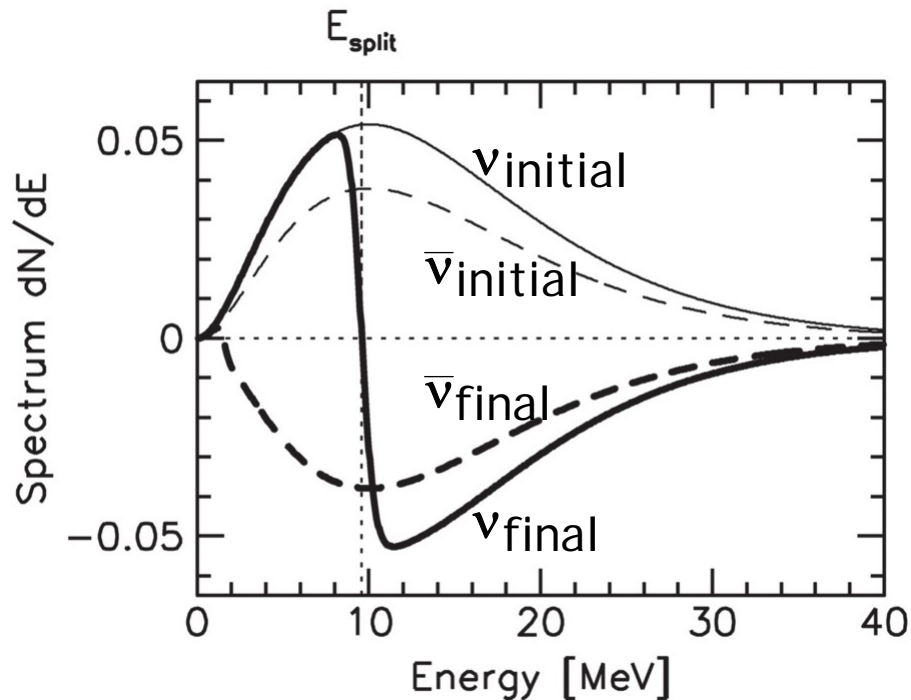
0707.0290

Fogli, Lisi, Marrone & Mirizzi, arXiv:0707.1998

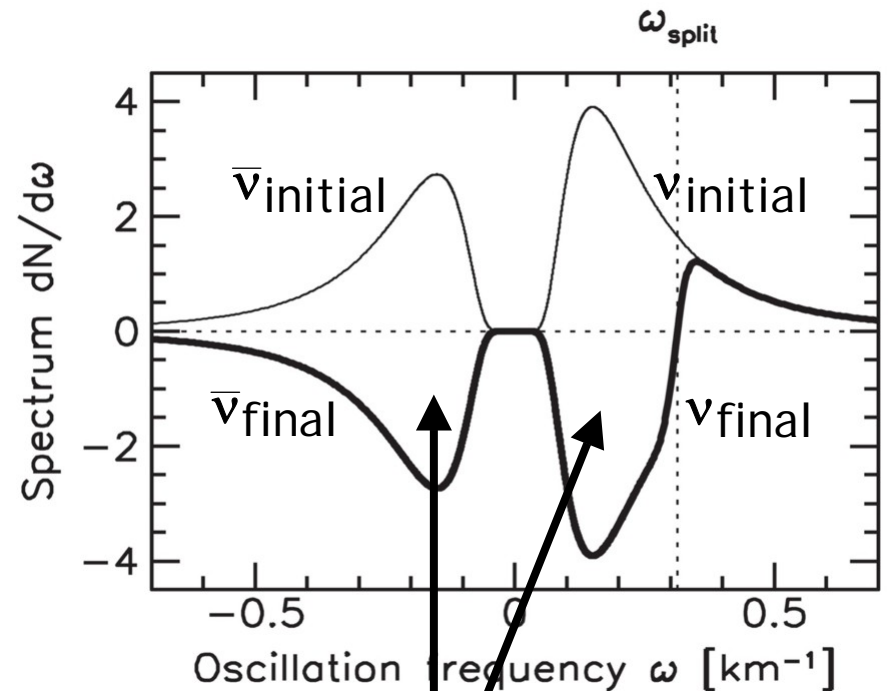
# Spectral split in terms of the $\omega$ variable

Collective conversion of thermal spectra of  $\nu_e$  and  $\bar{\nu}_e$  as in a supernova

Energy spectrum



Spectrum in terms of  $\omega = \Delta m^2/2E$



Flavor lepton number conservation:  
Equal integrals

Raffelt & Smirnov, arXiv:0709.4641

# Adiabatic Evolution in Co-Rotating Frame

$$(v) \quad \partial_t P_p = + \frac{\Delta m^2}{2p} B \times P_p + \sqrt{2} G_F \int \frac{d^3 q}{(2\pi)^3} (P_q - \bar{P}_q) \times P_p$$

$$(\bar{v}) \quad \partial_t \bar{P}_p = - \frac{\Delta m^2}{2p} B \times \bar{P}_p + \sqrt{2} G_F \int \frac{d^3 q}{(2\pi)^3} (P_q - \bar{P}_q) \times \bar{P}_p$$

$$\partial_t P_\omega = \omega B \times P_\omega + \mu D \times P_\omega$$

$$(v) \quad \omega = + \Delta m^2 / 2E$$

$$(\bar{v}) \quad \omega = - \Delta m^2 / 2E$$

- Each mode follows its "Hamiltonian"
- All Hamiltonians are in a single plane
- Initially ( $\mu = \infty$ ) all modes are aligned with their Hamiltonians
- For adiabatic evolution ( $\mu$  evolves slowly) always stay aligned with  $H_\omega$
- In the co-rotating plane of B and D, the Hamiltonians  $H_\omega$  are static, evolution is adiabatic
- In the end ( $\mu = 0$ ) all modes with  $\omega > \omega_c$  aligned with B, all modes with  $\omega < \omega_c$  anti-aligned
- Final value  $\omega_c = \omega_{\text{split}}$  determined by flavor-lepton conservation

$$\partial_t P_\omega = H_\omega \times P_\omega$$

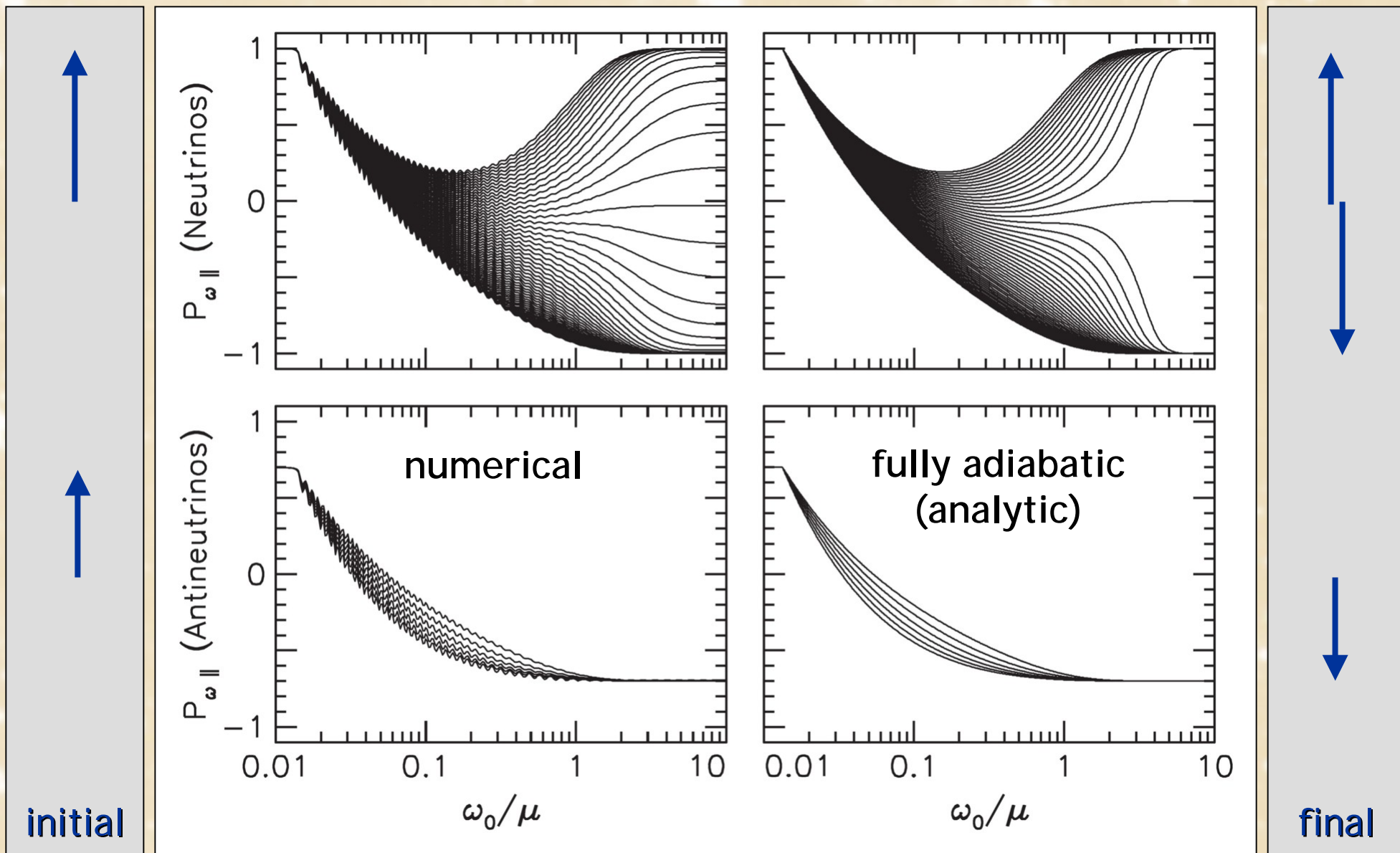
$$H_\omega = \omega B + \mu D$$

$$P_\omega \parallel \mu D \approx H_\omega$$

$$H_\omega = (\omega - \omega_c) B + \mu D$$



# Evolution of Energy Modes Toward a Spectral Split

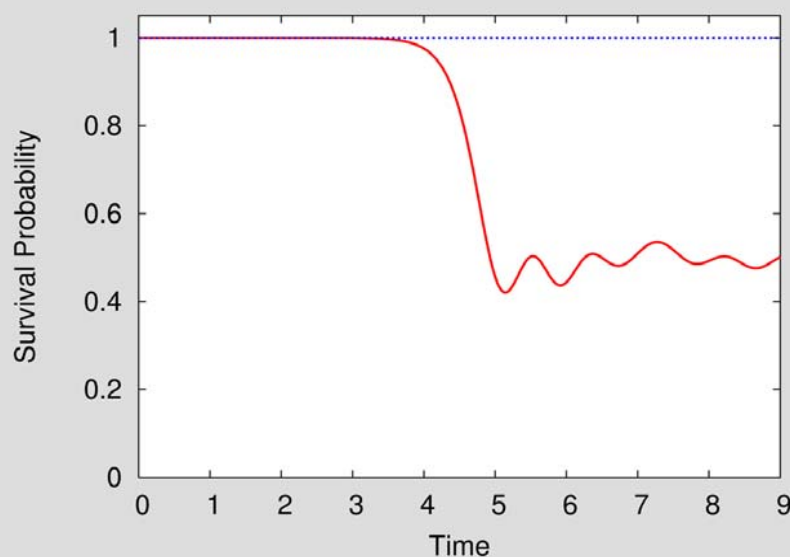


Raffelt & Smirnov, arXiv:0709.4641

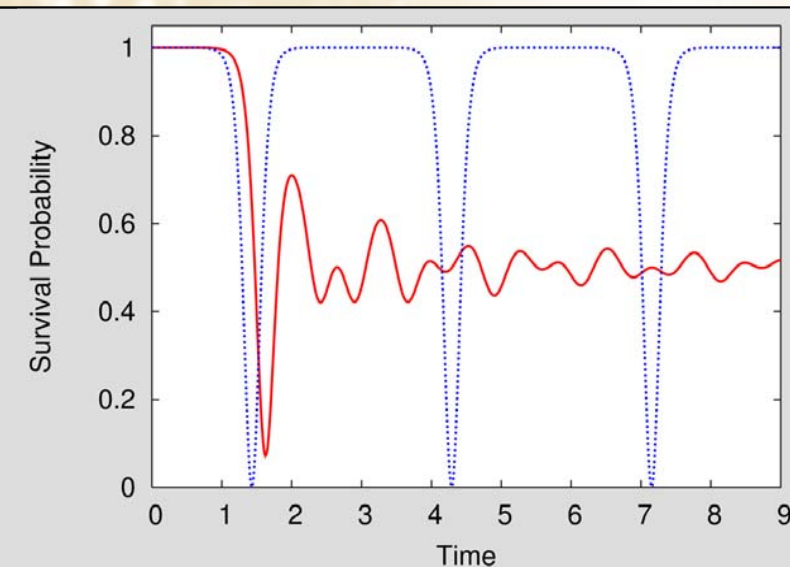
# Neutrinos in a Box: Kinematical Multi-Angle Decoherence

## Isotropic vs. "half isotropic"

Normal Hierarchy



Inverted Hierarchy

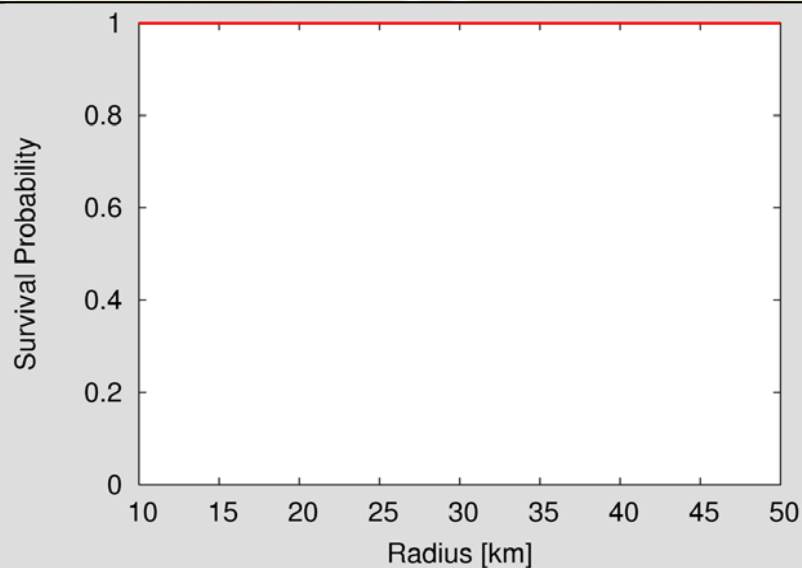


- Complete kinematical decoherence for both hierarchies
- A very small initial deviation from isotropy is enough to trigger a run-away
- Isotropic case an unstable fixed point
- Flavor equipartition generic outcome
- Pure flavor system not stable on the classical level

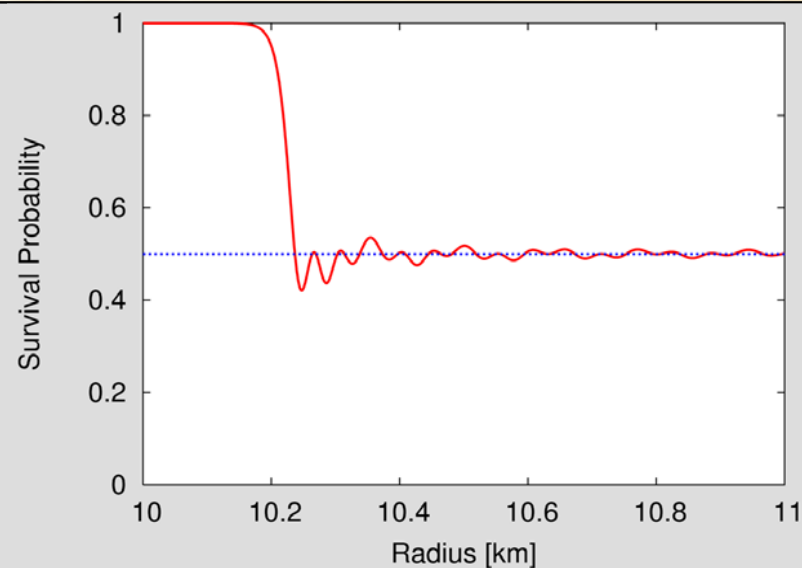
Raffelt & Sigl:  
Self-induced decoherence in  
dense neutrino gases  
[hep-ph/0701182]

# Multi-Angle Kinematical Decoherence (Symmetric Case)

Isotropic (single angle)

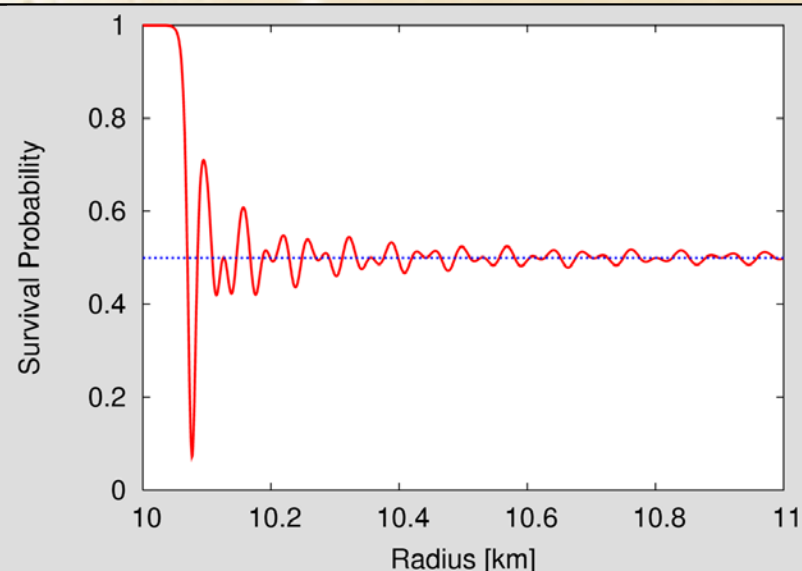
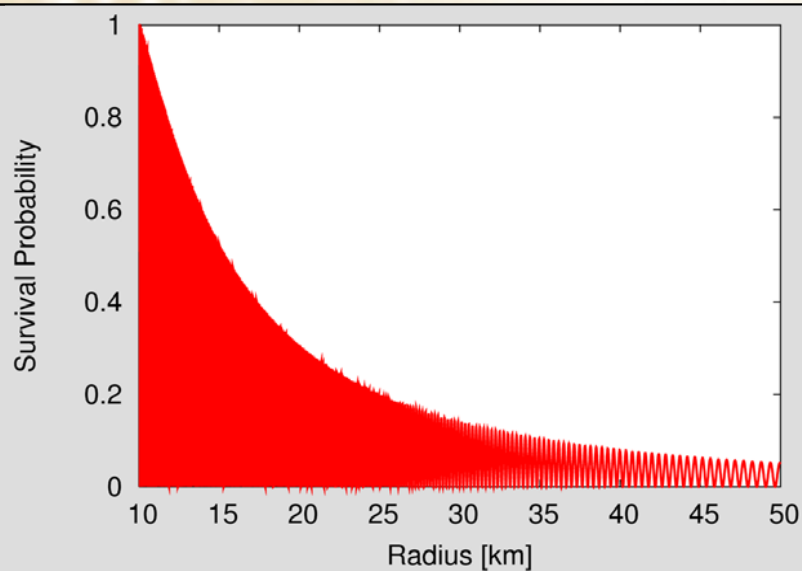


Large flux ("half isotropic")

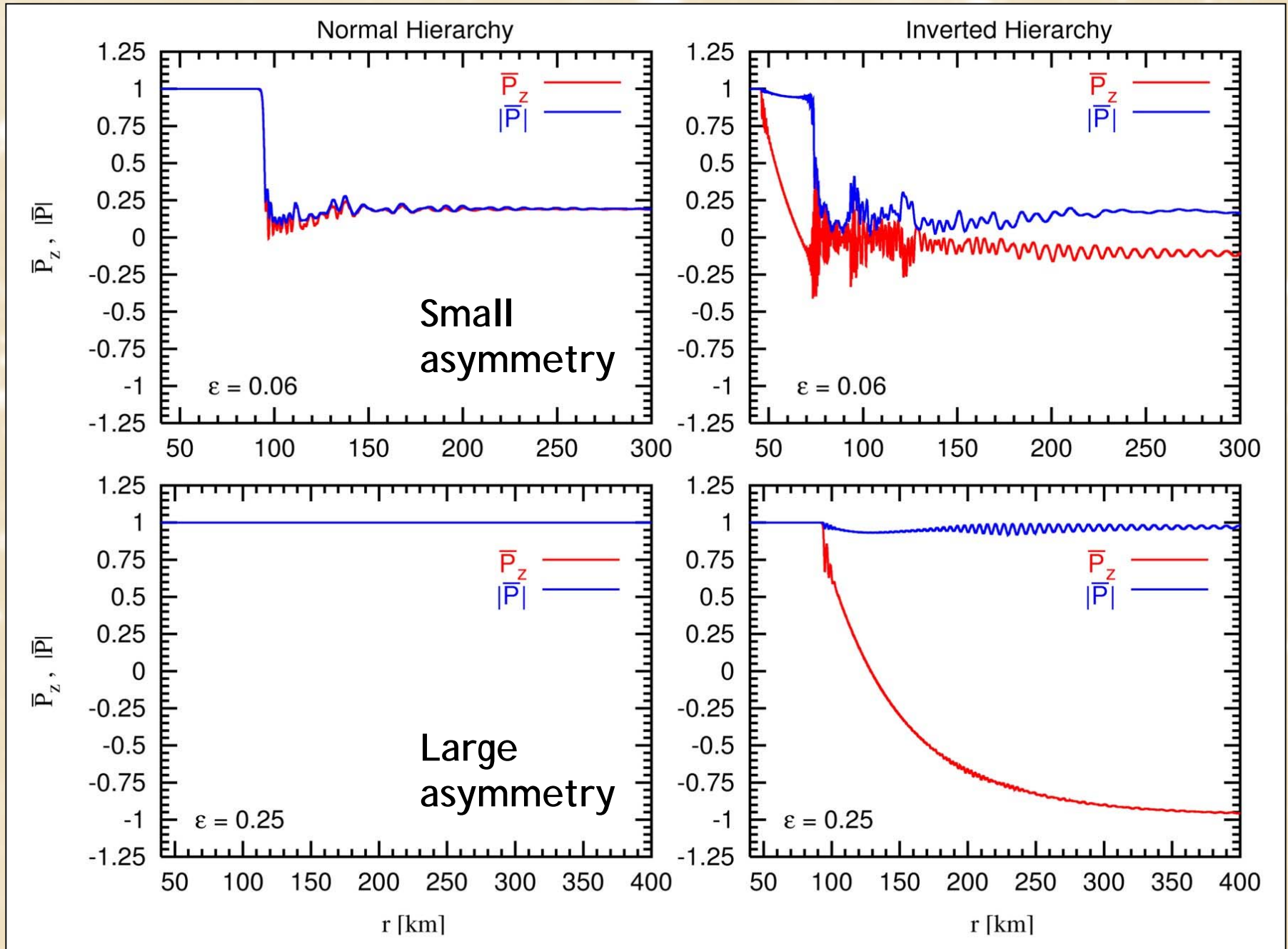


Normal  
Hierarchy

Inverted  
Hierarchy



# Examples for Kinematical Decoherence





# End State of Polarization Vectors for Angular Modes

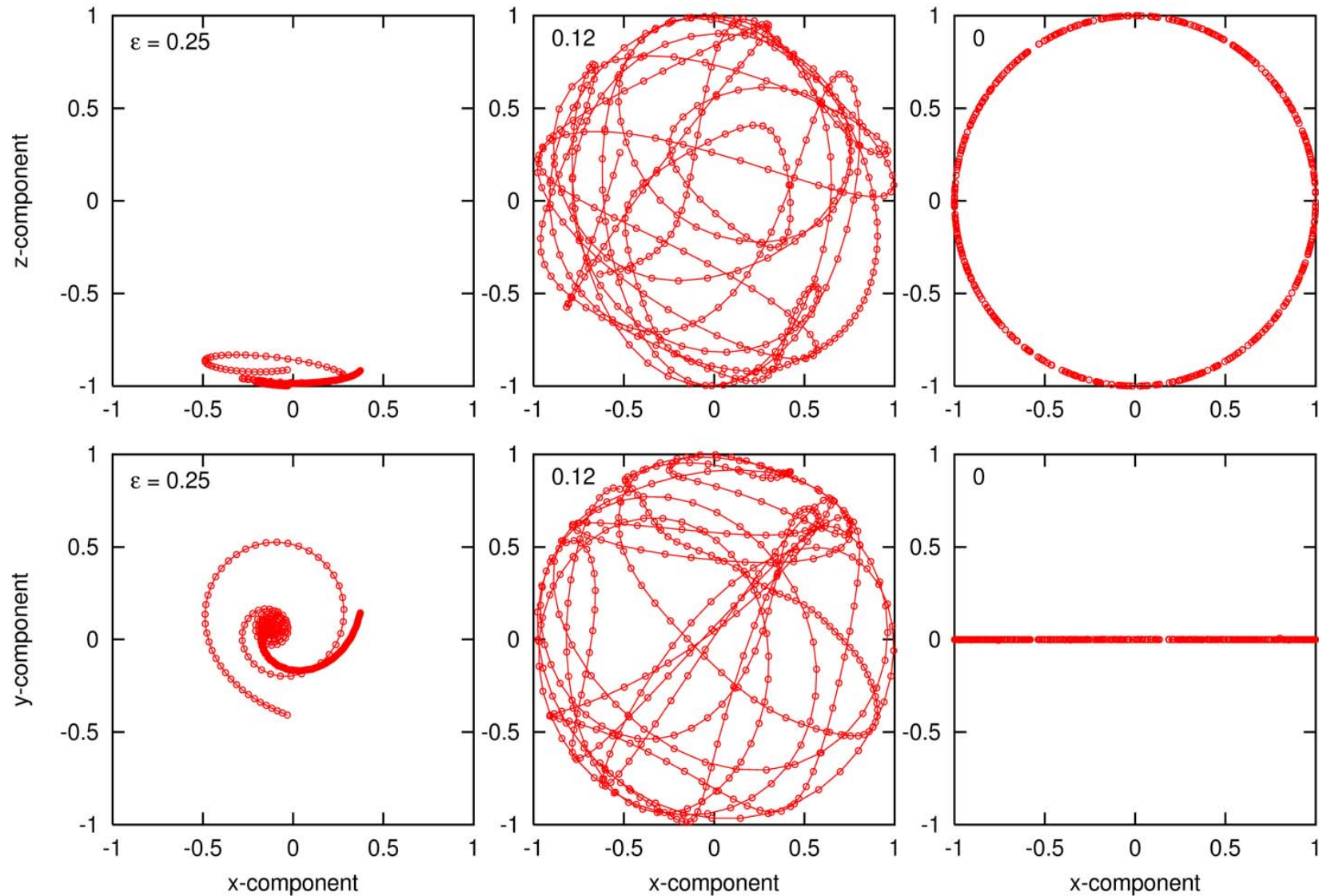
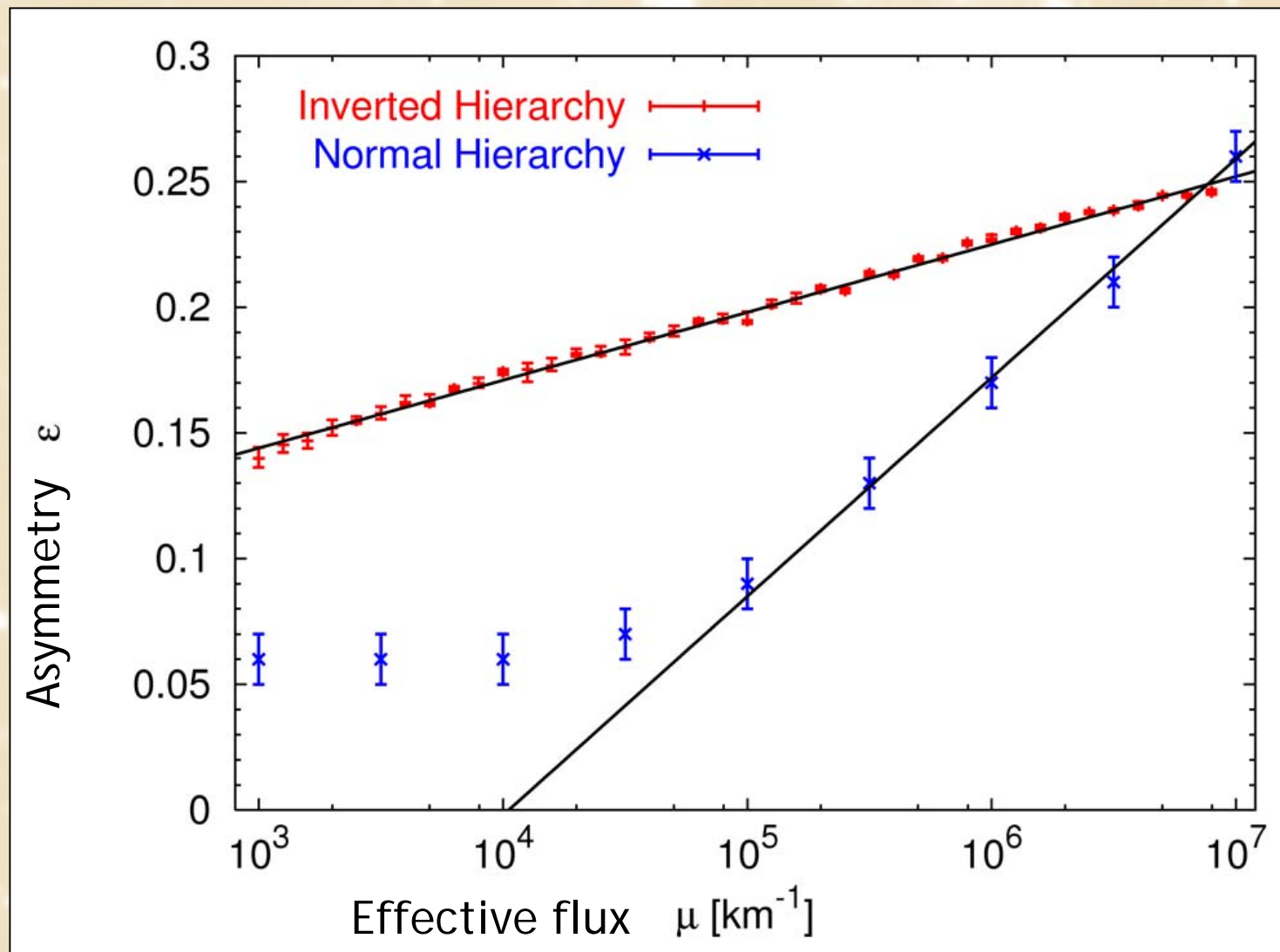


FIG. 3: Final location on the unit sphere of 500 antineutrino polarization vectors for our standard parameters and the inverted hierarchy. The top row is the “side view” ( $x$ - $z$ -components), the bottom row the “top view” ( $x$ - $y$ -components). Left: quasi single-angle case ( $\epsilon = 0.25$ ). Middle: decoherent case ( $\epsilon = 0.12$ ). Right: symmetric system ( $\epsilon = 0$ ).

# Critical Asymmetry for Decoherence



Esteban-Pretel, Pastor, Tomàs, Raffelt & Sigl:  
Decoherence in supernova neutrino transformations  
suppressed by deleptonization [astro-ph/0706.2498](https://arxiv.org/abs/astro-ph/0706.2498)



Looking forward to the next galactic supernova





May take a long time





No problem



Lots of theoretical work to do!