

# The dark side of the light fermions

- Neutrino masses: the seesaw mechanism, *well adjusted*, allows for **dark matter in the form of sterile (right-handed) neutrinos**
- Pulsar velocities explained by the same sterile neutrino with 2-20 keV mass (emission from a supernova is anisotropic!) Other astrophysical hints: reionization, star formation
- A singlet Higgs boson with an  $L$  violating coupling to neutrinos facilitates the mass generation and the production of relatively cold sterile neutrinos
- X-ray bounds and the future prospects, including Suzaku observations (together with Loewenstein, Biermann)

## Neutrino masses

**Hitoshi: neutrino mass  $\Rightarrow$  right-handed states exist!**

The discovery of the neutrino mass is the discovery of new particles  
(at some scale).

## Neutrino masses

Discovery of neutrino masses implies a plausible existence of right-handed (sterile) neutrinos. Most models of neutrino masses introduce sterile states

$$\{\nu_e, \nu_\mu, \nu_\tau, \nu_{s,1}, \nu_{s,2}, \dots, \nu_{s,N}\}$$

and consider the following lagrangian:

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \bar{\nu}_{s,a} (i\partial_\mu \gamma^\mu) \nu_{s,a} - y_{\alpha a} H \bar{L}_\alpha \nu_{s,a} - \frac{M_{ab}}{2} \bar{\nu}_{s,a}^c \nu_{s,b} + h.c.,$$

where  $H$  is the Higgs boson and  $L_\alpha$  ( $\alpha = e, \mu, \tau$ ) are the lepton doublets. The mass matrix:

$$M = \begin{pmatrix} \tilde{m}_{3 \times 3} & D_{3 \times N} \\ D_{N \times 3}^T & M_{N \times N} \end{pmatrix}$$

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where  $H$  is the Higgs boson and  $L_\alpha$  ( $\alpha = e, \mu, \tau$ ) are the lepton doublets. The mass matrix:

$$M = \begin{pmatrix} 0 & D_{3 \times N} \\ D_{N \times 3}^T & M_{N \times N} \end{pmatrix}$$

What is the *natural* scale of  $M$ ?

**Seesaw mechanism** [Yanagida et al.]

In the Standard Model, the matrix  $D$  arises from the Higgs mechanism:

$$D_{ij} = y_{ij} \langle H \rangle$$

Smallness of neutrino masses **does not** imply the smallness of Yukawa couplings. For large  $M$ ,

$$m_\nu \sim \frac{y^2 \langle H \rangle^2}{M}$$

One can understand the smallness of neutrino masses even if the Yukawa couplings are  $y \sim 1$  [Yanagida; Gell-Mann, Ramond, Slansky; Glashow; Mohapatra, Senjanović].

Is  $y \sim 1$  better than  $y \ll 1$ ?

Depends on the model.

- If  $y \approx$  some intersection number in string theory, then  $y \sim 1$  is natural
- If  $y$  comes from wave function overlap of fermions living on different branes in a model with extra-dimensions, then it can be exponentially suppressed, hence,  $y \ll 1$  is natural.

In the absence of theory of the Yukawa couplings, one evokes some naturalness arguments.

## 't Hooft's naturalness criterion

### Small number is natural if setting it to zero increases the symmetry

Small breaking of the symmetry  $\Rightarrow$  small number

- Pion masses are small because the massless pions correspond to exact chiral symmetry  
**natural**
- Gauge hierarchy problem: small  $M_{\text{Higgs}}/m_{\text{Planck}}$  is **not natural in the Standard Model** because setting  $M_{\text{Higgs}} = 0$  does not increase the symmetry. In a supersymmetric extension,  $M_{\text{Higgs}} \approx M_{\text{Higgsino}}$ , and setting  $M_{\text{Higgsino}} = 0$  increases the overall (chiral) symmetry. Hence, a light Higgs is **natural in SUSY models**.
- Cosmological constant problem:  $\Lambda \rightarrow 0$  does not increase the symmetry. Hence, **not natural**.

What if one applies this criterion to sterile neutrinos? Symmetry increases for  $M \rightarrow 0$ , namely, the chiral symmetry of right-handed fields.

Small  $M$  is technically **natural**.

## Clues from cosmology?

Baryon asymmetry of the universe could be generated by **leptogenesis** [Fukugita, Yanagida]

However, leptogenesis can work for both  $M \gg 100$  GeV and  $M < 100$  GeV:

- For  $M \gg 100$  GeV, heavy sterile neutrino decays can produce the lepton asymmetry, which is converted to baryon asymmetry by sphalerons [Fukugita, Yanagida]
- For  $M < 100$  GeV, neutrino oscillations can produce the lepton asymmetry, which is converted to baryon asymmetry by sphalerons [Akhmedov, Rubakov, Smirnov; Asaka, Shaposhnikov]



Over the years, neutrino physics has shown many theoretical prejudices to be wrong: neutrinos were expected to be massless, neutrinos were expected to have small mixing angles, etc.

Since the fundamental theory of neutrino masses is lacking, one should

**consider all allowed values  
for the sterile neutrino masses**

in the following lagrangian:

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \bar{\nu}_{s,a} (i\partial_\mu \gamma^\mu) \nu_{s,a} - y_{\alpha a} H \bar{L}_\alpha \nu_{s,a} - \frac{M_{aa}}{2} \bar{\nu}_{s,a}^c \nu_{s,a} + h.c. ,$$

where  $M$  is can be small or large

## Astrophysical clues: dark matter

Dark matter – a simple solution:

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use one of the particles already introduced to give the neutrino masses

⇒ sterile neutrino

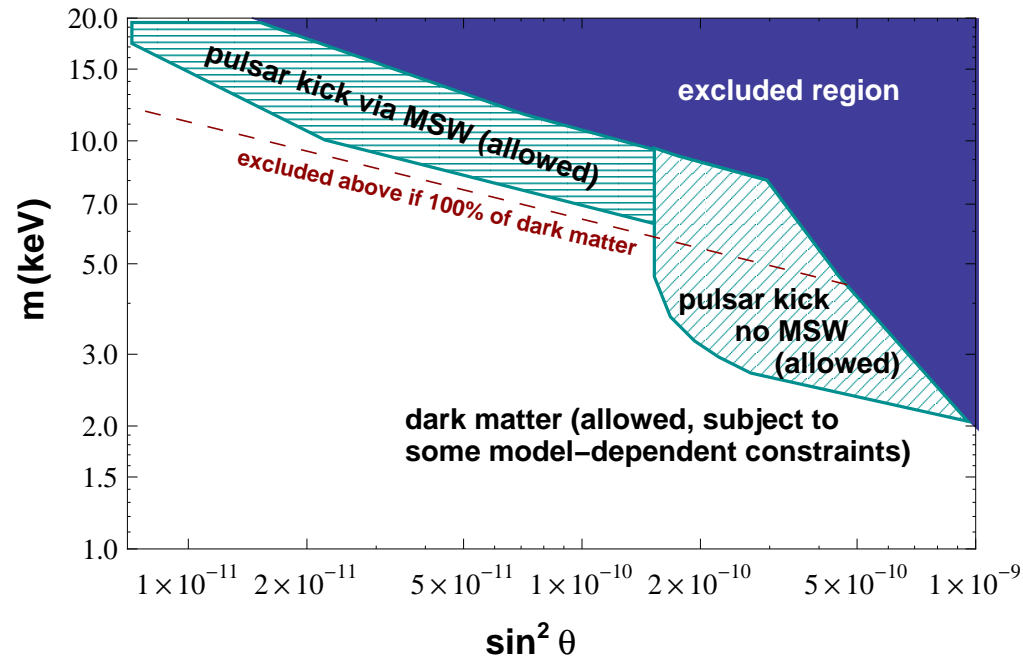
side benefit: explanation of the pulsar kicks, supernova asymmetries

## Sterile neutrinos in the early universe

Sterile neutrinos are produced in primordial plasma through

- off-resonance oscillations. [Dodelson, Widrow; Abazajian, Fuller; Dolgov, Hansen; Asaka, Laine, Shaposhnikov et al.]
- oscillations on resonance, if the lepton asymmetry is non-negligible [Fuller, Shi]
- **production mechanisms which do not involve oscillations**
  - inflaton decays directly into sterile neutrinos [Shaposhnikov, Tkachev]
  - Higgs physics: both mass and production [AK, Petraki]

# X-ray and Lyman- $\alpha$ bounds on sterile neutrinos



[AK, PRL **97**, 241301 (2006)]

[A. Palazzo, D. Cumberbatch, A. Slosar, J. Silk Phys.Rev. **D76**, 103511 (2007)]

[Anze's talk]

## Dark matter and the Lyman- $\alpha$ forest.

The bounds depend on the production mechanism.

$$\lambda_{FS} \approx 1 \text{ Mpc} \left( \frac{\text{keV}}{m_s} \right) \left( \frac{\langle p_s \rangle}{3.15 T} \right)_{T \approx 1 \text{ keV}}$$

The ratio

$$\left( \frac{\langle p_s \rangle}{3.15 T} \right)_{T \approx 1 \text{ keV}} = \begin{cases} 0.9 & \text{for production off - resonance} \\ 0.6 & \text{for MSW resonance (depends on } L) \\ 0.2 & \text{for production at } T > 100 \text{ GeV} \end{cases}$$

## Neutrino masses: new scale or new Higgs physics?

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \bar{N}_a (i\partial_\mu \gamma^\mu) N_a - y_{\alpha a} H \bar{L}_\alpha N_a - \frac{M_a}{2} \bar{N}_a^c N_a + h.c.,$$

To explain the pulsar kicks and dark matter, one needs  $M \sim \text{keV}$ . Is this a new fundamental scale? Perhaps. Alternatively, it could arise from the Higgs mechanism:

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \bar{N}_a (i\partial_\mu \gamma^\mu) N_a - y_{\alpha a} H \bar{L}_\alpha N_a - h_a S \bar{N}_a^c N_a + V(H, S)$$

$$M = h \langle S \rangle$$

Now  $S \rightarrow NN$  decays can produce sterile neutrinos [AK, Petraki, Shaposhnikov, Tkachev]

For small  $h$ , the sterile neutrinos are out of equilibrium in the early universe, but  $S$  is in equilibrium. There is a new mechanism to produce sterile dark matter at  $T \sim m_S$  from decays  $S \rightarrow NN$ :

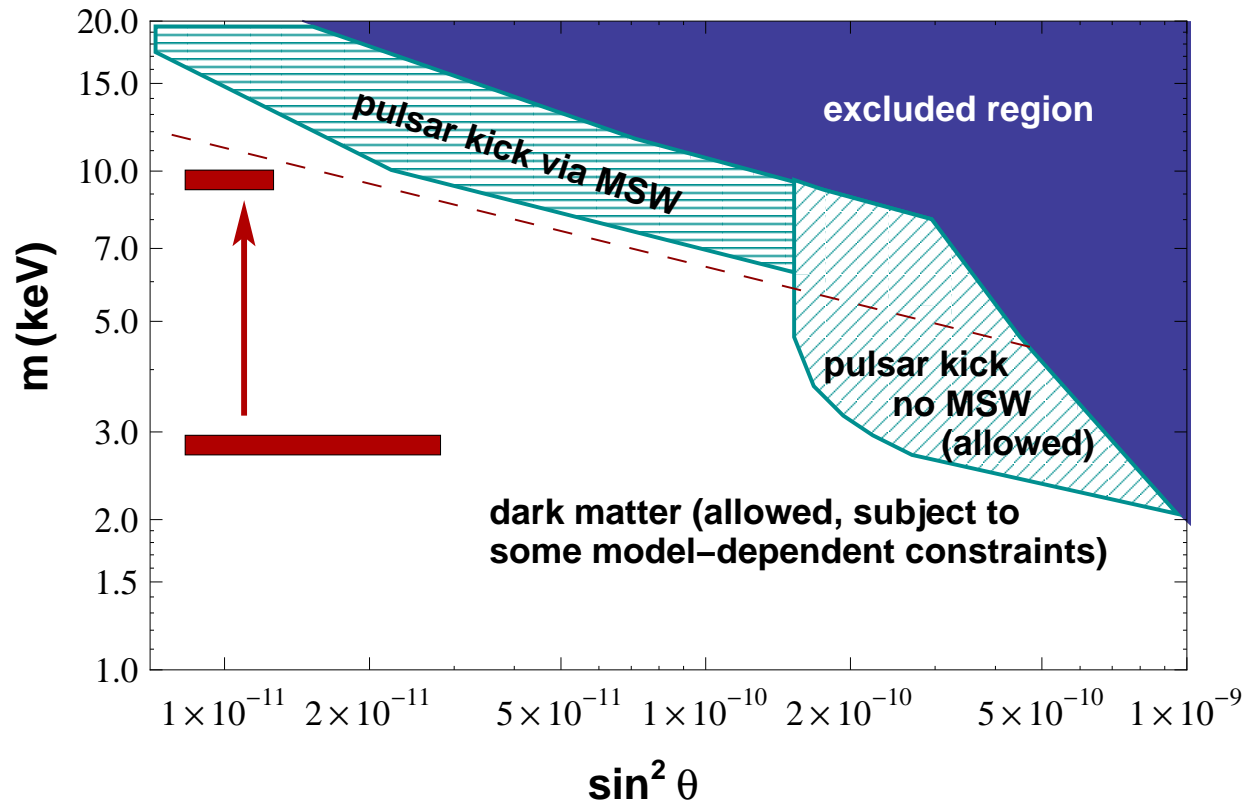
$$\Omega_s = 0.2 \left( \frac{33}{\xi} \right) \left( \frac{h}{1.4 \times 10^{-8}} \right)^3 \left( \frac{\langle S \rangle}{\tilde{m}_S} \right)$$

Here  $\zeta$  is the dilution factor due to the change in effective numbers of degrees of freedom.

$$\langle S \rangle = \frac{M_s}{h} \sim \frac{\text{few keV}}{1.4 \times 10^{-8}} \sim 10^2 \text{ GeV}$$

The sterile neutrino momenta are red-shifted by factor  $\zeta^{1/3} > 3.2$ . [AK, Petraki]

# Cooling changes the Lyman- $\alpha$ bounds



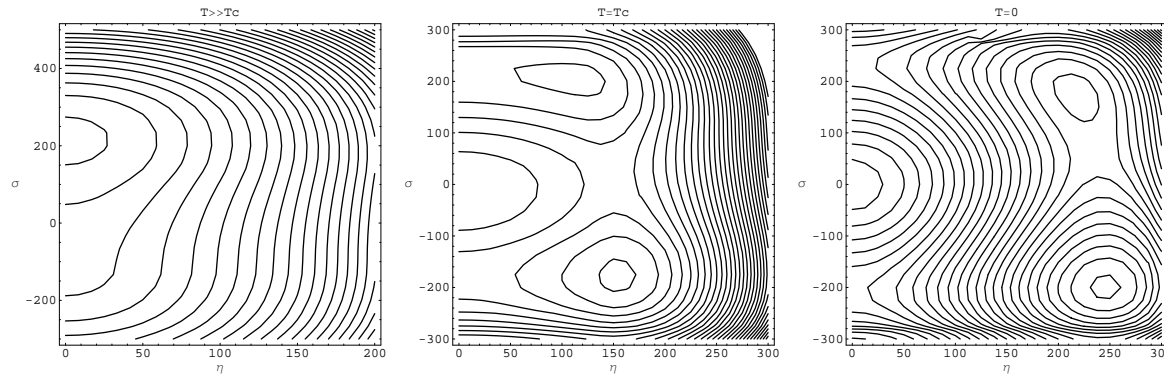
[AK, PRL **97**:241301 (2006); Petraki, AK, PRD **77**, 065014 (2008); Petraki, PRD, in press ]



## Implications for the EW phase transition and the LHC

One may be able to discover the *singlet Higgs* at the LHC [Profumo, Ramsey-Musolf, G. Shaughnessy; Davoudiasl et al.; O'Connell et al.; Ramsey-Musolf, Wise]

The presence of  $S$  in the Higgs sector changes the nature of the electroweak phase transition [AK, Petraki]



First-order transition, CP in the Higgs sector  $\implies$  **electroweak baryogenesis**

## Astrophysical clues: supernova

- Sterile neutrino emission from a supernova is anisotropic due to
  1. asymmetries in the urca cross sections
  2. magnetic effects on neutrino oscillations
- Sterile neutrinos with masses and mixing angles consistent with dark matter can explain the pulsar velocities

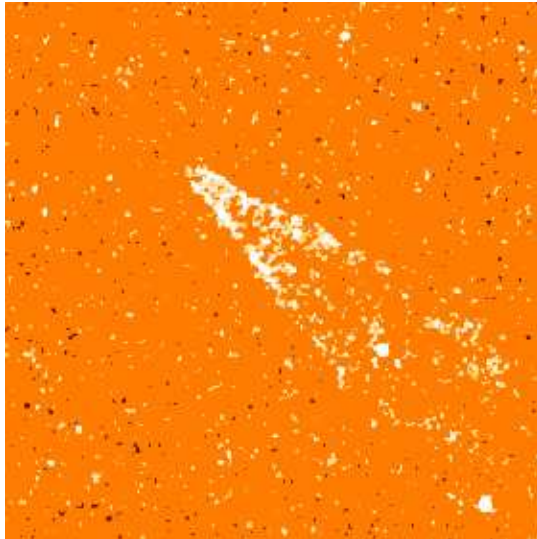
[AK, Segrè; Fuller, AK, Mocioiu, Pascoli; Barkovich, D'Olivo, Montemayor]

## The pulsar velocities.

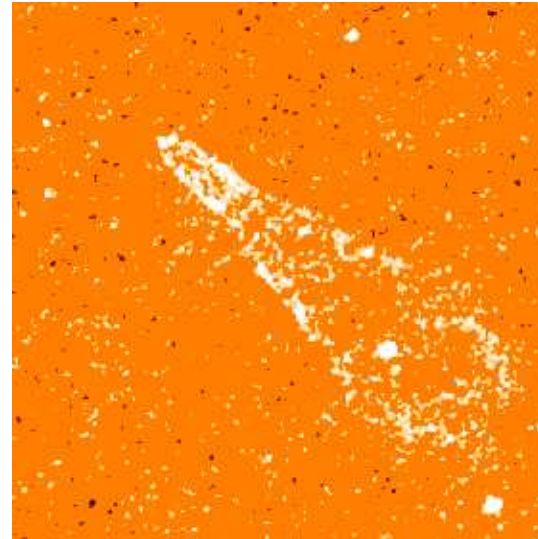
Pulsars have large velocities,  $\langle v \rangle \approx 250 - 450 \text{ km/s}$ .  
[Cordes *et al.*; Hansen, Phinney; Kulkarni *et al.*; Lyne *et al.* ]

A significant population with  $v > 700 \text{ km/s}$ ,  
about **15 %** have  $v > 1000 \text{ km/s}$ , up to **1600 km/s**.  
[Arzoumanian *et al.*; Thorsett *et al.* ]

## A very fast pulsar in Guitar Nebula

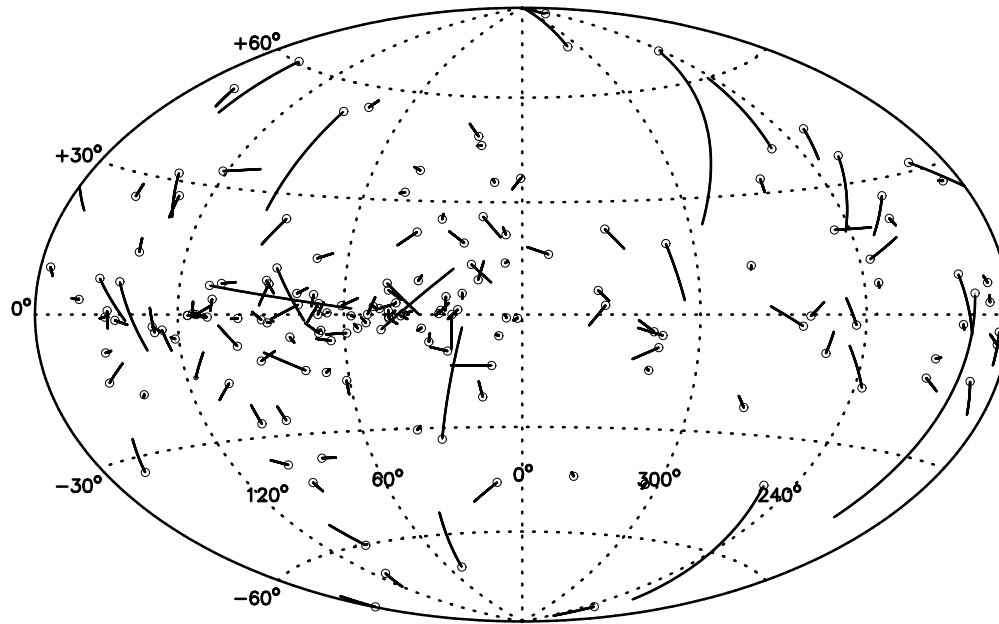


HST, December 1994



HST, December 2001

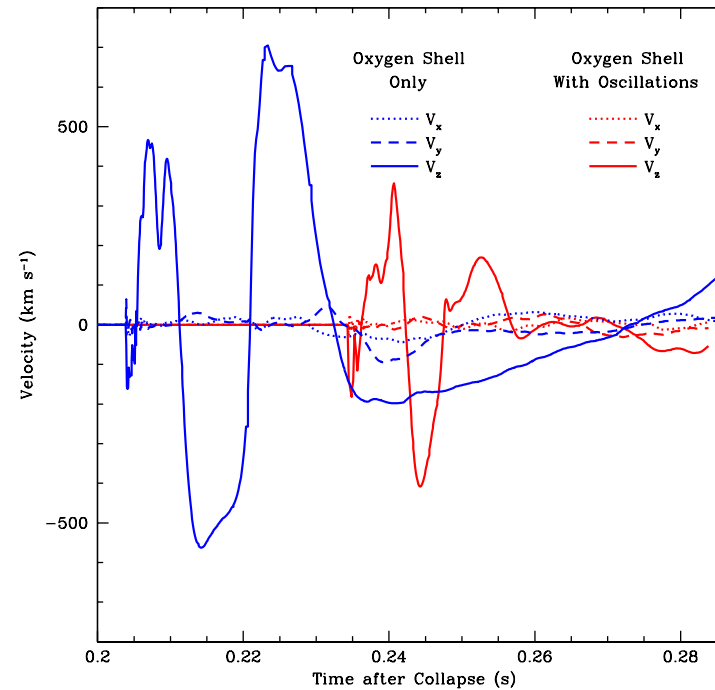
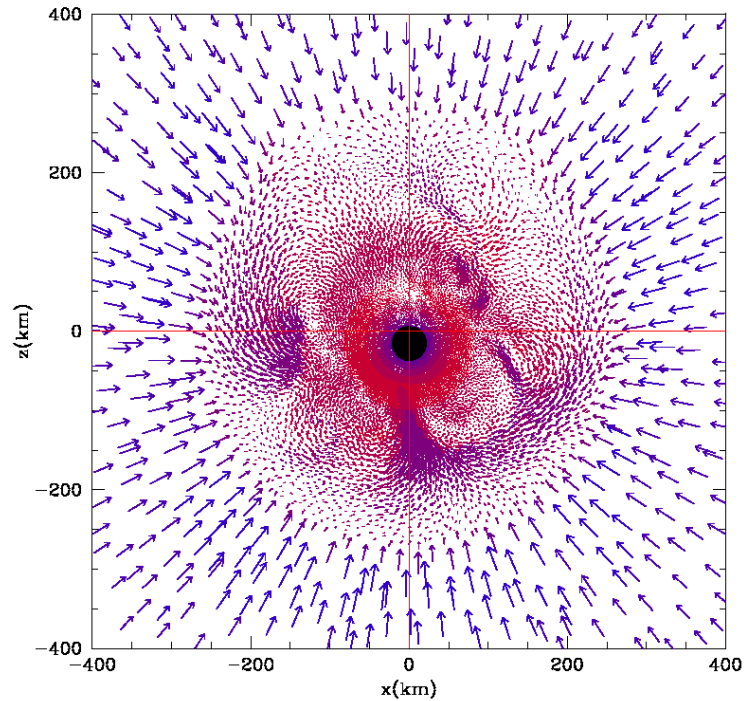
## Map of pulsar velocities



## Proposed explanations:

- asymmetric collapse [Shklovskii] (small kick)
- evolution of close binaries [Gott, Gunn, Ostriker] (not enough)
- acceleration by EM radiation [Harrison, Tademaru] (kick small, predicted polarization not observed)
- asymmetry in EW processes that produce neutrinos [Chugai; Dorofeev, Rodinov, Ternov] (asymmetry washed out)
- “cumulative” parity violation [Lai, Qian; Janka] (it’s *not* cumulative )
- various exotic explanations
- explanations that were “not even wrong” ...

## Asymmetric collapse



“...the most extreme asymmetric collapses do not produce final neutron star velocities above  $200\text{km/s}$ ” [Fryer]

## Supernova neutrinos

Nuclear reactions in stars lead to a formation of a heavy iron core. When it reaches  $M \approx 1.4M_{\odot}$ , the pressure can no longer support gravity.  $\Rightarrow$  collapse.

Energy released:

$$\Delta E \sim \frac{G_N M_{\text{Fe core}}^2}{R} \sim 10^{53} \text{ erg}$$

99% of this energy is emitted in neutrinos



**Pulsar kicks from neutrino emission?**

Pulsar with  $v \sim 500$  km/s has momentum

$$M_{\odot} v \sim 10^{41} \text{ g cm/s}$$

SN energy released:  $10^{53}$  erg  $\Rightarrow$  in neutrinos. Thus, the total neutrino momentum is

$$P_{\nu; \text{total}} \sim 10^{43} \text{ g cm/s}$$

a **1% asymmetry** in the distribution of **neutrinos**

is sufficient to explain the pulsar kick velocities

But what can cause the asymmetry??

## Magnetic field?

Neutron stars have large magnetic fields. A typical pulsar has surface magnetic field  $B \sim 10^{12} - 10^{13}$  G.

Recent discovery of *soft gamma repeaters* and their identification as *magnetars*

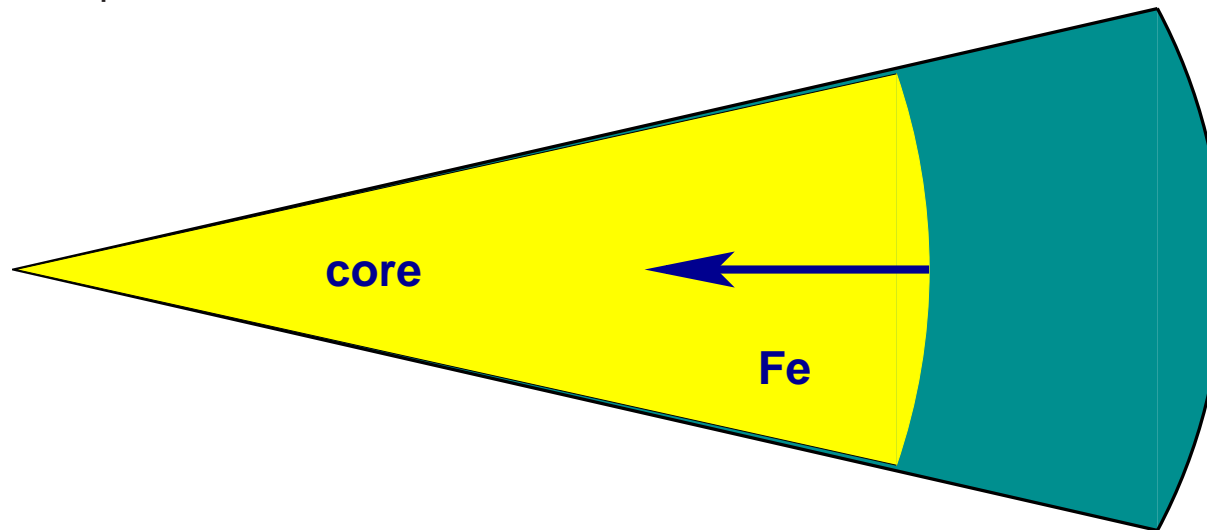
⇒ some neutron stars have surface magnetic fields as high as  $10^{15} - 10^{16}$  G.

⇒ magnetic fields inside can be  $10^{15} - 10^{16}$  G.

Neutrino magnetic moments are negligible, but the **scattering of neutrinos off polarized electrons and nucleons** is affected by the magnetic field.

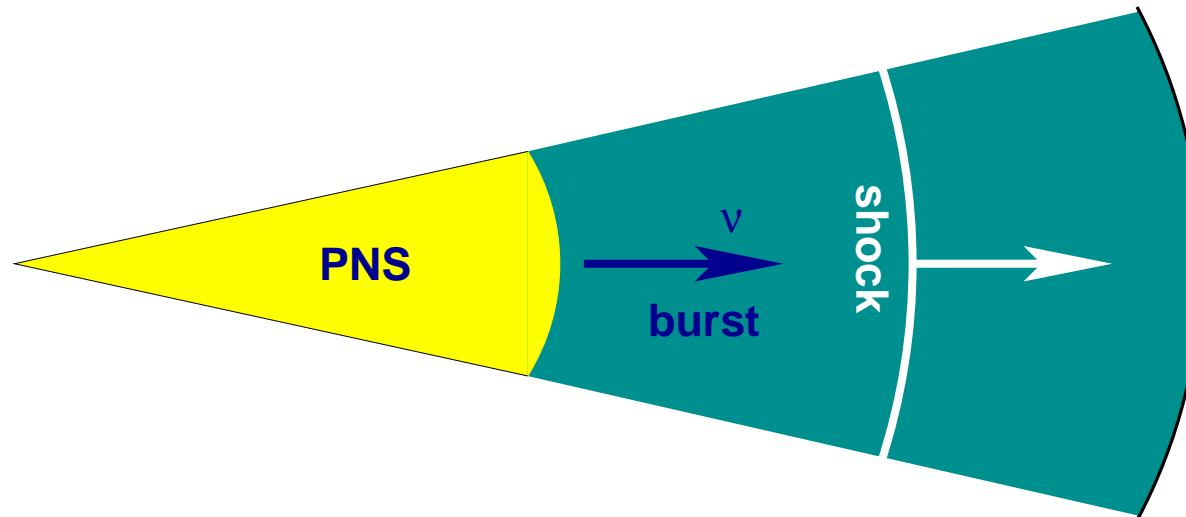
## Core collapse supernova

Onset of the collapse:  $t = 0$



## Core collapse supernova

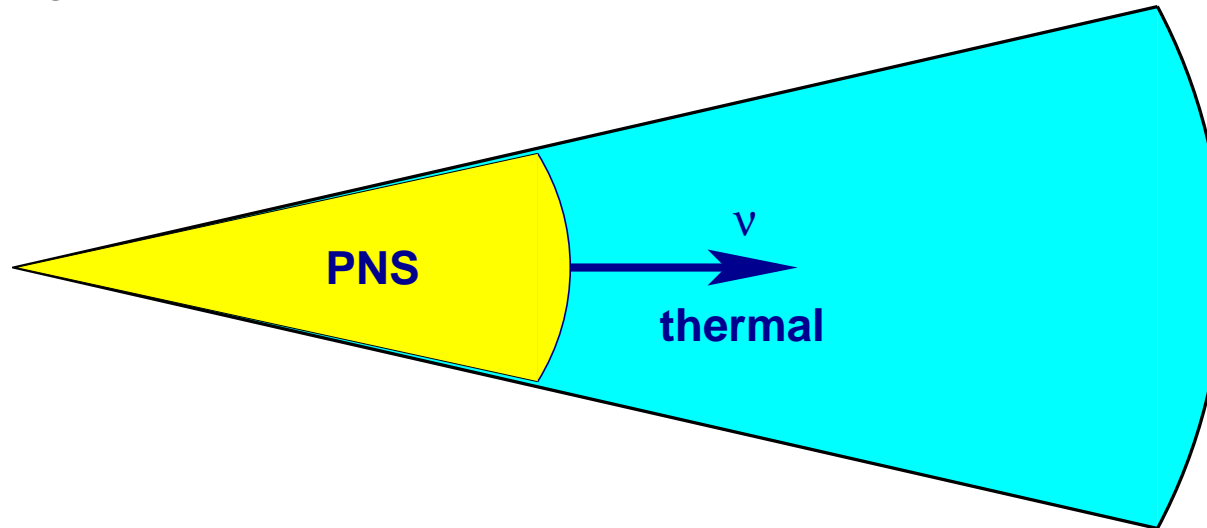
Shock formation and “neutronization burst”:  $t = 1 - 10$  ms



Protoneutron star formed. Neutrinos are trapped. The shock wave breaks up nuclei, and the initial neutrino come out (a few %).

## Core collapse supernova

Thermal cooling:  $t = 10 - 15$  s



Most of the neutrinos emitted during the cooling stage.

Electroweak processes producing neutrinos (urca),



have an asymmetry in the production cross section, depending on the spin orientation.

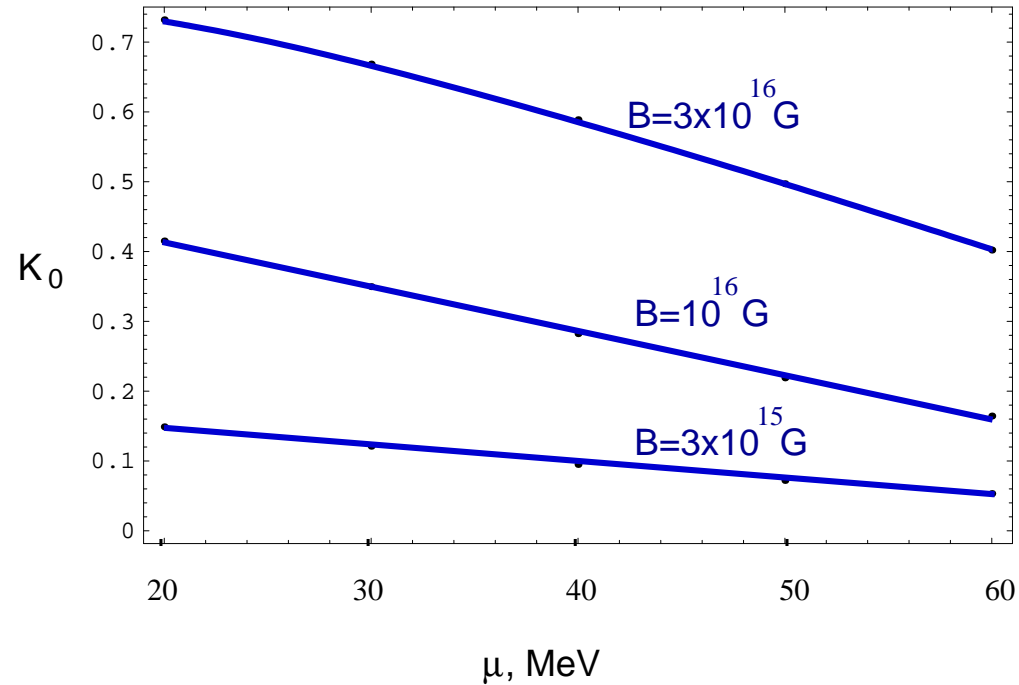
$$\sigma(\uparrow e^-, \uparrow \nu) \neq \sigma(\uparrow e^-, \downarrow \nu)$$

The asymmetry:

$$\tilde{\epsilon} = \frac{g_V^2 - g_A^2}{g_V^2 + 3g_A^2} k_0 \approx 0.4 k_0,$$

where  $k_0$  is the fraction of electrons in the lowest Landau level.

In a strong magnetic field,



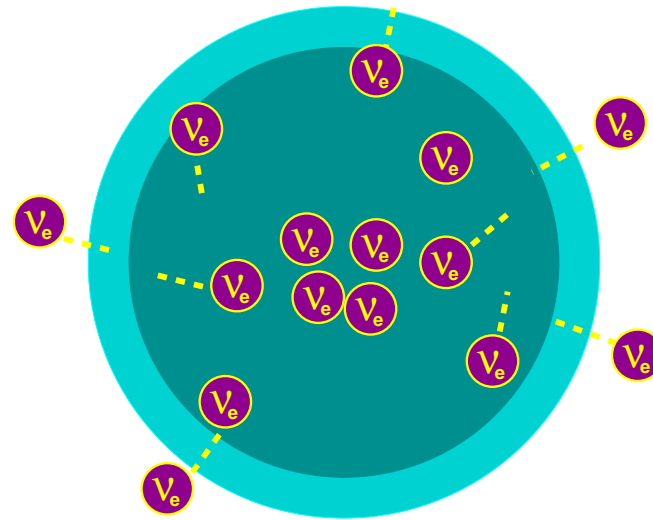
$k_0$  is the fraction of electrons in the lowest Landau level.

Pulsar kicks from the asymmetric production of neutrinos?

[Chugai; Dorofeev, Rodionov, Ternov]

## Can the weak interactions asymmetry cause an anisotropy in the flux of neutrinos due to a large magnetic field?

No



Neutrinos are trapped at high density.



## Can the weak interactions asymmetry cause an anisotropy in the flux of neutrinos due to a large magnetic field?

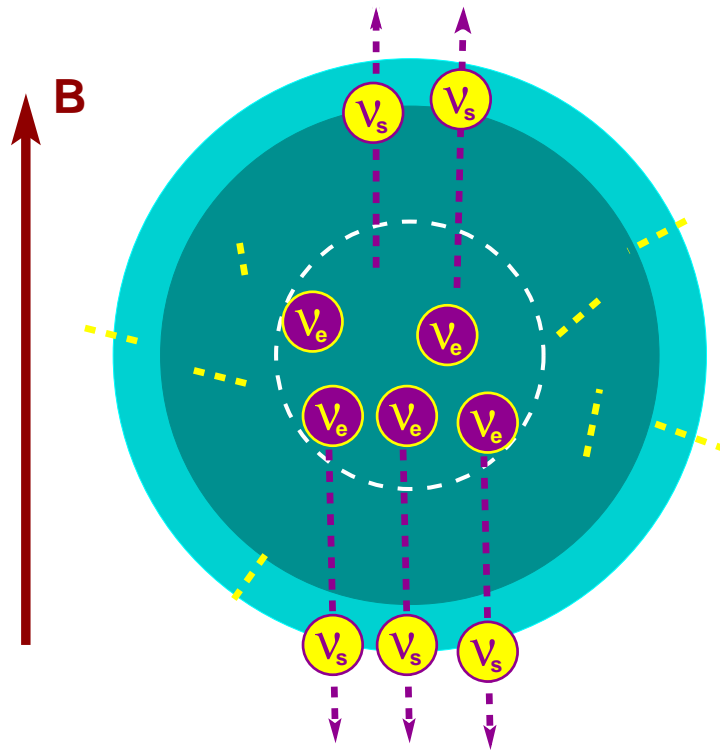
**No**

Rescattering washes out the asymmetry

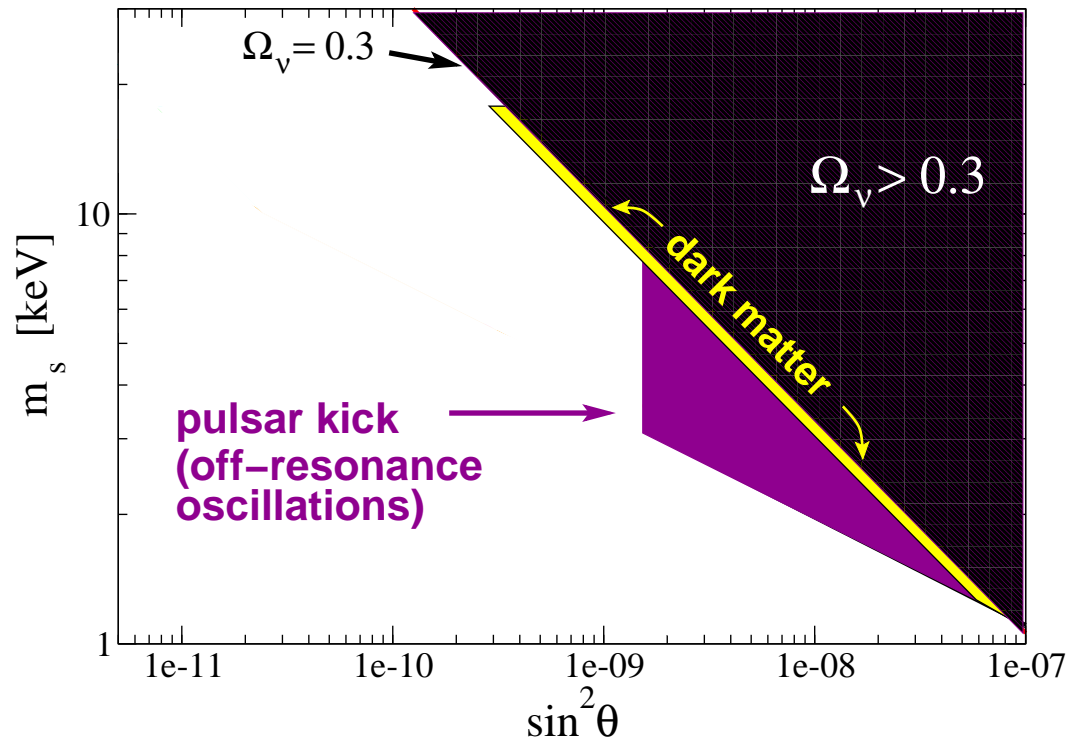
In approximate thermal equilibrium the asymmetries in scattering amplitudes do not lead to an anisotropic emission [Vilenkin,AK, Segrè]. Only the outer regions, near neutrinospheres, contribute, but the kick would require a mass difference of  $\sim 10^2$  eV [AK, Segrè].

**However, if a weaker-interacting sterile neutrino was produced in these processes, the asymmetry would, indeed, result in a pulsar kick!**

[AK, Segrè; Fuller, AK, Mocioiu, Pascoli]



Allowed range of parameters (time scales, fraction of total energy emitted):



[Fuller, AK, Mocioiu, Pascoli]

## Resonance in the magnetic field

Matter potential:

$$V(\nu_s) = 0$$

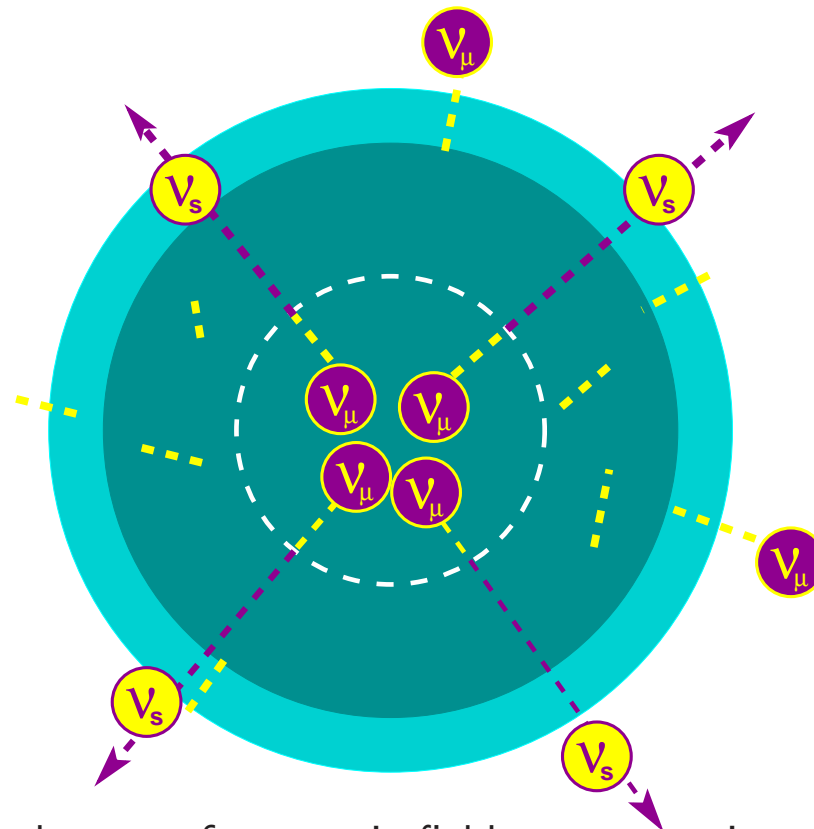
$$V(\nu_e) = -V(\bar{\nu}_e) = V_0 (3 Y_e - 1 + 4 Y_{\nu_e})$$

$$V(\nu_{\mu,\tau}) = -V(\bar{\nu}_{\mu,\tau}) = V_0 (Y_e - 1 + 2 Y_{\nu_e}) + c_L^z \frac{\vec{k} \cdot \vec{B}}{k}$$

$$c_L^z = \frac{e G_F}{\sqrt{2}} \left( \frac{3 N_e}{\pi^4} \right)^{1/3}$$

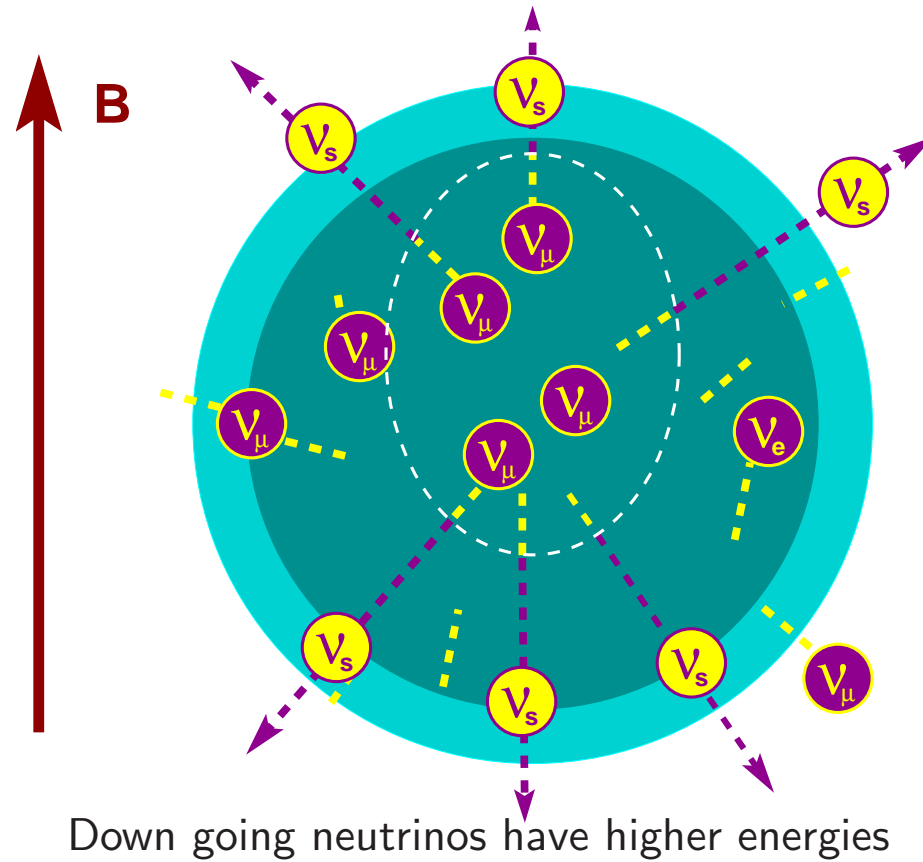
[D'Olivo, Nieves, Pal; Semikoz]

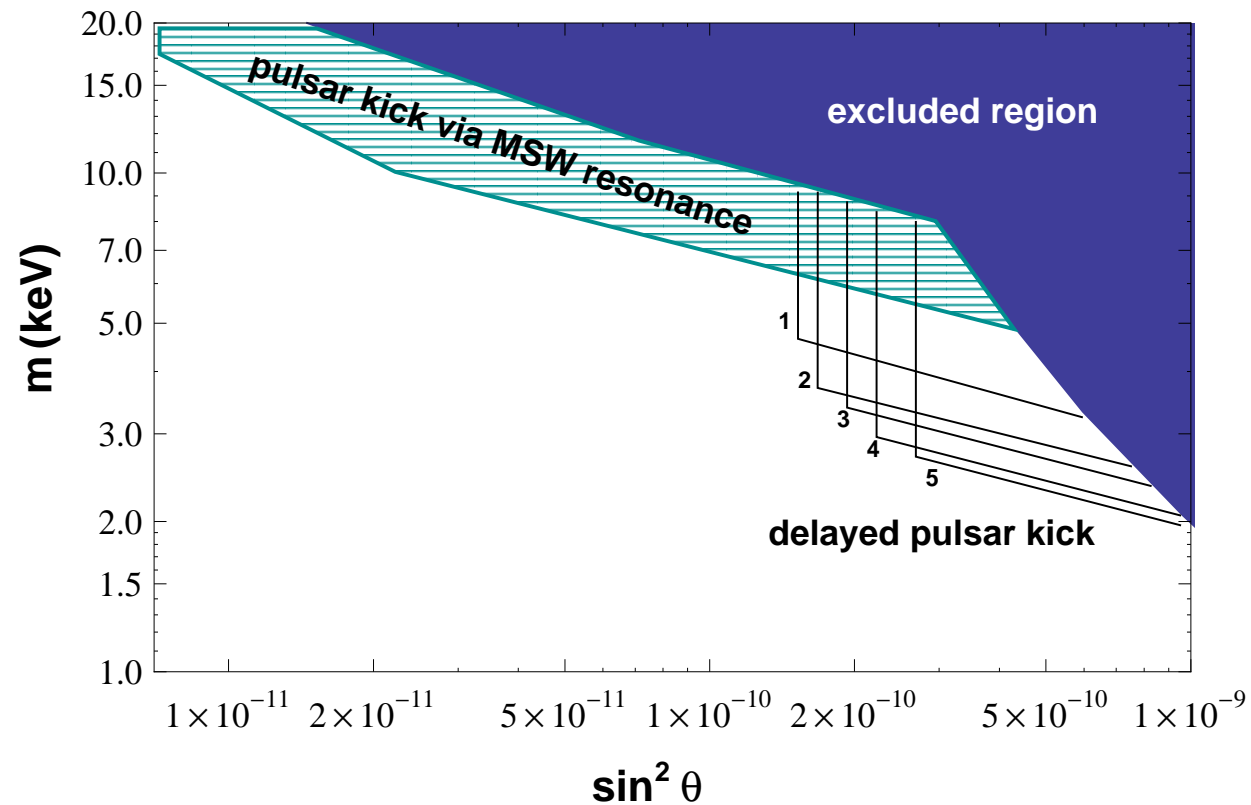
The magnetic field shifts the position of the resonance because of the  $\frac{\vec{k} \cdot \vec{B}}{k}$  term in the potential:



In the absence of magnetic field,  $\nu_s$  escape isotropically

The magnetic field shifts the position of the resonance because of the  $\frac{\vec{k} \cdot \vec{B}}{k}$  term in the potential:

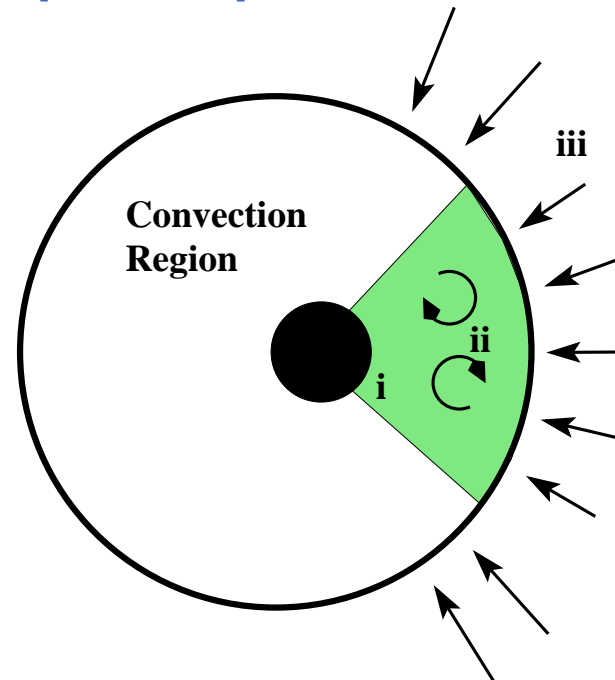


**Allowed range of masses and mixing angles**

[ A.K., Segrè; Fuller, A.K., Mocioiu, Pascoli; Barkovich, D'Ollivo, Montemayor; AK et al.]

## Other predictions of the pulsar kick mechanism

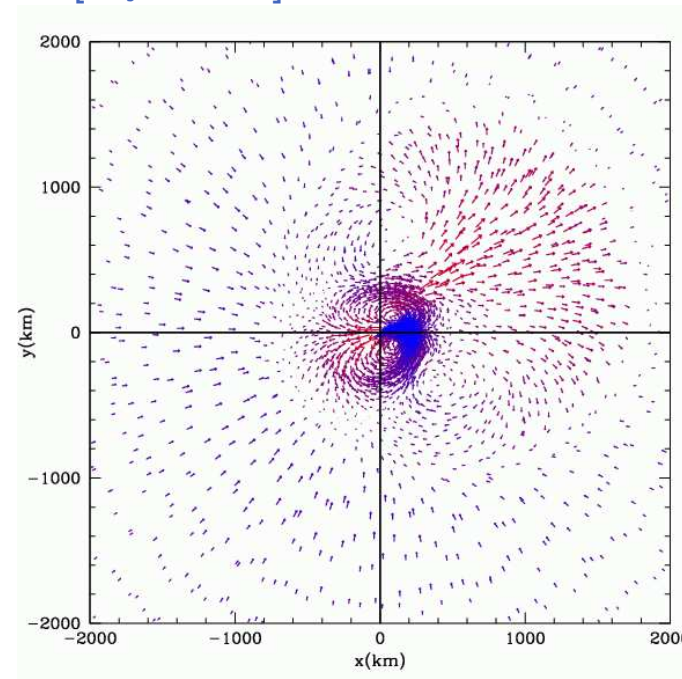
- Stronger supernova shock [Fryer, AK]





## Other predictions of the pulsar kick mechanism

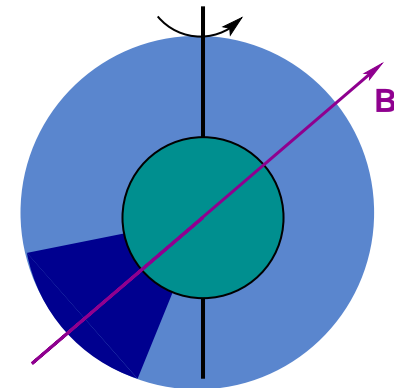
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## Other predictions of the pulsar kick mechanism

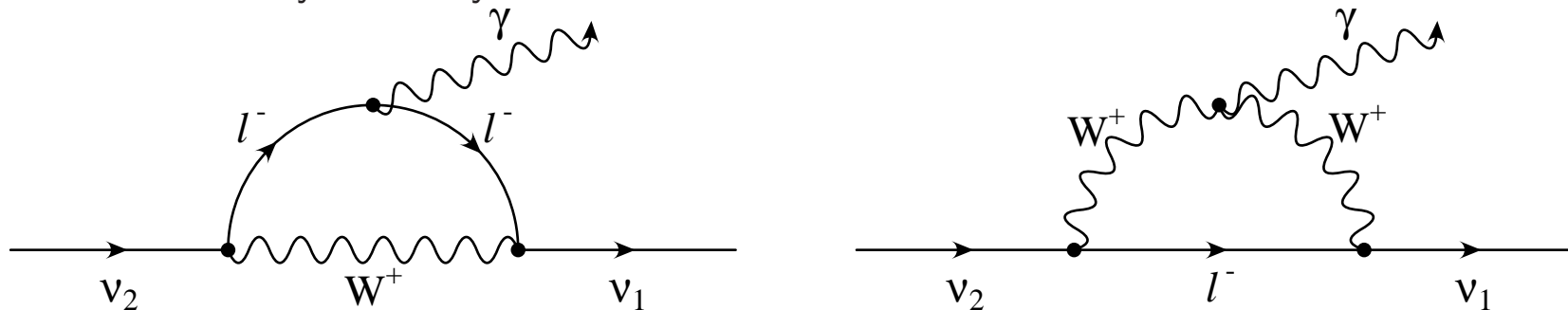
- Stronger supernova shock [Fryer, AK; Fuller, Hidaka]
- **No  $B - v$  correlation** expected because
  - the magnetic field *inside* a hot neutron star during the *first ten seconds* is very different from the surface magnetic field of a cold pulsar
  - rotation washes out the  $x, y$  components
- **Directional  $\vec{\Omega} - \vec{v}$  correlation** was predicted, because
  - the direction of rotation remains unchanged
  - only the  $z$ -component survives

**This correlation has been confirmed by recent data.**



## Radiative decay

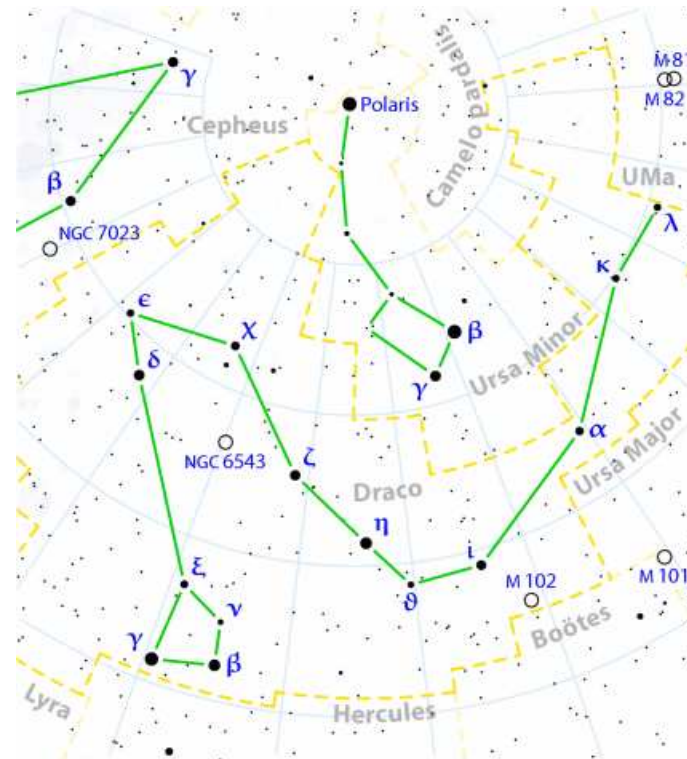
Sterile neutrino in the mass range of interest have lifetimes **longer than the age of the universe**, but they do decay:



Photons have energies  $m/2$ : X-rays. Concentrations of dark matter emit X-rays.  
 [Abazajian, Fuller, Tucker; Dolgov, Hansen; Shaposhnikov et al.]

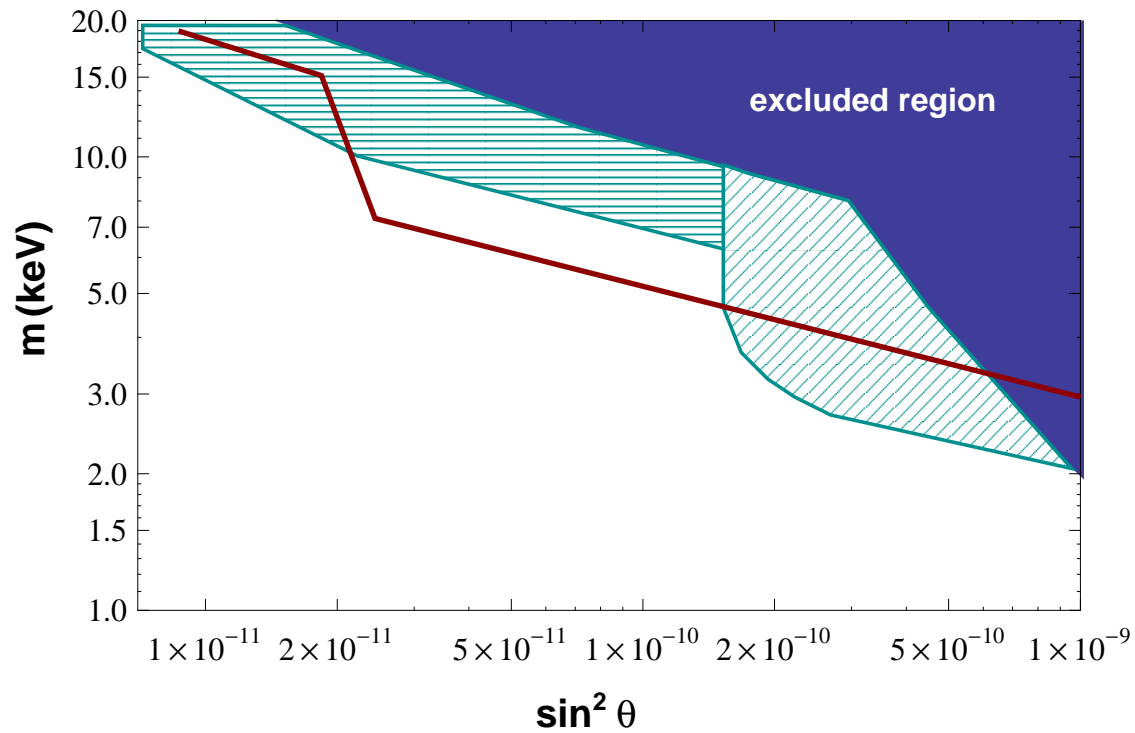
**[Loewenstein]**

## Suzaku observations of dSphs Draco and Ursa Minor



[Biermann, AK, Loewenstein, in preparation]

**X-ray observations (very preliminary)**



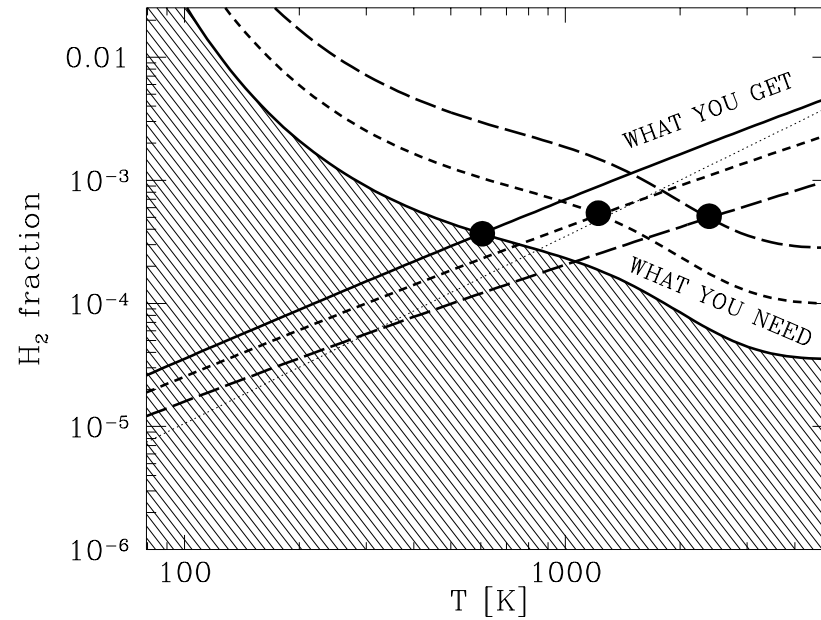
[Loewenstein, Biermann, AK]

## Some arguments against CDM

- overproduction of the satellite halos for galaxies of the size of Milky Way [Bullock]
- WDM can reduce the number of halos in low-density voids. [Peebles]
- observed densities of the galactic cores (from the rotation curves) are lower than what is predicted based on the  $\Lambda$ CDM power spectrum. [Dalcanton et al.; van den Bosch et al.; Moore; Abazajian]
- The “angular-momentum problem”: in CDM halos, gas should cool at very early times into small halos and lead to massive low-angular-momentum gas cores in galaxies. [Dolgov]
- disk-dominated (pure-disk) galaxies are observed, but not produced in CDM because of high merger rate. [Governato et al.; Kormendy et al.]
- observations of dwarf spheroidal galaxies favor WDM [Gilmore et al.; Strigari et al.]

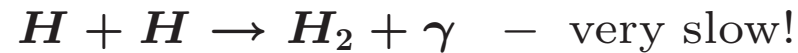
# Star formation and reionization

Molecular hydrogen is necessary for star formation

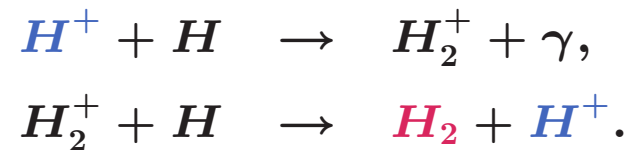


[Tegmark, et al., ApJ 474, 1 (1997) ]

## Molecular hydrogen



In the presence of ions the following reactions are faster:

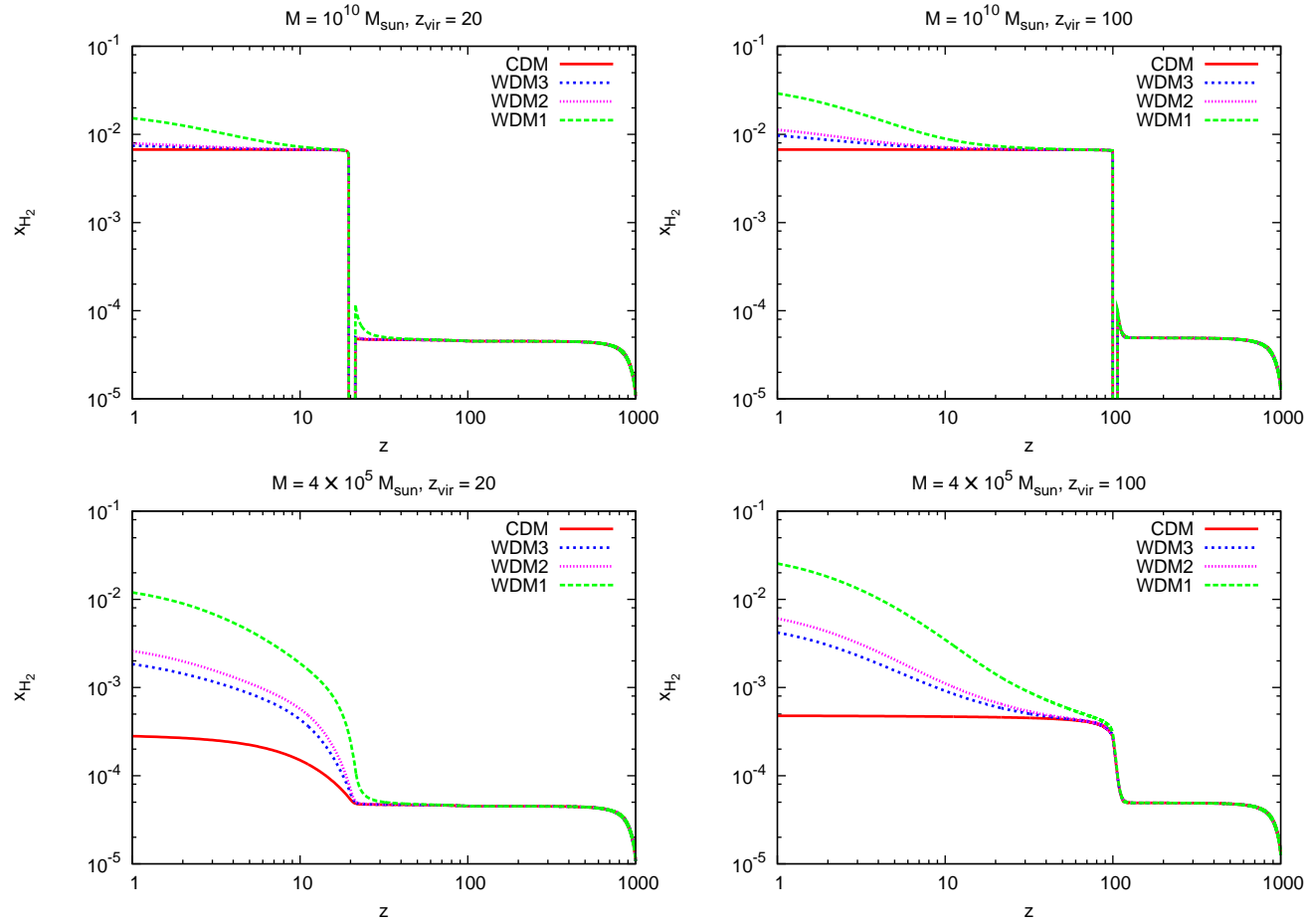


$H^+$  produced by X-rays from  $\nu_2 \rightarrow \nu_1 \gamma$  catalyze the formation of molecular hydrogen

[Biermann, AK, PRL **96**, 091301 (2006)]

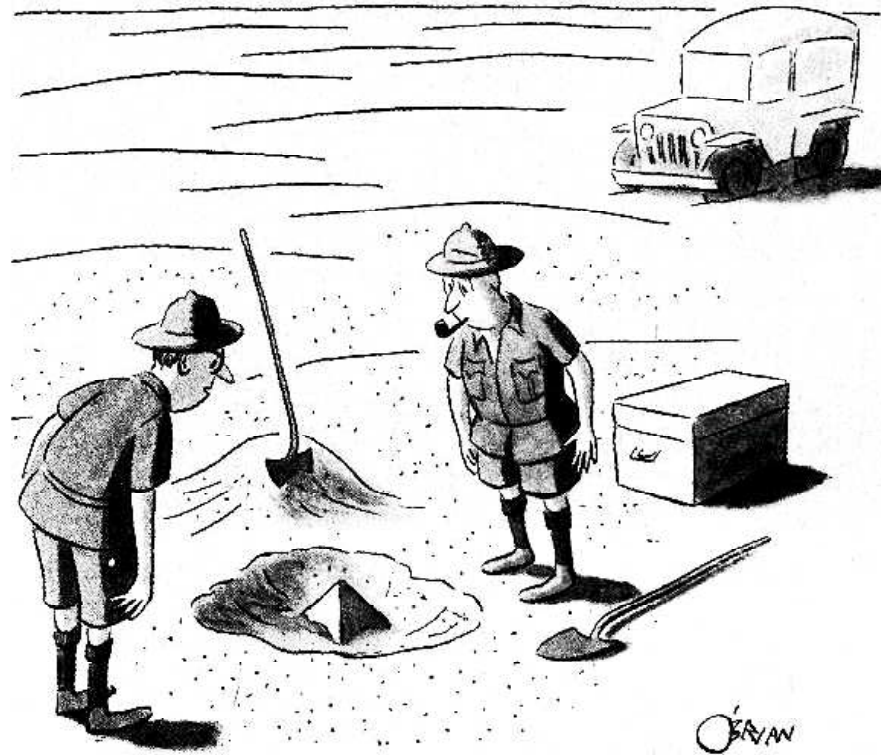
[Stasielak, Biermann, AK, ApJ.654:290 (2007)]





[Biermann, AK; Stasielak, Biermann, AK]

## Clues of sterile neutrinos



*This could be the greatest discovery of the century.  
Depending, of course, on how far down it goes.*

## Summary

- SU(2) singlets with masses between eV and the Planck scale are needed to explain the observed neutrino masses (“seesaw” mechanism). The question is **not** whether they exist, but **what is the mass?** If one of these new degrees of freedom has a relatively small mass, it can be dark matter.
- A sterile neutrino with 2-50 keV mass can explain all the present data, including
  - dark matter (warm or cold, depending on the mass)
  - baryon asymmetry of the universe
  - pulsar velocities
- X-ray telescopes, such as Suzaku, have the capabilities to discover this form of dark matter
- If discovered, the line from the relic sterile neutrinos can be used to map out the redshift distribution of dark matter. This can be used to study the structure and the expansion history of the universe.